Reappraisal of the Fluxional Behavior of $Ru_3(\mu-H)(\mu_3-\eta^2-C\equiv C^tBu)(CO)_9$ and Evidence for the Concerted **Rotation of the Ru(CO),(PMe,Ph) Group in the** Enantiomerization of $Ru_3(\mu-H)(\mu_3-\eta^2-C\equiv C^tBu)(CO)_8(PMe_2Ph)$

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The fluxional behavior of the alkynyl cluster $Ru_3(\mu-H)(\mu_3-\eta^2-C=Cl^2Bu)(CO)_9$ (1) has been reinvestigated by variable-temperature ¹³C NMR spectroscopy and also by 2D EXSY and 1D magnetization transfer experiments. Three processes have been established. The lowest energy process is a tripodal rotation of the unique Ru(CO)₃ group, with $\Delta G^* = 59.1 \text{ kJ} \text{ mol}^{-1}$. Two further higher energy processes result in complete carbonyl scrambling without intermetallic CO migration: (i) the rotation of the alkynyl ligand around the metal triangle coupled with hydride migration, $\Delta \bar{G}^* = 67.0$ kJ mol⁻¹, and (ii) a tripodal rotation in the two equivalent Ru(CO)_3 groups, $\Delta G^* = 72.0 \text{ kJ mol}^{-1}$. The derivative $\text{Ru}_3(\mu\text{-H})(\mu_3\text{-}\eta^2\text{-C}=\text{C}'\text{Bu})(\text{CO})_3(\text{PMe}_2\text{Ph})$ (2) has been prepared and the fluxional behavior examined. Crystal data for 2: monoclinic, space group P_{21}/n , $a = 10.1257$ (7) Å, $b = 21.432$ (2) Å $c = 12.440$ (1) Å, $\beta = 90.448$ (6)°, $V = 2699.6$ (4) Å³, R (R_w) $P2_1/n$, $a = 10.1257$ (7) Å, $b = 21.432$ (2) Å $c = 12.440$ (1) Å, $\beta = 90.448$ (6)°, $V = 2699.6$ (4) Å³, $R(n_w) = 0.028$ (0.039) for 4189 independent, absorption-corrected data. The PMe₂Ph ligand substitutes in an equatorial position on the unique $Ru(CO)_{3}$ group. Three isomers exist in solution, the major one corresponding to the crystal structure. Exchange within the $Ru(CO)_2(PMe_2Ph)$ group in this isomer occurs as a concerted rotation, resulting in cluster enantiomerization, with $\Delta H^{\ddagger} = 57.3 \text{ kJ} \text{ mol}^{-1}$ and $\Delta S^* = 35.0$ J mol⁻¹ K⁻¹.

Introduction

The fluxional behavior of hydrocarbyl ligands in metal clusters has been intensively studied, since such information may be relevant to the mobility of small organic molecules on metal surfaces.¹ For instance there have been numerous reports on the fluxionality of σ, π -alkynes^{2,3} in trinuclear clusters, **as** well **as** dynamic NMR studies on clusters containing σ , π -vinyl,⁴ -vinylidene,⁵ and -allenyl⁶ ligands.

In an early study in **1975,** Aime et al.7 reported the carbonyl fluxionality in the σ , π -alkynyl cluster Ru₃(μ -

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 $H\left(\mu_{3} - \eta^{2}-C\right) = C^{t}Bu$ (CO)₉ (1). This work showed that the lowest energy process was a tripodal rotation of the unique $Ru(CO)_{3}$ group, σ -bonded to the alkynyl ligand. The activation barrier ΔG^* was not given in the original report⁷ but has since been variously reported as $55.\overline{2}$ (± 2.1)^{8,9} or $46 \ (\pm 1)^{10}$ **kJ** mol⁻¹. At the ¹³C operating frequency of 25.1 MHz, the two resonances for this group collapse at around **310** K. On further warming, the remaining resonances broaden and collapse, and finally at **426** K a single resonance is observed. These last observations were interpreted as a tripodal rotation in the two equivalent $Ru(CO)_3$ groups, with a ΔG^* reported as 65.3 (± 2.1)^{8,9} or 62.7 (± 1)¹⁸ **kJ** mol-', followed by the onset of total CO exchange.

The fluxional behavior of several derivatives of **1** were subsequently reported by the same authors, including ${\rm [Ru}_3(\mu_3\text{-}\eta^2\text{-}C\text{)}=C^t{\rm Bu})(\text{CO})_9$]⁻⁸ and ${\rm Ru}_3(\mu\text{-}H)(\mu_3\text{-}\eta^2\text{-}C\text{)}=$

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Figure 1. Observed and simulated (resonances a and c only) variable-temperature ¹³C(¹H) NMR spectra of 1 in the carbonyl region.

 $C^tBu)(CO)₈(L)$ (L = PPh₃,⁹ PMePhBz¹¹), and these have broadly similar variable-temperature ¹³C NMR spectra. While these authors^{7,8,12} considered that the complete scrambling of CO ligands was most likely to occur via bridged CO intermediates, the possibility of rotation of the alkynyl ligand was also mooted.¹³ More recent work by Predieri et al^{14a} on the dppm derivative of 1 and Chi et al.¹⁵ on related trinuclear σ , π -alkynyl clusters has demonstrated that alkynyl rotation does occur. Following our own interest^{16,17} in the fluxionality of clusters derived from **1,** we have undertaken a reexamination of the dynamic behavior of 1 and shown that the original interpretation is incorrect. We **also** report herein an examination of the fluxionality of the phosphine derivative $Ru_3(\mu-H)(\mu_3-\eta^2 C=C^tBu)(CO)₈(PMe₂Ph)$ (2).

