

A Generating Function for Triangular Partitions

By L. Carlitz* and Richard Scoville

To D. H. Lehmer on his seventieth birthday

Abstract. Let $T_k(n)$ denote the number of solutions in nonnegative integers a_i , of the equation

$$n = \sum_{i=1}^k \sum_{j=1}^{k-i+1} a_{ij}$$

where the a_{ij} satisfy the inequalities $a_{ij} \geq a_{i+1,j}$, $a_{ij} \geq a_{i+1,j-1}$. We show that

$$\sum_{n=1}^{\infty} T_k(n)x^n = (1-x)^{-k}(1-x^3)^{-k+1}(1-x^5)^{-k+2} \dots (1-x^{2k-1})^{-1}.$$

1. Introduction. We consider the triangular array of nonnegative integers (a_{ij})

$$(1.1) \quad T_k: \begin{array}{cccccc} a_{11} & a_{12} & a_{13} & \cdots & a_{1k} \\ & a_{21} & a_{22} & \cdots & a_{2,k-1} \\ & & a_{31} & a_{32} & \cdots \\ & & & \cdots & \cdots \\ & & & & a_{k1} \end{array}$$

satisfying the following system of inequalities:

$$(1.2) \quad a_{ij} \geq a_{i+1,j}, \quad a_{ij} \geq a_{i+1,j-1}.$$

If in addition, the a_{ij} satisfy

$$(1.3) \quad \sum_{i+j \leq n+1} a_{ij} = n,$$

we call T_k a triangular partition of n of order k .

Let $T_k(n)$ denote the number of arrays T_k satisfying (1.2) and (1.3). Clearly

$$(1.4) \quad T_k(0) = 1 \quad (k = 1, 2, 3, \dots).$$

Since

$$(1.5) \quad T_1(n) = 1 \quad (n = 0, 1, 2, \dots),$$

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it follows at once that

$$(1.6) \quad \sum_{n=0}^{\infty} T_1(n)x^n = \frac{1}{1-x}.$$

For $k = 2$ we have

$$\begin{aligned} \sum_{n=0}^{\infty} T_2(n)x^n &= \sum_{n=0}^{\infty} x^n \sum_{a+b+c=n; a \geq c, b \geq c} 1 \\ &= \sum_{a \geq b, c \geq b} x^{a+b+c} = \sum_{a,b,c=0}^{\infty} x^{a+b+3c}, \end{aligned}$$

so that

$$(1.7) \quad \sum_{n=0}^{\infty} T_2(n)x^n = \frac{1}{(1-x)^2(1-x^3)}.$$

Since $(1-x)^{-2}(1-x^3)^{-1} = \sum_{r=0}^{\infty} (r+1)x^r \sum_{s=0}^{\infty} x^{3s}$, it follows that $T_2(n) = \sum_{3s \leq n} (n-3s+1)$. Hence, if $m = [n/3]$, we get

$$(1.8) \quad T_2(n) = \frac{1}{2}(m+1)(2n-3m+2).$$

For $k = 3$ we find that

$$(1.9) \quad \sum_{n=0}^{\infty} T_3(n)x^n = (1-x)^{-3}(1-x^3)^{-2}(1-x^5)^{-1}.$$

For $k = 4$ we have

$$(1.10) \quad \sum_{n=0}^{\infty} T_4(n)x^n = (1-x)^{-4}(1-x^3)^{-3}(1-x^5)^{-2}(1-x^7)^{-1}.$$

The formulas (1.6), (1.7), (1.9), (1.10) suggest the general result

$$(1.11) \quad \sum_{n=0}^{\infty} T_k(n)x^n = (1-x)^{-k}(1-x^3)^{-k+1}(1-x^5)^{-k+2} \dots (1-x^{2k-1})^{-1}.$$

The direct proof of (1.10) is rather tedious; the corresponding proof in the case $k = 5$ has not been completely carried out. We shall accordingly prove the general result (1.11) by an entirely different method which makes use of known results concerning MacMahon's theorem on k -line partitions [4, p. 243].

