



## PHENOLIC AND POLYKETIDE METABOLITES OF THE ASPEN BLUE STAIN FUNGUS *OPHIOSTOMA CRASSIVAGINATA*

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**Key Word Index**—*Ophiostoma crassivaginata*; microascales; aspen; *Populus tremuloides*; Salicaceae; blue stain disease; 4-ethyl-2H-pyran-2-one-6-carboxylic acid.

**Abstract**—The metabolites produced in liquid culture by the blue stain fungus *Ophiostoma crassivaginata* have been identified. 4-Ethyl-2H-pyran-2-one-6-carboxylic acid is found for the first time as a natural product. Fifteen other known compounds are reported.

### INTRODUCTION

Recently we reported on the metabolites of several fungi associated with aspen (*Populus tremuloides* Michaux) [1–6]. Several of the compounds showed antifungal activity and in one instance sesquiterpenes with a new skeleton were found [4]. *Ophiostoma crassivaginata* (H. D. Griffin, Upadhyay, NOF 1509; = *Ceratocystiopsis crassivaginata*) is one of the most prevalent so-called blue stain fungi on aspen. It is known that in some cases the discoloration of the wood is due to the formation of complexes between metabolites and Fe(III) ions [7]. Accordingly, our search was focused on metabolites which give a FeCl<sub>3</sub> positive reaction.

### RESULTS AND DISCUSSION

The fungus was grown in V-8 juice liquid shake culture. The culture broth was filtered, concentrated and extracted with EtOAc or was passed through Amberlite XAD-2 and the metabolites were eluted with MeOH. Flash chromatography on silica gel followed by preparative TLC, afforded pure compounds. Occasionally the crude fractions were treated with diazomethane. Compounds **1**–**10** and **12**–**15** are known compounds. (*R*)-3-Phenyllactic acid was also identified which, unlike the (*S*)-enantiomer, has not been reported as a fungal metabolite. In addition, succinic acid, 2-furoic acid and tryptophol were isolated.

The production of 4-ethylpyrocatechol (**12**) and 4-ethylpyran-2-one-6-carboxylic acid (**16**, isolated and identified as the methyl ester **17**) was found to be dependent on the age of the culture. Thus, a 36 day culture contained only **12**, while a 50 day culture contained only

**16**. Compound **16** is presumably derived from **12** as the result of enzymatic oxidation of the aromatic ring. Compound **16** was reported recently as a synthetic product [8], but it has not been found as a natural product. Some pyran-2-one-6-carboxylic acid derivatives have been reported as fungal metabolites. All of them are derived from tyrosine and the  $\alpha$ -amino acid functionality is preserved [9].

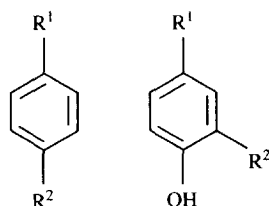
All the above-mentioned phenolic compounds, especially **12**, **13**, and **14**, gave an intense colour reaction with FeCl<sub>3</sub> and could be, at least in part, responsible for the wood discoloration caused by this fungus.

### EXPERIMENTAL

**General.** Mp: measured on a Fisher-Johns apparatus and uncorr. <sup>1</sup>H and <sup>13</sup>C NMR: 360 and 75 MHz, respectively. Chemical shifts give in  $\delta$ (ppm) and referenced in CDCl<sub>3</sub> to the residual CHCl<sub>3</sub>, 7.26 ppm for <sup>1</sup>H and 77.06 ppm for <sup>13</sup>C, respectively. Flash chromatography was performed on silica gel 230–400 mesh (General Intermediates, Canada). Prep. TLC was performed on E. Merck precoated 20 × 20 cm glass plates on silica gel 60 F-254.

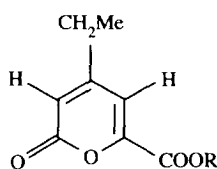
**Extraction and isolation.** *Ophiostoma crassivaginata* was obtained from Y. Hiratsuka, Forestry Canada, Northern Forestry Centre, Edmonton, Canada. One 2% malt extract agar plate culture was blended with 200 ml of water and ca 10 ml of the mycelial suspension was used to inoculate each of 12 2 l flasks containing 1 l sterilized medium [100 ml V-8 juice filtered through Celite, glucose (10 g) and 1 l of distilled H<sub>2</sub>O]. A control experiment established that the compounds mentioned below were not present in the culture medium. After 36 days of shaking at 23° the culture broth was filtered and the mycelium washed with distilled H<sub>2</sub>O. The filtrate was

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	R <sup>1</sup>	R <sup>2</sup>		R <sup>1</sup>	R <sup>2</sup>
1	CHO, OH		12	CH <sub>2</sub> Me, OH	
2	CH <sub>2</sub> COOMe, OH		13	COOH, OMe	
3*	CH <sub>2</sub> COOMe, OH		14	CH <sub>2</sub> COOH, OH	
4	CH <sub>2</sub> CH <sub>2</sub> OH, H		15*	H, CH <sub>2</sub> COOMe	
5	CH <sub>2</sub> CH <sub>2</sub> OH, H				
6*	CH <sub>2</sub> CH <sub>2</sub> OH, OMe				
7	CH <sup>E</sup> =CHCOOH, OH				
8*	CH <sup>Z</sup> =CHCOOMe, OH				
9	CH <sub>2</sub> CH <sub>2</sub> COOMe, OMe				
10*	CH <sub>2</sub> CH <sub>2</sub> COOMe, OMe				
11*	CH <sub>2</sub> CH(OH)COOMe, H				

\*After treatment with CH<sub>2</sub>N<sub>2</sub>.



