A Molecular Orbital Study on the Reactivity of L-Ascorbic Acid towards OH Radical

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The reaction between L-ascorbic acid (AAH_2) and OH radical has been investigated theoretically. The addition site on AAH₂ and AAH⁻ is found to be the olefinic carbon adjacent to the carbonyl group, with much more distinct selectivity for AAH⁻. Dehydration is found to occur readily not from the neutral 'OH adduct AAH₂OH', but from the anion adduct, AAHOH'⁻, which leads to formation of the most probable key intermediate radical **17** (ascorbate anion radical). The parent molecule of AAH₂, triose reductone TRH₂ (2,3-dihydroxyprop-2-enal), undergoes 'OH addition and dehydration in a similar manner to AAH₂. The addition site has been confirmed by comparison of MO energies for four possible transition states of the TRH₂ (*ab initio* and MNDO) and AAH₂ (MNDO) systems. The importance of the conjugate bases, AAH⁻ and TRH⁻, for yielding the key radicals is suggested.

Redox and radiation-induced reactions of aqueous solutions of L-ascorbic acid (Vitamin C, called here AAH_2 , **10**) have been extensively studied.¹ AAH_2 is readily oxidized to give a stable and unreactive radical AAH^{*}. Owing to this characteristic, AAH_2 is relatively non-toxic and is useful as an anti-oxidant in biological systems. In radiation chemistry, formation of AAH_2OH^* has also been proposed. Through the use of pulse radiolysis and EPR spectroscopy (or spectrophotometry), the early stage of the radiation-induced reaction of AAH_2 has been investigated.^{2.3} The experiment showed that the OH-radical adduct (AAH_2OH^*) as well as AAH^* was generated.

The parent molecule with the enediol group is triose reductone (2,3-dihydroxyprop-2-enal, TRH_2 , 1). In our previous work,⁴⁻⁶ oxidation reactions of TRH_2 were studied, and reactivity similar to that of AAH_2 was found. Pulse radiolysis of TRH_2 was also carried out, and the intermediate radical was traced by spectrophotometry.⁷ The observed radical has the same λ_{max} (398 nm) as the one-electron oxidized radical (TR^{--}) and a pK value (4.7) different from that (1.4) of the latter radical. According to these data, the former radical was thought to be one of the OH-adduct radicals 3-6 in Scheme 1, however,



the discussion was rather speculative. For instance, the site of the 'OH addition was suggested to be C2 of TRH_2 according to its higher electron density (not 3 but 5 formed).⁸

Through time-resolved EPR measurements, Fessenden detected radicals in the pulse radiolysis of AAH_2 ,^{2,3} and identified two adduct radicals, 12 and 14, and the one-electron oxidized radical 17 (Scheme 2). It was suggested that the radical 17 is generated from the AAH_2 tautomer, 10', but, the mechanism of 'OH addition and dehydration was reported to be complex and unclarified. For example, the pH dependence of radical formation has not yet been interpreted. In Scheme 2, broken arrows



Scheme 2



Fig. 1 Energy diagrams (by Method 2) of 'OH addition to, and subsequent dehydration of, substrates, (a) TRH₂ and (b) TRH⁻. Negative energies in kJ mol⁻¹ show that the processes are exothermic. Total energies from Method 2 are given in Appendix B. Each species except the TSs is defined in Schemes 1 and 3. Activation energies in double brackets are those of CI = 6/MNDO.

starting from 13 and 17 denote radical terminations such as recombination and disproportionation.

In this work, these unclear mechanisms, and reactivities of the OH radical toward the enediol group in TRH_2 and AAH_2 were examined using molecular orbital (MO) calculations. Three main subjects are dealt with theoretically. (1) How is the site of the 'OH addition to the enediol group determined? (2) How does dehydration of the 'OH adduct occur? (3) Which radical is detected spectrometrically?

It is also of biological interest to examine the processes by which the toxic OH radical is trapped by Vitamin C in the body.

