

## DISTINGUISHED SUBFIELDS

BY

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**ABSTRACT.** Let  $L$  be a finitely generated nonalgebraic extension of a field  $K$  of characteristic  $p \neq 0$ . A maximal separable extension  $D$  of  $K$  in  $L$  is distinguished if  $L \subseteq K^{p^{-n}}(D)$  for some  $n$ . Let  $d$  be the transcendence degree of  $L$  over  $K$ . If every maximal separable extension of  $K$  in  $L$  is distinguished, then every set of  $d$  relatively  $p$ -independent elements is a separating transcendence basis for a distinguished subfield. Conversely, if  $K(L^p)$  is separable over  $K$ , this condition is also sufficient. A number of properties of such fields are determined and examples are presented illustrating the results.

**0. Introduction.** Let  $L$  be a finitely generated extension of a field  $K$  of characteristic  $p \neq 0$ . If  $L$  is algebraic over  $K$ , then there is a unique intermediate field  $D$  such that  $D$  is separable over  $K$  and  $L$  is purely inseparable over  $D$ . If  $L$  is not algebraic over  $K$ , there will still be maximal separable extensions  $S$  of  $K$  in  $L$  and  $L$  will necessarily be purely inseparable finite dimensional over any such subfield  $S$ . However, in general  $S$  is far more being unique. If  $p^s$  is the minimum of the degrees  $[L: S]$ ,  $s$  is called the order of inseparability of  $L/K$  ( $\text{inor}(L/K)$ ). In [5], Dieudonne studied such maximal separable extensions and established that there must be an  $S$  such that  $L \subseteq K^{p^{-\infty}}(S)$ , that is,  $L$  can be obtained from  $S$  by adjoining  $p^n$ th roots of elements of  $K$ . Such a field  $S$  is called distinguished. In [7], Kraft established that the distinguished maximal separable intermediate fields are precisely those over which  $L$  is of minimal degree. In this paper we examine the question of when every maximal separable intermediate field is distinguished, a property which holds for algebraic extensions.

If  $n$  is the least nonnegative integer such that  $K(L^{p^n})$  is separable over  $K$ ,  $n$  is called the inseparability exponent,  $\text{inex}(L/K)$ . Throughout this paper  $n$  will be used to denote  $\text{inex}(L/K)$  and  $d$  will denote the transcendence degree of  $L/K$ . If  $D$  is distinguished for  $L/K$ , then  $K(L^{p^n}) = K(D^{p^n})$  and hence  $L \subseteq K^{p^{-n}}(D)$ . Thus, if  $Y$  is a relative  $p$ -basis of  $D$  over  $K$ ,  $Y$  is relatively  $p$ -independent in  $L$  over  $K$ . Since  $D$  is separable over  $K$ ,  $Y^{p^n}$  is a relative  $p$ -basis of  $K(D^{p^n})$ , i.e.  $K(L^{p^n})$ , over  $K(D^{p^{n+1}})$ . Thus  $D$  is of the form  $K(L^{p^n})(Y)$ . We also note that if  $S/K$  is separable and  $L/S$  is purely inseparable,  $S$  is a maximal separable subfield of  $L/K$  if and only if  $L^p \cap S \subseteq K(S^p)$  [3, Lemma 1.2, p. 46].  $L$  is modular over  $K$  if and only if  $L^{p^r}$  and  $K$  are linearly disjoint for all  $r$ . If  $L/K$  is finite dimensional purely

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inseparable,  $L/K$  is modular if and only if it is a tensor product of simple extensions [11].

Now suppose the order of inseparability of  $L/K$  is  $s$ . Any intermediate field  $L_1$  of  $L/K$  which also has order of inseparability  $s$  will be called a form of  $L/K$ . In [4, Theorem 1.4, p. 657] it is shown that there exists a unique minimal intermediate field  $L^*$  of  $L/K$  which is a form of  $L/K$ . The field  $L^*$  is called the irreducible form of  $L/K$  and  $L^*/K$  is called the irreducible. For example, if  $P$  is a perfect field and  $\{x, u, v\}$  is algebraically independent over  $P$ , let  $K = P(u^p, v^p)$  and  $L = K(x, ux^p + v)$ . Then  $K(x)$  is distinguished,  $K(ux^p + v)$  is maximal separable but not distinguished and  $K(x^p, ux^p + v)$  is a form of  $L/K$  (and in fact is the irreducible form).

