

# COMPLEX TAUBERIAN THEOREMS FOR POWER SERIES<sup>(1)</sup>

BY

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1. **Introduction.** Given a power series <sup>(2)</sup>

$$(1.1) \quad f(z) = \sum a_n z^n = (1 - z) \sum s_n z^n$$

with radius of convergence 1, we wish to study the relations between the sequence  $(s)$  and its associated function  $f(z)$  which may be considered as a transformation  $T(s)$ . Direct (or Abelian) theorems conclude from the sequence  $(s)$  to the transformation  $T(s)$ , while Tauberian theorems infer conclusions from the behavior of  $T(s)$  to the behavior of  $(s)$  under specified additional conditions (Tauberian conditions).

For the special transformation  $T$  which transforms  $(s)$  into  $f(z)$  according to (1.1) we distinguish two types of Tauberian theorems. Tauberian theorems of real character use assumptions about  $f(z)$  where  $z$  is on the real axis, and have real Tauberian conditions; for example

$$\lim_{z \rightarrow 1-0} f(z) = s \quad \text{and} \quad a_n \geq 0 \quad \text{implies} \quad \lim_{n \rightarrow \infty} s_n = s.$$

In this paper we are concerned with Tauberian theorems of complex character in which the assumptions on  $f(z)$  are essentially complex.

One of these complex Tauberian theorems was given first by Fatou [3, p. 389]:

**THEOREM A.** *If the function  $f(z)$  defined by (1.1) is regular at  $z=1$  and  $a_n \rightarrow 0$  ( $n \rightarrow \infty$ ), then  $\sum a_n$  converges.*

Another theorem of this type is due to M. Riesz (see, e.g. [9, p. 64]):

**THEOREM B.** *If the function  $f(z)$  defined by (1.1) is regular in the region*

$$S_1: \quad \begin{cases} |z| < R, & R > 1, \\ |\operatorname{arc}(z-1)| > \theta_0, & 0 < \theta_0 < \pi/2, \end{cases}$$

*and continuous in  $\bar{S}_1$  (the closure of  $S_1$ ), then  $\sum a_n$  converges.*

After recalling some well known facts in summability theory we shall relax the assumptions on  $f(z)$  in the Theorems A and B and prove a theorem which contains both as special cases. The condition about the behavior of

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(1) The results of this paper are contained in the author's Ph.D. Thesis [4]. Numbers in brackets refer to the bibliography at the end of the paper.

(2) We shall always let  $\sum_{n=0}^{\infty} a_n = \sum a_n$ .

$f(z)$  in  $S_1$  is thereby localized to a smaller region  $S_2$  in the neighborhood of  $z=1$  which is the smallest possible in a certain sense. In §4 other regions are taken instead of  $S_2$ .

In §§5 and 6 we assume that  $f(z) = \sum a_n z^n$  has a positive radius of convergence and that  $z=1$  lies on the boundary of the region of  $V$ -summability associated with  $f(z)$ . In analogy to the above theorems we infer conclusions from the behavior of  $f(z)$  in the neighborhood of  $z=1$  to the behavior of  $V(s)$ . In an extended sense these theorems are also complex Tauberian theorems. For  $V$  the methods of Euler-Knopp, Borel, and Meyer-König are taken and thus extensions of results of Karamata, Meyer-König, and Obrechhoff are obtained.

**2. The methods  $E_p, B, S_a$ .** The methods of Euler-Knopp, Borel, and Meyer-König are useful for the analytic continuation of power series. Assume that a series  $\sum a_n$  with partial sums  $s_n = \sum_{\nu=0}^n a_\nu$  is given and that the associated power series  $f(z) = \sum a_n z^n$  has a positive radius of convergence.

**a.** The method  $E_p$  (Euler-Knopp) with fixed parameter  $p$  ( $0 < p < \infty$ ) is defined by the triangular matrix ( $E_p$ ) with elements

$$(2.1) \quad c_{n\nu} = \frac{1}{2^{pn}} \binom{n}{\nu} (2^p - 1)^{n-\nu} \quad (n, \nu = 0, 1, 2, \dots; \nu \leq n).$$

The  $E_p$ -transformation of the sequence  $s_n$  is therefore the new sequence

$$E_p(n; s_\nu) = \frac{1}{2^{pn}} \sum_{\nu=0}^n \binom{n}{\nu} (2^p - 1)^{n-\nu} s_\nu \quad (n = 0, 1, \dots)$$

and we say that  $E_p$ -lim  $s_n = s$  (or  $E_p$ - $\sum a_n = s$ ) if  $\lim_{n \rightarrow \infty} E_p(n; s_\nu) = s$ .

For our purposes another definition of the  $E_p$ -transformation of the sequence  $s_n$  is more suitable. Letting

$$(2.2) \quad z = \phi_p(w) = \frac{w}{2^p - (2^p - 1)w}$$

and developing  $f(z)$  into powers of  $w$  we obtain a power series

$$F(w) = \sum a'_n w^n$$

which is convergent in the neighborhood of  $w=0$ . It is easy to prove that

$$(2.3) \quad E_p(n; s_\nu) = \sum_{\kappa=0}^n a'_\kappa \quad \text{where} \quad \begin{cases} a'_n = 2^{-p} E_p(n-1; a_{\nu+1}) & (n = 1, 2, \dots), \\ a'_0 = a_0. \end{cases}$$

Hence  $E_p$ - $\sum a_n$  exists if and only if  $\sum a'_n$  converges, and  $E_p$ -lim  $a_n = 0$  is necessary for the existence of  $E_p$ - $\sum a_n$ . (Here we use the fact that  $E_p(n; a_{\nu+1}) \rightarrow 0$  ( $n \rightarrow \infty$ ) is equivalent to  $E_p(n; a_\nu) \rightarrow 0$  ( $n \rightarrow \infty$ ).)

If the method  $E_p$  is applied to  $\sum a_n z^n$  for different values of  $z$ , one obtains

a region  $\mathfrak{G}_{E_p}$  in the  $z$ -plane in the interior of which the power series is  $E_p$ -summable to the value  $f(z)$ , whereas it is certainly not summable for any  $z$  which lies outside of  $\overline{\mathfrak{G}_{E_p}}$ . On the boundary of  $\mathfrak{G}_{E_p}$  no general statement can be made and the situation is somewhat similar to the situation on the boundary of the circle of convergence of a power series.  $\mathfrak{G}_{E_p}$  can be constructed from the singularities of  $f(z)$ ; we shall use that  $f(z)$  is necessarily regular in  $\mathfrak{R}_{E_p} = \mathfrak{R}((2^p - 1)/(2^{p+1} - 1))$  if  $z=1$  is on the boundary of  $\mathfrak{G}_{E_p}$ . (We denote by  $\mathfrak{R}(a)$  the region  $|z-a| < 1-a$  for  $0 < a < 1$ .)  $\mathfrak{R}_{E_p}$  is the map of  $|w| < 1$  under the transformation  $z = \phi_p(w)$ .

b. Two methods of Borel are known. In the case of the "exponential method" we let

$$B(x; s_\nu) = e^{-x} \sum \frac{s_n x^n}{n!} \quad (x \geq 0)$$

where the sum exists for  $x \geq 0$  since  $\sum a_n z^n$  has a positive radius of convergence; we say that  $B\text{-lim } s_n = s$  (or  $B\text{-}\sum a_n = s$ ) if  $\lim_{x \rightarrow \infty} B(x; s_\nu) = s$ .

The second method is called Borel's "integral method" and is often more suitable to power series. With the given series  $\sum a_n$  we associate the function  $\phi(t) = \sum a_n t^n / n!$  for  $t \geq 0$  and let

$$B'(x; s_\nu) = \int_0^x e^{-t} \phi(t) dt \quad (x \geq 0);$$

we say that  $B'\text{-lim } s_n = s$  (or  $B'\text{-}\sum a_n = s$ ) if  $\lim_{x \rightarrow \infty} B'(x; s_\nu) = s$ .

Concerning the relations between these two methods it is known that

$$(2.4) \quad B\text{-lim } s_n = s \text{ implies } B'\text{-lim } s_n = s,$$

but not conversely. However, since

$$(2.5) \quad B(x; s_\nu) = B(x; a_\nu) + B'(x; s_\nu),$$

the converse of (2.4) is true if and only if  $B(x; a_\nu) \rightarrow 0 (x \rightarrow \infty)$ . The relations (2.4) and (2.5) also imply that  $B\text{-lim } a_n = 0$  is necessary for the existence of  $B\text{-}\sum a_n$ .

