

# Carboxy(vinyl)ketene intermediates in the thermolysis of methylthio- and methoxy-substituted Meldrum's acid derivatives

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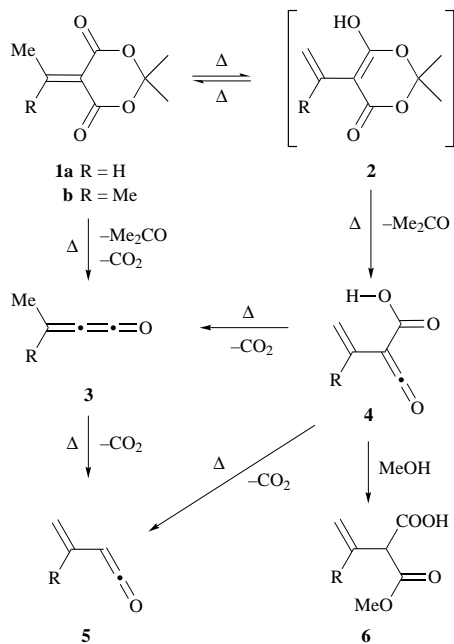
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Methylthio- and methoxy-substituted carboxy(vinyl)ketenes **10** and **16a** have been identified by Ar matrix isolation FTIR spectroscopy following flash vacuum thermolysis (FVT) of Meldrum's acid derivatives **7** and **13a**. Methylthio(methyl)methyleneketene **9** and alkoxy(methyl)methyleneketene **15** are formed concurrently at high FVT temperatures. The alkoxy(methyl)methyleneketenes **15** do not isomerise to alkoxy(vinyl)ketenes **18**, which have been generated and identified in other reactions. Ethoxy(methyl)methyleneketene **15b** readily eliminates ethene in a retro-ene type reaction to produce acetylketene **22**. Ketenes **15** react with alcohols and amines to produce 3-alkoxybutenoic acid derivatives **21**.

## Introduction

In previous work it was demonstrated that flash vacuum thermolysis (FVT) of 5-alkylidene-Meldrum's acid derivatives (2,2-dimethyl-1,3-dioxane-4,6-diones, **1**) involved the formation of carboxyketene intermediates (**4**) which subsequently decarboxylated to methyleneketenes (propadienones, **3**) and/or vinylketenes (**5**), and the alkylmethyleneketenes themselves isomerised to vinylketenes at elevated temperatures<sup>1-3</sup> (Scheme 1).



Scheme 1

Carboxy(imino)ketenes [RN=CH-C(COOH)=C=O] were also detected by both IR and photoelectron (PE) spectroscopy in the FVT of 5-alkylaminomethylene-Meldrum's acid derivatives, prior to formation of alkylaminomethyleneketenes (RNH-CH=C=C=O) and iminoketenes (RN=CH-CH=C=O).<sup>4</sup> Whereas alkyl- and aryl-methyleneketenes are reactive intermediates, only detectable at low temperatures or in the gas phase, electron donating substituents strongly stabilise these compounds, so that amino-, alkylthio- and alkoxy-methyleneketenes are stable at or near room temperature.<sup>5,6</sup> Using PE spectroscopic moni-

toring of the formation of ketenes on FVT of the methylthio- and methoxy(methyl)methylene-Meldrum's acid derivatives **7** and **13a**, a carboxyketene intermediate could not be observed in the case of **7**, and was only tentatively identified in the case of **13a**.<sup>7,8</sup> By analogy with the known chemistry of alkylmethyleneketenes (Scheme 1), it was further suggested that methoxy(methyl)methyleneketene (**15a**) underwent isomerisation to (1-methoxy)vinylketene (**18a**) at elevated temperatures, giving rise to weak bands in the PE spectrum at 923 K.<sup>7</sup> However, PE spectroscopy alone does not permit a definitive identification of transients in such a complex system.

We now wish to report direct evidence for the formation of methoxyvinyl- and methylthiovinyl-(carboxy)ketenes (**16a** and **10**) upon FVT of the appropriate Meldrum's acid derivatives (**7** and **13**) as well as the absence of rearrangement of alkoxy-methyleneketenes (**15**) to vinylketenes **18**.

## Results and discussion

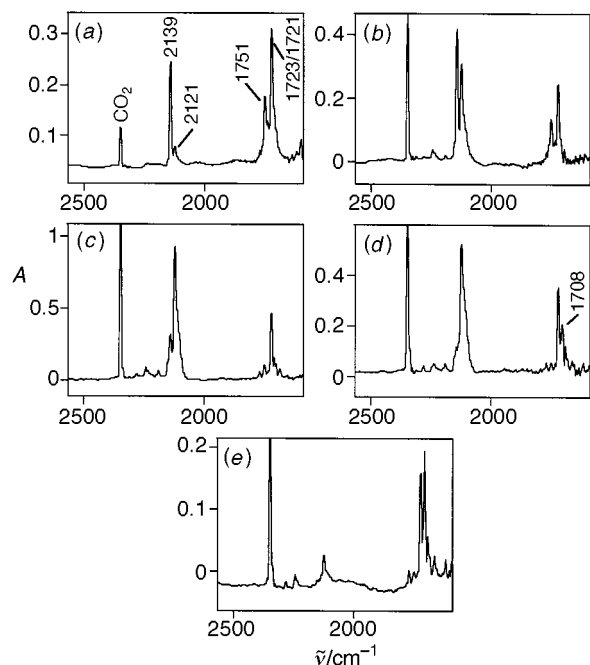
### FVT of 2,2-dimethyl-5-[1-(methylthio)ethylidene]-1,3-dioxane-4,6-dione (**7**)

The Meldrum's acid derivative **7** was synthesized according to the literature<sup>9</sup> and subjected to FVT at temperatures from 350 to 640 °C with Ar matrix isolation of the products at 12 K. At 380 °C, although the starting material remained mostly undecomposed, two bands were observed in the ketene region at 2139 and 2121 cm<sup>-1</sup> [Fig. 1(a)]. These bands are assigned to the carboxyketene **10** and methyleneketene **9** respectively (Scheme 2) as described below.