In §I we develop necessary conditions for every maximal separable subfield of  $L/K$  to be distinguished. Theorem 4 establishes the condition that every set of  $d$  relatively  $p$ -independent elements must be a separating transcendence basis for a distinguished subfield. Extensions with this property are then shown to be separable algebraic extensions of irreducible extensions (Theorem 5). In particular, any set of  $d$  relatively  $p$ -independent elements must be algebraically independent over  $K$ , a property similar to the characterizing property of a separable extension that the elements of any relative  $p$ -basis must be algebraically independent [8, Theorem 11, p. 281].

§II deals exclusively with the inseparability exponent 1 case. It is shown that every maximal separable subfield is distinguished if and only if every set of  $d$  relatively  $p$ -independent elements is a separating transcendence basis for a distinguished subfield. Moreover, if  $L/K$  has the property, so does any intermediate field  $L_1/K$ . §III presents examples having the property and indicating why it is necessary to restrict the results of §II to exponent 1. §IV develops criteria which force those  $L/K$  having every maximal separable subfield distinguished to be of exponent less than or equal to 1. In fact, we conjecture that this must always be true (in the nonalgebraic case).

**I. Necessary conditions.**

**THEOREM 1.** *If every maximal separable intermediate field of  $L/K$  is distinguished, then  $K^{p^{-n}}(L^{p^i}) \cap L = K(L^{p^i})$  for  $i = 0, 1, \dots$*

**PROOF.** The proof is by induction on  $i$ . The conclusion is immediate for  $i = 0$ . Assume the result for  $0 \leq i < n$ . Suppose  $\theta \in K^{p^{-n}}(L^{p^{i+1}}) \cap L \setminus K(L^{p^{i+1}})$  and  $\theta$  is transcendental over  $K$ . Now  $\theta \in K^{p^{-n}}(L^{p^i}) \cap L = K(L^{p^i})$ . Since  $K(\theta)/K$  is separable,  $\theta$  is in a maximal separable intermediate field of  $L/K$ , say  $S$ . We show  $\theta$  is not in any distinguished intermediate field and hence  $S$  is not distinguished, a contradiction. Suppose  $\theta \in D$ , a distinguished intermediate field. Then

$$\theta \in D \cap K(L^{p^i}) \subseteq (D \otimes_K 1) \cap (K(D^{p^i}) \otimes_K K^{p^{-n}}) = K(D^{p^i}).$$

Now  $D = K(L^{p^n})(Y)$  where  $Y$  is relatively  $p$ -independent in  $L/K$  and  $Y^{p^n}$  is a relative  $p$ -basis of  $K(L^{p^n})/K$ . Thus

$$\theta \in K(L^{p^n})(Y^{p^i}) \subseteq K(L^{p^{i+1}})(Y^{p^i}).$$

Now  $Y^{p^i}$  is relatively  $p$ -independent in  $K(L^{p^i})/K$  and since  $\theta \notin K(L^{p^{i+1}})$ , there exists  $y \in Y$  such that  $\theta \notin K(L^{p^{i+1}})(Y^{p^i} \setminus \{y^{p^i}\})$ . Thus

$$y^{p^i} \in K(L^{p^{i+1}})(Y^{p^i} \setminus \{y^{p^i}\}, \theta).$$

Note that

$$\begin{aligned} \theta \in K(L^{p^i}) \cap K^{p^{-n}}(L^{p^{i+1}}) &\subseteq (K^{p^{-n+i}} \otimes_K K(D^{p^i})) \cap (K^{p^{-n}} \otimes_K K(D^{p^{i+1}})) \\ &= K^{p^{-n+1}} \otimes_K K(D^{p^{i+1}}) \end{aligned}$$

and thus  $\theta^{p^{n-i}} \in K(D^{p^{n+1}}) = K(L^{p^{n+1}})$ . Hence

$$y^{p^n} \in K(L^{p^{n+1}})(Y^{p^n} \setminus \{y^{p^n}\}, \theta^{p^{n-i}}) = K(L^{p^{n+1}})(Y^{p^n} \setminus \{y^{p^n}\}),$$

which contradicts the relative  $p$ -independence of  $Y^{p^n}$  in  $K(L^{p^n})/K$ . Now suppose  $\theta$  is algebraic over  $K$ . Let  $t \in K(L^{p^{i+1}})$  be transcendental over  $K$ . Then  $\theta + t \in K^{p^{-n}}(L^{p^{i+1}}) \cap L \setminus K(L^{p^{i+1}})$  and is transcendental over  $K$ . However, this case has been shown to be impossible.

