## On the Vanishing of the Iwasawa Invariant $\mu_{\nu}$ for p < 8000

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Abstract. The irregular primes less than 8000 are computed, and it is shown that the Iwasawa invariant  $\mu_p = 0$  for all primes p < 8000.

1. Introduction. Let p=2m+1 be an odd prime number, and let  $F_n$   $(n \ge 0)$  be the cyclotomic field of  $p^{n+1}$ th roots of unity over the rational field Q. Let  $p^{e(n)}$  be the exact power of p which divides the class number  $h_n$  of  $F_n$ . Iwasawa [4] has shown that there exist integers  $\mu_p \ge 0$ ,  $\lambda_p \ge 0$  and  $\nu_p$  such that

$$e(n) = \mu_{n}p^{n} + \lambda_{n}n + \nu_{n}$$

for all *n* sufficiently large. Iwasawa and Sims [7] have computed the cyclotomic invariants  $\mu_p$ ,  $\lambda_p$ , and  $\nu_p$  for all primes  $p \le 4001$ . In particular, they showed that  $\mu_p = 0$  for every  $p \le 4001$ .

In this paper, we derive some conditions on p which are necessary if  $\mu_p > 0$ . Computations have been performed which show that these conditions are not satisfied for any prime p, p < 8000, so that  $\mu_p = 0$  for all such primes. In particular, the computations of  $\mu_p$  in [7] have been verified, although these appear to have been incomplete, since they were based upon the incomplete tables in the first paper of [8].

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2. Notation. Let  $\mathbb{Z}_p$  denote the ring of p-adic integers. Let U be the group of units of  $\mathbb{Z}_p$  and let V denote the cyclic subgroup of U consisting of the (p-1)st roots of unity.

Any x in  $\mathbb{Z}_p$  has the p-adic representation

$$x = \sum_{k=0}^{\infty} x_k p^k,$$

where the  $x_k$  are rational integers satisfying  $0 \le x_k < p$  for  $k \ge 0$ . In the following, the subscript notation  $x_k$  will always denote the coefficient of  $p^k$  in the *p*-adic expansion of the *p*-adic integer x. If x is given as above, we define the truncated sum  $s_n(x)$  by

$$s_n(x) = \sum_{k=0}^n x_k p^k.$$

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Thus,  $x \equiv s_n(x) \pmod{p^{n+1}}$  and  $0 \le s_n(x) < p^{n+1}$  for all  $n \ge 0$ .

For any rational integer a,  $1 \le a \le p-1$ , we let v(a) denote the unique member of V satisfying  $v(a) \equiv a \pmod{p}$ . In particular, we always have  $v(a)_0 = a$ .

We use the so-called "even-index" notation for the sequence of Bernoulli numbers,  $B_n$ . This notation and the basic results on Bernoulli numbers used here are given in [1]

3. Results of Iwasawa. Iwasawa ([3], [5], and [6]) has proved the following fundamental theorem on the cyclotomic invariant  $\mu_p$ :

THEOREM 1.  $\mu_p > 0$  if and only if there exists an odd index i,  $1 \le i \le p-4$ , such that

(1) 
$$\sum_{v \in V} s_n(uv)v^i \equiv 0 \pmod{p^{n+2}}$$

for all units  $u \in U$  and for all  $n \ge 0$ .

In [3], Iwasawa proves the equivalence of (1) with another set of congruences modulo p, using the relation  $(uv)_n p^n = s_n(uv) - s_{n-1}(uv)$  for  $n \ge 1$ :

THEOREM 2.  $\mu_p > 0$  if and only if there exists an odd index i,  $1 \le i \le p-4$ , such that

$$\sum_{v \in V} (uv)_n v^i \equiv 0 \pmod{p}$$

for all  $u \in U$  and for all  $n \ge 1$ .

