

## WEIGHT FORMULAS FOR TERNARY MELAS CODES

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**ABSTRACT.** In this paper we derive a formula for the frequencies of the weights in ternary Melas codes and we illustrate this formula by computing a table of examples.

### 1. INTRODUCTION

Let  $q = p^m$ , where  $p$  is a prime, and let  $\alpha$  be a generator of the multiplicative group  $\mathbf{F}_q^*$ . Consider the cyclic code  $C$  over  $\mathbf{F}_q$  of length  $q - 1$  with generator polynomial  $(X - \alpha)(X - \alpha^{-1})$ . The dual code  $C^\perp$  is cyclic with zeros  $1, \alpha^2, \alpha^3, \dots, \alpha^{q-3}$ , which are zeros of the polynomials

$$\sum_{i=0}^{q-2} (a\alpha^i + b\alpha^{-i})X^i \in \mathbf{F}_q[X]/(X^{q-1} - 1) \quad \text{with } a, b \in \mathbf{F}_q.$$

This implies that the code

$$D = \{(ax + b/x)_{x \in \mathbf{F}_q^*} : a, b \in \mathbf{F}_q\}$$

satisfies  $D = C^\perp$ . The classical Melas code  $M(q)$  is defined as the restriction to  $\mathbf{F}_p$  of the code  $C$  (see [5, 4]). By Delsarte's theorem [4, p. 208] we have

$$\text{Tr}(C^\perp) = (C|_{\mathbf{F}_p})^\perp,$$

where  $\text{Tr}$  is the trace map from  $\mathbf{F}_q$  to  $\mathbf{F}_p$ . If we substitute  $C^\perp = D$  and  $C|_{\mathbf{F}_p} = M(q)$  in Delsarte's theorem, we find

$$\{(\text{Tr}(ax + b/x))_{x \in \mathbf{F}_q^*} : a, b \in \mathbf{F}_q\} = M(q)^\perp.$$

To ensure injectivity of the trace map, we require  $2m + 1 < q$ . Then the dual code  $M(q)^\perp$  has dimension  $2m$ .

In [6, 1] we determined the weight distribution of  $M(q)^\perp$  for  $p = 2$  and  $3$ . Then, by the MacWilliams identities and the Eichler-Selberg trace formula we derived a formula for the number  $A_i$  of code words of weight  $i$  in  $M(q)$  involving traces of Hecke operators on certain spaces of cusp forms [6, Theorem 4.2; 1, Theorem 2.3]. Especially for  $p = 3$ , this was done in a rather concise way, only announcing results and further illustrations. In this paper we will work out the case  $p = 3$  and illustrate the result by computing some weight formulas for ternary Melas codes.

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An outline of this paper is as follows. In §2 we derive an expression for traces of Hecke operators on  $S_k(\Gamma_1(3))$ . In §3 we prove the weight distribution theorem for ternary Melas codes. Then, in the next sections, we compute traces of Hecke operators, first for even  $k$ , then for odd  $k$ . Finally, in §6 we give a table of weight formulas for  $M(q)$ .

The references on coding theory can be found in the book of MacWilliams and Sloane [4]. For a systematic introduction to cusp forms and Hecke operators we refer to the books by S. Lang [2] and J.-P. Serre [8]. In [6, Theorem 2.2] the reader can find the precise form of the Eichler-Selberg trace formula, as we use it. Our notation in this paper links up with the notation in [6].

### 2. TRACES OF HECKE OPERATORS ON $S_k(\Gamma_1(3))$

For the space of cusp forms  $S_k(\Gamma_1(3))$  we have

$$S_k(\Gamma_1(3)) = S_k(\Gamma_0(3), 1) \oplus S_k(\Gamma_0(3), \omega),$$

where 1 is the trivial character on  $(\mathbf{Z}/3\mathbf{Z})^*$  and  $\omega$  is the quadratic character on  $(\mathbf{Z}/3\mathbf{Z})^*$ . Both characters have conductor 3, and we extend them to  $\mathbf{Z}/3\mathbf{Z}$  by defining them 0 on the residue class of 0 modulo 3. Actually,

$$S_k(\Gamma_1(3)) = \begin{cases} S_k(\Gamma_0(3), 1) & \text{for even } k, \\ S_k(\Gamma_0(3), \omega) & \text{for odd } k. \end{cases}$$

Now we can apply the Eichler-Selberg trace formula for  $S_k(\Gamma_0(3), \chi)$ , expressing traces of Hecke operators in class numbers of binary quadratic forms.

**Proposition 2.1.** *Let  $q = 3^m$  with  $m \geq 1$ , and denote by  $\text{Tr } T_q$  the trace of the Hecke operator  $T_q$  acting on the space of cusp forms  $S_k(\Gamma_1(3))$ . Then*

$$\text{Tr } T_q = \begin{cases} -\sum_t \frac{\rho^{k-1} - \bar{\rho}^{k-1}}{\rho - \bar{\rho}} H(t^2 - 4q) - 1 & \text{for } k \geq 3, \\ -\sum_t \frac{\rho^{k-1} - \bar{\rho}^{k-1}}{\rho - \bar{\rho}} H(t^2 - 4q) - 1 + q & \text{for } k = 2. \end{cases}$$

The summation variable  $t$  runs over  $\{t \in \mathbf{Z}: t^2 < 4q \text{ and } t \equiv 1 \pmod{3}\}$ . The symbols  $\rho$  and  $\bar{\rho}$  indicate the zeros of the polynomial  $X^2 - tX + q$ , and  $H(t^2 - 4q)$  is the Kronecker class number of  $t^2 - 4q$ .