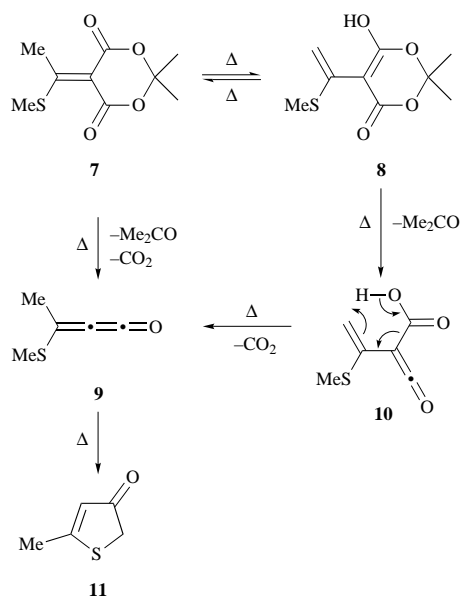
At 460 °C, most of **7** had disappeared, and the ratio between the ketene peaks was roughly 1:1 [Fig. 1(b)]. Absorptions due to acetone were also observed at 1721 and 1364 cm<sup>-1</sup> in the IR spectrum. From 460 to 510 °C, the ketene absorption at 2139 cm<sup>-1</sup> (**10**) decreased while the band at 2121 cm<sup>-1</sup> (**9**) first increased, then decreased [Fig. 1(c)-(e)]. The bands due to CO<sub>2</sub> and acetone increased concomitantly with those of **9**. The absorptions due to the starting material (**7**) disappeared completely above 500 °C. Above 600 °C, the band at 2139 cm<sup>-1</sup> vanished, the CO<sub>2</sub> absorptions became the most intense, and a new band started to appear in the carbonyl region at 1708 cm<sup>-1</sup> [Fig. 1(d)]. This new peak is due to the formation of the thiophenone derivative **11** as verified by isolation and independent deposition (*vide infra*).

Similar FVT carried out with trapping of the intermediates as a neat film (77 K) was also investigated. The results confirmed the earlier ones. Indeed, at 400 °C, two bands at 2126 and 2093 cm<sup>-1</sup> assigned to **10** (major) and **9**, respectively, were observed. At higher temperatures, the absorption at 2126 cm<sup>-1</sup>

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**Fig. 1** Partial FTIR spectra (Ar, 12 K) of the results of FVT of **7** at (a) 380, (b) 460, (c) 510, (d) 550 and (e) 640 °C. Peak assignments: 2139 and 1751  $\text{cm}^{-1}$ , **10**; 2121  $\text{cm}^{-1}$ , **9**; 1723  $\text{cm}^{-1}$ , **7**; 1708  $\text{cm}^{-1}$ , **11**; 1721  $\text{cm}^{-1}$ , acetone.



**Scheme 2**

decreased whereas the one at 2093 grew. Above 500 °C a new band at 1686  $\text{cm}^{-1}$  due to **11** was observed. The shift to lower wavenumbers in the neat spectra compared with the Ar matrix values is a common observation.

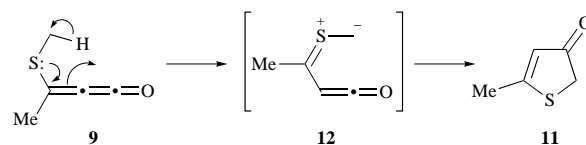
The assignment of the different ketenes is based on the critical analysis of the Ar matrix isolation spectra. The  $\text{CO}_2$  absorption at 2340  $\text{cm}^{-1}$  is so weak in Fig. 1(a) that the band at 2139  $\text{cm}^{-1}$  must be due to a species that has not yet eliminated  $\text{CO}_2$ . Indeed, the  $\text{CO}_2$  produced from the thermal decomposition of Meldrum's acid derivatives usually absorbs strongly in the IR spectrum (*cf.* the methoxy and ethoxy cases below). Thus, the ketene band at 2139  $\text{cm}^{-1}$  must belong to the carboxyketene **10**. Furthermore, other bands at 3554, 3504 and 1751  $\text{cm}^{-1}$  are associated with the one at 2139  $\text{cm}^{-1}$  (and on FVT above 460 °C they all disappeared at the same rate). These peaks are characteristic of carboxylic acid monomers<sup>10</sup> [ $\nu(\text{OH})$  and  $\nu(\text{C}=\text{O})$ , respectively]. At higher FVT temperatures, the band at

2121  $\text{cm}^{-1}$  increased in intensity, together with those of  $\text{CO}_2$  and acetone. This is easily understood in terms of formation of the methyleneketene **9**, obtained by the loss of  $\text{CO}_2$  and acetone from **7**. Furthermore, the 2093  $\text{cm}^{-1}$  value observed for **9** when isolated neat at 77 K is characteristic of methyleneketenes.<sup>2,6,11</sup>

The thiophenone **11** was isolated in 80% yield and fully characterised following preparative thermolysis of **7** at 600 °C and purification by column chromatography. This material was previously isolated from the FVT of **7** by Chuche<sup>6</sup> and by McNab and co-workers<sup>12</sup> (75% yield). The neat FTIR spectrum (77 K) of the characterised thiophenone **11** was compared directly with the one resulting from thermolysis of **7** at 600 °C. Identical spectra were observed, thus allowing the assignment of the 1686  $\text{cm}^{-1}$  band (neat, 77 K; 1708  $\text{cm}^{-1}$  in Ar matrix) to **11**.

