

THE NONEXISTENCE OF EXPANSIVE HOMEOMORPHISMS  
OF A CLASS OF CONTINUA WHICH CONTAINS ALL  
DECOMPOSABLE CIRCLE-LIKE CONTINUA

HISAO KATO

ABSTRACT. A homeomorphism  $f : X \rightarrow X$  of a compactum  $X$  with metric  $d$  is expansive if there is  $c > 0$  such that if  $x, y \in X$  and  $x \neq y$ , then there is an integer  $n \in \mathbf{Z}$  such that  $d(f^n(x), f^n(y)) > c$ . It is well-known that  $p$ -adic solenoids  $S_p$  ( $p \geq 2$ ) admit expansive homeomorphisms, each  $S_p$  is an indecomposable continuum, and  $S_p$  cannot be embedded into the plane. In case of plane continua, the following interesting problem remains open: For each  $1 \leq n \leq 3$ , does there exist a plane continuum  $X$  so that  $X$  admits an expansive homeomorphism and  $X$  separates the plane into  $n$  components? For the case  $n = 2$ , the typical plane continua are circle-like continua, and every decomposable circle-like continuum can be embedded into the plane. Naturally, one may ask the following question: Does there exist a decomposable circle-like continuum admitting expansive homeomorphisms? In this paper, we prove that a class of continua, which contains all chainable continua, some continuous curves of pseudo-arcs constructed by W. Lewis and all decomposable circle-like continua, admits no expansive homeomorphisms. In particular, any decomposable circle-like continuum admits no expansive homeomorphism. Also, we show that if  $f : X \rightarrow X$  is an expansive homeomorphism of a circle-like continuum  $X$ , then  $f$  is itself weakly chaotic in the sense of Devaney.

1. INTRODUCTION

All spaces considered in this paper are assumed to be separable metric spaces. *Maps* are continuous functions. By a *compactum* we mean a nonempty compact metric space. A *continuum* is a connected compactum. A homeomorphism  $f : X \rightarrow X$  of a compactum  $X$  with metric  $d$  is called *expansive* ([20], [1] and [2]) if there is  $c > 0$  such that for any  $x, y \in X$  with  $x \neq y$ , there is an integer  $n \in \mathbf{Z}$  such that

$$d(f^n(x), f^n(y)) > c.$$

A homeomorphism  $f : X \rightarrow X$  of a compactum  $X$  is *continuum-wise expansive* [8] if there is  $c > 0$  such that if  $A$  is a nondegenerate subcontinuum of  $X$ , then there is an integer  $n \in \mathbf{Z}$  such that

$$\text{diam } f^n(A) > c,$$

where  $\text{diam } B = \sup\{d(x, y) \mid x, y \in B\}$  for a set  $B$ . Such a positive number  $c$  is called an *expansive constant* for  $f$ . Note that each expansive homeomorphism

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is continuum-wise expansive, but the converse assertion is not true. There are many continuum-wise expansive homeomorphisms which are not expansive (e.g., see [8], [9] and [11]). In fact, there are many decomposable circle-like continua admitting continuum-wise expansive homeomorphisms. By the definitions, we see that expansiveness and continuum-wise expansiveness do not depend on the choice of the metric  $d$  of  $X$ . These notions have been extensively studied in the area of topological dynamics, ergodic theory and continuum theory (e.g., see [1], [2], [7]–[12], [20], and [21]).

Let  $f : X \rightarrow X$  be a homeomorphism of a compactum  $X$ . A (nonempty) closed subset  $M$  of  $X$  is a *minimal set* of  $f$  if  $M$  is  $f$ -invariant, i.e.,  $f(M) = M$ , and for any  $x \in M$ , the orbit  $O(f) = \{f^n(x) | n \in \mathbf{Z}\}$  is dense in  $M$ . Note that every homeomorphism of a compactum has a minimal set. For a point  $x \in X$ , the  $\omega$ -limit set  $\omega f(x)$  of  $x$  is the set

$$\omega f(x)(= \omega(x)) = \{y \in X | \text{there is a sequence } n_1 < n_2 < \dots \\ \text{of natural numbers such that } \lim_{i \rightarrow \infty} f^{n_i}(x) = y\}.$$

Similarly, the  $\alpha$ -limit set  $\alpha f(x)(= \alpha(x))$  of  $x$  is the set  $\omega f^{-1}(x)$ .

Let  $X$  be a compactum. Let  $2^X$  be the set of all nonempty closed sets of  $X$  and  $C(X)$  the set of all nonempty subcontinua of  $X$ . Suppose that  $U_1, \dots, U_n$  are nonempty open sets of  $X$ . Put

$$\langle U_1, \dots, U_n \rangle = \{A \in 2^X | A \cap U_i \neq \emptyset, A \subset \bigcup_{i=1}^n U_i\}.$$

Then

$$\beta = \{\langle U_1, U_2, \dots, U_n \rangle | n \geq 1 \text{ and} \\ U_i (i \leq n) \text{ are nonempty open sets of } X\}$$

is a base of  $2^X$ , and it is called the *Vietoris topology*. Then  $2^X$  and  $C(X)$  are compacta. The spaces  $2^X$  and  $C(X)$  are called the *hyperspaces* of  $X$ . For a map  $f : X \rightarrow X$ , we define a map  $f_* : 2^X \rightarrow 2^X$  by  $f_*(A) = f(A)(= \{f(a) | a \in A\})$  for  $A \in 2^X$ . Also, put  $C(f) = f_*|C(X) : C(X) \rightarrow C(X)$ . Then  $X$  is identified with the closed invariant subset of singletons, i.e., degenerate subcontinua.

For the map  $C(f) : C(X) \rightarrow C(X)$ , we shall deal with  $\omega(E) = \omega C(f)(E)$  and  $\alpha(E) = \omega C(f)^{-1}(E)$  for  $E \in C(X)$ .

