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SYNTHESIS AND REACTIONS OF MONOMERIC LATE TRANSITION METAL ALKOXO, ARYLOXO AND HYDROXO COMPLEXES

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Abstract—Despite the fact that they are postulated as intermediates in many metal-catalyzed transformations, well-characterized complexes having bonds between late transition metals and alkoxo groups are not as well known as their alkylmetal analogues. Even fewer examples of monomeric late transition metal hydroxo complexes are available. This article summarizes recent published work from the author's group that has resulted in the synthesis of a number of rhodium, iridium, rhenium, osmium and ruthenium alkoxo and hydroxo complexes and has allowed investigation of their chemical properties.

Access to complexes having metal–carbon and metal–hydrogen σ -bonds has resulted from the realization that such materials can be most easily prepared for relatively electron-rich metal centers, as well as when "strong-field" ligands are present at the metal. This understanding has in large part been responsible for the explosion of research in organo-transition metal chemistry that has occurred during the past 40 ycars.¹

In view of the increasing knowledge of organometallic chemistry that is focused on M-C and M—H bonds, it is ironic that for metal fragments that form such bonds-especially in groups located on the right side of the periodic table, the so-called "late" transition metals-analogous complexes having covalent (as opposed to dative) metal-oxygen and metal-nitrogen bonds traditionally have appeared much less frequently in the literature. The result has been a common perception that for such metals, metal-heteroatom bond energies are relatively low. However, alkoxo- and hydroxometal complexes have been postulated as intermediates in many important transformations. These include metal-catalyzed organic oxidation reactions, the water-gas shift reaction, carboalkoxylation of alkenes, reductions of ketones and aldehydes to alcohols, metal-catalyzed hydrolysis of phosphates and amides, and enzymatic transformations such as the action of carbonic anhydrase.²⁻⁶

Presumably because they are relatively electropositive, "early" transition metals tend to form isolable metal alkoxides, aryloxides and amides (more accurately referred to as alkoxo-, aryloxo- and amidometal complexes) more readily than late metal systems.^{2,7} The overall situation with respect to early- and late-metal bonding has often been rationalized using the ideas of Pearson, who suggested that the "soft-soft" interactions characteristic of late transition metal fragments and carbon fragments should be more stabilizing than the "hard-soft" interactions associated with these metals and less polarizable atoms such as nitrogen, oxygen and fluorine. Because of the inaccessibility of late metal alkoxo and amido complexes, there has been correspondingly little known about whether M—O and M—N σ -bonds are capable of undergoing reactions, such as migratory insertions, α - and β -eliminations, etc., that have been established as very general processes for M-H and M-C systems.

We were interested in determining whether late metal-oxygen and metal-nitrogen σ -bonds are in fact less strong or accessible than metal-carbon bonds. Therefore, in the mid-1980s we initiated a program aimed at preparing direct M—O and M—N analogues of well-known metal alkyl complexes and examining their chemistry. The purpose of this paper is to summarize some of the con-

tributions that one group of individuals has made to the synthesis of complexes having metal-oxygen σ -bonds. Other investigators have also noted the trends summarized above, and have made significant contributions to the synthesis, structure and chemistry of alkoxometal complexes. Because this article is meant to summarize the research of one group, it does not contain extensive citations of the work of others. We direct the reader to the references in the primary papers cited in this article, as well as to the other contributions to this Symposium-in-Print, for summaries of the important contributions of other workers to this field.

Our work has focused on rhodium, iridium, rhenium, osmium and ruthenium complexes. Subsequent sections of this article are organized primarily by metal, although we have also tried to provide some feeling for the chronology of our investigations.

