

Synthesis of Group 13 Sesquialkoxides and Their Application as Precursors to Crystalline Oxide Films

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The reaction of Me₃Ga with an excess of 4-methylbenzyl alcohol (4-MeC₆H₄CH₂OH) in toluene, under reflux conditions, resulted in the formation of the sesquialkoxide [Ga{MeGa(OCH₂C₆H₄Me-4)}₃] (1). In contrast, reaction of Me₃In with excess of 4-methylbenzyl alcohol (4-MeC₆H₄CH₂OH) under the same conditions afforded another type of sesquialkoxide, [In{Me₂In(OCH₂C₆H₄Me-4)}₃] (2). Compounds 1 and 2 have been characterized by NMR, FTIR, and elemental analysis, and the structure of 1 was determined by X-ray crystallography. The structure of 1 consists of a central Ga³⁺ ion coordinated by three [MeGa(OCH₂C₆H₄Me-4)(μ-OCH₂C₆H₄Me-4)]⁻ units such that it has a coordination number of six. The surrounding metal ions are four coordinate. ¹H NMR data for 1 indicate that in solution two isomers (1:1) are present, namely, the C₁- and C₃-symmetrical isomers, but in the solid state 1 exists as the C₃-symmetrical isomer. Compound 1 possesses the correct ratio of Ga:O atoms (2:3) for that found in gallium oxide (Ga₂O₃), and LPCVD of 1 afforded crystalline Ga₂O₃ films at 600 °C. These results are surprising, as Ga₂O₃ films are typically only crystalline above 700 °C.

Introduction

Gallium oxide (Ga₂O₃) is thermally stable, an electrical insulator at room temperature, and semiconducting above 400 °C. It is considered to be one of the most ideal materials for application as thin-film gas sensors at high temperatures.^{1,2} At temperatures above 900 °C the electric conductivity changes depend on the concentration of oxygen; hence the oxygen concentration can be detected.³ Above 400 °C, gallium oxide thin film operates as a surface-control-type sensor to reducing gases, e.g., CO and EtOH.⁴ Therefore, it may be possible to switch the function of the sensor with temperature.⁵ Indium oxide films are both transparent to visible light and conductive and find application as transparent conductive oxide (TCO) coatings. Dopants (e.g., tin or fluorine) can be used to increase the conductivity of the films and to make them more suitable for applications such as in solid-state optoelectronic devices.⁶

The versatility of metal alkoxides as convenient precursors to metal oxides via chemical vapor deposition (CVD) processes is widely recognized;^{7–9} they are easy to prepare and purify

and are intrinsically noncorrosive so can be stored almost indefinitely when kept in a dry atmosphere. Furthermore, metal alkoxides are ideal for use in aerosol-assisted (AA)CVD due to their solubility in a wide range of organic solvents and in low pressure (LP)CVD as a result of their high volatility.¹⁰ Precursors previously employed for the CVD of Ga₂O₃ and In₂O₃ have either contained an excess of oxygen (e.g., gallium or indium tris(alkoxides)¹¹) or been used in the presence of an oxygen source (e.g., O₂ or H₂O). Gallium tris(alkoxides), [Ga(OR)₃]_n, were first reported in 1964 in separate papers by Mehrotra and Mehrotra¹² and Funk and Paul.¹³ Gallium tris(ethoxide) and gallium tris(isopropoxide) were isolated from the reaction of GaCl₃ with 3 equiv of NaOR. An extensive series of gallium and indium tris(alkoxides) were subsequently published and prepared via alkoxide/alcohol exchange reactions.^{14–16} This series of compounds provided the first information regarding possible structures of the gallium tris(alkoxides). However, Oliver and Worrall also demonstrated that [Ga(OⁱPr)₃] existed in solution as an equilibrium mixture of tetramer and dimer.^{17,18}

Indium oxide precursors incorporating alkoxide ligands include [In(OCMe(CF₃)₂)₃(H₂N^tBu)] and [Me₂In(OC(CF₃)₂-

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$\text{CH}_2\text{NHMe})_2$].^{19,20} The precursors $[\text{Ga}(\text{hfac})_3]$ (hfac = hexafluoroacetate), $[\text{Ga}(\text{O}^t\text{Bu})_3]_2$, and $[\text{Ga}(\text{OCH}(\text{CF}_3)_2)_3(\text{HNMe}_2)]$ have been used to deposit Ga_2O_3 films via LPCVD in the presence of O_2 at 450 °C.^{21–23} However, the formation of GaF_3 was observed in the mass spectrum of $[\text{Ga}(\text{hfac})_3]$, and the presence of fluorine in Ga_2O_3 films could induce problems for gas sensor applications, due to changes in baseline resistance and sensor drift. Thus, there is a real need for new Ga_2O_3 and In_2O_3 CVD precursors.

