# Photodimerization of cinnamic acids controlled by molecular assemblies of surfactant amine *N*-oxides

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UV irradiation of cinnamic acids 1 involving a complex with surfactant amine *N*-oxides ( $C_n$ DAO, n = 12, 14 and 16) as vesicles in water leads to the formation of cyclodimers (*i.e.* β-, δ-truxinic and/or α-truxillic acids †). Decreasing the molar ratios of 1 to  $C_n$ DAO causes the vesicles to transform into rod-like micelles and the yield of the cyclodimers decreases. The addition of HCl or NaOH to aqueous solutions of 1 and  $C_n$ DAO brings about sharp changes in the self-assembly structures from vesicles to micro emulsions or rod-like micelles, accompanied by a change in product distribution in the photolysis of the complex 1. Upon addition of photoinactive carboxylic acids, phenylpropionic and palmitic acids to the 1 and  $C_{16}$ DAO system, the rod-like micelles change into vesicles by formation of a complex with  $C_{16}$ DAO leading to the observation of a dilution effect in the photodimerization upon addition of phenylpropionic acid. However, no dilution effect is observed for the palmitic acid. This is found to be attributable to the difference in degrees of mixing of 1 with the acids in the vesicles. These results show that photodimerization of 1 incorporated in  $C_n$ DAO is controlled by a variety of molecular assemblies, *i.e.* rod-like micelles and homogeneous or heterogeneous vesicles.

# Introduction

Photocyclodimerizations of aromatic olefins have been reported to be significantly enhanced in micelles and related systems which act as the reaction environments.<sup>1-3</sup> Furthermore, the stereochemistry of the resulting cyclodimers was found to change with a change in their aggregated structures. Cinnamic acids **1** have been revealed to be excellent probes for molecular orientations imposed by the organized reaction media.<sup>1</sup> Moreover, the stereochemistries of the cyclodimers from the photolysis of **1** can be compared with the mutual orientations of **1** in their variable crystal structures.<sup>4</sup> Such a preorientation of the guest molecules has been confirmed to be effective in layered inorganic minerals<sup>5</sup> as well as in normal or reversed micelles.<sup>1</sup>

Alkyldimethylamine *N*-oxides,  $C_n$ DAO (*n* = carbon number of alkylamines, *i.e.* 12, 14 and 16), are known to form various aggregates in water depending on the concentration, temperature and additive.<sup>6,7</sup> Thus, it was noted that the aggregation behaviour of C<sub>16</sub>DAO exhibited iridescent colours with surfactant concentration of 0.3–2 wt% in water at temperatures lower than 23 °C.<sup>6a,b</sup> This iridescence was explained by the interference of light arising from Bragg reflection between the multi-lamellar layers. Such multilamellar aggregates provide an interesting reaction field for controlling the orientation of the guest molecules.

In the present paper, the authors report on the photocyclodimerization of 1 incorporated in the organized media of  $C_nDAO$  in water. The effect of the ratios of 1 and  $C_nDAO$ , the pH and the coadsorbates on aggregated structures and photoreactivities were investigated, and based on these results, the solubilization sites of 1 in the  $C_nDAO$  aggregates are presented.

# **Results and discussion**

# Photochemical cyclodimerization of 1 in aqueous C<sub>n</sub>DAO solution

Irradiation of  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> cinnamic acid **1a** in  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> C<sub>16</sub>DAO in water at  $\lambda > 280$  nm for 19 h led to the formation of  $\alpha$ -truxillic (*syn*-HT),  $\beta$ -truxinic (*syn*-HH),  $\delta$ -truxinic acids (*anti*-HH) and the *cis*-isomer in yields of 6%, 17%, 31% and 46%, respectively [reaction (1)]. Similar



photochemical cyclodimerizations of **1** were observed in aqueous solutions of a series of  $C_n DAO$  with n = 12, 14 and 16, and these are summarized in Table 1.