By choosing u = 1 in Theorems 1 and 2, we obtain

THEOREM 3. If  $\mu_p > 0$ , then there exists an odd index  $i, 1 \le i \le p - 4$ , such that

(1) 
$$\sum_{i \in V} v_0 v^i \equiv 0 \pmod{p^2}, \quad and$$

(2) 
$$\sum_{v \in V} v_n v_0^i \equiv 0 \pmod{p} \quad \text{for all } n \geq 1.$$

It is known from the general theory of  $\Gamma$ -extensions that  $\mu_p = 0$  for all regular primes p (see [11] for a nice proof). We show next how this follows at once from Theorem 3.

COROLLARY. If  $\mu_p > 0$ , then there exists an odd index  $i, 1 \le i \le p-4$ , such that  $B_{i+1} \equiv 0 \pmod{p}$ . Hence p is an irregular prime.

*Proof.* Part (1) of Theorem 3 implies that

$$\sum_{v \in V} v_0 (v_0 + v_1 p)^i \equiv \sum_{v \in V} v_0^{i+1} + pi \sum_{v \in V} v_1 v_0^i \equiv 0 \pmod{p^2}.$$

But the second term is  $0 \pmod{p^2}$  by part (2) of Theorem 3. Hence

$$B_{i+1}p \equiv \sum_{a=1}^{p-1} a^{i+1} = \sum_{v \in V} v_0^{i+1} \equiv 0 \pmod{p^2},$$

as desired.

4. Additional Conditions for Positive  $\mu_p$ . In this section, we investigate the implications of Theorem 2 for different choices of the units  $u \in U$ , obtaining additional necessary conditions that  $\mu_p$  be positive.

THEOREM 4. If  $\mu_p > 0$ , then there exists an odd index i,  $1 \le i \le p - 4$ , such that

$$(1) B_{i+1} \equiv 0 \pmod{p},$$

*Proof.* We have already seen that (1) is true. If  $n \ge 1$  and  $v \in V$ , we can write

$$v \equiv v_0 + v_1 p + \cdots + v_{n+1} p^{n+1} \pmod{p^{n+2}}.$$

For  $u = 1 + p^n$ , we have

$$uv \equiv v_0 + \cdots + v_{n-1}p^{n-1} + (v_0 + v_n)p^n + (v_1 + v_{n+1})p^{n+1} \pmod{p^{n+2}}.$$

Thus, if  $v_0 + v_n < p$ , we have that  $(uv)_n = v_0 + v_n$  and  $(uv)_{n+1} \equiv v_1 + v_{n+1} \pmod{p}$ . However, if  $v_0 + v_n \ge p$ , then  $(uv)_n = v_0 + v_n - p$  and  $(uv)_{n+1} \equiv v_1 + v_{n+1} + 1 \pmod{p}$ .

By Theorem 2,  $\sum_{v \in V} (uv)_{n+1} v^i \equiv 0 \pmod{p}$ , or

$$\sum_{v \in V} (v_1 + v_{n+1}) v_0^i + \sum_{v \in V: v_0 + v_n \ge p} v_0^i \equiv 0 \pmod{p}.$$

But by Theorem 3, the first sum is 0 (mod p), and therefore, so is the second. Since  $\sum_{v \in V} v_0^i \equiv 0 \pmod{p}$ , we have

$$\sum_{v \in V: v_0 + v_n < p} v_0^i \equiv \sum_{v \in V: v_0 + v_n \ge p} v_0^i \equiv 0 \pmod{p},$$

which is the same as (2).

We remark that for several other choices of the unit u in Theorem 2 (e.g., u = p - 1 and  $u = 1 + 2p^n$ ) we have derived additional congruences which are also necessary conditions for  $\mu_p > 0$ . These are omitted here since they are not required for any of our computations. We have selected the congruences of Theorem 4 since they lead (in the next section) to a sum with relatively few terms, thus providing for the greatest computational efficiency.

5. Main Theorem. For the actual computation of  $\mu_p$  for p < 8000, it was necessary to use Theorem 4 only in the case that n = 1. In this section, we derive some simplifications of Theorem 4 when n = 1.

