

NATURAL LIMITS FOR HARMONIC AND SUPERHARMONIC FUNCTIONS

BY

J. R. DIEDERICH

ABSTRACT. In this paper it is shown that Fatou's theorem holds for superharmonic functions in certain Liapunov domains if mean continuous limits are used in place of nontangential limits for which Fatou's theorem fails. Also, existence of mean continuous limits is established for certain semi-linear elliptic equations in Liapunov domains.

0. Fatou's theorem for harmonic functions in the N -dimensional unit ball guarantees the existence of nontangential, therefore radial, limits at almost every boundary point if the condition

$$(0.1) \quad \sup_{0 < r < 1} \int_{|y|=1} |u(ry)| \, ds(y) < \infty$$

is satisfied; various generalizations can be found in [C1], [Cr₁], [HW], [St], [W₁], and [W₂]. For $u(x)$ superharmonic and satisfying (0.1) the "theorem" fails as Zygmund revealed by constructing a Green potential satisfying (0.1) and failing to have a nontangential limit at any boundary point of the unit disc even though it still must have radial limits almost everywhere; see [T₁] for the example and comments.

In [D₁] we introduced the notion of mean continuous (mc) limit, see §1 of this paper for the definition, which is stronger than nontangential limits for harmonic functions in Lipschitz domains, i.e., if $u(x)$ has an mc limit at x'_0 , then it has a nontangential limit at x'_0 . Even so, as we shall establish, Fatou's theorem holds for superharmonic functions using mc limits.

The nontangential case⁽¹⁾ has been pursued in [AH], [So], [T₂] and [W₂] for restricted classes of superharmonic functions with Widman showing in [W₂] that the absolutely continuous density of a Green potential must satisfy a dimen-

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⁽¹⁾ See the end of §2 for additional remarks on the nontangential case.

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Our results not only eliminate this condition for mc limits, but also yield as a corollary the radial limit theorem for potentials of absolutely continuous sionally dependent condition to guarantee nontangential limits. measures, and is considerably simpler than the proofs of radial limit theorems for superharmonic functions given in [T₁] and [So]. However, radial limits for potentials of measures do not follow from our results.

In §2 we establish some lemmas needed in §4 and establish the mc limit theorem for superharmonic functions in certain Liapunov domains. In §3, harmonic functions in Lipschitz domains are examined to indicate limitations on mc limits. In §4 we show that solutions of semilinear elliptic equations in Liapunov domains have mc limits and obtain the nontangential limits as a corollary. In §5 we consider questions of uniqueness for harmonic and superharmonic functions and solutions of linear elliptic equations which assume their boundary values mean continuously.

We mention now our debt to the methods contained in [Cr₁] and [W₂] which we generously employ throughout.

Before proceeding, we note the following about the title of this paper: Both radial and mc limits exist for a natural class of superharmonic functions, while nontangential limits may fail to exist. On the other hand, if a superharmonic function has radial limit zero everywhere, it is not in general the Green potential of a measure, while it is if it has mc limit zero everywhere, see Theorem 6, and hence the title.

1. We shall work in open, connected sets Ω in R^N , $3 \leq N$, with $x = (x_1, \dots, x_N)$. Let $|x - y|^2 = \sum(x_i - y_i)^2$; $|E|$, the measure of a set E as dictated by the context; ∂E , the boundary of E , and $\bar{E} = E \cup \partial E$. For both volume and surface integrals f_E will denote $|E|^{-1} \int_E$. Let $B(x, r) = \{y \mid |x - y| < r\}$; $\tilde{B}(x, r) = B(x, r) \cap \Omega$; and $\bar{\partial}B(x, r) = B(x, r) \cap \partial\Omega$; when $\Omega = R_+^N = \{x \mid 0 < x_N\}$, $x' = (x_1, \dots, x_{N-1}, 0)$. Constants depending on N and Ω will be denoted simply by k even though changing frequently; otherwise the dependence will be indicated by $k(\cdot)$. We use $dS(y)$ to indicate the natural surface area elements; ω_N is the surface area of the unit N -ball.

For $f(y)$ defined on $\partial\Omega$ and $u(x)$ in $L_1(\Omega)$, Lebesgue class, set

$$(1.1) \quad u_f(y, r) = \int_{\tilde{B}(y, r)} |u(x) - f(y)| dx.$$

We use the notation $u(y, r)$ when $f \equiv 0$. If

$$(1.2) \quad \lim_{r \rightarrow 0} u_f(x'_0, r) = 0$$

we say that $u(x)$ has the mc limit (mean continuous limit) $f(x'_0)$ at x'_0 .

Several types of domains will be considered. Certain bounded domains Ω whose boundaries are locally given by $C^{1+\gamma}$ functions will be called Liapunov domains; see [W₂] for specifics. See [W₃] for the definition of Liapunov-Dini domains. Some Liapunov domains will be required to satisfy the additional smoothness condition,

$$(1.3) \quad \text{There exists } 0 < \alpha \text{ so that for } y \in \partial\Omega \text{ there is a sphere of radius } \alpha \text{ tangent to } \Omega \text{ at } y \text{ and, except for } y, \text{ interior to } \Omega.$$

A bounded domain Ω whose boundary is given locally by functions which are Lipschitz continuous of order 1 will be called a Lipschitz domain. Such domains have the property that they are locally starlike; see [HW].

2. In this section, we establish the mc limit analogue of Fatou's theorem for harmonic and superharmonic functions in the unit ball and in Liapunov domains satisfying (1.3). Because the first four lemmas are needed in §4, the proofs will be given for R_+^N rather than for $B(0, 1)$ since they are essentially the same.

Let $G(x, y)$ be the familiar Green function in R_+^N for the Laplace operator.

LEMMA 1. *If $h(x)$ is harmonic in R_+^N and satisfies*

$$(2.1) \quad \sup_{0 < x_N < 1} \int_{|x'| < 1} |h(x_1, \dots, x_N)| dx' < \infty$$

then $h(x)$ has mc limits for almost every $|x'_0| \leq 1$.