Cyclodimerization of **1a** gradually occurred after attaining a photostationary state (*trans*: *cis ca*. 2.2) after 30 min irradiation. A control experiment indicated that *cis–trans* isomerization was the only observable reaction in the photolysis of **1a** in methanol. It should be noted that the present  $C_nDAO$  surfactant systems improved the quantum efficiencies of the cyclodimers more than 10 times as much as that of the rod-like micelles of hexadecyltrimethylammonium cinnamate,<sup>1d</sup> the cause of which is discussed below.

<sup>†</sup> IUPAC names: truxinic acid = 3,4-diphenylcyclobutane-1,2-dicarboxylic acid, truxillic acid = 2,4-diphenylcyclobutane-1,3-dicarboxylic acid.

			Irradiation time/h	Conversion (%)	Yields of pro			
1	1	System <sup>b</sup>			syn-HT	syn-HH	anti-HH	cis
	1a	C <sub>12</sub> DAO <sup>c</sup>	15	84	5	12	25	58
		C <sub>14</sub> DAO <sup>c</sup>	19	88	5	16	38	40
		C <sub>16</sub> DAO <sup>c</sup>	19	83	6	17	31	46
	1b	$C_{12}DAO^{c}$	15	85	11	31	32	25
		C <sub>16</sub> DAO <sup>c</sup>	15	78	12	35	30	23
	1a	CTAOH <sup>d</sup>	9	78	е	е	3	97
		CH <sub>3</sub> OH <sup>f</sup>	7	81	е	е	е	100

<sup>*a*</sup> Irradiations were carried out with a Pyrex-filtered 300 W Hg lamp for aqueous solutions of  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> substrates; cinnamic **1a** and *p*-methoxycinnamic acid **1b**. <sup>*b*</sup> Amounts of surfactants were equimolar to **1**. The dispersed solution; pH 4.2. <sup>*c*</sup> C<sub>16</sub>DAO: hexadecyldimethylamine *N*-oxide; C<sub>14</sub>DAO: tetradecyldimethylamine *N*-oxide; C<sub>14</sub>DAO: tetradecyldimethylamine *N*-oxide; C<sub>14</sub>DAO: dodecyldimethylamine *N*-oxide. <sup>*d*</sup> Hexadecyltrimethylammonium hydroxide. Cited from ref. 1*d*. <sup>*e*</sup> Not detected by HPLC (*i.e.* <1%). <sup>*f*</sup> Irradiation of methyl ester of **1a** in methanol.

**Table 2** Aggregated structures of aqueous  $C_n$  DAO solutions with various amounts of  $1^a$ 

1	<i>n</i> in C <sub>n</sub> DAO	[1] [C <sub>n</sub> DAO]	pH <sup>b</sup>	$M^{c}$ /g mol <sup>-1</sup>	R <sub>G</sub> <sup>c</sup> ∕nm	<i>R</i> <sub>H</sub> <sup>c</sup> /nm	Aggregated structures
1a	12	1.0 <sup><i>d</i></sup>		$5.00 \times 10^{7}$	96	101	vesicles
	16	$0.2^{d}$		$1.31 \times 10^{5}$		_	rod-like micelles
		$0.4^{d}$		$7.13 \times 10^{6}$	101	_	vesicles
		$0.6^{d}$		$1.88 \times 10^{7}$	148	_	vesicles
		$0.8^{d}$		$2.42 \times 10^{7}$	196	396	vesicles
		1.0		$7.52 \times 10^{6}$	87.2	79	vesicles
			1.5	$1.49 \times 10^{8}$	174	248	micro emulsions
			4.0	$1.11 \times 10^{8}$	173	248	vesicles
			12.3	$1.29 \times 10^{6}$	77	84	rod-like micelles
1b	12	0.2		$3.64 \times 10^{4}$		_	spherical micelles
		0.4		$1.55 \times 10^{5}$	36.7	_	micelles + vesicles
		0.6		$1.46 \times 10^{6}$	64.4	85	vesicles
		0.8		$3.98 \times 10^{7}$	104	139	vesicles
		1.0		$2.25 \times 10^{7}$	70.4	129	vesicles

