NMR Studies of the Structures of p-Aryl-Substituted Chiral Ligands in Rhodium(I) and Platinum(II) Complexes

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The solution-phase stereochemistry of the chelate rings and aryl group arrangement of some p-aryl-substituted DIOP, BDPP, and Chiraphos derivatives is studied in various complexes by $\rm H, 13C$, and $\rm 31P$ NMR. The complexes are of the general composition $\rm [Rh(diene)L_2]$ $\rm BF_4$, PtL_2Cl_2 , where $L_2 = DIOP-(pNMe₂)₄$, 1a, BDPP-(pNMe₂)₄, 2a, Chirophos-(pNMe₂)₄, 3a; diene $=$ NBD or COD and $[Rh(\text{diene})L_2](BF_4)_5$ where $L_2 = DIOP-(pNMe_3+)_4$, 1b, DIOP-(pNHMe₂⁺)₄, 1c, BDPP-(pNMe₃⁺⁾₄, 2b, BDPP-(pNHMe₂⁺⁾₄, 2c, Chiraphos-(pNMe₃⁺)₄, 3b; diene = NBD or COD. The characterization of complexes such as $[Rh(2b)(CH_3CN)_2](BF_4)_5$, Ni $(3a)(SCN)_2$, and $Pt(2a)Cl(SnCl₃)$ is also included. With the exception of the latter, each complex shows C_2 symmetry; also, with the exception of $Pt(1a)Cl₂$, each complex shows two, different aryl group environments. The similarity in the aryl resonances of these complexes, the 13C resonances and proton-proton couplings in the chelate rings, and variable-temperature measurements are consistent with the presence of rigid, or highly preferred, chelate ring conformations. Accordingly, the aryl groups of these complexes are fixed in a chiral quasi-axial quasi-equatorial arrangement in solution. The complex, $Pt(1a)Cl₂$ was found to be conformationally labile by NMR. The higher conformational stability of Rh-diene complexes of p-aryl-substituted DIOP derivatives compared to the ones with nonsubstituted DIOP ligand and to $Pt(1a)Cl₂$ is attributed to the stabilizing effect of the bulky diene ligands and the p-aryl substituents on the chelate conformation.

Introduction

It is generally accepted that the major source of discriminatory interaction in asymmetric hydrogenation arises from the chiral array of aryl groups on the chelating ligands complexed to rhodium.^{1,2} Evidence for this is that those ligands which can present aryl groups in a C_2 fashion have proven to be effective in asymmetric hydrogenation. 3 The first step is discriminative coordination to yield diastereomeric intermediates and the final success of enantioselective hydrogenation is due to the rate differences between parallel reaction paths via the diastereomers.^{4,5} The prevailing product enantiomer can be derived from the energetically unfavorable diastereomer. Thus, a chiral arrangement of the aryl groups is a necessary but not sufficient condition for successful enantioselective hydrogenation.

Different chiral ligands can provide the required ar-

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rangement of aryl groups in several ways. For example, in the rhodium(1) complexes of DIPAMP6 the o-methoxy groups of the axial anisyl rings can weakly coordinate the metal.⁷⁻⁸ In BPPFA⁹ complexes the chiral aminoalkyl side chain can serve the same function. $3a,9$ The ligands BINAP,¹⁰ DIOP,¹¹ BPPM,¹² BDPP (Skewphos),¹³ Chiraphos,14 and Prophos15 form complexes in which one chelate ring conformation is considered to be most stable; the arrangement of aryl groups, in turn, is fixed by the ligand conformation.^{3a,8,13b,14,15} In the absence of functional groups on the chelate backbone, seven-, six-, and even five-member chelate rings can be flexible. However, when the chelate ring has a chirally disposed second ring **as** in **BINAP,** DIOP, and BPPM or methyl groups **as** in Prophos, Chiraphos, and BDPP a single conformation of the chelate

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Chart I. Para-Substituted Chiral Ligands

ring may be preferred and may provide the asymmetric arrangement of phenyl rings.^{8,12,13b,14-16}

Bosnich et al. have suggested that in Prophos, Chiraphos and BDPP complexes the conformational stability is due to the fact that the methyl groups on the chelate ring backbone prefer to be equatorially disposed.^{13b,14,15} An equatorial position of cyclohexyl and phenyl groups in cycphos17 and Phenphos18 can **fii** the position of the phenyl rings in these ligands **as** well.