Group 13 sesquioxides^{24–27} are tetrameric compounds, which possess a M:O ratio of 2:3, the desired ratio for M_2O_3 . Thus, complexes of this type are ideal precursors to group 13 oxides. Indeed, the formation of Al_2O_3 using an aluminum sesquioxide has been indicated, although no details were reported.²⁷ Although a number of aluminum sesquioxides have been reported, analogous examples for Ga and In are limited and no deposition studies have been reported.^{24,27,28} The synthesis of sesquioxides usually involves a ligand redistribution reaction between metallanes and earth metal alkoxides. We have investigated the reaction of metallanes (Me_3Ga and Me_3In) with ROH (R = $\text{OCH}_2\text{C}_6\text{H}_4\text{Me}$ -4) in a 4:9 ratio, which afforded two different types of sesquioxides. The complexes possess a 2:3 ratio of M:O, and preliminary CVD reactions are also reported.

Experimental Section

General Procedures. All manipulations were performed under a dry, oxygen-free dinitrogen atmosphere using standard Schlenk techniques or in an MBraun Unilab glove box. All solvents were distilled from appropriate drying agents prior to use (sodium for toluene; sodium benzophenone for THF). Trimethylgallium and trimethylindium were obtained from Epichem Ltd. All other reagents were procured commercially from Aldrich and used without further purification. Microanalytical data were obtained at University College London (UCL). NMR spectra were recorded on a Bruker AMX400 spectrometer at UCL, referenced to CD_2Cl_2 , which was dried and degassed over molecular sieves prior to use; ^1H and ^{13}C chemical shifts are reported relative to SiMe_4 (δ 0.00). FT-IR spectra were obtained on a Shimadzu FTIR-8200 instrument. Thermal gravimetric analysis (TGA) was performed under a dinitrogen atmosphere with a heating rate of 10 °C min^{-1} and on a Netzsch STA 449C instrument. Raman spectra were acquired on a Renishaw Raman System 1000 using a helium-neon laser of wavelength 632.8 nm. The Raman system was calibrated against the emission lines of neon. X-ray powder diffraction patterns were measured on a Siemens D5000 diffractometer using monochromated $\text{Cu K}\alpha_1$ radiation ($\lambda_1 = 1.5406 \text{ \AA}$). The diffractometer used glancing incident radiation (1.5°). Samples were indexed using Unit Cell and compared to database standards. EDXA was obtained on a JEOL 25, and SEM was obtained on a Hitachi S570 instrument

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using the KEVEX system. UV–vis spectra were recorded in the range 200–1100 nm using a Helios double-beam instrument. Film solubility was assessed by immersing a 1 cm × 0.6 cm piece of glass into solvent (water, dichloromethane, ether, toluene, acetone, and concentrated nitric and hydrochloric acids). Hardness scratch tests were conducted using a brass stylus and a stainless steel scalpel.