The region  $\mathfrak{G}_B$  of Borel-summability of the power series  $\sum a_n z^n$  is defined analogously to  $\mathfrak{G}_{E_p}$  in  $\mathfrak{a}$ , and is the same for both methods  $B$  and  $B'$ . If  $z=1$  lies on the boundary of  $\mathfrak{G}_B$ , then  $f(z)$  is necessarily regular in  $\mathfrak{R}_B = \mathfrak{R}(1/2)$ .

c. The succession of the methods  $E_p$  and  $B$  is continued in a certain sense by the method of Meyer-König [10, p. 272]). This method depends on a parameter  $\alpha (0 < \alpha < 1)$  and is defined by the matrix  $(S_\alpha)$  with elements

$$c_{n\nu} = (1 - \alpha)^{n+1} \binom{n + \nu}{n} \alpha^\nu \quad (n, \nu = 0, 1, \dots).$$

The  $S_\alpha$ -transformation of the sequence  $s_n$

$$S_\alpha(n; s_\nu) = (1 - \alpha)^{n+1} \sum \binom{n + \nu}{n} \alpha^\nu s_\nu, \quad (n = 0, 1, \dots)$$

exists if and only if  $s_\nu = O(\nu^{-n}\alpha^{-\nu})$  for  $\nu \rightarrow \infty$  and all fixed  $n = 0, 1, \dots$ ; one then says that  $(S_\alpha)$  is applicable to the sequence  $s_n$ . This obviously implies the regularity of  $f(z)$  in  $|z| < \alpha$ , and the regularity of  $f(z)$  in  $|z| \leq \alpha$  is sufficient for the applicability of  $(S_\alpha)$  to the sequence  $s_n$ .

Later we use an alternative definition of the  $S_\alpha$ -transformation. Assume that  $(S_\alpha)$  is applicable to the sequence  $s_n$  so that  $f(z)$  is regular in  $|z| < \alpha$ ; assume in addition that  $f(z)$  is regular at  $z = \alpha$ . Letting

$$(2.6) \quad z = \frac{\alpha}{1 - (1 - \alpha)w}$$

and developing  $f(z)$  into powers of  $w$  we obtain a power series

$$F(w) = \sum a'_n w^n$$

which is convergent in the neighborhood of  $w = 0$ . One finds that

$$(2.7) \quad S_\alpha(n; s_\nu) = \sum_{\kappa=0}^n a'_\kappa \quad \text{where} \quad \begin{cases} a'_n = \frac{\alpha}{1 - \alpha} S_\alpha(n; a_{\nu+1}) & (n = 1, 2, \dots), \\ a'_0 = a_0 + \frac{\alpha}{1 - \alpha} S_\alpha(0; a_{\nu+1}). \end{cases}$$

Hence  $S_\alpha\text{-}\sum a_n$  exists if and only if  $\sum a'_n$  converges, and  $S_\alpha\text{-}\lim a_n = 0$  is necessary for the existence of  $S_\alpha\text{-}\sum a_n$ .

In the application to power series the situation here is slightly more complicated than in **a** and **b**. The region of  $S_\alpha$ -summability has not been investigated in full but it is known that the regularity of  $f(z)$  in  $\mathfrak{R}_{S_\alpha} = \mathfrak{R}(1/(2-\alpha))$  is necessary for the existence of  $S_\alpha\text{-}\sum a_n$  (again assuming the regularity of  $f(z)$  at  $z = \alpha$ ).

**3. On two theorems of Fatou and M. Riesz.** The starting point for our investigations on the circle of convergence of the power series

$$(3.1) \quad f(z) = \sum a_n z^n \quad \left( \limsup_{n \rightarrow \infty} |a_n|^{1/n} = 1 \right)$$

is the Theorems A and B of the introduction. Szász showed [1, pp. 485-486] that in Theorem B the region  $S_1$  can be replaced by another region which has a finite number of corners on  $|z| = 1$  instead of one as in the case of  $S_1^{(3)}$ . We show in Theorem 1 that the assumptions on  $f(z)$  can be localized completely to a neighborhood of  $z = 1$  if the condition  $a_n \rightarrow 0$  ( $n \rightarrow \infty$ ) is added.

Let  $S_2$  be the region

(3) Such a region can be expressed by  $\bigcap_1^n \{z_\kappa \cdot S_1\}$  with  $|z_\kappa| = 1$  ( $\kappa = 1, 2, \dots, n$ ).

$$S_2 = S_2(\delta_0, \theta_0): \begin{cases} |z - 1| < \delta_0, & \delta_0 > 0, \\ |\text{arc}(z - 1)| > \theta_0, & 0 < \theta_0 < \pi/2. \end{cases}$$

THEOREM 1. Let (3.1) be regular and bounded in  $S_2$ , and  $a_n \rightarrow 0$  ( $n \rightarrow \infty$ ). Then  $\sum a_n = s$  if  $A\text{-}\sum a_n = s$ , i.e. if  $\lim_{z \rightarrow 1-0} f(z) = s$ .

REMARKS. a. Theorem 1 contains the Theorems A and B and also Szász's extension of Theorem B. The conditions  $a_n \rightarrow 0$  ( $n \rightarrow \infty$ ) and  $A\text{-}\sum a_n = s$  are both necessary for  $\sum a_n = s$ , together they are sufficient for  $\sum a_n = s$  if  $f(z)$  fulfills the above conditions.

b. Let  $K = (e^{i\phi_0}, e^{-i\phi_0})$  be a closed subarc of  $|z| = 1$  within  $|z - 1| < \delta_0$ . Under the assumptions of Theorem 1 the series  $\sum a_n z^n$  converges for  $z = 1$  and Theorem A assures its convergence for every other  $z$  on  $K$ . We extend Theorem 1 by proving the uniform convergence of (3.1) on  $K$ .

THEOREM 2. Under the assumptions of Theorem 1 the series (3.1) converges uniformly on  $K$ .

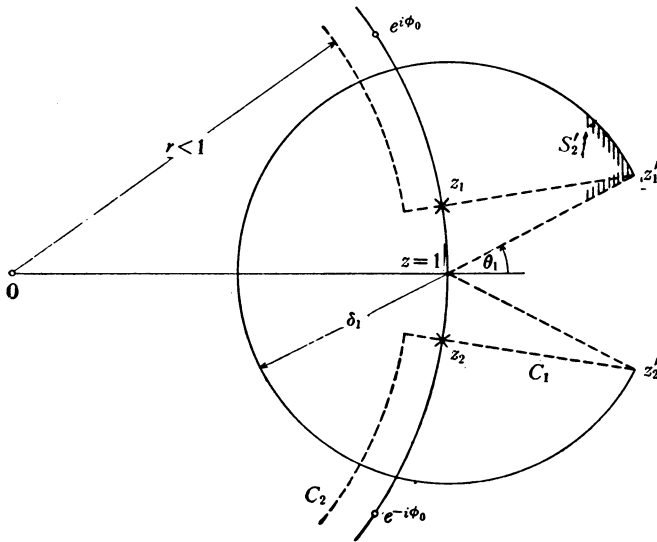


FIG. 1

**Proof.** By a theorem on bounded functions (see for example [13, p. 65]) one obtains first that  $f(z)$  is continuous in  $\overline{S'_2}$  where  $S'_2 = S_2(\delta_1, \theta_1)$  for every  $\delta_1, \theta_1$  with  $0 < \delta_1 < \delta_0$  and  $\theta_0 < \theta_1 < \pi/2$ . We may assume that  $\lim_{z \rightarrow 1} f(z) = 0$  in  $S'_2$  and that  $\delta_1$  is chosen such that

$$(3.2) \quad |f(z)| < \epsilon \cdot (\pi \cos \theta_1) / 8$$

for  $z \in S'_2$  and a given  $\epsilon > 0$ . Draw the path  $C = C_1 + C_2$  as indicated in Fig. 1. ( $C_2$  is the part of  $C$  in  $|z| < 1$ .)

To prove the uniform convergence of  $\sum a_n e^{in\phi}$  for  $|\phi| \leq \phi_0$ , take first all  $\phi$  with  $|\phi| \leq \phi_1 = (1/2) \text{ arc } z_1$ . If  $\sigma_n(\phi)$  are the arithmetic means of  $s_n(\phi) = \sum_{\nu=0}^n a_\nu e^{i\nu\phi}$ , then

$$\begin{aligned} |s_n(\phi) - \sigma_n(\phi)| &= \frac{1}{n+1} \left| \sum_{\nu=1}^n a_\nu \nu e^{i\nu\phi} \right| = \frac{1}{n+1} \left| \frac{1}{2\pi i} \left\{ \sum_{\nu=1}^n \nu e^{i\nu\phi} \int_{C_1} \frac{f(z) dz}{z^{\nu+1}} \right. \right. \\ &\quad \left. \left. + \int_{C_2} f(z) \sum_{\nu=1}^n \frac{\nu e^{i\nu\phi}}{z^{\nu+1}} dz \right\} \right| \\ &= \frac{1}{2\pi(n+1)} |A_n^{(1)}(\phi) + A_n^{(2)}(\phi)|. \end{aligned}$$

Estimation of  $A_n^{(1)}$ : For  $\nu = 1, 2, \dots$

$$\begin{aligned} \left| \int_1^{z_1} \frac{f(z) dz}{z^{\nu+1}} \right| &< \epsilon \cdot \frac{\pi \cos \theta_1}{8} \int_0^\infty \frac{d\tau}{|1 + \tau e^{i\theta_1}|^{\nu+1}} \\ &< \epsilon \cdot \frac{\pi \cos \theta_1}{8} \int_0^\infty \frac{d\tau}{(1 + \tau \cos \theta_1)^{\nu+1}} = \frac{\pi}{8\nu} \cdot \epsilon, \end{aligned}$$

and similarly for the other parts of  $C_1$ . Hence

$$(3.3) \quad |A_n^{(1)}(\phi)| < \frac{n\pi}{2} \cdot \epsilon \quad (n = 1, 2, \dots),$$

uniformly for  $|\phi| \leq \phi_1$ .

