

CONTRACTIONS ON A MANIFOLD POLARIZED BY AN AMPLE VECTOR BUNDLE

MARCO ANDREATTA AND MASSIMILIANO MELLA

ABSTRACT. A complex manifold X of dimension n together with an ample vector bundle E on it will be called a **generalized polarized variety**. The adjoint bundle of the pair (X, E) is the line bundle $K_X + \det(E)$. We study the positivity (the nefness or ampleness) of the adjoint bundle in the case $r := \text{rank}(E) = (n - 2)$. If $r \geq (n - 1)$ this was previously done in a series of papers by Ye and Zhang, by Fujita, and by Andreatta, Ballico and Wisniewski.

If $K_X + \det E$ is nef then, by the Kawamata-Shokurov base point free theorem, it supports a contraction; i.e. a map $\pi : X \rightarrow W$ from X onto a normal projective variety W with connected fiber and such that $K_X + \det(E) = \pi^* H$, for some ample line bundle H on W . We describe those contractions for which $\dim F \leq (r - 1)$. We extend this result to the case in which X has log terminal singularities. In particular this gives Mukai's conjecture 1 for singular varieties. We consider also the case in which $\dim F = r$ for every fiber and π is birational.

INTRODUCTION

An algebraic variety X of dimension n (over the complex field) together with an ample vector bundle E on it will be called a **generalized polarized variety**. The adjoint bundle of the pair (X, E) is the line bundle $K_X + \det(E)$. Problems concerning adjoint bundles have drawn a lot of attention from algebraic geometers: the classical case is when E is a (direct sum of) line bundles (a polarized variety), while the generalized case was motivated by the solution of the Hartshorne-Frankel conjecture by Mori ([Mo]), and by consequent conjectures of Mukai ([Mu]).

A first point of view is to study the positivity (the nefness or ampleness) of the adjoint line bundle in the case when $r = \text{rank}(E)$ is about $n = \dim X$. This was done in a sequel of papers for $r \geq n - 1$ and for a smooth manifold X ([YZ], [Fu2], [ABW2]). In this paper we want to discuss the next case, namely when $\text{rank}(E) = n - 2$, with X smooth; we obtain a complete answer which is described in the theorem (5.1). This is divided into three cases, namely when $K_X + \det(E)$ is not nef, when it is nef and not big, and finally when it is nef and big but not ample. If $n = 3$ a complete picture is already contained in the famous paper of Mori ([Mo1]), while the particular case in which $E = \bigoplus^{n-2}(L)$ with L a line bundle was also studied ([Fu1], [So]; in the singular case see [An]). Part 1 of the theorem was proved (in a slightly weaker form) by Zhang ([Zh]) and, in the case E is spanned by global sections, by Wisniewski ([Wi2]).

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Another point of view can be the following: let (X, E) be a generalized polarized variety with X smooth and $\text{rank} E = r$. If $K_X + \det(E)$ is nef, then by the Kawamata-Shokurov base point free theorem it supports a contraction (see Theorem 1.2); i.e. there exists a map $\pi : X \rightarrow W$ from X onto a normal projective variety W with connected fiber and such that $K_X + \det(E) = \pi^* H$ for some ample line bundle H on W . It is not difficult to see that, for every fiber F of π , we have $\dim F \geq (r - 1)$; equality holds only if $\dim X > \dim W$. In the paper we study the “border” cases: we assume that $\dim F = (r - 1)$ for every fiber and we prove that X has a \mathbf{P}^r -bundle structure given by π (Theorem 3.2). We consider also the case in which $\dim F = r$ for every fiber and π is birational, proving that W is smooth and that π is a blow-up of a smooth subvariety (Theorem 3.1). This point of view was discussed in the case $E = \oplus^r L$ in the paper [AW].

Finally in section 4 we extend the Theorem 3.2 to the singular case, namely for a projective variety X with log-terminal singularities. In particular this gives Mukai’s conjecture 1 for singular varieties.

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1. NOTATIONS AND GENERALITIES

(1.1) We use the standard notation from algebraic geometry. In particular it is compatible with that of [KMM], to which we refer constantly. We just explain some special definitions and propositions used frequently.

In particular, in this paper X will always stand for a smooth complex projective variety of dimension n . Let $\text{Div}(X)$ be the group of Cartier divisors on X ; denote by K_X the canonical divisor of X , an element of $\text{Div}(X)$ such that $\mathcal{O}_X(K_X) = \Omega_X^n$. Let $N_1(X) = \frac{\{1\text{-cycles}\}}{\equiv} \otimes \mathbf{R}$, $N^1(X) = \frac{\{\text{divisors}\}}{\equiv} \otimes \mathbf{R}$ and $\overline{\langle NE(X) \rangle} = \overline{\{\text{effective 1-cycles}\}}$; the last is a closed cone in $N_1(X)$. Let $\rho(X) = \dim_{\mathbf{R}} N^1(X)$.

Suppose that K_X is not nef; that is, there exists an effective curve C such that $K_X \cdot C < 0$.

Theorem 1.2. [KMM] *Let X be as above and H a nef Cartier divisor such that $F := H^\perp \cap \overline{\langle NE(X) \rangle} \setminus \{0\}$ is entirely contained in the set $\{Z \in N_1(X) : K_X \cdot Z < 0\}$, where $H^\perp = \{Z : H \cdot Z = 0\}$. Then there exists a projective morphism $\varphi : X \rightarrow W$ from X onto a normal variety W with the following properties:*

- i) *For an irreducible curve C in X , $\varphi(C)$ is a point if and only if $H \cdot C = 0$, if and only if $\text{cl}(C) \in F$.*
- ii) *φ has only connected fibers.*
- iii) *$H = \varphi^*(A)$ for some ample divisor A on W .*
- iv) *The image $\varphi^* : \text{Pic}(W) \rightarrow \text{Pic}(X)$ coincides with $\{D \in \text{Pic}(X) : D \cdot C = 0 \text{ for all } C \in F\}$.*

Definition 1.3. ([KMM], definition 3-2-3). Using the notation of the above theorem, the map φ is called a contraction (or an extremal contraction); the set F is an extremal face, while the Cartier divisor H is a supporting divisor for the map φ (or the face F). If $\dim_{\mathbf{R}} F = 1$ the face F is called an extremal ray, while φ is called an elementary contraction.

Remark 1.4. We have also ([Mo1]) that if X has an extremal ray R then there exists a rational curve C on X such that $0 < -K_X \cdot C \leq n + 1$ and $R = R[C] := \{D \in \langle NE(X) \rangle : D \equiv \lambda C, \lambda \in \mathbf{R}^+\}$. Such a curve is called an **extremal curve**.

Remark 1.5. Let $\pi : X \rightarrow V$ denote a contraction of an extremal face F , supported by $H = \pi^*A$. Let R be an extremal ray in F and $\rho : X \rightarrow W$ the contraction of R . Then π factors through ρ (this is because $\pi^*A \cdot R = 0$).