Now assume  $K^{p^{-n}}(L^{p^i}) \cap L = K(L^{p^i})$  for  $i \geq n$  and let  $\theta \in K^{p^{-n}}(L^{p^{i+1}}) \cap L$ . Then  $\theta \in K^{p^{-n}}(L^{p^i}) \cap L = K(L^{p^i})$ . Thus

$$\begin{aligned} \theta \in K^{p^{-n}}(L^{p^{i+1}}) \cap K(L^{p^i}) &= (K^{p^{-n}} \otimes_K K(L^{p^{i+1}})) \cap (1 \otimes_K K(L^{p^i})) \\ &= K(L^{p^{i+1}}) \end{aligned}$$

since  $K^{p^{-n}} \otimes_K K(L^{p^i})$  is a field. Thus  $K^{p^{-n}}(L^{p^{i+1}}) \cap L = K(L^{p^{i+1}})$ .

**COROLLARY 2.** *If  $K^{p^{-n}}(L^{p^i}) \cap L = K(L^{p^i})$ , for  $i = 0, 1, \dots$ , then  $K^{p^{-n}}(K^{p^i}(L^{p^{j+i}})) \cap K(L^{p^j}) = K(L^{p^{j+i}})$ , for  $i = 0, 1, \dots$ , for any  $j$ , hence  $K(L^{p^i})$  also has the necessary condition.*

**PROOF.**  $K^{p^{-n}}(K^{p^i}(L^{p^{j+i}})) \cap K(L^{p^j}) = K^{p^{-n}}(L^{p^{j+i}}) \cap K(L^{p^j}) \subseteq K^{p^{-n}}(L^{p^{j+i}}) \cap L = K(L^{p^{j+i}})$ . Clearly  $K(L^{p^{j+i}}) \subseteq K^{p^{-n}}(K^{p^i}(L^{p^{j+i}})) \cap K(L^{p^j})$ . Although  $K(L^{p^j})$  will be of inseparable exponent less than that of  $L/K$ , clearly  $K(L^{p^j})$  has the necessary condition.

**PROPOSITION 3.** *Let  $\bar{K}$  be the algebraic closure of  $K$  in  $L$ . If every maximal separable intermediate field of  $L/K$  is distinguished, then  $\bar{K}/K$  is separable.*

**PROOF.** Let  $S$  be the maximal separable intermediate field of  $\bar{K}/K$  and let  $D$  be a maximal separable intermediate field of  $L/S$ . Since any maximal separable intermediate field of  $L/K$  must contain  $S$ ,  $D$  is maximal separable for  $L/K$ , whence distinguished for  $L/K$  and  $L/S$ . Thus by Theorem 1,  $S^{p^{-1}} \cap L \subseteq S^{p^{-n}}(L^{p^n}) \cap L = S(L^{p^n})$ , and since  $S(L^{p^n})/S$  is separable,  $S^{p^{-1}} \cap L = S$ . Thus  $S = \bar{K}$ .

**THEOREM 4.** *Assume every maximal separable intermediate field of  $L/K$  is distinguished. Then every set of  $d$  relatively  $p$ -independent elements is a separating transcendence basis for a distinguished subfield.*

**PROOF.** We use induction on  $d$ . Assume  $d = 1$  and let  $x$  be relatively  $p$ -independent. Since  $\bar{K}/K$  is separable,  $x$  is transcendental over  $K$ . Let  $S$  be a maximal separable extension of  $K$  in  $L$  containing  $K(x)$ . Then  $S$  is distinguished. If  $B$  is a

$p$ -basis of  $K$ , since  $x \notin K(S^p) = S^p(B)$ ,  $B \cup \{x\}$  is  $p$ -independent in  $S$ , i.e.,  $S$  is separable over  $K(x)$ . Thus  $S/K(x)$  is separable algebraic and hence  $x$  is a separating transcendence basis for a distinguished subfield.