Crystallographic evidence¹⁹ and solution NMR investigations^{20,21} have provided support for the conformational flexibility of seven-member DIOP chelate rings. A recent X-ray crystallographic investigation of some BDPP complexes shows that this ligand can also adopt more than one ring conformation.23

Generally, speculations on the origin of stereoselectivity rely heavily on crystal structure determinations and 31P NMR studies. Very few detailed solution ¹³C or ¹H NMR studies on chiral chelate ring conformations are available.24 The issue of chelate ring flexibility is crucial since ring flexibility necessitates a more complicated explanation for the mechanism of asymmetric induction.

The recently prepared complexes of p-aryl-substituted DIOP, BDPP, and Chiraphos derivatives^{25,26} (ligands 1, **2,** and 3 in Chart I) provide a good opportunity for a detailed ¹³C and ¹H NMR study of chelate ring conformation since para substitution simplifies the spectra in the aryl region.

Stereochemical Considerations

Some of the possible chelate ring conformations for Chiraphos and BDPP and their derivatives are represented in Chart II. The twisted δ conformations with equatorially disposed Me groups are proposed to be most stable for rhodium complexes of Chiraphos and BDPP. The phenyl rings show C_2 symmetry as determined by X-ray analysis;^{13b,27,28} also ¹H and ¹³C NMR data are consistent with C_2 symmetry for the complexes.^{13b,14} The alternative λ conformation of Chiraphos has diaxial Me groups and is sterically destabilized by cis 1,2-interactions of the methyl groups with the axial phenyl groups.¹⁴ For Chiraphos and its derivatives then, it is reasonable to expect that only the δ conformation exists in solution.

Among the alternative conformations for BDPP and its derivatives the chair conformation contains one equatorial and one axial Me group (Chart II) while the λ conformation has two axial methyl groups. Thus the chair conformation should be more favorable than the λ conformation but less stable than the twisted δ conformation. The chair conformations of BDPP do not give a chiral arrangement of phenyl groups although the complexes themselves are chiral. The δ skew and chair conformations may be close in energy which may explain the fact that some substrates are hydrogenated with low enantioselectivity by BDPP.13 The complex $[Rh(NBD)(BDPP)]$ ⁺ exists in the chair form in the solid state, 13b,23 while in other BDPP complexes the δ -skew conformation was found^{23,29} to be more consistent with solution NMR spectra. It was argued that the δ skew and chair conformations rapidly interconvert and that the δ skew conformation was favored. 23

The number of possible conformations of a chelate ring increases with increasing ring size. In DIOP complexes two favorable conformations are possible, both of which provide a C_2 arrangement of quasi-axial, quasi-equatorial phenyl rings (Chart III).30 Both conformations have been found in crystal structure determinations,¹⁹ and it is reasonable to expect that they may be in an equilibrium in solution. In fact, many DIOP complexes show dynamic behavior by NMR.20-22

Results and Discussion

¹³C NMR Spectra. The ¹³C NMR data for rhodium(I) and platinum(I1) complexes of la-c, 2a-c, and **3a-b** are summarized in Table I. Selected 13C NMR spectra in the aromatic region are shown in Figure 1. The aryl groups of the free ligands are diastereotopic. The difference in chemical shift between two diastareotopic aryl carbons is generally small and in some cases is not resolved. The diastereotopic separation increases when the ligands are chelated to transition metals. For example, the difference between the C-4 and C-4' carbons (see legend for Table I) in $[Rh(COD)(3a)]^+$ is 6 ppm.

If the chelate rings in derivatives of 1 and **2** are dynamic, a time-averaged C_2 structure is obtained (Chart IV). For the purpose of predicting expected NMR parameters the time-averaged structure can be considered to be a unique conformation. The observed chemical shift differences of 2.6-4.3 ppm between C-7 and C-7', **as** well **as** the significant differences between C-5 and C-5' (2.5-3.5 ppm) and H-4 and H-4' are too large to be consistent with a time averaged conformation in [Rh(NBD)(la)l+.

The large differences between C-4 and C-4', C-5 and C-5', and C-7 and C-7' in BDPP $(2a-c)$ and Chiraphos (3a-c) derivatives (Table I, Figure 1) can be explained by assuming rigid twisted δ conformations (Chart II). The observed trends, $COD > NBD > X_2$, and $3a-b > 2a-c >$ la-c, in the differences between C-4 and C-4'are consistent

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Chart II. Conformations in (S,S) -Chiraphos Complexes (Left) and (S,S) -Skewphos Complexes $(Right)^s$

*^a*Only one chair conformation is shown for Skewphos and energetically unfavorable conformations such **as** those with a diaxial arrangement of methyl groups **(A** forms), an envelope conformation for Chiraphos, and boat forms for Skewphos are not shown.