Synthesis of 1. A solution of 4-methylbenzyl alcohol (1.78 g, 14.6 mmol) in toluene (5 mL) was added dropwise to a stirred solution of Me_3Ga (0.75 g, 6.5 mmol) in toluene (5 mL) at room temperature. After refluxing the resulting solution for 24 h, the solvent was removed in vacuo to yield a brown, viscous liquid. THF (8 mL) was added, and after 48 h at -20 °C colorless crystals of **1** were formed (1.2 g, 52%), mp 102 °C. ^1H NMR (400.14 MHz, CD_2Cl_2): δ -1.24 , (s, 9H, GaCH_3 , C_3 -symmetric isomer), -1.09 , -0.91 , -0.89 (s, $3 \times 3\text{H}$, GaCH_3 , C_1 -symmetric isomer), 2.28 – 2.34 (m, $2 \times 27\text{H}$, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$, C_3 - and C_1 -symmetric isomer), 4.39 – 5.59 (m, $2 \times 18\text{H}$, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$, C_3 - and C_1 -symmetric isomer), 7.02 – 7.49 (m, $2 \times 36\text{H}$, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$). $^{13}\text{C}\{^1\text{H}\}$ NMR (100.61 MHz, CD_2Cl_2): δ -11.6 , -11.0 (GaCH_3), 22.6 , 27.4 ($\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 67.8 , 68.7 , 69.2 , 69.6 ($\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 128.0 , 128.6 , 128.7 , 130.2 , 130.7 , 130.9 , 131.0 , 131.5 , 132.0 , 137.3 , 138.9 , 139.4 ($\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$). FTIR (cm^{-1}): 2358 m, 2341 m, 1652 w, 1558 w, 1456 s, 1377 s, 1261 m, 1010 m, 804 m. Anal. Calc for $\text{C}_7\text{H}_9\text{O}_9\text{Ga}_4$: C, 63.69; H, 6.41. Found: C, 63.93; H, 6.75.

Synthesis of 2. A solution of 4-methylbenzyl alcohol (0.85 g, 7.03 mmol) in toluene (5 mL) was added dropwise to a stirred solution of Me_3In (0.5 g, 3.12 mmol) in toluene (5 mL) at room temperature. After refluxing the resulting solution for 24 h, the solvent was removed in vacuo to yield a white solid. THF (8 mL) was added, and after 48 h at -20 °C colorless crystalline **2** was isolated (0.7 g, 70%), mp 210 °C. ^1H NMR (400.14 MHz, CD_2Cl_2): δ -0.52 , (s, 18H, InCH_3), 2.32 (s, 18H, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 5.07 (d, AB $J = 11.2$ Hz, 6H, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 5.20 (d, AB $J = 11.2$ Hz, 6H, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 7.13 (m, 12H, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 7.27 (m, 12H, $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$). $^{13}\text{C}\{^1\text{H}\}$ NMR (100.61 MHz, CD_2Cl_2): δ -1.1 (InCH_3), 21.1 ($\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 64.6 ($\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 127.9 (C^{26} , $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 128.6 (C^{26} , $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 137.6 (C^{35} , $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$), 141.0 (C^1 , $\text{OCH}_2\text{C}_6\text{H}_4\text{CH}_3$). FTIR (cm^{-1}): 2682 w, 1463 s, 1377 s, 1261 w, 1085 m, 1073 m, 1012 m, 997 m, 798 m, 721 w. Anal. Calc for $\text{C}_7\text{H}_5\text{O}_6\text{In}_4$: C, 50.81; H, 5.68. Found: C, 50.11; H, 5.32.

Low-Pressure CVD of 1. Compound **1** (0.1 g) was loaded into the sealed end of a quartz tube (50 cm length × 25 mm diameter) in the glovebox. Glass (70 mm × 6 mm × 2 mm) substrates were placed carefully along the inside of the tube. The tube was then placed in a furnace such that 35 cm was inside the furnace and the end containing the sample protruded by 5 cm. The tube was heated to a temperature of 600 °C under dynamic vacuum (3.0 kPa). The tube was slowly drawn into the furnace, ca. 1 cm/30 min, until the sample started to melt. Once the compound had decomposed, the furnace was allowed to cool to room temperature. Translucent light gray films were deposited on the glass substrates.