*Proof.* We start from the Eichler-Selberg trace formula as stated in [6, Theorem 2.2] and employ it for  $S_k(\Gamma_0(3), \chi)$ , where  $\chi = 1$  for even  $k$  and  $\chi = \omega$  for odd  $k$ . In the notation of [6, Theorem 2.2], the contribution of  $A_1$  is 0. As to the contribution of  $A_2$ , we notice that  $\mu(t, f, n) = \chi(t)$ . It follows that

$$A_2 = - \sum_{\substack{t \in \mathbf{Z} \\ t^2 < 4q, t \equiv 1 \pmod{3}}} \frac{\rho^{k-1} - \bar{\rho}^{k-1}}{\rho - \bar{\rho}} H(t^2 - 4q)$$

by adding together terms with  $t \equiv 1 \pmod{3}$  and  $t \equiv 2 \pmod{3}$ . Furthermore,  $A_3 = -1$  in all cases, and  $A_4 = q$  for  $k = 2$  and  $\chi = 1$ , while  $A_4 = 0$  in the other cases. Altogether, we get the above-mentioned formulas.  $\square$

The numbers  $(\rho^{k-1} - \bar{\rho}^{k-1})/(\rho - \bar{\rho})$  are symmetric expressions in  $\rho$  and  $\bar{\rho}$ , so they can be written as polynomials  $Q_{k-2}(t, q)$  in  $t = \rho + \bar{\rho}$  and  $q = \rho\bar{\rho}$ .

We have  $Q_0(t, q) = 1$  and  $Q_1(t, q) = t$ . From  $\rho^{k+1} - \bar{\rho}^{k+1} = (\rho + \bar{\rho})(\rho^k - \bar{\rho}^k) - \rho\bar{\rho}(\rho^{k-1} - \bar{\rho}^{k-1})$  we get the recurrence relation

$$(1) \quad Q_k(t, q) = tQ_{k-1}(t, q) - qQ_{k-2}(t, q) \quad \text{for } k \geq 2.$$

The polynomial  $Q_k$  is, as a polynomial in  $\rho$  and  $\bar{\rho}$ , homogeneous of degree  $k$ . Therefore, it is also homogeneous of degree  $k$  as a polynomial in  $t$  and  $q$ , provided we assign a weight 1 to the variable  $t$  and a weight 2 to the variable  $q$ . Note that  $Q_k$  is monic in  $t$ , and has integer coefficients and terms  $q^r t^{k-2r}$ , where  $0 \leq r \leq [k/2]$ . It follows that we can write

$$(2) \quad t^i = \sum_{\substack{j=0 \\ j \text{ even}}}^i \lambda_{i,j} Q_{i-j}(t, q) q^{j/2}.$$

The  $\lambda_{i,j} \in \mathbf{Z}$  satisfy

$$\begin{aligned} \lambda_{i,j} &= 0 \quad \text{for } j \notin \{0, 1, \dots, i\} \text{ or } j \text{ odd,} \\ \lambda_{0,0} &= \lambda_{1,0} = 1, \end{aligned}$$

while the recurrence relation for  $Q_k$  induces the recurrence relation

$$(3) \quad \lambda_{i+1,j} = \lambda_{i,j-2} + \lambda_{i,j}.$$

Now we rewrite the expressions for  $\text{Tr } T_q$  on  $S_k(\Gamma_1(3))$  in Proposition 2.1 as

$$(4) \quad \text{Tr } T_q = \begin{cases} -\sum_t Q_{k-2}(t, q) H(t^2 - 4q) - 1 & \text{for odd } k \text{ and even } k \geq 4, \\ -\sum_t H(t^2 - 4q) - 1 + q & \text{for } k = 2. \end{cases}$$

From the formula for  $\dim S_k(\Gamma_0(N), \chi)$  in [6] we easily derive:

$$(5) \quad \dim S_k(\Gamma_1(3)) = \begin{cases} \dim S_k(\Gamma_0(3), \omega) = [k/3] - 1 & \text{for odd } k, \\ \dim S_k(\Gamma_0(3), 1) = [k/3] - 1 & \text{for even } k \geq 4, \\ 0 & \text{for } k = 2. \end{cases}$$

Because  $\dim S_2(\Gamma_1(3)) = 0$ , one has

$$\text{Tr } T_2 = -\sum_t H(t^2 - 4q) - 1 + q = 0.$$

### 3. THE WEIGHT DISTRIBUTION OF TERNARY MELAS CODES

Let  $q = 3^m$  with  $m \geq 2$ . In [1] we derived the weight distribution of the dual ternary Melas code  $M(q)^\perp$ :

The nonzero weights of  $M(q)^\perp$  are  $w_t = 2(q-1+t)/3$ , where  $t \in \mathbf{Z}$ ,  $t^2 < 4q$ , and  $t \equiv 1 \pmod{3}$ . For  $t \neq 1$  the frequency of  $w_t$  is  $(q-1)H(t^2-4q)$ ; the weight  $w_1 = 2q/3$  has frequency  $(q-1)\{H(1-4q) + 2\}$ .