Estimation of  $A_n^{(2)}$ : Evaluating first the finite sum occurring in the expression for  $A_n^{(2)}$  we obtain

$$A_n^{(2)}(\phi) = e^{i\phi} \int_{C_2} f(z) \frac{z^{n+1} - (n+1)ze^{i\phi} + ne^{i\phi(n+1)}}{z^{n+1}(z - e^{i\phi})^2} dz.$$

In this integral we have first

$$\int_{C_2} \frac{f(z) dz}{(z - e^{i\phi})^2} = o(n),$$

and we shall prove that also

$$(3.4) \quad n \int_{C_2} \frac{f(z) dz}{z^n (z - e^{i\phi})^2} = o(n),$$

always uniformly for  $|\phi| \leq \phi_1$ . Assume for the moment that (3.4) is true. Then

$$(3.5) \quad |A_n^{(2)}(\phi)| < \frac{n\pi}{2} \cdot \epsilon \quad (n > n_1),$$

uniformly for  $|\phi| \leq \phi_1$ , and therefore  $|s_n(\phi) - \sigma_n(\phi)| < \epsilon/2$  for  $n > n_1$  and

$|\phi| \leq \phi_1$ . Using now the fact [15, pp. 94–95] that  $\sigma_n(\phi)$  converges uniformly to  $f(e^{i\phi})$  for  $|\phi| \leq \phi_1$ , we get

$$|s_n(\phi) - f(e^{i\phi})| \leq |s_n(\phi) - \sigma_n(\phi)| + |\sigma_n(\phi) - f(e^{i\phi})| < \epsilon$$

for  $n > n_2$  and all  $\phi$  in  $|\phi| \leq \phi_1$ . Since for  $\phi$  with  $\phi_1 \leq |\phi| \leq \phi_0$  the uniform convergence of  $\sum a_n e^{in\phi}$  follows from an extension of Theorem A due to M. Riesz [15, p. 90], the proof of Theorem 2 is completed provided (3.4) is true.

For the proof of (3.4) we apply a method of M. Riesz [15], choosing the numbers  $b_1 = b_1(\phi)$  and  $b_2 = b_2(\phi)$  such that  $H(z_1) = H(z_2) = 0$  for

$$H(z) = H(z, \phi) = \frac{1}{(z - e^{i\phi})^2} + b_1 + b_2 z.$$

One finds that  $b_1(\phi)$  and  $b_2(\phi)$  are bounded for  $|\phi| \leq \phi_1$ . Therefore using (3.3) and  $a_n \rightarrow 0$  ( $n \rightarrow \infty$ ) we obtain

$$\begin{aligned} \int_{C_2} \frac{f(z)H(z)dz}{z^n} &= \int_{C_2} \frac{f(z)dz}{z^n(z - e^{i\phi})^2} + b_1 \int_C \frac{f(z)dz}{z^n} + b_2 \int_C \frac{f(z)dz}{z^{n-1}} \\ &\quad - b_1 \int_{C_1} \frac{f(z)dz}{z^n} - b_2 \int_{C_1} \frac{f(z)dz}{z^{n-1}} \\ &= \int_{C_2} \frac{f(z)dz}{z^n(z - e^{i\phi})^2} + o(1), \end{aligned}$$

so that it remains now to show that

$$(3.6) \quad \lim_{n \rightarrow \infty} \int_{C_2} \frac{f(z)H(z)dz}{z^n} = 0,$$

uniformly for  $|\phi| \leq \phi_1$ . But by Theorem A the series  $\sum a_n z^n$  converges for  $z_1$  and  $z_2$  and therefore uniformly on  $C_2$  so that

$$\int_{C_2} \frac{f(z)H(z)dz}{z^n} = \sum_{\nu} a_{\nu} \int_{C_2} z^{\nu-n} H(z) dz$$

which is a matrix transformation of the zero-sequence  $a_{\nu}$ . We have to show

$$(3.7) \quad \lim_{n \rightarrow \infty} c_{n\nu} = 0 \quad (\nu = 0, 1, \dots, \text{fixed}),$$

$$(3.8) \quad \limsup_{n \rightarrow \infty} \sum_{\nu} |c_{n\nu}| < \infty,$$

uniformly for  $|\phi| \leq \phi_1$ , where

$$c_{n\nu} = c_{n\nu}(\phi) = \int_{C_2} z^{\nu-n} H(z, \phi) dz.$$

Integrating twice by parts we get for  $\nu \neq n-1, \nu \neq n-2$

$$c_{n\nu} = -\frac{1}{\nu - n + 1} \left\{ \frac{z^{\nu-n+2}}{\nu - n + 2} \frac{\partial H(z)}{\partial z} \Big|_{z_1}^{z_2} - \frac{1}{\nu - n + 2} \int_{C_2} z^{\nu-n+2} \frac{\partial^2 H(z)}{\partial z^2} dz \right\}.$$

Since  $H(z) = H(z, \phi)$  is regular in the finite  $z$ -plane except for  $z = e^{i\phi}$  where  $|\phi| \leq \phi_1$ , we can replace the path  $C_2$  by the arc:  $|\phi| \geq \text{arc } z_1$  of the unit circle. For  $z$  on this arc the function  $H(z)$  and its first and second derivatives with respect to  $z$  are bounded, uniformly for  $|\phi| \leq \phi_1$ , by a constant  $L_1$ , say. Therefore

$$|c_{n\nu}| \leq L_1 \frac{2 + 2\pi}{(\nu - n + 1)(\nu - n + 2)} \quad (\nu \neq n - 1; \nu \neq n - 2),$$

uniformly for  $|\phi| \leq \phi_1$ , which proves (3.7). But obviously  $c_{n,n-1}$  and  $c_{n,n-2}$  are uniformly bounded for  $|\phi| \leq \phi_1$ , by a constant  $L_2$ , say, so that

$$\begin{aligned} \sum_{\nu} |c_{n\nu}| &\leq 2L_2 + L_1 \sum_{\nu \neq n-1, \nu \neq n-2} \frac{2 + 2\pi}{(\nu - n + 1)(\nu - n + 2)} \\ &\leq 2L_2 + 2L_1(2 + 2\pi) \end{aligned}$$

which proves (3.8) and therefore completes the proof of Theorems 1 and 2.

4. **Weaker assumptions on  $f(z)$ .** Until now our assumptions on  $f(z)$  concerned its behavior in the regions  $S_1$  and  $S_2$ , whose boundaries have an osculation of first order with  $|z| = 1$  at  $z = 1$ . The question arises what can be said in the case that  $S_1$  and  $S_2$  are substituted by the regions  $S_3$  and  $S_4$ , whose boundaries have an osculation of second order with  $|z| = 1$  at  $z = 1$ :

$$S_3: \begin{cases} |z| < R, & R > 1 \\ |z| < 1 + c_0\phi^2, & c_0 > 0 \end{cases}; \quad S_4: \begin{cases} |z - 1| < \delta_0, & \delta_0 > 0 \\ |z| < 1 + c_0\phi^2, & c_0 > 0 \end{cases} \quad (z = |z| \cdot e^{i\phi}).$$

In the neighborhood of  $z = 1$  the boundaries of  $S_3$  and  $S_4$  behave like a circle which touches  $|z| = 1$  exteriorly at  $z = 1$ .

First we remark that *it is impossible to substitute  $S_1$  by  $S_3$  in Theorem B or  $S_2$  by  $S_4$  in Theorem 1.* For there exist power series which define a function  $f(z)$  regular in  $S_3$  and continuous in  $\bar{S}_3$  and which are not even  $V$ -summable for  $z = 1$  [5, p. 331]; by  $V$  we denote in this paragraph any of the methods  $E_p$  ( $0 < p < \infty$ ),  $B$ ,  $S_\alpha$  ( $0 < \alpha < 1$ ). We shall show, however, that the following modifications of Theorems B and 1 are true.

**THEOREM 3.** *If (3.1) is regular in  $S_3$  and continuous in  $\bar{S}_3$ , then  $\sum a_n$  converges provided that  $V\text{-}\sum a_n$  exists<sup>(4)</sup>.*

This is a  $V \rightarrow K$ -Theorem (" $K$ " standing for "convergence") under complex Tauberian conditions.

<sup>(4)</sup> Theorem 3 and its proof also hold if "continuous in  $\bar{S}_3$ " is replaced by "bounded in  $S_3$ ."