PROOF. Let Ω_1 be the set $\{x \mid |x'| \leq 1, 0 < x_N < 1\}$ and D the subdomain for which $|x'| \leq \rho$. By Green's representation formula and (2.1)

$$(2.2) \quad h(x) = \omega_N^{-1} \int_{\partial B(0, \rho)} G_\nu d\mu(y')$$

$$(2.3) \quad + \omega_N^{-1} \int_{\partial D - \partial B(0, \rho)} G_\nu h - Gh_\nu dS(y)$$

where $d\mu(y') = f(y')dy' + d\psi(y')$ is the weak limit of $d\mu_j(y') = h(y_1, \dots, y_{N-1}, \tau_j)dy'$ and subscript ν denotes outward normal derivatives; (2.1) gives $\int_{\Omega_1} |h| dy < \infty$ from which $\int_{\Omega_1} y_N |h_{y_j}| dy < \infty$ easily follows.

Thus by Fubini's theorem and the familiar estimates $G(x, y) \leq kx_N y_N |x - y|^{-N}$ and $|G_\nu(x, y)| \leq kx_N |x - y|^{-N}$, the existence of (2.3) is guaranteed for almost every $\rho \leq 1$; select and fix such a ρ .

Clearly (2.3) converges uniformly to zero for $|x'_0| < \rho' < \rho$; therefore let $h(x) = (2.2)$. Since almost every point $x'_0 \in \partial B(0, \rho)$ is a Lebesgue point of

$f(y')dy'$ and $\psi(y')$ is singular, given $0 < \epsilon$, there is $r_0 = r_0(x'_0)$ so that

$$\int_{\bar{\partial}B(x'_0, r)} d\mu_1(y') < \epsilon, \quad 0 < r \leq 2r_0.$$

$$d\mu_1(y') = |f(y') - f(x'_0)|dy' + d|\psi(y')|.$$

We need the following estimates:

$$(2.4) \quad \int_{\tilde{B}(x'_0, r)} G_\nu(x, y') dx \leq \begin{cases} kr^{1-N}, & \text{for } y' \in \bar{\partial}B(x'_0, 2r), \\ k\tau|y' - x'_0|^{-N}, & \text{otherwise.} \end{cases}$$

(2.4) follows since $|G_\nu(x, y')| \leq k|x - y'|^{1-N}$ and so

$$\int_{\tilde{B}(x'_0, r)} G_\nu(x, y') dx \leq k \int_{B(y', 4r)} |x - y'|^{1-N} dx \leq k\tau^{1-N}.$$

(2.5) is immediate since $|G_\nu(x, y')| \leq k\tau|x - y'|^{-N}$ and $|x'_0 - y'| \leq 2|x - y'|$ for $y \in \bar{\partial}B(0, \rho) - \bar{\partial}B(x'_0, 2r)$ and $x \in \tilde{B}(x'_0, r)$.

Using these estimates we have, recalling the notation of (1.1),

$$h_r(x'_0, r) \leq k \int_{\bar{\partial}B(x'_0, 2r)} d\mu_1(y') + k \sum_{n=2}^{n_0} r \int_{\Delta_n(r)} |y' - x'_0|^{-N} d\mu_1(y') \\ + k\tau r_0^{-N} \int_{\bar{\partial}B(0, \rho) - \bar{\partial}B(x'_0, r_0)} d\mu_1(y')$$

with

$$\Delta_n(r) = \bar{\partial}B(x'_0, 2^n r) - \bar{\partial}B(x'_0, 2^{n-1} r), \quad 2^{n_0-1} r < r_0 \leq 2^{n_0} r, \\ \leq k \sum_{n=1}^{n_0} 2^{-n} \int_{\bar{\partial}B(x'_0, 2^n r)} d\mu_1(y') + k(r_0, x'_0)r \\ \leq k\epsilon + o(1) \quad \text{as } r \rightarrow 0,$$

and the lemma follows.

LEMMA 2. Let η be a positive Borel measure in R_+^N with $\int_{R_+^N} d\eta(y) < \infty$. For almost every $x'_0 \in \partial R_+^N$

$$(2.6) \quad r \int_{\tilde{B}(x'_0, r)} d\eta(y) = o(1) \quad \text{as } r \rightarrow 0.$$

PROOF. For $E \subset \partial R_+^N$ and $0 \leq \tau$, set $\Phi_\tau(E) = \int_{E_\tau} d\eta(y)$ where $E_\tau = \{y | y' \in E, 0 < y_N < \tau\}$. $\Phi_\tau(\partial R_+^N) = \epsilon_\tau = o(1)$ as $\tau \rightarrow 0$. Let $d\Phi_\tau/dy' = g(y')$; then $\int_{\partial R_+^N} g(y') dy' \leq \epsilon_\tau$ and thus $|K_\tau| \leq \sqrt{\epsilon_\tau}$ where $K_\tau = \{y' | \sqrt{\epsilon_\tau} < g(y')\}$.

For $x'_0 \in \partial R_+^N - K_\tau$

$$(2.7) \quad \limsup_{r \rightarrow 0} r \int_{\tilde{B}(x'_0, r)} d\eta(y) \leq \limsup_{r \rightarrow 0} kr^{1-N} \Phi_\tau(\tilde{B}(x'_0, r)) \leq k\sqrt{\epsilon_\tau}.$$

Let $0 < \gamma$ and select a sequence $\tau_j \rightarrow 0$ so that $|\bigcup_j K_{\tau_j}| < \gamma$. Hence for $x'_0 \notin \bigcup K_{\tau_j}$ by (2.7), (2.6) holds and the lemma follows.

LEMMA 3. Let ψ be a positive Borel measure in R_+^N with support in $\{y \mid |y'| \leq 1, 0 \leq y_N \leq 1\}$ which satisfies

$$(2.8) \quad \int_{R_+^N} y_N d\psi(y) < \infty.$$

Then the Green potential $g(x) = \int_{R_+^N} G(x, y) d\psi(y)$ has mc limit zero for almost every x'_0 in ∂R_+^N .