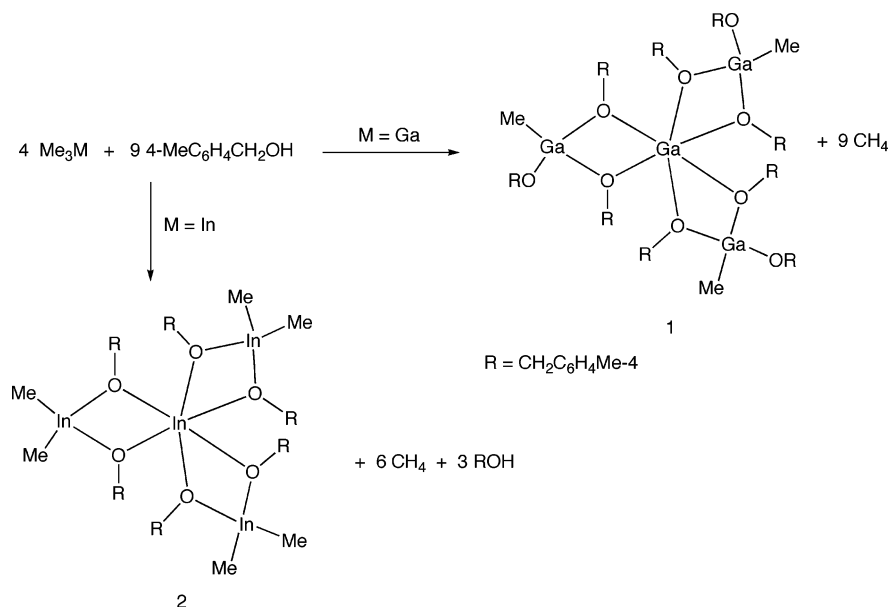
Crystal Structure Determination and Refinement. Crystals of **1** were obtained at -20 °C from a concentrated solution of THF. A single crystal was mounted on a glass fiber, and all geometric and intensity data were taken from this sample on a Bruker SMART APEX CCD diffractometer using graphite-monochromated $\text{Mo K}\alpha$ radiation ($\lambda = 0.71073 \text{ \AA}$) at 150 ± 2 K. Data reduction and integration was carried out with SAINT+,²⁹ and absorption corrections were applied using the program SADABS.³⁰ The structure was solved by direct methods using SHELXS-97³¹ and

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Scheme 1



developed using alternating cycles of least-squares refinement and difference Fourier synthesis.³² All non-hydrogen atoms were refined anisotropically. One of the methylbenzoxo groups (C22–C28) was found to be disordered and was modeled over three sites with ratios 80.6:3.8:5.6. The benzyl rings were restrained to be flat, and the bond lengths and angles of each part were restrained to be the same. Additionally, the thermal parameters for equivalent atoms were constrained. Hydrogen atoms were placed in calculated positions and their thermal parameters linked to those of the atoms to which they were attached (riding model) except for the methyl groups of C8 and C10, which were treated with a rigid rotor model, and C18, which was treated with an idealized disordered methyl model. Nine regions of highly disordered THF in the unit cell were accounted for using SQUEEZE,³³ which calculated 309 electrons, giving an average of 34 electrons per THF (THF has 40 electrons). See Table 1 for selected crystal data.

Crystal data in CIF format, CCDC 618654, for **1** have been deposited with the Cambridge Crystallographic Data Centre.

Results and Discussion

In an attempt to isolate a molecular precursor to Ga_2O_3 , with the correct Ga:O ratio (2:3), the reaction between Me_3Ga and excess 4- $\text{MeC}_6\text{H}_4\text{CH}_2\text{OH}$ was carried out. After workup of the reaction mixture and recrystallization from THF at -20°C , colorless crystals of **1** were obtained (Scheme 1). Analytical and spectroscopic data for **1** were consistent with the formation of the sesquialkoxide $[\text{Ga}\{\text{MeGa}(\text{OCH}_2\text{C}_6\text{H}_4\text{Me-4})_3\}_3]$ (**1**). The ^1H NMR of **1** shows the presence of two species, the C_3 -symmetrical and C_1 -symmetrical isomers, in a 1:1 ratio. An AB spin system was observed in the NMR of **1** due to the chirality of the complexes (diastereotopic H atoms). The structure of **1** was determined by single-crystal X-ray crystallography (Figure 1) and can be described as a Ga^{3+} ion coordinated with three metallate $[\text{MeGa}(\text{OCH}_2\text{C}_6\text{H}_4\text{Me-4})(\mu\text{-OCH}_2\text{C}_6\text{H}_4\text{Me-4})_2]^-$ units. The central Ga atom in **1** is six-coordinate with three four-coordinate gallium atoms occupying the periphery. Thus, the central Ga atom is chelated in a bidentate fashion through two oxygen atoms of the three $[\text{MeGa}(\text{OCH}_2\text{C}_6\text{H}_4\text{Me-4})(\mu\text{-$