By expanding the congruence

$$1 = v(a)^{p-1} \equiv (a + v(a)_1 p)^{p-1} \pmod{p^2},$$

we see that  $v(a)_1$  is completely determined by the conditions

(2) 
$$v(a)_1 \equiv (a^p - a)/p \pmod{p}$$
 and  $0 \leq v(a)_1 < p$ .

It is easy to see by (2) that

(3) 
$$v(a)_1 + v(p-a)_1 = p-1, \quad 1 \le a \le p-1.$$

It follows immediately that  $a + v(a)_1 < p$  if and only if  $(p - a) + v(p - a)_1 \ge p$ , so that, letting b = p - a and recalling that i is odd, we obtain

$$\sum_{a=1; a+v(a)_1 < p}^{m} a^i \equiv -\sum_{b=m+1; b+v(b)_1 \ge p}^{p-1} b^i \pmod{p}.$$

By the Mirimanoff congruence [9]

$$2^{i}(i+1)\sum_{a=1}^{m}a^{i}\equiv (1-2^{i+1})B_{i+1} \pmod{p},$$

we see that  $B_{i+1} \equiv 0 \pmod{p}$  implies that

$$\sum_{a=1}^{m} a^{i} \equiv \sum_{a=m+1}^{p-1} a^{i} \equiv 0 \pmod{p}.$$

Combining the above congruences with the results of Theorem 4, we arrive at our main result:

THEOREM 5. If  $\mu_p > 0$ , then there exists an odd index i,  $1 \le i \le p-4$ , such that

$$(1) B_{i+1} \equiv 0 \pmod{p}, \quad and$$

(2) 
$$\sum_{a=1; a+v(a), \ge p}^{m} a^{i} \equiv 0 \pmod{p}.$$

The computations of Theorem 5 were carried out on the PDP-10 computer at Bowdoin College for all primes p < 8000, and the results are included in the Table accompanying this paper. About 60 hours of computing time were required for all the computations, the bulk of it in the search for the irregular primes and for the Bernoulli numbers satisfying (1). For no prime p < 8000 is the conclusion of Theorem 5 satisfied, so that we have

Theorem 6. The Iwasawa invariant  $\mu_p = 0$  for all primes p < 8000.

A more detailed explanation of how the computations of Theorem 5 were carried out is given in the following sections.

6. The Irregular Primes. The first condition of Theorem 5, that involving the Bernoulli numbers, has been of interest since Kummer's fundamental work on Fermat's Last Theorem in the nineteenth century. In a series of papers, Vandiver and others [8] claimed to have found all ordered pairs (p, i + 1) satisfying  $B_{i+1} \equiv 0 \pmod{p}$ , for  $p \leq 4001$ . They then verified that Fermat's Last Theorem is true for all exponents in this range. These pairs were used by Iwasawa and Sims [7] for their computation of the cyclotomic invariants  $\mu_p$ ,  $\lambda_p$ , and  $\nu_p$  for primes  $p \leq 4001$ .

Our approach to finding these pairs was somewhat different from that used in [8]. The Bernoulli numbers satisfy the recursion relation

(4) 
$$\sum_{i=0}^{k} \binom{k+1}{i} B_{i} = 0,$$

with  $B_0 = 1$ . Computing the binomial coefficients (mod p), we can use the above to compute  $B_k \pmod{p}$  recursively. This requires, of course, that we store the  $B_i$ 's as we go along. Since  $B_i = 0$  for j odd,  $j \ge 3$ , there are really only approximately k/2 terms in the sum defining  $B_k$ .

Carlitz posed the following identity for the Bernoulli numbers as a problem in [2]:

(5) 
$$(-1)^m \sum_{r=0}^m \binom{m}{r} B_{n+r} = (-1)^n \sum_{s=0}^n \binom{n}{s} B_{m+s}, \qquad m, n \ge 0.$$

If we let f(m, n) be the left-hand side of this equation, the problem is to show that f(m, n) = f(n, m) for all  $m, n \ge 0$ . This is easily done by induction on m, using the

identity f(m + 1, n) = -f(m, n) - f(m, n + 1).