<sup>*a*</sup> Light scattering measurements and VEM observations were carried out for solutions with a total concentration of  $2 \times 10^{-3}$  g cm<sup>-3</sup> at 25 °C. <sup>*b*</sup> pH regions of aqueous solutions of the 1:1 mixture of 1 and C<sub>n</sub>DAO (*n* = 12, 14 and 16) were *ca*. 4.1, unless otherwise noted. Control of the pH was performed by adding  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> HCl or NaOH. <sup>*c*</sup> The molecular weight *M* and radius of gyration  $R_{\rm G}$  were obtained from static light scattering measurements. The hydrodynamic radius  $R_{\rm H}$  was obtained from dynamic light scattering measurements. <sup>*d*</sup> Cited from ref. 8*d*.

### Aggregation of 1 with C<sub>n</sub>DAO

It is known that an aqueous solution of  $C_nDAO$  yields aggregates as spherical or rod-like micelles, flat plate-like assemblies and globular vesicles, depending on the alkyl chain length, concentration and temperature.<sup>6</sup> The aggregation structures were altered by adding carboxylic acids to the aqueous solutions. Thus, equimolar mixtures of **1a** and  $C_nDAO$  formed stable vesicular aggregates irrespective of the *n* values from 12 to 16.<sup>8</sup> The IR spectra of the equimolar mixture of  $C_{16}DAO$  and **1a** showed that an absorption maximum of the carboxylic acid C=O stretch at 1622 cm<sup>-1</sup> shifted from that of **1a** alone at 1684 cm<sup>-1</sup>, implying that an interaction exists between them to form ionpairs, as shown in reaction (2). This is, however, quite different



from that of the **1a** anion (*i.e.* sodium cinnamate) which possesses two carboxylic C=O stretches at 1559 and 1410 cm<sup>-1</sup>, asymmetric and symmetric, respectively. Therefore, the ion-pairs of  $C_{16}$ DAO and **1a** can be said to have a hydrogen bonding character.

The aggregated structures of the ion-pairs were characterized by means of light scattering and video enhanced microscopic (VEM) techniques.<sup>8a,d</sup> Table 2 summarizes the physical properties of the aggregates formed in the aqueous solutions of  $C_n$ DAO including the appropriate amounts of **1**. Ion-pairings are necessary for vesicle formation, but stoichiometric amounts of **1** are not indispensable, since the 0.6 molar ratio of **1** to  $C_n$ DAO is enough to form vesicular type aggregates.

The importance of ion-pairing of  $C_nDAO$  and 1 was evidenced by a dramatic pH effect, as shown in Table 2. The  $pK_a$  values of 1a and  $C_nDAO$  are 4.27<sup>9</sup> and 4.95 (for  $C_{12}DAO$  in the homogeneous solution),<sup>10</sup> respectively, in water. Hence, in the higher pH region (*e.g.* pH > 8)  $C_nDAO$  cannot be protonated, and on the contrary 1 cannot be dissociated to carboxylate ions at lower pH (*e.g.* pH < 2).<sup>7b</sup> Under the typical acidic or alkaline conditions employed, the mixtures form micro emulsions or rod-like micelles as shown in Table 2.

# Effect of pH on the photocyclodimerization of 1a in aqueous $C_n DAO$

Irradiation of **1a** in the presence of equimolar  $C_{16}DAO$  was carried out at various pH regions in water. The relative yields of the cyclodimers were sensitive to the pH values and a maximum was obtained at *ca*. pH 4 (Table 3). At pH 5.5, photodimerization hardly occurred, which can be explained by the diffusion of the **1a** anion into the bulk solution and the lack of vesicle formation. At pH 1.6, the ion-pairings of **1a** and C<sub>16</sub>DAO are destroyed because of the protonation of C<sub>16</sub>DAO molecules by added HCl. The major cyclodimer product was found to be *anti*-HH in the pH range 1.6–5.5, but the *syn*-HH dimer increased with a lowering of the pH.