Using the MacWilliams identities and the Eichler-Selberg trace formula, we obtain an expression for the weight distribution of  $M(q)$ . We will elaborate the result announced in [1, Theorem 2.3].

**Theorem 3.1.** *The number  $A_i$  of code words of weight  $i$  in the Melas code  $M(q)$  is given by*

$$q^2 A_i = \binom{q-1}{i} 2^i + 2(q-1) \sum_{s=0}^i (-1)^s \binom{2q/3}{s} \binom{q/3-1}{i-s} 2^{i-s} - (q-1) \sum_{j=0}^i W_{i,j}(q)(1 + \tau_{j+2}(q)),$$

where the polynomials  $W_{i,j}(q)$  are defined for  $0 \leq j \leq i$  by

$$W_{0,0} = 1, \quad W_{1,0} = 0, \quad W_{1,1} = -2, \\ (i+1)W_{i+1,j} = -iW_{i,j} - 2qW_{i,j+1} - 2W_{i,j-1} - 2(q-i)W_{i-1,j}$$

(otherwise, the  $W_{i,j}$  are 0).

By  $\tau_k(q)$  we denote for  $k \geq 3$  the trace of the Hecke operator  $T_q$  on  $S_k(\Gamma_1(3))$ . For convenience we let  $\tau_2(q) = -q$ .

*Proof.* This proof is a modification of the proof of the analogous theorem in [6]. For  $0 \leq i \leq q-1$ , let  $P_i(X)$  be the  $i$ th Krawtchouk polynomial

$$(6) \quad P_i(X; q-1, 3) = \sum_{s=0}^i (-1)^s \binom{X}{s} \binom{q-1-X}{i-s} 2^{i-s}.$$

These polynomials satisfy the recurrence relation

$$(i+1)P_{i+1}(X) = (2q-2-i-3X)P_i(X) - 2(q-i)P_{i-1}(X).$$

We define  $f_i(X) = P_i(2(q-1+X)/3)$ ; then

$$f_0(X) = P_0(2(q-1+X)/3) = 1, \quad f_1(X) = P_1(2(q-1+X)/3) = -2X$$

and the recurrence relation becomes

$$(7) \quad (i+1)f_{i+1}(X) = (-i-2X)f_i(X) - 2(q-i)f_{i-1}(X).$$

It follows that  $f_i(X)$  has degree  $i$ , and we write

$$(8) \quad f_i(X) = \sum_{k=0}^i \pi_i(k) X^k.$$

Now  $\pi_0(0) = 1$ ,  $\pi_1(0) = 0$ ,  $\pi_1(1) = -2$ , and from (7) we derive

$$(9) \quad (i+1)\pi_{i+1}(k) = -i\pi_i(k) - 2\pi_i(k-1) - 2(q-i)\pi_{i-1}(k).$$

We define  $\pi_i(k) = 0$  for cases other than  $0 \leq k \leq i$ . When we apply the MacWilliams identities to  $M(q)^\perp$  and  $M(q)$ , we get

$$q^2 A_i = \sum_t \text{frequency}(w_t) P_i(2(q-1+t)/3) + P_i(0),$$

where  $t$  runs over  $\{t \in \mathbf{Z}: t^2 < 4q \text{ and } t \equiv 1 \pmod{3}\}$ . Using the weight distribution of  $M(q)^\perp$  and the polynomials  $f_i$  introduced above, we find

$$\frac{q^2}{q-1} A_i = \sum_t H(t^2 - 4q) f_i(t) + 2f_i(1) + \frac{P_i(0)}{q-1}.$$

From definition (6) we see that  $P_i(0) = \binom{q-1}{i} 2^i$  and

$$f_i(1) = P_i\left(\frac{2q}{3}\right) = \sum_{s=0}^i (-1)^s \binom{2q/3}{s} \binom{q/3-1}{i-s} 2^{i-s}.$$

From (8) we obtain

$$\sum_t H(t^2 - 4q) f_i(t) = \sum_{j=0}^i \pi_i(j) \sum_t t^j H(t^2 - 4q).$$

By formula (2) this becomes

$$\sum_{j=0}^i \pi_i(j) \sum_{\substack{k=0 \\ k \text{ even}}}^j \lambda_{j,k} q^{k/2} \sum_t Q_{j-k}(t, q) H(t^2 - 4q).$$

Using (4) combined with the fact that, according to (5),  $\text{Tr } T_q = 0$  on  $S_2(\Gamma_1(3))$ , and remembering our convention that  $\tau_2(q) = -q$ , we get

$$(10) \quad \sum_{j=0}^i \pi_i(j) \sum_{\substack{k=0 \\ k \text{ even}}}^j \lambda_{j,k} q^{k/2} (-1 - \tau_{j-k+2}(q)).$$

We define  $W_{i,j}(q) = \sum_{k=0, k \text{ even}}^{i-j} \pi_i(k+j) \lambda_{k+j,k} q^{k/2}$ . By changing the horizontal summation in (10) into a diagonal summation, the expression (10) becomes

$$\sum_{j=0}^i W_{i,j}(q) (-1 - \tau_{j+2}(q)).$$

Putting all this together, we get the announced formula for  $q^2 A_i$ .