Chart III. Possible Chiral Phenyl Group Arrangements in (R,R) -DIOP Derivatives⁴

*^a*A twisted boat conformation is shown on the left, and a chair conformation is shown on the right.

with shielding of the axial aryl groups by the diene ligands and the chelate backbone substituents. This indicates a similarity in the phenyl group arrangement for all the complexes except the platinum complex $Pt(1a)Cl₂$ which shows little stereospecificity in its **13C** NMR spectrum.

For the complexes reported here the upfield C-5-C-5' resonances are assigned to the axial phenyl groups, **as** the $^{1}J_{PC}$ couplings are consistently smaller on these signals.³²⁻³⁷ The other phenyl carbons were assigned by the assumption that the ${}^{2}J_{\text{PCC}}$ and ${}^{3}J_{\text{PCC}}$ couplings keep the order observed for $^{1}J_{\text{PC}}$. Thus, when $^{1}J_{\text{PC}}$ is smaller in an axial than in an equatorial phenyl, then ${}^{2}J_{\text{PCC}}$ and ${}^{3}J_{\text{PCC}}$ are also expected to be smaller in the axial pheny1.35.37 The C-3, (2-3' carbons can be assigned similarly, but due to the small differences in ³J_{PCCC} couplings and C-3, C-3' chemical shifts each case requires additional proof and further investigation. For example, in the spectrum of $[Rh(\text{NBD})(2a))]^+$

complex (Table I, Figure 1) the higher field axial $C-4-C-4'$ resonance with the smaller $^{2}J_{\text{PCC}}$ coupling seems to match the lower field C-3-C-3'resonance, which also has a smaller ${}^{3}J_{\text{PCCC}}$ coupling. This assignment is consistent with the observation that these patterns move together both **as** a function of temperature (vide infra) and upon protonation of the dimethylamino groups. The olefinic carbons (C-7, C-7') are assigned on the assumption that the one close to the axial phenyl ring (particularly to $C-4$, $C-4'$ axial carbons) will be shielded and appear upfield to the others. Due to the small differences in $C-1$, $C-1'$ and $C-2$, $C-2'$ carbons and the lack of **P-C** couplings these signals cannot be assigned directly.

The (2-5, C-5', C-4, C-4', and C-3, C-3' resonances typically appear **as** pairs of pseudotripleta, whereas C-2, C-2', C-1, C-1' carbons give pairs of singlets (Figure 1, Table I) in most of the investigated complexes. The C-6 chelate carbons and the other chelate ring carbons **also** give pseudotriplets. When the overall C_2 symmetry is removed, for example, substitution of one Cl⁻ by SnCl₃in $Pt(2a)Cl₂$, these patterns are typically doubled (Table I).

1H NMR Spectra. The phenyl region of the 400-MHz proton NMR spectra for several of these complexes is shown in Figure 2. These spectra are consistent with the

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* ¹J_{PC} couplings are probably negative, as it generally is for P(III) compounds.^{31,32,41} Observation frequencies: I 50.3 MHz, II 67.9 MHz, III 100.5
MHz. Solvent: a = CDCl₃, b = acetone-d₆, c = CD₃OD, d = CD₃

Figure 1. 100.3-MHz carbon-13 NMR spectra in the aromatic region of several complexes at in CDCl₃ at 293 K.

Chart IV. Side-On Views of the Time Averaged Interconversion of a Twisted Boat to Chair Conformation for both Skewphos Complexes (Top) and DIOP Complexes (Bottom)

13C NMR data above. For example the H-4 and H-4' protons generally show a large diastereotropic separation. The separation between H-4 and H-4' in $(Rh(NBD)(1a))^+$ is the largest among the NBD complexes. By analogy to the carbon assignments the upfield H-4, H-4' resonance is attributed to the ortho protons in the axial phenyl rings.