Table 3 Effect of pH on chemical yields for photocyclodimerization of 1a in C<sub>16</sub>DAO aggregates<sup>a</sup>

	Conversion (%)	Yields of pro	oducts (%)			
pH <sup>b</sup>		Dimers	(syn-HT: syn-HH: anti-HH) <sup>c</sup>	cis	anti-HH/syn-HH	
1.6	72	32	(4:46:50)	68	1.09	
2.6	79	51	(-:42:58)	49	1.38	
3.8	83	58	(8:24:58)	42	2.42	
5.0	73	27	(-:29:71)	73	2.45	
5.5	80	5	(—:—:—)	95	_	

<sup>*a*</sup> Irradiations were carried out with a Pyrex-filtered 300 W Hg lamp for 7 h for solutions of a concentration of  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> 1a and C<sub>16</sub>DAO (1:1). <sup>*b*</sup> Control of the pH was performed by adding  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> HCl or NaOH. <sup>*c*</sup> Figures in parentheses refer to the isomer distributions of the dimers.

Table 4Aggregated structures of aqueous solutions of 1a and  $C_{16}$  DAO in the absence or presence of coadsorbing carboxylic acids 2–4<sup>a</sup>

	[Coadsorbates]:[	<b>1a</b> ] <sup>b</sup>				
Coadsorbat	es [C <sub>16</sub> DAO]	$M^{c}$ /g mol <sup>-1</sup>	R <sub>G</sub> <sup>c</sup> /nm	<i>R</i> <sub>H</sub> <sup><i>c</i></sup> /nm	Aggregated structures	
None	: 0.8 <sup>d</sup>	$2.42 \times 10^{7}$	196	396	vesicles	
	-:0.2	$1.31 \times 10^{5}$			rod-like micelles	
2	0.2:0.8	$>1.0 \times 10^{7}$		150	vesicles	
	0.8:0.2	$>1.0 \times 10^{7}$		206	vesicles	
3	0.2:0.8	$>1.0 \times 10^{7}$		160	vesicles	
	0.8:0.2	$>1.0 \times 10^{7}$		158	vesicles	
4	0.2:08	$>1.0 \times 10^{7}$		180	vesicles	
	0.8:0.2	$1.51 \times 10^{5}$	71.6	_	rod-like micelles	

<sup>*a*</sup> Light scattering measurements were carried out for solutions with a total concentration of  $2 \times 10^{-3}$  g cm<sup>-3</sup> at 25 °C. The coadsorbates are phenylpropionic **2**, palmitic **3** and acetic acids **4**. <sup>*b*</sup> Concentrations of  $C_{16}$ DAO were equal to the sum of [**1a**] and [additive]; [**1a**] =  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> (constant). <sup>*c*</sup> The molecular weight *M* and radius of gyration  $R_G$  were obtained from static light scattering measurements. The hydrodynamic radius  $R_G$  was obtained from dynamic light scattering measurements. <sup>*d*</sup> Cited from ref. 8*d*.

coincidence with the change in the aggregated structures from vesicles to micro emulsions, the major product from the vesicles being the *anti*-HH dimer.

# Effects of the coadsorption of photoinactive carboxylates on the photocyclodimerization

Olefins 1 incorporated in the surfactant aggregates are subject to the above-mentioned [2 + 2] photocyclodimerization with high efficiencies and high regioselectivities (i.e. the formation of HH dimers). These results imply that the olefin molecules are aligned in a parallel orientation in the aggregate interior. In order to elucidate the molecular packing of the interior in more detail, the effect of the addition of photoinactive carboxylates was studied. Table 4 indicates that the aggregated structures are all vesicles when the remaining vacant sites of  $\mathrm{C}_{\mathrm{16}}\mathrm{DAO}$  are involved in a complex with phenylpropionic 2 or palmitic acid 3. On the other hand, acetic acid 4 had no effect on the aggregated structures of 1a and  $C_{16}DAO$ . Water soluble 4 was definitely seen to be dissolved in the aqueous bulk solution and seems not to form any ion-pairs with C<sub>16</sub>DAO. Therefore, the mixture of 1a, C<sub>16</sub>DAO and 4 experiences circumstances similar to the case without the additive, resulting in no noticeable influence on the aggregated structures. In contrast, the addition of higher carboxylic acid, 2 or 3, changed the rod-like micelles to vesicles. This fact reflects the adequate hydrophobicity of the acid to form vesicles of 1:1 ion-pairs with  $C_{16}$ DAO.

