# Oracle® XML DB Developer's Guide





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# Part VI Oracle XML DB Repository



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### **Preface**

This manual describes Oracle XML DB, and how you can use it to store, generate, manipulate, manage, and query XML data in the database.

After introducing you to the heart of Oracle XML DB, namely the XMLType framework and Oracle XML DB Repository, the manual provides a brief introduction to design criteria to consider when planning your Oracle XML DB application. It provides examples of how and where you can use Oracle XML DB.

The manual then describes ways you can store and retrieve XML data using Oracle XML DB, APIs for manipulating XMLType data, and ways you can view, generate, transform, and search on existing XML data. The remainder of the manual discusses how to use Oracle XML DB Repository, including versioning and security, how to access and manipulate repository resources using protocols, SQL, PL/SQL, or Java, and how to manage your Oracle XML DB application using Oracle Enterprise Manager. It also introduces you to XML messaging and Oracle Database Advanced Queuing XMLType support.

#### Audience

Oracle XML DB Developer's Guide is intended for developers building XML Oracle Database applications.

- Documentation Accessibility
- Related Documents
- Conventions
- Code Examples

The code examples in this book are for illustration only. In many cases, however, you can copy and paste parts of examples and run them in your environment.

#### Syntax Descriptions

Syntax descriptions are provided for various SQL, PL/SQL, or other command-line constructs in graphic form or Backus Naur Form (BNF).

### **Audience**

Oracle XML DB Developer's Guide is intended for developers building XML Oracle Database applications.

An understanding of XML, XML Schema, XQuery, XPath, and XSL is helpful when using this manual.

Many examples provided here are in SQL, PL/SQL, Java, or C. A working knowledge of one of these languages is presumed.



# **Documentation Accessibility**

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

#### **Access to Oracle Support**

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs if you are hearing impaired.

### **Related Documents**

For more information, see these Oracle resources:

- Oracle Database XML Java API Reference
- Oracle XML Developer's Kit Programmer's Guide
- Oracle Database Error Messages
- Oracle Text Application Developer's Guide
- Oracle Text Reference
- Oracle Database Concepts
- Oracle Database Java Developer's Guide
- Oracle Database Development Guide
- Oracle Database Advanced Queuing User's Guide
- Oracle Database PL/SQL Packages and Types Reference

Many of the examples in this book use the Oracle Database sample schemas. Refer to *Oracle Database Sample Schemas* for information about how these database schemas were created and how you can use them yourself.

To download free release notes, installation documentation, white papers, or other collateral, please visit the Oracle Technology Network (OTN). You must register online before using OTN; registration is free and can be done at OTN Registration

For additional information, see:

- Extensible Markup Language (XML) 1.0
- XML Schema and XML Schema resources
- XML Schema Part 0: Primer
- XML Schema Part 1: Structures
- XML Schema Part 2: Datatypes
- · XML Schemas reference list
- XML and MIME Media-Types
- XML Pointer Language (XPointer)



- XML Path Language (XPath) Version 1.0
- XML Path Language (XPath) 2.0
- XPath Tutorial
- Unicode in XML and other Markup Languages, Unicode Technical Report #20
- Namespaces in XML 1.0
- XML Information Set
- Document Object Model (DOM)
- XSL Transformations (XSLT) Version 1.0
- Extensible Stylesheet Language (XSL) Version 1.1
- XSL references
- XSLT Tutorial
- Web Services Activity
- FTP Protocol Specification, IETF RFC959
- ISO/IEC 13249-2:2000, Information technology Database languages SQL Multimedia and Application Packages - Part 2: Full-Text, International Organization For Standardization, 2000



Throughout this manual, **XML Schema** refers to the XML Schema 1.0 recommendation.

### Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text or the glossary.
italic	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## Code Examples

The code examples in this book are for illustration only. In many cases, however, you can copy and paste parts of examples and run them in your environment.

Standard Database Schemas

Many of the examples in this book use the standard database schemas that are included in your database. In particular, database schema OE contains XML purchase-order documents in XMLType table purchaseorder, and XML



documents with warehouse information in XMLType column warehouse\_spec of table warehouses.

#### Pretty Printing of XML Data

To promote readability, especially of lengthy or complex XML data, output is sometimes shown pretty-printed (formatted) in code examples.

#### Execution Plans

Some of the code examples in this book present execution plans. These are for illustration only. Running examples that are presented here in your environment is likely to result in different execution plans from those presented here.

Reminder About Case Sensitivity
 SQL is case-insensitive. XML is case-sensitive. Keep this in mind when you mix
 SOL and XML.

### Standard Database Schemas

Many of the examples in this book use the standard database schemas that are included in your database. In particular, database schema OE contains XML purchase-order documents in XMLType table purchaseorder, and XML documents with warehouse information in XMLType column warehouse\_spec of table warehouses.