Definition 1.6. To an extremal ray R we can associate:

- i) its **length** $l(R) := \min\{-K_X \cdot C; \text{ for } C \text{ a rational curve and } C \in R\}$
- ii) the **locus** $E(R) := \{\text{the locus of the curves whose numerical classes are in } R\} \subset X$.

A rational curve C in R such that $-K_X \cdot C = l(R)$ will be called a **minimal curve**

It is usual to divide the elementary contractions associated to an extremal ray R into three types, according to the dimension of $E(R)$ as follows.

Definition 1.7. We say that φ is of **fiber type**, respectively **divisorial type**, resp. **flipping type**, if $\dim E(R) = n$, resp. $n - 1$, resp. $< n - 1$. Moreover an extremal ray is said to be **not nef** if there exists an effective $D \in \text{Div}(X)$ such that $D \cdot C < 0$.

The following very useful inequality was proved in [Io] and [Wi3].

Proposition 1.8. *Let φ be the contraction of an extremal ray R , $E'(R)$ any irreducible component of the exceptional locus and d the dimension of a fiber of the contraction restricted to $E'(R)$. Then*

$$\dim E'(R) + d \geq n + l(R) - 1.$$

(1.9) Actually it is very useful to understand when a contraction is elementary, or in other words when the loci of two distinct extremal rays are disjoint. For this we will use in this paper the following results.

Proposition 1.10. [BS, Corollary 0.6.1] *Let R_1 and R_2 two distinct not nef extremal rays such that $l(R_1) + l(R_2) > n$. Then $E(R_1)$ and $E(R_2)$ are disjoint.*

Something can be said also if $l(R_1) + l(R_2) = n$:

Proposition 1.11. [Fu3, Theorem 2.4] *Let $\pi : X \rightarrow V$ be a birational contraction of a face F ; suppose $n \geq 4$ and $l(R_i) \geq n - 2$, for R_i extremal rays in F . Then the exceptional loci corresponding to different extremal rays are disjoint.*

Proposition 1.12. [ABW1] *Let $\pi : X \rightarrow W$ be a contraction of a face such that $\dim X > \dim W$. Suppose that for every rational curve C in a general fiber of π we have $-K_X \cdot C \geq (n + 1)/2$. Then π is an elementary contraction except if*

- a) $-K_X \cdot C = (n + 2)/2$ for some rational curve C on X , W is a point, X is a Fano manifold of pseudoindex $(n + 2)/2$ and $\rho(X) = 2$; and if
- b) $-K_X \cdot C = (n + 1)/2$ for some rational curve C , and $\dim W \leq 1$.

Finally, the following definitions are used in the main theorem in section 5:

Definition 1.13. Let L be an ample line bundle on X . The pair (X, L) is called a **scroll** (respectively a **quadric fibration**, respectively a **del Pezzo fibration**) over a normal variety Y of dimension m if there exists a surjective morphism with connected fibers $\phi : X \rightarrow Y$ such that

$$K_X + (n - m + 1)L \approx p^* \mathcal{L}$$

(respectively $K_X + (n - m)L \approx p^* \mathcal{L}$, respectively $K_X + (n - m - 1)L \approx p^* \mathcal{L}$) for some ample line bundle \mathcal{L} on Y . X is called a classical scroll (respectively quadric bundle) over a projective variety Y of dimension r if there exists a surjective morphism $\phi : X \rightarrow Y$ such that every fiber is isomorphic to \mathbf{P}^{n-r} (respectively to a quadric in \mathbf{P}^{n-r+1}) and if there exists a vector bundle E of rank $n - r + 1$ (respectively of rank $n - r + 2$) on Y such that $X \simeq \mathbf{P}(E)$ (respectively exists an embedding of X as a subvariety of $\mathbf{P}(E)$).

2. A TECHNICAL CONSTRUCTION

Let E be a vector bundle of rank r on X and assume that E is ample (in Hartshorne’s sense).

Remark 2.1. Let $f : \mathbf{P}^1 \rightarrow X$ be a non-constant map, and $C = f(\mathbf{P}^1)$. Then $\det E \cdot C \geq r$.

In particular, if there exists a curve C such that $(K_X + \det E) \cdot C \leq 0$ (for instance if $(K_X + \det E)$ is not nef), then there exists an extremal ray R such that $l(R) \geq r$.

(2.2) Let $Y = \mathbf{P}(E)$ be the associated projective space bundle, $p : Y \rightarrow X$ the natural map onto X and ξ_E the tautological bundle of Y . Then we have the formula for the canonical bundle $K_Y = p^*(K_X + \det E) - r\xi_E$. Note that p is an elementary contraction.

Assume that $K_X + \det E$ is nef but not ample, and that it is the supporting divisor of an elementary contraction $\pi : X \rightarrow W$; let R be the associated extremal ray. Then $\rho(Y/W) = 2$ and $-K_Y$ is $\pi \circ p$ -ample. By the relative Mori theory over W we have that there exists a ray on $NE(Y/W)$, say R_1 , of length $\geq r$, not contracted by p , and a relative elementary contraction $\varphi : Y \rightarrow V$. We have thus the following commutative diagram:

$$(2.1) \quad \begin{array}{ccc} \mathbf{P}(E) = Y & \xrightarrow{\varphi} & V \\ \downarrow p & & \downarrow \psi \\ X & \xrightarrow{\pi} & W \end{array}$$

where φ and ψ are elementary contractions. Let $w \in W$ and let $F(\pi)_w$ be an irreducible component of $\pi^{-1}(w)$; choose also v in $\psi^{-1}(w)$ and let $F(\varphi)_v$ be an irreducible component of $\varphi^{-1}(v)$ such that $p(F(\varphi)_v) \cap F(\pi)_w \neq \emptyset$; then, by the commutativity of the diagram, $p(F(\varphi)_v) \subset F(\pi)_w$. Since p and φ are elementary contractions of different extremal rays, we have that $\dim(F(\varphi) \cap F(p)) = 0$; that is, a curve which is contracted by φ cannot be contracted by p .

In particular this implies that $\dim(p(F(\varphi)_v)) = \dim F(\varphi)_v$; therefore

$$\dim F(\varphi)_v \leq \dim F(\pi)_w.$$

Remark 2.3. If $\dim F(\varphi)_v = \dim F(\pi)_w$. Then $\dim F(\psi)_w := \dim(\psi^{-1}(w)) = r - 1$; if this holds for every $w \in W$ then ψ is equidimensional.

Proof. Let Y_w be an irreducible component of $p^{-1}\pi^{-1}(w)$ such that $\varphi(Y_w) = F(\psi)_w$. Then $\dim F(\psi)_w = \dim Y_w - \dim F(\varphi)_v = \dim Y_w - \dim F(\pi)_w = \dim F(p) = r - 1$. □

(2.4) **Slicing techniques.** Let $H = \varphi^*(A)$ be a supporting divisor for φ such that the linear system $|H|$ is base point free. We assume as in (2.2) that $(K_X + \det E)$

is nef, and we refer to the diagram (2.1). The divisor $K_Y + r\xi_E = p^*(K_X + \det E)$ is nef on Y , and therefore $m(K_Y + r\xi_E + aH)$, for $m \gg 0$, $a \in \mathbf{N}$, is also a good supporting divisor for φ . Let Z be a smooth n -fold obtained by intersecting $r - 1$ general divisors from the linear system $|H|$, i.e. $Z = H_1 \cap \dots \cap H_{r-1}$ (this is what we call a slicing); let $H_i = \varphi^{-1}A_i$.