Now assume  $d > 1$  and let  $\{x_1, \dots, x_d\}$  be relatively  $p$ -independent in  $L/K$ . Then, as above,  $x_1$  is transcendental over  $K$ . Since  $x_1$  is relatively  $p$ -independent in  $L/K$ , any maximal separable extension of  $K(x_1)$  in  $L$  will be a maximal separable extension of  $K$  in  $L$ , hence will be distinguished for  $L/K$  and hence for  $L/K(x_1)$ . Thus every maximal separable intermediate field of  $L/K(x_1)$  is distinguished. By induction  $\{x_2, \dots, x_d\}$  is a separating transcendence basis for a distinguished subfield of  $L/K(x_1)$ , and hence  $\{x_1, \dots, x_d\}$  is one for  $L/K$ .

**THEOREM 5.** *If every set of  $d$  relatively  $p$ -independent elements form a separating transcendence basis for a distinguished subfield, then  $L = L^*(\theta)$  where  $L^*/K$  is the irreducible form of  $L/K$  and  $\theta$  is separable algebraic over  $L^*$ .*

**PROOF.** Let  $C^*$  be the unique intermediate field such that  $L/C^*$  is separable and  $C^*/K$  is reliable [2, Theorem 2.3, p. 141]. If  $L \neq C^*(L^p)$ , choose  $L \supseteq L_1 \supseteq C^*(L^p)$  with  $[L: L_1] = p$ . Since  $L_1 \supseteq C^*$ ,  $L_1$  is a form of  $L/K$  [4, Theorem 1.2, p. 656]. Thus  $L_1$  cannot contain a separating transcendence basis for a distinguished subfield of  $L/K$ , else the order of inseparability of  $L/K$  would be one more than that of  $L_1/K$ . But since  $[L: L_1] = p$ , and  $[L: K(L^p)] \geq p^{d+1}$ , at least  $d$  elements of  $L_1$  which are relatively  $p$ -independent over  $K$  must remain  $p$ -independent in  $L$ . This contradicts the assumption of the theorem, hence  $L = C^*(L^p)$ , i.e.  $L/C^*$  is separable algebraic.

Now, if  $C^*/K$  is not irreducible, then since  $C^*$  is not separable over any intermediate field of  $L/K$  [9, Theorem 1, p. 523], it has a form  $L_0$  over which  $C^*$  is purely inseparable and  $[C^*: L_0] = p$ . But now  $L = C^* \otimes_{L_0} S$  where  $S/L_0$  is separable. Since  $L_0$  is a form of  $L/K$ ,  $S$  is also a form of  $L/K$  and  $[L: S] = p$ . This leads to a contradiction as above.

**PROPOSITION 6.** *Let  $C$  be a subfield of  $L/K$  such that  $L$  is separable over  $C$ . If every maximal separable intermediate field of  $L/K$  is distinguished, then the same is true for  $C/K$ .*

**PROOF.** Let  $D$  be a maximal separable intermediate field of  $C/K$ . Since  $C/D$  is purely inseparable bounded exponent and  $L/C$  is separable,  $L = F \otimes_D C$  for some intermediate field  $F$  of  $L/D$  such that  $F/D$  is separable [6, Proposition 1, p. 302]. Since  $L/C$  is separable,  $C$  is a form of  $L/K$  and hence if  $F$  is distinguished for  $L/K$ ,  $D$  is for  $C/K$  by a degree argument. Hence it suffices to show  $F$  is maximal separable in  $L/K$ , i.e.  $L^p \cap F \subseteq K(F^p)$ .

But if  $b^p \in F$ , then  $b \in (D^{p^{-1}} \cap C) \otimes_D F$  and hence  $b^p \in (C^p \cap D) \otimes_{D^p} F^p \subseteq K(D^p)(F^p) = K(F^p)$ , so  $F$  is maximal separable.

**COROLLARY 7.** *If every maximal separable intermediate field of  $L/K$  is distinguished, then  $L$  is a separable algebraic extension of an irreducible extension.*

**II. Exponent one.** Throughout this section we assume that the inseparability exponent of  $L/K$  is 1. With this restriction, the necessary condition of Theorem 4 is also sufficient.

