



# THE BIOTRANSFORMATION OF *ENT*-15-OXOKAUR-16-EN-19-OIC ACID AND ITS METHYL ESTER BY *CEPHALOSPORIUM APHIDICOLA*

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**Key Word Index**—*Cephalosporium aphidicola*; fungus; *ent*-15-oxokaur-16-en-19-oic acid; aphidicolin; biotransformation.

**Abstract**—*ent*-15-Oxokaur-16-en-19-oic acid and its methyl ester are transformed to *ent*-11 $\alpha$ ,16 $\beta$ -dihydroxy-15-oxokauran-19-oic acid and its methyl ester, respectively, by *Cephalosporium aphidicola*.

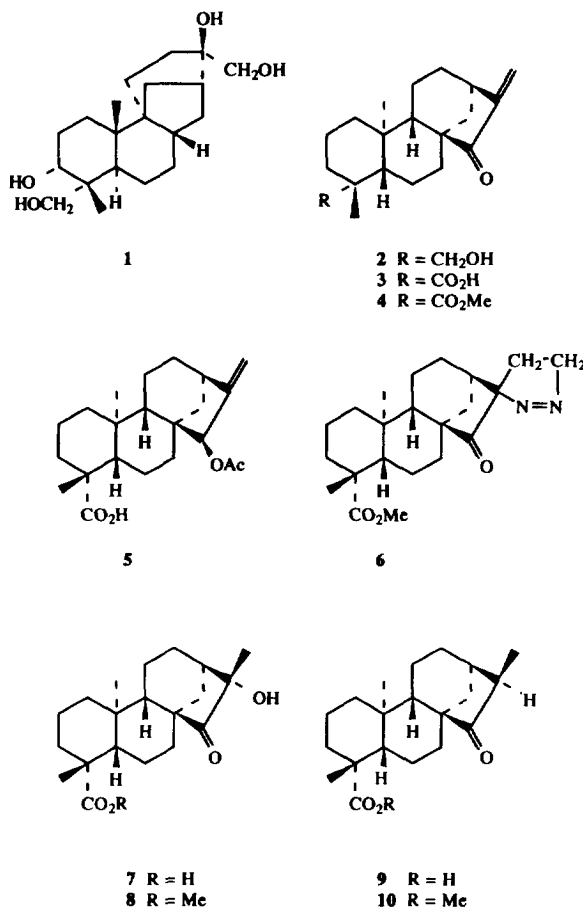
## INTRODUCTION

The extent to which the substrate flexibility of biosynthetic pathways may be used for biosynthetically-directed biotransformations requires delineation. Useful biosynthetic information has accrued from the explorations of the gibberellin:kaurenolide pathway in *Gibberella fujikuroi* [1]. We have been examining the biosynthetic pathways [2] in *Cephalosporium aphidicola* that lead to aphidicolin (1) in these terms [3]. In a previous publication [4] we suggested that a C-4 $\alpha$  hydroxymethyl group on a tetracyclic diterpenoid served as a 'local' directing group favouring a biosynthetically directed hydroxylation at C-3 $\alpha$ . We observed this in the biotransformation of *ent*-19-hydroxy-kaur-16-en-15-one (2). In this paper we are concerned with the effect of replacing this directing group by a carboxylic acid and ester as in (3) and (4). The acid (3) has recently attracted interest because of its anti-HIV activity [5].

## RESULTS AND DISCUSSION

The substrates were prepared from xylopic acid (5) [6]. Alkaline hydrolysis of the acetate proved unpredictable and was achieved more satisfactorily by brief treatment with lithium aluminium hydride in ether at room temperature. The 15-hydroxyl group was oxidized with chromium trioxide to afford the 15-ketone. Methylation with diazomethane gave the pyrazolone (6). The methyl ester (4) was obtained by treatment of (3) with caesium fluoride and methyl iodide in dimethylformamide [7].

The substrates (3) and (4) were incubated with *C. aphidicola* for 11 days and 14 days respectively. The



metabolites were then separated by chromatography. A number of metabolites were obtained from the carboxylic acid (3). Their structure followed from an examination of their <sup>1</sup>H and <sup>13</sup>C NMR spectra (see Table 1 for <sup>13</sup>C NMR data).

