

# ADJUNCTION OF SUBFIELD CLOSURES TO ORDERED DIVISION RINGS

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1. **Preface.** Let  $\Sigma$  be an ordered division ring,  $P$  its prime field (i.e. the field of rationals),  $P^*$  the closure of  $P$  in its order topology;  $P^*$  is then order-isomorphic to the field of real numbers in their natural order. It has been shown by B. H. Neumann [1]<sup>(1)</sup> that  $\Sigma$  can be extended to an ordered division ring  $\Sigma(P^*)$  continuing the order of  $\Sigma$  and containing  $P^*$  in its centre.

With a few changes in Neumann's proof the following generalisation will be proved: Let  $F$  be an arbitrary subfield of the centre of  $\Sigma$ . Then  $\Sigma$  can be extended to an ordered division ring  $\Sigma(F^*)$  continuing the order of  $\Sigma$  and containing the closure  $F^*$  of  $F$  with respect to its order-topology in its centre.

The new tool which is used throughout the paper is a mapping of  $\Sigma$  into a system consisting of the symbols  $-\overline{\infty}$  and  $+\overline{\infty}$  and an ordered residue class division ring  $\overline{D}$  obtained by a peculiar type of fundamental sequence modulo the corresponding null-sequences.

2. **The mapping  $\sigma \rightarrow \overline{\sigma}$ .** Let  $\Sigma$  be an ordered division ring with centre  $Z$ ,  $F$  a fixed subfield of  $Z$ , and  $\Sigma^+$ ,  $F^+$  the multiplicative groups of the positive elements of  $\Sigma$ ,  $F$  respectively.

We can assume the order of the additive semigroup of  $F^+$  to be nonarchimedean<sup>(2)</sup>. Then the archimedean classes of the additive semigroups of  $\Sigma^+$ ,  $F^+$  form multiplicative groups  $a(\Sigma)$ ,  $a(F)$  when the product is defined by the product of representatives of the corresponding classes<sup>(3)</sup>. The archimedean classes of the additive semigroups of  $\Sigma^+$ ,  $F^+$  will be called *additive archimedean classes* of  $\Sigma^+$ ,  $F^+$  respectively. The additive archimedean class of an element  $\sigma \in \Sigma^+$  in  $a(\Sigma)$  will be denoted by  $[\sigma]$ . Thus  $[\sigma]$  consists of those  $\tau \in \Sigma^+$  for which there exist positive integers  $s, t$  such that  $|\sigma| < t\tau$ ,  $\tau < s|\sigma|$ .

Let  $F^*$  be the closure of  $F$  with respect to its order-topology; it can be constructed by means of fundamental sequences and null-sequences in the usual way. Thus  $F^*$  is an ordered field containing (an ordered subfield isomorphic to)  $F$  such that its additive group is the order-topological completion of the additive group of  $F$ . With this  $t$ -completion in the sense of Cohen-Goffman<sup>(4)</sup> a unique ordinal  $\xi^* = \xi(F)$  is associated, and for the construction

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(<sup>1</sup>) Numbers in brackets refer to the bibliography at the end of the paper.

(<sup>2</sup>) For otherwise the closure  $F^*$  of  $F$  is order-isomorphic to the field of reals, and  $F^*$  can be embedded in  $\Sigma$  according to [1].

(<sup>3</sup>) Obviously this definition is independent of the choice of the representatives.

(<sup>4</sup>) Cf. [2].

of  $F^*$  it is sufficient to use fundamental well-ordered  $\xi^*$ -sequences.

Let  $\mathfrak{R}$  be the subring of  $\Sigma$  consisting of all elements  $\sigma \in \Sigma$  for which there exists a centre element  $\zeta \in F^+$  with  $|\sigma| < \zeta$ , that is, for which the additive archimedean class  $[\sigma]$  of  $|\sigma|$  is not greater than all archimedean classes of  $F^+$ . We shall call the elements of  $\mathfrak{R}$  *finite*<sup>(5)</sup> and the elements of  $\Sigma - \mathfrak{R}$  *infinite*<sup>(5)</sup>.