For a homeomorphism  $f : X \rightarrow X$ , if  $Z \subset X$  is a closed invariant subset for  $X$ , then  $Z$  is *isolated* if for some neighborhood  $U$  of  $Z$  in  $X$  any orbit lying entirely in  $U$  is in fact in  $Z$ , i.e.,  $Z = \bigcap_{-\infty}^{\infty} f^n(U)$ . Then  $f$  is expansive (resp. continuum-wise expansive) if and only if  $X$  is isolated in  $2^X$  for  $f_*$  (resp. in  $C(X)$  for  $C(f)$ ) (see [1]).

Let  $\mathbf{A}$  and  $\mathbf{B}$  be closed  $C(f)$ -invariant sets in  $C(X)$ . Then we define the orderings  $* <$ ,  $<_*$ , and  $* <_*$  as follows: Define  $\mathbf{A} * < \mathbf{B}$  (resp.  $\mathbf{A} <_* \mathbf{B}$ ) iff for any  $A \in \mathbf{A}$  there is  $B \in \mathbf{B}$  (resp. for any  $B \in \mathbf{B}$  there is  $A \in \mathbf{A}$ ) such that  $A \subset B$ . Also, define  $\mathbf{A} * <_* \mathbf{B}$  iff  $\mathbf{A} * < \mathbf{B}$  and  $\mathbf{A} <_* \mathbf{B}$ . Example: for  $E_0, E_1 \in C(X)$ ,  $E_0 \subset E_1$  implies  $\omega(E_0) * <_* \omega(E_1)$  and  $\alpha(E_0) * <_* \alpha(E_1)$ .

For a homeomorphism  $f : X \rightarrow X$ , we define sets of *stable* and *unstable* nondegenerate subcontinua of  $X$  as follows (see [9]):

$$\mathbf{V}^s = \{A \mid A \text{ is a nondegenerate subcontinuum of } X \text{ such that} \\ \lim_{n \rightarrow \infty} \text{diam } f^n(A) = 0\},$$

$$\mathbf{V}^u = \{A \mid A \text{ is a nondegenerate subcontinuum of } X \text{ such that} \\ \lim_{n \rightarrow \infty} \text{diam } f^{-n}(A) = 0\}.$$

For each  $0 < \delta < \epsilon$ , put

$$\mathbf{V}^s(\delta; \epsilon) = \{A \in C(X) \mid \text{diam } A \geq \delta, \text{ and } \text{diam } f^n(A) \leq \epsilon \text{ for each } n \geq 0\},$$

$$\mathbf{V}^u(\delta; \epsilon) = \{A \in C(X) \mid \text{diam } A \geq \delta, \text{ and } \text{diam } f^{-n}(A) \leq \epsilon \text{ for each } n \geq 0\}.$$

Then  $\mathbf{V}^\sigma(\delta; \epsilon)$  ( $\sigma = u, s$ ) is closed in  $C(X)$ . Note that if  $f : X \rightarrow X$  is a continuum-wise expansive homeomorphism with an expansive constant  $c > 0$ , then for each  $0 < \delta < \epsilon < c$  we have  $\mathbf{V}^\sigma(\delta; \epsilon) \subset \mathbf{V}^\sigma$ , and  $\mathbf{V}^\sigma$  is an  $F_\sigma$ -set in  $C(X)$ .

A *chain*  $C = [C_1, C_2, \dots, C_m]$  of  $X$  is a finite collection of open sets of  $X$  satisfying the following property:

$$\text{Cl}(C_i) \cap \text{Cl}(C_j) \neq \emptyset \text{ if and only if } |i - j| \leq 1.$$

Each  $C_i$  is called a *link* of the chain  $C$ . Moreover, if for each  $i = 1, \dots, m$ ,  $\text{diam}(C_i) < \epsilon$ , i.e.,  $\text{mesh}(C) < \epsilon$ , then we say that the chain  $C$  is an  $\epsilon$ -chain. For a chain  $C = [C_1, C_2, \dots, C_m]$  and two points  $p, q \in X$ , if  $p \in C_1$  and  $q \in C_m$ , we say that  $C = [C_1, C_2, \dots, C_m]$  is a *chain from  $p$  to  $q$* . A continuum  $X$  is *chainable* if for any  $\epsilon > 0$  there is an  $\epsilon$ -chain covering of  $X$ .

If  $n$  is a natural number, let  $I(n) = \{1, 2, \dots, n\}$ . A surjective function  $f : I(m) \rightarrow I(n)$  is called a *pattern* provided that  $|f(i + 1) - f(i)| \leq 1$  for each  $i = 1, \dots, m - 1$ . Let  $C = [C_1, C_2, \dots, C_n]$  and  $D = [D_1, D_2, \dots, D_m]$  be chain coverings of  $X$  and let  $f : I(m) \rightarrow I(n)$  be a pattern. We say that  $D$  follows the pattern  $f$  in  $C$  provided that  $D_i \subset C_{f(i)}$  for each  $i \in I(m)$ .

Let  $\mathcal{P}$  be a family of compact polyhedra. A continuum  $X$  is called a  *$\mathcal{P}$ -like continuum* if for any  $\epsilon > 0$  there is an onto map  $g : X \rightarrow P$  such that  $P \in \mathcal{P}$  and  $\text{diam } g^{-1}(y) < \epsilon$  for each  $y \in P$ . Note that  $X$  is chainable if and only if  $X$  is arc-like. A *circular chain* differs from a chain in that the first and last links intersect. Then a continuum  $X$  is circle-like if and only if for any  $\epsilon > 0$ , there is an  $\epsilon$ -circular chain covering of  $X$ .

Concerning expansive homeomorphisms, we have the following general problem:

**Problem 1.1.** What kinds of (plane) continua admit expansive homeomorphisms?

Note that  $p$ -adic solenoids  $S_p$  ( $p \geq 2$ ) are indecomposable circle-like continua admitting expansive homeomorphisms (see [21]), and they cannot be embedded into the plane  $R^2$ . On the other hand, each decomposable circle-like continuum  $X$  can be embedded into  $R^2$ , and  $R^2 - X$  has at most 2 components. It is known that for each  $n \geq 4$  there is a plane continuum  $X$  which is called a *Lake of Wada*, such that  $X$  admits an expansive homeomorphism and  $R^2 - X$  has  $n$  components. It is not known whether there exists a plane continuum  $X$  such that  $X$  admits an expansive homeomorphism and  $X$  separates the plane  $R^2$  into  $n$  components ( $n \leq 3$ ), or not. For the case  $n = 2$ , the typical continua are circle-like continua.