Note that the map $\varphi' = \varphi|_Z$ is supported by $|m(K_Y + r\xi_E + a\varphi^*A)|_Z|$; hence, by adjunction, it is supported by $K_Z + rL$, where $L = \xi_{E|Z}$. Let $p' = p|_Z$; by construction p' is finite.

If T is (the normalization of) $\varphi(Z)$ and $\psi' : T \rightarrow W$ is the map obtained by restricting ψ , then we have from (2.1) the following diagram:

$$(2.2) \quad \begin{array}{ccc} Z & \xrightarrow{\varphi'} & T \\ \downarrow p' & & \downarrow \psi' \\ X & \xrightarrow{\pi} & W \end{array}$$

In general the map φ' is well understood (for instance, in the case $r = n - 2$ see the results in [Fu1] or in [An]). The goal is to "transfer" the information that we have on φ' to the map π . The following Proposition is an example.

We refer to the diagrams and notations of the above sections; in particular $\pi : X \rightarrow W$ is the elementary contraction of the ray R supported by $K_X + \det E$. Therefore $l(R) \geq r$, and by Proposition 1.8 we have

$$\dim E'(R) + d \geq n + r - 1,$$

where $E'(R)$ is an irreducible component of the exceptional locus and $d = \dim F(\pi)$.

Proposition 2.5. *Assume that for every non-trivial fiber we have $\dim F(\varphi) = \dim F(\pi) = k$. Assume also that $l(R) = r$ and that for all fibers of φ*

$$(F(\varphi), \xi_{E|F(\varphi)}) \simeq (\mathbf{P}^k, \mathcal{O}(1)).$$

Then W has the same singularities as T .

Remark 2.6. The above proposition was proved in the case in which φ is birational and $k = r$ in [ABW2].

Proof. Let $w \in W$; by hypothesis and by Remark 2.3 any irreducible component F_i of a fiber $F(\psi)_w$ is of dimension $r - 1$. This implies also that $F_i = \varphi(F(p))$ for some fiber of p .

Lemma 2.7. *There exists a fiber $F(p)_x$ such that $\varphi|_{F(p)_x} : F(p)_x \rightarrow F_i$ is of degree 1; that is, $\varphi|_{F(p)_x}$ is set-theoretically birational.*

Proof. For every $v \in V$ we have that $\varphi|_{F(p)_x}^{-1}(v) = F(p)_x \cap F(\varphi)_v$; therefore the lemma follows if we can prove that, for a general $v \in V$, with $\psi(v) = w$, $p|_{F(\varphi)_v} : F(\varphi)_v \rightarrow F(\pi)_w$ is set-theoretically birational.

We will need the following claim.

Claim 2.8. Let l be a line in $F(\varphi) \simeq \mathbf{P}^k$; then $-p^*K_X \cdot l = r$.

Proof of the claim. Let C a minimal curve in the ray R (see Definition 1.6); let $\nu : \mathbf{P}^1 \rightarrow C$ be its normalization. Thus $\nu^*E|_C = \oplus^r \mathcal{O}(1)$, and therefore $Y_C = \mathbf{P}(\nu^*E_C) = \mathbf{P}^1 \times \mathbf{P}^{r-1}$. Let $\tilde{\nu} : Y_C \rightarrow Y$ be the map induced by ν and let \tilde{l} be a section of $\sigma : Y_C \rightarrow \mathbf{P}^1$; note that $\nu\sigma : \tilde{l} \rightarrow C$ is birational. Note also that $\tilde{\nu}^*\xi_E$ is

the tautological bundle for Y_C ; thus $1 = \tilde{\nu}^* \xi_E \cdot \tilde{l} = \xi_E \cdot \tilde{\nu}_* \tilde{l}$, hence $\tilde{\nu}_* \tilde{l} = l$. Therefore $p_* l = C$ and $-p^* K_X \cdot l = -K_X \cdot p_* l = -K_X \cdot C = r$. \square

Let R be the ramification divisor of $p' : Z \rightarrow X$ defined by the formula

$$K_Z = p'^* K_X + R.$$

Let l be a line in $F(\varphi) = \mathbf{P}^k \subset Z$; on one side we have that $-K_Z \cdot l = r$; on the other, by the above claim, $p^* K_X \cdot l = r$. Therefore $R \cdot l = 0$. Thus either $F(\varphi) \subset R$ or $F(\varphi) \cap R = \emptyset$. We want to prove that the latter is the case.

Lemma 2.9. *For a general choice of Z the ramification divisor R does not contain $F(\varphi) = \mathbf{P}^k \subset Z$; therefore $F(\varphi) \cap R = \emptyset$.*

Proof. It is enough to prove that there exists an $x \in F(\pi)_w$ such that $p^{-1}(x) \cap Z$ consists of d distinct points, where $d = \text{deg}(p' : Z \rightarrow X)$. Observe that this is true for every $x_1 \in X$ outside the branch locus and $d = \varphi^* A^{r-1} \cdot F(p)_{x_1} = \varphi^* A^{r-1} \cdot F(p)_x$, where $Z = \varphi^* A_1 \cap \dots \cap \varphi^* A_{r-1}$ and $A_i \in |A|$. Moreover $p^{-1}(x) \cap Z = \bigcup_i p^{-1}(x) \cap F(\varphi)_{v_i}$, where the union is taken over all $v_i \in T \cap F_i$. Since $\varphi|_{F(p)_x} : F(p)_x \rightarrow F_i$ is generically unramified, choosing generic sections $A_i \in |A|$ yields that $p^{-1}(x) \cap F(\varphi)_{v_i}$ is a reduced cycle of length d_i for any i and $\sum_i d_i = d$. Hence $F(\varphi) \cap R = \emptyset$. \square

The exact sequence

$$\mathcal{I}/\mathcal{I}^2 \rightarrow \Omega_{Z/X} \otimes \mathcal{O}_{F(\varphi)} \rightarrow \Omega_{F(\varphi)/X} \rightarrow 0$$

yields that also $p|_{F(\varphi)} : \mathbf{P}^k \rightarrow F(\pi)$ is unramified. Let $f : \tilde{F} \rightarrow F(\pi)$ be the normalization and $g : \mathbf{P}^k \rightarrow \tilde{F}$ the map induced by p ; then g is unramified and \tilde{F} is smooth by Zariski's Main Theorem. Therefore $\tilde{F} \simeq \mathbf{P}^k$ by Lazarsfeld's result and g is an isomorphism; thus $p|_{F(\varphi)}$ is of degree 1. \square

Let $\varphi|_{F(p)} : F(p) \rightarrow F_i$ be as in the lemma, that is, $\varphi|_{F(p)}$ is set-theoretically birational. Let us follow an argument as in [Fu1, Lemma 2.12]. We can assume that the divisor A is very ample. Using Bertini's theorem we choose $r - 1$ divisors $A_i \in |A|$ as above such that, if $T = \bigcap_i A_i$, then $T \cap \psi^{-1}(w)_{\text{red}} = N$ is a reduced 0-cycle and $Z = H_1 \cap \dots \cap H_{r-1}$ is a smooth n -fold, where $H_i = \varphi^{-1} A_i$. Moreover the number of points in N is given by $A^{r-1} \cdot \psi^{-1}(w)_{\text{red}} = \sum_i A^{r-1} \cdot F_i = \sum_i d_i$. Note that, by the projection formula, we have $A^{r-1} \cdot F_i = \varphi^* A^{r-1} \cdot F(p)$; here we use the fact that the map $\varphi|_{F(p)}$ is set-theoretically birational. Moreover, since p is a projective bundle, the last number is constant, i.e. $\varphi^* A^{r-1} \cdot F(p) = d$ for all fibers $F(p)$; that is, the d_i 's are constant.

