Reactions of Five-Membered Zirconacyclocumulenes with Diisobutylaluminum Hydride

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Different products of the reaction of the five-membered zirconacyclocumulenes (zirconacyclopenta-2,3,4-trienes) $Cp_{2}^{*}Zr(\eta^{4}-1,2,3,4-RC_{4}R)$ ($Cp^{*} = \eta^{5}$ -pentamethylcyclopentadienyl, R = Me(1a), $Me_3Si(1b)$, Ph(1c)) with *i*-Bu₂AlH are described which can serve as models for the elementary reaction steps of the zirconocene-catalyzed hydroalumination of disubstituted buta-1,3-diynes RC=CC=CR and also for the activation of zirconocene complexes in the catalytic polymerization of ethylene. In all investigated cases of reactions of complexes 1 with *i*-Bu₂AlH via a *cis*-hydroalumination of the central double bond, the formation of zirconacyclopentadienes with *i*-Bu₂Al substituents in the 3-position as intermediates must be assumed. These complexes were not isolated but subsequently stabilized in a different manner, depending on the substituents R used. For R = Me, the intermediate reacts with a second molecule of *i*-Bu₂AlH to give the complex of the substituted zirconacyclopentadiene with *i*-Bu₂AlH (2). This complex reacts with CO_2 to produce the dinuclear complex 3, whose structure was confirmed by X-ray analysis. For $R = Me_3Si$, the C-C bond in the intermediate is cleaved ("hydroaluminolysis") with formation of the alkyne *i*-Bu₂AlC=CSiMe₃ (detected by NMR) and the alkyne complex $[Cp_2^*Zr(\eta^2-Me_3SiCCH)]$. The latter is stabilized by reaction with *i*-Bu₂AlH to give $[Cp_2^*Zr][(\mu - \eta^1: \eta^2 - Me_3SiCCH)(\mu - H)(i - Bu_2Al)]$ (4), which was characterized by X-ray crystallography. For R = Ph, two complexes were isolated after a stepwise reaction of the unisolated hydroalumination product. The heterobimetallic complex [Cp*2- $Zr[(\mu - \eta^1: \eta^2 - PhCC - CHCPh)(\mu - H)(i - BuAl)]$ (5) was formed by interaction with *i*-Bu₂AlH with elimination of *i*-Bu₃Al. This complex can add a further 1 equiv of *i*-Bu₂AlH to form the trinuclear bimetallic complex $[Cp_{2}^{*}Zr][(\mu - \eta^{1}:\eta^{2}-PhCC-CH=C(i-Bu_{2}Al)(Ph)](\mu - H)(i-BuAlH)]$ (6). The structures of complexes 5 and 6 were determined by X-ray diffraction analysis.

Introduction

Conjugated as well as nonconjugated diynes have been reported to react with titanocene or zirconocene.¹ We investigated such reactions with 1,3-butadiynes using the complexes $Cp_2M(L)(\eta^2-Me_3SiC_2SiMe_3)$ (M = Ti, L = -; M = Zr, L = THF, pyridine) as metallocene sources.² Some unexpected results were obtained in these studies: different modes of complexation, C-C single bond cleavage, and coupling reactions. The resulting products strongly depend on the divne substituents R, the metal, and the stoichiometry employed. The most interesting products of these reactions were the stable five-membered metallacyclocumulenes $Cp_2M(\eta^4$ -1,2,3,4-RC₄R) (R = t-Bu, M = Zr,³ Ti⁴), whose structures were established by X-ray diffraction studies, which showed a planar ring system with three C=C double bonds, the central bond of which is internally coordinated to the metal center. We reported the different interactions of permethylzirconocene complexes with 1,3-butadiynes RC=CC=CR, in which the five-membered zirconacyclocumulenes (η^4 complexes, zirconacyclopenta-2,3,4-trienes) $Cp_{2}^{*}Zr(\eta^{4}-1,2,3,4-RC_{4}R)$ (R = Me, SiMe₃, Ph) also were the major products.^{5,6}

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Scheme 1



Recently we reported that the titana- and zirconacyclopropenes (η^2 -alkyne complexes) Cp'₂M(η^2 -Me₃SiC₂-SiMe₃) with M = Ti, Zr and Cp'₂ = Cp₂ (bis(η^5 -cyclopentadienyl)), ebthi (1,2-ethylene-1,1'-bis(η^5 -tetrahydroindenyl)) readily react with *i*-Bu₃Al with formation of isobutene and the heterobimetallic complexes [Cp'₂M]-[(μ - η^1 : η^2 -Me₃SiCCSiMe₃)(μ -H)(*i*-Bu₂Al)].^{7a} A better route to these complexes is the direct reaction of the alkyne complexes with *i*-Bu₂AlH. Such reactions can serve as a model for the titanocene- and zirconocene-catalyzed hydroalumination of alkynes, an important route to organoaluminum compounds.⁸

Because such data for the titanium- and zirconiumcatalyzed hydroalumination of 1,3-butadiynes are limited,⁹ after having studied the reactions of titanocene and zirconocene complexes of *alkynes* with *i*-Bu₂AlH we continued with similar studies with *1,3-diynes*. We report here the reactions of five-membered zirconacyclocumulenes (η^4 -diyne complexes, zirconacyclopenta-2,3,4-trienes) with *i*-Bu₂AlH.