We shall now deal with certain sequences over  $\mathfrak{R}$ , similar to those leading to  $F^*$ , and start with the following definitions:

A well-ordered  $\xi^*$ -sequence  $\{\sigma_\alpha\}$  of elements  $\sigma_\alpha \in \mathfrak{R}$  is called an *F-fundamental sequence* (abbreviated *FF-sequence*) if for every  $\epsilon \in F^+$  there exists an ordinal  $\gamma(\epsilon) < \xi^*$  such that  $|\sigma_\alpha - \sigma_\beta| < \epsilon$  for  $\alpha, \beta > \gamma(\epsilon)$ .

An *FF-sequence*  $\{\sigma_\alpha\}$  such that for every  $\epsilon \in F^+$  there exists an ordinal  $\gamma(\epsilon)$  such that  $|\sigma_\alpha| < \epsilon$  for  $\alpha > \gamma(\epsilon)$  is called an *F-null-sequence* (abbreviated *FN-sequence*).

The *FF*-sequences form a ring  $\bar{R}$  when addition, subtraction, and multiplication are defined in the usual way. The *FN*-sequences form a two-sided ideal  $\bar{I}$  in  $\bar{R}$ . The residue class ring  $\bar{R}/\bar{I}$  is a division ring  $\bar{D}$ <sup>(6)</sup>.

Special *FF*-sequences are those where a fixed element  $\rho \in \mathfrak{R}$  is repeated  $\xi^*$ -times:  $\{\rho\}$ , clearly their residue class mod  $\bar{I}$  defines an element of  $\bar{D}$ , and we shall denote it by  $\bar{\rho}$ . Similarly we shall mark subsets of  $\bar{D}$  which correspond to subsets of  $\mathfrak{R}$  by means of the mapping  $\rho \rightarrow \bar{\rho}$  by a bar “-”.

The map  $\bar{\mathfrak{R}}$  of  $\mathfrak{R}$  in  $\bar{D}$  is a division ring. The mapping  $F \rightarrow \bar{F}$  is an isomorphism.  $\bar{D}$  can be ordered so that the order of  $\bar{F}$ , induced by  $F \cong \bar{F}$ , is preserved.  $\bar{D}$  contains the closure  $\bar{F}^*$  (with respect to the the order-topology) of  $\bar{F}$  as a subfield<sup>(6)</sup>.

We shall assume from now on that  $\bar{D}$  is ordered with preservation of the order in  $\bar{F}$ . We shall call the elements of  $\mathfrak{R}$  mapped onto  $\bar{0}$  *infinitely small*<sup>(6)</sup>; they form a two-sided maximal prime ideal in  $\mathfrak{R}$  which we shall denote by  $\mathfrak{P}$ .

The aim of our paper is to embed  $\Sigma$  in an ordered division ring  $\Sigma^*$  containing (a field order-isomorphic to)  $F^*$ . If the mapping  $\mathfrak{R} \rightarrow \bar{\mathfrak{R}}$  is an isomorphism, then  $\Sigma^*$  can be taken to be  $\bar{D}$ . For if no elements  $\sigma \in \Sigma^+$  exist with the property  $\sigma < \zeta$  for all  $\zeta \in F^+$ , then also no elements  $\tau \in \Sigma^+$  exist with  $\tau > \zeta$  for all  $\zeta \in F^+$ . Therefore all elements of  $\Sigma$  are finite,  $\bar{\mathfrak{R}}$  is order-isomorphic to  $\Sigma$ , and  $\bar{D}$  contains  $\bar{F}^*$  and  $\bar{\mathfrak{R}}$ . We shall exclude this trivial case in the following by the assumption  $\mathfrak{R} \neq \Sigma$ .

Then there exist infinitely small nonzero elements. The construction of  $\bar{D}$  sets up a natural mapping of  $\Sigma$  into the system obtained from  $\bar{D}$  by adding the two symbols  $-\infty, +\infty$  with the usual conventions. The elements  $\tau \in \Sigma - \mathfrak{R}$  are mapped onto  $\pm\infty$  according as  $\tau \geq 0$ .

Our mapping  $\sigma \rightarrow \bar{\sigma}$  is a generalisation of the mapping  $\sigma \rightarrow \hat{\sigma}$  in [1] since

(5) This definition, of course, is dependent on the fixed field  $F$ , but for the sake of brevity we shall omit the qualification “with respect to  $F$ .”

(6) The proof runs along the usual lines and is omitted. Cf., for instance, [3, chap. IX].

there  $\wedge P^*$  can be constructed by means of fundamental sequences instead of by means of Dedekind sections.