As to the polynomials  $W_{i,j}(q)$ , we easily see that  $W_{0,0} = 1$ ,  $W_{1,0} = 0$ , and  $W_{1,1} = -2$ . The recurrence relation for  $W_{i,j}$  follows by writing out the definition of  $(i+1)W_{i+1,j}$  and using the recurrence relations (9) and (3) for  $(i+1)\pi_{i+1}(k+j)$  and  $\lambda_{k+j,k}$ .  $\square$

We conclude this section by noticing that to obtain more explicit expressions for  $A_i$ , we have to compute the traces of the Hecke operators  $\tau_k(q)$ . This is the subject of the next two sections.

#### 4. THE COMPUTATION OF $\tau_k(q)$ FOR $k$ EVEN, $k \geq 4$

As always, we take  $q = 3^m$  with  $m \geq 2$ . By convention, we have that  $\tau_2(q) = -q$ , while for  $k \geq 3$  the trace of the Hecke operator  $T_q$  acting on the space  $S_k(\Gamma_1(3))$  is indicated by  $\tau_k(q)$ . For even  $k$ , the space  $S_k(\Gamma_1(3)) = S_k(\Gamma_0(3), 1)$  and the theory of newforms of Atkin and Lehner [2] provides us with a decomposition

$$S_k(\Gamma_0(3), 1) = S_k(\Gamma_0(3))^{\text{new}} \oplus S_k(\Gamma_0(3))^{\text{old}},$$

which is respected by the Hecke operators. The old part is spanned by the forms  $f(z)$  and  $f(3z)$ , where  $f(z)$  runs over a basis of simultaneous eigenforms of  $S_k(\Gamma_0(1)) = S_k(\text{SL}_2(\mathbf{Z}))$ .

**Proposition 4.1.** *On  $S_k(\Gamma_0(3))^{\text{old}}$  we have*

$$\begin{aligned} \text{Tr } T_1 &= 2 \dim S_k(\text{SL}_2(\mathbf{Z})), \\ \text{Tr } T_3 &= \text{Tr}(T_3 \text{ on } S_k(\text{SL}_2(\mathbf{Z}))), \\ \text{Tr } T_{3^m} &= \text{Tr}(T_{3^m} \text{ on } S_k(\text{SL}_2(\mathbf{Z}))) \\ &\quad - 3^{k-1} \text{Tr}(T_{3^{m-2}} \text{ on } S_k(\text{SL}_2(\mathbf{Z}))) \quad \text{for } m \geq 2. \end{aligned}$$

*Proof.* The subspace  $S_k(\Gamma_0(3))^{\text{old}}$  is a direct sum of 2-dimensional complex vector spaces with basis  $\{f(z), f(3z)\}$ , where  $f(z)$  is a simultaneous eigenform for all  $T_n$  in  $S_k(\text{SL}_2(\mathbf{Z}))$ . The operator  $T_1$  is the identity map, so

$$\text{Tr } T_1 = \dim S_k(\Gamma_0(3))^{\text{old}} = 2 \dim S_k(\text{SL}_2(\mathbf{Z})).$$

Let  $f(z) = \sum_{m=1}^{\infty} a_m e^{2\pi imz}$ ; then by applying the formula for  $T_n$  on  $S_k(\Gamma_0(3), 1)$  (see [6]) we have

$$T_3(f(z)) = \sum_{m \geq 1} a_{3m} e^{2\pi imz},$$

while on  $S_k(\text{SL}_2(\mathbf{Z}))$  we have

$$T_3(f(z)) = \lambda f(z) = \sum_{m \geq 1} a_{3m} e^{2\pi imz} + 3^{k-1} \sum_{m \geq 1} a_m e^{3(2\pi imz)}.$$

For  $T_3$  acting on the 2-dimensional summand  $\langle f(z) \rangle \oplus \langle f(3z) \rangle$ , we obtain

$$T_3(f(z)) = \lambda f(z) - 3^{k-1} f(3z) \quad \text{and} \quad T_3(f(3z)) = f(z).$$

Then on  $\langle f(z) \rangle \oplus \langle f(3z) \rangle$  the operator  $T_3$  has eigenvalues  $\alpha$  and  $\beta$  with  $\alpha + \beta = \lambda$  and  $\alpha\beta = 3^{k-1}$ . The eigenvalues of  $T_3$  acting on  $S_k(\Gamma_0(3))^{\text{old}}$  are precisely the  $\alpha$  and  $\beta$  for all possible eigenvalues  $\lambda$  of  $T_3$  acting on  $S_k(\text{SL}_2(\mathbf{Z}))$ . We conclude that

$$\text{Tr } T_3 = \sum (\alpha + \beta) = \sum \lambda = \text{Tr}(T_3 \text{ on } S_k(\text{SL}_2(\mathbf{Z}))).$$

From the product formula  $T_n \cdot T_m = \sum_{d|m,n} d^{k-1} T_{mn/d^2}$  we derive

$$(11) \quad T_{3^m} = T_3 \cdot T_{3^{m-1}} - 3^{k-1} T_{3^{m-2}} \quad \text{for } m \geq 2$$

on  $S_k(\text{SL}_2(\mathbf{Z}))$ . Thus, the eigenvalue  $\lambda_{3^m}$  of  $T_{3^m}$  on  $S_k(\text{SL}_2(\mathbf{Z}))$  corresponding to  $\lambda$  is

$$\lambda \cdot \lambda_{3^{m-1}} - 3^{k-1} \cdot \lambda_{3^{m-2}}.$$

While  $\lambda = \alpha + \beta$  and  $3^{k-1} = \alpha\beta$ , it follows by induction that the eigenvalue of  $T_{3^m}$  on  $S_k(\text{SL}_2(\mathbf{Z}))$  corresponding to  $\lambda = \alpha + \beta$  is  $\sum_{i=0}^m \alpha^i \beta^{m-i}$ .