**Proof.** The assumptions on  $f(z)$  imply  $a_n = O(1/n^{1/2})$  [5, p. 327], which is a Tauberian condition for  $V$ -summability.

We now prove the localization of Theorem 3.

**THEOREM 4.** *Let (3.1) be regular and bounded in  $S_4$ , and  $a_n \rightarrow 0$  ( $n \rightarrow \infty$ ). Then  $\sum a_n = s$  if  $V\text{-}\sum a_n = s^{(5)}$ .*

**Proof.** It is sufficient to show

$$(4.1) \quad \lim_{n \rightarrow \infty} \sum_{\nu=n}^{n+[\epsilon_n n^{1/2}]} a_\nu = 0 \quad \text{for any positive zero-sequence } \epsilon_n,$$

because this is a Tauberian condition for  $V$ -summability. (For  $E_p$  and  $B$  see for example [6, p. 312]; for  $S_\alpha$  see [4, p. 36].) To prove (4.1) we construct a path similar to the one in Fig. 1, except that the part  $(z'_2, 1, z'_1)$  of  $C_1$  is substituted by a segment of  $|z| = 1 + c_1 \phi^2$  ( $0 < c_1 < c_0$ ); the parts  $(z_2, z'_2)$  and  $(z_1, z'_1)$  remain rectilinear as in Fig. 1. Then with  $k = [\epsilon_n n^{1/2}]$  we have

$$\sum_{\nu=n}^{n+k} a_\nu = \frac{1}{2\pi i} \left[ \sum_{\nu=n}^{n+k} \int_{C_1} \frac{f(z) dz}{z^{\nu+1}} + \int_{C_2} f(z) \sum_{\nu=n}^{n+k} z^{-\nu-1} dz \right].$$

As proved in [5],  $\int_{C_1} f(z) dz / z^{\nu+1} = O(1/\nu^{1/2})$  ( $\nu \rightarrow \infty$ ), and the second integral equals

$$\int_{C_2} \frac{f(z) dz}{z^n (z-1)} - \int_{C_2} \frac{f(z) dz}{z^{n+k+1} (z-1)}.$$

Both of these integrals tend to zero for  $n \rightarrow \infty$ , which is shown in the same way as (3.4) for  $\phi = 0$ . Hence

$$\sum_{\nu=n}^{n+k} a_\nu = O\left(\sum_{\nu=n}^{n+k} \frac{1}{\nu^{1/2}}\right) + o(1) = O\left([\epsilon_n n^{1/2}] \cdot \frac{1}{n^{1/2}}\right) + o(1) = o(1) \quad (n \rightarrow \infty),$$

which proves (4.1) and therefore Theorem 4.

**5. Tauberian theorems asserting  $E_p$ - and  $S_\alpha$ -summability.** Consider now a power series

$$(5.1) \quad f(z) = \sum a_n z^n$$

with positive radius of convergence on the boundary of its region of  $E_p$ - and  $S_\alpha$ -summability. We investigate the question from what assumptions about  $f(z)$  in the neighborhood of  $z = 1$  we can derive the summability of  $\sum a_n$  by means of the methods  $E_p$  and  $S_\alpha$ . The following assumptions will be frequently used:

---

<sup>(5)</sup> Comparing Theorem 4 with Theorem 1 it is noted that the assumptions on  $f(z)$  are relaxed in Theorem 4; but since  $V\text{-}\sum a_n = s$  implies  $A\text{-}\sum a_n = s$  if  $\limsup |a_n|^{1/n} = 1$ , the assumptions on  $\sum a_n$  are strengthened.

$$(*) \quad f(z) \text{ is regular in } \mathfrak{R}_{E_p} = \mathfrak{R} \left( \frac{2^p - 1}{2^{p+1} - 1} \right) \quad \text{for } p > 0;$$

$$(**) \quad f(z) \text{ is regular in } |z| < \alpha \text{ and in } \mathfrak{R}_{S_\alpha} = \mathfrak{R} \left( \frac{1}{2 - \alpha} \right) \quad \text{for } 0 < \alpha < 1.$$

As to the necessity of these assumptions for the  $E_p$ - and  $S_\alpha$ -summability of  $\sum a_n$  see §2, **a** and **c**.

Corresponding to Theorem 1 we have

**THEOREM 5.** *Assume that (5.1) fulfills the condition (\*) [or (\*\*)] and is regular and bounded in  $S_2$ , furthermore  $E_p\text{-lim } a_n = 0$  [or  $S_\alpha\text{-lim } a_n = 0$ ]. Then  $E_p\text{-}\sum a_n = s$  [or  $S_\alpha\text{-}\sum a_n = s$ ] if  $\lim_{z \rightarrow 1-0} f(z) = s$ .*

**Proof.** We restrict ourselves to the proof of the case of  $E_p$ -summability. According to §2, **a** the question is whether the series  $\sum a'_n w^n$  representing  $F(w) = f(\phi_p(w))$  with  $\phi_p(w) = w/(2^p - (2^p - 1)w)$  converges for  $w = 1$ . We shall show that for  $F(w) = \sum a'_n w^n$  all the assumptions of Theorem 1 are fulfilled.

(a) The image of the region  $S_2$  in the  $z$ -plane under  $w = \phi_p^{-1}(z)$  is a region in the  $w$ -plane whose boundary has an exterior osculation of order one with  $|w| = 1$  at  $w = 1$ . Hence  $F(w)$  (which by (\*) is regular in  $|w| < 1$ ) is regular and bounded in some region  $S_2$  in the  $w$ -plane.

(b) We have  $a'_n \rightarrow 0$  ( $n \rightarrow \infty$ ) since  $E_p\text{-lim } a_n = 0$  (§2, **a**).

(c) Finally  $\lim_{w \rightarrow 1-0} F(w) = \lim_{z \rightarrow 1-0} f(z) = s$ .

Hence, by Theorem 1,  $\sum a'_n = s$ , i.e.  $E_p\text{-}\sum a_n = s$ .

If  $f(z)$  is regular at the point  $z = 1$  on the boundary of the region of summability, Theorem 5 yields analogues to Theorem A of the introduction.

The proof of Theorem 5 is based upon the following idea. Suppose that certain assumptions on  $F(w) = \sum a'_n w^n$  allow one to draw conclusions about  $\sum a'_n$ , and we "transform" these assumptions on  $f(z)$  by  $z = \phi_p(w)$ . Then from the "transformed" assumptions on  $f(z)$  we can conclude to  $\sum a'_n$  and therefore to  $E_p\text{-}\sum a_n$ , since  $E_p\text{-}\sum a_n$  behaves like  $\sum a'_n$ . Following are two more examples of this general principle.

**THEOREM 6.** *Assume that (5.1) fulfills the condition (\*) [or (\*\*)] and is continuous in  $\mathfrak{R}_{E_p}$  [or  $\mathfrak{R}_{S_\alpha}$ ]. Then  $C_\epsilon E_p\text{-}\sum a_n$  [or  $C_\epsilon S_\alpha\text{-}\sum a_n$ ] exists for every  $\epsilon > 0$ .*

**REMARK.** The case where  $f(z)$  is regular in  $|z| < 1$  and continuous in  $\mathfrak{R}_{E_p}$  [or  $\mathfrak{R}_{S_\alpha}$ ] was treated by Meyer-König [11, p. 352]. He proved that then  $E_p C_\epsilon\text{-}\sum a_n$  [or  $S_\alpha C_\epsilon\text{-}\sum a_n$ ] exists for  $\epsilon = 1, 2, \dots$ . These results are contained in Theorem 6, for since the matrices  $(E_p)$  and  $(C_\epsilon)$  are Hausdorff matrices,  $(C_\epsilon E_p) = (E_p C_\epsilon)$ ; on the other hand it is proved that for  $\epsilon = 1, 2, \dots$  the methods  $C_\epsilon S_\alpha$  and  $S_\alpha C_\epsilon$  are equivalent [12, p. 450].

**Proof of Theorem 6.** We restrict ourselves to the case of  $E_p$ -summability.

The assumptions on  $f(z)$  imply that  $F(w) = \sum a_n' w^n$  is regular in  $|w| < 1$  and continuous in  $|w| \leq 1$  whence by a known result of M. Riesz [15, pp. 94–95] we obtain that  $C_\epsilon \sum a_n'$  exists for every  $\epsilon > 0$ , i.e.  $C_\epsilon E_p \sum a_n$  exists for every  $\epsilon > 0$ .

If in Theorem 6 the continuity of  $f(z)$  for  $z \rightarrow 1$  is sufficiently strong, we can derive the  $E_p$ - [or  $S_\alpha$ -] summability of  $\sum a_n$ .