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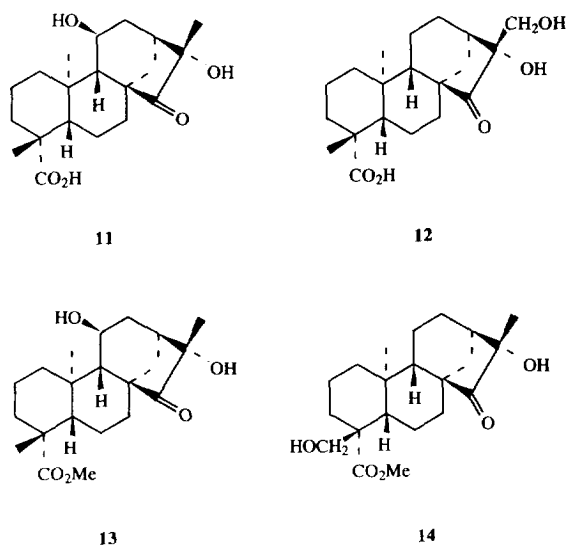
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Table 1.  $^{13}\text{C}$  NMR data for 15-oxokauranes determined in  $\text{CDCl}_3$  at 125 MHz

Carbon atom	3	4	7	8	10	11	12	13	14
1	39.9	39.9	40.1	39.9	39.6	39.3	39.6	39.5	39.3
2	18.8	18.9	18.7	18.8	18.8	18.6	18.7	18.7	18.2
3	37.7	37.9	37.6	37.9	37.9	37.5	37.6	37.7	31.8
4	43.6	43.8	43.7	43.8	43.7	43.6	43.6	43.6	49.6
5	56.9	56.2	56.0	56.1	56.1	55.9	55.9	55.8	50.6
6	20.1	20.1	20.1	20.3	20.3	19.9	20.1	20.0	19.9
7	32.2	33.7	34.0	34.0	34.2	33.1	33.9	34.7	33.8
8	52.5	52.9	52.4	52.4	52.6	50.1	53.4	50.3	52.0
9	51.6	51.6	52.0	52.0	51.5	62.6	51.5	64.1	51.9
10	40.3	40.1	39.7	39.8	39.8	38.6	39.9	38.5	39.5
11	18.4	18.4	18.4	18.4	18.2	65.2	18.5	65.3	18.3
12	33.7	32.2	27.1	27.2	24.7	34.4	25.3	33.3	27.0
13	38.1	38.1	41.3	41.3	34.9	34.7	32.9	41.1	41.3
14	36.6	36.6	34.4	34.5	37.3	37.1	37.4	35.8	34.5
15	210.7	210.6	222.1	221.4	224.8	223.0	225.6	220.5	221.3
16	149.5	149.6	77.3	77.3	47.8	49.6	54.6	78.1	77.3
17	114.5	114.4	18.3	18.3	10.0	11.1	60.6	19.7	18.3
18	28.9	28.7	28.9	28.7	28.7	28.8	28.9	28.6	70.8
19	192.6	177.8	183.8	177.8	177.9	183.4	183.1	177.8	175.9
20	15.6	15.4	15.4	15.2	15.2	15.6	15.5	15.2	15.3
OMe		51.1		51.2	51.2	(in $\text{C}_5\text{D}_5\text{N}$ )		51.3	51.5

The ketol (7) lacked the alkene resonances of the substrate, possessing instead a new quaternary carbon resonance ( $\delta_{\text{C}}$  77.3 ppm) and a new tertiary methyl group ( $\delta_{\text{H}}$  1.33;  $\delta_{\text{C}}$  18.3 ppm). It was assigned the same stereochemistry at C-16 as the corresponding metabolite obtained from *ent*-19-hydroxykaur-16-en-15-one for which the stereochemistry was established by X-ray crystallography [4]. The dihydro-acid (9) was purified as its methyl ester (10) and identified by comparison with an authentic sample prepared by the acid-catalysed rearrangement of desacetylxylopic acid [8]. The location of the hydroxyl group in the hydroxy-acid (11) followed from changes in the  $^{13}\text{C}$  NMR spectrum. The signal ( $\delta_{\text{C}}$  18.4 ppm) assigned to C-11 in the substrate was replaced by a  $\text{CH}(\text{OH})$  signal at  $\delta_{\text{C}}$  65.2, whilst those assigned to C-9 and C-12 had shifted downfield. The stereochemistry of the hydroxyl group was established by a significant NOE enhancement (2.9%) of the  $\text{CH}(\text{OH})$  resonance ( $\delta_{\text{H}}$  3.93) on irradiation of the 20-H-signal at  $\delta_{\text{H}}$  0.94 ppm. This metabolite, *ent*-11 $\alpha$ -hydroxy-15-oxokauran-19-oic acid (11) has been isolated previously from *Eupatorium album* [9], *Adenostemma lavenia* [10], and *Pteris dispar* [11]. The final metabolite (12) possessed additional  $^1\text{H}$  NMR signals attributable to a primary alcohol in place of the alkene signals of the substrate. The signal at  $\delta_{\text{H}}$  4.03 was a double-doublet,  $J = 8.1$  and  $11.1$  Hz whilst that at  $\delta_{\text{H}}$  3.70 was a doublet ( $J = 6.3$  Hz) of doublets ( $J = 11.1$  Hz)