Furthermore, it holds that  $T_{3^m} = (T_3)^m$  on  $S_k(\Gamma_0(3), 1)$ , so  $T_{3^m}$  has eigenvalues  $\alpha^m$  and  $\beta^m$  on  $\langle f(z) \rangle \oplus \langle f(3z) \rangle$ . Adding up the relation

$$\alpha^m + \beta^m = \sum_{i=0}^m \alpha^i \beta^{m-i} - \alpha\beta \sum_{i=0}^{m-2} \alpha^i \beta^{m-2-i}$$

for all pieces of  $S_k(\Gamma_0(3))^{\text{old}}$ , we obtain the stated result for  $T_{3^m}$ ,  $m \geq 2$ .  $\square$

*Remark 4.2.* From the dimension formula [6, Corollary 2.3] we conclude

$$(12) \quad \begin{aligned} \dim S_2(\text{SL}_2(\mathbf{Z})) &= 0, \\ \dim S_k(\text{SL}_2(\mathbf{Z})) &= \begin{cases} [k/12] & \text{for } k \not\equiv 2 \pmod{12}, \\ [k/12] - 1 & \text{for } k \equiv 2 \pmod{12}, \quad k \geq 4. \end{cases} \end{aligned}$$

Next we derive a formula for  $\text{Tr } T_q$  on  $S_k(\Gamma_0(3))^{\text{new}}$ .

**Proposition 4.3.** *On  $S_k(\Gamma_0(3))^{\text{new}}$  we have*

$$\text{Tr } T_q = \begin{cases} \dim S_k(\Gamma_0(3))^{\text{new}} \cdot q^{k/2-1} & \text{for } m \text{ even,} \\ q^{k/2-1} & \text{for } m \text{ odd, } k \equiv 2, 6 \pmod{12}, \\ -q^{k/2-1} & \text{for } m \text{ odd, } k \equiv 0, 8 \pmod{12}, \\ 0 & \text{for } m \text{ odd, } k \equiv 4, 10 \pmod{12}. \end{cases}$$

*Proof.* First we consider  $T_3$ . The eigenvalues of  $T_3$  acting on  $S_k(\Gamma_0(3))^{\text{new}}$  are  $\pm 3^{k/2-1}$  (see [3, Theorem 3]). In order to find the multiplicities of the eigenvalues, we compute

$$\begin{aligned} \text{Tr } T_3 \text{ on } S_k(\Gamma_0(3))^{\text{new}} &= \text{Tr } T_3 \text{ on } S_k(\Gamma_0(3), 1) - \text{Tr } T_3 \text{ on } S_k(\Gamma_0(3))^{\text{old}} \\ &= \text{Tr } T_3 \text{ on } S_k(\Gamma_0(3), 1) - \text{Tr } T_3 \text{ on } S_k(\text{SL}_2(\mathbf{Z})). \end{aligned}$$

By the Eichler-Selberg formula we find

$$(13) \quad \begin{aligned} &\text{Tr } T_3 \text{ on } S_k(\Gamma_0(3), 1) \\ &= - \left\{ \frac{\rho_1^{k-1} - \bar{\rho}_1^{k-1}}{\rho_1 - \bar{\rho}_1} h_w(-11) + \frac{\rho_2^{k-1} - \bar{\rho}_2^{k-1}}{\rho_2 - \bar{\rho}_2} h_w(-8) + 1 \right\}, \end{aligned}$$

where  $\rho_1, \bar{\rho}_1$  are the zeros of  $X^2 - X + 3$  and  $\rho_2, \bar{\rho}_2$  are the zeros of  $X^2 - 2X + 3$ . Applying the same formula for  $\text{Tr } T_3$  on  $S_k(\text{SL}_2(\mathbf{Z}))$ , we find (13) and the extra terms

$$- \left( \frac{\rho_3^{k-1} - \bar{\rho}_3^{k-1}}{\rho_3 - \bar{\rho}_3} h_w(-3) \right) - \frac{1}{2} \left( \frac{\rho_4^{k-1} - \bar{\rho}_4^{k-1}}{\rho_4 - \bar{\rho}_4} \right) (h_w(-12) + h_w(-3)),$$

where  $\rho_3, \bar{\rho}_3$  are the zeros of  $X^2 - 3X + 3$  and  $\rho_4, \bar{\rho}_4$  are the zeros of  $X^2 + 3$ . For  $\Delta < -4$ , the  $h_w(\Delta)$  are class numbers and  $h_w(-3) = 1/3$ .

Note that in the case of  $\text{SL}_2(\mathbf{Z})$ , the character involved is the principal character, which is 1 on all of  $\mathbf{Z}$  and has conductor 1.