Before proving this, we note the following: (1) Condition (2.8) is minimal in guaranteeing the existence of $g(x, r)$ and is standard, (2) dimensional hypotheses as (6.2.2) in [W₂] for the nontangential theorems are not required, (3) the density need not be absolutely continuous, and (4) the lemma together with Lemmas 2 and 4 yields an elementary and the simplest proof of the radial limit theorem for Green potentials of absolutely continuous measures ψ satisfying (2.8).

PROOF. As in Lemma 1

$$\begin{aligned} g(x'_0, r) &\leq \int_{\tilde{B}(x'_0, 2r)} \int_{\tilde{B}(x'_0, r)} G(x, y) dx d\psi(y) \\ &\quad + \sum_{n=2}^{n_0} \int_{\Delta_n(r)} \int_{\tilde{B}(x'_0, r)} G(x, y) dx d\psi(y) \\ &\quad + \int_{R_+^N - \tilde{B}(x'_0, r_0)} \int_{\tilde{B}(x'_0, r)} G(x, y) dx d\psi(y) \end{aligned}$$

with

$$\Delta_n(r) = \tilde{B}(x'_0, 2^n r) - \tilde{B}(x'_0, 2^{n-1} r), \quad 2^{n_0-1} r < r_0 \leq 2^{n_0} r,$$

and $r \int_{\tilde{B}(x'_0, r)} y_N d\psi(y) < \epsilon$ for $r \leq 2r_0(x'_0)$ by Lemma 2. The estimates

$$(2.9) \quad \int_{\tilde{B}(x'_0, r)} G(x, y) dx \leq \begin{cases} ky_N r^{1-N}, & \text{for } y \in \tilde{B}(x'_0, 2r), \\ k\tau y_N |y - x'_0|^{-N}, & \text{otherwise,} \end{cases}$$

follow as in Lemma 1 using $G(x, y) \leq ky_N |x - y|^{1-N}$ for (2.9) and $G(x, y) \leq k\tau y_N |y - x'_0|^{-N}$ with $x \in \tilde{B}(x'_0, r)$ and $y \notin \tilde{B}(x'_0, 2r)$ for (2.10). Continuing the inequality

$$\begin{aligned}
 g(x'_0, r) &\leq kr \int_{\tilde{B}(x'_0, 2r)} y_N d\psi(y) + k \sum_{n=2}^{n_0} r \int_{\Delta_n(r)} |y - x'_0|^{-N} y_N d\psi(y) \\
 &\quad + krr_0^{-N} \int_{R_+^N} y_N d\psi(y) \\
 &\leq k \sum_{n=1}^{n_0} 2^{-n} (2^n r) \int_{\tilde{B}(x'_0, 2^n r)} y_N d\psi(y) + o(1) \\
 &\leq k\epsilon + o(1) \quad \text{as } r \rightarrow 0
 \end{aligned}$$

completing the proof.

LEMMA 4. *The Green potential of an absolutely continuous measure satisfying (2.8) has radial limit zero at almost every x'_0 .*

PROOF. We will consider limits along vertical lines; obvious modification in this and Lemma 2 will give the result for interior straight line segments. For $0 < r$, set $(x_1, \dots, x_{N-1}, r) = x_r$. Then for $g(x) = \int_{R_+^N} G(x, y) f(y) dy$

$$g(x_r) = \omega_n^{-1} \int_{\partial B(x_r, \rho)} G_v^\rho(x_r, y) g(y) dS_\rho(y) + \int_{B(x_r, \rho)} G^\rho(x_r, y) f(y) dy$$

which follows from the Riesz decomposition theorem [H, p. 116] for $0 < \rho < r/2$ where $G^\rho(x, y)$ is the Green function for $B(x_r, \rho)$. So

$$\rho^{N-1} g(x_r) \leq k \int_{\partial B(x_r, \rho)} g(y) dS_\rho(y) + k\rho^{N-1} \int_0^\rho t^{2-N} \int_{\partial B(x_r, t)} f(y) dS_t(y) dt$$

which upon integrating by parts and noting that $1 < 2y_N r^{-1}$

$$\begin{aligned}
 &\leq k \int_{\partial B(x_r, \rho)} g(y) dS_\rho(y) + k \int_{B(x_r, r/2)} y_N f(y) dy \\
 &\quad + k\rho^{N-2} \int_0^{r/2} t^{1-N} \int_{B(x_r, t)} y_N f(y) dy dt \\
 &\quad + k\rho^{N-1} r^{-1} \lim_{t \rightarrow 0} t^{2-N} \int_{B(x_r, t)} y_N f(y) dy.
 \end{aligned}$$

Select $x'_r \notin \bigcup K_{\tau_j}$ of Lemma 2; then given $0 < \epsilon$

$$t^{1-N} \Phi_{\tau_{j_0}}(\bar{\partial} B(x'_r, t)) \leq k\sqrt{\epsilon_{\tau_{j_0}}} \leq k\epsilon$$

for τ_{j_0} sufficiently small and $0 < t \leq r$ also sufficiently small. Hence the last term above vanishes and the third term is majorized by $k\rho^{N-1}\epsilon$, so continuing the inequality and integrating ρ between 0 and $r/2$ we get

$$g(x_r) \leq k \int_{\tilde{B}(x'_r, 2r)} g(y) dy + kr \int_{B(x'_r, 2r)} y_N f(y) dy + k\epsilon$$

$$\leq k\epsilon + o(1) \quad \text{as } r \rightarrow 0$$

by Lemmas 2 and 3 and the lemma follows.

The analogue of Fatou's theorem is

THEOREM 1. *If $u(x)$ is superharmonic in $B(0, 1)$ and is nonnegative, or satisfies (0.1), then $u(x)$ has an mc limit for almost every $|x'_0| = 1$.*

PROOF. By the Riesz decomposition theorem $u(x) = h(x) + g(x)$ where $h(x)$ is nonnegative and harmonic and $g(x) = \int_{|y| < 1} G(x, y) d\psi(y)$, $\psi(y)$ a positive measure. Select x_0 so that $g(x_0) < \infty$. Thus

$$k(x_0) \int_{|y| < 1} (1 - |y|) d\psi(y) \leq \int_{|y| < 1} G(x_0, y) d\psi(y) < \infty$$

and the theorem follows from Lemmas 1 and 3 which clearly hold for $B(0, 1)$. The proof for condition (0.1) is essentially the same.