The proton NMR spectra of the Chiraphos derivatives (3a-b) are consistent with the proposed rigid δ conformation¹⁴ and C_2 symmetry (Chart II).²⁷ The ¹H NMR spectra of the alkyl protons in the complexes of BDPP derivatives (2a-c) show similar features to those presented with the nonfunctionalized ligand. 23

The vicinal P-H coupling constants are dependent on the dihedral angle (Karplus relationship38), thus the two phosphorus atoms of a stable **6** twisted boat conformation

should give different couplings to the methylene protons as in Rh(COD) complexes. The equivalence of ${}^{3}J_{\text{PCCH}}$ couplings in BDPP complexes was interpreted **as** direct evidence for time-averaged C_2 symmetry.²³ We think that a time-averaged C_2 symmetry should provide unequal ${}^{3}J_{\rm{HH}}$ couplings to the methine protons (Chart IV) $(^3J_{HH}$ vicinal couplings between the methine and methylene protons are not equivalent in a rigid δ skew conformation either, but they are similar due to their dihedral relationship³⁹). A fast skew-chair interconversion with a more stable skew conformation should provide a spectroscopic picture similar to a rigid skew conformation. The virtual ${}^{3}J_{\text{PCCH}}$ couplings are probably the result of an averaging effect of two paths for coupling in a ring (i.e., ${}^{3}J_{\text{PCCH}}$, ${}^{5}J_{\text{PRhPCCH}}$). The two vicinal ${}^{3}J_{\text{HH}}$ couplings between the methine and methylene protons appear to be similar in all BDPP complexes. For example, $Pt(2a)Cl₂$, which exhibits a triplet of triplets for the methylene protons,gives adoublet of hepteta for the methine protons. In rhodium complexes $^{2}J_{\text{PCH}}$ are different from the proton couplings; thus the resulting multiplets are more complicated. These are shown in Figure 3. The assumption of a conformationally rigid δ skew conformation for all complexes of 2a-c is plausible **as** other details are consistent with it. Further indirect proof for the conformational stability of these chelates (2a-c, 3a-c) comes from their catalytic use. The nature **and** position of aryl substituents (m-sulfonate, p-dimethylamino, p-trimethylammonium) on these ligands has little effect on the enantioselectivity in the asymmetric hydrogenation of prochiral dehydro amino acids.²⁶ This is not the case with the conformationally more labile arylsubstituted DIOP derivatives.^{26,30} Finally, direct evidence for conformational stability is that the ${}^{31}P$, ${}^{13}C$, and ${}^{1}H$ NMR spectra of $Pt(2a)Cl₂$ and $[Rh(NBD)(2a)]$ ⁺ show little temperature dependence in the range 177-393 **K.**

As cited above the nonsubstituted DIOP ligand shows dynamic behavior in several complexes. For instance, [Rh-

Figure 2. 400-MHz proton NMR of the aromatic protons of several complexes at in CDCl₃ at 293 K.

Figure 3. Methine (i) and methylene protons (ii) in the chelate rings of the complexes $Pt(2a)Cl_2(I)$ and $[Rh(NBD)(2a)]BF_4$ (II).

 $(COD)(DIOP)$ ⁺ is reported to be fluxional.²¹ In contrast, the ¹H NMR spectrum of the chelate ring in [Rh(NBD)- $(1a)$]BF₄, shown in Figure 4, is consistent with a rigid chair conformation of the ring. The diastereotropic methylene (H-6) protons of this complex exhibit two wellseparated patterns centered at 2.73 and 2.36 ppm. The upfield doublet of doublets can be assigned to the axial H-6 proton (Figure 4).

Variable-Temperature NMR. Variable-temperature NMR measurements were made for four compounds: Pt- $(1a)Cl₂$, [Rh(NBD)(1a)]BF₄, Pt(2a)Cl₂, and [Rh(NBD)-(2a)]BF₄. Spectra were recorded from 393 K in DMSO d_6 to 177 K in CD_2Cl_2 . The ³¹P, ¹³C, and ¹H NMR spectra of $Pt(2a)Cl₂$ were not effected by temperature. The ³¹P and ¹³C NMR spectra of $[Rh(NBD)(2a)]^+$ also showed little change in this temperature range while the ¹H NMR spectrum was slightly influenced by temperature. Both the H-4 and H-4' patterns were shifted by ~ 0.15 ppm, while the diastereotropic separation of the olefinic protons increased by 0.2 ppm, upon cooling to 177 K. The observed ¹H spectrum in DMSO at room temperature was almost identical with that in CD₂Cl₂ at 177 K. Similarly, the spectrum recorded at 393 K in DMSO resembles to the one in CD_2Cl_2 at room temperature. Since coalescence could not be observed (either by ¹H or ³¹P NMR) the small shifts are partially attributed to a change in solvent viscosity and the diene motion in the complex. The X-ray structure of [Rh(COD)(BDPP)]⁺ complex revealed two
different orientations of COD;²³ thus the diene ligands probably rapidly rotate. The olefinic protons in [Rh- $(NBD)(1a)$ ⁺ showed similar changes, i.e. the separation increased with 0.2 ppm by cooling to 177 K. Other details of the proton spectrum or the ^{13}C , ^{31}P spectrum were not affected by the temperature.