In order to investigate the effect of vesicle formation on the photodimerization, UV irradiations were carried out for the systems with additives 2 and 3. Fig. 1 shows the interesting effect of added carboxylates, 2 and 3, on the yields and isomer selectivities of the cyclodimers. In the absence of additives, the yield of the photocyclodimers dropped sharply at a 0.2:1 ratio of 1a and  $C_{16}DAO$  due to the change in the aggregates from vesicles to rod-like micelles. It is interesting to note that the addition of photoinactive carboxylates resulted in a remarkable effect on the photodimerization, *i.e.* a significant increase in cyclodimer yields and selectivity of *syn*-HH.

A clear contrast in behaviour between additives **2** and **3** can, however, be observed for the photochemical dimerization of **1a**.



Fig. 1 The effect of additives 2 ( $\Box$ ) and 3 (×), ( $\bullet$ , no additive), on the photodimerization for aggregates of 1a and C<sub>16</sub>DAO upon irradiation for 10 h; (*a*) yields and (*b*) selectivities. Concentrations of C<sub>16</sub>DAO were equal to the sum of [1a] and [additives]; [1a] =  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> (constant).



Fig. 2 Packing models for the formation of (a) syn-HH and (b) anti-HH dimers

Thus, the dilution effect of 1a with carboxylic acids on the yields of the cyclodimers was significant for the case of 2 while only a slight effect could be observed for 3. The former case is understandable since the molecular structure of 2 is similar to that of **1a** and they may be mixed homogeneously with each other in vesicles. The case of 3 is different in that the flexible structure of aliphatic acid 3 is different from that of cinnamic acid 1a. Moreover, it is important to note that 3 is a surfactant in itself and ion-pairs of C<sub>16</sub>DAO and 3 should act as doublechain surfactants to form stable vesicles.<sup>11</sup> Hence, it is probable that the almost total lack of any dilution effect in the case of 3 is due to the formation of nonhomogeneous molecular aggregates of 1a and 3. In other words, molecules 1a and 3 are located at different sites within the vesicles, leading to a slight dilution effect of 3 on the photodimerization.

The selectivities of syn-HH are larger in the vesicle system reflecting its more rigid aggregated structure than that in the rod-like micelles. McNeil and Thomas<sup>12</sup> have reported that the head groups of didodecyldimethylammonium bromide vesicles are in closer proximity than those of hexadecylammonium bromide micelles, leading to the exclusion of water from the head group region. Likewise, molecules 1a in the vesicles are more tightly aligned than those in the rod-like micelles. The formation of the syn-HH dimer requires closer packing of the 1a molecules, as pictured in Fig. 2. We have previously reported that reversed micelles formed from 1a and laurylamine in carbon tetrachloride gave a syn-HH dimer upon UV irradiation, but the addition of small amounts of methyl alcohol caused the major product to change dramatically to anti-HH, reflecting the looseness of the ion-pairs between 1a and laurylamine.<sup>1d</sup> The same picture is applicable to the present case of product change which depends on the aggregate forms from vesicles to rod-like micelles.

The ratios of anti- and syn-HH were different between additives 2 and 3, although both of them formed vesicles. The lower ratios for additive 3 seems to indicate that the 3-C<sub>16</sub>DAO complex forms a tighter aggregate than that of  $2-C_{16}DAO$ . This is because the ion-pairs of 3 and C<sub>16</sub>DAO behave as doublechained surfactants to form stable vesicles, as mentioned above. On the other hand, the slightly higher ratio of anti- and syn-HH dimers indicates that the aggregates of 1a-2-C<sub>16</sub>DAO are less tightly bound to each other. It can thus be seen that 1a molecules in 1a-3-C<sub>16</sub>DAO vesicles are more tightly bound to a neighbouring 1 favourably to form the syn-HH dimer. In conclusion, the present results show that photodimerizations of olefin molecules are controlled not only by their aggregated structures, micelles and vesicles, but also by the relative miscibility properties of the various components.