In the remainder of this section we consider superharmonic functions in various Liapunov domains. If Ω is a Liapunov-Dini domain and $G(x, y)$ is its Green function with respect to the Laplace operator, then from Theorem 2.3 [W₃] we have, where $\delta(x)$ is the distance from x to $\partial\Omega$,

$$G(x, y) \leq k\delta(x)|x - y|^{1-N},$$

$$(2.11) \quad |\partial/\partial x_i G(x, y)| \leq \begin{cases} k|x - y|^{1-N}, \\ k\delta(y)|x - y|^{-N}, \end{cases}$$

$$|\partial^2/\partial x_i \partial y_i G(x, y)| \leq k|x - y|^{-N}.$$

We also note that for $k_N\{|x - y|^{2-N} - |rx/|x| - y|x|/r|^{2-N}\} = G_r(x, y)$, the Green function for the sphere of radius r centered at the origin, that for $|x|, |y| \leq r/2$

$$(2.12) \quad k|x - y|^{2-N} \leq G_r(x, y).$$

LEMMA 5. *The Green potential of a positive measure ψ satisfying*

$$\int_{\Omega} \delta(y) d\psi(y) < \infty$$

in Ω , a Liapunov domain, has mc limit zero for almost every point on $\partial\Omega$.⁽²⁾

⁽²⁾ See Theorem 6 for the statement of the converse.

If ψ is absolutely continuous, the Green potential has radial limit zero almost everywhere.

PROOF. The essential part of the proof involves establishing

$$(2.13) \quad \int_{\tilde{B}(x'_0, r)} G(x, y) dx \leq \begin{cases} k\delta(y)r^{1-N}, & y \in \tilde{B}(x'_0, 32r), \\ kr\delta(y)|x'_0 - y|^{-N}, & \text{otherwise.} \end{cases}$$

Case 1. If $y \in \tilde{B}(x'_0, 32r)$, then by (2.11), $G(x, y) \leq k\delta(y)|x - y|^{1-N}$, which upon averaging over $\tilde{B}(x, 64r)$ gives (2.13).

Case 2. If $y \in \Omega - \tilde{B}(x'_0, 32r)$, set $|x'_0 - y| = a$ and define $D_a^- = \Omega - \tilde{B}(x'_0, a/8)$. Let D_a^+ be a Liapunov domain such that $\Omega \cup B(x'_0, a/4) \subset D_a^+ \subset \Omega \cup B(x'_0, a/2)$. For $0 \leq a \leq a_0$, a_0 sufficiently small, the D_a^+ 's can be constructed to be uniformly Liapunov, using property 3° for Liapunov domains; see [W₂]. Let $G_a(x, z)$ be the Green function for D_a^+ and set

$$\beta(z) = \int_{\tilde{B}(x'_0, r)} G(x, z) dx, \quad \beta_a(z) = \int_{\tilde{B}(x'_0, r)} G_a(x, z) dx.$$

Clearly $\beta(z)$ and $\beta_a(z)$ are harmonic in D_a^- and continuous in $\overline{D_a^-}$. Let $\partial'D_a^- = \{z \mid |z - x'_0| = a/8, z \in \partial D_a^-\}$. Since $\beta(z) \equiv 0$ on $\partial D_a^- - \partial'D_a^-$

$$(2.15) \quad \beta(z) \leq kra^{-1}\beta_a(z), \quad z \in \partial D_a^- - \partial'D_a^-.$$

For $x \in \tilde{B}(x'_0, r)$ and $z \in \partial'D_a^-$, $G(x, z) \leq kr|x - z|^{1-N}$ by (2.11) and hence

$$(2.16) \quad \beta(z) \leq kra^{1-N}, \quad z \in \partial'D_a^-;$$

also $G_a(x, z)$ dominates the Green function for $B(x'_0, a/4)$ so by (2.12), $k|x - z|^{2-N} \leq G_a(x, z)$ which gives

$$ka^{2-N} \leq \beta_a(z), \quad z \in \partial'D_a^-,$$

which by (2.16) gives

$$\beta(z) \leq kra^{-1}\beta_a(z), \quad z \in \partial'D_a^-,$$

which by (2.15) and the maximum principle yields

$$(2.17) \quad \beta(y) \leq kra^{-1}\beta_a(y).$$

If $\delta(y) \leq a/4$ then $\delta(y) = \text{dist}(y, D_a^+)$ and by (2.11), $G_a(x, y) \leq k_a\delta(y) \cdot |x - y|^{1-N}$ so that $\beta_a(y) \leq k_a\delta(y)|x'_0 - y|^{1-N}$ and by (2.17), $\beta(y) \leq k_a r\delta(y)|x'_0 - y|^{-N}$, which gives (2.14) since k_a may be replaced by k given the uniformity of the D_a^+ 's. If $a/4 \leq \delta(y)$, then $G(x, y) \leq kr|x'_0 - y|^{1-N} \leq$

$k\delta(y)|x'_0 - y|^{-N}$, again giving (2.14). If $a_0 < |x'_0 - y|$, the same argument holds by setting $a = a_0$.

The extension of Lemma 2 to Liapunov domains is straightforward and therefore assumed. With this and (2.13)–(2.14), the lemma follows just as in Lemma 3 and the radial limits follow from Lemma 4.

LEMMA 6. *If $u(x) = \int_{\partial\Omega} G_\nu(x, y) d\mu(y)$ in Ω , a Liapunov-Dini domain, μ a measure of bounded variation on $\partial\Omega$, then wherever $d\mu/ds$ exists u has mc limit $d\mu/ds$.*

PROOF. Use the method of Lemma 1 in conjunction with (2.11).

THEOREM 2. *If $u(x)$ is a nonnegative superharmonic function in Ω , a Liapunov domain satisfying (1.3), then $u(x)$ has an mc limit at almost every point on $\partial\Omega$.*

PROOF. As in Theorem 1, we have $u(x) = h(x) + g(x)$, $g(x) = \int_{\Omega} G(x, y) d\psi(y)$ and $h(x)$ nonnegative. By Theorem 2.5 [W₃] and Lemma 6, $h(x)$ has mc limits almost everywhere on $\partial\Omega$. Using the existence of interior tangential spheres we easily get $k\delta(y) \leq G(x, y)$ for x fixed and therefore Lemma 5 applies.