16 RH  
17\* RMe

reduced to 2 l under vacuum and the residue was extracted with EtOAc (4 × 600 ml). The organic extract was dried over MgSO<sub>4</sub> and the solvent removed at red. pres. to afford 780 mg of a red oil. The latter was subjected to flash chromatography with petrol-EtOAc-CH<sub>2</sub>Cl<sub>2</sub>-MeOH (63:16:16:5) and CH<sub>2</sub>Cl<sub>2</sub>-MeOH (17:3) to give 2 frs. Fr. 1 was flash chromatographed with petrol-EtOAc (9:1 → 2:1) to give phenylethanol (4) (5.1 mg), 4-ethylpyrocatechol (12) (3.1 mg), and *p*-hydroxybenzaldehyde (1) (1.5 mg). Fr. 2 was flash chromatographed with CH<sub>2</sub>Cl<sub>2</sub>-EtOH-HOAc (98:1.5:0.5) to afford 3,4-dihydroxyphenylacetic acid (14) (1.0 mg), 2-furoic acid (1.1 mg), *p*-hydroxydihydrocinnamic acid (9) (ca 0.5 mg), *trans-p*-hydroxycinnamic acid (7) (ca 0.5 mg), 2-(*p*-hydroxyphenyl)ethanol (5) (25 mg), *p*-hydroxybenzoic acid (2) (3 mg), 4-hydroxy-3-methoxybenzoic acid (13) (1.2 mg), and a polar fr. which was treated with diazomethane and then sepd by prep. TLC (petrol-EtOAc 4:1, 3-fold development) to afford methyl *cis-p*-hydroxycinnamate (8) (0.9 mg), methyl *p*-hydroxyphenylacetate (3) (3.5 mg), and a Cl-containing compound (1.1 mg, C<sub>12</sub>H<sub>13</sub>ClO<sub>5</sub>).

A second batch (22 l) was prepd in the same medium and the mycelium was harvested after 50 days. The filtered culture broth was passed through Amberlite XAD-2. The resin was washed with H<sub>2</sub>O (1.5 l) and then with MeOH (2 l). The MeOH was removed under vacuum and the residue (2.15 g) chromatographed on RP-18 column with 25–100% MeOH. Fr. 1 was re-

crystallized from acetone to give succinic acid (90 mg). Fr. 2 was treated with diazomethane and flash chromatographed with petrol-EtOAc (33:17) to afford frs which were further purified by prep. TLC with petrol-PhCH<sub>3</sub>-EtOAc-MeOH (25:21:3:1) to give methyl *o*-hydroxyphenylacetate (15) (44 mg), methyl (*R*)-3-phenyllactic acid (11) (32 mg), methyl *p*-methoxydihydrocinnamate (10) (2.0 mg), methyl *p*-hydroxyphenylacetate (3) (6.5 mg), *p*-methoxyphenylethanol (6) (12 mg), methyl 4-ethyl-2H-pyran-2-one-6-carboxylate (17) (6.2 mg), and 2-(*p*-hydroxyphenyl)ethanol (5) (38 mg). The mother liquor was prep. TLC chromatographed with petrol-EtOAc (33:17) to give tryptophol (5.0 mg).

*Methyl 4-ethyl-2H-pyran-2-one-6-carboxylate (17)*. Crystals, mp 101.0–103.0°. *R<sub>f</sub>* 0.12 (petrol-PhCH<sub>3</sub>-EtOAc-MeOH, 50:42:7:1); IR  $\nu_{\text{max}}^{\text{KBr}}$  cm<sup>-1</sup>: 3079, 2978, 2954, 2925, 1710, 1437, 1304, 1275, 1117, 876; <sup>1</sup>H NMR (360 MHz, CDCl<sub>3</sub>):  $\delta$  1.23 (3H, *t*, *J* = 7.4, MeCH<sub>2</sub>), 2.52 (2H, *q*, *J* = 7.4, MeCH<sub>2</sub>), 3.93 (3H, *s*, COOMe), 6.31 (1H, *d*, *J* = 1.3, H-3), 7.00 (1H, *d*, *J* = 1.3, H-5); <sup>13</sup>C NMR (125 MHz, CDCl<sub>3</sub>):  $\delta$  12.4 (MeCH<sub>2</sub>), 28.5 (MeCH<sub>2</sub>), 53.5 (COOMe), 112.1 (C-5), 116.3 (C-3), 148.3 (C-6), 159.5 (C-4), 160.2 (COOMe), 160.5 (C-2); HMBC: H-3 → C-2, C-5, C-7; H-5 → C-3, C-6, C-7, C-9; H-7 → C-3, C-4, C-5, C-8; H-8 → C-4, C-7; H-10 → C-9; HR-EIMS *m/z* (rel. int.): 182.0577 [M]<sup>-</sup> (17). (C<sub>9</sub>H<sub>10</sub>O<sub>4</sub> requires 182.0575), 123.0446 [M - COOMe] (100) (C<sub>7</sub>H<sub>7</sub>O<sub>2</sub> requires 123.0446), 67.0558 [C<sub>5</sub>H<sub>7</sub>]<sup>+</sup> (64) (requires 67.0568).

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