In [7, 8], we proved that if  $X$  is a tree-like continuum admitting a continuum-wise expansive homeomorphism, it must contain an indecomposable subcontinuum.

Also, in [10], we proved that chainable continua admit no expansive homeomorphisms. Naturally, we are interested in the following problem:

**Problem 1.2.** Does there exist a decomposable circle-like continuum admitting an expansive homeomorphism?

In this paper, we prove that some kinds of continua, including all chainable continua, some continuous curves of pseudo-arcs constructed by W. Lewis and all decomposable circle-like continua, admit no expansive homeomorphisms. In particular, any decomposable circle-like continuum admits no expansive homeomorphism. For example, we know that a solenoid of pseudo-arcs and the circle of pseudo-arcs admit no expansive homeomorphisms. Also, we show that if  $f : X \rightarrow X$  is an expansive homeomorphism of a circle-like continuum  $X$ , then  $f$  is itself weakly chaotic in the sense of Devaney.

## 2. PRELIMINARIES

A continuum  $X$  is *decomposable* if there are two proper subcontinua  $A$  and  $B$  of  $X$  such that  $A \cup B = X$ . A continuum  $X$  is *indecomposable* if it is not decomposable. A continuum  $X$  is *hereditarily indecomposable* if each subcontinuum of  $X$  is indecomposable. The *pseudo-arc*  $P$  is characterized [4] as a (nondegenerate) hereditarily indecomposable chainable continuum. The pseudo-arc has many remarkable properties in topology and chaotic dynamics (e.g., see [3]–[6] and [13]–[16]). For example, the pseudo-arc  $P$  is homogeneous [3], each onto map of the pseudo-arc  $P$  is a near homeomorphism [15], and the pseudo-arc  $P$  admits chaotic homeomorphisms in the sense of Devaney (see [13]). Also, there is an onto map from the pseudo-arc  $P$  to each chainable continuum (see [6] and [14]).

From the proof of [8, Proposition 2.3] we have

**Lemma 2.1.** *Let  $f : X \rightarrow X$  be a continuum-wise expansive homeomorphism of a compactum  $X$  with an expansive constant  $c > 0$ , and let  $0 < \epsilon < c/2$ . Then there is a positive number  $\delta < \epsilon$  such that if  $A$  is a subcontinuum of  $X$  with  $\text{diam } A \leq \delta$  and  $\text{diam } f^m(A) \geq \epsilon$  for some integer  $m \geq 0$  (resp.  $m < 0$ ), then for each  $n \geq m$  and for each  $x \in f^n(A)$ , there is a subcontinuum  $B$  of  $A$  such that  $x \in f^n(B)$ ,  $\text{diam } f^j(B) \leq \epsilon$  for  $0 \leq j \leq n$  and  $\text{diam } f^n(B) = \delta$  (resp. for each  $n \geq -m$  and for each  $x \in f^{-n}(A)$ , there is a subcontinuum  $B$  of  $A$  such that  $x \in f^{-n}(B)$ ,  $\text{diam } f^{-j}(B) \leq \epsilon$  for  $0 \leq j \leq n$ , and  $\text{diam } f^{-n}(B) = \delta$ ).*

**Corollary 2.2.** *Let  $f : X \rightarrow X$ ,  $c, \epsilon, \delta$  be as in Lemma 2.1.*

(a) *For every nondegenerate subcontinuum  $A$  of  $X$  with  $\text{diam } A \leq \delta$ , exactly one of the two following assertions holds:*

1. *For all  $n \geq 0$ ,  $\text{diam } f^n(A) \leq \epsilon$ , in which case  $A \in \mathbf{V}^s$  and  $\omega(A) \subset X \subset C(X)$ .*
2. *For  $n \geq 0$  sufficiently large,  $\text{diam } f^n(A) \geq \delta$ .*

(b) *For every subcontinuum  $A$ , either  $\omega(A) \subset X \subset C(X)$  or  $\text{diam } E \geq \delta$  for all  $E \in \omega(A)$ .*

*For  $n \leq 0$ ,  $\mathbf{V}^u$  and  $\alpha(A)$ , the similar properties are satisfied.*

**Lemma 2.3** ([8, Corollary 2.4]). *Let  $f : X \rightarrow X$  be a continuum-wise expansive homeomorphism of a compactum  $X$  with  $\dim X > 0$ . Then the following are true.*

1.  *$\mathbf{V}^u \neq \phi$  or  $\mathbf{V}^s \neq \phi$ .*

2. If  $\delta > 0$  is as in the above lemma, then for each  $\gamma > 0$  there is a natural number  $N(\gamma)$  such that if  $A$  is a subcontinuum of  $X$  with  $\text{diam } A \geq \gamma$ , then either  $\text{diam } f^n(A) \geq \delta$  for each  $n \geq N(\gamma)$  or  $\text{diam } f^{-n}(A) \geq \delta$  for each  $n \geq N(\gamma)$ .

From the above lemma, we see that  $\mathbf{V}^s \cap \mathbf{V}^u = \emptyset$  and moreover if  $A \in \mathbf{V}^u, B \in \mathbf{V}^s$ , then  $\text{dim}(A \cap B) \leq 0$ .

**Lemma 2.4.** *Under the same hypothesis as in Lemma 2.3, let  $E_0, E_1$  be nondegenerate subcontinua of  $X$  with  $E_1 \in \omega(E_0)$ . Then one of the following holds:*

1. Every nondegenerate subcontinuum  $A_0$  of  $E_0$  with  $\text{diam } A_0 < \delta$  lies in  $\mathbf{V}^s$ .
2. There is a subcontinuum  $A_1$  of  $E_1$  with  $\text{diam } A_1 = \delta$  lying in  $\mathbf{V}^u$ . Moreover, if  $E_0 \in \mathbf{V}^u$ , then for any  $x \in E_1$  there is a subcontinuum  $A_1$  of  $E_1$  such that  $\text{diam } A_1 = \delta$  and  $x \in A_1 \in \mathbf{V}^u$ .