**THEOREM 7.** *Assume that  $f(z)$  fulfills the condition (\*) [or (\*\*)] and that*

$$(5.2) \quad f(z) = s + o((1-z)^\eta) \quad (\eta > 0),$$

*uniformly for  $z \rightarrow 1$  in  $\mathfrak{R}_{E_p}$  [or  $\mathfrak{R}_{S_\alpha}$ ]. Then  $E_p \sum a_n = s$  [or  $S_\alpha \sum a_n = s$ ] if  $E_p \text{-lim } a_n = 0$  [or  $S_\alpha \text{-lim } a_n = 0$ ].*

The last condition is certainly fulfilled under the stronger assumption that  $f(z)$  is continuous in  $\overline{\mathfrak{R}_{E_p}}$  [or  $\overline{\mathfrak{R}_{S_\alpha}}$ ], since this means that  $F(w)$  is continuous in  $|w| \leq 1$ , so that  $a_n' \rightarrow 0$ , i.e.  $E_p \text{-lim } a_n = 0$  [or  $S_\alpha \text{-lim } a_n = 0$ ].

**Proof.** For the function  $F(w) = \sum a_n' w^n$  we have

$$F(w) = f(\phi_p(w)) = s + o((1 - \phi_p(w))^\eta) = s + o((1 - w)^\eta) \quad (\eta > 0),$$

uniformly for  $w \rightarrow 1$  in  $|w| < 1$  since  $1 - \phi_p(w) = (2^p / (2^p - (2^p - 1)w))(1 - w) \sim (1 - w)$  for  $w \rightarrow 1$ ; furthermore  $a_n' \rightarrow 0$  since  $E_p \text{-lim } a_n = 0$ . Hence by a known theorem [17, p. 220] the series  $\sum a_n'$  converges, i.e.  $E_p \sum a_n$  exists, and its value is  $s$ . The proof for the  $S_\alpha$ -case is similar.

Assuming that there exists an analogue to Theorem 7 for  $B$ -summability (see Theorem 7', §6) we obtain the following

**COROLLARY.** *Let  $f(z) = \sum a_n z^n$  be regular in  $|z| < 1$  and*

$$f(z) = s + o((1-z)^\eta) \quad (\eta > 0),$$

*uniformly for  $z \rightarrow 1$  in  $\mathfrak{R}_{E_p}$  [or  $\mathfrak{R}_B$ , or  $\mathfrak{R}_{S_\alpha}$ ]. Then  $E_p \sum a_n = s$  [or  $B \sum a_n = s$  or  $S_\alpha \sum a_n = s$ ].*

For the proof one notes that  $E_p \text{-lim } a_n = 0$  [or  $S_\alpha \text{-lim } a_n = 0$ ] since  $a_n' \rightarrow 0$  is implied by the boundedness of  $f(z)$  in  $\mathfrak{R}_{E_p}$  [or  $\mathfrak{R}_{S_\alpha}$ ]. We shall prove in Theorem 9 that  $B \text{-lim } a_n = 0$  is a consequence of the boundedness of  $f(z)$  in  $\mathfrak{R}_B$ .

The above corollary has some relationship to a theorem which Hardy and Littlewood have stated without proof [7, p. 53]:

*Let  $f(z) = \sum a_n z^n$  be regular in  $|z| < 1$  and  $f(z) = s + o((1-z)^\eta)$  ( $\eta > 0$ ), uniformly for  $z \rightarrow 1$  in some circle touching  $|z| = 1$  interiorly at  $z = 1$ . Then  $B \sum a_n = s$ .*

Obviously this result and the corollary overlap, i.e. neither one is included in the other<sup>(6)</sup>.

We can combine the above corollary with Theorem 3:

<sup>(6)</sup> For a series  $\sum a_n$  with  $\limsup |a_n|^{1/n} = 1$  we have  $E_p \rightarrow B \rightarrow S_\alpha$ .

Let  $\mathfrak{R}_1$  and  $\mathfrak{R}_2$  be two circular regions whose bounding circles touch  $|z| = 1$  at  $z = 1$  exteriorly and interiorly, respectively. Assume that  $f(z) = \sum a_n z^n$  is regular in  $\mathfrak{R}_1$ , continuous in  $\overline{\mathfrak{R}_1}$  and strongly continuous for  $z \rightarrow 1$  in  $\mathfrak{R}_2$  (i.e.,  $f(z) = s + o((1-z)^\eta)$  ( $\eta > 0$ ), uniformly for  $z \rightarrow 1$  in  $\mathfrak{R}_2$ ). Then  $\sum a_n = s$ .

For the proof note that  $\mathfrak{R}_2$  is one of the regions of the set  $\mathfrak{R}_{E_p}, \mathfrak{R}_B, \mathfrak{R}_{S_\alpha}$ .

**6. Tauberian theorems asserting  $B$ -summability.** In this last section we shall deal with a power series

$$(6.1) \quad f(z) = \sum a_n z^n$$

with positive radius of convergence, for which  $z = 1$  lies on the boundary of its

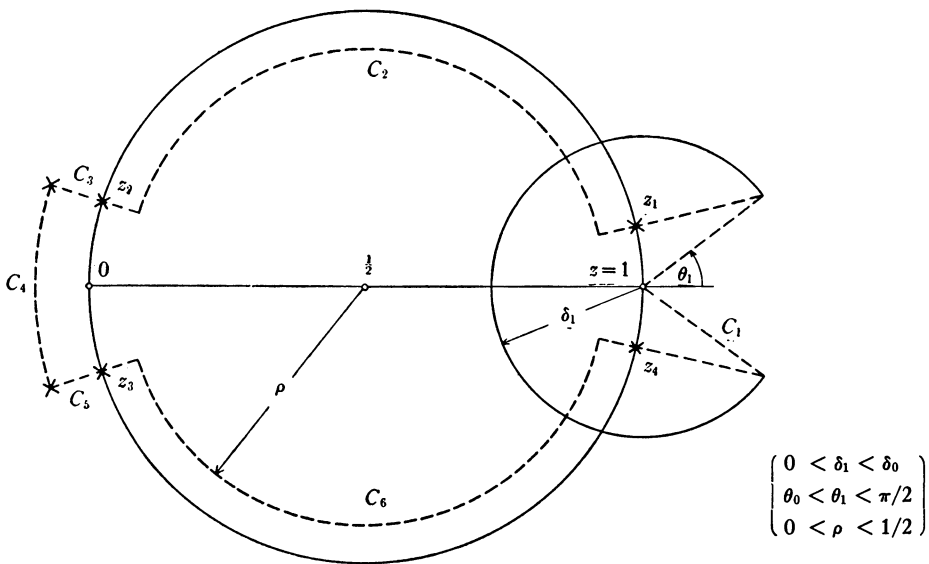


FIG. 2

region of  $B$ -summability. This implies the regularity of  $f(z)$  in  $\mathfrak{R}_B$ . The section will be divided into three parts. In part A we prove the analogue to Theorem 1, deriving the  $B$ -summability of  $\sum a_n$  from assumptions on  $f(z)$  in the neighborhood of  $z = 1$ . Also given are sufficient conditions on  $f(z)$  which imply  $B\text{-}\lim a_n = 0$ . Part B contains a necessary and sufficient condition for the existence of  $B\text{-}\sum a_n$  and analogues to Theorems 6 and 7 are derived. Finally in part C a theorem of Obrechhoff is discussed.

PART A.

**THEOREM 8.** Let (6.1) be regular and bounded in  $S_2$ , and  $B\text{-}\lim a_n = 0$ . Then  $B\text{-}\sum a_n = s$  if  $\lim_{z \rightarrow 1-0} f(z) = s$ .

**Proof.** Since  $z = 1$  lies on the boundary of the region of  $B'$ -summability,  $B'\text{-}\sum a_n z^n$  exists for  $0 < z < 1$ , and we have for these values of  $z$

$$\begin{aligned}
 f(z) &= B' \cdot \sum a_n z^n = \int_0^\infty e^{-t} \sum \frac{a_n (tz)^n}{n!} dt = \frac{1}{z} \int_0^\infty e^{-t/z} \phi(t) dt \\
 &= (\zeta + 1) \int_0^\infty e^{-t\zeta} \psi(t) dt = (\zeta + 1) I(\zeta) \quad \left( \zeta = \frac{1}{z} - 1 \right),
 \end{aligned}$$

where we let  $\psi(t) = e^{-t}\phi(t) = e^{-t} \sum a_n (t^n/n!) = B(t; a_n)$  for  $t \geq 0$ . The integral  $I(\zeta)$  exists for  $\zeta > 0$  and has the limit  $s$  for  $\zeta \rightarrow 0$ , so that by a Tauberian theorem for Laplace-integrals (see for example [6, p. 164]) it is sufficient to show that  $\int_x^\infty \psi(t) dt$  is a slowly oscillating function. We shall show that

$$(6.2) \quad \lim_{x \rightarrow \infty} \int_x^{x+\epsilon(x)x} \psi(t) dt = 0$$

for any positive function  $\epsilon(x)$  tending to zero as  $x \rightarrow \infty$ . For (6.2) implies that  $I(0) = s$ , i.e. that  $B' \cdot \sum a_n = s$ , which is equivalent to  $B \cdot \sum a_n = s$  since  $B\text{-lim } a_n = 0$ .