in accord with the structural fragment  $-\text{CH}-\text{CH}_2\text{OH}$ . The stereochemistry of the primary alcohol followed from a series of NOE experiments. Decoupling experiments showed that the primary alcohol (17- $\text{H}_2$ ) was coupled to a double-doublet  $\delta_{\text{H}}$  2.53 (16-H). An NOE



experiment based on irradiating  $\delta_{\text{H}}$  1.01 (20-H) produced a 7.6% enhancement of a doublet,  $\delta_{\text{H}}$  2.45,  $J = 12.2$  Hz, which was assigned to the 14 $\alpha$ -H. A spin decoupling experiment based on this signal revealed that it was coupled to a doublet at  $\delta_{\text{H}}$  1.43 which was therefore assigned to the 14 $\beta$ -H. Whereas NOE experiments based on irradiating the 17- $\text{H}_2$  signals enhanced the 16-H resonance (1.7 and 2.0%), irradiation of the 16-H signal enhanced the 14 $\beta$ -H doublet (2.5%). Hence the stereochemistry of the primary alcohol is assigned as in (12) and it is identical to that of the methyl ketone at C-16.

Incubation of the methyl ester (**4**) with *C. aphidicola* gave the methyl esters (**8**) and (**13**) which were separated by chromatography. The structure of the latter followed from the changes in the  $^{13}\text{C}$  NMR spectrum in which the C-9, C-11 and C-12 resonances now appeared at  $\delta_{\text{C}}$  64.1, 65.3 and 33.3 ppm whilst the C-16 and C-17 signals appeared at  $\delta_{\text{C}}$  78.1 and 19.7 ppm respectively. Irradiation of the 20-H resonance ( $\delta_{\text{H}}$  0.80) produced a 4.9% enhancement of the  $\text{CH}(\text{OH})$  resonance at  $\delta_{\text{H}}$  3.91. Hence the hydrogen atom of the secondary alcohol at C-11 has the  $\alpha$ -configuration and the hydroxyl group is  $\beta$ . The final metabolite (**14**) of the keto-ester (**4**) to be eluted from the column, also possessed NMR signals associated with a  $\text{C}(\text{OH})\text{CH}_3$  grouping in place of the alkene. In addition one of the tertiary methyl groups had been replaced by a primary alcohol ( $\delta_{\text{H}}$  3.45 and 3.89, doublet,  $J = 10.3$  Hz;  $\delta_{\text{C}}$  70.8 ppm). The location of this group followed from the changes in the  $^{13}\text{C}$  NMR spectrum (see Table 1). In particular the C-4 resonance had moved downfield whilst the resonances assigned to C-3 and C-5 showed a typical  $\gamma$ -upfield shift, which had been noted previously in 18-hydroxykaurenes [12].

In contrast to the biotransformation of the 19-hydroxykaurene (**2**) [4], there were no detectable metabolites arising from hydroxylation at C-3. The major metabolites instead possessed a hydroxyl group at C-11. The conversion of the unsaturated ketone on ring D to an  $\alpha$ -ketol which had been observed previously, was also noted here. The dihydro compounds (**9**, **10**) may be intermediate in this transformation. On the other hand (**12**) might arise by a Michael type of hydration. It is interesting to note that (**9**) and (**10**), have the same configuration at C-16.