Put

$$\frac{1}{(1-x)(1-x^3) \dots (1-x^{2k-1})} = \sum_{n=0}^{\infty} q_k(n)x^n,$$

so that $q_k(n)$ is the number of partitions of n into the parts $1, 3, 5, \dots, 2k-1$, repetitions allowed. Then (1.11) yields the recurrence

$$(1.12) \quad T_k(n) = \sum_{j=0}^n q_k(j)T_{k-1}(n-j).$$

This evidently implies

$$(1.13) \quad T_k(n) = \sum q_k(j_1)q_{k-1}(j_2) \cdots q_2(j_{k-1}),$$

where the summation is over all nonnegative j_1, j_2, \dots, j_{k-1} satisfying $j_1 + j_2 + \dots + j_{k-1} \leq n$.

Formulas (1.12) and (1.13) are indeed equivalent to (1.11). Thus a combinatorial proof of either (1.12) or (1.13) would yield a combinatorial proof of (1.11).

Another result equivalent to (1.11) is the following:

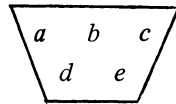
$$(1.14) \quad T_k(n) = \sum \prod_{j=1}^k \binom{k-j+n_j-1}{n_j},$$

where the outer summation is over all nonnegative n_1, n_2, \dots, n_k satisfying $n_1 + 3n_2 + 5n_3 + \dots + (2k-1)n_k = n$.

2. Special Cases. We shall now sketch the proof of (1.9). To begin with, it follows from the definition that

$$(2.1) \quad \sum_{n=0}^{\infty} T_3(n)x^n = (1-x^6)^{-1} \sum_{n=0}^{\infty} T'_3(n)x^n,$$

where $T'_3(n)$ denotes the number of arrays



satisfying $a \geq d, b \geq d, b \geq e, c \geq e$ and $a + b + c + d + e = n$. It follows that

$$\begin{aligned}
 \sum_{n=0}^{\infty} T'_3(n)x^n &= \sum_{d,e=0}^{\infty} x^{d+e} \sum_{a,b,c; a \geq d, b \geq d; b \geq e, c \geq e} x^{a+b+c} \\
 &= \sum_{d,e=0}^{\infty} x^{2d+2e} \sum_{a,c=0}^{\infty} x^{a+c} \sum_{b \geq d, b \geq e} x^b \\
 (2.2) \quad &= (1-x)^{-2} \sum_{b=0}^{\infty} x^b \sum_{d=0}^b \sum_{e=0}^b x^{2d+2e} = (1-x)^{-2} \sum_{b=0}^{\infty} x^b \left(\frac{1-x^{2b+2}}{1-x^2} \right) \\
 &= (1-x)^{-2} (1-x^2)^{-2} \left\{ \frac{1}{1-x} - \frac{2x^2}{1-x^3} + \frac{x^4}{1-x^5} \right\} \\
 &= \frac{1+x^3}{(1-x)^3(1-x^3)(1-x^5)}.
 \end{aligned}$$

Substituting from (2.2) in (2.1), we get (1.9).

The proof of (1.10) is a good deal more involved and we give only a brief out-

line. To begin with, we have

$$(2.3) \quad \sum_{n=0}^{\infty} T_4(n)x^n = (1 - x^{10})^{-1} \sum_{n=0}^{\infty} T'_4(n)x^n,$$

where $T'_4(n)$ denotes the number of arrays

$$(2.4) \quad \begin{array}{cccc} a & b & c & d \\ & e & f & g \\ & & h & i \end{array}$$

satisfying

$$a \geq e, b \geq e, b \geq f, c \geq f, c \geq g, d \geq g, e \geq h, f \geq h, f \geq i, g \geq i$$

and $a + b + \dots + h + i = n$. In the next place we remove the corners on the top line of (2.4) to get

$$(2.5) \quad \sum_{n=0}^{\infty} T'_4(n)x^n = (1 - x)^{-2} \sum x^{b+c+2e+f+2g+n+i},$$

where the summation on the right is over all arrays

$$\begin{array}{ccc} & b & c \\ & e & f & g \\ & & h & i \end{array}$$

satisfying

$$b \geq e, b \geq f, c \geq f, c \geq g, e \geq h, f \geq h, f \geq i, g \geq i.$$