Results and Discussion

Fluxional Behavior of 1. The variable-temperature 13C('H) **NMR spectrum** of 1 is shown in Figure 1. The five signals (253 K) at δ 199.4, 197.4 (² J_{CH} = 3.9 Hz), 193.3, 189.6 $(^{2}J_{\text{CH}} = 3.6 \text{ Hz})$, and 188.5 $(^{2}J_{\text{CH}} = 14.0 \text{ Hz})$ (referred herein **as** resonances a-e, respectively) are ca. 3 ppm to high frequency of those reported earlier⁸ in the same solvent. Their intensity ratio 1:2:2:2:2 is entirely consistent with the C_s symmetry determined from a neutron dif-

fraction study.18 Secure assignments to individual carbonyls (Chart I), which are vital for a correct interpretation of any **fluxional** behavior, were made on the following basis. The signals a and c show no coupling to the hydride and in view of their intensities and exchange behavior are unambiguously assigned to the carbonyls in the unique $Ru(CO)_{3}$ group. Since resonance e shows the largest coupling to the hydride, it is assigned to the carbonyls trans to this ligand. The couplings to the hydride do not allow a distinction between b and d, although on the basis of chemical shift arguments,' the pseudoaxial carbonyl was assigned to resonance b, and the equatorial carbonyl to resonance d. In view of the intrinsic unreliability of such chemical shift correlations, we have carried out a ${}^{13}C{}_{1}{}^{1}H{}_{3}$ **NOE** difference experiment to assist in the assignments of b and d. Irradiation at the t Bu proton frequency re**sulted** in nuclear Overhauser enhancements at **all** sites, but the enhancement at site b was 43% of the enhancement at site d. This confirms the original assignment of Aime et **al.?** since the shortest C-H internuclear distances from the tBu hydrogens to the carbonyls are 2.74 **A** for d and 5.25 Å for b .¹⁸

The exchange between a and c could be simulated satisfactorily using the exchange rate constants k_{ac}^{19} given in Figure 1. From the temperature dependence of k_{ac} we estimate $\Delta H^* = 66.2 \ (\pm 1.9) \text{ kJ mol}^{-1}$ and $\Delta S^* = 24.5 \ (\pm 7.2)$ J mol⁻¹ K⁻¹. Our value for ΔG^* at 275 K of 59.1 (\pm 0.5) kJ mol⁻¹ is slightly higher than previously reported.⁸⁻¹⁰ It is important to note that, above 273 K, the resonances d and e broaden faster than b; hence, this broadening *cannot* be due simply to a tripodal rotation of the corresponding $Ru(CO)_{3}$ groups. It is not possible to simulate satisfactorily

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Figure 2. ¹³C^{{1}H} **EXSY** spectrum of 1 at 273 K in the carbonyl **region.**

the line broadening of these signals using a single rate constant, as was claimed by Rosenberg et al.²⁰ We shall return to this point later.

2D EXSY Studies **on 1.** While the line broadening of NMR signals undergoing chemical exchange gives us information about the rate at which magnetization leaves particular sites, it does not usually provide information **as** to where that magnetization migrates in a multisite ex-The 2D NMR technique EXSY produces a graphic display of the multisite exchange matrix.²¹⁻²³ The 13C(lH) EXSY spectrum of **1** recorded at **273** K, and with a mixing time (t_m) of 0.6 s, is shown in Figure 2. Several important *qualitative* features are evident: (a) Magnetization transfer is observed from **all** sites to **all** other sites, confirming complete CO scrambling. However, it does not indicate that there is necessarily a direct exchange between all sites. (b) The intensity of the cross peak between d and $e(I_{de})$ is greater than I_{bd} or I_{be} , confirming that d and e exchange with each other faster than they do with resonance b. (c) I_{ad} and I_{ae} are approximately equal to each other, as is also the case for $I_{bd} \approx I_{be}$ and $I_{cd} \approx I_{ce}$, suggesting similar or identical values for the corresponding rate constants, i.e. $k_{ad} \approx k_{ae}$, etc. Any mechanistic interpretation must take these observations into account. It is important to issue a caveat here. While, in the initial rate approximation, the intensities of cross peaks are directly proportional to the site-to-site exchange rate constants, 23 this does not hold true for long mixing times, where second-order components may also contribute intensity.

It is now well established that **all** site-to-site exchange rate constants may be obtained, in a noniterative fashion, from the integrated volume intensities of a single EXSY spectrum.²¹⁻²⁴ However, the accuracy of such an analysis depends critically on the use of an optimum mixing

Figure 3. **Calculated dependence of selected** EXSY **intensities** on t_m for 1 at 273 K.

Table I. Site-to-Site Exchange Rate Constants **(s-')** from the **EXSY** SDectrum of **1** at **275 K**

| | h _{ii} ^{a,b} | | | | | | | |
|-------------|----------------------------------|---------------------------------------|---|---|---|--|--|--|
| | а | | c | | | | | |
| а b c | | $0.94 \ (\pm 0.5)$ 26.8 (± 7.0) | | $0.17~(\pm 0.6)$ $0.35 \ (\pm 0.2)$ 0.09 (± 0.08) 0.09 (± 0.09) $0.48 \ (\pm 0.25)$ 0.48 (± 0.25) | $0.17 \ (\pm 0.6)$ $0.92 \ (\pm 0.18)$ | | | |

 $a_k = 2k_{\rm ia}$ due to population differences. b Estimated error in **parentheses.**

time.^{23,24} An estimation of optimal values for t_m has been given by Perrin,²⁵ but unfortunately, in the system under investigation, there is no value of t_m for which an accurate evaluation of **all** rate constants can be made.

Kinetic analyses on EXSY spectra measured at **275** K,% and with t_m values of 0.2, 0.5, and 0.6 s, were carried out according to the method of Abel et al. 24 These gave widely differing rate constants, with large errors. The reason for these problems is readily appreciated from Figure **3,** which shows the calculated dependency of the intensities of selected EXSY peaks on t_m . The exchange rate constants **used** in these calculations were derived from our DANTE studies (see below). With mixing times longer than about 0.05 **s** I_{aa} and I_{ac} become relatively insensitive to t_m , and the calculated rate constant $k_{\rm ac}$ becomes subject to large errors. The optimal value²⁵ of t_m for measurement of \tilde{k}_{ac} is about **0.02** *8,* but then the other cross peaks have intensities of only a few per cent of that of the weakest diagonal peak I_{aa} , and a multisite analysis becomes inherently unreliable. **An** EXSY spectrum using this mixing time showed only two cross peaks, a strong one between resonances a and c and a weak one between d and e. Kinetic analysis gave a value of 33.1 (± 1.7) s⁻¹ for k_{ac} , which is identical to that obtained from the DANTE experiments and is consistent with the results of band shape analysis. The results of a kinetic analysis on an EXSY spectrum with t_m of 0.1 s, about the best compromise value, are given in Table I. Since the uncertainties in the site-to-site rate constants precluded any mechanistic interpretation, we