Using that $\psi' := \psi|_T : T \rightarrow W$ is proper and finite over w , we now take a small enough neighborhood U of w , in the metric topology, such that any connected component U_λ of $\psi^{-1}(U) \cap T$ meets $\psi^{-1}(w)$ in a single point. Let ψ_λ be the restriction of ψ to U_λ and m_λ its degree. Then $\text{deg} \psi' = \sum m_\lambda \geq \sum_i d_i = \sum_i d$, and equality holds if and only if ψ is not ramified at w (remember that $\sum_i d_i$ is the number of U_λ).

The generic $F(\psi)_w$ is irreducible and generically reduced. Note that we can choose $\tilde{w} \in W$ such that $\psi^{-1}(\tilde{w}) = \varphi(F(p))$ and $\text{deg} \psi' = A^{r-1} \cdot \psi^{-1}(\tilde{w})$; the latter is possible by the choice of generic sections of $|A|$. Hence, by the projection formula, $\text{deg} \psi' = A^{r-1} \cdot \psi^{-1}(\tilde{w}) = \varphi^* A^{r-1} \cdot F(p) = d$; that is, $m_\lambda = 1$, and the fibers are irreducible. Since W is normal we can conclude, by Zariski's Main Theorem, that W has the same singularities as T . \square

Corollary 2.10. *In the hypothesis of the above proposition assume also that either φ is birational and $k = r$, or that φ is of fiber type and $k = (r - 1)$. Then W is smooth.*

Proof. [AW, Theorem 4.1] applies to the map φ and gives that T is smooth and φ satisfies the hypothesis of Proposition 2.5 (for the fiber type case it is actually a theorem in [Fu1]). Thus by Proposition 2.5 also W is smooth. \square

3. SOME GENERAL APPLICATIONS

As an application of the above construction we will prove the following proposition; the case $r = n - 1$ was proved in [ABW2].

Theorem 3.1. *Let X be a smooth projective complex variety and E be an ample vector bundle of rank r on X . Assume that $K_X + \det E$ is nef and big but not ample, and let $\pi : X \rightarrow W$ be the contraction supported by $K_X + \det E$. Assume also that π is a divisorial elementary contraction, with exceptional divisor D , and that $\dim F \leq r$ for all fibers F . Then W is smooth, π is the blow-up of a smooth subvariety $B := \pi(D)$, and $E = \pi^* E' \otimes [-D]$, for some ample E' on W .*

Proof. In the previous section (2.10) we have proved that W is smooth. Therefore π is a birational morphism between smooth varieties with exceptional locus a prime divisor and with equidimensional non-trivial fibers; by [AW, Corollary 4.11] this implies that π is a blow-up of a smooth subvariety in W .

We want to show that $E = \pi^* E' \otimes [-D]$. Let D_1 be the exceptional divisor of φ ; first we claim that $\xi_E + D_1$ is a good supporting divisor for φ . Let C_1 be a minimal curve in the ray R_1 (see Definition 1.6), contracted by φ ; we have that $\xi_E \cdot C_1 = 1$. Observe that $(\xi_E + D_1) \cdot C_1 = 0$, while $(\xi_E + D_1) \cdot C > 0$ for any curve C with $\varphi(C) \neq pt$ (in fact, ξ_E is ample and $D_1 \cdot C \geq 0$ for such a curve). Thus $\xi_E + D_1 = \varphi^* A$ for some ample $A \in Pic(V)$; moreover, using the projection formula, $A \cdot l = 1$, for any line l in the fiber of ψ . Hence, by Grauert’s theorem, $V = \mathbf{P}(E')$ for some ample vector bundle E' on W . This yields, by the commutativity of diagram (1), $E \otimes D = p_*(\xi_E + D_1) = p_*\varphi^* A = \pi^*\psi_* A = \pi^* E'$. \square

Similarly, for the fiber type case, we have the following.

Theorem 3.2. *Let X be a smooth projective complex variety and E be an ample vector bundle of rank r on X . Assume that $K_X + \det E$ is nef and let $\pi : X \rightarrow W$ be the contraction supported by $K_X + \det E$. Assume that $r \geq (n + 1)/2$ and $\dim F \leq r - 1$ for any fiber F of π . Then π is a fiber type contraction, W is smooth, and for any fiber $F \simeq \mathbf{P}^{r-1}$ and $E|_F = \oplus^r \mathcal{O}(1)$.*

Proof. Note that, by Proposition 1.8, π is a contraction of fiber type and all the fibers have dimension $r - 1$. Moreover the contraction is elementary, by Proposition 1.12.

By Corollary 2.10 W is smooth. We want to use an inductive argument to prove the theorem. If $\dim W = 0$ then this is Mukai’s conjecture 1, which was proved by Peternell, Kollár, and Ye and Zhang (see for instance [YZ]). Let the theorem be true for dimension $m - 1$. Note that the locus over which the fiber is not \mathbf{P}^{r-1} is discrete. In fact take a general hyperplane section A of W , and $X' = \pi^{-1}(A)$; then $\pi|_{X'} : X' \rightarrow A$ is again a contraction supported by $K_{X'} + \det E|_{X'}$, such that $r \geq ((n - 1) + 1)/2$. Thus by induction A is smooth and all fibers over A are \mathbf{P}^{r-1} .

Let U be an open disk in the complex topology such that $U \cap \text{Sing}W = \{0\}$. Then by Lemma 3.3, below, we obtain locally, in the complex topology, a π -ample line bundle L that restricted to the general fiber is $\mathcal{O}(1)$. Thus, as in [Fu1, Prop. 2.12], we can prove that all the fibers are \mathbf{P}^{r-1} . \square

Lemma 3.3. *Let X be a complex manifold and $(W, 0)$ an analytic germ such that $W \setminus \{0\} \simeq \Delta^m \setminus \{0\}$. Assume we have a holomorphic map $\pi : X \rightarrow W$ with $-K_X$ π -ample; assume also that $F \simeq \mathbf{P}^r$ for all fibers of π , $F \neq F_0 = \pi^{-1}(0)$, and that $\text{codim}F_0 \geq 2$. Then there exists a line bundle L on X such that L is π -ample and $L|_F = \mathcal{O}(1)$.*

Proof. (see also [ABW2, pp. 338-339]) Let $W^* = W \setminus \{0\}$ and $X^* = X \setminus F_0$. By abuse of notation set $\pi = \pi|_{X^*} : X^* \rightarrow W^*$; it follows immediately that $R^1\pi_*\mathbf{Z}_{X^*} = 0$ and $R^2\pi_*\mathbf{Z}_{X^*} = \mathbf{Z}$.