**THEOREM 8.** *Every maximal separable intermediate field of  $L/K$  is distinguished if and only if every set of  $d$  relatively  $p$ -independent elements is a separating transcendence basis for a distinguished subfield.*

**PROOF.** We induct on the order of inseparability of  $L/K$ . Assume the order of inseparability is 1 and every set of  $d$  relatively  $p$ -independent elements is a separating transcendence basis for a distinguished subfield. By Corollary 7,  $L = L^*(\theta)$  and  $L^*/K$  is irreducible. Let  $S$  be a maximal separable extension of  $K$  in  $L$ . Let  $\alpha^p \in S$  and  $\alpha \notin S$ . Then  $S(\alpha)$  has order of inseparability 1, and hence contains  $L^*$ . Thus  $L/S(\alpha)$  is separable and purely inseparable and hence  $L = S(\alpha)$ . Thus  $[L: S] = p$  and  $S$  is distinguished.

Now assume the order of inseparability is  $r > 1$  and let  $S$  be a maximal separable intermediate field. Consider  $S(L^p)(B \setminus b) \equiv L_0$  where  $B$  is a relative  $p$ -basis for  $L$  over  $S$ . (Note  $r \geq 2$  so  $|B| \geq 2$ .) Then  $[L: L_0] = p$ . Thus  $L_0$  contains at least  $d$  elements which remain  $p$ -independent in  $L$ . Hence  $L_0$  contains a separating transcendence basis for a distinguished subfield of  $L/K$  and hence  $L_0/K$  is not a form of  $L/K$ . Since we are in exponent 1,  $L_0$  must have one less element in a relative  $p$ -basis over  $K$ , and hence every relative  $p$ -basis for  $L_0/K$  remains relatively  $p$ -independent in  $L/K$ . Thus every set of  $d$  elements of a relative  $p$ -basis for  $L_0/K$  form a separating transcendence basis for a distinguished intermediate field of  $L_0$  (since they do for  $L$ ), and hence by induction  $L_0$  has every maximal separable intermediate distinguished. Thus  $S$  is distinguished for  $L_0$  and since the inseparability of  $L/K$  is one more than the inseparability of  $L_0/K$ ,  $S$  is distinguished for  $L/K$ .

**LEMMA 9.** *Assume every maximal separable intermediate field of  $L/K$  is distinguished. If  $L_1$  is an intermediate field of  $L/K$  and  $[L: L_1] = p$ , then every maximal separable intermediate field of  $L_1/K$  is distinguished.*

**PROOF.** Since  $[L: L_1] = p$ ,  $L/L_1$  is separable algebraic or purely inseparable. If  $L/L_1$  is separable, Proposition 6 applies. Suppose  $L/L_1$  is purely inseparable. By Corollary 7,  $L$  is separable algebraic over its irreducible form, and  $L_1$  is not a form of  $L/K$ . Since  $L/K$  is of exponent 1,  $L_1$  has one less element than  $L$  in a relative  $p$ -basis over  $K$ . Since  $[L: L_1] = p$ , the elements of any relative  $p$ -basis for  $L_1/K$  remain relatively  $p$ -independent in  $L/K$ . Thus if  $d$  is the transcendence degree of  $L/K$ , any set of  $d$  relatively  $p$ -independent elements of  $L_1/K$  remain  $p$ -independent in  $L$ , hence are a separating transcendence basis for a distinguished subfield of  $L$  (Theorem 4) which must also be distinguished for  $L_1$ . Thus every maximal separable intermediate field of  $L_1/K$  is distinguished by Theorem 8.

**THEOREM 10.** *If  $L_1$  is an intermediate field of  $L/K$  and every maximal separable intermediate field of  $L/K$  is distinguished, then the same is true for  $L_1/K$ .*

PROOF.  $L/L_1$  is finitely generated so a finite number of applications of Proposition 6 and Lemma 9 yield the desired result.

**III. Examples.** We now present some examples to illustrate the results. It should be noted that there is a class of extensions which have every maximal separable intermediate field distinguished. For if  $L/K$  is any transcendental extension with order of inseparability 1, let  $L^*$  be the irreducible form of  $L/K$ . If  $S$  is a maximal separable extension of  $K$  in  $L^*$  and  $\alpha \in L^* \setminus S$  with  $\alpha^p \in S$ , then  $S(\alpha)$  has order of inseparability 1, and hence  $S(\alpha) = L^*$  and  $S$  is distinguished. Since all examples in the literature have their irreducible forms of transcendence degree 1, notably those in [9] and [10], we present the following example.

EXAMPLE 11. There exists a field extension of transcendence degree greater than one which has every maximal separable intermediate field distinguished.