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⁽²⁶⁾ This temperature was chosen since resonances a and c were still relatively narrow, while resonances b, d, and e showed some exchange broadening. At these mixinga times all **the crws and diagonal peaks were of reasonable intensity.**

Table 11. Optimized Site-to-Site Rate Constants (s-') from Magnetization Transfer Data for 1 at 275 K

rate constants

^aThe applied constraints were as follows: A, $k_{ad} = k_{ac} = k_{bc} = 0$; B, as previous plus $k_{bd} = k_{bc}$; C, as previous plus $k_{cd} = k_{ce}$; D, as previous plus $k_{bd} = k_{dc}$; D, as previous plus $k_{bd} = k_{bd} = k_{bc}$; E, as previous p

chose to examine the multisite exchanges in **1** using the quantitative 1D magnetization transfer method of Grassi et al.²⁷

1D Magnetization Transfer Studies. If nonequilibrium magnetization is induced at one site undergoing chemical exchange, then that magnetization will migrate to other sites involved in the exchange. This is the basis of the well-known Forsén-Hoffman²⁸ method for determining slow-exchange rate constants. In a two-site exchange the rate constant may be obtained without difficulty, and recently Grassi et al.²⁷ have described a matrix method that allows all exchange rate constants in multisite exchange to be evaluated. This method has the added advantage of allowing constraints to be introduced into the analysis.

In separate experiments, signals b-e were selectively inverted using a DANTE pulse train,²⁹ and the development of nonequilibrium magnetization followed over a period of 1 s. Experimental intensities were fitted to optimized rate constants and T_1 values, using the procedure described by Grassi et al.²⁷ Since inversion recovery experiments showed that the T_1 value for all sites was around 1.1 s, only one T_1 value was optimized. Previous work²⁷ has shown that the derived rate constants are insensitive to T_1 values. Data were analyzed by iteration of all the variables against two, three, and finally all four experimental data sets, and constraints were applied to test hypotheses. The results were closely similar in each case, and the optimized rates obtained from the analysis using all four data sets are set out in Table 11.

All rate constants were initially treated **as** independent variables, and optimization gave $T_1 = 1.10$ s and a leastsquares error of 0.0176. All data points were fitted better than the error in integration of ± 2 (arbitrary) units. Since the rate constants k_{ad} , k_{ae} , and k_{bc} became negative in all or some of the analyses, these were then set to zero, modestly increasing the least-squares error to 0.0191, Since $k_{bd} \approx k_{be}$ and $k_{cd} \approx k_{ce}$, as indicated by the EXSY data, these were then constrained to be equal, resulting in only a marginal increase in the least-squares error to 0.0195. It was now apparent that $k_{bd} + k_{cd} \approx k_{de}$, suggesting that two independent processes contribute to the d/e exchange. Applying this constraint raised the least-squares error to **0.0204,** but all data points were still fitted better than experimental error. Finally, since k_{ba} (i.e. $k_{ab}/2$) was approximately equal to k_{cd} , they were so constrained, giving a least-squares error of 0.0206 for the fully constrained set E (Table II). Reassuringly, releasing the constraint $k_{\text{ad}} =$

 $k_{\text{ae}} = k_{\text{bc}} = 0$ at this point resulted in near-zero values for these rates and a lowering of the least-squares error to 0.0197. The value of T_1 remained 1.10 s throughout, which was identical to a crude measurement from inversion recovery experiments. Representative plots of the calculated and experimental magnetizations at each site after inversion of resonance e are shown in Figure **4.**

The data are consistent with three **fluxional** (degenerate permutational) modes *M1-M3,* shown in Scheme I, and having mechanistic rate constants K_1-K_3 . Mode M_1 is the tripodal rotation of the unique $Ru(CO)_3$ group which exchanges a and c. Mode M_2 is the rotation of the alkynyl ligand in concert with hydride migration, which results in the exchange of a and b and the cyclical exchange of c, d, final mode M_3 is the tripodal rotation of the two equivalent $Ru(CO)_{3}$ groups, which requires $k_{bd} = k_{be} = k_{de}$. Since and e. It requires that $(k_{ab}/2) = k_{ba} = k_{cd} = k_{ce} = k_{de}$. The

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Figure 4. Time dependence of observed and calculated magnetizations at sites a-e, after inversion at site e.

there are two independent modes which exchange d and e, the observed site-to-site rate constant k_{de} is the sum of the rates due to K_2 and K_3 . The mechanistic rate constants K are related²³ to the site-to-site rate constants (k_{ii}) by

$$
k_{ij} = (P_{ij})K
$$

 P_{ii} are the elements of the probability matrix P and are merely the probabilities that one passage through the fluxional mode will transfer nucleus i to site **j.** Consideration of the *P* matrices for the three fluxional modes leads to the rate constants $K_1 = 33.6$ (± 3.0), $K_2 = 1.09$ $(±0.05)$, and $K_3 = 0.12$ $(±0.03)$ s⁻¹. These give ΔG^* values at **275** K of **59.1 (5), 67.0 (5),** and **72.0 (8) kJ** mol-', respectively. We cannot determine whether the mode M_3 occurs as a correlated rotation of both $Ru(CO)_3$ groups (with $K_3 = 0.12 \text{ s}^{-1}$) or as uncorrelated motions of each group (with $K_3 = 0.24 \text{ s}^{-1}$). Note that the operation of the modes M_1 – M_3 will result in complete scrambling of the CO ligands, **as** is observed, *without* any intermetallic CO migration. Of course, we cannot exclude the possibility that intermetallic exchange processes occur at higher temperatures.