Thus we get for the sum on the right of (2.5)

$$(1 - x^2)^{-2} \sum_{f=0}^{\infty} x^f \left\{ \frac{x^{2f}}{(1 - x)^2} \left(\frac{1 - x^{3f+3}}{1 - x^3} \right)^2 - \frac{2x^{4f+2}}{(1 - x)(1 - x^3)} \frac{1 - x^{3f+3}}{1 - x^3} \frac{1 - x^{f+1}}{1 - x} + \frac{x^{6f+4}}{(1 - x^3)^2} \left(\frac{1 - x^{f+1}}{1 - x} \right)^2 \right\}.$$

This reduces to

$$(2.6) \quad (1 + x^5)/(1 - x)^2(1 - x^3)^3(1 - x^5)(1 - x^7).$$

Hence, combining (2.3), (2.5) and (2.6), we get (1.10).

3. Restatement of Problem. It will be convenient to modify the original statement of the problem. Let A_n denote the set of lattice points in the first quadrant defined by

$$(3.1) \quad A_n = \{(i, j) \mid i \geq 0, j \geq 0, i + j < n\}.$$

A_n is partially ordered if we put

$$(3.2) \quad (i, j) \leq (i', j') \Leftrightarrow i \leq i' \quad \text{and} \quad j \leq j'.$$

A nonnegative integer-valued function f defined on A_n will be called *increasing* if, for every $a, b \in A_n$, we have

$$(3.3) \quad a \leq b \Rightarrow f(a) \leq f(b).$$

If f is increasing and takes on only the values 0 and 1, we may associate with f the subset A_f of A_n defined by

$$(3.4) \quad a \in A_f \Leftrightarrow f(a) = 1.$$

The collection of such subsets will be denoted by L_n . Note that L_n is a lattice with respect to union and intersection of sets. We show that L_n contains

$$(3.5) \quad C_{n+2} = \frac{1}{n+2} \binom{2n+2}{n+1}$$

sets; C_n is a so-called Catalan number (for references see [1], [3]).

If f is increasing on A_n we put

$$(3.6) \quad \sigma(f) = \sum_{a \in A_n} f(a)$$

and

$$(3.7) \quad Q_n(x) = \sum x^{\sigma(f)},$$

where the summation is over all nonnegative integer-valued increasing functions on A_n . Clearly

$$(3.8) \quad Q_n(x) = \sum_{N=0}^{\infty} T_n(N)x^N,$$

where $T_n(N)$ is the partition function defined in the introduction.

We remark, that if we define

$$\bar{Q}_n(x) = \sum x^{\sigma(f)} y^{\max f}$$

and replace A_n by

$$B_n = \{(i, j) \mid 0 \leq i < n, 0 \leq j < n\}.$$

then we are led to MacMahon's theorem for plane partitions.

4. The Lattice L_n . For every $A \in L_n$, let g_A denote the function defined by

$$(4.1) \quad g_A(i) = \text{card} \{(n-i, j) \mid (n-i, j) \in A_n - A\} \quad (i = 0, 1, \dots, n).$$

Note that

- (i) g_A is increasing, and
- (ii) $0 \leq g_A(i) \leq i$ ($i = 0, 1, \dots, n$).

Moreover, if A and B are in L_n , then

$$(4.2) \quad g_{A \cup B} = \min(g_A, g_B), \quad g_{A \cap B} = \max(g_A, g_B).$$

Let F_n consist of all integer-valued functions satisfying (i) and (ii). Then F_n is a lattice with respect to \min and \max . We summarize these observations in the following theorem.

THEOREM 1. *The lattices L_n and F_n are anti-isomorphic and contain*

$$(4.3) \quad C_{n+2} = \frac{1}{n+2} \binom{2n+2}{n+1}$$

elements.

PROOF. We show first that if $f \in F_n$, then $f = g_A$ for some $A \in L_n$. Let $f \in F_n$ and put

$$A = \{(i, j) \mid f(n-i) \leq j\} \cap A_n.$$

Now suppose $(i_0, j_0) \in A$ and both $(i_0 + 1, j)$ and $(i_0, j_0 + 1) \in A_n$. Then

$$f(n - i_0 - 1) \leq f(n - i_0) \leq j_0, \quad f(n - i_0) \leq j_0 < j_0 + 1,$$

so both $(i_0 + 1, j_0)$ and $(i_0, j_0 + 1) \in A$. Hence $A \in L_n$ and

$$\begin{aligned} g_A(n - i_0) &= \text{card} \{j \mid (i_0, j) \in A_n - A\} \\ &= \text{card} \{j \mid f(n - i_0) > j, j \geq 0\} = f(n - i_0). \end{aligned}$$

This, together with the previous remarks, shows L_n and F_n are indeed anti-isomorphic. It is well known (see for example [3]) that the number of elements in F_n is given by (4.3).