The formation of carboxyketene **10** can be understood in terms of electrocyclic loss of acetone from the enol tautomer **8** of **7** (Scheme 2). The alternative fragmentation of **7** itself leads to methyleneketene **9**, acetone and  $\text{CO}_2$ . Another likely route to **9** involves a retro-ene type decarboxylation of **10**, also via a cyclic six-electron transition state (Scheme 2).<sup>1,2</sup>

The formation of the thiophenone **11** has been described by Chuche<sup>6</sup> and McNab and co-workers<sup>12</sup> in terms of a sulfur ylide type intermediate **12** (Scheme 3). Although no direct evi-



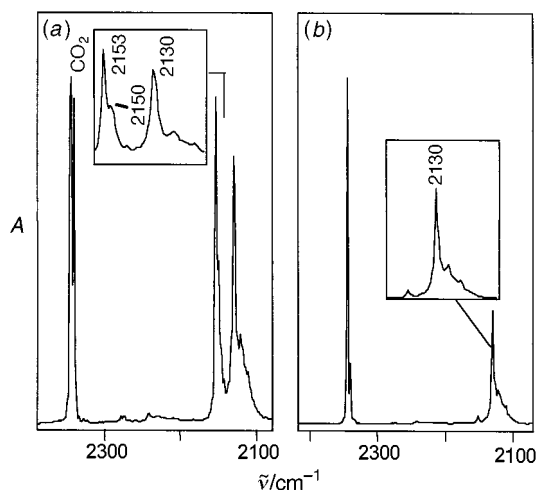
**Scheme 3**

dence for such an intermediate has been adduced, **9** seems to be a reasonable precursor to thiophenone **11**: the signals due to thiophenone **11** kept increasing in intensity above 600 °C, whereas both **7** and **10** had already disappeared at *ca.* 500 °C. Thus **9** appears to be the only species remaining that can cyclise to **11**.

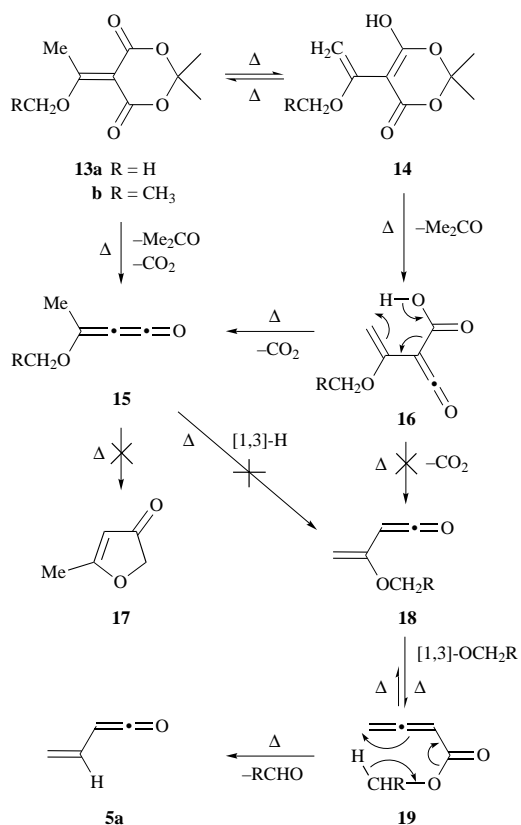
#### FVT of alkoxy derivatives (**13a, b**)

The FVT/Ar matrix isolation of the methoxy analogue **13a** (Scheme 4) was studied from 300 to 900 °C using FTIR spectroscopy. At 350 °C, most of **13a** remained unchanged, and the IR spectrum showed two peaks in the ketene region at 2153 and 2150  $\text{cm}^{-1}$  due to the carboxyketene **16a** [Fig. 2(a)]. The same rate of decrease (when the temperature increased) for the bands at 2153 and 2150  $\text{cm}^{-1}$  indicates that these bands belong to the same species (two conformers or sites). A third band at 2130  $\text{cm}^{-1}$  is assigned to the methyleneketene **15a** (which always appears at a lower wavenumber, *vide supra*). At higher temperatures, the intensities of the bands ascribed to the carboxyketene **16a** decreased unlike the band at 2130  $\text{cm}^{-1}$  [Fig. 2(b)]. At a FVT temperature of 500 °C the methyleneketene is the only species observed, **16a** and **13a** having completely disappeared [Figs. 2(b), 3].<sup>13</sup> Similar experiments with neat isolation of the intermediates at 77 K gave bands at 2140 and 2106  $\text{cm}^{-1}$  due to **16a** and **15a**, respectively.

As in the previous case, the assignment to the different intermediates is based on the analysis of the matrix isolation spectra. The band at 2153 and 2150  $\text{cm}^{-1}$  is due to the only species (carboxyketene **16a**) which does not involve  $\text{CO}_2$  formation while the band at 2130  $\text{cm}^{-1}$  corresponds to the methyleneketene **15a** for which  $\text{CO}_2$  is eliminated during the thermal process. While it was quite clear in the case of the methylthio derivative **10**, it is less obvious here because the formation of **15a** commences at 350 °C [Fig. 2(a)]. Nevertheless, bands at 3577, 3498 and 1769  $\text{cm}^{-1}$  typical of the carboxylic acid moiety disappeared at the same rate as those at 2153 and 2150  $\text{cm}^{-1}$  when the temperature increased, thus supporting the assignment of these bands to carboxyketene.