## EXPERIMENTAL

**General experimental details.**  $^1\text{H}$  NMR spectra were determined at 360 and 500 MHz;  $^{13}\text{C}$  NMR spectra were determined at 125 MHz; IR spectra were determined as nujol mulls; Silica for chromatography was Merck 9385. *Cephalosporium aphidicola* (IMI 68689) was grown on shake culture in conical flasks (250 cm<sup>3</sup>) on a medium (100 cm<sup>3</sup>) as described previously [3].

**Preparation of the substrates.** Xylopic acid (**5**) (1.5 g) in Et<sub>2</sub>O (50 cm<sup>3</sup>) was treated with LiAlH<sub>4</sub> (400 mg) at room temp. for 1 hr. H<sub>2</sub>O and dil. HCl were cautiously added and the desacetylxylopic acid (1.2 g), mp 203–205° (lit., [6] 204–206°) recovered. Desacetylxylopic acid (200 mg) in acetone (10 cm<sup>3</sup>) was treated with the Jones' reagent (1 cm<sup>3</sup>) for 30 min. MeOH and dil. HCl were added and the soln was concd. The product was recovered in EtOAc to give *ent*-15-oxokaur-16-en-19-oic acid (195 mg), mp 198–201° (lit., [6] 197–201°).

**Methylation of *ent*-15-oxokaur-16-en-19-oic acid.** (a) The above keto-acid (200 mg) in EtOH (20 cm<sup>3</sup>) was cooled in ice and treated with CH<sub>2</sub>N<sub>2</sub> in Et<sub>2</sub>O until the yellow colour persisted. The solvent was evapd to give the pyrazolone (**13**), mp 109–114° (Found: C, 70.9; H, 8.6; N, 7.5. C<sub>22</sub>H<sub>32</sub>N<sub>2</sub> requires C, 70.6; H, 8.5; N, 7.8%),  $\delta_{\text{H}}$

(CDCl<sub>3</sub>) 0.95 (3H, s, 20-H), 1.21 (3H, s, 18-H), 2.62 (2H, m), 3.68 (3H, s, OMe), 4.66 (2H, m). (b) A mixture of the above keto-acid (640 mg), CsF (227 mg), MeI (213 mg) and dry DMF (15 cm<sup>3</sup>) was stirred at room temp. for 5 days. The mixt. was poured into aq. NaHCO<sub>3</sub> (50 cm<sup>3</sup>) and the product recovered in EtOAc to give methyl *ent*-15-oxokaur-16-en-19-oate (424 mg), mp 143–145° (Found: C, 76.0; H, 9.3. C<sub>23</sub>H<sub>30</sub>O<sub>3</sub> requires C, 76.3; H, 9.15%),  $\nu_{\text{max}}$  1741, 1720, 1665 cm<sup>-1</sup>,  $\delta_{\text{H}}$  (CDCl<sub>3</sub>) 0.91 (3H, s, 20-H), 1.19 (3H, s, 18-H), 3.65 (3H, s, OMe), 5.25 and 5.94 (each 1H, br s, 17-H).