Substituting the zeros of  $X^2 - 3X + 3$  and  $X^2 + 3$ , we get

$$(14) \quad \begin{aligned} \text{Tr } T_3 \text{ on } S_k(\Gamma_0(3))^{\text{new}} &= 2 \cdot 3^{k/2-2} (\sin(k-1)\pi/6 + \sin(k-1)\pi/2) \\ &= \begin{cases} 0 & \text{for } k \equiv 4, 10 \pmod{12}, \\ 3^{k/2-1} & \text{for } k \equiv 2, 6 \pmod{12}, \\ -3^{k/2-1} & \text{for } k \equiv 0, 8 \pmod{12}. \end{cases} \end{aligned}$$

Denoting the multiplicities of the eigenvalues  $3^{k/2-1}$  and  $-3^{k/2-1}$  by  $A$  and  $B$ , respectively, we now know  $A - B$ , while  $A + B = \dim S_k(\Gamma_0(3))^{\text{new}}$ . Because  $T_{3^m} = (T_3)^m$  on  $S_k(\Gamma_0(3), 1)$ , the eigenvalues of  $T_{3^m}$  on  $S_k(\Gamma_0(3))^{\text{new}}$  are  $(3^{k/2-1})^m$  and  $(-3^{k/2-1})^m$ , while their multiplicities are known as well. From (14) we easily confirm the required result.  $\square$

The dimension of  $S_k(\Gamma_0(3))^{\text{new}}$  for even  $k \geq 4$  can be computed explicitly. From the decomposition

$$S_k(\Gamma_0(3), 1) = S_k(\Gamma_0(3))^{\text{new}} \oplus S_k(\Gamma_0(3))^{\text{old}}$$

we see that  $\dim S_k(\Gamma_0(3))^{\text{new}} = \dim S_k(\Gamma_1(3)) - 2 \dim S_k(\text{SL}_2(\mathbf{Z}))$ . Combining

(5) and (12), one finds

$$(15) \quad \dim S_k(\Gamma_0(3))^{\text{new}} = 2[k/12] + \begin{cases} -1 & \text{for } k \equiv 0 \pmod{12}, \\ 1 & \text{for } k \equiv 2, 6, 8 \pmod{12}, \\ 0 & \text{for } k \equiv 4 \pmod{12}, \\ 2 & \text{for } k \equiv 10 \pmod{12}. \end{cases}$$

*Conclusion.* To calculate  $\tau_k(q)$  for  $k$  even,  $k \geq 4$ , and  $q = 3^m$ ,  $m \geq 2$ , we put Propositions 4.1 and 4.3 and formula (15) for  $\dim S_k(\Gamma_0(3))^{\text{new}}$  together. The only quantity left over to compute is the trace of  $T_q$  on  $S_k(\text{SL}_2(\mathbf{Z}))$ . In the next proposition we include a small list of traces of Hecke operators  $\tau_k(q)$  for even  $k$ .

**Proposition 4.4.** *The trace  $\tau_k(q)$  of the Hecke operator  $T_q$ , where  $q = 3^m$  with  $m \geq 1$ , acting on  $S_k(\Gamma_1(3))$ , is for even  $k$  satisfying  $4 \leq k \leq 22$  given by the following table:*

$k$	$\tau_k(q)$ on $S_k(\Gamma_0(3))^{\text{old}}$	$\tau_k(q)$ on $S_k(\Gamma_0(3))^{\text{new}}$	
		$m$ odd	$m$ even
4	0	0	0
6	0	$q^2$	$q^2$
8	0	$-q^3$	$q^3$
10	0	0	$2q^4$
12	$t_{12,m}$	+ $-q^5$	$q^5$
14	0	$q^6$	$3q^6$
16	$t_{16,m}$	0	$2q^7$
18	$t_{18,m}$	$q^8$	$3q^8$
20	$t_{20,m}$	$-q^9$	$3q^9$
22	$t_{22,m}$	0	$4q^{10}$

For  $k = 12, 16, 18, 10, 22$ , the  $t_{k,m}$  are respectively given by  $t_{k,0} = 2$ ,  $t_{k,1} = 252, -3348, -4284, 50652, -128844$  and

$$t_{k,m} = t_{k,1} \cdot t_{k,m-1} - 3^{k-1} t_{k,m-1} \quad \text{for } m \geq 2.$$

*Proof.* For  $k = 4, 6, 8, 10, 14$ , the spaces  $S_k(\text{SL}_2(\mathbf{Z}))$  are zero, therefore  $\text{Tr } T_q$  on  $S_k(\Gamma_0(3))^{\text{old}}$  is zero, and our formulas follow easily.

For  $k = 12, 16, 18, 20, 22$ , the spaces  $S_k(\text{SL}_2(\mathbf{Z}))$  are one-dimensional. If  $\lambda$  is the eigenvalue of  $T_3$  on  $S_k(\text{SL}_2(\mathbf{Z}))$ , we have  $\lambda = \alpha + \beta$  and  $3^{k-1} = \alpha\beta$ , where  $\alpha$  and  $\beta$  are the corresponding eigenvalues of  $T_3$  on  $S_k(\Gamma_0(3))^{\text{old}}$  (see the proof of Proposition 4.1). Now  $t_m = \text{Tr } T_{3^m}$  on  $S_k(\Gamma_0(3))^{\text{old}}$  satisfies the recurrence relation

$$t_m = \alpha^m + \beta^m = \lambda t_{m-1} - 3^{k-1} t_{m-2} \quad \text{for } m \geq 2,$$



while  $t_1 = \lambda$  and  $t_0 = 2$ . We calculate  $\lambda = \text{Tr } T_3$  on  $S_k(\text{SL}_2(\mathbf{Z}))$  by the trace formula. The result is

$$\lambda = - \sum_{t=0}^3 r_t Q_{k-2}(t, 3) - 1,$$

with  $r_0 = \frac{2}{3}$ ,  $r_1 = r_2 = 1$ , and  $r_3 = \frac{1}{3}$ . Combining these observations with Proposition 4.3, we obtain our formulas.  $\square$

Note that for  $k = 12$ , the eigenvalue of  $T_q$  on  $S_{12}(\text{SL}_2(\mathbf{Z}))$  is  $\tau(q)$ , where  $\tau$  is the Ramanujan  $\tau$ -function. Then  $t_m = \tau(q) - 3^{11}\tau(q/9)$  for  $q = 3^m$  with  $m \geq 2$  and  $t_1 = 252$ .