If all elements of  $\mathfrak{R}$  are mapped onto elements of  $\overline{F}^*$ , we are confronted with a situation similar to that in [1], and, in fact, the whole construction of  $\Sigma^*$  including the proofs can be taken over easily from [1].

But, in general, this need not be the case. For there may exist elements  $\bar{\rho} \in \overline{\mathfrak{R}}$  such that  $\bar{\sigma} \ll \bar{\rho} \ll \bar{1}$  holds for all  $\bar{\sigma} \ll \bar{1}$ ,  $\bar{\sigma} \in \overline{F}^*$ . Then we shall denote the subring of all elements of  $\mathfrak{R}$  mapped into  $\overline{F}^*$  by  $\mathfrak{S}$  and assume  $\mathfrak{S} \neq \mathfrak{R}$ . The map  $\overline{\mathfrak{S}} = \overline{\mathfrak{R}} \cap \overline{F}^*$  is a field and consists of exactly the same additive archimedean classes as  $F$ . Finally we shall denote the subring of all elements of  $\mathfrak{R}$  mapped onto the centre of  $\overline{\mathfrak{R}}$  by  $\mathfrak{Z}$ . Then  $\overline{\mathfrak{S}} \subseteq \overline{\mathfrak{Z}}$  holds.

Now (8.4) and (8.5) in [1] are no longer valid in our case. However, we can still take over the construction of  $\Sigma^*$  in [1] and most of the proofs there. We shall have to supply amendments only when (8.4) or (8.5) are applied in [1].

As in [1], we shall denote by  $P$  an arbitrary subfield of  $Z \cap \mathfrak{R}$  and by  $P_{\max}$  one of the maximal subfields of  $Z \cap \mathfrak{R}$ . We may assume  $\overline{P}_{\max} \neq \overline{F}^*$ , otherwise no extension would be required. It is then possible to adjoin to  $P_{\max}$  an element  $\bar{\theta} \in \overline{F}^*$ . We shall have to show that the corresponding adjunction of an element  $\theta$  to  $P_{\max}$ , and thus to  $\Sigma$ , leads again to a division ring  $\Sigma(\theta)$  and that  $\Sigma(\theta)$  can be ordered with preservation of the order in  $\Sigma$ . By the successive adjunctions to  $\Sigma$  of all these  $\theta$ , according to the classical Steinitz procedure<sup>(7)</sup>, the required extension  $\Sigma^*$  is obtained.

As in [1], in all occurring polynomial domains  $\Sigma[x]$ ,  $\mathfrak{R}[x]$ , etc., the variable  $x$  will be assumed commutative with the coefficients. Finally we shall use the abbreviations:

$$\begin{aligned} \sigma^\tau &= \tau^{-1}\sigma\tau, & \sigma, \tau \in \Sigma, & \tau \neq 0, \\ \bar{\sigma}^\tau &= \{\tau^{-1}\sigma\tau\} \bmod \bar{I}, & \sigma \in \mathfrak{R}, \tau \in \Sigma, & \tau \neq 0, \\ f^\tau(x) &= \tau^{-1}f(x)\tau, & f(x) \in \Sigma[x], \tau \in \Sigma, & \tau \neq 0, \\ \bar{f}^\tau(x) &= \{\tau^{-1}f(x)\tau\} \bmod \bar{I}, & f(x) \in \mathfrak{R}[x], \tau \in \Sigma, & \tau \neq 0. \end{aligned}$$

$\mathfrak{R}$  as well as  $\mathfrak{R}[x]$  are invariant under all  $\tau \in \Sigma$ , hence  $\bar{\sigma}^\tau$  and  $\bar{f}^\tau(x)$  are defined.

Then the following lemmas will help to replace [1, (8.4)] and [1, (8.5)] here:

2.1. LEMMA. *If  $\bar{f}(\bar{x}) \in \overline{\mathfrak{R}}[\bar{x}]$ ,  $\bar{\theta} \in \overline{F}^*$ ,  $\bar{f}(\bar{\theta}) > \bar{0}$ , then  $\bar{f}^\tau(\bar{\theta}) > \bar{0}$  for every non-vanishing  $\tau \in \Sigma$ .*

**Proof.** Let  $f(x) \in \mathfrak{R}[x]$  be a fixed image of  $\bar{f}(\bar{x})$  and  $\{\theta_\alpha\}$ ,  $\theta_\alpha \in F$ , be a  $FF$ -sequence such that  $\{\theta_\alpha\} \bmod \bar{I} = \bar{\theta}$ . From  $\bar{f}(\bar{\theta}) > \bar{0}$  it follows that there exist an  $\epsilon \in F^+$  and an ordinal  $\gamma (< \phi)$  such that  $f(\theta_\alpha) > \epsilon > 0$  for  $\phi > \alpha > \gamma$ <sup>(8)</sup>. But then  $f^\tau(\theta_\alpha) > \epsilon > 0$  holds, too, for  $\phi > \alpha > \gamma$ , i.e.,  $\bar{f}^\tau(\bar{\theta}) > \bar{0}$ .