*Proof.* If the first condition is not true, then there is a subcontinuum  $B$  of  $E_0$  with  $0 < \gamma = \text{diam } B < \delta$  and a natural number  $n$  such that  $\text{diam } f^n(B) > \epsilon$ . Choose a sequence  $0 = n_0 < n_1 < \dots$ , of natural numbers such that  $n_{i+1} - n_i \geq N(\gamma)$  (see Lemma 2.3) and  $\lim_{i \rightarrow \infty} f^{n_i}(E_0) = E_1$ . By using Lemmas 2.1 inductively, we can construct a sequence  $B_0, B_1, \dots$  of subcontinua with  $B_0 = B$ ,  $\text{diam } B_0 = \gamma < \delta$ ,  $B_{i+1} \subset f^{n_{i+1}-n_i}(B_i)$ ,  $\text{diam } B_i = \delta$  ( $i \geq 1$ ), and  $\text{diam } f^{-j}(B_i) \leq \epsilon$  for each  $0 \leq j \leq n_i$ . We may assume that  $\lim_{i \rightarrow \infty} B_i = A_1$ . Then  $A_1 \in \mathbf{V}^u$  and  $A_1 \subset E_1$ .

Moreover, suppose that  $E_0 \in \mathbf{V}^u$ . For any  $x \in E_1$ , we choose a sequence  $x_0, x_1, \dots$  of points such that  $x_i \in f^{n_i}(E_0)$  and  $\lim_{i \rightarrow \infty} x_i = x$ . Choose a subcontinuum  $B$  of  $E_0$  such that  $x_0 \in B$  and  $\text{diam } B = \gamma < \delta$ . By Lemma 2.1, we can choose a sequence  $B_0, B_1, \dots$  satisfying the above conditions with  $x_i \in B_i$  for each  $i$ . Then  $x \in A_1 \in \mathbf{V}^u$ . □

**Corollary 2.5.** *Under the same hypothesis as in Lemma 2.3, let  $\mathbf{A}$  be a minimal set of  $C(f)$ . Assume that there is a nondegenerate subcontinuum  $A \in \mathbf{A}$ .*

- (a) For all  $A \in \mathbf{A}$ ,  $\text{diam } A \geq \delta$ .
- (b) Exactly one of the three following conditions holds for  $\mathbf{A}$ :
  1. For all  $A \in \mathbf{A}$  and all subcontinua  $B$  of  $A$  with  $\text{diam } B < \delta$ ,  $B \in \mathbf{V}^s$ .
  2. For all  $A \in \mathbf{A}$  and all subcontinua  $B$  of  $A$  with  $\text{diam } B < \delta$ ,  $B \in \mathbf{V}^u$ .
  3. For all  $A \in \mathbf{A}$  there are subcontinua  $B_0, B_1$  of  $A$  with  $\text{diam } B_0 = \text{diam } B_1 = \delta$  and  $B_0 \in \mathbf{V}^s, B_1 \in \mathbf{V}^u$ .
- (c) If  $A \in \mathbf{A}$  and  $B$  is a nondegenerate subcontinuum of  $A$  with  $B \notin \mathbf{V}^s$ , then  $\text{diam } E \geq \delta$  for each  $E \in \omega(B)$ ,  $\omega(B) \ast < \ast \mathbf{A}$ , and if  $\mathbf{A}_0$  is a minimal set in  $\omega(B)$ , then  $\mathbf{A}_0 \ast < \ast \mathbf{A}$  as well.

The following propositions are used in the sequel.

**Proposition 2.6.** *Let  $f : X \rightarrow X$  be a continuum-wise expansive homeomorphism of a compactum  $X$  with  $\text{dim } X > 0$ . Suppose that  $\mathbf{B}$  is a  $C(f)$ -invariant set such that some element of  $\mathbf{B}$  is nondegenerate. Then there exists a minimal set  $\mathbf{A} \ast < \mathbf{B}$  of  $C(f)$  such that each element of  $\mathbf{A}$  is nondegenerate, and such that for each  $A \in \mathbf{A}$  and each nondegenerate subcontinuum  $B$  of  $A$  either  $B \in \mathbf{V}^s$  or  $\mathbf{A} \subset \omega(B)$ , and either  $B \in \mathbf{V}^u$  or  $\mathbf{A} \subset \alpha(B)$ .*

*Proof.* For pairs  $(A, \mathbf{A})$  such that  $\mathbf{A}$  is minimal,  $\mathbf{A} \ast < \mathbf{B}$ ,  $A \in \mathbf{A}$  and  $A$  is a nondegenerate subcontinuum, we consider the order by inclusion of the  $A$ 's. By Corollary 2.2,(b), there exists such a pair. If  $\{(A_\alpha, \mathbf{A}_\alpha)\}$  is a totally ordered family,

then  $B = \bigcap_{\alpha} A_{\alpha}$  is a nondegenerate subcontinuum and so either  $\omega(B)$  or  $\alpha(B)$  contains a minimal subset  $\mathbf{A}$  such that its elements are nondegenerate subcontinua and  $\mathbf{A} *_<_* \mathbf{A}_{\alpha}$  for all  $\alpha$ . For each  $\alpha$  choose  $B_{\alpha} \subset A_{\alpha}$  with  $B_{\alpha} \in \mathbf{A}$ . Then any limit point  $A$  of the net  $\{B_{\alpha}\}$  is an element of  $\mathbf{A}$  contained in all the  $A_{\alpha}$ 's. So Zorn's lemma applies to the pairs. If  $(\tilde{A}, \mathbf{A})$  is minimal with respect to this ordering, then  $\mathbf{A}$  satisfies the conclusion. In fact, if  $A \in \mathbf{A}$  and  $B$  is a nondegenerate subcontinuum of  $A$  not in  $\mathbf{V}^s$ , then  $\omega(B) *_<_* \mathbf{A}$  and  $\mathbf{A}_0 *_<_* \mathbf{A}$  for any minimal subset  $\mathbf{A}_0$  of  $\omega(B)$ . Then there is  $A_0 \in \mathbf{A}_0$  such that  $A_0 \subset \tilde{A}$ , and so by minimality we see that  $A_0 = \tilde{A}$  and so  $\mathbf{A} = \omega(A_0) = \mathbf{A}_0 \subset \omega(B)$ .