Method of Calculation

Optimizations of equilibrium and transition-state (TS) geometries were carried out with the MNDO semiempirical MO method developed by Dewar and Thiel^{9a} in Method 1, *vide infra*. MNDO total energies were recalculated in Method 2.

Method 1. Optimizations were made with the AMPAC program.^{10a} For radicals, the UHF scheme was used.

Method 2. For closed-shell molecules, energies are the same as those of Method 1. For radicals, single-point RHF HE calculations were made on the geometries of Method $1.^{11}$

Method 3. Optimizations with the 3-21G basis set using the GAUSSIAN 86 program¹² were performed on the TRH₂group geometries. Subsequently, single-point calculations were made [for closed-shell molecules RHF/3-21(+)G//RHF/3-21G] and for radicals ROHF/3-21(+)G//UHF/3-21G]. In the 3-21(+)G basis set, the diffuse sp GTOs¹³ are added to the oxygen 3-21G. Single-point restricted calculations in Methods 2 and 3 are due to spin contamination in UHF S².

Optimized geometries of Methods 1 and 3 are displayed in Appendices A-1 to A-6.¹⁴ Total energies from Methods 1, 2 and 3 are given in Appendix B. PM3^{9b} and CI calculations were made with the MOPAC program.^{10b}

Results

Site of the 'OH Addition .- In order to determine the 'OH addition site, activation energies for addition to TRH_2 (a) and to TRH⁻ (b) are compared in Figs. 1 and 2. Fig. 1 shows the MNDO energies, and Fig. 2 the ab initio energies. Fig. 3 demonstrates that the obtained geometries are really of transition states. For 1, the activation energy of TS3 leading to the C3 adduct 3 is smaller than that of TS5 to the C2 adduct 5 by both Method 2 in Fig. 1(a) and Method 3 in Fig. 2(a). For 2, on the contrary, the path leading to the C2 adduct 7 is more favourable than that to the C3 adduct, 7' in Figs. 1(b) and 2(b). These activation energies indicate that TRH₂ and TRH⁻ behave differently in radical additions. Schemes 1 and 2 must be revised to consider the conjugate bases. Here, a new scheme (Scheme 3) is presented for TRH₂ and TRH⁻. There are two different 'OH-addition routes, $1 \longrightarrow 3$ and $2 \longrightarrow 3$ → **7**.

In contrast to the different orientation above, TRH_2OH^* on C2 vs. $TRHOH^{*-}$ on C3, the OH radical attacks C2 of both AAH₂ and AAH⁻ in Fig. 4. For AAH₂ and AAH⁻, two routes





Fig. 2 Energy diagrams (by Method 3) of *ab initio* calculations. Notations are the same as those in Fig. 1

are drawn in Scheme 4. Since the OH radical is, by nature, an electrophilic reagent, the route starting from 11 is evidently dominant, with smaller activation energies. Geometries of obtained MNDO TSs are shown in Fig. 5. It is found that the OH radical attacks the C2 atom at the back side of the sidechain alkyl group (steric effect).

In the observed EPR data,³ only 17 is trapped among anion radicals, while both neutral adducts 12 and 14 are detectable among neutral radicals. These data suggest that the site selectivity (C2 or C3) is more distinct in AAH⁻ than in AAH₂.

Energy diagrams in Fig. 4 show this trend. While activation energies of TS12 and TS14 are similar, those of TS15 and TS15' are quite different. In addition, the stability of 15 is much larger than that of 15'. For the 'OH-addition step, Figs. 1, 2 and 4 can be summarized as follows: MNDO and ROHF/3-21(+)G favour the conjugate base pathway (b) kinetically at the C2 site of TRH⁻ and AAH⁻. This site selectivity is explicable in terms of frontier-orbital theory.¹⁵ For the electrophilic reagent 'OH, the HOMOs of AAH₂ and AAH⁻ are frontier orbitals. The difference in these shapes is consistent with the computed energies.