If we now consider the special case m = n + 1 in (5), we obtain

(6) 
$$\sum_{r=0}^{n} C(n,r)B_{n+r} = 0, \qquad n \geq 1,$$

where C(n, 0) = 1 and

$$C(n,r) = \binom{n+1}{r} + \binom{n}{r-1} \quad \text{for } 1 \le r \le n+1.$$

Equation (6) defines  $B_{2n}$  recursively in terms of  $B_n$ ,  $B_{n+1}$ ,  $\cdots$ ,  $B_{2n-2}$ , a considerable saving in computation time over the recursive relation (4). The coefficients C(n, r) are easily computed modulo p, since they form a Pascal triangle whose first row is 1 2.

Using Eq. (6) modulo p, we found all pairs (p, i + 1) with  $B_{i+1} \equiv 0 \pmod{p}$  for all primes p < 8000, at which time the program was terminated, since each additional prime took an excessively long time to run. Four additions were found to the tables in the first paper of [8], and these are marked with an asterisk in the accompanying Table. These omissions also occur in the table on p. 430–431 of [1], and, presumably, in the table (not completely published) of [7].

In [8], a prime p was first tested for irregularity by means of the congruence

$$2(i+1)\sum_{p/6 < a < p/4} a^i \equiv (4^{p-i-1} + 3^{p-i-1} - 6^{p-i-1} - 1)B_{i+1} \pmod{p}$$

which holds for p > 7. As a check on our computations, we ran another program for the four pairs (p, i + 1) omitted from [8] as well as for those pairs for which 4002 . For each of these pairs, it was found that the sum on the left-hand side of the congruence above is 0 modulo <math>p, while the coefficient of  $B_{i+1}$  is not, so that indeed,  $B_{i+1} \equiv 0 \pmod{p}$ .

Selfridge and Pollack [10] have found all pairs (p, i + 1) with  $B_{i+1} \equiv 0 \pmod{p}$  for primes p < 25,000, and they have verified that Fermat's Last Theorem is true for all exponents less than or equal to 25,000 using the methods of [8]. A complete table of their calculations has not yet been published, but when it appears, we intend to use it to make further computations of the Iwasawa invariant  $\mu_p$ . The validity of Fermat's Last Theorem for exponents less than or equal to 8000 was also verified by us in still another machine computation, using the criteria developed in [8].

7. Computation of the Sum in Theorem 5. In this section, we make some remarks on the algorithm that we devised for computing the sum in Theorem 5. The real problem lies in computing  $v(a)_1$  for  $a = 1, 2, \dots, m$ . This can be done, of course, by Eq. (2), but those computations really have to be done modulo  $p^2$ . Below, we indicate how certain of the  $v(a)_1$ 's can be found from others by means of a linear congruence modulo p.

Clearly,  $v(1)_1 = 0$ , so that the index a = 1 is never included in the sum. We first computed  $v(2)_1$ , using Eq. (2). The following identities can be derived from (2) and (3):

$$v(a)_1 - 2v(a/2)_1 - (a/2)v(2)_1 \equiv 0 \pmod{p}$$
 (a even),

$$v(a)_1 + 2v((p-a)/2)_1 + ((p-a)/2)v(2)_1 + 1 \equiv 0 \pmod{p}$$
 (a odd).

Hence, given  $v(a)_1$  for some  $a, 1 \le a \le m$ , these identities may be used to compute either  $v(a/2)_1$  if a is even or  $v((p-a)/2)_1$  if a is odd, without resorting to Eq. (2).