This completes the proof.

**Proposition 2.7.** *Under the same assumption as in the above proposition, the minimal set  $\mathbf{A}$  satisfies one of the following conditions:*

1. *If some  $A_0 \in \mathbf{A}$  contains an element of  $\mathbf{V}^u$ , then for any  $x \in A \in \mathbf{A}$ , there is a nondegenerate subcontinuum  $A_x$  of  $A$  such that  $x \in A_x \in \mathbf{V}^u$ , and if  $A'$  is a nondegenerate subcontinuum of  $A \in \mathbf{A}$  with  $A' \notin \mathbf{V}^s$ , then for each  $H \in \mathbf{A}$  there is a sequence  $n_1 < n_2 < \dots$  of natural numbers such that*

$$\lim_{i \rightarrow \infty} f^{n_i}(A) = \lim_{i \rightarrow \infty} f^{n_i}(A') = H.$$

2. *If some  $A_0 \in \mathbf{A}$  contains an element of  $\mathbf{V}^s$ , then for any  $x \in A \in \mathbf{A}$ , for any  $x \in A \in \mathbf{A}$ , there is a nondegenerate subcontinuum  $A_x$  of  $A$  such that  $x \in A_x \in \mathbf{V}^s$ , and if  $A'$  is a any nondegenerate subcontinuum of  $A \in \mathbf{A}$  with  $A' \notin \mathbf{V}^u$ , then for each  $H \in \mathbf{A}$  there is a sequence  $n_1 < n_2 < \dots$  of natural numbers such that*

$$\lim_{i \rightarrow \infty} f^{-n_i}(A) = \lim_{i \rightarrow \infty} f^{-n_i}(A') = H.$$

*Proof.* We shall show the first case. Let  $B \in \mathbf{V}^u$  and  $B \subset A_0 \in \mathbf{A}$ . By the above proposition, we see that  $\mathbf{A} \subset \omega(B)$ . By Lemma 2.4, we see that for any  $x \in A \in \mathbf{A}$ , there is  $A_x \in \mathbf{V}^u$  such that  $x \in A_x \subset A$ . Since  $\mathbf{A}$  is closed in  $C(X)$ ,  $\mathbf{A}$  contains an maximal element in order by inclusion. In fact, for a Whitney map  $\mu : C(X) \rightarrow [0, 1]$  (see [18]), we can choose an element  $T$  of  $\mathbf{A}$  such that  $\mu(T) = \max\{\mu(E) \mid E \in \mathbf{A}\}$ . Then  $T$  is a maximal element of  $\mathbf{A}$ . Suppose that  $A'$  is a nondegenerate subcontinuum of  $A \in \mathbf{A}$  with  $A' \notin \mathbf{V}^s$ . Let  $H \in \mathbf{A}$ . Since  $\omega(A') \supset \mathbf{A}$  (see Proposition 2.6),  $T \in \omega(A')$ . Hence there is a sequence  $i_1 < i_2 < \dots$  of natural numbers such that  $\lim_{k \rightarrow \infty} f^{i_k}(A') = T$ . We may assume that  $\{f^{i_k}(A)\}_{k=1}^{\infty}$  is convergent. Since  $T$  is maximal in  $\mathbf{A}$ , we see that  $\lim_{k \rightarrow \infty} f^{i_k}(A) = T$ . Since  $\mathbf{A}$  is minimal,  $H \in \omega(T)$ . Then we can choose a sequence  $n_1 < n_2 < \dots$  of natural numbers such that

$$\lim_{i \rightarrow \infty} f^{n_i}(A') = \lim_{i \rightarrow \infty} f^{n_i}(A) = H.$$

This completes the proof.

Let  $f : X \rightarrow X$  be a continuum-wise expansive homeomorphism of a compactum  $X$  with  $\dim X > 0$ . Note that every minimal set of  $f$  is 0-dimensional (see [8, Theorem 5.2]). Consider the following sets (see [12]):

1.  $\mathcal{I}(f) = \{A \in 2^X \mid A \text{ is } f\text{-invariant}\}$ .
2.  $\mathcal{I}^+(f) = \{A \in \mathcal{I}(f) \mid \dim A > 0\}$ .
3.  $\mathcal{D}(f)$  is the set of all minimal elements of  $\mathcal{I}^+(f)$  in the order by inclusion.

Note that  $\mathcal{D}(f) \neq \emptyset$  (see [12, Proposition 2.4]) and if  $Y \in \mathcal{D}(f)$ , then  $f_Y = f|_Y : Y \rightarrow Y$  is *weakly chaotic in the sense of Devaney*, i.e.,  $f_Y$  has *sensitive dependence on initial conditions*,  $f_Y$  is *topologically transitive* and the union of all minimal sets of  $f_Y$  is dense in  $Y$  ([12, Theorem 2.7]), i.e., the *min-center* of  $f_Y$  is  $Y$  (see [1, p. 70]).

**Proposition 2.8.** *Let  $f : X \rightarrow X$  be a continuum-wise expansive homeomorphism of a compactum  $X$  with  $\dim X > 0$ . If  $Y \in \mathcal{D}(f)$ , then there is a minimal set  $\mathbf{A}$  of  $C(f)$  satisfying one of the conditions (1) and (2) as in Proposition 2.7 and  $\bigcup\{A \mid A \in \mathbf{A}\} = Y$ .*

*Proof.* Consider the map  $f|_Y : Y \rightarrow Y$ . Then there is a minimal set  $\mathbf{A}$  of  $C(f|_Y)$  as in Proposition 2.7. Put  $Y' = \bigcup\{A \mid A \in \mathbf{A}\}$ . Then  $Y'$  is  $f$ -invariant and  $\dim Y' > 0$ . Hence  $Y = Y'$ .

The following lemma follows from [3, Theorem 6] (see also [15, Lemmas 2 and 1.1]).