Results and Discussion

Complex Syntheses. The first reaction of the fivemembered zirconacyclocumulene $Cp^*_2Zr(\eta^4-1,2,3,4-MeC_4-Me)$ (**1a**) with *i*-Bu₂AlH is probably *cis*-hydroalumination of the central double bond. The initial product could not be isolated, because it rapidly added a second molecule of *i*-Bu₂AlH to give the complex **2** (Scheme 1).

Support for the structure of complex **2** was provided by NMR measurements and its reaction with carbon dioxide. Carbon dioxide inserted into the Al–C bond of **2**, and the dinuclear complex **3** was formed after formal elimination of *i*-Bu₂AlH (Scheme 1). The dimeric structure of **3** was confirmed by X-ray diffraction (Figure 1).

The complex $Cp^*_2Zr(\eta^4-1,2,3,4-Me_3SiC_4SiMe_3)$ (**1b**) reacted with *i*-Bu₂AlH like **1a** in the first step, in a cishydroalumination of the central double bond. Subsequent C–C bond cleavage ("hydroaluminolysis") to the alkyne *i*-Bu₂AlC=CSiMe₃ (detected by NMR) and the alkyne complex [$Cp^*_2Zr(\eta^2-Me_3SiCCH)$] is the probable second step. The latter complex of a terminal alkyne reacted with a second equivalent of *i*-Bu₂AlH, to give the complex [Cp^*_2Zr][$(\mu-\eta^1:\eta^2-Me_3SiCCH)(\mu-H)(i-Bu_2Al)$] (**4**) as the final product. The structure of **4** was confirmed by X-ray analysis. This complex is very similar to the products of the $Cp'_2M(\eta^2-Me_3SiC_2SiMe_3)$ reaction with *i*-Bu₃Al or *i*-Bu₂AlH⁷ mentioned above, but a terminal alkyne complex is involved.

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Figure 1. Crystal structure of complex **3**. Hydrogen atoms are omitted for clarity. The thermal ellipsoids correspond to 30% probability. Bond distances (Å): Zr-C1 = 2.271-(4), Zr-C13 = 2.240(4), C1-C2 = 1.357(5), C2-C14 = 1.486(6), C13-C14 = 1.339(6), Al-O1 = 1.801(3), Al-O2 = 1.840(3).

The complex $Cp_2Zr(\eta^{4}-1,2,3,4-PhC_4CPh)$ (**1**c) also reacted with 1 equiv of *i*-Bu₂AlH with a *cis*-hydroalumination of the central double bond, giving the unisolated zirconacyclopentadiene with *i*-Bu₂Al substituents in the 3-position as an intermediate. This, on reaction with a second equivalent of *i*-Bu₂AlH, gave with elimination of *i*-Bu₃Al the heterobimetallic complex [Cp*₂-Zr][$(\mu-\eta^1:\eta^2-PhCC-CHCPh)(\mu-H)(i$ -BuAl)] (**5**). Complex **5** reacts with a third equivalent of *i*-Bu₂AlH to form the trinuclear bimetallic complex [Cp*₂Zr][$(\mu-\eta^1:\eta^2-PhCCCH=$ C(i-Bu₂Al)(Ph)](μ -H)(*i*-BuAlH)] (**6**), which is in sum formally built up from **1c**, *i*-Bu₂AlH, and *i*-BuAlH₂ (Scheme 1). Complexes **5** and **6** were structurally characterized by X-ray diffraction analysis.

NMR Investigations. (a) ¹H NMR Spectroscopy. The addition of *i*-Bu₂AlH to the butadiyne complexes 1 occurs with formation of bridges to different bridgehead atoms. These various electron-deficient structural features can be clearly distinguished by NMR spectroscopy. In complex **2**, a CH group serving as a bridge to Al is formed. The unusual chemical shift, δ 1.20 ppm, and in particular the small coupling constant ${}^{1}J_{C,H}$ (87 Hz) indicate the bonding situation ("agostic" interaction). In complexes 4-6, a hydride connects aluminum with zirconium. Its proton resonance is shifted to low frequency and is found between 0 and -1.5 ppm. Interestingly, scalar couplings $J_{\rm H,H}$ over three (4) or four bonds (5) are observed, as well as a long-range CH coupling to C2 in complex 6. A third type of bridge, connecting two Al atoms, is present in 2 and 6. This type is characterized by δ values similar to that found for *i*-Bu₂-AlH (3.06 ppm in C_6D_6) itself. Generally, all complexes, except **6**, appear to be $C_{\rm s}$ symmetric in solution (equivalent Cp* ligands and methyl groups in the *i*-Bu substituents), which means that the polycyclic atomic framework built up from the central metal, the butadiyne carbon atoms, and the attached Al-H group is planar (by average) and represents a symmetry plane.