EARLY EXPERIMENTS: METAL ENOLATES; ALKOXORHODIUM AND ALKOXOIRIDIUM COMPLEXES

Some of our early experiments with alkoxometal complexes grew out of a collaborative project, undertaken with C. H. Heathcock and his group, that was designed to examine the chemistry of metal enolates. Metal enolates are potentially fluxional, and so can choose to be in a carbon- or oxygenbound form. True to the general perceptions of the bonding preferences of early and late transition metals mentioned above, most of the late metal enolates that were examined preferred to exist in carbon-bound forms.^{8,9}

One exception, however, was the square planar rhodium enolate (CO)(PPh₃)₂Rh(CH₂COPh) (1), which exists in a dynamic equilibrium containing both the oxygen-bound and carbon-bound forms 1a and 1b (Scheme 1).⁹ This result suggested that we might be able to use a related metal fragment to gain access to late metal complexes with M-O bonds. As shown in Scheme 1, we were able to obtain phenoxo and trifluoroethoxo complexes 2a and 2b, but we were not able to prepare stable simple alkoxo complexes in this series, presumably because of steric congestion or their tendency to undergo rapid β -elimination.¹⁰ One important result of this work was the understanding that phenoxo and electronwithdrawing metal alkoxo complexes appeared to be more stable than their simple alkoxometal relatives, despite the fact that one might expect the corresponding stabilized free anions to dissociate from the metal more easily than simple alkoxides. Another interesting finding was that phenoxorhodium complexes were capable of unusually

strong hydrogen bonding to another hydroxyarene molecule (Scheme 1). Thermodynamic studies placed the strength of the hydrogen bond in 3a at the unusually high level of 14.0 kcal mol⁻¹ in cyclohexane solution.

We next turned to the coordinatively saturated $Cp^*(L)IrX_2$ system in hope that its 18-electron configuration would prevent β -elimination and thus allow access to complexes with simple alkoxo groups. This turned out to be successful, at least in special cases. Treatment of $Cp^{*}(PPh_{3})IrCl_{2}$ with sodium ethoxide in ethanol gave complex 4. Not only does this material possess a simple late metal M-OR functionality, it also has a hydride attached to the metal, providing a new member of a very rare class of materials, the alkoxo hydrides (perhaps stabilized relative to their square planar rhodium analogues because of the 18-electron iridium center). We subsequently were also able to prepare phenoxo hydrides and phenoxo alkyls in this series, although we have not vet obtained access to alkoxo alkyls. We performed an X-ray diffraction study of the iso-propoxido analogue 5, obtaining one of the few structurally characterized monomeric late transition metal alkoxo complexes at the time.¹¹ Although some metal alkoxo complexes had been found to have unusually short C-O distances, the isopropoxy C—O distance in 5 is similar to that of the corresponding alcohol.

The synthesis of 4 provided a late metal system whose behavior could be compared directly with that of the corresponding alkyl hydride complexes $Cp^{*}(PR'_{3})Ir(R)(H)$, which we had prepared earlier in our C-H activation studies.^{12,13} The two types of systems behave quite differently. Studies of the reactivity of 4 established that in spite of the covalent nature of the Ir-O bond (suggested by the solid state structure of 5), its chemistry reflected the anion-like nature of the alkoxo ligand. Thus, it was shown to undergo alkoxide interchange with esters and nucleophilic ring-opening of anhydrides, just as one would expect for NaOEt. We were also able to utilize exchange reactions with thiols to prepare analogous thiolate complexes and examine their chemistry.¹⁴ Among the most interesting reactions of 4 were its reactivity toward carbon dioxide and other heterocumulenes. This again emphasizes the apparent anion-like character of the coordinated alkoxy group and its dissimilarity to the Ir---R fragment. A most dramatic difference was observed on thermolysis of 4 in the presence of compounds capable of trapping reactive intermediates (Scheme 2). It has been established that heating $Cp^*(PR_3)$ Ir(R)(H) complexes (6) leads to unimolecular reductive elimination, followed by reaction of the resulting coordinatively unsaturated species



so generated with an alkane or arene. In the case of 4, however, kinetic studies established that reductive elimination to give Cp*IrL (or its solvate) does not occur. Instead, a reactive intermediate is formed, without elimination of ROH, that is trapped by phosphine to extrude ROH in a second step. After ruling out a number of possibilities for the reactive species, we were left with the conclusion that this intermediate is the η^3 -Cp* complex 7. Although it at first seemed unlikely that such a "ring-slipped" intermediate could have a lifetime long enough to allow phosphine trapping (k_2) to compete with $\eta^3 - \eta^5$ re-coordination of the Cp* ring (k_{-1}) , other studies in the iridium series (vide infra) provided evidence that such a property might be characteristic of Cp* rings attached to metal centers that also have OR or NR₂ groups bound to them.15,16