Despite the fact that the large value of K_1 (2 orders of magnitude greater than K_3) dominates the magnetization transfer effects, our data are sufficiently sensitive to the slower rates to exclude specifically several other possibilities. Aime et al.^{7,8} have suggested that a merry-go-round exchange *occurs* in **1.** Such an exchange occurring between the equatorial carbonyls c, d, and e would result in the exchanges $c \leftrightarrow e \leftrightarrow d$ but no exchange between c and d. $= k_{de}$ (i.e. a merry-go-round exchange in concert with modes M_1 and M_3 , constraint set G, Table II) resulted in a large least-squares error of **0.278,** with many points fitted Setting $k_{cd} = k_{ad} = k_{ae} = k_{bc} = 0$, $k_{bd} = k_{be}$, and $k_{bd} + k_{ce}$

considerably worse than experimental error. Since K_3 is small, we **also** considered the possibility of a zero rate (constraint set H, Table 11). This gave a significantly increased least-squares error **of 0.071,** again with many **points** fitted worse than experimental error. The fluxional modes M_1 - M_3 , with associated rate constants K_1 - K_3 , thus provide the best fit **for** the data. Finally we note that when these rate constants were **used** to compute **EXSY** spectra, the calculated spectral intensities were in agreement with experimental results, within the margins of error. In particular, they correctly predict the intensities of the second-order cross peaks I_{bc} (see Figure 3) and I_{ad} and I_{ae} , which arise from the consecutive operation of modes \overline{M}_2 followed by M_1 .

Our results show that the previous interpretation^{8-10,12,20} of the fluxional behavior of **1** is incorrect, and we wish to point out here one particularly important misinterpretation. Rosenberg et al.²⁰ have reported a kinetic deuterium isotope effect $(k_H/k_D = 2)$ for the single rate constant used by them to simulate the exchange of resonances b, d, and *e* in **1** and attribute this **to** either an opening of the hydride bridge or the formation of a μ_3 -hydride to allow carbonyl mobility during the "tripodal rotation" of the adjacent $Ru(CO)_{3}$ groups. This is a surprising result, particularly since the same authors do not observe²⁰ such an effect in the similar tripodal rotation of the **Os(CO),** groups in $\text{Os}_3(\mu\text{-H})_2(\text{CO})_{10}$. As stated above, it is not possible to simulate adequately the line broadening **of** resonances b, d, and e by using only one rate constant. Inspection of Figure **4** of ref **20** shows that while the line broadening of resonances d and e is adequately reproduced, the fit to resonance b is poor. Coupled with the reported^{8,9} ΔG^* value of $65.3 \ (\pm 2) \ \text{kJ mol}^{-1}$ for this "tripodal exchange", which is identical within error to our value³⁰ for exchange

Figure 5. Molecular structure **and** atomic **labeling for 2. Carbonyl carbon atoms have** the **same label as attached oxygen atoms.**

mode M_2 , it is clear that the kinetic deuterium isotope effect reported by Rosenberg et al.²⁰ refers to M_2 , i.e. the rotation of the alkynyl ligand, coupled with a hydride migration. It is entirely reasonable that mode M_2 shows a kinetic deuterium isotope effect, since Ru-H bonds are broken and made during this process. The erroneous conclusion of Rosenberg et a1.20 concerning the kinetic deuterium isotope effect in **1** has also been applied to the interpretation of the fluxionality of other related systems such as $Hg[Ru_{3}(\mu_{3}-\eta^{2}-C=CC^{t}Bu)(CO)_{9}]_{2}.^{31}$ In view of our work, these conclusions may also be in error.

It is generally assumed that the exchange of carbonyls within $M(CO)$ ₃ groups occurs in a concerted fashion, i.e. a tripodal rotation. The results of Hawkes et **al.32** on the $\sigma,\pi\text{-allyl cluster Ru}_3(\mu\text{-H})\{\mu_3\text{-}\eta^3\text{-MeCC(H)CMe}\}(\text{CO})_\text{9},$ which show experimentally **equal** rate constants for the exchange of the three chemically inequivalent CO's in an $Ru(CO)_3$ group, are strongly in favor of such a concerted mechanism. It is not clear, however, that this should also be the case in the $M(CO)_{0}(PR_{0})$ group, since this rotation does not have the 3-fold degeneracy of the unsubstituted case.

In an attempt to address this question, Rosenberg et al. 11 have examined the fluxionality of a phosphine derivative of 1, namely $Ru_3(\mu-H)(\mu_3-\eta^2-C=CC^tBu)(CO)_8(PMeBzPh)$, which contains the chiral phosphine PMeBzPh. Two mechanisms for CO exchange were considered, (i) concerted rotation of the $Ru(CO)_2(PMeBzPh)$ group, which results in exchange of diastereomers, and (ii) pairwise CO exchange within diastereomers. The mode of collapse of the ¹³C carbonyl signals, arising from the $Ru(CO)₂$ -(PMeBzPh) groups of the two NMR-distinguishable diastereomers, supports the concerted mechanism. However, this experiment suffers from some potential drawbacks, particularly since the expected exchange-averaged chemical shifts do not differ markedly between the two mechanisms considered. No rates measurements were reported, and the variation with temperature both of chemical shifts (which can be quite marked) and the diastereomer populations could only be estimated, casting some doubt on the conclusion.

A more straightforward method to determine whether the exchange in an $M(CO)₂(PR₃)$ group occurs in a concerted fashion is to use a prochiral phosphine, since this can provide an independent measurement of the rate of

 $^{a}U_{eq} = {}^{1}/_{3}\sum_{i}\sum_{j}U_{ij}a_{i}^{*}a_{j}^{*}a_{i}^{*}a_{j}.$

Table IV. Selected Bond Lengths (A) and Bond Angles (des) for 2

| $Ru(1)-Ru(2)$ | 2.8384(4) | $Ru(1)-Ru(3)$ | 2.8045(4) |
|--------------------|--------------------|------------------|-----------|
| $Ru(2)-Ru(3)$ | 2.7924(4) | $Ru(1)-P$ | 2.3158(9) |
| $Ru(1) - C(1)$ | 1.959(3) | $Ru(2)-C(1)$ | 2.212(3) |
| $Ru(3)-C(1)$ | 2.214(3) | $Ru(2)-C(2)$ | 2.254(3) |
| $Ru(3)-C(2)$ | 2.261(3) | $Ru(1)-C(11)$ | 1.859(5) |
| $Ru(1) - C(12)$ | 1.897(4) | $Ru(2)-C(21)$ | 1.911(5) |
| $Ru(2)-C(22)$ | 1.925(4) | $Ru(2)-C(23)$ | 1.914(4) |
| $Ru(3)-C(31)$ | 1.901(5) | $Ru(3)-C(32)$ | 1.921(5) |
| $Ru(3)-C(33)$ | 1.924(4) | $Ru(2) - H(1)$ | 1.69(5) |
| $Ru(3)-H(1)$ | 1.84(5) | $C(1) - C(2)$ | 1.304(5) |
| | mean C-O(carbonyl) | 1.133(6) | |
| $Ru(2)-Ru(1)-P$ | 102.5(1) | Ru(3)–Ru(1)–P | 157.8(1) |
| $Ru(1)-C(1)-C(2)$ | 153.8 (3) | $C(1)-C(2)-C(3)$ | 140.8 (4) |
| $Ru(2)-H(1)-Ru(3)$ | 104 (2) | | |

enantiomerization. For this reason we have prepared, and examined the fluxional behavior of $Ru_3(\mu-H)(\mu_3-\eta^2-C=$ $C^tBu)(CO)₈(PMe₂Ph)$ (2).