**Fig. 2** Partial FTIR spectra (Ar, 12 K) of the results of FVT of **13** at (a) 350 and (b) 460 °C. Peak assignments: 2153, 2150 cm<sup>-1</sup>, **16a**; 2130 cm<sup>-1</sup>, **15a**. The spectra shown in (a) and (b) are on different absorbance scales; spectrum (b) is strongly attenuated in order to show the highly increased CO<sub>2</sub> peak.



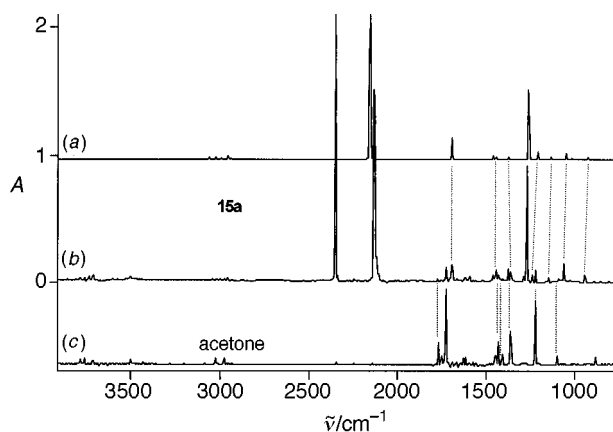
**Scheme 4**

Further proof for the identity of **15a** is given by its calculated IR spectrum (B3LYP/6-31G\*)<sup>14</sup> which is compared with the experimental one in Fig. 3 and Table 1. An excellent agreement is observed, demonstrating that the sample contained only **15a** and acetone. Additional evidence was obtained by trapping of **15a** with ethanol or dimethylamine in preparative experiments. In both cases, only one major compound was isolable by chromatography. NMR analysis demonstrated the formation of ethyl (*E*)-3-methoxybut-2-enoate (**21a**) with ethanol or *N,N*-dimethyl-(*E*)-3-methoxybut-2-enamide (**21c**) with dimethylamine (Scheme 5). The NMR data for **21a** are in excellent agreement with those reported in the literature.<sup>15</sup> For **21c** the NMR spectrum is also in good agreement with data for known ester analogues.<sup>15</sup> To confirm the stereochemistry of the trapping products, NOE experiments were carried out on **21c**. As

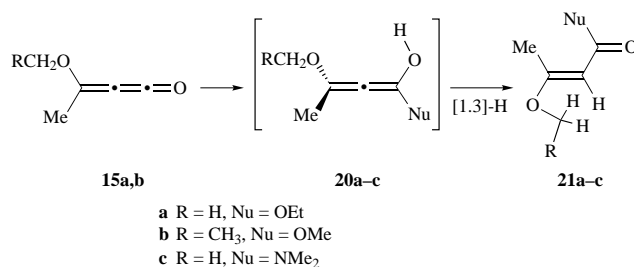
**Table 1** Calculated and experimental IR data for methoxy(methyl)-methyleneketene **15a**

| Calculated <sup>a</sup> |  | Experimental <sup>b</sup> |                  |
|-------------------------|--|---------------------------|------------------|
| $\nu/\text{cm}^{-1}$    | Int <sup>c</sup> /km mol <sup>-1</sup> | $\nu/\text{cm}^{-1}$      | Int <sup>d</sup> |
| 925                     | 16                                     | 942                       | w                |
| 1021                    | 7                                      |                           |                  |
| 1050                    | 46                                     | 1060                      | m                |
| 1134                    | 22                                     | 1148                      | w                |
| 1136                    | 1                                      |                           |                  |
| 1202                    | 52                                     | 1241                      | w                |
| 1261                    | 476                                    | 1270                      | s                |
| 1372                    | 21                                     | 1372                      | w                |
| 1436                    | 17                                     | 1433                      | w                |
| 1444                    | 5                                      |                           |                  |
| 1448                    | 4                                      |                           |                  |
| 1450                    | 9                                      | 1442                      | w                |
| 1461                    | 25                                     | 1457                      | w                |
| 1492                    | 147                                    | 1689                      | s                |
| 2154                    | 1462                                   | 2130                      | vs               |
| 2938                    | 8                                      |                           |                  |
| 2951                    | 26                                     | 2953                      | w                |
| 2991                    | 8                                      |                           |                  |
| 3023                    | 18                                     | 2996                      | w                |
| 3055                    | 19                                     | 3042                      | w                |
| 3057                    | 8                                      |                           |                  |

<sup>a</sup> B3LYP/6-31\*, scaled by 0.9613. <sup>b</sup> **15a** generated by FVT of **13a** at 510 °C with matrix isolation in Ar at 12 K. <sup>c</sup> Intensity. <sup>d</sup> Relative intensity: w = weak, s = strong, vs = very strong.



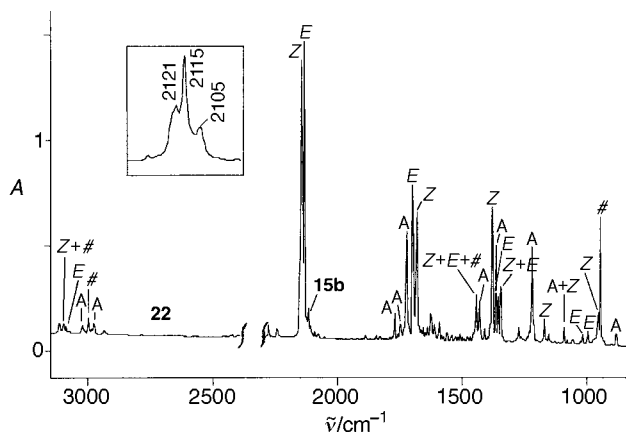
**Fig. 3** IR spectra of **15a**. (a) Calculated IR spectrum of **15a** at the B3LYP/6-31G\* level, (b) Ar matrix IR spectrum (12 K) obtained after FVT of **13a** at 510 °C, (c) Ar matrix spectrum of acetone at 12 K.