REMARKS. Prior to acceptance of this note we were informed of the results in [SW] by the referee. In [SW] the authors handle the question of nontangential limits for positive superharmonic functions on Lipschitz domains by showing that L^p , $1 \leq p < N/(N-2)$, integral averages over interior cones of height δ are $o(1)$ as $\delta \rightarrow 0$, except for a set of harmonic measure zero. Consequently behavior in a full interior neighborhood of a boundary point is not considered and, as we show in §3, for Lipschitz domains, L^1 averages over such neighborhoods will not in general exist even for positive harmonic functions. In this sense then, results in [SW] are best possible for Lipschitz domains, though not for smooth Liapunov domains whose full interior neighborhoods are used as our results establish. It is clear of course that in the restricted case of bounded superharmonic functions our results follow as corollaries to those of [SW].

3. Before considering mc limits in general Liapunov domains in the following section, we briefly consider the case of the more general Lipschitz domains. In [HW] Hunt and Wheeden established, along with more general results, that bounded as well as nonnegative harmonic functions in Lipschitz domains have nontangential limits except for a boundary set of harmonic measure zero. An analogue for mc limits holds in the bounded case and follows as a corollary to their result; however, the analogue in the nonnegative case fails as we shall now show by constructing a nonnegative harmonic function in $\Omega \subset R^2$ which is not

locally integrable about a set of boundary points E of positive harmonic measure.

Let E be a cantor set of positive measure in $[0, 1]$ and $I_j = (a_j, b_j)$ the complementary intervals. Form $\bar{\Omega}$ by adjoining to $[0, 1]^2$ the right triangular sets T_j bounded by $[a_j, b_j]$ and the lines of slope -1 and 1 through a_j and b_j respectively.

The function $x_1 x_2 (x_1^2 + x_2^2)^{-2}$ is harmonic for $(x_1, x_2) \neq (0, 0)$ and nonnegative in the first quadrant; also, it is not in L_1 . Let $h_j(x_1, x_2)$ be the corresponding function with singularity at the principal vertex of T_j and nonnegative in the quadrant formed by T_j . Since $h_j(x_1, x_2)$ is bounded in $[0, 1]^2$ and nonnegative in T_j , we can, by adding a positive constant c_j , take $h_j(x_1, x_2)$ to be positive in Ω . By Harnack's theorem [H, p. 33], $h(x_1, x_2) = \sum_{j=1}^{\infty} h_j(x_1, x_2) / 2^j h_j(1/2, 1/2)$ is positive and harmonic in Ω and clearly not in L_1 in a neighborhood of points in E .

THEOREM 3. *If $h(x)$ is harmonic and bounded in Ω , a Lipschitz domain, then $h(x)$ has mc limits on $\partial\Omega$ except on a set of negligible size.⁽³⁾*

PROOF. As in [HW] we can assume that Ω is starlike with respect to the origin and represent $h(x) = \int_{\partial\Omega} K(x, y) f(y) d\omega^\circ(y)$, where ω° is the harmonic measure on $\partial\Omega$ with respect to the origin and $|f(y)| \leq M$, the bound for $h(x)$. Given $0 < \epsilon$, by Lusin's theorem, there is a continuous function $g(y)$ on $\partial\Omega$ such that $g(y) = f(y)$ except on a set E of harmonic measure less than ϵ and $|g(y)| \leq M$. Since Ω is a regular domain for the Dirichlet problem

$$h_f(x'_0, r) \leq 2M \int_{\tilde{B}(x'_0, r)} \omega_E(x) dx + o(1)$$

as $r \rightarrow 0$ for $x'_0 \in \partial\Omega - E$, where $\omega_E(x) = \int_E K(x, y) d\omega^\circ(y)$ is harmonic in Ω and from [HW] has radial limit zero almost everywhere ω° on $\partial\Omega - E$. By Egoroff's theorem, we can assure that $\omega_E(x)$ has uniform radial limit zero on $\partial\Omega - E_1$ and E_1 has harmonic measure less than 2ϵ . Then

$$\int_{\tilde{B}(x'_0, r)} \omega_E(x) dx \leq kr^{-N} \left\{ \int_{\tilde{B}(x'_0, r) \cap \tilde{E}_1} + \int_{\tilde{B}(x'_0, r) \cap \tilde{E}_1^c} \right\} \omega_E(x) dx$$

where

$$\begin{aligned} \tilde{E}_1 &= \{x: x = \rho y, 0 \leq \rho < 1, y \in E_1\} \\ &\leq kr^{-N} |\tilde{B}(x'_0, r) \cap \tilde{E}_1| + o(1) \quad \text{as } r \rightarrow 0 \\ &\leq kr^{1-N} |\bar{\partial}B(x'_0, r) \cap E_1|_H + o(1) \end{aligned}$$

⁽³⁾ The exceptional set is the union of two sets of harmonic measure and $(N - 1)$ -dimensional measure zero, respectively. I wish to thank Professor William P. Ziemer who pointed out that the relationship between these measures for Lipschitz domains is unknown.

with $| \cdot |_H$ denoting the $(N - 1)$ -dimensional Hausdorff measure,

$$\leq o(1) \text{ as } r \rightarrow 0$$

for all $x'_0 \in \partial\Omega - E_1$, except possibly a set E_2 , with $|E_2|_H = 0$, since Ω is a Lipschitz domain. Since ϵ was arbitrary, except for a set of harmonic measure and a set of $(N - 1)$ -dimensional Hausdorff measure zero, $h(x)$ has an mc limit.

The failure of mc limits for positive harmonic functions can be partially remedied by using a notion of approximate mc limits defined by replacing the set $\tilde{B}(y, r)$ in (1.1) by $\tilde{D}(y, r)$ where (1) $\tilde{D}(y, r)$ contains an interior cone with vertex at y , and (2) $\lim_{r \rightarrow 0} |\tilde{D}(y, r)| |\tilde{B}(y, r)|^{-1} = 1$. With this, analogues of the results of [HW] hold for approximate mc limits. This will not be pursued since the techniques basically involve reducing the problem to the bounded case of Theorem 3 by constructing subdomains as in [HW] in which the function is bounded.