5. THE COMPUTATION OF  $\tau_k(q)$  FOR ODD  $k \geq 3$

By (5), we have for odd  $k$  that

$$\dim S_k(\Gamma_1(3)) = \dim S_k(\Gamma_0(3), \omega) = [k/3] - 1.$$

Since the action of the character  $\omega$  on  $(\mathbf{Z}/3\mathbf{Z})^*$  differs from the action of the principal character, the space of cusp forms  $S_k(\Gamma_0(3), \omega)$  consists entirely of newforms. Therefore, the eigenvalues  $\lambda$  of  $T_3$  acting on  $S_k(\Gamma_0(3), \omega)$  have absolute values  $3^{(k-1)/2}$  (see [3, Theorem 3]). This implies that the monic polynomial  $F_k(X)$  with roots  $\lambda/3^{(k-1)/2}$  is reciprocal. So, to determine  $F_k(X)$ , which has degree  $[k/3] - 1$ , we only have to know the first  $[(k/3 - 1)/2] + 1$  coefficients, provided they are not 0.

Since  $T_q = (T_3)^m$  on  $S_k(\Gamma_0(3), \omega)$ , we have  $\text{Tr } T_q = \sum_{\lambda} \lambda^m$ , and from the Newton identities for power sums we can derive some elementary symmetric functions of the eigenvalues  $\lambda$  from  $\text{Tr } T_3, \text{Tr } T_9$ , etc. We only need a few  $\text{Tr } T_q$  to fix  $F_k(X)$ , bearing in mind that  $F_k(X)$  is reciprocal. From  $F_k(X)$  we obtain the characteristic polynomial of  $T_3$  and from that the eigenvalues  $\lambda$  of  $T_3$ . Then we can compute  $\tau_k(q) = \sum_{\lambda} \lambda^m$  for odd  $k \geq 3$  and  $q = 3^m$  with  $m \geq 2$ .

**Proposition 5.1.** *The trace  $\tau_k(q)$  of the Hecke operator  $T_q$  with  $q = 3^m$  and  $m \geq 2$ , acting on  $S_k(\Gamma_0(3), \omega)$ , is for  $k = 3, 5, 7, 9, 11, 13, 15$ , and 17 given by the following table:*

$$\begin{aligned} \tau_3(q) &= \tau_5(q) = 0, \\ \tau_7(q) &= (-1)^m q^3, \\ \tau_9(q) &= q^4 \cdot \text{Trace}(\alpha_9^m), \\ \tau_{11}(q) &= q^5 \cdot \text{Trace}(\alpha_{11}^m), \\ \tau_{13}(q) &= q^6 \cdot \{1 + \text{Trace}(\alpha_{13}^m)\}, \\ \tau_{15}(q) &= q^7 \cdot \text{Trace}(\alpha_{15}^m), \\ \tau_{17}(q) &= q^8 \cdot \text{Trace}(\alpha_{17}^m). \end{aligned}$$

The  $\alpha_i$  are algebraic numbers of absolute value 1 given by

$$\alpha_9 = \frac{5 + 2\sqrt{-14}}{9}, \quad \alpha_{11} = \frac{-1 + 4\sqrt{-5}}{9}, \quad \alpha_{13} = \frac{-25 + 2\sqrt{-26}}{27},$$

$$\alpha_{15} = \frac{61 - 16\sqrt{91} + 4\sqrt{-2002 - 122\sqrt{91}}}{243},$$

$$\alpha_{17} = \frac{-19 + 2\sqrt{8089} + 2\sqrt{-6583 - 19\sqrt{8089}}}{243}.$$

In this table, the Trace of an algebraic number is the sum of all its conjugates.

*Proof.* Since  $\dim S_k(\Gamma_0(3), \omega) = 0$  for  $k = 3, 5$ , we have that  $\tau_3(q) = \tau_5(q) = 0$ . For the other values of  $k$  we compute the eigenvalues of  $T_3$  acting on  $S_k(\Gamma_1(3)) = S_k(\Gamma_0(3), \omega)$  in the way indicated above. The trace formula (4) gives us that for weight  $k$ :

$$\begin{aligned} \text{Tr } T_3 &= -Q_{k-2}(1, 3)H(-11) - Q_{k-2}(-2, 3)H(-8) - 1 \\ &= -Q_{k-2}(1, 3) - Q_{k-2}(-2, 3) - 1, \\ \text{Tr } T_9 &= -1 - \sum_{\substack{t \equiv 1 \pmod{3} \\ t^2 < 36}} Q_{k-2}(t, 9)H(t^2 - 36). \end{aligned}$$