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terms	159 174 174 174 139	148 146 168 173	151 151 163 184 184	165 163 161 182 171	178 161 200 191 202	186 134 191 207 183	199 205 209 209	200 220 218 218 233	213 240 214 223 223
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terms	99988 9998	88 84 78 84 76	88 10 91 85 87	87 88 87 90	80 95 88 88	102 100 97 96	117 119 117 117 119	114 123 150 131 143	140 140 150 140
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terms	476 475 475 471	435 462 462 467 485	162 518 1033 1033	528 497 186 535 503	3 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	543 558 482 547	11888 1188 1188 11888 11	551 552 5334 5534 5534 551	587 592 592 567 567
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terms	971 980 935 1009	961							
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d	7919 7927 7937 7949 7949	7951 7963							
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1+1	1454 4154 4972 1478 2570	290 1502 6758 962 3906	1670 5774 898 1436 6930	6236 324 348 1466 4712	5286 2500 4250 3642 6924	2264 5644 116 2520 3594	5026 368 1246 3216 6516	2218 950 3756 7346 3298	1392 3494 2472 4286 584
a	7039 7057 7057 7069 7069	7108 7121 7127 7177	7207 7207 7211 7213	7229 7309 7321 7351 7411	74489 7488 7489 7499	7537 7547 7559 7591	7643 7681 7687 7687	7691 7727 7727 7817	7829 7853 7901 7901
виш	1358 202 1683 2169 633	555 3693 2528 4876 3447	342 1151 3003 3431 4290	2178 3448 3664 6183	3851 3308 5700 6068 1719	1532 2968 3333 2400 4696	6633 4046 2419 2031 2303	6253 6063 1146 369 2447	6442 2726 5888 240 240
terms	803 603 753 816 772	773 773 730 803 803	779 793 775 775 817	807 816 810 871 813	813 774 848 829 829	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	8855 8873 873 873	88 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8
1+1	4452 5034 2354 5102 1956	283 283 283	218 433 4884 5830 3236	346 236 1564 734 1692	1776 1744 1312 1952 3170	2350 4214 5252 5464 1690	4144 6213 6230 3994 2686	4952 4108 2254 5144 6676	6406 2432 2010 1746 4642
a	6287 6287 6317 6329 6337	6343 6367 6373 6373	6379 6421. 6449 6443	6491 6521 6529 6547 6569	6569 6571 6577 6619	6659 6659 6701 6733	6763 6753 6753 6779 6793	6827 6827 6833 6833	6863 6949 6971 7001

Starting with a = 1, for example, we next computed  $v(m)_1 = v((p - 1)/2)_1$ , then  $v(m/2)_1$  or  $v((p-m)/2)_1 = v((p+1)/4)_1$  (depending upon whether m was even or odd), and so forth, until a full cycle was completed. We then searched for the first a,  $1 \le a \le m$ , for which  $v(a)_1$  had not yet been computed, found the value of  $v(a)_1$  by using Eq. (2) again, and then began another cycle using the identities above. This procedure was continued until  $v(a)_1$  had been computed for all  $a = 1, 2, \dots, m$ . It can be shown that the cycles arising in this way all have the same length and that, in the particular case that m is also a prime number, there is but one cycle, indicating the efficiency of the algorithm thus devised.

If we assume that, for fixed a, it is equally likely that  $v(a)_1$  assumes any one of the values 0, 1, 2,  $\cdots$ , p-1, then the probability that the term  $a^i$  appears in the sum of Theorem 5 (i.e., the probability that  $a + v(a)_1 \ge p$ ) is just a/p. Thus, the expected number of terms in the sum is  $\sum_{n=1}^{m} a/p = p/8 - (8p)^{-1}$ , or approximately p/8 for large primes p. It is interesting to compare the value p/8 with the entries in the third column of the accompanying Table.

8. The Table. In the first two columns of the accompanying Table, we have listed all pairs (p, i + 1), where p is a prime, p < 8000, and where the Bernoulli number  $B_{i+1} \equiv 0 \pmod{p}$ . The four additions to the tables of [8] are marked with an asterisk. The third column contains, for each of these pairs, the number of integers  $a, 1 \le a \le m$ , satisfying the condition  $a + v(a)_1 \ge p$ . This is the same as the number of terms in the sum  $\sum_{a=1}^{m} a^{i}$  of Theorem 5. The value of this sum modulo p is given in the final column of the Table. Since a zero never appears in this final column, we can conclude by Theorem 5 that Theorem 6 must be true.

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