Fluxional Behavior of **2.** The derivative **2,** which does not appear to have been previously reported, was made by a standard method (see Experimental Section). Although the NMR data showed that the major isomer in solution was identical to previously reported monophosphine derivatives of l, the presence of isomers in solution prompted a determination of the solid-state structure of 2. Figure 5 shows the molecular structure and atomic labeling scheme, while Tables I11 and IV give the positional parameters and important metrical parameters. The phosphine substitutes in an equatorial site in the unique Ru-

⁽³⁰⁾ Using our value of ΔG^* of 67.0 kJ mol⁻¹, we estimate a rate constant of 12 s⁻¹ at 299 K for mode M_2 . This compares very favorably with the rate constant of 15 s⁻¹ determined by Rosenberg et al.²⁰ for t

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Figure 6. Variable-temperature ¹³C{¹H} NMR spectra of 2 in the carbonyl region.

 (CO) ₃ group, giving a structure identical to that reported³³ for $Ru_3(\mu-H)(\mu_3-\eta^2-C\equiv C^tBu)(CO)_8(PPh_2OE)$ (3). The $Ru(1)-Ru(2)$ and $Ru(1)-Ru(3)$ distances of 2.8384 (4) and 2.8045 (4) A, respectively, are longer than found in 1 (average distance 2.797 **AI8),** while the Ru(2)-Ru(3) distance of 2.7924 **(4) A** is identical to that found in 1. The phosphine thus elongates the non-hydride-bridged vectors, with the longest one being cis to the phosphine, presumably due to steric congestion between the 'Bu group and the phosphine. There is little difference in the $Ru-C_{\text{alkynyl}}$ separations in 1 and **2,** suggesting that the primary effect of phosphine substitution is in the metal skeleton. Very similar observations were made for 3.33

'H, 13C, and 31P NMR spectra of **2** show evidence for **three** isomers% of **2** in solution. **In** addition to signals from the main isomer **2a** given in Table V, there are weak resonances at low temperatures due to $2b$ (ca. 10%) $[\delta(^1H)$

Table **V. NMR** Parameters for 2a

| | | | | J/Hz | |
|--|----------------------------------|-------------------|--------------|------|--|
| reson | chem shift/ppm | mult ^a | \mathbf{P} | H | |
| | $13C$ Data ^b | | | | |
| a | 205.7 | d | 11.4 | | |
| b | 202.4 | 8 | | <3 | |
| c | 200.6 | d | 5.9 | 3 | |
| d | 199.3 | d | 9.0 | | |
| e^{c} | 191.9 | 8 | | 12.4 | |
| f | 191.7 | S | | 3 | |
| | 191.6 | d | 5.6 | <3 | |
| g h | 190.8 | 8 | | 13.2 | |
| | 163.7 | S | | | |
| C_{α} _{C_{β}} | 112.6 | s | | | |
| | ^{31}P Data ^d | | | | |
| | 18.7 | 8 | | | |
| | ¹ H Data ^e | | | | |
| $Ru(\mu$ -H) Ru | -21.11 | d | 1.8 | | |
| Me ^(tBu) | 1.41 | S | | | |
| Me | 2.02 | d | 9.2 | | |
| Ph | $8.0 - 7.6$ | m | | | |

Multiplicities for ¹³C refer to ¹H-decoupled spectra. ${}^{b}CD_{2}Cl_{2}$, **196** K. 'These **signah are** isochronous at **196** K, shifts given at **223** K. dCD2C121 **263** K. **e** CD2C12, **298** K.

Figure 7. Decoupling difference 13C spectra of **2** at **223** K (A) 'H-decoupled **spectrum; (B)** 'H-coupled spectrum; (C) **spectrum** ^A- spectrum B.

 -20.28 (J_{PH} = 8.7 Hz); $\delta(^{31}\text{P})$ 5.3] and **2c** (ca. 7%) [$\delta(^{1}\text{H})$ -20.42 $(J_{\text{PH}} = 7.5 \text{ Hz})$; $\delta(^{31}\text{P}) -2.4$]. Resonances due to **2b** and **2c** broaden considerably on raising the temperature, and at 298 K the two hydride signals collapse to a broad doublet, suggesting that **2b** and **2c** exchange with each other. On further increase of the temperature to 377 K, only a single broad hydride resonance is observed, indicating complete exchange between **2b/2c** and **2a,** and from band shape analysis we estimate a ΔG^* value of 70.5 (\pm 1.0) kJ mol-' for this process. Isomers **2b** and **2c** are assigned **to** the species with the phosphine in an axial or equatorial site cis to the hydride ligand (i.e. replacing the CO ligands $CO(21)$ or $CO(22)$, respectively; see Figure 5). The exchange between **2b** and **2c** is accomplished by a tripodal rotation of the $Ru(CO)₂(PMe₂Ph)$ group, while the exchange between **2b/2c** and **2a** occurs through rotation of the alkynyl ligand, similar to M_2 in 1. We note that isomers have not been previously reported for the other monophosphine derivatives of 1 .^{9,11}

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Figure 8. Time dependence of observed and calculated magnetizations: (top) magnetizations at sites a and d after inversion at site d; (bottom) magnetizations at **sites b and c after inversion at site b.**