(b) ¹³**C NMR spectroscopy.** Due to the line broadening caused by the quadrupolar moment of the ²⁷Al nucleus (100% natural abundance), the signals of carbon atoms bonded to aluminum are easily identified. Line widths greater than 10 Hz are found for the methylene carbon atoms in the *i*-Bu substituents, whereas signal broadening for the metalated sp² carbons is less pronounced, although clearly noticeable (here we observed

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Table 1. Crystallographic Data for Complexes 3-6

	3	4	5	6
cryst color, habit	yellow, prism	light yellow, prism	yellow, prism	yellow, prism
cryst syst	triclinic	triclinic	monoclinic	triclinic
space group	$P\bar{1}$	$P\bar{1}$	$P2_1/n$	$P\bar{1}$
lattice constants				
a (Å)	9.463(2)	9.417(2)	12.539(3)	12.185(2)
b (Å)	14.051(3)	10.512(2)	22.170(4)	12.395(2)
c (Å)	14.259(3)	18.407(4)	13.364(3)	16.555(3)
α (deg)	88.73(3)	78.04(3)		74.42(3)
β (deg)	72.43(3)	88.80(3)	111.80(3)	79.57(3)
γ (deg)	70.69(3)	74.64(3)		69.31(3)
Z	1	2	4	2
cell vol, Å ³	1699.4(6)	1717.8(6)	3449.4(13)	2242.8(7)
density (g cm ⁻³)	1.223	1.164	1.252	1.173
temp (K)	200(2)	293(2)	293(2)	200(2)
μ (Mo K α) (mm ⁻¹)	0.377	0.399	0.370	0.314
no. of rflns				
measd	5124	5137	6964	5477
indep	5124	5137	3665	5477
obsd	3852	3208	2860	3812
no. of params	356	329	387	472
R1 $(I \ge 2\sigma(I))$	0.047	0.049	0.038	0.047
wR2 (all data)	0.121	0.107	0.096	0.133

line widths up to 5 Hz). For instance, C2 in complex 2 (90.3 ppm) exhibits a 5 ppm wide resonance line; after carboxylation (complex 3) the equivalent position (C2) gives rise to a sharp signal at 126.3 ppm. Compounds **4–6** are analogues to the aforementioned heterobimetallics $[Cp'_2M][(\mu-\eta^1:\eta^2-Me_3SiCCSiMe_3)(\mu-H)(i-Bu_2-$ Al)]^{7a} (see discussion of structure below). Therefore, similar chemical shifts for the five-membered Zr-Al ring system are observed, and a rather constant shift difference of 130 ppm is found between C_{α} and C_{β} . The latter, although considered formally as "planar-tetracoordinate", do not exhibit extraordinary chemical shifts but are always found in the common olefinic region. A silyl substituent leads to the expected shift toward higher frequency at the carbon in a β -position (cf. **4**, C_{α} 231.1 ppm; 5, C_{α} 192.9 ppm). Strong shifts to high frequency occur for the Al-bound sp² carbons outside the zirconacycle (C3 for 5 and C4 for 6: 178.6 and 162.0 ppm, respectively) and, as usually observed, for sp² carbons with σ bonds to zirconium.

X-ray Crystal Structures. The molecular structures of complexes **3–6** were confirmed by X-ray crystal structure analysis. The crystallographic data are listed in Table 1.

The molecular structure of the zirconocene complex **3** displays a dinuclear complex with an eight-membered ring in the center, formed by dimerization of two dialkylaluminum carboxylates. Each carboxylate is the substituent in the β -position of one zirconacyclopenta-diene.

The X-ray crystal structure analyses of the zirconocene complexes **4**–**6** show the same structural element: all contain in principle the more or less distorted planar, bicyclic five-membered-ring systems consisting of Zr, hydridic hydrogen H, Al, C_β, and C_α (Figures 2–4). As this structural key element was also found in the complexes $[(\eta^5-C_5H_5)_2\text{Ti}][(\mu-\eta^1:\eta^2-\text{Me}_3-\text{SiCCSiMe}_3)(\mu-\text{H})(i-\text{Bu}_2\text{Al})]$ (**A**)^{7a} and $[rac\text{-(ebthi)Zr}][(\mu-\eta^1:\eta^2-\text{Me}_3-\text{SiCCSiMe}_3)(\mu-\text{H})(i-\text{Bu}_2\text{Al})]$ (**B**), ^{7a} a comparison is worthwhile (Table 2).