The effect of solvent on TS energies was examined by including four water molecules into TS3, TS5, TS7 and TS7'. First, the MNDO optimization was tested, resulting in the well-known overestimate of hydrogen-bond lengths. Second, PM3 optimization was performed to give TS geometries with four waters, shown in Fig. 3. In the $(TS + 4H_2O)$ scheme, the (TS3 - TS5) energy difference is 10 kJ mol⁻¹ at RHF/PM3// UHF/PM3, and (TS7' - TS7) is 6 kJ mol⁻¹. Thus, although activation energies are somewhat affected by the inclusion of water molecules, the energetic trend is the same as that in Fig. 1.

The electron correlation effect on activation energies was examined with configuration interaction (CI = 6 in the MOPAC keyword, CI/MNDO//UHF/MNDO). In Figs. 1 and 4, these energies are shown in double brackets and demonstrate that the energy order of TS5 > TS3, TS7' > TS7,



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MNDO 3-21G [PM3]

Fig. 3 MNDO and 3-21G geometries and sole imaginary frequencies (v^{\ddagger}) corresponding to transition states of the OH addition to TRH₂ (*a*) and to TRH⁻ (*b*). PM3 data with four water molecules are shown in brackets. Bond distances are in angles are in degrees. Total energies of RHF/PM3//UHF/PM3 are -2904.445 64 (TS3 + 4H₂O), -2904.342 43 (TS5 + 4H₂O), -2892.722 46 (TS7 + 4H₂O) and -2892.655 37 (TS7' + 4H₂O), respectively.

TS12 > TS14 and TS15' > TS15 is the same as that of the Hartree–Fock calculation. It is noteworthy that four activation energies are lowered by inclusion of correlation effects, and



Fig. 4 Energy diagrams (by Method 2) on substrates, (a) AAH_2 and (b) AAH^- . Total energies from Method 2 are given in Appendix B. Each species except the TSs is defined in Schemes 2 and 4. Activation energies in double brackets are those of CI = 6/MNDO.

accordingly the effect renders the present reacting system more realistic.

Dehydration of the OH Adduct.—Through OH attachment to the enediol group, a geminal dihydroxy group is formed. This group is known to be readily converted to the carbonyl group by loss of water.¹⁶ Thus, a mechanistic question arises: which 'OH adduct is more easily dehydrated, TRH₂OH' (the C3 adduct) or TRHOH'⁻ (the C2 adduct)? Energy profiles for 'OH addition and dehydration are shown in Figs. 1 and 2. When the OH-radical adduct 3 is dehydrated, 9 is formed. However, the process $3 \longrightarrow 9$ is calculated to be +28 kJ mol⁻¹ (MNDO), and +35 kJ mol⁻¹ [3-21(+)G] endothermic.

For the conjugate base, TRH⁻, the OH-radical adduct 7 is calculated to give 8 and H_2O exothermically $[-77 \text{ kJ mol}^{-1} (\text{MNDO}), -103 \text{ kJ mol}^{-1} (3-21(+)G)]$. Thus, in Scheme 3, the route $2 \longrightarrow 7 \longrightarrow 8$ occurs readily. The result of difficult dehydration of 3 and facile one of 7 may be checked by a different calculation. Dehydration obviously occurs at the geminal OH groups through the following double proton migration.



To simulate this process, geometries of protonated species $3H^+$ and $7H^+$ were optimized with MNDO UHF. A stable intermediate $3H^+$ is obtained.



Fig. 5 MNDO TS geometries and sole imaginary frequencies of 'OH addition to AAH₂ (a) and AAH⁻ (b)



On the other hand, protonated 7 dehydrates smoothly (without a significant energy barrier) to give 9 and H_2O .