Contemporaneously with our studies on M-O complexes, we attempted to prepare late transition metal complexes with single and multiple metalnitrogen bonds. A serendipitous success in this area was the finding that treatment of $[Cp*lrCl_2]_2$ with tBuNHLi led to the simple monomeric imido complex CP*Ir=NtBu.17 Isoelectronic imidoosmium complexes were prepared by an analogous route.^{18,19} In both series, reactions of the imido complexes were carried out that led to metal centers, to which were attached two heteroatom ligands, that were quite stable with (formally) 16-electron electronic configurations (e.g. 8 and 9). This contrasts quite strongly with the analogous system 6 containing simple alkyl groups, which have PMe₃ ligands that resist dissociation even at temperatures near 180°C. It seems likely that stabilization of the iridium centers in 8 and 9 is provided by π -donation from the attached heteroatoms, reducing their need to acquire a new donor ligand to reach an 18-electron electronic configuration.^{20,21} These results make the relatively long apparent lifetime of the η_3 -Cp* intermediate 7 discussed earlier seem more plausible, since the ring-slipped intermediate might be stabilized substantially by π -donation to the metal from the ethoxy group.¹⁵

ALKOXORHENIUM AND ARYLOXORHENIUM COMPLEXES

A different access to coordinatively saturated aryloxides and alkoxides was found in a series of octahedral rhenium complexes. Treatment of the alkyl complex $(CO)_3(PPh_3)_2Re-R$ with phenols or treatment of the triflate $(CO)_3(L)_2 ReOSO_2 CF_3$ $(L = PMe_3, chelating diphosphine or diarsine)$ with sodium alkoxides provided good yields of the series of complexes $(CO)_3(L)_2ReOR$ (R = Ph, p-substituted aryl, CH₃, CH₂CH₃, CH(CH₃)₂).²² As in the iridium system discussed above, the rhenium complexes exhibited anionic behavior. The methoxo complex 10, for example (Scheme 3), attacks the carbonyl groups of anhydrides, activated esters and acid chlorides.^{23,24} The complex was also reactive toward the electrophilic small molecules CO₂ and CS₂, but it did not react readily with CO. In addition, 10 undergoes an extensive series of exchange reactions. Some of these were expected based on the chemistry of the better known early metal alkoxo complexes : e.g. the reaction of 10 with phenols, amines and thiols to give phenoxo, amido and thiolato complexes. Some were more unusual, however, such as the reaction of 10 with Cp(CO)₃WH to give heterodinuclear complex 11 and the reaction with a vinyl boron

compound to give vinylrhenium complex 12 and borate 13.

In spite of the fact that much of this chemistry appears to be characteristic of nucleophilic methoxide, we have consistently failed to obtain evidence for the intermediacy of this species as a free ion. Thus, a kinetic study of the reaction of 10 with CS_2 gave strictly second-order kinetics, providing no direct evidence for an intermediate such as free ions or a long-lived ion pair in which the rate of return to 10 would be competitive with the rate of reaction of the intermediate with CS2.23,25 Perhaps most dramatic is the negative crossover experiment shown in Scheme 4: a solution of the diethylphosphinoethane-substituted ethoxo complex 14 and the bis-trimethylphosphine-substituted methoxo complex 15 in benzene solution at room temperature produced no exchange products 16 and 17. In our opinion, even quite small instantaneous concentrations of Re⁺ and OR⁻ ions (or even solvent-separated ion pairs) should have led to a reasonable rate of crossover. We therefore conclude that the extensive nucleophilic chemistry of 10 is the result of a highly polarized (but still covalent)





Scheme 3.

metal—oxygen bond that reacts directly with electrophiles. The ability of these molecules to form strong hydrogen bonds with phenols is also consistent with a significant degree of M—O charge polarization in their ground states.