The most remarkable feature of these complexes is the coordination geometry of the carbon atom C_{β} , which is bonded to C_{α} , M, Al, and Si or C of the attached group,



Figure 2. Crystal structure of complex **4**. Hydrogen atoms, except the bridging H atom, are omitted for clarity. The thermal ellipsoids correspond to 30% probability. Bond distances (Å) and angles (deg): Zr-C8 = 2.132(5), Zr-C7 = 2.489(5), C7-C8 = 1.331(7), Al-C7 = 2.082(5), Zr-Al = 2.999(2); Al-C7-Zr = 81.5(2), C7-C8-Zr = 88.8(3).



Figure 3. Crystal structure of complex **5**. Hydrogen atoms, except the bridging H atom, are omitted for clarity. The thermal ellipsoids correspond to 30% probability. Bond distances (Å) and angles (deg): Zr-C22 = 2.237(4), Zr-C1 = 2.354(4), C1-C22 = 1.330(6), Al-C1 = 2.107(5), Al-C3 = 1.960(5), Zr-Al = 2.935(1); Al-C1-Zr = 82.1(1), C1-C22-Zr = 78.0(3).

respectively, with all four bonds lying more or less in one plane. It was pointed out that this planarity is distorted in the case of complex **B**, due to the steric hindrance of the ebthi ligand (mean deviation of the best plane through M, Al, Si, C_{α} , and C_{β} for **B** is 0.1142 Å, in comparison to 0.0 Å for **A**). In complex **4** the plane which is defined by Zr, Al, Si, C_{α} , and C_{β} , is nearly planar (mean deviation 0.060 Å). As in the case of **B**, for complexes **5** and **6** the planarity is extremely distorted (mean deviation of the best plane through Zr, Al, C_{α} , C_{β} , and C2 (**5**)/C3 (**6**): **5**, 0.223 Å; **6**, 0.116 Å). For **5** and **6** this deviation probably results from the special substitution of the group bonded to C_{β} and the resulting ring strain.

The values found for the bond distances from Zr to C_β are in the expected range for such structural features. $^{7d-k}$

Catalytic Polymerizations of Ethylene. There is a vital interest in metallocene-catalyzed polymerization



Figure 4. Crystal structure of complex **6**. Hydrogen atoms, except the bridging H atoms, are omitted for clarity. The thermal ellipsoids correspond to 30% probability. Bond distances (Å) and angles (deg): Zr-C1 = 2.219(5), Zr-C2 = 2.448(5), C1-C2 = 1.329(7), Al1-C2 = 2.067(5), Al2-C4 = 2.037(6), Zr-Al1 = 2.929(2); Al1-C2-Zr = 80.4(2), C2-C1-Zr = 83.2(3).

Table 2. Selected Structural Data for the Complexes [rac-(ebthi)Zr](μ - η^1 : η^2 -Me₃SiCCSiMe₃)-(μ -H)[Al(*i*-Bu)₂] (B)^{7a} and 4–6

	• • •	,				
	\mathbf{B}^{7a}	4	5	6		
Bond Distances (Å)						
$Zr-C_{\alpha}$	2.221(13)	2.132(5)	2.237(4)	2.219(5)		
$Zr-C_{\beta}$	2.384(15)	2.489(5)	2.354(4)	2.448(5)		
$C_{\alpha}-C_{\beta}$	1.33(2)	1.331(7)	1.330(6)	1.329(7)		
$Al-\dot{C}_{\beta}$	2.15(2)	2.082(5)	2.107(5)	$2.067(5)^{1}$		
Bond Angles (deg)						
Al-C β -Zr	78.3(5)	81.5(2)	82.1(1)	80.4(2)		
$C_{\beta}-C_{\alpha}-Zr$	80.1(9)	88.8(3)	78.0(3)	83.2(3)		

of olefins to replace expensive activators such as MAO and perfluoroaryl boron compounds by low-cost activators. Recently, we reported alkene polymerization catalyzed by zirconocene difluoride or alkyl monofluoride complexes after treatment with *i*-Bu₃Al and *i*-Bu₂AlH as cheap activators.¹⁰ A remarkable polymerization activity was observed without any borate activation, using only *i*-Bu₃Al as cocatalyst. It was assumed that in this activation *i*-Bu₃Al alkylates the difluoride complexes in the first step with formation of the monofluoride alkyl complexes Cp'₂Zr(F)R or dialkyl complexes $Cp'_{2}ZrR_{2}$. For the zirconacyclopropene *rac*-(ebthi) $Zr(\eta^{2}-\eta^{2})$ Me₃SiC₂SiMe₃), also with two Zr–C σ bonds, after activation by *i*-Bu₃Al a very low activity was found. As mentioned above in the Introduction, zirconocene complexes such as *rac*-(ebthi) $Zr(\eta^2-Me_3SiC_2SiMe_3)$ react very easily with *i*-Bu₃Al with formation of isobutene and i-Bu₂AlH, which reacts to form the heterobimetallic complex [rac-(ebthi)Zr][$(\mu - \eta^1: \eta^2 - Me_3SiCCSiMe_3)(\mu - H)(i - \eta^2)$ Bu₂Al]. ^{7a} This complex, however, was not active.