**Scheme 5**

expected, the results indicated a spatial interaction between the OCH<sub>3</sub> group and the vinyl hydrogen.

The presence of **21a** and **21c** can be understood in terms of formation of the allene-1,1-diol derivatives **20a** or **20c** (nucleophilic addition to ketenes often involves enol formation<sup>16</sup>) (Scheme 5). The latter undergoes a [1,3]-H shift (inter- or intra-molecular) toward the central carbon atom of the allene to give **21a** or **21c**. The stereospecificity of the reaction would be due to a greater thermodynamic stability of the *E* isomer compared to the *Z* one. Indeed, since the methyl and



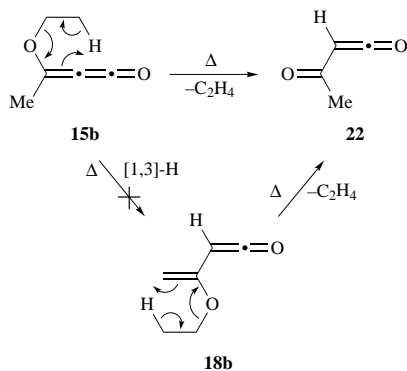
**Fig. 4** FTIR spectrum (Ar, 12 K) of *s-cis*- and *s-trans*-acetylketenes (**22**) obtained by FVT of **13b** at 750 °C. Z: *s-cis*-**22**; E: *s-trans*-**22**; A: acetone; #: ethane. Inset: Partial FTIR spectrum (Ar, 12 K) of the results of FVT of **13b** at 250 °C. The bands at 2121, 2115 and 2105  $\text{cm}^{-1}$  are due to ethoxy(methyl)methyleneketene **15b**.

methoxy groups are perpendicular to the hydroxy moiety in the allenes **20a** and **20c**, either *E* or *Z* isomers could be obtained. As the *E* isomers have a lower enthalpy of formation, they are preferentially formed.

It has been postulated in the photoelectron spectroscopy work<sup>7</sup> that in addition to **15a** and **16a**, another species, the methoxyvinylketene (**18a**, Scheme 4), was also formed.<sup>6</sup> Our results demonstrate that such a compound is actually not formed. In fact, in a previous paper<sup>17a</sup> we have independently generated and characterised (1-methoxyvinyl)ketene **18a** by Ar matrix isolation. The characteristic bands are at 2142/2138 and 2134  $\text{cm}^{-1}$ . None of these bands were observed on FVT/matrix isolation of **13a**. Furthermore, we have proven that **18a** rearranges to methyl buta-2,3-dienoate (**19a**) via a [1,3]-MeO shift, above 650 °C<sup>17</sup> (Scheme 4). No trace of such an allene was detected using **13a** as the starting material. Finally, no bands belonging to the unsubstituted vinylketene (**5a**) and formaldehyde, resulting from the retro-ene reaction<sup>17a,18</sup> of **19a** taking place at temperatures above 800 °C (Scheme 4), were observed.

The formation of the carboxyketene **16a** is again explained in terms of fragmentation of the enol form **14a** of the Meldrum's acid **13a** (Scheme 4).

Further FVT/matrix isolation was carried out on the ethyl derivative **13b** between 200 and 750 °C. At 250 °C, three bands were observed in the ketene region at 2121, 2115 and 2105  $\text{cm}^{-1}$  (inset in Fig. 4). They are all assigned to conformers and/or sites of ethoxy(methyl)methyleneketene **15b**. From 250 to 600 °C, these peaks increased in intensity together with the  $\text{CO}_2$  absorption. Above 600 °C, two new peaks in the ketene region at 2143 and 2133  $\text{cm}^{-1}$  grew in intensity concomitantly with the disappearance of the ethoxy(methyl)methyleneketene bands. They are due to *s-trans*- and *s-cis*-acetylketenes (**22**) respectively<sup>19</sup> (Scheme 6). The characteristic ethylene absorption at



**Scheme 6**

**Table 2** Calculated and experimental IR data for ethoxy(methyl)methyleneketene **15b**

| Calculated <sup>a</sup> |  | Experimental <sup>b</sup> |                  |
|-------------------------|--|---------------------------|------------------|
| $\nu/\text{cm}^{-1}$    | Int <sup>c</sup> /km mol <sup>-1</sup> | $\nu/\text{cm}^{-1}$      | Int <sup>d</sup> |
| 785                     | 1                                      |                           |                  |
| 861                     | 9                                      |                           |                  |
| 979                     | 6                                      |                           |                  |
| 1020                    | 6                                      |                           |                  |
| 1047                    | 94                                     | 1056                      | m                |
| 1088                    | 4                                      |                           |                  |
| 1144                    | 4                                      |                           |                  |
| 1183                    | 82                                     | 1199                      | m                |
| 1252                    | 1                                      |                           |                  |
| 1260                    | 620                                    | 1274                      | s                |
| 1357                    | 0                                      |                           |                  |
| 1373                    | 28                                     | 1374                      | m                |
| 1393                    | 2                                      |                           |                  |
| 1444                    | 7                                      |                           |                  |
| 1447                    | 3                                      |                           |                  |
| 1457                    | 6                                      |                           |                  |
| 1465                    | 5                                      |                           |                  |
| 1483                    | 9                                      |                           |                  |
| 1688                    | 150                                    | 1679                      | s                |
| 2152                    | 1522                                   | 2121, 2115, 2105          | vs               |
| 2937                    | 7                                      |                           |                  |
| 2943                    | 17                                     |                           |                  |
| 2949                    | 10                                     |                           |                  |
| 2987                    | 7                                      |                           |                  |
| 2991                    | 8                                      |                           |                  |
| 3012                    | 21                                     |                           |                  |
| 3019                    | 35                                     |                           |                  |
| 3056                    | 7                                      |                           |                  |