We note, for later use, that

$$(4.4) \quad |A| + \sum_{i=0}^n g_A(i) = |A_n| = \frac{1}{2}n(n+1).$$

5. Chains in L_n . By a *chain* in L_n we will mean any finite or infinite sequence of sets $A_i \in L_n$ satisfying

$$(5.1) \quad A_i \subseteq A_{i+1} \quad (i = 0, 1, 2, \dots).$$

We will say that the chain $\{A_i\}_0^k$ *begins* at ϕ and *ends* at A_n if $A_0 = \phi$ and $A_k = A_n$.

There is a 1-1 correspondence between the set of increasing functions bounded by r on A_n and the chains $\{A_i\}_0^{r+1}$ in L_n which begin at ϕ and end at A_n . This correspondence is given by

$$(5.2) \quad A_i = \{a \mid f(a) \geq r - i + 1\} \quad (i = 0, 1, \dots, r + 1).$$

It is clear that

$$(5.3) \quad \sigma(f) = |A_1| + |A_2| + \cdots + |A_r|,$$

where

$$(5.4) \quad \sigma(f) = \sum_{a \in A_n} f(a).$$

Transferring the sets A_i to functions in F_n by the anti-automorphism of Theorem 1, we obtain

THEOREM 2. *There is a 1-1 correspondence between the set of increasing functions bounded by r on A_n and sequences of functions $\{f_i\}_0^{r+1}$ from F_n satisfying*

$$(5.5) \quad f_0 \geq f_1 \geq f_1 \geq \cdots \geq f_r \geq 0; \quad f_0(x) = x.$$

Moreover

$$(5.6) \quad \sigma(f) = \frac{1}{2}rn(n+1) - \sum_{i=1}^r \sum_{j=0}^n f_i(j).$$

PROOF. Follows from Theorem 1 and (4.4).

Another relation between increasing functions on A_n and chains in L_n is given as follows. Call a chain $\{A_i\}$ *proper* if $A_0 \neq \phi$ and $A_i \neq A_{i+1}$. Suppose f is an increasing function on A_n assuming the distinct nonzero values

$$t_1, t_1 + t_2, \cdots, t_1 + t_2 + \cdots + t_j; \quad t_i > 0.$$

Let

$$(5.7) \quad B_i = \{a | f(a) \geq t_1 + \cdots + t_{j-i}\} \quad (i = 0, 1, \cdots, j-1).$$

Then we have

$$\sigma(f) = t_1 |B_{j-1}| + t_2 |B_{j-2}| + \cdots + t_j |B_0|.$$

Hence the following theorem is immediate.

THEOREM 3. *The generating function*

$$Q_n(x) = \sum x^{\sigma(f)} \quad (f \text{ increasing on } A_n)$$

is given by

$$(5.8) \quad Q_n(x) = 1 + \sum \frac{x^{|B_0|}}{1 - x^{|B_0|}} \cdots \frac{x^{|B_j|}}{1 - x^{|B_j|}},$$

where the summation is taken over all proper chains in L_n .

6. Computation of $Q_n(x)$. By Theorem 2 there is a 1-1 correspondence between increasing functions on A_n bounded by r and $n \times r$ arrays $\{f_j(i)\}$ satisfying

$$(6.1) \quad 0 \leq f_j(1) \leq f_j(2) \leq \cdots \leq f_j(n) \quad (j = 1, 2, \dots, r)$$

and

$$(6.2) \quad i \geq f_1(i) \geq f_2(i) \geq \cdots \geq f_r(i) \geq 0 \quad (i = 1, 2, \dots, n).$$