In this work the well-defined zirconacyclopenta-2,3,4-trienes Cp*₂Zr(η^4 -1,2,3,4-RC₄R) were studied as another type of zirconocene complex with two Zr–C σ bonds

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 Table 3. Polymerization of Ethylene with

 Zirconium Complexes as Catalysts

cat.	temp, °C	activity, kg of polymer/(mol h) ^a
2	40	0
2	$90 \rightarrow \text{room temp}^b$	220
4	40	0
4	$90 \rightarrow \text{room temp}^b$	105
6	40	100

 a Conditions: 1.1 bar, 15 min; 0.04 mmol of complex in 20 mL of toluene. b Activation for 2 h at 90 °C under an ethylene atmosphere.

Table 4. Ring-Opening Polymerization of ←Caprolactone with Zirconium Complexes as Catalysts^a

	ϵ -cl:cat. = 5000:1		ϵ -cl:cat. = 10 000:1	
complex	yield (%)	mol mass (M _w)	yield (%)	mol mass (M _w)
$\overline{\text{Cp}_2\text{Zr}(\text{Py})(\eta^2-\text{Me}_3\text{SiC}_2\text{SiMe}_3)}$	80	80 000	n.e.	n.e.
$[Cp_2Zr](\mu-\eta^1:\eta^2-Me_3SiC_2SiMe_3)-$	82	67 570	n.e.	n.e.
$(\mu$ -H)[Al(ⁱ Bu) ₂]				
4	58.5	842 000	2	26 100
2	99.8	753 000	95.7	528 000
6	98.0	825 000	98.8	1 083 000

^{*a*} All experiments in toluene at 75 °C (1 h) with an initial concentration of ϵ -caprolactone (ϵ -cl) of 5 mol/L.

which are activated by *i*-Bu₂AlH via different complexes. These complexes formed in reaction with *i*-Bu₂AlH ($\mathbf{2}$, $\mathbf{4}$, and $\mathbf{6}$) were tested in the polymerization of ethylene without any additional activators. The obtained results are summarized in Table 3.

Only complex **6** was active without thermal activation at 90 °C, whereas the other complexes required such activation. The reason for this result is under investigation.

Ring-Opening Polymerization. For the catalytic ring-opening polymerization of ϵ -caprolactone we showed the titana- and zirconacyclopropenes Cp'₂Ti(η^2 -Me₃SiC₂-SiMe₃) to be active catalysts.^{7b,c} Later we found an additional activation by *i*-Bu₃Al or *i*-Bu₂AlH, in which the complexes [Cp'₂Ti][$(\mu$ - η^1 : η^2 -Me₃SiCCSiMe₃)(μ -H)(*i*-Bu₂Al)] ^{7a} were formed. These showed an increase in the polymer molecular weights and in the activity compared to the starting complexes.^{7a}

Complexes **2**, **4**, and **6** were tested as catalysts in the ring-opening polymerization of ϵ -caprolactone. The results are summarized in Table 4 and compared to the results obtained with the zirconocene complexes Cp₂Zr(Py)(η^2 -Me₃SiC₂SiMe₃) and [Cp'₂Zr][(μ - η^1 : η^2 -Me₃SiCCSiMe₃)(μ -H)(*i*-Bu₂Al)].^{7a} The best catalytic activity was found for complex **2** and **6**.

Conclusion

The complexes **4**–**6** as products of the reaction of the five-membered zirconacyclocumulenes (zirconacyclopenta-2,3,4-trienes) $Cp_{2}^{*}Zr(\eta^{4}-1,2,3,4-RC_{4}R)$ (R = Me₃Si (**1b**), and Ph (**1c**)) with *i*-Bu₂AlH are further examples of compounds with "planar-tetracoordinate" carbon atoms. Although initally considered "pathological",⁷ this bonding mode has meanwhile been proven to be very common, if not typical, for such heterobimetallic complexes.