TETRAKIS(PHOSPHINE) ARYLOXORUTHENIUM AND ANILIDORUTHENIUM COMPLEXES

Concurrent with our studies on the rhenium complexes described above, we also found it possible to prepare several tetrakis(trimethylphosphine) ruthenium complexes with metal-oxygen single bonds. Simple alkoxo complexes proved elusive in this series, but once again the higher stability of the ArO—M (and ArNH—M) interactions allowed us to isolate and study the chemistry of complexes having phenoxo and anilido ligands. Because complexes with analogous M—C bonds were also available, this project yielded insight into the comparative chemistry of Ru—O, Ru—N and Ru—C bonds in similar environments.

Our first experiments involved the synthesis of the benzyne complex 18 (Scheme 5) and its ringopening reactions with weak acids.^{26,27} Treatment with aniline and p-cresol led, via (phenyl)(XR)Ru complexes 19a and 19b (only 19a was isolable), to the cyclometallated complexes 20a and 20b. Similarly, ring opening occurred cleanly with ethylene complex 21 and cyclometallated phosphine complex 22 to give *cis*-anilido-ruthenium and (aryloxo) (hydrido)ruthenium complexes 23 and 24, respectively.²⁸ More recently we have explored analogues of these complexes having two bis(dimethylphosphino)ethane (DMPE) ligands coordinated to the ruthenium center in place of four PMe₃ groups;^{29,30} this has led in several cases to transrather than cis-oriented H and X groups, and has allowed us to characterize structurally trans- $(DMPE)_2Ru(OAr)(H) (Ar = p-CH_3C_5H_4).^{29}$

In analogy to the ethoxo(hydrido)iridium complex discussed earlier, treatment of **23** and **24** with a dative ligand (in this case, CO) promoted overall reductive elimination of aniline and *p*-cresol. Our inability to observe or isolate a CO adduct such as $L_2(CO)_2Ru(H)(OAr)$ prevented attempts to elucidate the detailed mechanism of this reaction, but reductive elimination of aniline from **23** is markedly faster than the corresponding elimination of *p*cresol from **24**. It is not clear whether this is due to the conditions necessary for a second CO substitution reaction or to an inherent difference in rates of the two reductive elimination processes.²⁸

As in the rhenium series, the aryloxo- and anilidoruthenium complexes undergo rapid exchange

reactions with other proton donors. However, the position of equilibrium for these reactions depends dramatically on the organic fragments involved. Thus, the interchange of the benzyl hydride $(PMe_3)_4Ru(H)(CH_2Ph)$ with either aniline or p-cresol lies substantially on the side of toluene and the aryloxy and anilido hydrides (Scheme 5). Reaction of $(PMe_3)_4RuH_2$ with *p*-cresol, on the other hand, lies to the left as written in Scheme 5, which is confirmed by the generation of the dihydride and free cresol upon treatment of the aryloxo hydrido complex 24 and H₂. Finally, exchange of the anilido hydrido complex 23 with *p*-cresol lies to the right, giving aryloxo complex 24 and aniline. Because the C-H, O-H and N-H bond energies in toluene, *p*-cresol and aniline are all comparable $(87 \pm 3 \text{ kcal})$ mol^{-1}), these results establish the following trends in bond strengths: $L_4(H)Ru - H > L_4(H)Ru$ $OAr > L_4(H)Ru - NHPh > L_4(H)Ru - CH_2Ph.$ This trend clearly contradicts the classical assumption that late metal M-C bonds are necessarily stronger than M-N and M-O bonds.

The availability of oxa- and azametallacyles **20a**, **20b** and the corresponding carbocycle **20c** allowed us to compare the behavior of these three isoelectronic molecules in CO and CO₂ migratory insertion reactions (Scheme 6).³¹ Carbon monoxide gave exclusive insertion into the aryl-ruthenium bond leading, in each case, to products of general structure **25**. Carbon dioxide reacted with carbonand oxygen-containing metallacycles **20a** and **20c** also with M—C insertion, leading to **26** (X = O, CH₂). With the cyclometallated anilido complex **20b**, however, **28**, the product of apparent CO₂ insertion into the M—N bond, was obtained.