Let $Q_n^{(r)}(x)$ denote the partition generating function for such arrays, that is,

$$(6.3) \quad Q_n^{(r)}(x) = \sum x^{\sum i_j f_j(i)},$$

where the outer sum is taken over all $\{f_j(i)\}$ satisfying (6.1) and (6.2). Specializing formula (6.12) of [2], we get

$$(6.4) \quad \begin{aligned} Q_n^{(r)}(x) &= x^{\frac{1}{2}n(n+1)} \left| x^{\frac{1}{2}(i-j)(i-j-1)} \begin{bmatrix} n-j+r \\ r-i+j-1 \end{bmatrix} \right| \\ &= x^{\frac{1}{2}n(n+1)} \left| x^{\frac{1}{2}(i-j)(i-j+1)} \begin{bmatrix} r+j-1 \\ 2j-i \end{bmatrix} \right| \quad (i, j = 1, 2, \dots, n), \end{aligned}$$

where

$$(6.5) \quad \begin{bmatrix} k \\ j \end{bmatrix} = \frac{(x)_k}{(x)_j(x)_{k-j}}, \quad (x)_k = (1-x)(1-x^2) \cdots (1-x^k).$$

Replacing x by x^{-1} , it is easily verified that

$$\begin{bmatrix} k \\ j \end{bmatrix} \rightarrow x^{j(j-k)} \begin{bmatrix} k \\ j \end{bmatrix}.$$

Thus we get

$$Q_n^{(r)}\left(\frac{1}{x}\right) = x^{-\frac{1}{2}rn(n+1)} \left| x^{\frac{1}{2}(i-j)^2} \begin{bmatrix} j+r-1 \\ 2j-i \end{bmatrix} \right| \quad (i, j = 1, 2, \dots, n).$$

By (5.6), we have

$$Q_n(x) = \lim_{r \rightarrow \infty} x^{\frac{1}{2}rn(n+1)} Q_n^{(r)}\left(\frac{1}{x}\right)$$

and therefore

$$(6.6) \quad Q_n(x) = \left| \frac{x^{(i-j)^2}}{(x)_{2j-i}} \right| = \left| \frac{x^{(i-j)^2}}{(x)_{2i-j}} \right| \quad (i, j = 1, 2, \dots, n).$$

It is convenient to put

$$(6.7) \quad D_k = \left| x^{(i-j)^2} \begin{bmatrix} 2i \\ j \end{bmatrix} \right| \quad (i, j = 1, 2, \dots, n),$$

so that (6.6) becomes

$$(6.8) \quad Q_n(x) = \frac{(x)_1(x)_2 \cdots (x)_n}{(x)_2(x)_4 \cdots (x)_{2n}} D_n.$$

We shall now evaluate D_k . Let R_i denote the i th row of D_k . We shall replace R_k by

$$\bar{R}_k = R_k - x \begin{bmatrix} k \\ 1 \end{bmatrix}' R_{k-1} + x^2 \begin{bmatrix} k \\ 2 \end{bmatrix}' R_{k-2} - \dots + (-1)^{k-1} a^{k-1} \begin{bmatrix} k \\ k-1 \end{bmatrix}' R_1,$$

where

$$\begin{bmatrix} k \\ j \end{bmatrix}' = \frac{(x^2)'_k}{(x^2)'_j (x^2)'_{k-j}}, \quad (a)'_k = (1-a)(1-x^2a) \cdots (1-x^{2k-2}a).$$

Then the j th element in \bar{R}_k is equal to

$$\begin{aligned} r_j &= \sum_{s=0}^{k-1} (-1)^s x^s \begin{bmatrix} k \\ s \end{bmatrix}' a^{(k-s-j)^2} \begin{bmatrix} 2k-2s \\ j \end{bmatrix} \\ &= \sum_{s=0}^k (-1)^{k-s} x^{k-s} \begin{bmatrix} k \\ s \end{bmatrix}' x^{(s-j)^2} \begin{bmatrix} 2s \\ j \end{bmatrix}. \end{aligned}$$