That is, not 3 but 7 undergoes facile dehydration. Energy profiles for 'OH addition and dehydration of AAH_2 and $AAH^$ are shown in Fig. 4. The tautomer of AAH_2 , 10' (in Scheme 2) is calculated to be + 16 kJ mol⁻¹ unstable relative to 10. In Fig. 4(*a*), dehydration from the neutral radical 12 does not proceed exothermically (12 \longrightarrow 18 + H₂O), which is similar to the case of TRH₂OH'. On the other hand, the anion radical AAHOH'⁻ 15 proceeds smoothly to (17 + H₂O) in Fig. 4(*b*).*

A noticeable result obtained here is that the *key* intermediate radical 17 is derived mainly from the base 15 rather than neutral adducts 12 and 14 in Scheme 4.

Detection of Intermediate Radicals.—In this subsection, spectrometric data reported so far are interpreted in terms of the computed data. First, 17 and 18 are discussed. The key radical 17 together with its protonated species 18 was observed immediately (ca. 2 μ s) after the pulse irradiation. These radicals have large EPR signal intensities. Based on this rapid formation, 17 and 18 were thought to be generated by direct oxidation.^{2.3} However, both AAH₂ (10) and the base AAH⁻ (11) are calculated to undergo direct electron-transfer with high endothermicity.[†] The direct oxidation route to 17 is thus ruled out, and the primary step of the reaction between AAH₂ (or AAH⁻) and 'OH is confirmed to be the addition.

10 + 'OH
$$\longrightarrow$$
 AAH₂'⁺ + OH⁻ $\Delta H_r = +1013 \text{ kJ mol}^{-1}$
11 + 'OH \longrightarrow 18 + OH⁻ $\Delta H_r = +244 \text{ kJ mol}^{-1}$

* An independent dehydration route $13 \rightarrow 17 + H_2O$ appears to be likely in view of its exothermicity, -18 kJ mol^{-1} [Fig. 4(b)]. However, this route has been ruled out spectroscopically. See refs. 2 and 3. 13 has been shown to intervene on the $\tau = 100 \text{ µs}$ time scale. One possibility for this discrepancy would be that the freely rotating alkyl sidechain blocks the approach of H_3O^+ towards geminal hydroxy groups (hydrophobic effect).



[†] The direct electron-transfer reaction is not always endothermic with the present method. For instance, while for TRH⁻ + 'OH \longrightarrow TRH' + OH⁻ $\Delta H_r = +184 \text{ kJ mol}^{-1}$, for TR²⁻ + 'OH \longrightarrow TR'⁻ + OH⁻ $\Delta H_r = -484 \text{ kJ mol}^{-1}$. The dianion TR²⁻ undergoes the exothermic reaction.

In the previous subsection, it was postulated that the major route is $11 \longrightarrow 15 \longrightarrow 17$ in Scheme 4. Fessenden suggested that the route $10 \longrightarrow 14 \longrightarrow 17$ is dominant in Scheme 2, where a large EPR intensity due to 17 and the small one due to 14 were observed.³ Also, he proposed the structural formula 12 as the 'OH adduct (on C3) based on the EPR data. The EPR result of [12] > [14] appears to be inconsistent with our computed stability order 14 > 12 and the FMO prediction for AAH₂. However, 14 is rapidly converted to 17 in a 'bypass' via an unstable species 15.

Principally, [12] < [14].

However, [12] > [14]. Because, $14 \longrightarrow 15 \longrightarrow 17$.

In Scheme 2, 16, derived from the tautomer 10' of AAH₂, has been shown, although its EPR signal was not observed. The absence of 16 was ascribed to its rapid dehydration (plus deprotonation) to give 17.[‡] However, this route (16 \rightarrow 18 + H₂O) has been calculated to be endothermic (+18 kJ mol⁻¹) in Fig. 4(*a*), and the route is found to be unfavourable.§

Conclusions

In this work, the reactivity of the enediol group toward the OH radical has been studied theoretically. AAH_2 and its parent molecule TRH_2 are found to have similar energetics in the 'OH addition and dehydration. It has been found that the key radical 17 AA^{-1} is formed by the route $11 \longrightarrow 15 \longrightarrow 17$. The conjugate base 11, which was not considered previously,^{2,3} is a dominant precursor.