Kinetic and NMR-monitoring studies provided further insight into the unique behavior of **20b**. Evidence was obtained that the M—C insertion reactions of **20c** proceed by loss of phosphine, followed by coordination of CO or CO₂, and then migratory insertion and re-coordination of phosphine. In the nitrogen case, however, intermediates **27a** and **27b** were formed without initial PMe₃ loss, and then these complexes rearranged to **28**. We therefore concluded that it is the higher inherent nucleophilicity of nitrogen that allows it to react directly with the relatively electrophilic CO₂ molecule, and this process overtakes the slower phosphine loss/migratory insertion pathway that appears to favor carbon migration.

OXAMETALLACYCLOBUTANES

A special class of transition metal alkoxo complexes in which we have been interested for some time is 2-oxametallacyclobutanes—four-membered



Scheme 5.



Scheme 6.

ring complexes with adjacent metal and oxygen atoms in the ring. As with late transition metal alkyls and the corresponding alkoxides, many examples of metallacyclobutanes have been known for some time, but the corresponding mono-oxa analogues are much less well known. Besides being of interest in themselves, the chemistry of these materials is relevant to metal-catalyzed alkene oxidation reactions. Although the possible intermediacy of 2-oxametallacyclobutanes in cytochrome P-450 catalyzed epoxidation of alkenes is controversial,³² there is mounting evidence that (oxo)oxametallacyclobutanes may be involved in metal-mediated dihydroxylation reactions.³³

As with simple alkoxides, our early attempts to synthesize 2-oxametallacyclobutanes focused on iridium(III) complexes. In this work we successfully prepared complex **29** by the route illustrated at the top of Scheme 7 (a similar sequence also led to the nitrogen analogue of this material having O replaced by NH).³⁴ This complex does not give an epoxide on heating or ultraviolet irradiation, but it does undergo a unique photochemical fragmentation to acetone and carbene complex **30**.

The tetrakis(phosphine)ruthenium series also provided 2-oxametallacyclobutanes with equally interesting reactivity.³⁵ In this series protonation reactions converted benzyne complex 18, via ring opening followed by cyclometallation, to oxametallacycle 31. Once again we found no direct reductive elimination routes from this material to substituted epoxide. However, mild thermolysis of the oxametallacycle (45°C, 8 h) provided a good yield of complex 33. The most reasonable route to this product involved β -methyl migration from carbon to the metal center-a very rare reactionfollowed by cyclometallation of the phenyl ring. Other significant chemistry of 31 included hydrogenation to give L_4RuH_2 and 2-phenylpropan-2-ol, and several reactions (such as the transformation with benzaldehyde shown in Scheme 7) that extrude 2-phenylpropene. Despite the possibility that these



Scheme 7.

reactions proceed via the low-valent oxo complex $L_4Ru=0$, we were not able to obtain direct evidence that this intriguing intermediate is directly involved.

Two other related reactions that arose from this work, involving the chemistry of cyclic enolates, are illustrated at the bottom of Scheme 7. In one, **35** undergoes a reaction involving a sequential aldol condensation and (once again) β -methyl transfer to give the substituted "acac" complex **36**. The second example involves the preparation of 3-oxametallacyclobutane **37**. Ketone **37** is an example of a well-known class of complexes, but this particular example is unusual in its ability to lose a phosphine and undergo conversion to the oxatrimethylenemethane complex **38**.³⁵

LOW-VALENT HYDROXOMETAL COMPLEXES

Through the work described above, as well as important contributions from several other groups, low-valent electron-rich late transition metal alkoxo (M—OR) complexes are now better known than they were several years ago. Examples of lowvalent monomeric M—OH complexes, however, are still relatively rare, presumably because of their tendency to donate a proton to another M—OH center and form μ -oxo complexes, which are often very stable.^{36–38} Recently, however, we have been able to use the stabilizing influence of the Cp*(L)Ir and L₄Ru fragments to obtain isolable (and, in two cases, structurally characterized) examples of late transition metal hydroxo complexes.