The variable-temperature 13C NMR spectra of **2** are shown in Figure **6** and are quite similar to those reported by Jangala et al.⁹ for the PPh₃ analogue. Three resonances at **-6 193** are poorly resolved at low temperatures, though assignments are greatly aided by the ***H** decoupling difference spectrum shown in Figure **7.** Since resonances a and d show no coupling to the hydride and have the largest 31P coupling, they are assigned to the carbonyls in the $Ru(CO)_{2}(PMe_{2}Ph)$ group, while resonances e and h show a large trans coupling to the hydride. Resonances b, c, f, and g show smaller cis couplings to the hydride. *An* EXSY spectrum at 223 K $(t_m = 0.2 \text{ s})$ shows that resonance a exchanges with d, resonance b with c, and resonance e with h. The expected exchange of f and g cannot be observed, since they are isochronous. The assignments shown in Chart I1 are tentative and are based on chemical shift arguments. Since a resonates some **6** ppm to high frequency of d, it is assigned to the axial CO. The pairs b/c and e/h are assigned on the basis of their $\Delta\delta$ as compared with 1, since it may be expected that the carbonyls close to the phosphine are shifted more relative to 1 than those further away. It should be stressed that the relative **as**signments of the exchanging pairs in no way affects the arguments about the fluxional behavior, which are detailed below.

The temperature dependence of the ¹³C spectrum between **196** and **313 K** is readily interpretable in terms of two basic fluxional processes. The lowest energy process exchanges a and d and also results in a time-averaged molecular mirror plane (i.e. cluster enantiomerization), apparently at the same or a similar rate. At **278** K resonances b and c have coalesced to a broad singlet at 6 **201.7,**

while a and d coalesce to a doublet $(J_{\text{PC}} = 9.5 \text{ Hz})$ at their mean chemical shift of 6 **202.7** at slightly higher temperatures. The remaining four carbonyls give an averaged resonance at δ 192.1, which is at the mean of the e/h and f/g pairs. Above **278** K a second process, presumably a tripodal rotation of the $Ru(CO)_{3}$ groups, begins to average **all** carbonyls except a and d. Above **350** K the onset of **total** CO scrambling occurs, presumably through bridged intermediates.

It is the low-energy process which interests us, since it involves cluster enantiomerization. Regarding the Ru- $(CO)₂(PMe₂Ph)$ group, there are four permutomers relevant to this exchange, shown in Scheme 11. There are six permutationally distinct exchange modes, M_1-M_6 , between these permutomers, although only three modes, M_1-M_3 (proceeding at rates K_1-K_3), are NMR distinguishable. Exchange M_1 corresponds to a tripodal rotation, which exchanges a and d and results in cluster enantiomerization. Exchange M_2 is the pairwise exchange of a and d without cluster enantiomerization, while exchange *M3* involves pairwise exchange between the phosphine and carbonyl d and, hence, results in cluster enantiomerization without a/d exchange. The experimentally measurable rate **con**stant k_{ad} is thus $K_1 + K_2$, while the rate of enantiomerization is given by $K_1 + K_3$.

In the absence of unlikely processes involving pairwise exchange between the axial carbonyls b and c, the rate of enantiomerization may be measured by k_{bc} . Kinetic analysis of the EXSY spectrum at **223** K gave values of **6.9 (** \pm **1.5) s**⁻¹ for k_{ad} and **9.1** (\pm 1.5) **s**⁻¹ for \bar{k}_{bc} . Although these are equal within error, we also carried out **1D** magnetization transfer experiments at the same temper-

ature to convince ourselves further. Selective inversion of resonances a to d by a DANTE pulse train, followed by observation of the magnetization at these sites over a 2-9 period (Figure 8), afforded values of 10.8 s⁻¹ for k_{ad} and 11.6 s⁻¹ for k_{bc} , with $T_1 = 1.08$ s and a least-squares error of 0.088. Constraining k_{ad} to equal k_{bc} resulted in a rate constant of 11.2 s⁻¹ and an insignificant rise in the leastsquares error to 0.089. This confirms that the two rate constants are identical within experimental error. The accuracies of integrations are worse than for 1, because of overlapping signals from the minor isomers **2b** and **2c.** Since these minor signals remain sharp up to 250 K, they are not involved in exchange with **2a** at 223 **K** and do not affect the derived rate constants.

As a further check on the rate of enantiomerization, we measured the rate of exchange between the diastereotopic methyl groups of the phosphine in the 13C spectrum by band shape analysis (Figure 9). This analysis gave ΔH^*
= 57.3 (±1.3) kJ mol⁻¹ and ΔS^* = 35.1 (±5.6) J mol⁻¹ K⁻¹, with a ΔG^* value at 240 K of 48.8 (\pm 0.4) kJ mol^{-1.35} Hence at 223 K, the rate constants for enantiomerization (li.8 s^{-1}) and k_{bc} are identical, consistent with our assumption. It follows that $K_1 + K_3 = K_1 + K_2$, and since it is highly unlikely that K_2 and K_3 are equal and nonzero, this implies that a single process M_1 , i.e. concerted tripodal rotation of the $Ru(CO)₂(PMe₂Ph)$ group, is responsible for the low-energy exchanges observed for **2a.**

Conclusions

The tripodal rotation of the $Ru(CO)_{2}(PMe_{2}Ph)$ group in **2a has** an activation barrier some 10 kJ mol-' lower than

for the $Ru(CO)_{3}$ rotation in 1, suggesting that steric factors are unimportant in this process. We have previously³⁶ noted similar results for phosphine derivatives of $Os_3(\mu H$ ₂(CO)₁₀. The small positive entropy of activation is consistent with intramolecular exchange.