**Lemma 2.9.** *Let  $P$  be the pseudo-arc. Let  $C = [C_1, C_2, \dots, C_n]$  be a chain covering of  $P$  and  $f : I(m) \rightarrow I(n)$  a pattern with  $f(1) = 1$ . Let  $p \in C_1$ . Then there is a chain covering  $D = [D_1, D_2, \dots, D_m]$  such that  $D$  refines the chain  $C$ ,  $p \in D_1$  and  $D$  follows the pattern  $f$  in  $C$ .*

The following lemma is a simple modification of the uniformization theorem of Mioduszewski (see [17] and [19]). For completeness, we give the proof.

**Lemma 2.10.** *Let  $I = [0, 1]$  be the unit interval. Suppose that  $f, g : I \rightarrow I$  are piecewise linear onto maps. If  $f(0) = g(0) = 0$ , then there are onto maps  $a, b : I \rightarrow I$  such that  $f \cdot a = g \cdot b$  and  $a(0) = b(0) = 0$ .*

*Proof.* Let  $\psi : I^2 \rightarrow R$  be the map defined by  $\psi(x, y) = f(x) - g(y)$ . Note that  $I^2$  is *unicoherent* (i.e., if  $A$  and  $B$  are continua with  $A \cup B = I^2$ , then  $A \cap B$  is connected). In [17], Mioduszewski proved that there is a component  $K$  of  $\psi^{-1}(0)$  such that  $K$  meets all four sides of  $I^2$  (see also [19]). Note that each component of  $\psi^{-1}(0)$  is a polyhedron. Let  $L$  be a component of  $\psi^{-1}(0)$  containing the point  $p = (0, 0) \in I^2$ . Suppose, on the contrary, that  $L \cap (I \times \{1\} \cup \{1\} \times I) = \emptyset$ . Then there is an arc  $\alpha : I \rightarrow I^2$  such that  $\alpha(0) = (x_1, 0) \in I \times \{0\}$ ,  $\alpha(1) = (0, y_1) \in \{0\} \times I$ , and  $\psi^{-1}(0) \cap \alpha(I) = \emptyset$ . Note that  $g(0) = 0 < f(x_1)$  and  $g(y_1) > f(0)$ . Hence we can see that there is a point  $q = (q_1, q_2) \in \alpha(I)$  such that  $f(q_1) = g(q_2)$ , which implies that  $q \in \psi^{-1}(0)$ . This is a contradiction. Hence  $K$  contains  $L$ . By using this fact, we can choose desired maps  $a, b : I \rightarrow I$ .

### 3. THE NONEXISTENCE OF EXPANSIVE HOMEOMORPHISMS OF CERTAIN CONTINUA

The following is the main theorem in this paper.

**Theorem 3.1.** *Let  $f : X \rightarrow X$  be a homeomorphism of a compactum  $X$ . If there is a minimal set  $\mathbf{A}$  of  $C(f)$  such that some element  $A$  of  $\mathbf{A}$  is a (nondegenerate) chainable continuum, then  $f$  is not expansive.*

*Proof.* Suppose, on the contrary, that  $f$  is expansive. Replace  $\mathbf{A}$  if necessary by an  $\mathbf{A}_0 \ast \mathbf{A}$  which satisfies the condition (1) of Proposition 2.7. Since every subcontinuum of a chainable continuum is also chainable, we may assume that  $\mathbf{A}$  satisfies the conditions of Proposition 2.7,(1).

Let  $c > 0$  be an expansive constant for  $f$  and  $c/2 > \epsilon > 0$ . Now, we shall prove the following property

(3.1.1)

For any  $0 < \tau < \epsilon$  there are two points  $x, y$  of  $X$  and a natural number  $n(\tau)$

such that  $d(x, y) \leq \tau, d(f^{n(\tau)}(x), f^{n(\tau)}(y)) \leq \tau$ , and

$$\epsilon \leq \sup\{d(f^j(x), f^j(y)) \mid 0 \leq j \leq n(\tau)\} \leq 2\epsilon.$$

Let  $A \in \mathbf{A}$  be a chainable continuum. Since  $A$  is chainable, there is a  $\tau/4$ -chain  $C = [C_1, C_2, \dots, C_r]$  in  $X$  which is an open covering of  $A$ . We can choose a subcontinuum  $B_1$  of  $A$  such that  $B_1 \in \mathbf{V}^u(\tau; \epsilon)$  (see (1) of Proposition 2.7), and we may assume that  $\text{diam}(B_1) = \tau$ . Since  $B_1 \in \mathbf{V}^u$  and  $f$  is expansive, we can choose a natural number  $N_1$  such that if  $x, y \in B_1$  and  $d(x, y) \geq \tau/4$ , then

$$\sup\{d(f^i(x), f^i(y)) \mid 0 \leq i \leq N_1\} > 2\epsilon.$$

Choose a subcontinuum  $B_2$  of  $B_1$  such that  $\text{diam} B_2 = \tau/2$ . By the assumption, there is a sequence  $n_1 < n_2 < \dots$  of natural numbers such that  $\lim_{i \rightarrow \infty} f^{n_i}(B_2) = \lim_{i \rightarrow \infty} f^{n_i}(A) = A$  (see Proposition 2.7). Hence, we can choose a natural number  $N > N_1$  such that  $f^N(B_1), f^N(B_2) \in \langle C_1, \dots, C_r \rangle$ . Choose a point  $e \in B_2$  such that  $f^N(e) \in C_1$ . Since  $B_1, B_2$  are chainable, by [6] or [14] there are onto maps  $\psi_k : P \rightarrow B_k$  ( $k = 1, 2$ ) from the pseudo-arc  $P$  onto  $B_k$ . Let  $p \in P$ . Since  $P$  is homogeneous [3], we may assume that  $\psi_k(p) = e$  for each  $k = 1, 2$ . Choose a chain covering  $D = [D_1, \dots, D_s]$  of  $P$  such that its mesh is sufficiently small. We may assume that if  $x, y \in D_i \cup D_{i+1}$ , then

(3.1.2) 
$$\sup\{d(f^j(\psi_k(x)), f^j(\psi_k(y))) \mid 0 \leq j \leq N\} < \epsilon/2$$

for each  $k = 1, 2$ . We may assume that  $p \in D_1$  (see the proof of [3, Theorem 13]). Also we may assume that  $D$  is a refinement of the chains  $C^k = (f^N \cdot \psi_k)^{-1}(C)$  ( $k = 1, 2$ ). Let  $f_k : I(s) \rightarrow I(r)$  ( $k = 1, 2$ ) be patterns such that  $D$  follows the patterns  $f_k$  in  $C^k$  ( $k = 1, 2$ ). Then the patterns  $f_k$  ( $k = 1, 2$ ) induce maps  $f_k : N(D) = N(\{D_1, \dots, D_s\}) \rightarrow N(C) = N(\{C_1, \dots, C_r\})$  which are natural simplicial maps from the nerve  $N(D)$  of  $D$  to  $N(C)$  of  $C$  with  $f_k(D_j) = C_{f_k(j)}$  for each  $j$ .