Our first example of this class of materials was a (phenyl)(hydroxo)ruthenium complex that was obtained from the addition of water to benzyne complex 18. This led to (phenyl)(hydroxo) ruthenium complex 39 in 62% yield, which after isolation and crystallization was characterized by X-ray diffraction, establishing that it was neither aggregated nor hydrogen bound to water in the solid state.²⁷ More recently we have been able to prepare and isolate the (hydrido)(hydroxo) ruthenium complex 42 by addition of water to phosphine-cyclometallated complexes 40 or more conveniently by similar treatment of the ethylene complex 21. The corresponding (methyl)(hydroxo) complex 43 has been obtained by treatment of 41 with water (Scheme 8).³⁹

Replacement of the four PMe₃ ligands with two bis-(dimethylphosphino)ethane (DMPE) ligands provides additional information about this general class of addition reactions. First, we found that weak acids such as phenols, thiols, etc. add across the Ru—C bond in the bis(DMPE)ethylene com-

plex 44 to give products analogous to those obtained from 21, as described earlier. However, in the addition of *p*-cresol a new species, spectroscopically characterized as the cationic ethylene hydride 45, could be detected as an intermediate in the conversion of 44 to the final addition product 46. Presumably a similar intermediate intervenes in the reaction of 21 with p-cresol (Scheme 5), but it is not stable enough to accumulate and be detected in that system. Perhaps most interesting is the (hydrido)(hydroxo)ruthenium complex that is formed by addition of water to bis(DMPE) complex 44. An X-ray diffraction study demonstrated that this molecule (47) is a dimer in which two hydroxoruthenium moieties are held together by two water bridges, as shown in Scheme 8. An unusual aspect of the hydrogen-bonding bridge is that neither hydroxoruthenium proton is involved; only the hydrogens of the water molecules form the hydrogen bonds between water and the Ru-OH ligands.29,39

Recently the Cp*Ir(PMe₃) system has also provided us with an isolable hydroxometal complex. Treatment of the reactive triflate complex $Cp^{*}(L)Ir(Ph)(OSO_{2}CF_{3})$ (49) with either KOtBu or CsOH leads to a high yield of the hydroxo (iridium) complex 50.40 Studies on this complex have revealed a reaction chemistry much more extensive than that of the corresponding alkyl complexes; some of the transformations observed with 50 are summarized in Scheme 9. Several of these are reflective of the (alkoxo)(hydrido)iridium complex 4 discussed earlier. Unique to this system, however, are the apparent insertion reactions with dimethylacetylenedicarboxylate (DMAD) to give substituted vinyl complex 51 and with ethylene to give the hydroxyethyl complex 52.

Finally, in very recent work we have found that the hydroxoiridium complex 50 is a convenient substrate for carrying out attachment of metal complexes to silica supports.⁴¹ As shown in Scheme 10, treatment of silica with 50 at room temperature results in smooth attachment of iridium to the silica surface, presumably via Ir-O-Si bonds. The same supported material can be obtained by treatment of silica with the amido complex 52 and the siloxido complex 53. Persuasive evidence that the product 51 is formed without structural rearrangement is provided by treatment of the supported complex with phenols, which quantitatively returns the organometallic species as the corresponding phenoxo complexes 54. Exchange of the silica "ligand" with phenols is a reversible process, and concentration dependence studies have allowed us to measure apparent equilibrium constants for the interchange. In an analogous proton transfer reac-



Scheme 8.



Scheme 9.



tion that leads to new metal-carbon bonds, 51 reacts with ethyl propiolate to give (alkynyl) (phenyl)iridium complex 55. Finally, using other organic reagents it is possible to induce more deepseated changes at the iridium center. Perhaps the most interesting example involves the reaction of 51 with dimethylacetylenedicarboxylate, which induces a cyclization reaction leading to the metallacycle 56. Ongoing studies are directed at expanding the scope of these reactions and obtaining a mechanistic understanding of transformations such as the conversion of 51 to 56.

SUMMARY AND CONCLUSIONS

During the past ten years the work described here has contributed to a growing literature which demonstrates that relatively low-valent, electronrich "late" transition metal complexes with metal– oxygen single bonds can be prepared. The chemical properties of these materials so far uncovered distinguish them in many ways from their alkylmetal analogues. We look forward to the synthesis of additional examples of currently rare members of this class of complexes, such as electron-rich monomeric metal hydroxides. We also anticipate more extensive mechanistic studies on their reactions, which should help to illuminate the role played by alkoxo and hydroxo complexes in metal-catalyzed organic oxygenation reactions.

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