Experimental Section

Preparation of Complex 2. i-Bu₂AlH (3.05 mL of a 1.0 M solution in *n*-heptane, 3.05 mmol) was added to a solution of 1a (0.669 g, 1.52 mmol) in 10 mL of n-hexane. The resulting red-orange mixture was warmed for 3-5 min to 50 °C and filtered. Subsequent cooling to -78 °C gave after 2 days orange crystals of 2, which were separated from the mother liquor by decantation, washed with a small amount of cold *n*-hexane, and dried under vacuum. The yield of 2 is 0.906 g (82%); mp 127–129 °C under Ar. Anal. Calcd for C₄₂H₇₄Al₂Zr: C, 69.65; H, 10.30. Found: C, 69.23; H 10.04. ¹H NMR (C₆D₆, 297 K): δ 0.62 (m, 8H, CH₂); 1.20 (s, 1H, =CH); 1.35 (d, ${}^{3}J = 6.5$ Hz, 6H, CH₃); 1.36 (d, ${}^{3}J$ = 6.6 Hz, 6H, CH₃); 1.38 (d, ${}^{3}J$ = 6.5 Hz, 12H, CH₃); 1.53 (s, 30H, Cp*); 2.32 (m, 2H, CH); 2.37 (m, 2H, CH); 2.44 (s, 3H, 4-CH₃); 2.72 (s, 3H, 1-CH₃); 3.77 (br., 1H, AlH). ¹³C{¹H} NMR (C₆D₆, 297 K): 11.2 (Cp*); 22.3 (br, CH₂); 23.1 (1-CH₃); 26.0 (br, CH₂); 27.7, 27.7 (2 × CH); 28.6, 28.7, 29.0, 29.3 (4 × CH₃); 32.6 (4-CH₃); 90.3 (C2_{Al}) 117.5 (Cp*); 148.3 (=CH [3], ${}^{1}J_{C,H} = 87$ Hz, ${}^{3}J_{CH} = 10$ Hz); 185.4 (C4_{Zr}), 226.2 (C1_{Zr}). MS (70 eV, m/z): 440 [M - 2-*i*-Bu₂Al]⁺, 360 [Cp₂Zr]⁺.

Preparation of Complex 3. Complex 2 (0.274 g, 0.38 mmol) was dissolved in 5-7 mL of n-hexane under Ar, and the orange solution was filtered. Argon was carefully removed in vacuo, and the flask was filled with gaseous CO_2 at room temperature. After 1 day the resulting yellow solution was cooled to -78 °C; within 2 days at this temperature yellow crystals appeared which were separated from the mother liquor by decantation, washed with a small amount of cold *n*-hexane, and dried under vacuum to give 0.080 g (34%) of **3**, mp 246-248 °C dec under Ar. Anal. Calcd for C₇₀H₁₁₀Al₂-Zr₂O₄: C, 67.15; H, 8.86. Found: C, 66.83; H 8.57. ¹H NMR (C₆D₆, 297 K): δ 0.57 (d, ³J = 7.0 Hz, 8H, CH₂); 1.39 (d, ³J = 6.5 Hz, 24H, CH₃); 1.72 (s, 60H, Cp*); 1.91 (dq, ${}^{4}J = 1.6$ Hz, ${}^{6}J = 0.6$ Hz, 6H, 13-CH₃); 2.36 (q, ${}^{6}J = 0.6$ Hz, 6H, 1-CH₃); 2.38 (m, 4H, CH); 7.14 (q, ${}^{4}J = 1.6$ Hz, 2H, =CH [14]); ${}^{13}C$ -{¹H} NMR (C₆D₆, 297 K): 11.4 (Cp*); 22.5 (br., CH₂); 26.6 (1-CH₃); 26.8 (CH); 28.0 (13-CH₃); 28.9 (CH₃); 118.8 (Cp*); 122.7 (CH [14], ${}^{1}J_{CH} = 150$ Hz), 126.3 (C2); 169.0 (CO); 204.0 (C13), 237.6 (C1). IR (Nujol mull, cm⁻¹): 1552 ($\nu_{C=0}$).

Preparation of Complex 4. i-Bu₂AlH (2.30 mL of a 1.0 M solution in n-heptane, 2.30 mmol) was added to a solution of 1b (0.646 g, 1.16 mmol) in 15 mL of *n*-hexane. After 24 h the yellow solution was evaporated to 3-4 mL and filtered. When the filtrate was cooled to -78 °C for 2 days, light yellow crystals formed, which were separated from the mother liquor by decantation, washed with a small amount of cold *n*-hexane, and dried under vacuum. The yield of **4** is 0.454 g (65%), mp 133–134 °C under Ar. Anal. Calcd for C₃₃H₅₉AlSiZr: C, 65.83; H, 9.88. Found: C, 65.43; H 9.57. ¹H NMR (C₆D₆, 297 K): δ -0.66 (br, 1H, μ -H); 0.49 (s, 9H, SiMe₃); 0.56 (m, 4H, CH₂); 1.35, 1.37 (2d, ${}^{3}J = 6.4$ Hz, 6H each, 2 × CH₃); 1.71 (s, 30H, Cp*); 2.44 (m, 2H, CH); 9.95 (d, ${}^{3}J_{H,H} = 2.4$ Hz, ${}^{3}J_{H,Si} = 9.5$ Hz, 1H, =CH). ¹³C{¹H} NMR (C₆D₆, 297 K): δ 4.8 (SiMe₃); 12.3 (Cp*); 26.3 (br, CH₂); 27.3 (CH); 28.7, 29.4 (2 × CH₃); 115.2 (Cp*); 122.2 (=CSiMe₃); 231.1 (=CH, ${}^{1}J_{C,H} = 161$ Hz, ${}^{3}J_{CH} = 3$ Hz). ²⁹Si NMR (C₆D₆, 297 K): 0.2 (${}^{2}J_{Si,H} = 6.3$ Hz, ${}^{3}J_{Si,H} = 9.5$ Hz). MS (70 eV, m/z): 360 [Cp₂Zr]⁺.