Since

$$\begin{bmatrix} 2s \\ j \end{bmatrix} = \frac{1}{(x)_j} \sum_{t=0}^j (-1)^t x^{\frac{1}{2}t(t+1)+t(2s-j)} \begin{bmatrix} j \\ t \end{bmatrix},$$

we get

$$\begin{aligned} r_j &= \frac{1}{(x)_j} \sum_{s=0}^k (-1)^{k-s} x^{k-s} \begin{bmatrix} k \\ s \end{bmatrix}' x^{(s-j)^2} \sum_{t=0}^j (-1)^t x^{\frac{1}{2}t(t+1)+t(2s-j)} \begin{bmatrix} j \\ t \end{bmatrix} \\ &= \frac{x^{j^2+k}}{(x)_j} \sum_{t=0}^j (-1)^t x^{\frac{1}{2}t(t+1)-tj} \begin{bmatrix} j \\ t \end{bmatrix} \sum_{s=0}^k (-1)^{k-s} x^{s^2-s} \begin{bmatrix} k \\ s \end{bmatrix}' x^{-2s(j-t)} \\ &= (-1)^k \frac{x^{j^2+k}}{(x)_j} \sum_{t=0}^j (-1)^t x^{\frac{1}{2}t(t+1)-tj} \begin{bmatrix} j \\ t \end{bmatrix} (x^{-2(j-t)})'_k. \end{aligned}$$

Since

$$(x^{-2t})'_k = \begin{cases} 0 & (0 \leq t < k), \\ (-1)^k x^{-k(k+1)} (x^2)'_k & (t = k), \end{cases}$$

it follows that $r_j = 0$ for $0 \leq j < k$, while

$$r_k = (x^2)'_k / (x)_k = (1+x)(1+x^2) \cdots (1+x^k).$$

Hence

$$D_k = (1+x)(1+x^2) \cdots (1+x^k) D_{k-1}.$$

Since

$$D_1 = \left| \begin{bmatrix} 2 \\ 1 \end{bmatrix} \right| = 1 + x,$$

we get

$$(6.9) \quad D_k = (1+x)^k (1+x^2)^{k-1} \cdots (1+x^k).$$

Substitution from (6.9) in (6.8) yields

THEOREM 4. *We have*

$$(6.10) \quad Q_n(x) = \frac{1}{(1-x)^n (1-x^3)^{n-1} \cdots (1-x^{2n-1})}.$$

This completes the proof of (1.11).

7. Number of Maximal Proper Chains in L_n . As an application of Theorem 4 we have the following.

THEOREM 5. *The number of maximal proper chains in L_n is given by*

$$(7.1) \quad M_n = \frac{(\frac{1}{2}n(n+1))!}{1^n 3^{n-1} 5^{n-2} \cdots (2n-1)}.$$

PROOF. By Theorem 4 we see that

$$(7.2) \quad \lim_{x \rightarrow 1} (1-x)^{\frac{1}{2}n(n+1)} Q_n(x) = (1^n 3^{n-1} 5^{n-2} \cdots (2n-1))^{-1}.$$

On the other hand, by (5.8),

$$(7.3) \quad \lim_{x \rightarrow 1} (1-x)^{\frac{1}{2}n(n+1)} Q_n(x) = \frac{M_n}{(\frac{1}{2}n(n+1))!}.$$

Comparison of (7.2) and (7.3) yields (7.1).

8. A Related Partition Problem. Let $T'_k(n)$ denote the number of triangular arrays (a_{ij}) ($1 \leq j \leq i \leq k$) satisfying the inequalities $a_{ij} \geq a_{i+1,j}$, $a_{ij} \geq a_{i+1,j+1}$ and also

$$\sum_{i=1}^k \sum_{j=1}^i a_{ij} = n.$$

It can be shown that

$$(8.1) \quad \sum_{n=0}^{\infty} T'_k(n) x^n = \frac{(x)_1 (x)_2 \cdots (x)_k}{(x)_2 (x)_4 \cdots (x)_{2k}} D'_k,$$

where

$$D'_k = \left| x^{\frac{1}{2}(i-j)(i-j-1)} \begin{bmatrix} 2i \\ j \end{bmatrix} \right| \quad (i, j = 1, 2, \cdots, k).$$

The first few values of D'_k follow:

$$D'_1 = 1 + x, \quad D'_2 = (1 + x)(1 + x^2)^2,$$

$$D'_3 = (1 + x)(1 + x^2)(1 + x^3)(1 + x^2 + x^3 + 2x^4 + x^5 + x^6 + x^8).$$

We remark that, when $k \rightarrow \infty$, the generating function (8.1) reduces to the generating function for plane partitions.

Mathematics Department
Duke University
Durham, North Carolina 27706

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