<sup>(7)</sup> Cf. [4].

<sup>(8)</sup> This can be shown in the usual way, cf., for instance, [3, chap. IX].

2.2. COROLLARY. *If  $\bar{f}(\bar{x}) \in \overline{\mathfrak{R}}[\bar{x}]$ ,  $\bar{\theta} \in \overline{F}^*$ ,  $\bar{f}(\bar{\theta}) = \bar{0}$ , then  $\bar{f}^\tau(\bar{\theta}) = \bar{0}$  for every nonvanishing  $\tau \in \Sigma$ .*

2.3. LEMMA. *If  $\bar{g}(\bar{x}) \in \overline{\mathfrak{R}}[\bar{x}]$  is irreducible and monic,  $\bar{\theta} \in \overline{F}^*$ ,  $\bar{g}(\bar{\theta}) = \bar{0}$ , then  $\bar{g}(\bar{x}) = \bar{g}^\tau(\bar{x})$  for every nonvanishing  $\tau \in \Sigma$ .*

**Proof.** Suppose  $\bar{h}(\bar{x}) = \bar{g}(\bar{x}) - \bar{g}^\tau(\bar{x}) \neq \bar{0}$  for a certain  $\tau \in \Sigma$ . Then  $\bar{h}(\bar{\theta}) = \bar{0}$  holds by (2.2). But this contradicts the irreducibility of  $\bar{g}(\bar{x})$  in  $\overline{\mathfrak{R}}[\bar{x}]$  since the degree of  $\bar{h}(\bar{x})$  is less than the degree of  $\bar{g}(\bar{x})$ .

2.4. COROLLARY. *If  $\bar{g}(\bar{x}) \in \overline{\mathfrak{R}}[\bar{x}]$  is irreducible and monic,  $\bar{\theta} \in \overline{F}^*$ ,  $\bar{g}(\bar{\theta}) = \bar{0}$ , then  $\bar{g}(\bar{x}) \in \overline{\mathfrak{Z}}[\bar{x}]$ .*

3. **Alterations in Neumann's proof.** Now the sections 9–17 in [1] can be taken over mutatis mutandis. Thus all accents have to be replaced by bars, and  $\sigma \rightarrow \bar{\sigma}$  is a mapping into  $\overline{D}$ , whilst in [1],  $\sigma \rightarrow \hat{\sigma}$  was a mapping into  $\hat{P}^*$ . Only the following alterations are necessary:

In section 9 whenever  $g(x)$  occurs the additional assumption has to be made that it is monic and irreducible over  $\mathfrak{R}[x]$  and that  $\bar{g}(\bar{\theta}) = \bar{0}$  holds, which involves no loss of generality. In the proof of (9.5)<sup>(9)</sup>, the lemma (8.5) was applied in order to show that  $\hat{h}'' = \hat{h}$  holds. Here the corresponding fact  $\bar{h}'' = \bar{h}^{\bar{\sigma}^{-1}} = \bar{h}$  follows from  $\bar{f}(\bar{x}) = \bar{f}^{\bar{\sigma}^{-1}}(\bar{x})$  and (2.3).

In section 10 the absence of commutativity in our  $\overline{\mathfrak{R}}$  provides no special difficulty since  $\bar{g}(\bar{x})$ , defined as in (10.41), lies in the centre  $\overline{\mathfrak{Z}}[\bar{x}]$  of  $\overline{\mathfrak{R}}[\bar{x}]$  because of (2.4). The original proof of (10.73) used (8.5), therefore it has to be altered as follows:  $p > 0$  implies  $\bar{q}(\bar{\theta}) > \bar{0}$  (according to (10.51)), hence  $\bar{q}^\sigma(\bar{\theta}) > \bar{0}$  by (2.1). But  $\bar{p}^\sigma(\bar{x}) = \bar{g}(\bar{x})^\lambda \bar{q}^\sigma(\bar{x})$  by (2.3), hence  $p^\sigma > 0$ .