Our investigations have **also** shown that, for 1, the next highest energy process is a degenerate process involving rotation of the alkynyl ligand coupled with concerted motion of the hydride. Such a process also accounts for the exchange between isomers **2a** and **2b/2c.** The **barriers** to the alkynyl rotation in 1 and **2** parallel those for similar processes examined by Predieri et al.^{14a} (57.8 kJ mol⁻¹), and Chi et al.¹⁵ $(61-72 \text{ kJ mol}^{-1})$. Interestingly, for the $Ph_2PCH_2CH_2EPh_2$ **(E = P, As)** derivatives of 1, there is no evidence for alkynyl rotation.^{14b}

Experimental Section

General experimental methods were **as** previously detailed." NMR spectra were measured on a Bruker AM200SY instrument equipped with an array processor and process controller. 'H (200.13 MHz) and 13C (50.32 MHz) spectra were referenced to internal solvent signals and are reported relative to SiMe4. 31P (81.02 MHz) spectra are referenced to 85% H_3PO_4 . All ¹³C NMR measurements were made on ¹³CO-enriched samples (ca. 40% ¹³C). NMR probe temperatures were calibrated by using the method of van Geet^{37} and are considered accurate to ± 2 K. Band shape analysis was carried out by using a locally adapted version of DNMR3.³⁸ EXSY spectra were recorded in pure absorption mode by using the Bruker microprogram **NOESYPH. The** data table was acquired by using 1024 words in the f_2 dimension and 256 words in the f_1 dimension, zero filled to 1 K. Spectral widths were typically 1 kHz, and recycle delays $4-6$ s. Eight scans per f_1 increment were used, and a random variation of $\pm 15\%$ was applied to the mixing time delay to reduce any correlations arising from scalar coupling. Spectra were processed with minimal line broadening (typically $2-5$ Hz) in f_2 and the necessary line broadening in f_1 to alleviate truncation errors (typically 5-10 Hz).

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spectra of 2 in the phosphine methyl region.

2D integrals were measured by using the box-integral routine of the Bruker **APZD** display program. Errors in the integrals were estimated from the integrated intensity of identical sized boxes in noise areas of the spectrum. Site-to-site exchange rate constants were obtained from the intensity matrix by using the program **D2DNMR.24**

Magnetization transfer data were acquired by using the methodology outlined by Beringhelli et al.39 The DANTE **180"** selective pulse typically used **30** on-resonance pulses separated by a delay of **1** ms and was calibrated for maximum inversion. For broader signals **20** pulses with a longer pulse width were necessary to achieve good inversion. Eight scans per exchange delay were collected, and the delay was then reset. The whole experiment was cycled **10** times to minimize the effects of temperature and spectrometer drift. The final exchange delay of ca. $5T₁$ was used as a control, and nonequilibrium magnetizations were then obtained by subtracting each spectrum from this one. Line broadening of **10** Hz was used in processing to ensure reasonable **signal** to noise, and the intensity at each site was obtained by integration. The data were analyzed by using a program described by Grassi et al.²⁷

The ¹³C $\left\{$ ¹H $\right\}$ NOE experiment involved irradiation at the ^tBu 'H frequency for **10** s, followed by acquisition of a 'H-coupled spectrum, with an off-resonance acquisition used **as a** control. Initial studies on cluster **1** were made using a pure sample made up under nitrogen atmosphere in deoxygenated CDCl₃. This sample was used for the NOE experiment and some EXSY measurements using a recycle delay of **5** s. Subsequent inversion recovery measurements showed a T_1 of ca. 9 s for the carbonyl

¹³C signals, so Cr(acac)₃ was added to reduce this to \sim 1 s. Surprisingly, the short recycle delay compared with T_1 did not adversely affect the intensities in the EXSY spectra. It was not necessary to add Cr(a~ac)~ to the sample of **2,** since the measured T_1 value at 223 K of the pure sample was \sim 1 s.

Cluster 1 was prepared as previously described⁴⁰ and enriched by heating a toluene solution to ca. **100** "C under **1** atm of 13C0 (99% 13C) for **3** days. A 13CO-enriched sample of **2** was prepared **as** described below from a previously enriched sample of **1.**

Preparation of $\mathbf{R}u_3(\mu-\hat{\mathbf{H}})(\mu_3-\eta^2-\hat{\mathbf{C}})=C^t\mathbf{B}u)(\mathbf{CO})^2_8(\mathbf{P}\mathbf{M}\mathbf{e}_2\mathbf{Ph})$ **(2).** To a stirred solution of $Ru_3(\mu-H)(\mu_3-\eta^2-C=Cl^2Bu)(CO)_9$ (0.2 g, 0.31 mmol) in 10:1 CH_2Cl_2/CH_3CN (20 mL) at 0 °C was added an equimolar solution of Me3N0 in CH2Cl2 **(5** mL). After being stirred for **5** min, a solution of PMezPh **(0.31** mmol) in hexane was added, and the solution became a darker orange. After further stirring for **5** min, the volatiles were removed under vacuum and the residues chromatographed on Florosil using hexane/dichloromethane mixtures as an eluant. A first pale yellow band afforded unreacted **1 (0.01** g), and a second bright yellow band bright yellow crystals of 2 (0.14 g, 61%). Further red/orange and purple bands were not characterized. Characterization data for 2 (see **also** Table V): IR (C8HI2) v(C0) **2076** (m), **2052** (vs), **2039** (w), **2023** (w), **2012** (vs), **2003** (s), **1991** (w), **1984** (m), **1966 (vw), 1958 (vw), 1946** (m) cm-'; 13C('H) NMR (CD2C12, **223 K) S 136.2** (d, **1** C, J(PC) = **45.1** Hz, Ph), **130.8** (d, 2 C, J(PC) = **13.2** Hz, Ph), **130.5** (s, **1** C, Ph), **128.1** (d, **2** C, J(PC) = **10.5** Hz, Ph), **35.5 (8, 1** C, CMe3), **34.2** *(8,* **3** C, C(CH3)3). Calcd for C22H2108PR~3: C, **35.34;** H, **2.83.** Found: C, **35.22;** H, **2.71.** Anal.

Crystal Structure Determination. Details of data collection procedures and structure refinement are given in Table VI. A bright yellow crystal of **2** was mounted in a general position on a glass fiber and coated with acrylic resin. Data were collected at ambient temperatures, using the $\theta/2\theta$ scan mode, on a CAD4F automated diffractometer with graphite-monochromated X-radiation $(\lambda = 0.71069 \text{ Å})$. Unit cell parameters were determined by refinement of the setting angles $(14 < \theta < 16^{\circ})$ of 25 reflections, using the SET4 routine, which averages angles from four diffracting positions. The intensities of three reflections were monitored every **2 h;** a decay in intensities of ca. **1** % over **44.4** h of data collection was noted and a linear correction applied. *Lp* and absorption/extinction **(DIFASS4')** corrections were also applied. The systematic absences uniquely indicated the centrosymmetric space group P2,/n. The structure was solved by direct methods **(MI-THRIL42)** and subsequent electron density difference syntheses. Refinement was by full-matrix least-squares minimizing the function $\sum w(|F_o| - |F_c|)^2$ with the weighting scheme $w = [\sigma^2(F_o)]^{-1}$ used and judged satisfactory. $\sigma(F_o)$ was estimated from counting statistics. All non-H atoms were allowed anisotropic thermal motion. The phenyl and methyl hydrogen atoms were included at calculated positions $(C-H = 1.0\text{\AA})$, while the position of the hydride **H(l)** was determined from a difference Fourier map. Fixed isotropic thermal parameters **(0.08 A2)** were used for all H atoms. Phenyl and methyl hydrogen atoms were allowed to ride on their respective attached C atoms, while positional parameters for **H(1)** were freely refined. The esd of an observation of unit weight *(S)* was **2.1.** Neutral-atom scattering factors were taken from ref **43** with corrections applied for anomalous scattering. All calculations were carried out on a MicroVAX **3600** computer using the Glasgow **GX** suite of programs.44