We now prove (6.2). Denote by  $C = \sum_{i=1}^6 C_i$  the path as indicated in Fig. 2, and let  $|f(z)/z| < M$  for  $z$  on  $C$ . (Let  $f(1) = s$ ; the points  $z_2$  and  $z_3$  should be chosen such that  $f(z)$  is regular on  $C - C_1$ , thereafter they are fixed.) Then for  $t > 0$

$$\begin{aligned}
 (6.3) \quad B(t; a_n) &= \psi(t) = \frac{1}{2\pi i} e^{-t} \sum \left( \int_C \frac{f(z) dz}{z^{n+1}} \frac{t^n}{n!} \right) \\
 &= \frac{1}{2\pi i} e^{-t} \int_C \frac{f(z)}{z} \sum \frac{(t/z)^n}{n!} dz = \frac{1}{2\pi i} \int_C \frac{f(z)}{z} e^{-t(1-1/z)} dz,
 \end{aligned}$$

so that

$$\begin{aligned}
 \int_x^{x+\epsilon(x)x} \psi(t) dt &= \frac{1}{2\pi i} \int_x^{x+\epsilon(x)x} \int_{C_1+\dots+C_6} \frac{f(z)}{z} e^{-t(1-1/z)} dz dt \\
 &= \frac{1}{2\pi i} [I_1(x) + \dots + I_6(x)].
 \end{aligned}$$

Divide  $C_1$  into its four rectilinear segments. Then we have for instance

$$\left| \int_1^{1+\delta_1 e^{i\theta_1}} \frac{f(z)}{z} e^{-t(1-1/z)} dz \right| < M \int_0^\infty e^{-\sigma t y} dy = \frac{M}{\sigma t},$$

since for  $z$  on  $(1, 1 + \delta_1 e^{i\theta_1})$  we have  $\Re(1 - 1/z) \geq \sigma |1 - z|$  for a constant  $\sigma > 0$ . With similar estimations for the other parts of  $C_1$  we obtain

$$(6.4) \quad \int_C \frac{f(z)}{z} e^{-t(1-1/z)} dz = O\left(\frac{1}{t}\right) \quad (t \rightarrow \infty).$$

and hence

$$I_1(x) = \int_x^{x+\epsilon(x)x} O\left(\frac{1}{t}\right) dt = O\left(\frac{1}{x}\right) \cdot \epsilon(x)x = o(1) \quad (x \rightarrow \infty).$$

For the estimation of  $I_3(x)$  and  $I_5(x)$  note that for instance on  $C_3$  we have  $\Re(1-1/z) \geq \tau |z_2 - z|$  for a constant  $\tau > 0$ , so that by similar computations as in the case of  $I_1(x)$  we obtain  $|I_3(x)| + |I_5(x)| = o(1) \quad (x \rightarrow \infty)$ . For  $z$  on  $C_4$  we have  $\Re(1-1/z) \geq \eta > 0$ , so that

$$\left| \int_{C_4} \frac{f(z)}{z} e^{-t(1-1/z)} dz \right| < 2\pi M e^{-t\eta} = O\left(\frac{1}{t}\right) \quad (t \rightarrow \infty),$$

whence by the same reasoning as above  $I_4(x) = o(1) \quad (x \rightarrow \infty)$ .

Finally we estimate  $I_2(x)$  and  $I_6(x)$ .

$$\begin{aligned} I_2(x) &= \int_x^{x+\epsilon(x)x} \int_{C_2} \frac{f(z)}{z} e^{-t(1-1/z)} dz dt = \int_{C_2} \int_x^{x+\epsilon(x)x} \frac{f(z)}{z} e^{-t(1-1/z)} dt dz \\ &= \int_{C_2} \frac{f(z)}{z-1} e^{-x(1-1/z)} dz - \int_{C_2} \frac{f(z)}{z-1} e^{-x(1+\epsilon(x))(1-1/z)} dz, \end{aligned}$$

and similarly for  $I_6(x)$ . Hence it is sufficient to show that

$$(6.5) \quad \lim_{x \rightarrow \infty} \int_{C_2+C_6} \frac{f(z)}{1-z} e^{-x(1-1/z)} dz = 0.$$

For this purpose we choose the constants  $b_1, b_2, b_3, b_4$  such that

$$zH(z) = \frac{1}{1-z} + \frac{b_1}{z} + \frac{b_2}{z} \cdot e^{(1-1/z)} + \frac{b_3}{z} \cdot e^{2(1-1/z)} + \frac{b_4}{z} \cdot e^{3(1-1/z)}$$

vanishes at  $z_1, z_2, z_3, z_4$ . Then we get

$$\begin{aligned} &\int_{C_2+C_6} zH(z)f(z)e^{-x(1-1/z)} dz \\ &= \int_{C_2+C_6} \frac{f(z)}{1-z} e^{-x(1-1/z)} dz + b_1 \int_C \frac{f(z)}{z} e^{-x(1-1/z)} dz + \dots \\ (6.6) \quad &+ b_4 \int_C \frac{f(z)}{z} e^{-(x-3)(1-1/z)} dz \\ &- b_1 \int_{C_1+C_3+C_4+C_5} \frac{f(z)}{z} e^{-x(1-1/z)} dz - \dots \\ &- b_4 \int_{C_1+C_3+C_4+C_5} \frac{f(z)}{z} e^{-(x-3)(1-1/z)} dz. \end{aligned}$$

Using (6.4) and the corresponding estimations for the paths  $C_3, C_4$ , and  $C_5$ ,

we see that the last four terms in (6.6) tend to zero for  $x \rightarrow \infty$ , while  $B\text{-lim } a_n = 0$  implies by (6.3) that the second to fifth terms tend to zero for  $x \rightarrow \infty$ . Hence it remains to show that

$$(6.7) \quad \lim_{x \rightarrow \infty} \int_{C_2 + C_6} z H(z) f(z) e^{-x(1-1/z)} dz = 0.$$

Now the function  $f(z)$  has the representation

$$f(z) = \frac{1}{z} \int_0^\infty e^{-t/z} \phi(t) dt \quad (z \in \mathfrak{R}_B),$$

where the Laplace-integral converges also at the regular points  $z_1, z_2, z_3, z_4$  (see [16, pp. 18–19]<sup>(7)</sup>) and therefore uniformly on  $C_2 + C_6$  by a familiar Abelian theorem on Laplace-integrals. The integral in (6.7) becomes therefore

$$\int_0^\infty \int_{C_2 + C_6} H(z) e^{(t-x)(1-1/z)} e^{-t} \phi(t) dz dt = \int_0^\infty \psi(t) \int_{C_2 + C_6} H(z) e^{(t-x)(1-1/z)} dz dt,$$

which is an integral-transformation of the function  $\psi(t)$  which tends to zero for  $t \rightarrow \infty$ . This transform tends to zero for  $x \rightarrow \infty$  if for any fixed  $t_1, t_2 > 0$

$$(6.8) \quad \lim_{x \rightarrow \infty} \int_{t_1}^{t_2} |c(x, t)| dt = 0 \quad \text{and} \quad \limsup_{x \rightarrow \infty} \int_0^\infty |c(x, t)| dt < \infty,$$

where

$$c(x, t) = \int_{C_2 + C_6} H(z) e^{(t-x)(1-1/z)} dz \quad (t \geq 0, x \geq 0).$$

Finally the relations (6.8) are proved in the same manner as (3.7) and (3.8) in §3, first integrating twice by parts and then estimating  $c(x, t)$ . This concludes the proof of Theorem 8.

It should be noted that Theorem 8 combines two theorems analogous to the Theorems A and B of the introduction. We mention the following case.

**COROLLARY.** *Assume that  $z=1$  lies on the boundary of the region of  $B$ -summability of  $f(z) = \sum a_n z^n$  and that  $f(z)$  is regular at  $z=1$ . Then  $B\text{-}\sum a_n$  exists if  $B\text{-lim } a_n = 0$ .*

Sometimes it is useful to have “ $B\text{-lim } a_n = 0$ ” substituted by an assumption on  $f(z)$ .

(7) This theorem of M. Riesz states: In  $J(w) = \int_0^\infty e^{-tw} \phi(t) dt$  let  $\int_0^\infty \phi(t) dt = o(e^{cw})$  ( $c > 0$ ) ( $x \rightarrow \infty$ ) so that  $J(w)$  is regular for  $\Re(w) > c$ . If  $J(w)$  is regular at  $w_0$  with  $\Re(w_0) = c$ , then  $\int_0^\infty e^{-tw} \phi(t) dt$  converges. Here we put  $w = 1/z$ ,  $c = 1$ , and  $w_0 = 1$ ; the assumption  $e^{-x} \int_0^\infty \phi(t) dt \rightarrow 0$  ( $x \rightarrow \infty$ ) is clearly fulfilled since  $B\text{-lim } a_n = 0$  and therefore  $\phi(t) = o(e^t)$  ( $t \rightarrow \infty$ ).