The mother liquor was evaporated under vacuum to dryness to give an oily mixture of **4** and *i*-Bu₂AlC=CSiMe₃; the latter is presumably dimeric, as indicated by ¹H NOE NMR observations. ¹H NMR (C₆D₆, 297 K): δ 0.09 (s, 9H, SiMe₃); 0.55 (d, ³*J* = 7 Hz, 4H, CH₂); 1.24 (d, ³*J* = 6.5 Hz, 12H, CH₃); 2.24 (m, 2H, CH). ¹³C{¹H} NMR (C₆D₆, 297 K): δ -1.0 (SiMe₃); 25.8 (br, CH₂); 26.9 (CH); 28.2 (CH₃); 148.2 (=CSi); =CAl not observed. ²⁹Si NMR (C₆D₆, 297 K): δ -15.9.

Preparation of Complex 5. Complex **1c** (0.221 g, 0.39 mmol) was dissolved in 10 mL of toluene under Ar, and *i*-Bu₂-AlH (0.78 mL of a 1.0 M solution in *n*-heptane, 0.78 mmol) was added. After 15 h the red-yellow solution was evaporated under vacuum. *n*-Hexane (7–8 mL) was added to the oily

residue, and the resulting mixture was warmed to 60 °C for 7 h. The solution was filtered and allowed to stand under an argon atmosphere at -78 °C. After 1 day yellow crystals had formed which were separated from the mother liquor by decanting, washed with cooled *n*-hexane, and dried under vacuum to give 0.205 g of a mixture of 5 (73%) and 6 (27%). After repeated recrystallizations from *n*-hexane 0.06 g of pure 5 was isolated. Mp: 210-212 °C dec under Ar. Anal. Calcd for C40H51AlZr: C, 73.91; H, 7.91. Found: C, 73.55; H, 7.62. ¹H NMR (C₆D₆, 297 K): δ -0.90 (br, 1H, μ -H); 0.79 (d, ${}^{3}J$ = 7.4 Hz, 2H, CH₂); 1.22 (d, ${}^{3}J = 6.6$ Hz, 6H, CH₃); 1.79 (s, 30H, Cp*); 2.30 (m, 1H, CH); 7.12 (m, 1H, p-3-Ph); 7.17 (m, 1H, p-22-Ph); 7.29 (m, 2H, m-3-Ph); 7.41 (m, 2H, m-22-Ph); 7.66 (m, 4H, o-Ph); 8.89 (d, ${}^{4}J = 0.9$ Hz, 1H, =CH [2]). ${}^{13}C{}^{1}H$ NMR (C₆D₆, 297 K): δ 12.5 (Cp*); 27.2 (CH); 28.5 (CH₃); 30.2 (br, CH₂); 115.7 (Cp*); 126.2 (p-22-Ph); 127.2 (p-3-Ph); 128.1 (o-3-Ph); 128.5 (m-22-Ph); 128.8 (m-3-Ph); 131.0 (o-22-Ph); 133.3 (C1); 136.9 (=CH [2], ${}^{1}J_{CH} = 156$ Hz); 141.4 (*i*-22-Ph); 142.0 (i-3-Ph); 178.6 (C3); 192.9 (C22). MS (70 eV, m/z): 648 [M]+, 360 [Cp₂Zr]⁺.