4. In [W₂] Widman established the existence of nontangential limits for nonnegative harmonic functions in Liapunov domains by considering uniformly elliptic semilinear equations of the form

$$(4.1) \quad a^{ij}(x)u_{ij} = F(x, u, u_p, u_{ij})$$

in R^N_+ since these are invariant under mappings between Liapunov domains. In this section, we intend to verify the mc limit analogue for solutions of (4.1) and get the existence nontangential limits as a corollary.

We assume the following about (4.1):

- (i) $|a^{ij}(x) - a^{ij}(y)| \leq k|x - y|^\alpha, 0 < \alpha, x \in \partial\Omega, y \in \bar{\Omega}$.
- (ii) $|F(x, u, u_p, u_{ij})| \leq k\{\delta^{-2}(x)\beta(\delta(x)) + \delta^{\alpha-2}(x)|u| + \delta^{\alpha-1}(x)|u_i| + \delta^\alpha(x)|u_{ij}|\}, \beta(t)$ nondecreasing and $\int_0 \beta(t)/t dt < \infty$.

We say that $u(x)$ is a solution of (4.1) when (i) and (ii) are in force. If in addition $x \in \bar{\Omega}$ in (i) and F is independent of u_{ij} in (ii) we say that $u(x)$ is a solution of (4.1)′.

From [W₂] we need:

- (iii) the functions $a^{ij}(x)$ on $\partial\Omega$ can be extended into Ω by \bar{a}^{ij} in $C^\infty(\Omega), C^\alpha(\bar{\Omega})$, equal to a^{ij} on $\partial\Omega$, and $|\text{grad } \bar{a}^{ij}| \leq k\delta^{\alpha-1}(y)$.
- (iv) If $u(x)$ is a solution of (4.1) and satisfies (2.1) with $\Omega = R^N_+$, then

$$(4.2) \quad \int_{|x'| < 1, 0 \leq x_N \leq 2} x_N^{\alpha-1} |u| + x_N^\alpha |u_i| + x_N^{\alpha+1} |u_{ij}| dx < \infty,$$

see line 7.1.2 of [W₂].

Let $G(x, y)$ be the Green function in R^N_+ for $a^{ij}(x'_0)\partial^2/\partial x_i\partial x_j, x'_0 \in \partial R^N_+$. Under the appropriate linear transformation $G(x, y)$ is transformed to the Green

function of the Laplace operator and ∂R_+^N to a linear hyperplane. Thus by uniform ellipticity for (4.1), $G(x, y)$ has estimates, independent of x'_0 ,

$$(4.3) \quad \begin{aligned} G(x, y) &\leq \begin{cases} k|x-y|^{2-N}, \\ kx_N|x-y|^{1-N}; ky_N|x-y|^{1-N}, \\ kx_Ny_N|x-y|^{-N}, \end{cases} \\ |G_i(x, y)| &\leq \begin{cases} k|x-y|^{1-N}, \\ kx_N|x-y|^{-N}, \end{cases} \\ |G_{ij}(x, y)| &\leq \begin{cases} k|x-y|^{-N}, \\ kx_N|x-y|^{-1-N}. \end{cases} \end{aligned}$$

Clearly then Lemmas 3 and 4 hold for G potentials as well as Lemma 1 for solutions of $a^{ij}(x'_0)u_{ij} = 0$.

LEMMA 7. *If $u(x)$ is a solution of (4.1) in R_+^N and satisfies (2.1), then $u(x)$ has an mc limit almost everywhere in $|x'_0| < 1$.*

REMARKS. Although the proof follows that of Theorem 7.1 [W₂], it is simpler since lemmas and arguments involving cones are eliminated. Radial limits follow as a corollary using Lemma 4.

PROOF. Let $D_\tau = \{x | \tau < x_N < \tau + 1, |x'| < \rho\}$, $0 \leq \tau$ and $\partial'D_\tau$ the part of ∂D_τ satisfying $x_N = \tau$. Let $G^\tau(x, y)$ denote the Green function for $\tau < x_N$ and the operator $a^{ij}(x'_0)\partial^2/\partial x_i\partial x_j$, and set $x_\tau = x + (0, \dots, \tau)$; $D_0 = D$ and $G^\circ = G$. ρ will be selected and fixed in step (2) below.

By the standard Green formula representation we have

$$\begin{aligned} \omega_N u(x_\tau) &= \int_{\partial'D_\tau} G^\tau_\nu u \, dS(y) + \int_{\partial D_\tau - \partial'D_\tau} G^\tau_\nu u - G^\tau u_\nu \, dS(y) \\ &\quad + \int_{D_\tau} G^\tau \{F + [\bar{a}^{ij}(y) - a^{ij}(y)]u_{ij}\} \, dy \\ &\quad + \int_{B_{\sigma\tau}} + \int_{D_\tau - B_{\sigma\tau}} G^\tau [\bar{a}^{ij}(x'_0) - \bar{a}^{ij}(y)]u_{ij} \, dy \\ &= I_1(x_\tau) + \dots + I_5(x_\tau) \end{aligned}$$

with $\nu(y) = (\nu_1(y), \dots, \nu_N(y))$ the outward normal, $B_{\sigma\tau} = \{y | |x_\tau - y| \leq \sigma\}$, $x_N/4 \leq \sigma \leq x_N/2$. For appropriate τ_j , $\lim_{\tau_j \rightarrow 0} \omega_N u(x_{\tau_j}) = \omega_N u(x) = \lim_{\tau_j \rightarrow 0} I_1(x_{\tau_j}) + \dots + I_5(x_{\tau_j}) = \tilde{I}_1(x) + \dots + \tilde{I}_5(x)$ having mc limits $\omega_N f(x'_0)$, $0, \dots, 0$ respectively for a.e. $|x'_0| < \rho$; we now demonstrate this.