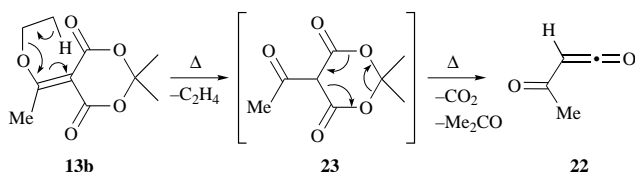
<sup>a</sup> B3LYP/6-31\*, scaled by 0.9613.<sup>14</sup> <sup>b</sup> **15b** generated by FVT of **13b** at 350 °C with matrix isolation in Ar at 12 K. <sup>c</sup> Intensity. <sup>d</sup> Relative intensity (see Table 1).

948  $\text{cm}^{-1}$  was also observed, increasing together with those of **22** and  $\text{CO}_2$ . The starting material had completely vanished at 700 °C. The different assignments are now discussed. Since the ratio between the three peaks at 2121, 2115 and 2105  $\text{cm}^{-1}$  remained unchanged at different FVT temperatures, all of them belong to the same species. Furthermore, when the temperature was raised, these three absorptions as well as the  $\text{CO}_2$  and acetone peaks became more intense. This shows that all the peaks in the ketene region are generated through a process involving  $\text{CO}_2$  and acetone formation and therefore are due to ethoxy(methyl)methyleneketene (**15b**). Direct evidence is given by the calculated B3LYP/6-31G\* IR spectrum, which is compared with the experimental one in Table 2. A good agreement is observed. Chemical proof for **15b** by trapping with methanol has been investigated previously.<sup>17a</sup> By analogy with the methoxy case discussed above, the trapping product obtained was identified as the methyl (*E*)-3-ethoxybut-2-enoate (**21b**)<sup>17a</sup> (cf. Scheme 5). The absence of the carboxyketene **16b** in the matrix IR spectra of the thermolysates of **13b** (whereas it was observed in the methoxy and methylthio derivatives) remains unexplained but is indicative of the fine balance of rate constants required for observation of all the intermediates.

Evidence for the *s-cis*- and *s-trans*-acetylketenes (**22**) was obtained by comparison of the Ar matrix IR values (from the thermolysis of **13b** at 750 °C; Fig. 4) with the ones obtained using different precursors.<sup>20</sup> Our data are in excellent agreement with previous measurements from this laboratory.<sup>20</sup>

The formation of these ketenes (**22**) is explained by the decomposition of the ethoxymethyleneketene (**15b**) (Scheme 6). The FVT/matrix IR spectra show that bands assigned to **22** and ethene increase concomitantly with the decrease of **15b**. Thus, acetylketene is formed in a retro-ene type pericyclic reaction of **15b** (Scheme 6). We have recently observed facile retro-ene reactions in other extended cumulenes (iminopropadienones,  $\text{R-N=C=C=C=O}$ ).<sup>21</sup>

Another, less probable, route would be the thermolysis of the acetyl-Meldrum's acid derivative **23**, formed in a retro-ene type decomposition of **13b** (Scheme 7). No trace of **23** was observed.



Scheme 7

**23** is an isolable compound, whose FVT to **22** we have investigated in other work.<sup>20b</sup>

As in the methoxy case, the ethoxy(vinyl)ketene **18b** was not formed (Scheme 4). Indeed, *s-cis*- and *s-trans*-ethoxy(vinyl)ketene are known to absorb strongly at 2140 and 2136  $\text{cm}^{-1}$ , respectively.<sup>17</sup> Although acetylketene **22** can be formed from **18b** (Scheme 6), this pathway cannot be operative here because at this temperature (600 °C) **18b** should still be observed. Furthermore the peaks assigned to the ethyl allenecarboxylate **19b** resulting from a [1,3]-OEt shift<sup>17</sup> in **18b** (Scheme 4) were also absent. Finally, no trace of the unsubstituted vinylketene (**5a**) which would have formed in a retro-ene reaction<sup>18</sup> of **14** was observed at higher temperatures.

## Conclusions

Carboxy(vinyl)ketenes **10** and **16a** are detectable intermediates in the FVT reactions of the alkylthio- and methoxy-substituted-Meldrum's acid derivatives **7** and **13a**. They are more transient than the corresponding carboxy(alkylvinyl)ketenes of type **4** reported previously,<sup>1-3</sup> easily decarboxylating to methylthio- and alkoxy-methyleneketenes **9** and **15**. The carboxy(ethoxyvinyl)ketene **16b** was not detectable. The corresponding ethoxy(methyl)methyleneketene **15b** undergoes a retro-ene type elimination of ethene to give *s-cis*- and *s-trans*-acetylketene **22**. As previously found,<sup>6,12</sup> the methylthio(methyl)methyleneketene **9** cyclises to a thiophen-3-one (**11**), but the alkoxy analogues **15** do not undergo any such isomerisation to **17**. Contrary to an earlier report,<sup>7</sup> alkoxy(methyl)methyleneketenes **15** do not isomerise to (1-alkoxyvinyl)ketenes **18**. This is in sharp contrast to the alkylmethyleneketenes **3**, where such isomerisation has been established both by direct observation and by chemical trapping.<sup>1-3</sup>