Using the Leray spectral sequence, we have that

$$E_2^{0,2} = \mathbf{Z} \text{ and } E_2^{p,1} = 0 \text{ for any } p.$$

Therefore $d_2 : E_2^{0,2} \rightarrow E_2^{2,1}$ is the zero map, and moreover we have the following exact sequence:

$$0 \rightarrow E_\infty^{0,2} \rightarrow E_2^{0,2} \xrightarrow{d_3} E_2^{3,0},$$

since the only non-zero map from $E_2^{0,2}$ is d_3 and hence $E_\infty^{0,2} = \ker d_3$. On the other hand we have also, in a natural way, a surjective map $H^2(X^*, \mathbf{Z}) \rightarrow E_\infty^{0,2} \rightarrow 0$. Thus we get the following exact sequence:

$$H^2(X^*, \mathbf{Z}) \xrightarrow{\alpha} E_2^{0,2} \rightarrow E_2^{3,0} = H^3(W^*, \mathbf{Z}).$$

We want to show that α is surjective. If $\dim W := w \geq 3$ then $H^3(W^*, \mathbf{Z}) = 0$ and we are done. Suppose $w = 2$; then $H^3(W^*, \mathbf{Z}) = \mathbf{Z}$; note that the restriction of $-K_X$ gives a non-zero class (in fact it is $r + 1$ times the generator) in $E_2^{0,2}$ and is mapped to zero in $E_2^{0,3}$; thus the mapping $E_2^{0,2} \rightarrow E_2^{3,0}$ is the zero map and α is surjective. Since F_0 is of codimension at least 2 in X , the restriction map $H^2(X, \mathbf{Z}) \rightarrow H^2(X^*, \mathbf{Z})$ is a bijection. By the vanishing of $R_i\pi_*\mathcal{O}_X$ we get $H^2(X, \mathcal{O}_X) = H^2(W, \mathcal{O}_W) = 0$; hence also $\text{Pic}(X) \rightarrow H^2(X, \mathbf{Z})$ is surjective. Let $L \in \text{Pic}(X)$ be a preimage of a generator of $E_2^{0,2}$. By construction L_t is $\mathcal{O}(1)$, for $t \in W^*$. Moreover $(r + 1)L = -K_X$ on X^* ; thus, again by the codimension of X^* , this is true on X and L is π -ample. \square

4. AN APPROACH TO THE SINGULAR CASE

The following theorem arose during a discussion between us and J.A. Wiśniewski; we would like to thank him. The idea to investigate this argument originated with Zhang [Zh2]. For the definition of log-terminal singularity we refer to [KMM].

Theorem 4.1. *Let X be an n -dimensional log-terminal projective variety and E be an ample vector bundle of rank r . Assume that $K_X + \det E$ is nef and let $\pi : X \rightarrow W$ be the contraction supported by $K_X + \det E$. Assume also that for any fiber F of π $\dim F \leq r - 1$, and that $r \geq (n + 1)/2$ and $\text{codim} \text{Sing}(X) > \dim W$. Then X and W are smooth and, for any fiber, $F \simeq \mathbf{P}^{r-1}$.*

Proof. We will prove that X is smooth. Then we can apply Theorem 3.2. We consider in this case the associated projective space bundle Y and the commutative diagram

$$(4.1) \quad \begin{array}{ccc} \mathbf{P}(E) = Y & \xrightarrow{\varphi} & V \\ \downarrow p & & \downarrow \psi \\ X & \xrightarrow{\pi} & W \end{array}$$

as in 2.1); it is immediate that Y is Gorenstein and log-terminal; in particular it has Cohen-Macaulay singularities. Moreover, as in (3.1) $\dim F(\varphi) \leq \dim F(\pi)$ and the map φ is supported by $K_Y + rH$, where $H = \xi_E + A$, with ξ_E the tautological line bundle and A a pull-back of an ample line bundle from V . It is known that a contraction supported by $K_Y + rH$ on a log terminal variety has to have fibers of dimension $\geq (r - 1)$ and of dimension $\geq r$ in the birational case ([AW, remark 3.1.2]). Thus φ is not birational and all fibers have dimension $r - 1$; moreover, by the Kobayashi-Ochiai criterion the general fiber is $F \simeq \mathbf{P}^{r-1}$. Imitating the proof of [BS, Prop 1.4], we have only to show that there are no fibers of φ entirely contained in $Sing(Y)$. Note that, by construction, $Sing(Y) \subset p^{-1}(Sing X)$. Hence no fibers F of φ can be contained in $Sing(Y)$, and therefore the same proof of [BS, Prop. 1.4] applies. It follows that V is nonsingular, and $\varphi : Y \rightarrow V$ is a classical scroll. In particular Y is nonsingular, and therefore also X is nonsingular. \square

As a corollary we obtain Mukai’s conjecture 1 in the log terminal case (see also [Zh2]).

Corollary 4.2. *Let X be an n -dimensional log-terminal projective variety and E an ample vector bundle of rank $n + 1$, such that $c_1(E) = c_1(X)$. Then $(X, E) = (\mathbf{P}^n, \oplus^{n+1} \mathcal{O}_{\mathbf{P}^n}(1))$.*

5. MAIN THEOREM

This section is devoted to the proof of the following theorem.

Theorem 5.1. *Let X be a smooth projective variety over the complex field of dimension $n \geq 3$ and E an ample vector bundle on X of rank $r = n - 2$. Then we have:*

- 1) $K_X + \det(E)$ is nef unless (X, E) is one of the following:
 - i) there exist a smooth n -fold, W , and a morphism $\phi : X \rightarrow W$ expressing X as a blow up of a finite set B of points and an ample vector bundle E' on W such that $E = \phi^* E' \otimes [-\phi^{-1}(B)]$.

Assume from now on that (X, E) is not as in i) above (that is eventually consider the new pair (W, E') coming from i)).

- ii) $X = \mathbf{P}^n$ and $E = \oplus^{n-2} \mathcal{O}(1)$ or $\oplus^2 \mathcal{O}(2) \oplus^{n-4} \mathcal{O}(1)$ or $\mathcal{O}(2) \oplus^{n-3} \mathcal{O}(1)$ or $\mathcal{O}(3) \oplus^{n-3} \mathcal{O}(1)$.
- iii) $X = \mathbf{Q}^n$ and $E = \oplus^{n-2} \mathcal{O}(1)$ or $\mathcal{O}(2) \oplus^{n-3} \mathcal{O}(1)$ or $\mathbf{E}(2)$ with \mathbf{E} a spinor bundle on \mathbf{Q}^n .
- iv) $X = \mathbf{P}^2 \times \mathbf{P}^2$ and $E = \oplus^2 \mathcal{O}(1, 1)$.
- v) X is a del Pezzo manifold with $b_2 = 1$, i.e. $Pic(X)$ is generated by an ample line bundle $\mathcal{O}(1)$ such that $\mathcal{O}(n - 1) = \mathcal{O}(-K_X)$ and $E = \oplus^{n-1} \mathcal{O}(1)$.
- vi) X is a classical scroll or a quadric bundle over a smooth curve Y .