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Supplementary Material Available: Tables of anisotropic

thermal parameters, calculated hydrogen positional parameters, (10 pages); **a** listing of calculated and observed structure factors (14 **pages).** Ordering information is given on any current masthead page. Registry No. 1, 57673-31-1; 2a, 137364-15-9; 2b, 137364-16-0; and complete bond lengths and bond angles and torsion angles

Synthesis and Spectroscopic Investigations of Alkylaluminum Alkoxides Derived from Optically Active Alcohols. The First Structural Identification of an Optically Active Organoaluminum Alkoxide

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The reaction of trialkylaluminum, R_3 Al ($R = Me$, Et, *i*-Bu), with optically active alcohols such as *l*-menthol and *l*-borneol in a 1:1 ratio gives high yields of R_2AIOR^* ($OR^* = l$ -mentholate, $R = Me$ **(1a)**, Et **(1b)**, i -Bu **(1c)**; $OR^* = l$ -borneolate, $R = Me$ **(2a)**, Et **(2b)**, i -Bu **(2c)**) and the corresponding alkane, RH. T (1c); OR* = *l*-borneolate, R = Me (2a), Et (2b), *i*-Bu (2c)) and the corresponding alkane, RH. The resulting alkoxides have been characterized by ¹H and ¹³C NMR spectroscopy. The single-crystal X-ray structures of **1a,c** and **2a** established the dimeric structure for these compounds. **la** was assigned to the orthorhombic cell system, space group $P2_12_12_1$ (No. 19), with cell constants $a = 10.097$ (1) \AA , $b = 10.485$ (1) \AA , $c = 26.920$ (4) A, and $Z = 4$ (dimers). The structure was refined to a final $R = 4.5\%$ ($R_w = 3.9\%$) based on 2669 observed reflections $(F_o \geq 2.5\sigma(F))$. Ic was assigned to the triclinic cell system, space group P1 (No. 1), with cell constants $a = 10.838$ (4) \AA , $b = 12.792$ (6) \AA , $c = 15.787$ (7) \AA , $\alpha = 81.56$ (4)^o, $\beta = 83.04$ (4)^o, $\gamma = 73.01$ (3)^o, and $Z = 2$ (dimers). The structure was refined to a final $R = 8.7\%$ ($R_w = 8.5\%$) based on 4213 observed reflections $(F_o \geq 3\sigma(F))$. **2a** was assigned to the monoclinic cell system, space group $P2_1$ (No. 4), with cell constants $a = 7.261$ (7) \AA , $b = 14.494$ (8) \AA , $c = 12.938$ (7) \AA , $\beta = 93.63$ (7)°, and $Z = 2$ (dimers). The structure was refined to a final $R = 5.3\%$ $(R_w = 4.4\%)$ based on 1432 observed reflections $(F_o \ge 2.5\sigma(F))$. In **1a,c** and **2a,** the alkoxide ligands serve **as** bridging units between the two dialkylaluminum moieties to give stable, planar *AZOz* rings. The behavior of the 'H **NMR** spectra of **la-c as** a function of temperature has been interpreted in terms of increasing steric interaction of the I-mentholate group with the methyl, ethyl, and isobutyl groups attached to the aluminum. This steric interaction gives rise to restricted rotation of the *l*-mentholate and/or the alkyl group and leads to nonequivalence of the protons in the ethyl and isobutyl derivatives. This does not occur for the I-borneol derivatives, since the borneol moiety cannot interact with the alkyl groups bound to the aluminum because of its orientation and rigidity.

Introduction

During the past several years a great deal of interest **has** been focused on the use of organometallic compounds in regio- and stereospecific organic synthesis.' Increasing emphasis has been placed on the transfer of optical activity from a transition-metal or a main-group-metal center to the substrate. Among the main-group metals studied, the modified aluminum hydride "ate" complexes, incorporating in them an optically active center (e.g., $LiAlH_2(OR)_2$, LiAlH₂(O_2R); R = optically active group), have been found to be very attractive intermediates in enantio- and stereoselective organic syntheses.^{2,3} Recently a few optically active organoaluminum compounds such **as** EtAl(C1)OR*4 $(OR^* = l$ -mentholate) have been proposed, but never isolated and characterized, **as** reaction intermediates in the enantioselective ortho hydroxyalkylation of phenols⁵ and

in asymmetric Diels-Alder reactions. 6 As a part of our investigations of the reactions of alkylaluminum compounds with protic organic substrates,' we report the synthesis and detailed spectroscopic investigations of optically active organoaluminum alkoxides derived from Imenthol and I-borneol and the first structural characterization of optically active organoaluminum alkoxides $[\text{Me}_2\text{Al}(\mu\text{-}l\text{-}\mathrm{mentholate})]_2$, $[(i\text{-}Bu)$ ₂Al $(\mu\text{-}l\text{-}\mathrm{mentholate})]_2$, and $[\text{Me}_2\text{Al}(\mu\text{-}l\text{-borneolate})]_2$. We have also studied the temperature dependence of the **'H** NMR spectra of these derivatives and have determined that in the l-menthol derivatives hindered rotation occurs **as** a result of steric interaction between the menthol group and the alkyl substituents bound to the aluminum atom.

Experimental Section

General Data. All solvents were purified and dried by **standard** techniques? **Argon** gas was purified by **passing** the argon through a series of columns containing Deox catalyst (Alfa), phosphorus pentoxide, and calcium sulfate. Aluminum alkyls

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