**THEOREM 9.** Let  $f(z) = \sum a_n z^n$  be regular in  $|z| < r (r > 0)$  and in  $\mathfrak{R}_B$  and assume that  $f(z)$  belongs to the class  $H^1$  of the circle  $\mathfrak{R}_B$ , i.e. that

$$(6.9) \quad \int_{-\pi}^{+\pi} \left| f\left(\frac{1}{2} + Re^{i\theta}\right) \right| d\theta \leq K \quad \text{for } 0 < R < \frac{1}{2}.$$

Then  $B\text{-lim } a_n = 0$ .

**Proof.** Again we have

$$2\pi i B(x; a_n) = \int_C \frac{f(z)}{z} e^{-x(1-1/z)} dz \quad (C = C_1 + \dots + C_4).$$

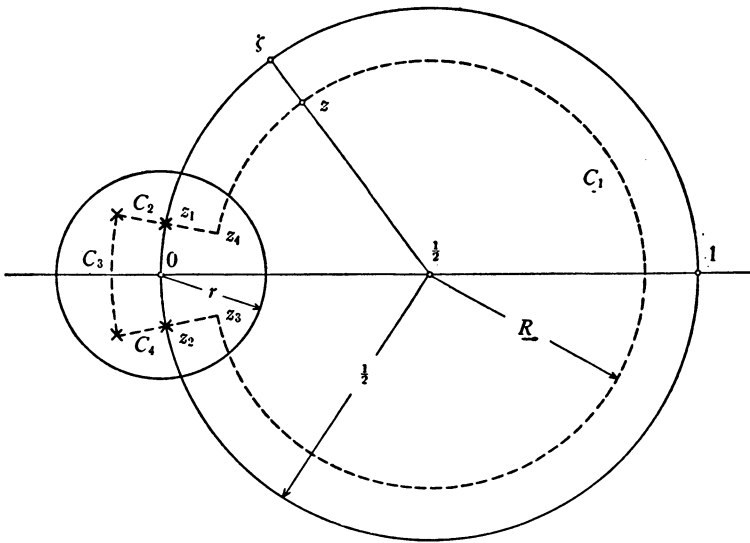


FIG. 3

Given an  $\epsilon > 0$ , we choose  $C_2$  and  $C_4$  so short that

$$\int_{C_2+C_4} \left| \frac{f(z)}{z} \right| |dz| < \frac{\epsilon}{3}.$$

Then we have

$$\left| \int_{C_2+C_4} \frac{f(z)}{z} e^{-x(1-1/z)} dz \right| \leq \int_{C_2+C_4} \left| \frac{f(z)}{z} \right| |dz| < \frac{\epsilon}{3} \quad (x \geq 0).$$

Furthermore

$$\left| \int_{C_3} \frac{f(z)}{z} e^{-x(1-1/z)} dz \right| = O(e^{-x\eta}) < \frac{\epsilon}{3} \quad (x \geq x_1),$$



since for  $z$  on  $C_3$  we have  $\Re(1-1/z) \geq \eta > 0$ . Finally we estimate

$$(6.10) \quad I(x) = \int_{C_1} \frac{f(z)}{z} e^{-x(1-1/z)} dz.$$

Let  $x$  be fixed for the moment and put  $z(\theta) = 1/2 + Re^{i\theta}$ ,  $\zeta(\theta) = 1/2 + (1/2)e^{i\theta}$ ,  $z_4 = z(\alpha)$ . Then  $\lim_{R \rightarrow 1/2} f(z(\theta))$  exists for almost all  $\theta$  in  $(-\pi, +\pi)$  and represents there a Lebesgue-integrable function  $f(\zeta(\theta))$  such that

$$(6.11) \quad \int_{-\pi}^{+\pi} |f(z(\theta)) - f(\zeta(\theta))| d\theta \rightarrow 0 \quad \text{for } R \rightarrow \frac{1}{2}$$

(see for example [18, p. 162]). Therefore in

$$I(x) = \int_{-\alpha}^{+\alpha} \frac{f(z(\theta)) - f(\zeta(\theta))}{z(\theta)} e^{-x(1-1/z(\theta))} dz(\theta) + \int_{-\alpha}^{+\alpha} \frac{f(\zeta(\theta))}{z(\theta)} e^{-x(1-1/z(\theta))} dz(\theta) \\ + \left( \int_{z_4}^{z_1} + \int_{z_2}^{z_3} \right) \frac{f(z)}{z} e^{-x(1-1/z)} dz$$

the first and third terms tend to zero as  $R \rightarrow 1/2$ , while the second term tends to

$$\int \frac{f(\zeta)}{\zeta} e^{-x(1-1/\zeta)} d\zeta,$$

for  $R \rightarrow 1/2$ , the integral being taken as Lebesgue-integral over the arc:  $(z_2, 1, z_1)$  of  $|\zeta - 1/2| = 1/2$ . Substituting  $w = 1/\zeta - 1$ , we get therefore

$$I(x) = \int_{-w_0}^{+w_0} f^*(w) e^{wx} dw$$

where  $w$  is purely imaginary and  $f^*(w)$  is Lebesgue-integrable on the finite section  $\langle -w_0, +w_0 \rangle$  of the imaginary axis. By the Riemann-Lebesgue theorem,

$$|I(x)| < \epsilon/3 \quad (x \geq x_2),$$

which completes the proof of Theorem 9.

It is a well known fact that a power series  $f(z) = \sum a_n z^n$  with radius of convergence 1 is  $B$ -summable at  $z=1$  if  $f(z)$  is regular at  $z=1$ . If we combine Theorems 8 and 9 we obtain the following sharper result:

*A power series  $f(z) = \sum a_n z^n$  with radius of convergence 1 is  $B$ -summable at  $z=1$  if  $f(z)$  is regular and bounded in  $S_2$  and  $\lim_{z \rightarrow 1-0} f(z)$  exists.*

The result of the author [5, p. 331] mentioned previously in §3 shows furthermore that herein *the region  $S_2$  cannot be replaced by a region whose boundary has an osculation of second order with  $|z|=1$  at  $z=1$ .*

PART B. We give first a necessary and sufficient condition for  $B$ -summability.

**THEOREM 10.** *Let  $f(z) = \sum a_n z^n$  be regular in  $|z| < r$  ( $r > 0$ ) and in  $\mathfrak{R}_B$  and assume that  $f(z)$  belongs to the class  $H^1$  of the circle  $\mathfrak{R}_B$ , i.e. that (6.9) is fulfilled. Then  $B\text{-}\sum a_n = s$  if and only if*

$$(6.12) \quad \lim_{x \rightarrow \infty} \frac{1}{\pi} \int_{-x}^{+x} \frac{\sin xt}{t} f\left(\frac{1}{1+it}\right) dt = s$$

for every fixed  $\tau > 0$ , the integral being taken as Lebesgue-integral.

**REMARK.** Karamata has proved this theorem in the case  $r = 1$  and under the assumption that  $f(z)$  is bounded in  $\mathfrak{R}_B$  [8, pp. 156-157], but his method is not applicable under the more general assumptions of Theorem 10.

**Proof.** We have

$$2\pi i B(x; s_n) = \int_{C_1 + \dots + C_4} \frac{f(z)}{z(1-z)} e^{-x(1-1/z)} dz = I_1(x) + \dots + I_4(x),$$

where  $C = \sum_{i=1}^4 C_i$  is again the path of Fig. 3. As in the proof to Theorem 9 one finds that for a given  $\epsilon > 0$

$$(6.13) \quad |I_2(x)| + |I_3(x)| + |I_4(x)| < \epsilon/2 \quad (x \geq x_1).$$

Consider the auxiliary function

$$H(x) = \int_{C_1} \frac{f(z)}{z(1-z)} e^{x(1-1/z)} dz.$$

On the segments  $(z_4, z_1)$  and  $(z_2, z_3)$  of  $C_1$  we have  $\Re(1-1/z) \leq 0$  and therefore

$$\left| \left( \int_{z_4}^{z_1} + \int_{z_2}^{z_3} \right) \frac{f(z)}{z(1-z)} e^{x(1-1/z)} dz \right| < \frac{\epsilon}{8} \quad (x \geq 0)$$

if only  $|z_4 - z_1| < \delta = \delta(\epsilon)$ , and since on the remaining part of  $C_1$  the estimation  $\Re(1-1/z) \leq -\eta < 0$  holds,

$$(6.14) \quad |H(x)| < \epsilon/4 \quad (x \geq x_2).$$

We now estimate

$$I_1(x) = \int_{C_1} \frac{f(z)}{z} \left[ \frac{e^{-x(1-1/z)} - e^{x(1-1/z)}}{1-z} \right] dz + H(x) = I(x) + H(x)$$

by a method similar to the one used in the proof of Theorem 9. The bracketed term is regular for all  $z \neq 0$  and therefore  $I(x)$  can be treated as  $I(x)$  in (6.10). Hence

$$I(x) = \int \frac{f(\zeta)}{\zeta} \left[ \frac{e^{-x(1-1/\zeta)} - e^{x(1-1/\zeta)}}{1-\zeta} \right] d\zeta,$$

the integral being taken as Lebesgue-integral along the arc:  $(z_2, 1, z_1)$  of

$|\zeta - 1/2| = 1/2$ . Letting  $\zeta = 1/(1+it)$  ( $t$  real), we get

$$I(x) = 2i \int_{-T}^{+T} \frac{\sin xt}{t} f\left(\frac{1}{1+it}\right) dt,$$

where  $z_2 = 1/(1+iT)$ . By the Riemann-Lebesgue theorem,

$$(6.15) \quad \left| 2\left(\int_{-T}^{-\tau} + \int_{+\tau}^{+T}\right) \right| < \frac{\epsilon}{4} \quad (x \geq x_3),$$

and hence from (6.13)–(6.15) it follows that for  $x \geq \max(x_1, x_2, x_3)$

$$\left| 2\pi i B(x; s_n) - 2i \int_{-\tau}^{+\tau} \frac{\sin xt}{t} f\left(\frac{1}{1+it}\right) dt \right| < \epsilon,$$

which proves Theorem 10.