The reason for this and the mechanisms of transformation of carboxy(vinyl)ketenes and of methyleneketenes to vinylketenes are the subject of theoretical investigations to be reported elsewhere.<sup>22</sup> The direct isomerisation of a methyleneketene (e.g. **3**) to a vinylketene (e.g. **5**) constitutes a 1,3-H shift and has, therefore, a very high calculated energy barrier.<sup>22</sup> It is quite likely that such isomerisations are in fact not intramolecular 1,3-H shifts, but instead wall-catalysed reactions. There are many examples of sensitive compounds (especially carrying O-H or N-H functions) isomerising extremely readily under FVT conditions to more stable tautomers in reactions formally involving 1,3-H shifts; in reality, these reactions are more likely bimolecular or wall-catalysed.<sup>23</sup> The observation that alkylthiomethyleneketenes such as **9** cyclise to thiophenones **11** (Scheme 3), whereas the oxy analogues **15** do not cyclise to furanones **17**, is in good agreement with *ab initio* calculations of the activation barriers and energies of the zwitterionic intermediates (e.g. **12**).<sup>22b</sup>

## Experimental

### Computational method

Harmonic vibrational frequencies and IR intensities were calculated at the B3LYP/6-31G\* level. The B3LYP formulation<sup>14</sup> of

density functional theory corresponds to Becke's 3-parameter exchange functional<sup>14a</sup> together with the Lee-Yang-Parr correlation functional.<sup>14b</sup> The directly calculated frequencies were scaled by 0.9613 to account for the average overestimation of vibrational frequencies at this level of theory.<sup>24</sup>

### Apparatus

Preparative FVT was carried out in electrically heated quartz tubes, 40 cm long, 2 cm diameter. Samples were sublimed into the pyrolysis tube using a Büchi sublimation oven. The system was evacuated to *ca.*  $10^{-5}$  mbar and continuously pumped during FVT using a Leybold-Heraeus turbomolecular pump PT 150. The pyrolysate was, when necessary, co-condensed with methanol, ethanol or dimethylamine vapour on the 77 K cold finger, whereby the trapping reagents were introduced between the exit of the pyrolysis oven and the cold finger. Further details of the FVT apparatus have been published.<sup>20,25</sup>

Matrix isolation experiments were carried out using a 10 cm long, 0.8 cm diameter quartz tube in an oven directly attached to the vacuum shroud of a Leybold-Heraeus or Air Products liquid He cryostat.<sup>20,25</sup> Ar was used as matrix medium, which was passed over the sample while it was subliming and co-condensed as a matrix at *ca.* 12 K on a BaF<sub>2</sub> window for IR spectroscopy. Neat isolation at 77 K was carried out in a similar apparatus using a liq. N<sub>2</sub> cryostat.<sup>25</sup>

IR spectra were recorded on Perkin-Elmer 1720X or System 2000 instruments. <sup>1</sup>H and <sup>13</sup>C NMR spectra were recorded on a Bruker AC200 (*J* values are given in Hz), mass spectra (70 eV; direct insertion) on a Kratos MS25RFA, and GC-MS on a Hewlett Packard 5992B instrument.

### Materials

Trimethyl orthoacetate and triethyl orthoacetate (1,1,1-trimethoxy- and 1,1,1-triethoxy-methane, respectively) were obtained from Aldrich.

**2,2-Dimethyl-5-[1-(methylthio)ethylidene]-1,3-dioxane-4,6-dione 7.** **7** was prepared according to the method of Huang and Chen,<sup>9</sup> mp 117–119 °C;  $\delta_{\text{H}}$ (200 MHz, CDCl<sub>3</sub>) 2.88 (s, SCH<sub>3</sub>), 2.52 (s, CH<sub>3</sub>), 1.71 [6 H, s, 2 × (2-Me)];  $\nu_{\text{max}}/\text{cm}^{-1}$  (neat, -196 °C) 3000w, 1727w, 1700s, 1496m, 1440w, 1436w, 1414m, 1393w, 1381w, 1287s, 1272s, 1240m, 1206m, 1192m, 1107w, 1045w, 1019br, 930w, 811w, 799w; (Ar, 12 K) 1750w, 1738w, 1723s, 1520m, 1506m, 1445w, 1420m, 1392m, 1379w, 1324w, 1287s, 1272s, 1238m, 1217w, 1209m, 1196m, 1107br, 1044m, 1022w, 1012br, 999w, 988w, 966br, 936w, 808w, 799w.

**FVT of 7: isolation of 5-methylthiophen-3(2H)-one 11.** 200 mg of **7** ( $9.2 \cdot 10^{-4}$  mol) was sublimed at 95 °C ( $3 \times 10^{-5}$  mbar) and thermolysed at 600 °C to give **11** (84 mg, 80%);  $\delta_{\text{H}}$ (200 MHz, CDCl<sub>3</sub>) 6.03 (1 H, q, *J* 1.1, 4-CH), 3.69 (2 H, q, *J* 0.8, 2-CH<sub>2</sub>), 2.38 (3 H, m, 6-CH<sub>3</sub>);  $\delta_{\text{C}}$ (200 MHz, CDCl<sub>3</sub>) 202.0 (C-3), 180.4 (C-5), 121.7 (C-4), 41.2 (C-2), 19.6 (C-6);  $\nu_{\text{max}}/\text{cm}^{-1}$  (neat, KBr) 2968w, 2924w, 2853w, 1686s, 1653s, 1569s, 1432m, 1378m, 1289br, 1196m, 1171w, 1126w, 1025w, 967w, 874w, 780w; *m/z* (EI) 114 (M<sup>+</sup>, 100%), 86 (45), 85 (41), 71 (42), 67 (38), 53 (20), 46 (64), 45 (50), 39 (48).