Answers to the three questions addressed in the Introduction may be offered here.

(1) The most probable 'OH addition to the enediol group is $11 (AAH^-) + OH \longrightarrow 15$.

(2) Dehydration may take place from 15 through a double proton transfer process.

(3) The key radical is confirmed to be 17 AA⁻⁻ (or 8 TR⁻⁻). The neutral adducts 12 and 14 are also detectable, since these species do not undergo dehydration. A 'bypass' route 14 \longrightarrow 15 \longrightarrow 17 decreases the concentration of 14.

Direct electron transfer (e.g., $AAH_2 \longrightarrow AAH_2^{+} + e^-$) is unlikely, when no oxidative reagents, such as metal ions, other than the OH radical are present.

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[‡] The EPR intensity of 17 (or 18) depended on the pH, and was a maximum at pH *ca.* 6.7. It is natural that in acidic solution the concentration of 17 is small, because that of the precursor (conjugate base 11) is small. It seems to be strange that in alkaline solution the intensity becomes decreased. This decrease would be attributed to the increased probability of S_N2 reactions of the hydroxide ion.



§ The absence of 16 comes probably from two sources. One is in the unfavourable tautomerism $10 \longrightarrow 10'$. The MNDO calculation has given the 16 kJ mol⁻¹ energy difference between 10 and 10' in Fig. 4(*a*). The other source is the peculiar bonding at the Cl of 16. Cl is bonded to three oxygen atoms, which makes 16 undergo reactions (*e.g.* ring opening by scission of the C-O bond) other than hydration.

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0.97

o

1.22 (1.24)

1.22



1(TRH₂)

(0.96)

1.11 1.08

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Appendix

Calculated equilibrium geometries are shown in Appendices A1-A6. In A1-A3, the 3-21G RHF or UHF data (Method 3) are shown by italic numbers.



Appendix A1 MNDO-optimized (Method 1) geometries of 2,3-dihydroxyprop-2-enal (TRH₂, 1) and its conjugate base (2). These are planar molecules. Empty circles denote hydrogen atoms. Distances are in angstrom and angles are in degrees. Numbers in parentheses of 1 stand for X-ray data.1

0.96 0.95

1.39



3(TRH₂OH[•])

5(TRH₂OH[•])

)TC(2) 107.9 107.9 C(3) 1.09 1.07

0.95 0.97

107.1

115.8

1.57 1.52

.11 1.08

0 1.42

0

1.401.40

114

1.22 1.21

0.97

0.95

121.2 123.4

C(1) (

0



9(TRH*)



9'(TRH')

Appendix A2 MNDO geometries of TRH₂OH adduct radicals and TRH radical





7(TRHOH'-)







Appendix A4 MNDO-optimized geometries of L-ascorbic acid (10), its tautomer (10') and its conjugate base (11). For 10 and 11, the X-ray data are shown in parentheses.^{18,19}