Let  $P$  be a perfect field and let  $\{v, x, y, z, w\}$  be algebraically independent indeterminates over  $P$ . Let  $K = P(v, x, y)$  and  $L = K(z, w, zx^{p-1} + wy^{p-1} + v^{p-1})$ . Then  $L/K$  has transcendence degree 2 and exponent 1. Let  $\{b_1, b_2\}$  be relatively  $p$ -independent in  $L/K$ . We need to show  $\{b_1, b_2\}$  is a separating transcendence basis for a distinguished subfield, i.e.  $K(L^p) = K(L^{p^2})(b_1^p, b_2^p)$ . By a degree argument, this is true if and only if  $K(L^{p^2})(b_1^p) \not\subseteq K(L^{p^2})(b_2)$  and  $K(L^{p^2})(b_2^p) \not\subseteq K(L^{p^2})(b_1^p)$ . Thus suppose  $K(L^{p^2})(b_1^p) \subseteq K(L^{p^2})(b_2^p)$ . Then  $L/K(L^{p^2})(b_2^p)$  is modular with a subbasis  $b_1, b_2$  and some  $b_3$  with exponents 1, 1, 2 respectively. We use the method of Sweedler [11, Example 1.1, p. 405] and prove this is impossible by showing the field of constants of all rank  $p$  higher derivatives on  $L/K$  is  $K(L^p)$ . Let  $d = \{d_0, d_1, \dots, d_p\}$  be a rank  $p$  higher derivation on  $L/K$ . Then

$$[d_1(zx^{p-1} + wy^{p-1} + v^{p-1})]^p = d_p(z^p x + w^p y + v) = (d_1(z))^p x + (d_1(w))^p y.$$

Since  $\{1, x, y\}$  is linearly independent over  $L^p$ , we have  $d_p(z^p) = 0 = d_p(w^p)$ . Clearly  $d_i(z^p) = 0 = d_i(w^p)$ ,  $i = 1, \dots, p - 1$ . Hence  $K(L^p)$  is the field of constants as claimed. Thus any 2 relatively  $p$ -independent elements are a separating transcendence basis for a distinguished subfield, and hence by Theorem 8,  $L/K$  has every maximal separable intermediate field distinguished.

EXAMPLE 12. We show the converse of Theorem 5 is not true. Let  $P$  be a perfect field and let  $\{w, x, y_1, y_2, z\}$  be algebraically independent indeterminates over  $P$ . Let  $K = P(x, y_1, y_2)$  and  $L = K(z, w, x^{p-1}z + y_1^{p-1}, x^{p-1}w + y_2^{p-1})$ . Let  $L^*$  be the irreducible form of  $L/K$ . If  $L = L^*(L^p)$ , then  $L$  is separable algebraic over  $L^*$ , as desired.

If  $L \neq L^*(L^p)$ , then there is a subfield  $L_1$  over which  $L$  is purely inseparable and  $[L : L_1] = p$  and  $L_1$  has order of inseparability 2. We show this is impossible. Such a field  $L_1$  is of the form  $K(L^p)(b_1, b_2, b_3)$  where  $\{b_1, b_2, b_3\}$  is relatively  $p$ -independent in  $L/K$ . If  $K(L^p) = K(L^{p^2})(b_1^p, b_2^p, b_3^p)$ , then  $L_1/K$  would have order of inseparability 1, a contradiction. If  $K(L^p) \neq K(L^{p^2})(b_1^p, b_2^p, b_3^p)$ , then since  $[K(L^p) : K(L^{p^2})] = p^2$ ,  $K(L^{p^2})(b_1^p, b_2^p, b_3^p) \subseteq K(L^{p^2})(b_3^p)$  say. But now since  $[L : K(L^{p^2})] = p^6$  and is of exponent 2,  $L/K(L^{p^2})(b_3)$  is modular with a subbasis  $b_1, b_2$  and some  $b_4$  with exponents 1, 1, 2 respectively. But as in Example 11, the field of constants of

all rank  $p$  higher derivatives on  $L/K$  is  $K(L^p)$ , and we have a contradiction. Thus  $L = L^*(\theta)$  where  $L^*/K$  is irreducible and  $\theta$  is separable algebraic over  $L^*$ . However, it is clear that  $\{z, x^{p^{-1}}z + y_1^{p^{-1}}\}$  is not a separating transcendence basis for a distinguished subfield.