**2,2-Dimethyl-5-(1-methoxyethylidene)-1,3-dioxane-4,6-dione 13a.** **13a** was prepared from trimethyl orthoacetate and Meldrum's acid using the method of Polansky and co-workers<sup>26</sup> (yield 33%); mp 94–96 °C;  $\delta_{\text{H}}$ (200 MHz, CDCl<sub>3</sub>) 4.13 (3 H, s, OCH<sub>3</sub>), 2.75 (3 H, s, CH<sub>3</sub>), 1.66 [6 H, s, 2 × (2-CH<sub>3</sub>)];  $\nu_{\text{max}}/\text{cm}^{-1}$  (neat, -196 °C) 2999w, 2957w, 1923w, 1739w, 1702s, 1545m, 1472br, 1414w, 1393w, 1381w, 1333w, 1292m, 1266m, 1239w, 1207m, 1151m, 1069m, 1039br, 1010w, 991w, 931w, 880w, 799w; (Ar, 12 K) 3011br, 2996w, 2957w, 1767w, 1756w, 1725s, 1593w, 1573m, 1554m, 1540m, 1451br, 1420br, 1392m, 1378m, 1329m, 1286s, 1264m, 1240br, 1209m, 1196m, 1188w, 1158br, 1072m, 1051w, 1031w, 1010w, 996w, 935w, 901w, 886w, 880w, 798w.

**Trapping of 15a with ethanol and dimethylamine: formation of ethyl (E)-3-methoxybut-2-enoate 21a and N,N-dimethyl-(E)-3-methoxybut-2-enamide 21c.** 200 mg of **13a** ( $10^{-3}$  mol) was sublimed at 65 °C ( $5 \times 10^{-5}$  mbar) and thermolysed through the oven at 650 °C onto a cold finger coated with ethanol or dimethylamine and cooled at -196 °C. Once the reaction was complete and the cold finger warmed up to room temperature, the oily residues were purified by column chromatography ( $\text{SiO}_2$ ,  $\text{CHCl}_3$ ) to afford **21a** (115 mg, 80%) or **21b** (86 mg, 60%). The spectroscopic data for **21a** are:  $\delta_{\text{H}}$ (200 MHz,  $\text{CDCl}_3$ ) 5.02 (1 H, s, 2-CH), 4.14 (2 H, q, J 7.1, 6-CH<sub>2</sub>), 3.63 (3 H, s, 5-OCH<sub>3</sub>), 2.29 (3 H, s, 4-CH<sub>3</sub>), 1.28 (3 H, t, J 7.1 H, 7-CH<sub>3</sub>);  $\delta_{\text{C}}$ (200 MHz,  $\text{CDCl}_3$ ) 172.9 (C-3), 167.8 (C-1), 90.7 (C-2), 59.2 (C-6), 55.2 (C-5), 18.7 (C-4), 14.3 (C-7);  $\nu_{\text{max}}/\text{cm}^{-1}$  (neat, KBr) 2980m, 1713s, 1627s, 1441m, 1398m, 1278m, 1141s, 1054m, 821m; *m/z* (GC-MS) 144 ( $\text{M}^+$ , 17%), 115 (5), 113 (5), 99 (100), 87 (5), 72 (27), 59 (33), 55 (5), 43 (28), 39 (31). The <sup>1</sup>H and <sup>13</sup>C NMR data are in good agreement with those described in the literature.<sup>15</sup>

The spectroscopic data for **21c** are:  $\delta_{\text{H}}$ (200 MHz,  $\text{CDCl}_3$ ) 5.18 (1 H, s, 2-CH), 3.61 (3 H, s, 5-OCH<sub>3</sub>), 3.01 [6 H, br s, 2 × (6-NCH<sub>3</sub>)], 2.20 (3 H, s, 4-CH<sub>3</sub>);  $\delta_{\text{C}}$ (200 MHz,  $\text{CDCl}_3$ ) 168.3 (C-1 or C-3), 168.1 (C-3 or C-1), 91.1 (C-2), 54.7 (C-5), 37.7 (C-6), 35.2 (C-6), 18.5 (C-4);  $\nu_{\text{max}}/\text{cm}^{-1}$  (neat, KBr) 2900m, 1650s, 1600s, 1250s, 1095s, 880m; *m/z* (EI) 143 ( $\text{M}^+$ , 21%), 123 (3), 112 (3), 99 (100), 85 (5), 77 (5), 69 (8), 59 (11), 55 (9), 43 (26), 41 (12).

#### 2,2-Dimethyl-5-(1-ethoxyethylidene)-1,3-dioxane-4,6-dione

**13b.** The synthesis of **13b** was described previously;<sup>17a</sup> mp 86–88 °C  $\nu_{\text{max}}/\text{cm}^{-1}$  (neat, -196 °C) 2996w, 2945w, 1735w, 1701s, 1540m, 1420m, 1381m, 1332w, 1293m, 1266m, 1238m, 1206m, 1150m, 1116w, 1062m, 1037w, 1013w, 969w, 929w, 896w, 799w; (Ar, 12 K) 3012br, 1769w, 1763w, 1730s, 1573m, 1537br, 1473w, 1423w, 1391w, 1380m, 1377m, 1362w, 1329m, 1290s, 1282m, 1262m, 1240br, 1217m, 1209m, 1159m, 1123w, 1096w, 1065s, 1045w, 1030w, 1012w, 998w, 971m, 929w, 860w, 797w.

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