Table 1. Crystallographic Data for Compound **1**^a

chemical formula	$\text{C}_{81}\text{H}_{102}\text{Ga}_4\text{O}_{10}$
CCDC deposit no.	618654
fw	1522.51
<i>T</i> (K)	150(2)
space group	$R\bar{3}$
<i>a</i> (Å)	15.6095(19)
<i>b</i> (Å)	15.6095
<i>c</i> (Å)	55.392(7)
γ (deg)	120
<i>V</i> (Å ³)	11688(2)
<i>Z</i>	6
ρ_{calcd} (g cm ⁻³)	1.298
λ (Å)	0.71073
μ (mm ⁻¹)	1.424
R_1^b	0.0352
wR_2^c	0.0930

^a Bruker SMART APEX diffractometer ω rotation with narrow frames, graphite monochromated Mo $K\alpha$ radiation, refinement based on F^2 . ^b $R_1 = \sum ||F_o| - |F_c|| / \sum |F_o|$. ^c $wR_2 = \{ \sum [w(F_o^2 - F_c^2)^2] / \sum [w(F_o^2)^2] \}^{1/2}$; $w^{-1} = \sigma^2(F_o^2) + (aP)^2 + bP$.

Table 2. Selected Bond Lengths (Å) and Angles (deg) for $[\text{Ga}\{\text{MeGa}(\text{OCH}_2\text{C}_6\text{H}_4\text{Me-4})_3\}_3]$ (**1**)^a

Bond Lengths			
Ga(1)–O(2a)	1.962(1)	Ga(1)–O(1)	1.973(1)
Ga(2)–O(3)	1.812(1)	Ga(2)–O(1)	1.912(1)
Ga(2)–O(2)	1.916(1)	Ga(2)–C(10)	1.940(1)
Bond Angles			
O(2b)–Ga(1)–O(2)	95.93(5)	O(2b)–Ga(1)–O(1)	95.60(5)
O(2)–Ga(1)–O(1)	75.96(5)	O(2a)–Ga(1)–O(1)	166.54(5)
O(2)–Ga(1)–O(1)	93.92(5)	O(3)–Ga(2)–O(1)	104.41(6)
O(3)–Ga(2)–O(2)	107.30(6)	O(1)–Ga(2)–O(2)	78.48(5)
O(3)–Ga(2)–C(10)	121.83(8)	O(1)–Ga(2)–C(10)	117.01(8)
O(2)–Ga(2)–C(10)	119.10(7)		

^a Symmetry operations for equivalent atoms: $a = -x+y, -x, z$; $b = -y, x-y, z$.

$\text{OCH}_2\text{C}_6\text{H}_4\text{Me-4})_2]^-$ units. The Ga–O distances (Table 1) are slightly longer around the central Ga atom (1.962(1) and 1.973(1) Å) than the bridging Ga– μO bond lengths for the terminal four-coordinate gallium atoms (1.916(1) and 1.912(1) Å). This is due to the increase in atomic radii with increasing coordination number. As expected, the terminal Ga–O bond distances are the shortest (1.812(1) Å). The Ga_2O_2 rings in **1** are planar with small inner ring angles at Ga (75.96(5) and

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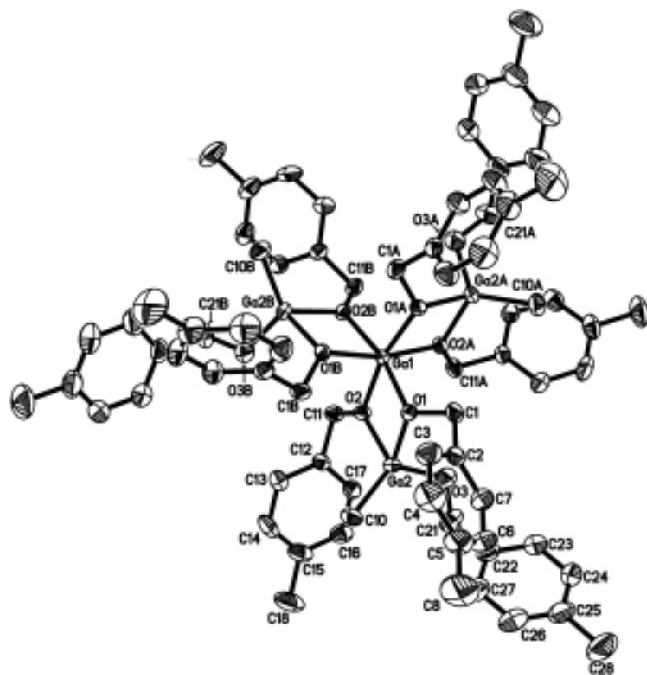


Figure 1. Molecular structure of **1** (hydrogen atoms and minor disorder components are omitted for clarity) showing thermal ellipsoids at the 50% probability level.