- vii) X is a classical scroll over a smooth surface Y .
- 2) If $K_X + \det(E)$ is nef then it is big unless there exists a morphism $\phi : X \rightarrow W$ onto a normal variety W supported by (a large multiple of) $K_X + \det(E)$ and $\dim(W) \leq 3$; let F be a general fiber of ϕ and $E' = E|_F$. We have the following according to $s = \dim W$:
- i) If $s = 0$ then X is a Fano manifold and $K_X + \det(E) = 0$. If $n \geq 6$ then $b_2(X) = 1$ except if $X = \mathbf{P}^3 \times \mathbf{P}^3$ and $E = \oplus^4 \mathcal{O}(1, 1)$.
 - ii) If $s = 1$ then W is a smooth curve and ϕ is a flat (equidimensional) map. Then (F, E') is one of the pair described in [PSW]; in particular, F is either \mathbf{P}^{n-1} or a quadric or a del Pezzo variety. If $n \geq 6$ then π is an elementary contraction. If the general fiber is \mathbf{P}^{n-1} then X is a classical scroll, while if the general fiber is \mathbf{Q}^{n-1} then X is a quadric bundle.
 - iii) If $s = 2$ and $n \geq 5$, then W is a smooth surface, ϕ is a flat map and (F, E') is one of the pair described in the Main Theorem of [Fu2]. If the general fiber is \mathbf{P}^{n-2} , all the fibers are \mathbf{P}^{n-2} .
 - iv) If $s = 3$ and $n \geq 5$, then W is a 3-fold with at most isolated singularities and X has at most isolated fibers of dimension $n-2$; all fibers over smooth point are isomorphic to \mathbf{P}^{n-3} .
- 3) Assume finally that $K_X + \det(E)$ is nef and big but not ample. Then a high multiple of $K_X + \det(E)$ defines a birational map, $\varphi : X \rightarrow X'$, which contracts an "extremal face" (see section 2). Let R_i , for i in a finite set of indices, be the extremal rays spanning this face; call $\rho_i : X \rightarrow W$ the contraction associated to one of the R_i . Then each ρ_i is birational and divisorial; if D is one of the exceptional divisors (we drop the index) and $B = \rho(D)$ we have that $\dim(B) \leq 1$ and the following possibilities occur:
- i) $\dim B = 0$, $D = \mathbf{P}^{n-1}$ and $D|_D = \mathcal{O}(-2)$; moreover $E|_D \simeq \oplus^{n-2} \mathcal{O}(1)$.
 - ii) $\dim B = 0$, D is a (possibly singular) quadric, \mathbf{Q}^{n-1} , and $D|_D = \mathcal{O}(-1)$; moreover $E|_D = \oplus^{n-2} \mathcal{O}(1)$.
 - iii) $\dim B = 1$, W and Z are smooth projective varieties, and ρ is the blow-up of W along Z . Moreover $E|_F = \oplus^{n-2} \mathcal{O}(1)$.

If $n > 3$ then φ is a composition of "disjoint" extremal contractions as in i), ii) or iii).

Proof of part 1) of Theorem 5.1. Let (X, E) be a generalized polarized variety and assume that $K_X + \det(E)$ is not nef. Then there exist on X a finite number of extremal rays, R_1, \dots, R_s , such that $(K_X + \det(E)) \cdot R_i < 0$, and therefore, by Remark 2.1, $l(R_i) \geq n - 1$.

Consider one of this extremal rays, $R = R_i$, and let $\rho : X \rightarrow Y$ be its associated elementary contraction. Then $L := -(K_X + \det(E))$ is ρ -ample and so is the vector bundle $E_1 := E \oplus L$; moreover $K_X + \det(E_1) = \mathcal{O}_X$ relative to ρ . To proceed we need a relative version of the theorem in [ABW2] which studies the positivity of the adjoint bundle in the case of $\text{rank} E_1 = n - 1$. More precisely, we assume not that E_1 is ample but that it is ρ -ample (or equivalently a local statement in a neighborhood of the exceptional locus of the extremal ray R). For this we notice that the theorem in [ABW2] is true also in the relative case and can be proved verbatim using the relative minimal model theory instead of the absolute (see [KMM]; see also section 2 of [AW] for a discussion of the local setup).

Assume first that ρ is birational; then $K_X + \det(E_1)$ is ρ -nef and ρ -big; note also that, since $l(R_i) \geq n - 1$, ρ is divisorial. Therefore we are in the (relative)

case C of the theorem in [ABW2] (see also Theorem 3.1 with $r = n - 1$); this implies that Y is smooth and ρ is the blow-up of a point in Y . Since $l(R_i) \geq n - 1$, the exceptional loci of the birational rays are pairwise disjoint by Proposition 1.10. This gives Theorem 5.1 (i): the birational extremal rays have disjoint exceptional loci which are divisors isomorphic to \mathbf{P}^{n-1} and which contract simultaneously to smooth distinct points on a n -fold W . The description of E follows trivially (see also [ABW2]).

If ρ is not birational then we are in case B of the theorem in [ABW2]; from this we obtain similarly as above the other cases of Theorem 5.1, with some trivial computations needed to recover E from E_1 . Note that in the case of fibration over a surface, since all fibers are \mathbf{P}^{n-2} , then $n - 1 = l(R) > \det E \cdot R_i \geq n - 2$; thus $l(R) = n - 1$ and $\det E \cdot R_i = n - 2$. Then $-(\det E + K_X)$ is a tautological bundle for the fibration, and the fibration is a scroll. This part was also independently proved in [Ma] \square

Proof of part 2) of Theorem 5.1. Let $K_X + \det E$ be nef but not big; then it is the supporting divisor of a face $F = (K_X + \det E)^\perp$. Using ([KMM]) we can say that there exists a map $\pi : X \rightarrow W$ which is given by a high multiple of $K_X + \det E$ and which contracts the curves in the face. Since $K_X + \det E$ is not big, we have that $\dim W < \dim X$. Moreover for every rational curve C in a general fiber of π we have $-K_X \cdot C \geq n - 2$ by Remark 2.1. We apply Proposition 1.12, which, together with the above inequality on $-K_X \cdot C$, gives that π is an elementary contraction if $n \geq 5$ unless either $n = 6$, W is a point and X is a Fano manifold of pseudoindex 4 and $\rho(X) = 2$, or $n = 5$ and $\dim W \leq 1$.

By Proposition 1.8 we have the inequality

$$n + d \geq n + n - 2 - 1,$$

where d is the dimension of a fiber; in particular it follows that $\dim W \leq 3$.