## Experimental

### General

Irradiations were carried out by means of a Eikosha PIH-300 medium mercury arc lamp (300 W) through a Pyrex vessel. HPLC was recorded on a Shimadzu LC-6A chromatograph with a CAPCELL PAK C18 UG120 S-3µm, Shiseido Co., Ltd. IR spectra were recorded on a Jasco FT/IR-300E. Static and dynamic light scatterings were recorded on an Otsuka Electronics DLS-700 dynamic light scattering spectrophotometer.

# Materials

Unsubstituted and p-methoxycinnamic acids 1a and 1b were purchased from Tokyo Kasei Co., Ltd. and were recrystallized twice from benzene. C12DAO was purchased from Fluka Chemie AG and was used without further purification.C<sub>14</sub>DAO and C16DAO were synthesized in accordance with the literature.13 Acids 2-4 were purchased from Nacalai Tesque, Inc. and were used without further purification. The photodimers of 1, syn-HH, anti-HH and syn-HT were synthesized in accordance with the literature.46,14

# Photoirradiation of 1 in aqueous C<sub>n</sub>DAO solution

10 cm<sup>3</sup> aqueous solutions of 1 and  $C_n DAO$  were prepared by sonication at 50-60 °C in an ultrasonic bath (BRANSON 5210) for several hours. The concentration of 1 was held constant at  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> and the ratios of 1 to C<sub>n</sub>DAO were varied from 0.2 to 1. For the study on the pH effect, adjustments of the pH of the solutions were performed by adding  $5.0 \times 10^{-3}$  mol dm<sup>-3</sup> HCl or NaOH. The effect of coadsorbed carboxylates were investigated by adding photoinactive carboxylic acids to form ion complexes with the remaining C<sub>16</sub>DAO; e.g., when the ratio of 1a to C<sub>16</sub>DAO was 0.2, the ratio of coadsorbed carboxylates to C<sub>16</sub>DAO was 0.8. The solutions obtained were placed in Pyrex tubes and purged by argon gas for 15 min and were then irradiated with a 300 W medium-pressure Hg lamp at ambient temperature. The irradiated solutions were analysed by HPLC and detected at  $\lambda$  225 nm using an eluent with the following ratio: a phosphoric acid solution  $(3.0 \times 10^{-2} \text{ mol})$  $dm^{-3}$ ): methyl alcohol = 55: 50 (pH = 3.5) at a flow rate of 0.5 cm<sup>3</sup> min<sup>-1</sup>; retention times (min), **1a**, 15; *cis*-isomer of **1a**, 10; syn-HH, 45; anti-HH, 65; syn-HT, 16.5.

# Light scattering measurements and VEM observations

The aggregated structures of 1 and C<sub>n</sub>DAO in aqueous solution were analysed by static and dynamic light scatterings reading at a total concentration of  $2 \times 10^{-3}$  g cm<sup>-3</sup>. A light of 488 nm wavelength from an argon ion laser was used, and the scattering angles were changed from 30° to 150°. The cell housing was filled with di-n-butyl phthalate and kept at 25 °C. Solvent and solutions were filtered through a membrane filter (Advantec; pore size, 0.45 µm). Measurement of specific refractive index increment was carried out at 25 °C on an Otsuka Densi Differential Refractometer RM-102 with an iodine lamp at 488 nm using a Brice-type cell. The apparatus was calibrated by aqueous solutions of sucrose. VEM observations were carried out on a Nicon NTF-2 transmission differential interference contrast optical microscope attached to a video camera and cabled to a real-time digital image processing system. Details of the measurements and analyses have been described previously in the literature.66,8a,a

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