78.48(5)° and angles greater than 90° at O. The structure of **1** is similar to the related Ga complex [Ga{MeGa(OCH₂Ph)₃}]₃, and all bond lengths and angles are comparable.²⁵

The reaction of Me₃In and an excess of 4-MeC₆H₄CH₂OH afforded, after workup, colorless crystalline **2** (Scheme 1). Spectroscopic and analytical data for **2** indicated that the indium sesquialkoxide [In{Me₂In(OCH₂C₆H₄Me-4)₂}]₃ had formed. Unfortunately, X-ray-quality crystals of **2** could not be obtained. However, the ¹H NMR of **2** shows the presence of only one set of resonances for the In–Me group and one for the aromatic protons in OCH₂C₆H₄Me-4 and the methyl group in OCH₂C₆H₄Me-4. These data are consistent with the formation of a symmetrical structure rather than the same type of sesquialkoxide as observed for **1** (Scheme 1). The OCH₂ moieties are diastereotopic, as shown by the presence of two closely spaced doublets in the ¹H NMR of **2**. Therefore, it is likely that compound **2** possesses D₃ symmetry similar to those of related indium and aluminum complexes, of the type [M{Me₂M(OR)₂}]₃ structurally characterized.^{25,26,28} Formation of the two different sesquialkoxides for gallium and indium (compounds **1** and **2**) is due to differences in the reactivity of Me₃Ga versus Me₃In. Thus, the more reactive Me₃Ga gives with 4-MeC₆H₄CH₂OH the unsymmetrical sesquialkoxide [Ga{MeGa(OCH₂C₆H₄Me-4)₃}]₃, whereas the less reactive Me₃In yields the symmetrical sesquialkoxide [In{Me₂In(OCH₂C₆H₄Me-4)₂}]₃.

In order to study the decomposition pathway of compounds **1** and **2**, thermal analyses were carried out. The TGA (thermal gravimetric analysis) of **1** (10 °C/min from 20 to 600 °C) shows a weight loss of 72% (calculated weight loss 73% for Ga₂O₃ formation from **1**). This behavior indicates that compound **1** may be a suitable single-source precursor for the deposition of Ga₂O₃ thin films. The TGA of **1** (Figure 2) is clean, although the decomposition of **1** does not occur in clean, discrete stages but is continuous from the onset at ca. 110 °C throughout the temperature range studied. The decomposition of **1** begins at a higher temperature than the melting point, suggesting that transport of the intact molecule to the substrate could be

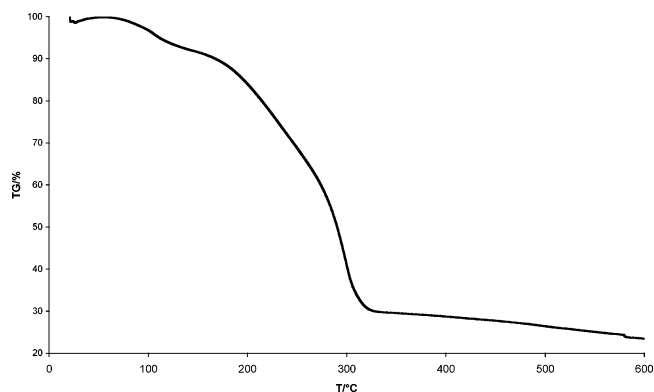


Figure 2. TGA of **1**.

successfully achieved. The TGA of **2** shows an overall weight loss of 55% between 20 and 600 °C, which corresponds well with the calculated weight loss of 56% for In₂O₃ formation. It is worth noting that TGA at atmospheric pressure gives only an indication of the potential of the precursors to form bulk metal oxides.