EXAMPLE 13. We show that the exponent 1 restriction is essential to Theorem 8. Let  $P$  be a perfect field and let  $\{x, y, z\}$  be algebraically independent indeterminates over  $P$ . Let  $K = P(x, y)$  and  $L = K(z, zx^{p^{-2}} + y^{p^{-2}})$ . It is straightforward that  $L/K(L^{p^3})$  is not modular. Thus if  $b$  is  $p$ -independent in  $L/K$ ,  $b^{p^2} \notin K(L^{p^3})$ , i.e.  $b$  is a separating transcendence basis for a distinguished subfield. Thus every set of 1 relatively  $p$ -independent element is a separating transcendence basis for a distinguished subfield. However, let  $S = K((z^p + x(z^p x^{p^{-1}} + y^{p^{-1}})))$ . Then  $[L: S] = p^3$  and  $L/S$  is not modular. Thus  $[S^{p^{-1}} \cap L: S] = p$ . Clearly  $S$  is distinguished in  $K(L^p)/K$  and since  $[S^{p^{-1}} \cap K(L^p): S] = p$ ,  $L^p \cap S = (K(L^p))^p \cap S \subseteq K(S^p)$ , i.e.  $S$  is a maximal separable extension of  $K$  in  $L$ . Since  $[L: S] = p^3$  and the order of inseparability of  $L/K$  is  $p^2$ ,  $S$  is not distinguished.

IV. Restrictions for exponent one. In this section we develop results which force an extension  $L/K$  which has every maximal separable subfield distinguished to have inseparability exponent 1.

LEMMA 14. *Suppose the transcendence degree of  $L$  over  $K$  is one. If  $K^{p^{-n}}(L^p) \cap L = K(L^p)$ , then every maximal separable intermediate field is either distinguished or contained in  $K(L^p)$ .*

PROOF. Let  $D$  be maximal separable and let  $x$  be a separating transcendence basis for  $D/K$ . If  $x \in K(L^p)$ , then since  $D$  is separable over  $K(x)$ ,  $D \subseteq K(L^p)$ . If  $x \notin K(L^p)$ , then  $x \notin K^{p^{-n}}(L^p)$ . Thus  $x^{p^n} \notin K(L^{p^{n+1}})$  and the separable algebraic closure of  $K(x)$ , i.e.  $D$ , is a distinguished subfield.

PROPOSITION 15. *Suppose the transcendence degree of  $L$  over  $K$  is 1 and every maximal separable intermediate field is distinguished. Then:*

- (1) *every maximal separable intermediate field of  $K(L^{p^i})/K$  is distinguished or contained in  $K(L^{p^{i+1}})$ ;*
- (2) *every maximal separable intermediate field of  $K(L^{p^{n-1}})$  is distinguished.*

PROOF. (1) follows from Theorem 1 and Lemma 14. For (2), if  $D$  is distinguished for  $K(L^{p^{n-1}})/K$ ,  $K(D^p) = K(L^{p^n})$ , and hence a maximal separable extension of  $K$  in  $K(L^{p^{n-1}})$  cannot be contained in  $K(L^{p^n})$ .

$L$  is a finite dimensional purely inseparable extension of  $K(L^{p^n})$ . We let  $L_m$  denote the unique minimal purely inseparable extension of  $L$  such that  $L_m/K(L^{p^n})$  is modular [11, Theorem 6, p. 408]. Note that  $L_m/K(L^{p^n})$  is also of exponent  $n$ .

THEOREM 16. *Suppose every maximal separable intermediate field of  $L/K$  is distinguished. Then  $K^{p^{-1}} \not\subseteq L_m$  if and only if  $\text{inex}(L/K) = 1$ .*

PROOF. Suppose  $K^{p^{-1}} \not\subseteq L_m$  and  $\text{inex}(L/K) = n \geq 2$ . Let  $X$  be a set of  $d - 1$  relatively  $p$ -independent elements of  $L/K$ . Then  $X$  is part of a separating transcendence basis for a distinguished subfield by Theorem 4. Also, since  $X$  is relatively

$p$ -independent, any maximal separable subfield for  $L/K(X)$  is one for  $L/K$  and hence every maximal separable subfield of  $L/K(X)$  is distinguished. Now  $X$  is a subbasis of  $K(L^p)(X)/K(L^p)$  and since every element of  $X$  has maximal exponent,  $X$  is part of a subbasis of  $L_m/K(L^p)$ . Thus  $L_m/K(L^p)(X)$  is modular.