Case 5.2 ($\dim W = 0$). Then $K_X + \det E = 0$, and therefore X is a Fano manifold. By what just said above we have that $b_2(X) = 1$ if $n \geq 6$, with an exception which is a particular case of the following lemma for $n = 6$.

Lemma 5.3. *Let X be an n -dimensional projective manifold, E an ample vector bundle on X of rank $r + 1$ such that $K_X + \det E = 0$, and $n = 2r$. Assume moreover that $b_2 \geq 2$. Then $X = \mathbf{P}^r \times \mathbf{P}^r$ and $E = \oplus^r \mathcal{O}(1, 1)$.*

Proof. The lemma is a slight generalization of [Wi1, Prop. B]; the proof is similar and for more details we refer to that paper. In particular, as in [Wi1] we can see that X has two extremal rays whose contractions π_i , $i = 1, 2$, are of fiber type with equidimensional fibers onto r -folds W_i and with general fiber $F_i \simeq \mathbf{P}^r$. We claim that the W_i are smooth and thus $W_i \simeq \mathbf{P}^r$. The contractions π_i are supported by $K_X + \det E'_i$, with E'_i an ample vector bundle ($E'_i = E \times \pi^* A_i$ with A_i ample on W_i). Therefore we are in the hypothesis of Proposition 3.2. Thus the W_i are smooth and all the fibers are \mathbf{P}^r .

Let $T = \bigcap_{i=1}^{r-1} H_i$, where H_i are general elements of $\pi_1^*(\mathcal{O}(1))$. We claim that $T \simeq \mathbf{P}^1 \times \mathbf{P}^r$. In fact T is smooth and $\pi_{1|T}$ makes T a projective bundle over a line (since $H^2(\mathbf{P}^1, \mathcal{O}^*) = 0$), that is, $T = \mathbf{P}(\mathcal{F})$. Moreover $\pi_{2|T}$ is onto \mathbf{P}^r ; therefore the claim follows. Therefore we conclude that $\pi_i^* \mathcal{O}_{\mathbf{P}^r}(1)|_{F_i} \simeq \mathcal{O}_{\mathbf{P}^r}(1)$ for $i = 1, 2$. This implies, by Grauert's Theorem, that the two fibrations are classical scrolls, that is,

$X = \mathbf{P}(\mathcal{F}_i)$, for $i = 1, 2$; moreover, computing the canonical class of X , the \mathcal{F}_i are ample and the lemma easily follows. \square

Case 5.4 ($\dim W = 1$). Then W is a smooth curve and π is a flat map. Let F be a general fiber; then F is a smooth Fano manifold and $E|_F$ is an ample vector bundle on F of rank $n - 2 = \dim F - 1$ such that $-K_F = \det(E|_F)$. These pairs $(F, E|_F)$ are classified in the Main Theorem of [PSW]; in particular, if $\dim F \geq 5$, F is either \mathbf{P}^{n-1} or \mathbf{Q}^{n-1} or a del Pezzo manifold with $b_2(F) = 1$. Moreover, if $n \geq 6$, then π is an elementary contraction by Proposition 1.12.

Claim 5.5. Let $n \geq 6$ and assume that the general fiber is \mathbf{P}^{n-1} . Then X is a classical scroll and $E|_F$ is the same for all F .

Proof. (See also [Fu2].) Let $S = W \setminus U$ be the locus of points over which the fiber is not \mathbf{P}^{n-1} . Over U we have a projective fiber bundle. Since $H^2(U, \mathcal{O}^*) = 0$ we can associate this \mathbf{P} -bundle to a vector bundle \mathcal{F} over U . Let $Y = \mathbf{P}(\mathcal{F})$ and H the tautological bundle; by abuse of language let H be the extension of H to X . Since π is elementary, H is an ample line bundle on X . Therefore by semicontinuity $\Delta(F, H_F) \geq \Delta(G, H_G)$, for any fiber G , where $\Delta(X, L)$ is Fujita's delta-genus. In our case this yields $0 = \Delta(F, H_F) \geq \Delta(G, H_G) \geq 0$. Moreover by flatness $(H_G)^{n-1} = (H_F)^{n-1} = 1$; by the Fujita classification of the pairs of delta genus zero we conclude that all G are equal to \mathbf{P}^{n-1} . Using again the Main Theorem of [PSW], we see that $E|_G$ is decomposable, hence rigid; that is, the decomposition is the same along all fibers of π . This concludes the proof of the claim. \square

Claim 5.6. Let $n \geq 6$ and assume that the general fiber is \mathbf{Q}^{n-1} . Then X is a quadric bundle.

Proof. As above, let $S = W \setminus U$ be the locus of points over which the fiber is not a smooth quadric. Let $X^* = \pi^{-1}(U)$; then we can embed X^* in a fiber bundle of projective spaces over U , since it is locally trivial. Associate this P -bundle over U to a projective bundle and argue as before. \square

Case 5.7 ($\dim W = 2$). Assume that $n \geq 5$; then π is an elementary contraction. This implies first, by [ABW2, Prop. 1.4.1], that W is smooth; secondly that π is equidimensional, hence flat and the general fiber is \mathbf{P}^{n-2} or \mathbf{Q}^{n-2} , see [Fu2].

Claim 5.8. Let $n \geq 5$ and let the general fiber be \mathbf{P}^{n-2} ; then for any fiber $F \simeq \mathbf{P}^{n-2}$ and $E|_F$ is the same for all F .

Proof. Let $S \subset W$ be the locus of fibers that are not \mathbf{P}^{n-2} ; then $\dim S \leq 0$ since W is smooth. In fact, over a generic hyperplane section on W all fibers are \mathbf{P}^{n-2} by [ABW2]. Let $U \subset W$ be an open set, in the complex topology, with $U \cap S = \{0\}$, and let $V \subset X$ be such that $V = \pi^{-1}(U)$. We are in the hypothesis of Lemma 3.3; thus we get a "tautological" line bundle H on V , and we conclude by [Fu1, Prop. 2.12].

There are two possible restrictions of E to the fiber, namely, $E|_F \simeq \mathcal{O}(2) \oplus (\oplus^{n-1} \mathcal{O}(1))$ or $E|_F$ is the tangent bundle. As observed by Fujita in [Fu2, 3.8 and 3.11], these two restrictions have a different behavior in the diagram 2.1): in the former φ is birational and $\dim F(\varphi) = n - 2$, while in the latter it is of fiber type and $\dim F(\varphi) = n - 3$. Hence the restriction has to be constant along all the fibers. \square

Case 5.9 ($\dim W = 3$). The general fiber is \mathbf{P}^{n-3} (see for instance [Fu2]). Assume that $n \geq 5$; therefore π is elementary.