LPCVD of **1** was investigated, the details of which are described in the Experimental Section. Compound **1** deposited gray-colored films on glass substrates at 600 °C. The film produced was analyzed by WDX (wavelength dispersive X-ray analysis), powder XRD, Raman, and UV–vis measurements. The WDX data showed the presence of gallium and oxygen in the film. Significant breakthrough of the excitation volume through the coating to the underlying glass was observed, and so accurate quantitative analysis by WDX was difficult. However, WDX data showed that carbon contamination was 1–2%. By scanning electron microscopy (SEM) the gallium oxide films show the formation of agglomerates of approximately 0.1 μm. All the films were investigated using Raman microscopy. In all cases no Raman scattering was observed, and it is thought that gallium oxide is a poor Raman scatterer. However, Raman spectroscopy indicated the absence of graphitic carbon in the films. The gallium oxide films passed the Scotch tape test and could not be abraded with a brass stylus but could be marked with a stainless steel scalpel; this is typical of Ga₂O₃.¹⁰

X-ray powder diffraction of the film obtained from **1** showed a diffraction pattern consistent with the monoclinic β-Ga₂O₃ structure (Figure 3). Determination of the lattice constants gave *a* = 12.26(2) Å, *b* = 3.038 Å, and *c* = 5.81(1) Å, which are in agreement with those reported previously for Ga₂O₃ thin films.¹⁰ Conducting a Tauc plot³⁴ of the UV/visible data indicated that the films had an indirect band gap of 4.3 eV, comparable to other values for Ga₂O₃ of 4.2–4.9 eV.⁴

Given the successful formation of Ga₂O₃ films from **1**, LPCVD of **2** was investigated. In contrast to **1**, compound **2** afforded black films at 600 °C. Powder XRD of these films indicated that decomposition had occurred, and rather than forming In₂O₃, indium metal was deposited. These results are in contrast to the TGA of **2**, which indicated clean decomposition of **2** to In₂O₃. However, the melting point of **2** is significantly higher than the gallium complex **1**, suggesting that decomposition of **2** has occurred before the melting point. Thus, transporting the precursor intact to the substrate without decomposition would not be possible.

(34) Tauc, J., Ed. *Proceedings of the International School of Physics; Enrico Fermi, Course XXXIV, The Optical Properties of Solids*, 1966.

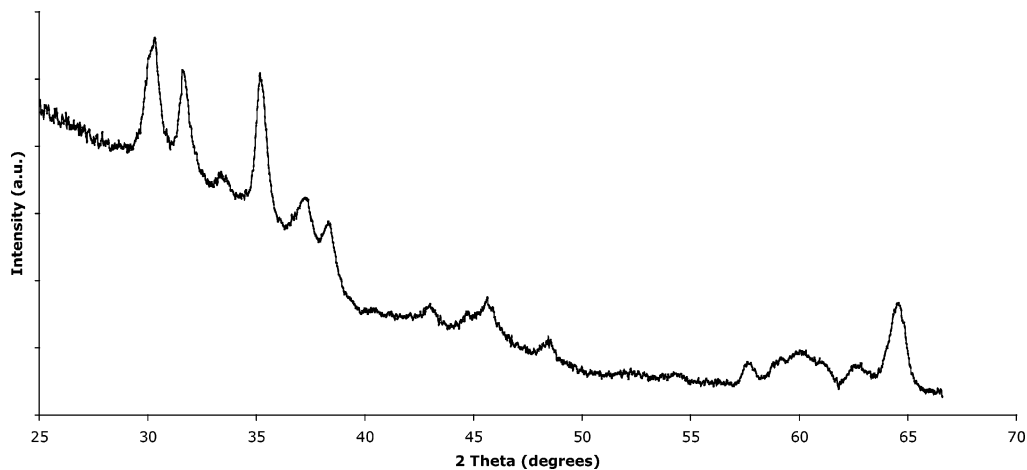


Figure 3. XRD of Ga₂O₃ thin films deposited from **1** by LPCVD at 600 °C.

Conclusions

The synthesis and characterization of two different group 13 sesquialkoxides, [Ga{MeGa(OCH₂C₆H₄Me-4)₃}₃] and [In{Me₂-In(OCH₂C₆H₄Me-4)₂}₃], have been described. The structure of the gallium derivative, [Ga{MeGa(OCH₂C₆H₄Me-4)₃}₃], has also been reported. The formation of crystalline Ga₂O₃ films from this complex was achieved. The formation of crystalline

Ga₂O₃ films from **1** at the temperature of deposition (600 °C) is significant since all previous reports have found that only amorphous Ga₂O₃ films are obtained below 700 °C.^{10–13}

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