Let  $k \in K \setminus L_m^p$ ,  $z \in L \setminus K(L^p)(X)$ , and  $w \in L \setminus K(L^p)(X, z)$  such that  $w$  has exponent  $n$  over  $K(L^p)(X, z)$ . Such a  $w$  exists because  $K(L^p)(X, z)$  is distinguished and  $\text{inex}(L/K) = n$ . Set  $D = K(L^p)(X)(z^p + kw^p)$ . Since  $D \subseteq K(L^p)(X)$ ,  $D$  is not distinguished in  $L/K(X)$ . We show  $D$  is maximal separable in  $L/K(X)$  and hence have a contradiction to  $n \geq 2$ .

Clearly  $D/K(X)$  is separable and  $L/D$  is purely inseparable. Thus it suffices to show  $L^p \cap D \subseteq K(X)(D^p)$  [3, Lemma 1.2, p. 46]. We first calculate  $K(X)(D^p)$ .  $D/K(L^p)(X)$  has exponent  $n - 1$  since  $X \cup \{z, w\}$  is part of a subbasis of  $L_m/K(L^p)$  and each element is of exponent  $n$ . Thus  $z^{p^{n-1}} + k^{p^{n-2}}w^{p^{n-1}} \notin K(L^p)(X)$ , so  $z^{p^{n-1}} + k^{p^{n-2}}w^{p^{n-1}}$  is in a relative  $p$ -basis of  $K(L^{p^{n-1}})(X)/K(X)$ . Since every maximal separable subfield of  $L/K(X)$  is distinguished, the same is true for  $K(L^{p^{n-1}})(X)/K(X)$  by Lemma 14. Thus  $z^{p^{n-1}} + k^{p^{n-2}}w^{p^{n-1}}$  is a separating transcendence basis for a distinguished subfield of  $K(L^{p^{n-1}})(X)/K(X)$ . Therefore  $z^{p^n} + k^{p^{n-1}}w^{p^n} \notin K(L^{p^{n-1}})(X)$  and hence  $K(X)(D^p) = K(L^p)(X)(z^{p^2} + k^pw^{p^2})$ .

Now suppose  $L^p \cap D \not\subseteq K(X)(D^p)$ , i.e. there exists  $c \in L$  such that  $c^p \in D \setminus K(X)(D^p)$ . Then  $D = K(L^p)(X)(c^p)$  and  $c$  must have exponent  $n$  over  $K(L^p)(X)$ . Thus  $X \cup \{c\}$  is part of a subbasis of  $L_m/K(L^p)$  and hence  $L_m/D$  is modular. But, using the same methods as in Example 11, if  $L_m/D$  is modular,  $z^p$  and  $w^p$  are in  $D$  and  $[D: K(L^p)(X)] > p^{n-1}$ , a contradiction.

Conversely, if  $\text{inex}(L/K) = 1$ ,  $L_m = L$  and Proposition 3 shows  $K^{p^{-1}} \not\subseteq L$ .

**COROLLARY 17.** *Suppose every maximal separable intermediate field of  $L/K$  is distinguished. If any of the conditions below hold, then  $\text{inex}(L/K) \leq 1$ ;*

- (a)  $L/K(L^p)$  is modular;
- (b)  $[L: K(L^p)] = p^{d+1}$ ;
- (c)  $[K: K^p] = p^e$  where  $e = 0, 1, 2$ , or  $\infty$ .

**PROOF.** If  $L/K$  is separable, the result is trivial. Thus assume  $L/K$  is inseparable. By Proposition 3,  $K^{p^{-1}} \cap L = K$ . If  $L/K(L^p)$  is modular,  $L = L_m$  and  $K^{p^{-1}} \not\subseteq L_m$ . By Theorem 16,  $\text{inex}(L/K) = 1$ . If  $[L: K(L^p)] = p^{d+1}$ , then it follows that  $L/K(L^p)$  is modular [1, Theorem 22, p. 1308]. If  $[K: K^p] < 2$ ,  $L/K(L^p)$  is modular [1, Corollary 2.3, p. 1308]. If  $[K: K^p] = \infty$ , since  $[L_m: L] < \infty$ ,  $K^{p^{-1}} \not\subseteq L_m$ .

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