Appendix A5 MNDO geometries of neutral radicals







			····	Method 1 ^a	Method 2 ^b	Method 3 ^c
	Species	Formula		HF/MNDO/eV (S ²)	RHF/MNDO/ eV	3-21(+)G/a.u. (S ²)
TRH ₂	1	TRH ₂	2,3-dihydroxyprop-2-enal	- 1406.825 51	- 1406.825 51	- 338.636 80
	2	TRH-	conjugate base of 1	-1394.007 36	-1394.007 36	-338.097 18
	3	IKH ₂ OH	C3-adduct	- 1 /43.695 08 (0.77)	-1/43.349 15	-413.636 13
	4	TRHOH'-	conjugate base of 3	-1730.669 48	-1730.570 35	-413.140 60
	5	TRH ₂ OH [•]	C2-adduct	(0.78) -1743.343 43	-1743.256 32	(0.84) -413.646 68
	7	TRHOH'-	conjugate base of 5	(0.76) $-1729.582\ 00$ (0.76)	-1729.480 12	-413.089 13
	7′	TRHOH'-	C3-adduct	-1729.63841	- 1729.495 74	-413.09370
	8	TR'-	anion radical	-1379.05385 (0.78)	- 1378.854 49	(0.80) - 337.509 48 (0.84)
	9	TRH.	acid of 8 (C2-OH)	-1392.00273	-1391.833 13	-338.02362
	9′	TRH.	acid of 8 (C3-OH)	-1391.85635 (0.78)	-1391.701 79	-338.02640
	TS3		TS for 3	-1740.91422	- 1740.057 08	$-413.600\ 25$ (1.38)
	TS5		TS for 5	(1.21) - 1740.757 07 (1.19)	- 1739.989 04	-413.58846 (1.25)
	TS7		TS for 7	-1728.11786 (0.75)	- 1727.858 88	-413.07085 (0.83)
	TS7′		TS for 7 ′	-1727.78342 (0.85)	- 1727.553 53	-413.06792 (1.23)
		юн		-334.606 82	- 334.594 71	-74.995 37
		H₂O OH [−]		(0.75) - 351.424 83 - 334.867 11	- 351.424 83 - 334.867 11	(0.75) - 75.619 04
AAH ₂	10	AAH ₂	L-ascorbic acid	-2814.376 39	-2814.376 39	
	10′ 11		tautomer of 10		- 2814.208 30	
	11	AAH,OH'	C3-adduct	- 2802.123 92 - 3151.011 77	- 2802.125 92 - 3150.880 41	
		-		(0.77)		
	13	AAHOH	conjugate base of 12	-3138.471 63 (0.77)	-3138.311 14	
	14	AAH ₂ OH [•]	C2-adduct	- 3151.113 27 (0.76)	- 3151.018 70	
	15	AAHOH'-	conjugate base of 14	- 3138.405 89 (0.75)	-3138.258 47	
	15′	AAHOH -	C3-adduct to 11	-3137.605 68 (0.77)	-3137.443 07	
	16	AAH ₂ OH [•]	C1-adduct to 10'	-3151.206 64 (0.77)	-3151.057 76	
	17	AA*-	anion radical	-2787.256 31 (0.80)	-2787.067 58	
	18	AAH'	acid of 17 (C2-OH)	- 2799.613 72 (0.78)	-2 799.44 8 15	
	18′	AAH.	acid of 17 (C3-OH)	- 2799.494 57 (0.78)	-2799.320 57	
		AAH ₂ ^{•+}		-2815.964 72 (0.76)	-2803.600 32	
	TS12		TS for 12	-3148.291 29 (1.23)	- 3147.467 49	
	TS14		TS for 14	- 3148.341 77 (1.21)	-3147.539 72	
	TS15		TS for 15	- 3136.128 45 (0.76)	-3135.814 32	
	TS15′		TS for 15'	- 3135.677 46 (0.89)	- 3135.439 07	
	TS16		TS for 16	-3148.03427	- 3147.437 11	
	TS16B		TS for 16	-3148.06722 (1.19)	- 3147.264 74	

Appendix B Total energies for MNDO (Method 1) and 3-21G (Method 3) optimized geometries given in Figs. 3 and 5 and Appendices A1-A6. Expectation values S^2 of the spin eigenfunction in UHF calculations are shown in parentheses for Methods 1 and 3

^a MNDO OPT of the AMPAC program in ref. 10. Radicals are treated by the UHF method. ^b For radicals optimized in Method 1, single-point HE energy calculations are made. For closed-shell molecules, Method 1 = Method 2. ^c ROHF/3-21(+)G//HF/3-21G. (S²) is of UHF/3-21G.