(1) By (2.1), for some sequence $\tau_j \rightarrow 0$, $u(y_1, \dots, y_{N-1}, \tau_j)dy'$ conver-

ges weakly to $d\bar{u}(y') = f(y')dy' + d\psi(y')$ so

$$\tilde{T}_1(x) = \int_{|y'| < \rho} G_\nu(x, y) f(y') dy' + d\psi(y')$$

which has mc limit $\omega_N f(x'_0)$ for a.e. $|x'_0| < \rho$. Note in this and the following steps that by (4.3) the dependence of $G(x, y)$ on x'_0 can be neglected.

(2) By (4.2) and Fubini's theorem ρ can be selected as close to 1 as desired and fixed so that

$$(4.4) \quad \int_{\partial D - \partial' D} y_N |u_i| + |u| dS(y) < \infty.$$

With this and using the appropriate parts of (4.3) we have $\tilde{T}_2(x)$ exists and has uniform limit zero for $|x'_0| \leq \rho' < \rho$.

(3) For $\{|F| + |\bar{a}^{\bar{ij}}(y) - a^{\bar{ij}}(y)| |u_{ij}|\} dy = d\psi(y)$ satisfies (2.8) using (4.2), (i), (ii), and (iii). Thus $\tilde{T}_3(x)$ exists and has mc limit zero a.e. $|x'_0| < \rho$ by Lemma 3.

(4) Clearly $\tilde{T}_4(x)$ exists for any $x_N/4 \leq \sigma \leq x_N/2$, the largest being given for $\sigma = x_N/2$. So for $x \in \tilde{B}(x'_0, r)$,

$$\begin{aligned} \tilde{T}_4(x) &\leq kr^\alpha \int_{B(x, x_N/2)} G |u_{ij}| dy \\ &\leq kr^\alpha x_N^{-\alpha} \int_{B(x, x_N/2)} y_N^{1+\alpha} |x - y|^{1-N} |u_{ij}| dy, \quad \text{since } x_N \leq 2y_N, \\ &\leq kr^\alpha x_N^{-\alpha} \int_{\tilde{B}(x'_0, 2r)} y_N^{1+\alpha} |u_{ij}| |x - y|^{1-N} dy. \end{aligned}$$

So $\int_{\tilde{B}(x'_0, r)} |\tilde{T}_4(x)| dx \leq kr \int_{\tilde{B}(x'_0, 2r)} y_N^{1+\alpha} |u_{ij}| dy$ which goes to zero for a.e. $|x'_0| < \rho$ by (4.2) and Lemma 2.

(5) To calculate $\lim_{\tau_j \rightarrow 0} I_5(x_{\tau_j})$ we first integrate $I_5(x_{\tau_j})$ by parts twice using Green's identities and then let $\tau_j \rightarrow 0$ after which we integrate $x_N/4 \leq \sigma \leq x_N/2$ and divide by $x_N/4$ and get

$$\begin{aligned} \tilde{T}_5(x) &= \int_{|y'| < \rho} G_j[*]^{Nj}(f(y')) dy' + d\psi(y') \\ &\quad + \int_{\partial D - \partial' D} [*]^{\bar{ij}} u G_j v_i - G u_i v_j dS(y) \\ &\quad + 4x_N^{-1} \int_{x_N/4}^{x_N/2} \int_{\partial B_\sigma} [*]^{\bar{ij}} \{G_j u v_i - G u_i v_j\} dS_\sigma(y) d\sigma \\ &\quad + 4x_N^{-1} \int_{x_N/4}^{x_N/2} \int_{D - B_\sigma} \{G_{ji} [*]^{\bar{ij}} u + G_j \bar{a}_i^{\bar{ij}} u - G \bar{a}_j^{\bar{ij}} u_i\} dy d\sigma \\ &= J_1(x) + \dots + J_4(x), \end{aligned}$$

with $*$ = $\bar{a}^{ij}(x'_0) - \bar{a}^{ij}(y)$, $G_j = \partial/\partial y_j G$; existence is easily checked using (4.2), (4.3), (4.4), and (iii).

(5a) With a very slight modification of Lemma 1 we have $J_1(x)$ having mc limit zero for a.e. $|x'_0| < \rho$; $J_2(x)$ has uniform limit zero for $|x'_0| < \rho' < \rho$ as in (2); $J_3(x)$ has mc limit zero a.e. by the method in (4); letting $J_4(x) = J_4^1(x) + J_4^2(x) - J_4^3(x)$ in taking the terms of the integrand separately, we have $J_4^3(x)$ has mc limit zero as in (3).

(5b) $J_4^1(x)$ and $J_4^2(x)$ can be handled in the same way; as such

$$\begin{aligned} \int_{\tilde{B}(x'_0, r)} |J_4^1(x)| dx &\leq k \int_{\tilde{B}(x'_0, r)} \int_{D-B(x, x_N/4)} |G_{ij}(x, y)| |x'_0 - y|^\alpha |u| dy dx \\ &\leq k \int_{\tilde{B}(x'_0, 2r)} |x'_0 - y|^\alpha |u| \int_{\tilde{B}(x'_0, r)} |x - y|^{-N} \chi(x, y) dx dy \\ &\quad + k \sum_{n=2}^{n_0} 2^{-n} (2^n r) \int_{\Delta_n(r)} y_N^{\alpha-1} |u| dy + k(r_0)r \end{aligned}$$

where $\chi(x, y) = 1$ if $x_N/4 \leq |x - y|$, = 0 otherwise again with $2^{n_0-1}r < r_0 \leq 2^{n_0}r$ and $\Delta_n(r) = \tilde{B}(x'_0, 2^n r) - \tilde{B}(x'_0, 2^{n-1}r)$. By Lemma 2 for almost every x'_0 the series is less than $k\epsilon \sum_{n=2}^{n_0} 2^{-n}$ for $r_0(x'_0)$ sufficiently small. $\chi(x, y) \leq \chi_1(x, y)$ where $\chi_1(x, y) = 1$ for $y_N/5 \leq |x - y|$, and = 0 otherwise; thus the first term is majorized by

$$\begin{aligned} kr^\alpha \int_{\tilde{B}(x'_0, 2r)} |u| \int_{B(y, 4r) - B(y, y_N/5)} |x - y|^{-N} dx dy \\ \leq kr \int_{\tilde{B}(x'_0, 2r)} y_N^{\alpha-1} |\log y_N| |u| dy = o(1) \text{ as } r \rightarrow 0 \end{aligned}$$

for a.e. $|x'_0| < \rho$ by Lemma 2. By (1)–(5b) the lemma is established for mc limits.