Since π is elementary, any fiber G has $\text{cod}G \geq 2$. Let $S \subset W$ be the locus of point over which the fiber is not \mathbf{P}^{n-3} ; $\dim S \leq 0$ since a generic linear space section cannot intersect S , as above. Let $(W, 0)$ be an analytic germ of a smooth point of W . Then we are in the hypothesis of Proposition 2.5 and can assume that the contraction is supported (locally) by $K_X + (n - 2)L$. Therefore, since $n \geq 5$, by [AW, Th. 4.1] all the fibers have dimension $n - 3$. We conclude that all fibers over $(W, 0)$ are \mathbf{P}^{n-3} . \square

Proof of part 3) of the theorem. In the last part of the theorem we assume that $K_X + \det E$ is nef and big but not ample. Then $K_X + \det E$ is a supporting divisor of an extremal face, F ; let R_i be the extremal rays spanning this face. Fix one of these rays, say $R = R_i$, and let $\pi : X \rightarrow W$ be the elementary contraction associated to R .

We have $l(R) \geq n - 2$; this implies first that the exceptional loci are disjoint if $n > 3$, by Proposition 1.11. Secondly, by the inequality 1.8), we have

$$\dim E(R) + \dim F(\pi) \geq 2n - 3.$$

Therefore $\dim E(R) = n - 1$ and either $\dim F(\pi) = n - 1$ or $\dim F(\pi) = n - 2$; thus $n - 1 \geq l(R) \geq n - 2$. If $B := \rho(E)$ and $D = E(R)$, this implies that $\dim B = 0$ or 1.

If $\dim B = 1$ then $\dim F(\pi) = n - 2$ for all fibers (note that since the contraction π is elementary there cannot be a fiber of dimension $n - 1$); thus we can apply Theorem 3.1 with $r = n - 2$. This will give the case 3(iii) of the theorem.

Now let $\dim B = 0$ and consider again the construction in section 2; in particular we refer to the diagram 2.1). Let S be the extremal ray contracted by φ ; note that $l(S) \geq n - 2$ and that the inequality 1.8) gives

$$\dim E(S) + \dim F(\varphi) \geq 3n - 6;$$

in particular, since $\dim F(\varphi) \leq \dim F(\pi)$, we have two cases, namely $\dim E(S) = 2n - 5$ and $\dim F(\varphi) = n - 1$, or $\dim E(S) = 2n - 4$ and $\dim F(\varphi) = n - 1$ or $n - 2$.

The case in which $\dim E(S) = 2n - 5$ will not occur. In fact, after ‘‘slicing’’, (see (2.4)), we would obtain a map $\varphi' = \varphi|_Z$ which would be a small contraction supported by a divisor of the type $K_Z + (n - 2)L$, but this is impossible by the classification of [Fu1, Th. 4] (see also [An]).

Hence $\dim E(S) = 2n - 4$; that is, also φ is divisorial and $E(S) \cdot l_p = 0$, where l_p is a line in $F(p)$. In particular, $E(S) = p^*D$.

Suppose that the general fiber of φ , $F(\varphi)$, has dimension $n - 2$. After slicing we obtain a map $\varphi' = \varphi|_Z : Z \rightarrow T$ supported by $K_Z + (n - 2)L$, where $L = \xi_{E|_Z}$. This map contracts divisors \overline{D} in Z to curves; by ([Fu1, Th. 4]) we know that every fiber F of this map is \mathbf{P}^{n-2} and that $\overline{D}|_F = \mathcal{O}(-1)$ (actually this map is a blow-up of a smooth curve in a smooth variety). In particular there are curves in Y , call them l , such that $-E(S) \cdot l = 1$. We will discuss this case in a while.

Assume now that the general fiber and therefore all have dimension $n - 1$.

Lemma 5.10. *Under these hypotheses, $l(R) = n - 2$.*

Let C be a minimal curve in R (see 1.6)), $\nu : \mathbf{P}^1 \rightarrow C$ its normalization, $\tilde{\nu} : Y_C = \mathbf{P}(\nu^*E|_C) \rightarrow Y$ the induced morphism and ξ_C the tautological bundle of Y_C ; note that $\tilde{\nu}^*\xi_E = \xi_C$.

Let $g : Y_C \rightarrow F(\psi)_w$ be the morphism induced by φ on Y_C and

$$Y_C \xrightarrow{\alpha} V_1 \xrightarrow{\beta} F(\psi)_w$$

its Stein factorization. Assume by contradiction that $l(R) = n - 1$; then $\nu^*(E|_C) = \mathcal{O}(2) \oplus \mathcal{O}(1)^{\oplus n-3}$, and so Y_C has two contractions, the scroll structure and a blow-down to \mathbf{P}^{n-2} .

Let \tilde{l} be a line contracted by the blow-down; then \tilde{l} is contracted by g . In fact, by the projection formula $\xi_E \cdot \tilde{\nu}_* \tilde{l} = \xi_C \cdot \tilde{l} = 1$. Thus by the commutativity of the diagram $\tilde{\nu}_* \tilde{l}$ is a minimal curve in S .

Since α cannot contract all Y_C , then α is the blow-down. Since $\dim F(\pi) = \dim F(\varphi)$ by hypothesis, then by Remark 2.3 all fibers $F(\psi)$ have dimension $n - 3$. So we get the contradiction that $\beta : \mathbf{P}^{n-2} \rightarrow F(\psi)_w$ is a finite map between two varieties of different dimension.

Slicing, we obtain a map $\varphi' = \varphi|_Z : Z \rightarrow T$ supported by $K_Z + (n - 2)L$, where $L = \xi_{E|_Z}$. This map contracts divisors \overline{D} in Z to points; by ([Fu1]) we know that these divisors are either \mathbf{P}^{n-1} with normal bundle $\mathcal{O}(-2)$ or $\mathbf{Q}^{n-1} \subset \mathbf{P}^n$ with normal bundle $\mathcal{O}(-1)$. In the latter case we have as above that there are curves l in Y such that $-E(S) \cdot l = 1$.

In these cases observe that $E(S) = p^*(D)$ and $K_X + (n - 2)(-D)$ is a supporting divisor for π . Then by [Fu1] we conclude that $(D, D|_D)$ is one of the pair listed in the theorem, and the theory of uniform bundles makes it easy to recover $E|_D$ ([OSS]).

There remains the case in which $\varphi' = \varphi|_Z : Z \rightarrow T$ contracts divisors $\overline{D} = \mathbf{P}^{n-1}$ with normal bundle $\mathcal{O}(-2)$ to points. We can apply Proposition 2.5 and show that the singularities of W are the same as those of T . Then, as in ([Mo1]), this means that we can factor π with the blow-up of the singular point. Let $X' = Bl_w(W)$; then we have a birational map $g : X \rightarrow X'$. Note that X' is smooth and that g is finite. Actually it is an isomorphism outside D , and cannot contract any curve of D . Assume to the contrary that g contracts a curve $C' \subset D$; let $N \in Pic(X')$ be an ample divisor. Then we have $g^*N \cdot C' = 0$ while $g^*N \cdot C \neq 0$, contradiction. Thus by Zariski's main theorem g is an isomorphism. This gives the case in 3i). \square

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DIPARTIMENTO DI MATEMATICA, UNIVERSITÀ DI TRENTO, 38050 POVO (TN), ITALIA
E-mail address: andreatt@science.unitn.it

DIPARTIMENTO DI MATEMATICA, UNIVERSITÀ DI TRENTO, 38050 POVO (TN), ITALIA
E-mail address: mella@science.unitn.it