Using the recurrence relations for the polynomial  $Q_{k-2}$  and a small table of class numbers from [7], we get the entries of the table below:

$k$	$\text{Tr } T_3$	$\text{Tr } T_9$	$F_k(X)$
7	-27	729	$X + 1$
9	90	-5022	$X^2 - \frac{10}{9}X + 1$
11	-54	-115182	$X^2 + \frac{2}{9}X + 1$
13	-621	1291059	$(X - 1)(X^2 + \frac{50}{27}X + 1)$
15	2196	-1624860	$X^4 - \frac{244}{243}X^3 + \frac{1474}{2187}X^2 - \frac{244}{243}X + 1$
17	-2052	18618660	$X^4 + \frac{76}{243}X^3 - \frac{122}{729}X^2 + \frac{76}{243}X + 1$

The eigenvalues  $\lambda$  of  $T_3$  on  $S_k(\Gamma_0(3), \omega)$  are  $3^{(k-1)/2} \cdot \alpha$ , where  $\alpha$  runs over the zeros of  $F_k(X)$  and

$$\tau_k(q) = \sum_{\lambda} \lambda^m = q^{(k-1)/2} \sum_{\{\alpha : F_k(\alpha)=0\}} \alpha^m,$$

which provides us with our formulas for  $k = 7, 9, 11, 13, 15, 17$ .  $\square$

Note that by computing the trace of more  $T_{3^m}$  for  $m \geq 3$  we can easily extend Proposition 5.1. Adding  $\text{Tr } T_{27}$ , for instance, will get us to  $k = 23$ .

### 6. WEIGHT FORMULAS FOR $M(q)$

When we combine Theorem 3.1 with Propositions 4.4 and 5.1, we get explicit formulas for the frequencies  $A_i$  of words of weight  $i$  in  $M(q)$ . To obtain these formulas, we used the symbolic manipulation language MACSYMA.

We conclude by giving a table of weight formulas. In this table, Ramanujan's  $\tau$ -function is denoted by  $\tau$  and the numbers  $t_k$  denote  $\text{Trace}(\alpha_k^m)$  as in Proposition 5.1.

TABLE 6.1  
*Frequencies  $A_i$  of small weights  $i$  in the Melas codes  $M(q)$*

$$\begin{aligned}
 A_1 &= A_3 = 0, \\
 A_2 &= q - 1, \\
 A_4 &= (q - 1)(q - 3)/2, \\
 A_5 &= 4(q - 1)(q^2 + ((-1)^m - 14)q + 36)/15, \\
 A_6 &= (q - 1)(8q^3 - 165q^2 + (1240 - 68(-1)^m)q - 2655)/90, \\
 A_7 &= 2(q - 1)(4q^4 - 108q^3 + (4t_9 - 18(-1)^m + 1215)q^2 \\
 &\quad + (399(-1)^m - 6744)q + 12884)/315, \\
 A_8 &= (q - 1)(16q^5 - 560q^4 + 8225q^3 - (224t_9 - 880(-1)^m + 66255)q^2 \\
 &\quad - (16296(-1)^m - 298263)q - 517825)/2520, \\
 A_9 &= (q - 1)(16q^6 - 704q^5 + 13216q^4 \\
 &\quad - (160t_9 - 16t_{11} - 216(-1)^m + 138656)q^3 \\
 &\quad + (3816t_9 - 13776(-1)^m + 895209)q^2 \\
 &\quad - (3470238 - 187593(-1)^m)q + 5597820)/11340, \\
 A_{10} &= (q - 1)(32q^7 - 1728q^6 + 40512q^5 - 540519q^4 \\
 &\quad + (6240t_9 - 720t_{11} - 6120(-1)^m + 4529826)q^3 \\
 &\quad + (-110280t_9 + 360000(-1)^m - 24851277)q^2 \\
 &\quad + (85643448 - 4448871(-1)^m)q - 129806479 \\
 &\quad - 32(\tau(q) - 177147\tau(q/9))/q^2)/113400, \\
 A_{11} &= (q - 1)(32q^8 - 2080q^7 + 59520q^6 - 985920q^5 \\
 &\quad + (2288t_9 + 32t_{13} - 560t_{11} - 440(-1)^m + 10453958)q^4 \\
 &\quad + (-136840t_9 + 16720t_{11} + 110220(-1)^m - 74203966)q^3 \\
 &\quad + (1705506t_9 - 5122359(-1)^m + 358627785)q^2 \\
 &\quad + (57077625(-1)^m - 112429735)q + 1617492524 \\
 &\quad + 880(\tau(q) - 177147\tau(q/9))/q^2)/623700, \\
 A_{12} &= (q - 1)(64q^9 - 4928q^8 + 168960q^7 - 3400320q^6 \\
 &\quad + 44564751q^5 - (2112t_{13} - 33440t_{11} + 115808t_9 \\
 &\quad + 398775397 + 16720(-1)^m)q^4 - (664400t_{11} \\
 &\quad - 5020400t_9 + 2939640(-1)^m - 2486674179)q^3 \\
 &\quad - (52961436t_9 - 145879734(-1)^m + 10845159710)q^2 \\
 &\quad + (31412188148 - 1550485266(-1)^m)q - 43190708055 \\
 &\quad + (\tau(q) - 177147\tau(q/9))(1408q^4 - 46992q^3)/q^5)/7484400.
 \end{aligned}$$

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