Since the above nerves are arcs, we can consider that  $f_k$  is a map from the unit interval  $I$  onto  $I$  such that  $f_k(0) = 0$  ( $k = 1, 2$ ). By Lemma 2.10, there are onto maps  $g_k : I \rightarrow I$  such that  $f_1 \cdot g_1 = f_2 \cdot g_2$  and  $g_k(0) = 0$ .

By using  $g_k$  ( $k = 1, 2$ ), we obtain patterns  $g_k : I(l) \rightarrow I(s)$  satisfying the inequality  $|f_1 g_1(j) - f_2 g_2(j)| \leq 1$  for each  $j = 1, 2, \dots, l$ . By Lemma 2.9, we can choose chain coverings  $E = [E_1, E_2, \dots, E_l]$  and  $F = [F_1, F_2, \dots, F_l]$  of  $P$  such that  $E$  follows the pattern  $g_1$  in  $D$  and  $F$  follows the pattern  $g_2$  in  $D$ . We may assume that  $p \in E_1 \cap F_1$ .

Choose points  $a_1, \dots, a_l, b_1, \dots, b_l$  of  $P$  beginning with  $p = a_1 = b_1$  and such that  $a_j \in E_j, b_j \in F_j$ . Note that

$$d(f^N(\psi_1(a_j)), f^N(\psi_2(b_j))) \leq \tau.$$

For each  $i = 1, 2, \dots, l$ , put

$$r_i = \sup\{d(f^j(\psi_1(a_i)), f^j(\psi_2(b_i))) \mid 0 \leq j \leq N\}.$$

Since the chain cover  $D$  is sufficiently small (see (3.1.2)), we may assume that

$$|r_i - r_{i+1}| < \epsilon.$$



Note that  $r_1 = 0$ . Since  $\psi_1$  is surjective, there is a point  $a_u$  ( $u \leq l$ ) such that  $d(\psi_1(a_u), B_2) \geq \tau/4$ , and hence  $d(\psi_1(a_u), \psi_2(b_u)) \geq \tau/4$ . Thus  $r_u > 2\epsilon$ . Then we can choose  $i \leq u$  such that  $\epsilon \leq r_i \leq 2\epsilon$ . The two points  $a_i, b_i$  satisfy the condition (3.1.1).

Let  $\{\epsilon_i\}_{i=1}^\infty$  be a sequence of positive numbers such that  $\lim_{i \rightarrow \infty} \epsilon_i = 0$ . By the condition (3.1.1), there are two points  $x_i, y_i \in X$  and a natural number  $n(i)$  such that

$$d(x_i, y_i) < \epsilon_i, \quad d(f^{n(i)}(x_i), f^{n(i)}(y_i)) < \epsilon_i$$

and

$$\epsilon \leq \sup\{d(f^j(x_i), f^j(y_i)) \mid 0 \leq j \leq n(i)\} \leq 2\epsilon.$$

Choose  $0 < m(i) < n(i)$  such that  $d(f^{m(i)}(x_i), f^{m(i)}(y_i)) \geq \epsilon$ . We may assume that  $\{f^{m(i)}(x_i)\}$  and  $\{f^{m(i)}(y_i)\}$  are convergent to  $x_0$  and  $y_0$ , respectively. Note that

$$\lim_{i \rightarrow \infty} (n(i) - m(i)) = \infty = \lim_{i \rightarrow \infty} m(i).$$

Then  $x_0 \neq y_0$  and  $d(f^n(x_0), f^n(y_0)) \leq 2\epsilon < c$  for all  $n \in \mathbf{Z}$ . This is a contradiction.

**Corollary 3.2.** *If  $X$  is a decomposable circle-like continuum, then  $X$  admits no expansive homeomorphism.*

*Proof.* Suppose, on the contrary, that there is an expansive homeomorphism  $f : X \rightarrow X$ . Since  $X$  is decomposable, there are two proper nonempty subcontinua  $A, B$  of  $X$  such that  $A \cup B = X$ . Since  $X$  is circle-like,  $A$  and  $B$  are chainable. Note that  $A \cap B$  has at most 2 components [5, Theorem 5]. By [11, Theorem 3.6], there is a  $\sigma$ -chaotic continuum  $C$  of  $f$ . We may assume that  $\sigma = u$ . Then  $C$  is indecomposable (see [11, Corollary 5.3]) and is a proper subcontinuum of  $X$ . Note that  $f^n(C) \cap A$  and  $f^n(C) \cap B$  have at most 2 components. Since  $f^n(C)$  is indecomposable, we can easily see that for each  $n = 0, 1, \dots$ ,  $f^n(C) \subset A$  or  $f^n(C) \subset B$ . Hence we see that there is a minimal set  $\mathbf{A}$  of  $C(f)$  satisfying the condition of Theorem 3.1. By Theorem 3.1,  $f$  is not expansive.

**Corollary 3.3.** *Let  $f : X \rightarrow X$  be a homeomorphism of a compactum  $X$ . Suppose that there are maps  $\psi : X \rightarrow Y$  and  $g : Y \rightarrow Y$  such that  $\psi \cdot f = g \cdot \psi$  and for each  $y \in Y$   $\psi^{-1}(y)$  is a (nondegenerate) chainable continuum. Then  $f$  is not expansive.*

*Proof.* Let  $y_0 \in Y$ . By Corollary 2.2, we may assume each element of  $\omega(\psi^{-1}(y_0))$  is nondegenerate. Since  $\psi \cdot f = g \cdot \psi$  and the collection  $\{\psi^{-1}(y) \mid y \in Y\}$  is an upper semi-continuous decomposition of  $X$ , each element of  $\omega(\psi^{-1}(y_0))$  is contained in some  $\psi^{-1}(y)$ , and hence it is chainable.