In section 11, instead of (11.4) only a restricted lemma can be proved.

3.1. LEMMA. *If  $\sigma \in \mathfrak{S}$ ,  $\rho \in \mathfrak{R}$ , then  $A_{\sigma\rho} = o(\rho)$ .*

**Proof.** We have  $\bar{\sigma} = \bar{\sigma}^\rho$ , hence  $\sigma^\rho - \sigma = o(1)$ , or upon left-hand multiplication by  $\rho$ :  $\sigma\rho - \rho\sigma = o(\rho)$ .

Consequently in (11.51), (11.52), and (11.53),  $\sigma$  and  $\tau$  must now be restricted to belong to  $\mathfrak{S}$ . Similarly in section 12 the restrictions  $\xi_1, \xi_2, \xi \in \mathfrak{S}$  are necessary in all lemmas.

In section 13, instead of (13.2) we have only the following lemma:

3.2. LEMMA. *If  $\xi \in \mathfrak{S}$ , then  $(pq)\xi = p(\xi)q(\xi) + o(q(\xi))$ .*

This follows from (13.21) and (3.1).

(13.3), (13.4), (13.5), and (13.6) have no value for us since (13.7) cannot be generalised in a way suitable for our purposes. But the following weaker lemma will help us:

<sup>(9)</sup> Here and in the following numbers of formulae refer either to our paper or to [1, part II]. No misunderstanding is possible since our paper consists only of the sections 1–3, but [1, part II] starts with section 7.

3.3. COROLLARY. *If  $\xi \in \mathfrak{S}$ ,  $p(\xi) \neq o(1)$ , then  $(pq)\xi = p(\xi)q(\xi)(1 + o(1))$ .*

**Proof.**  $p(\xi) \neq o(1)$  implies  $(p(\xi))^{-1} = O(1)$ . Hence

$$o(q(\xi)) = o((p(\xi))^{-1}p(\xi)q(\xi)) = o(O(p(\xi)q(\xi)) = o(p(\xi)q(\xi))$$

and (3.2) does the rest.

In the sections 14 and 15,  $\theta$  and  $\zeta$  belong already to  $\mathfrak{S}$  quite naturally. Thus our proofs are not hampered by the previous restrictions that the arguments of all polynomials must belong to  $\mathfrak{S}$ . In (15.2) and (15.21),  $\wedge \mathfrak{R}$  has to be replaced by  $\overline{\mathfrak{S}}$ . Of course the normal extension  $\Phi$ , as introduced in the proof of (15.2), need not lie in  $\overline{F^*}(-1)^{1/2}$ . But according to Steinitz [4], for every field  $K$  an algebraically closed extension field  $L$  can be constructed. Thus if  $K = \overline{\mathfrak{S}}$ ,  $\Phi$  lies in  $L$ . In the proof of (15.5) our lemma (3.2) must be applied twice.

The ordering of a simple algebraic extension  $\Sigma(\theta)$  of  $\Sigma$  can be defined as in the sections 16 and 17. All proofs but one remain valid, only the proof of (16.73) has to be altered (because it applied (13.7) in [1]) as follows:

$\bar{f}(\bar{x})$ , as defined in (9.21), is irreducible over  $\mathfrak{R}$ . For otherwise  $f(x)$  would factorise in  $\mathfrak{R}[x]$ , in contradiction of (9.8). Put  $p_3(x) = \pi_2^{-1}p_1\pi_2(x)$ , then  $p_3(x) \in \mathfrak{R}[x] - \mathfrak{P}[x]$ , according to its definition. Since  $p_3(x)$  is of smaller degree than  $f(x)$ , we have  $\bar{p}_3(\bar{\zeta}) \neq \bar{0}$ , i.e.  $p_3(\zeta) \neq o(1)$ . Now (16.73) follows from (3.3).

Finally in the place of (17.5) we have the following result:

3.4. THEOREM. *Every ordered division ring  $\Sigma$  can be extended to an ordered division ring  $\Sigma(F^*)$ , containing the closure  $F^*$  of a chosen subfield of  $F$  of  $\Sigma$  (with respect to the order-topology in  $F$ ) with preservation of the order-relations in  $\Sigma$ .*

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