The NMR spectra of $Pt(1a)Cl₂$ are highly temperature dependent. This is most evident in the ³¹P NMR, shown in Figure 5. The sharp signal at -5.4 ppm in the room temperature spectrum undergoes coalescence at approx-

Figure 4. Methylene protons in $[Rh(NBD)(1a)]BF₄ (I)$, upfield methylene protons in $Pt(1a)Cl₂ (II)$.

Figure 5. Phosphorus-31 NMR spectrum of $Pt(1a)Cl₂$ **in** $CDCl₂$ as a function of temperature. J_{Pt-P} satellites are also shown.

imately 213 K **aa** the sample is cooled. At 193 K two signals separate, these are assigned to two isomers, both of which have equivalent phosphorus atoms. The downfield **(-4.70** ppm) signal **has** twice the integrated intensity of the upfield (-7.8 ppm) signal. The downfield signal sharpens **as** the temperature is lowered further. This species probably has a rigid chelate ring with C_2 symmetry at low temperature. The upfield signal broadens significantly at 183 K.

Unfortunately, a limiting spectrum could not be reached. This experiment proves that the observed C_2 symmetry assigned to $Pt(1a)Cl₂$ at room temperature (Figures 1, 2, least two conformations.

Conclusion

With the exception of $Pt(2a)Cl(SnCl₃)$, each complex shows C_2 symmetry and, with the exception of $Pt(1a)Cl₂$, each complex examined shows two significantly different aryl group environments by NMR spectroscopy. The possibility of a time-averaged structure with C_2 symmetry is excluded since other details of the spectra, notably 13C resonances and proton-proton coupling constants in the chelate rings, and the lack of change **as** a function of temperature, are not consistent with it. The observed C_2 symmetry must originate from rigid or highly preferential chelate ring conformations. Thus, the aryl groups possess chiral (alternating) quasi-axial quasi-equatorial aryl group arrangement in solution.

The observed similarity between the spectra of [Rh- $(diene)(2-3a-c)$] complexes to those which do not contain diene ligands, (Pt $(2-3a,c)Cl_2$, Rh $(2c)(CH_3CN)_2$) Ni $(3a)$ - $(SCN)_2$ is consistent with the assumption that the chelate rings retain their preferred conformation when the diene ligands are absent. This may not be true, however, in **all** substrate complexes in which the phenyl rings express their discriminatory interaction. Unfortunately, due to the substrate dissociation process⁵ and the extreme sensitiyity of the intermediates, the substrate complexes are much more difficult to subject to a similar detailed NMR study. However, the catalytic results provide plausible support for the conformational stability of the bulk of substrate-metal complexes with para-substituted BDPP and Chiraphos derivatives.2s

By the comparison of the NMR spectra of Rh(NBD)- (la-c) to those of Pt(1a)Clz and **also** to those of [Rb- $(COD)(DIOP)]^{+,21}$ it is apparent that both the presence of the diene (or perhaps other bulky ligands)²⁹ and p -aryl substituents are required to prevent the flipping of the aryl groups, thus stabilizing the seven-membered chelate of DIOP complexes.

Experimental Section

All phosphines and complexes were synthesized **as** described elsewhere.^{25,26,40} The NMR samples were prepared under argon from commercially available deuterated solvents in 5-mm-0.d. tubes. The concentrations in the samples were in the range of 30-70 mg/mL. All spectra were recorded with internal deuterium lock in FT mode. ¹H and ¹³C spectra are referenced to tetramethylsilane; 3IP spectra are referenced to external 85 % phosphoric acid.

The recording conditions for l3C were **as** follows: frequency, 50.3 and 100.5 MHz; instrument, Bruker WP-200, Bruker WP-**270,** and Varian Unity 400. POWGATE AU optimized BB- decoupling **(DP** = 5H DO) and Waltz-16 modulated continuous high power BB-decoupling were used for proton decoupling on the Bruker and the Varian instrument, respectively. Data from the latter instrument were collected with double precision. **Scans** collected were in the range of 2000-10 000.

¹H: frequency, 399.9 MHz; instrument, Varian Unity 4000; scans, 32.

31P: frequency, 81.0 MHz; instrument, Bruker WP-200; number of scans, 100-1OOO.

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