We prove now the analogues to Theorems 6 and 7 in the case of  $B$ -summability.

**THEOREM 6'.** *Assume that (6.1) is continuous in  $\overline{\mathfrak{R}_B}$ . Then  $C_\epsilon B$ - $\sum a_n$  exists for every  $\epsilon > 0$ .*

**REMARK.** Since  $C_\epsilon B$  and  $BC_\epsilon$  are equivalent methods [2, p. 45], Theorem 6' contains the result of Karamata [8, p. 157] who proved the  $BC_\epsilon$ -summability of  $\sum a_n$  under the assumption that  $f(z)$  is regular in  $|z| < 1$  and continuous in  $\overline{\mathfrak{R}_B}$ .

**Proof.** For  $z$  in  $\mathfrak{R}_B$  we have  $f(z) = (1/z) \int_0^\infty e^{-t/z} \phi(t) dt$ , and by a theorem of Riesz [16, p. 20] the integral is  $C_\epsilon$ -summable for  $z=1$ , i.e.  $C_\epsilon B'$ - $\sum a_n = s$ , provided that

$$(6.16) \quad \lim_{x \rightarrow \infty} \frac{e^{-x}}{x^\epsilon} \int_0^x (x-t)^\epsilon \phi(t) dt = 0.$$

But by Theorem 9 we have  $B\text{-lim } a_n = 0$ , i.e.  $\phi(t) = \epsilon(t) \cdot e^t$ , where  $\epsilon(t) \rightarrow 0$  ( $t \rightarrow \infty$ ). It is easily shown that the integral transformation with the generating function  $c(x, t) = e^{t-z} x^{-\epsilon} (x-t)^\epsilon$  ( $0 < t \leq x, \epsilon > 0$ ) transforms every function tending to zero for  $t \rightarrow \infty$  into one tending to zero for  $x \rightarrow \infty$ , so that (6.16) holds. Now the relation  $B(x; s_n) = B(x; a_n) + B'(x; s_n)$  implies  $C_\epsilon B(x; s_n) = C_\epsilon B(x; a_n) + C_\epsilon B'(x; s_n)$ , and the last two terms tend to zero and to  $s$  respectively. This proves Theorem 6'.

If the continuity of  $f(z)$  for  $z \rightarrow 1$  in  $\mathfrak{R}_B$  is sufficiently strong, we obtain

**THEOREM 7'.** *Assume that (6.1) belongs to the class  $H^1$  of the circle  $\mathfrak{R}_B$ , i.e. that (6.9) is fulfilled,*

$$f(z) = s + o((1-z)^\eta) \quad (\eta > 0),$$

*uniformly for  $z \rightarrow 1$  in  $\mathfrak{R}_B$ . Then  $B$ - $\sum a_n = s$ .*

**Proof.** Without loss of generality we may assume  $s=0$ , so that for the limit function  $f(\zeta)$  existing almost everywhere on  $|\zeta-1/2|=1/2$  we have  $|f(\zeta)| = |f(1/(1+it))| \leq A|t|^{-\eta}$  for  $|t| < t_0$  where  $A > 0$  is a constant. Given an  $\epsilon > 0$ , we may choose  $\tau < t_0$  in (6.12) so small that  $\int_{\delta}^{\tau} t^{-\eta-1} dt < \epsilon\pi/2A$ . (If (6.12) holds for some  $\tau > 0$  it holds for all  $\tau > 0$  by the Riemann-Lebesgue theorem.) For this  $\tau$  we obtain

$$\left| \frac{1}{\pi} \int_{-\tau}^{+\tau} \frac{\sin xt}{t} f\left(\frac{1}{1+it}\right) dt \right| \leq \frac{2A}{\pi} \int_0^{\tau} \frac{dt}{t^{1-\eta}} < \epsilon$$

for all  $x \geq 0$ , so that (6.12) is fulfilled.

PART C. This section is concluded with the discussion of a theorem of Obrechhoff [14, p. 1813].

Suppose we have given a power series  $f(z) = \sum a_n z^n$  with positive radius of convergence. Let  $z=1$  be a singular point of  $f(z)$  lying in the interior of  $L$  where  $L$  is a rectilinear part of the Borel-polygon associated with  $f(z)$ <sup>(8)</sup>. Assume that the singularity of  $f(z)$  at  $z=1$  is such that in the region  $S_2 = S_2(\delta_0, \theta_0)$  the function  $f(z)$  is regular and  $|1-z|^{\delta}|f(z)|$  is bounded for some  $\delta(0 < \delta < 1)$ .

The theorem of Obrechhoff states that under these assumptions the series  $\sum a_n z_0^n$  is  $B$ -summable for every regular point  $z_0 = R_0 e^{i\phi_0}$  in the interior of  $L$ .

Obrechhoff's proof of this theorem is valid only under restricted conditions. If the circle  $K: |z-z_0/2| = R_1 (R_1 > R_0/2)$  is drawn and  $A_1 = 1+a_1 e^{i\phi_0}$  and  $A_2 = 1+a_2 e^{-i\phi_0}$  are the two points lying on  $K$  with  $|\text{arc}(z-1)| = \theta_0$ , his proof depends on the fact that  $a_1$  and  $a_2$  tend to zero if  $R_1$  approaches  $R_0/2$ . This, however, is true if and only if the circle  $|z-z_0/2| < R_0/2$  is contained in the region  $|\text{arc}(z-1)| > \theta_0$ , i.e. if and only if

$$(6.17) \quad |\text{arc } z_0| + \theta_0 \leq \pi/2.$$

Therefore the theorem of Obrechhoff remains valid if either  $z_0$  is close enough to  $z=1$ , or if  $\theta_0$  may be chosen small enough such that (6.17) holds. (Note that  $\text{arc}(z_0-1) = \pm \pi/2$  since  $z=1$  is in the interior of  $L$ ; therefore  $|\text{arc } z_0| < \pi/2$ .)

But if (6.17) holds, the region  $|z-z_0/2| < R_0/2$  is contained in the region  $|\text{arc}(z-1)| > \theta_0$ . Therefore

$$\limsup |z-1|^{\delta} |f(z)| \leq M \quad \text{for } z \rightarrow 1 \text{ in } \left| z - \frac{z_0}{2} \right| < \frac{R_0}{2} \quad (0 < \delta < 1),$$

whilst  $f(z)$  remains bounded for the other part of  $|z-z_0/2| < R_0/2$ ; this is because  $z_0$  is an interior point of  $L$  and therefore  $z=1$  is the only singularity of  $f(z)$  on  $|z-z_0/2| = R_0/2$ . Theorem 9 assures now that  $B\text{-}\lim a_n z_0^n = 0$  and hence by Theorem 8 or its corollary the existence of  $B\text{-}\sum a_n z^n$  follows. This

<sup>(8)</sup>By "interior of  $L$ " we mean that  $z=1$  is not a corner of the Borel-polygon.

is a new proof of Obrechhoff's theorem in its modified form.

Added January 28, 1953. It has been investigated by Garten and Karamata [Math. Zeit. vol. 40 (1936) pp. 756–759 and vol. 45 (1939) pp. 635–641] under what conditions on  $a_n$

$$(6.18) \quad B - \sum a_n = s \text{ implies } B - \sum \alpha_n = s \\ (\alpha_0 = a_0 + a_1, \alpha_n = a_{n+1}, n = 1, 2, \dots).$$

Their restrictions on the series [ $a_n = o(n^k)$  ( $n \rightarrow \infty$ ,  $k$  fixed) and  $a_n = o(e^{n^\rho})$  ( $n \rightarrow \infty$ ,  $\rho < 1/3$ ), respectively] are such that the associated function  $f(z) = \sum a_n z^n$  is necessarily regular in  $|z| < 1$ . It should be noted that if  $f(z)$  does not fulfill this condition, Theorem 9 may be applicable, since  $B\text{-lim } a_{n+1} = 0$  is necessary and sufficient for the validity of (6.18) (see [6, p. 183]).

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