Take a minimal set  $\mathbf{A}$  of  $\omega(\psi^{-1}(y_0))$ . Then each element of  $\mathbf{A}$  is a chainable continuum. By Theorem 3.1,  $f$  is not expansive.

**Corollary 3.4.** *Let  $f : X \rightarrow X$  be an expansive homeomorphism of a circle-like continuum  $X$ , and let  $\delta > 0$  be a positive number as in Lemma 2.1. Then one of the following conditions is satisfied:*

- (i) *If  $A \in C(X)$  and  $0 < \text{diam } A < \delta$ , then  $A \in \mathbf{V}^u$ , and if  $B$  is a nondegenerate subcontinuum of  $X$ , then  $X \in \omega(B)$ .*
- (ii) *If  $A \in C(X)$  and  $0 < \text{diam } A < \delta$ , then  $A \in \mathbf{V}^s$ , and if  $B$  is a nondegenerate subcontinuum of  $X$ , then  $X \in \alpha(B)$ .*

*Proof.* By Lemma 2.3, we may assume that  $\mathbf{V}^u \neq \phi$ . Let  $A \in \mathbf{V}^u$ . Suppose, on the contrary, that  $\omega(A)$  does not contain  $X$ . Then we obtain a minimal set  $\mathbf{A} \subset \omega(A)$  of  $C(f)$  satisfying the condition of Theorem 3.1. Hence  $f$  is not expansive, which is a contradiction. Since  $X \in \omega(A)$ , by Lemma 2.4 we see that for each  $x \in X$  there is  $x \in A_x \in \mathbf{V}^u(\delta; \epsilon)$ , where  $\delta, \epsilon$  are as in Lemma 2.1. Suppose, on the contrary, that  $\mathbf{V}^s \neq \phi$ . Then we see also that for each  $x \in X$  there is  $x \in B_x \in \mathbf{V}^s(\delta; \epsilon)$ . Since  $f$  is expansive, we know that  $A_x \cap B_x = \{x\}$ . Choose  $A_x$  and two points  $y, z \in A_x$  such that  $x, y$  and  $z$  are different. Then there are three subcontinua  $B_x, B_y, B_z$  such that their diameters are small and  $B_x, B_y$  and  $B_z$  are mutually disjoint. Then  $T = A_x \cup B_x \cup B_y \cup B_z$  is a triod. Since  $X$  is atriodic, this is a contradiction. Hence  $\mathbf{V}^s = \phi$ . Let  $A$  be a nondegenerate subcontinuum of  $X$  with  $\text{diam } A = \gamma < \delta$ . Suppose, on the contrary, that  $\sup\{\text{diam } f^{-n}(A) \mid n \geq 0\} \geq \epsilon$ . By using Lemmas 2.1 and 2.3 inductively, we have a sequence  $n_1 < n_2 < \dots$  of natural numbers and a sequence  $B_1, B_2, \dots$  of subcontinua such that  $B_i \subset f^{-n_i}(A)$ ,  $\text{diam } B_i = \delta$  and  $\text{diam } f^j(B_i) \leq \epsilon$  for each  $0 \leq j \leq n_i$ . We may assume that  $\lim_{i \rightarrow \infty} B_i = B \in \mathbf{V}^s$ . This is a contradiction. Hence we see that  $A \in \mathbf{V}^u$ . Clearly, if  $B$  is a nondegenerate subcontinuum, then  $X \in \omega(B)$ , because  $B \notin \mathbf{V}^s$ .

**Corollary 3.5.** *If  $f : X \rightarrow X$  is an expansive homeomorphism of a circle-like continuum  $X$ , then  $f$  is itself weakly chaotic in the sense of Devaney.*

*Proof.* Consider the set  $\mathcal{D}(f) \neq \phi$ . Let  $Y \in \mathcal{D}(f)$ . Since  $\dim Y > 0$ ,  $Y$  contains a nondegenerate subcontinuum. By Corollary 3.4, we see that  $Y = X$ . Hence  $f$  is weakly chaotic in the sense of Devaney.

*Remark.* In [16], Lewis showed that, for every 1-dimensional continuum  $M$  there exists a 1-dimensional continuum  $\hat{M}$  such that  $\hat{M}$  has a continuous decomposition  $\psi : \hat{M} \rightarrow M$  into pseudo-arcs such that the decomposition space is homeomorphic to  $M$  and the decomposition elements are all terminal continua in  $\hat{M}$ , i.e., every subcontinuum of  $\hat{M}$  either is contained in a single decomposition element or is a union of decomposition elements. More generally, let  $\tilde{N}$  be a compactum that has an upper semi-continuous decomposition  $\varphi$  into indecomposable chainable continua such that the decomposition elements are all terminal, and let  $N$  be the decomposition space. Moreover, if each proper subcontinuum of  $N$  is decomposable, then for any homeomorphism  $\tilde{h} : \tilde{N} \rightarrow \tilde{N}$  there is a homeomorphism  $h : N \rightarrow N$  such that  $\varphi \cdot \tilde{h} = h \cdot \varphi$ . By Corollary 3.3,  $\tilde{N}$  admits no expansive homeomorphism. The typical continua are solenoids of pseudo-arcs and hence they admit no expansive homeomorphisms.

**Problem 3.6.** Does there exist an indecomposable plane circle-like continuum which admits an expansive homeomorphism? In particular, does the pseudo-circle admit an expansive homeomorphism?

**Problem 3.7.** Does there exist a hereditarily indecomposable continuum which admits an expansive homeomorphism?

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INSTITUTE OF MATHEMATICS, UNIVERSITY OF TSUKUBA, IBARAKI 305, JAPAN  
*E-mail address*: hisakato@sakura.cc.tsukuba.ac.jp