**Incubation of 15-oxokaur-16-en-19-oic acid (**3**) with *C. aphidicola*.** The acid (500 mg) in DMSO (25 cm<sup>3</sup>) and chlorocholine chloride (CCC) (300 mg) in EtOH (5 cm<sup>3</sup>) were evenly distributed between 50 flasks of *C. aphidicola* 5 days after inoculation. The broth was filtered after a further 11 days and the metabolites were recovered in EtOAc. The resultant gum was chromatographed on Si gel. Gradient elution with EtOAc:petrol bp 60–80°, gave *ent*-16 $\beta$ -hydroxy-15-oxokauran-19-oic acid (**7**) (32 mg), mp 258–261° (Found: C, 71.9; H, 8.8. C<sub>20</sub>H<sub>30</sub>O<sub>4</sub> requires C, 71.8; H, 9.0%),  $\nu_{\text{max}}$  3402, 1748, 1698 cm<sup>-1</sup>,  $\delta_{\text{H}}$  (CDCl<sub>3</sub>) 1.02 (3H, s, 20-H), 1.27 (3H, s, 18-H), 1.33 (3H, s, 17-H). Further elution gave a mixt. containing (**7**) which was further purified as its Me ester (prepared with CH<sub>2</sub>N<sub>2</sub>) to give methyl *ent*-15-oxokauran-19-oate (**8**) (9 mg), mp 117–118°,  $\delta_{\text{H}}$  (CDCl<sub>3</sub>) 0.89 (3H, s, 20-H), 1.10 (3H, d,  $J = 7$  Hz, 17-H), 1.18 (3H, s, 18-H). The sample was identical to the Me ester of *ent*-15-oxokauran-19-oic acid (**7**) prepared by treatment of desacetylxylopic acid with acid [8]. Further elution of the original column gave *ent*-11 $\alpha$ -hydroxy-15-oxokauran-19-oic acid (**9**) (15 mg), mp 210–212° (lit., [9] 215–217°),  $\nu_{\text{max}}$  3584, 3406, 1715, 1686 cm<sup>-1</sup>,  $\delta_{\text{H}}$  (C<sub>5</sub>D<sub>5</sub>N) 0.95 (3H, s, 20-H), 1.20 (3H, s, 18-H), 1.28 (3H, d,  $J = 7$  Hz, 17-H), 3.93 (1H, d,  $J = 5.5$  Hz, 11-H). Further elution gave *ent*-17-hydroxy-15-oxokauran-19-oic acid (**12**) (45 mg), mp 203–205° (Found: C, 70.6; H, 8.75. C<sub>20</sub>H<sub>30</sub>O<sub>4</sub> · H<sub>2</sub>O requires C, 70.0; H, 9.0%),  $\nu_{\text{max}}$  3580 (br), 1730, 1698 cm<sup>-1</sup>,  $\delta_{\text{H}}$  (CDCl<sub>3</sub>) 1.01 (3H, s, 20-H), 1.27 (3H, s, 18-H), 3.70 (1H, dd,  $J = 6.3$  and 11.1 Hz, 17-H), 4.03 (1H, dd,  $J = 8.1$  and 11.1 Hz, 17-H).

**Incubation of methyl *ent*-15-oxokaur-16-en-19-oate (**4**) with *C. aphidicola*.** The ester (310 mg) in DMSO (25 cm<sup>3</sup>) and CCC (300 mg) in EtOH (5 cm<sup>3</sup>) and 4 drops Tween 80, were evenly distributed between 25 flasks of *C. aphidicola* 3 days after inoculation. After a further 14 days the broth was extracted with EtOAc and the metabolites were separated by chromatography on Si gel using a gradient of EtOAc:petrol (bp 60–80°). Methyl *ent*-16 $\beta$ -hydroxy-15-oxokauran-19-oate (**8**) (8 mg) was obtained as a gum, EIMS,  $m/z$  330 (M-18),  $\nu_{\text{max}}$  3467, 1737, 1712 cm<sup>-1</sup>,  $\delta_{\text{H}}$  (CDCl<sub>3</sub>) 0.89 (3H, s, 20-H), 1.19 (3H, s, 18-H), 1.33 (3H, s, 17-H), 3.66 (3H, s, OMe). Further elution gave methyl *ent*-11 $\alpha$ ,16 $\beta$ -dihydroxy-15-oxokauran-19-oate (**13**) (37 mg), mp 231–232° (Found: C, 69.1; H, 8.8. C<sub>21</sub>H<sub>32</sub>O<sub>5</sub> requires C, 69.2; H, 8.8%),  $\nu_{\text{max}}$  3510, 3406, 1720 (br) cm<sup>-1</sup>,  $\delta_{\text{H}}$  (CDCl<sub>3</sub>) 0.80 (3H, s, 20-H), 1.18 (3H, s, 18-H), 1.51 (3H, s, 17-H), 3.63 (3H, s, OMe), 3.91 (1H, d,  $J = 5.4$  Hz, 11-H). Further elution gave

methyl *ent*-16 $\beta$ ,18-dihydroxy-15-oxokauran-19-oate (**14**) (26 mg), mp 161–162 (Found: C, 68.6; H, 8.8. C<sub>21</sub>H<sub>32</sub>O<sub>5</sub> requires C, 69.2; H, 8.8%),  $\nu_{\max}$  3438, 3309 (*br*), 1738, 1700 cm<sup>-1</sup>,  $\delta_{\text{H}}$  (CDCl<sub>3</sub>) 0.91 (3H, s, 20-H), 1.31 (3H, s, 17-H), 3.68 (3H, s, OMe), 3.45 and 3.89 (each 1H, *d*, *J* = 10.3 Hz, 18-H).

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