Preparation of Complex 6. (a) From 1c and *i*-Bu₂AlH. *i*-Bu₂AlH (1.45 mL of a 1.0 M solution in *n*-heptane, 1.45 mmol) was added to a solution of 1c (0.264 g, 0.47 mmol) in 5-7 mL of toluene. After 3 days the red-yellow solution was evaporated under vacuum and *n*-hexane was added to the oily residue. The resulting mixture was allowed to stand under an argon atmosphere at room temperature to give yellow crystals after 2 days, which were separated from the mother liquor by decantation, washed with cold n-hexane, and dried under vacuum. The yield of 6 is 0.252 g (68%). Mp: 183-185 °C dec under Ar. Anal. Calcd for C46H70Al2Zr: C, 72.77; H, 8.91. Found: C, 72.20; H, 8.56. ¹H NMR (C₆D₆, 297 K): δ -0.35 (br, 1H, Zr-H-Al); 0.45 (dd, ${}^{2}J = 13.7$ Hz, ${}^{3}J = 6.9$ Hz, 1H, CH₂); 0.62 (dd, ${}^{2}J = 13.7$ Hz, ${}^{3}J = 7.1$ Hz, 1H, CH₂); 0.85 (m, 2H; CH₂); 0.87 (m, 1H; CH₂); 1.13 (m, 1H; CH₂); 1.14 (d, 3H; CH₃); 1.18 (d, 3H; CH₃); 1.33 (d, 3H; CH₃); 1.34 (d, 3H; CH₃); 1.38 (d, 3H; CH₃); 1.42 (d, 3H; CH₃); 1.71 (s, 15H, Cp*); 1.75 (s, 15H, Cp*); 2.18 (non, 1H, CH); 2.34 (m, 1H, CH); 2.53 (m, 1H, CH); 4.47 (br, 1H, Al-H-Al); 7.05 (m, 1H, p-4-Ph); 7.14 (m, 1H, p-1-Ph); 7.27 (m, 2H, m-4-Ph); 7.37 (m, 2H, m-1-Ph); 7.62 (m, 2H, o-4-Ph); 7.74 (s, 1H, =CH [3]); 7.75 (m, 2H, o-1-Ph). $^{13}C\{^{1}H\}$ NMR (C6D6, 297 K): $\,\delta$ 12.3, 12.4 (2 \times Cp*); 23.0 (br), 23.3 (br), 26.1 (br, $3 \times CH_2$); 27.0, 27.1, 27.7 ($3 \times CH$); 27.4, 28.3, 28.7, 28.8, 28.8, 29.2 ($6 \times CH_3$); 116.8, 117.2 ($2 \times$ Cp*); 117.4 (C2); 125.9 (p-4-Ph); 127.0 (o-4-Ph); 127.8 (p-1-Ph); 128.0 (m-1-Ph); 128.7 (m-4-Ph); 132.1 (o-1-Ph); 139.1 (i-1-Ph); 142.9 (=CH [3], ${}^{1}J_{CH} = 154$ Hz); 149.9 (*i*-4-Ph); 162.0 (C4); 209.4 (C1). MS (70 eV, m/z): 648 [M - *i*-Bu₂AlH]⁺, 360 [Cp₂- $[Zr]^+$.

The mother liquor was evaporated under vacuum to dryness to give an oily mixture, in which Al(*i*-Bu)₃ predominated (NMR measurements).

(b) From 5 and *i*-Bu₂AlH. Complex 5 (ca. 0.05 g, 0.09 mmol) was dissolved in 1 mL of C_6D_6 under Ar, and *i*-Bu₂AlH (0.09 mL of a 1.0 M solution in *n*-heptane,0.09 mmol) was

added. After 2 h at room temperature the red-yellow solution was evaporated under vacuum. The residue was dissolved in 0.6 mL of C_6D_6 and transferred to an NMR tube. NMR spectroscopic measurements indicated the formation of practically pure **6**.

Polymerization of Ethylene. (a) For Complexes 2 and 4. A 0.04 mmol amount of of complex **2** or **4** was dissolved in 20 mL of toluene under Ar. Argon was carefully removed under vacuum, and the flask with solution was filled with ethylene. The solution was stirred in the closed flask for 2 h at 90 °C. After the solution was cooled to room temperature, the polymerization started and ethylene was supplied as consumed (1.1 bar). The solution was stirred for 15 min, and then the ethylene was removed under vacuum. The polymer was filtered, washed with toluene, and dried in vacuo.

(b) For Complex 6. A 0.032 g amount (0.04 mmol) of complex 6 was dissolved in 20 mL of toluene under Ar. Argon was carefully removed under vacuum, and the flask with solution was filled with ethylene. The solution was stirred for 15 min at 40 °C, and ethylene was supplied as consumed (1.1 bar). After 15 min the ethylene was removed. The polymer was filtered, washed with toluene, and dried in vacuo.

Polymerization of ϵ -**Caprolactone.** A 5 M solution of ϵ -caprolactone in toluene was added to an appropriate amount of solid catalyst. The mixture was stirred and warmed to 75 °C. After 1 h the reaction mixture was treated by addition of an excess of methanol. The poly- ϵ -caprolactone was separated and dried in vacuo until the weight remained constant.

The molecular masses of poly- ϵ -caprolactones were measured by the GPC method on a Hewlett-Packard 1090 HP liquid chromatograph (SDV column 10⁴ Å + 10³ Å + 100 Å (Polymer Standard Service), eluent THF) and were corrected by the universal calibration relative to polystyrene standards.

X-ray Crystallographic Study of Complexes 3–6. Data were collected with a STOE-IPDS diffractometer using graphitemonochromated Mo K α radiation. The structures were solved by direct methods (SHELXS-86)¹¹ and refined by full-matrix least-squares techniques against F^2 (SHELXL-93).¹² XP (BRUK-ER-AXS) was used for structure representations.

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Supporting Information Available: Tables of crystallographic data in cif file format, including bond lengths and angles of compound **3** (data_ks052), **4** (data_ks277), **5** (data_897), and **6** (data_ks068). This material is available free of charge via the Internet at http://pubs.acs.org.

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