By the mapping in Theorem 7.3, Theorem 5.1 of $[W_2]$, and our Lemmas 4 and 6 we have the following:

THEOREM 4. *If $u(x)$ is a positive solution of (4.1)' in Ω , a Liapunov domain, then $u(x)$ has an mc limit, consequently radial limit, almost everywhere on $\partial\Omega$.*

LEMMA 8. *If $u(x)$ is a solution of (4.1) in Ω and has mc limit $f(x'_0)$, then $u(x)$ has nontangential limit $f(x'_0)$ at x'_0 .*

PROOF. Let $V_{x'_0}$ be a cone with vertex at x'_0 and fixed aperture α , $\alpha < \pi/2$. For $y \in V_{x'_0}$, $|y - x'_0| \leq k_\alpha \delta(y)$, $0 < k_\alpha$. By Lemma 3.7 $[W_2]$ using the techniques of Theorem 4.1 $[W_2]$ we have for $1 < p$, with $r = \delta(y)/2$

$$\int_{B(y,r/3)} \delta^{Np-N}(x) \{ |u|^p + \delta^p |u_i|^p + \delta^{2p} |u_{ij}|^p \} dx$$

$$\leq k \left[\int_{B(y,r)} |u| dx \right]^p + k \int_{B(y,r)} \delta^{2p+Np-N} |f|^p dx.$$

From the standard Green formula we get

$$|u(y)| \leq kr^{-N} \int_{B(y,r/3)} |u(x)| dx + kr^{1-N} \int_{B(y,r/3)} |u_i| dx$$

$$+ k \int_{B(y,r/3)} G\{ |F| + r^\alpha |u_{ij}| \} dx.$$

But

$$r^{1-N} \int_{B(y,r/3)} |u_i| dx \leq kr^{1-N} r^{N(p-1/p)} \left\{ \int_{B(y,r/3)} |u_i|^p dy \right\}^{1/p}$$

$$\leq kr^{-N} \left\{ \int_{B(y,r/3)} \delta^{Np-N+p}(x) |u_i|^p \right\}^{1/p}$$

$$\leq k_\alpha \int_{\tilde{B}(x'_0, k_\alpha r)} |u(x)| dx + r^{-N/p} \left\{ \int_{B(y,r)} \beta^p(\delta(x)) dx \right\}^{1/p}$$

$$= o(1) \text{ as } y \rightarrow x'_0.$$

Next

$$r^\alpha \int_{B(y,r/3)} G(y, x) |u_{ij}| dx \leq k_\alpha r^{\alpha+Np-2-N} \left\{ \int_{B(y,r/3)} |x-y|^{(2-N)q} \right\}^{1/q}$$

$$\times \left\{ \int_{B(y,r/3)} \delta^{Np-N+2p} |u_{ij}|^p \right\}^{1/p}$$

for $(N-2)q < N$

$$\leq k_\alpha r^{\alpha-N} \int_{B(x'_0, k_\alpha r)} |u(x)| dx + o(1) = o(1);$$

the remaining terms go to zero in a similar fashion.

5. Finally we consider the question of uniqueness. For classical solutions of uniformly elliptic linear equations

$$(5.1) \quad a^{ij}(x)u_{ij} + b^i(x)u_i + c(x)u(x) = 0 \quad (c(x) \leq 0)$$

whose coefficients are α -Hölder continuous in Ω , a Liapunov domain, we have

THEOREM 5. *If $u(x)$ is the solution of (5.1) in Ω and*

$$(5.2) \quad u(x'_0, r) = o(1) \text{ for almost every } x'_0 \in \partial\Omega,$$

$$(5.3) \quad u(x'_0, r) = O(1) \text{ for every } x'_0 \in \partial\Omega,$$

then $u(x) \equiv 0$.

As in $[D_2]$ the proof will not depend on the existence theory for these equations; however, a proof using this theory and Serrin's extension of Harnack's inequality [Se] provides a similar result for Lipschitz domains, which we omit.

PROOF. By Lemma 1 $[D_2]$,

$$|u(x)| \leq k \int_{B(x, \delta(x)/2)} |u(y)| dy$$

and thus by (5.2) has a radial limit zero almost everywhere. If we can show that $u(x)$ is bounded in Ω , then by Theorem 7.5 $[W_2]$, $u(x)$ converges uniformly to zero on $\partial\Omega$ and thus by the maximum principle $u(x) \equiv 0$. Clearly then, Lemma 3 $[D_2]$ holds for Ω and the remainder of the proof essentially follows the proof of Theorem 1 $[D_2]$.

For superharmonic functions we have

THEOREM 6. *If $u(x)$ is superharmonic in Ω , a Liapunov domain satisfying (1.3), and satisfies (5.2) and (5.3), then $u(x)$ is the Green potential of a unique measure ψ satisfying the condition of Lemma 5.*

PROOF. This follows easily using the techniques of Theorem 1 $[D_1]$, with Lemma 5 used in lieu of Theorem 1 [So], thereby giving an elementary proof of this result.

THEOREM 7. *If $u(x)$ is harmonic in Ω , a Liapunov-Dini domain, and satisfies (5.2) and (5.3), then $u(x) \equiv 0$.*

PROOF. The proof follows the lines of Theorem 6 with obvious modifications and simplifications due to the harmonicity of $u(x)$.

ADDED IN PROOF. There exists a finite valued function $f(y)$ defined on $|y| = 1$ and a harmonic function $u(x)$ such that $u_f(x'_0, r) = o(r)$ as $r \rightarrow 0$ for all $|x'_0| = 1$ while $\int_{|y|=1} |f(y)| dy = \infty$; in other words, the uniqueness theorems hold for solutions in L_1 which do not necessarily satisfy (0.1). The example will be given in a subsequent paper.

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, DAVIS,
CALIFORNIA 95616