The purchase-order documents are also contained in Oracle XML DB Repository, under the repository path <code>/home/OE/PurchaseOrders/2002/</code>. The XML schema that governs these documents is file <code>purchaseOrder.xsd</code>, at repository location <code>/home/OE/purchaseOrder.xsd</code>. An XSLT stylesheet that is used in some examples to transform <code>purchase-order.xsl</code>. This XML schema and stylesheet can also be found in <code>Oracle-Supplied XML Schemas</code> and <code>Examples</code>.

### See Also:

- Oracle Database Sample Schemas for information about database schema HR
- Oracle Database Sample Schemas for information about database schema OE

### Pretty Printing of XML Data

To promote readability, especially of lengthy or complex XML data, output is sometimes shown pretty-printed (formatted) in code examples.

### **Execution Plans**

Some of the code examples in this book present execution plans. These are for illustration only. Running examples that are presented here in your environment is likely to result in different execution plans from those presented here.



### Reminder About Case Sensitivity

SQL is case-insensitive. XML is case-sensitive. Keep this in mind when you mix SQL and XML.

When examining the examples in this book, keep in mind the following:

- SQL is case-insensitive, but names in SQL code are implicitly uppercase, unless you enclose them in double quotation marks (").
- XML is case-sensitive. You must refer to SQL names in XML code using the correct case: uppercase SQL names must be written as uppercase.

For example, if you create a table named  $my\_table$  in SQL without using double quotation marks, then you must refer to it in XML code as "MY TABLE".

# Syntax Descriptions

Syntax descriptions are provided for various SQL, PL/SQL, or other command-line constructs in graphic form or Backus Naur Form (BNF).

See *Oracle Database SQL Language Reference* for information about how to interpret these descriptions.



# Part I

# Oracle XML DB Basics

The basics of Oracle XML DB are introduced.

- Introduction to Oracle XML DB
   Oracle XML DB provides Oracle Database with native XML support by encompassing both the SQL and XML data models in an interoperable way.
- Getting Started with Oracle XML DB
   Some preliminary design criteria are presented for consideration when planning your Oracle XML DB solution.
- Overview of How To Use Oracle XML DB
   An overview of the various ways of using Oracle XML DB is presented.



1

# Introduction to Oracle XML DB

Oracle XML DB provides Oracle Database with native XML support by encompassing both the SQL and XML data models in an interoperable way.

#### Overview of Oracle XML DB

Oracle XML DB is a set of Oracle Database technologies related to high-performance handling of XML data: storing, generating, accessing, searching, validating, transforming, evolving, and indexing. It provides native XML support by encompassing both the SQL and XML data models in an interoperable way.

#### Oracle XML DB Benefits

Oracle XML DB supports all major XML, SQL, Java, and Internet standards. It provides high performance and scalability for XML operations. It brings database features such as transaction control, data integrity, replication, reliability, availability, security, and scalability to the world of XML.

#### Oracle XML DB Architecture

Oracle XML DB gives you protocol and programmatic access to XML data in the form of local and remote XMLType tables and views. It provides a WebDAV repository with resource versioning and access control.

#### Oracle XML DB Features

Oracle XML DB provides standard database features such as transaction control, data integrity, replication, reliability, availability, security, and scalability, while also allowing for efficient indexing, querying, updating, and searching of XML documents in an XML-centric manner.

Standards Supported by Oracle XML DB
 Oracle XML DB supports all major XML, SQL, Java, and Internet standards.

#### Oracle XML DB Technical Support

Besides the regular channels of support through your customer representative or consultant, technical support for Oracle Database XML-enabled technologies is available free through Oracle Technology Network (OTN).

#### Oracle XML DB Examples

The examples that illustrate the use of Oracle XML DB and XMLType are based on various database schemas, sample XML documents, and sample XML schemas.

Oracle XML DB Case Studies and Demonstrations on OTN
 Visit Oracle Technology Network (OTN) to view Oracle XML DB examples, white papers, case studies, and demonstrations.

### 1.1 Overview of Oracle XML DB

Oracle XML DB is a set of Oracle Database technologies related to high-performance handling of XML data: storing, generating, accessing, searching, validating, transforming, evolving, and indexing. It provides native XML support by encompassing both the SQL and XML data models in an interoperable way.

Oracle XML DB is included as part of Oracle Database starting with Oracle9i Release 2 (9.2).

Oracle XML DB and the XMLType abstract data type make Oracle Database XML-aware. Storing XML data as an XMLType column or table lets the database perform XML-specific operations on the content. This includes XML validation and optimization. XMLType storage allows highly efficient processing of XML content in the database.

Oracle XML DB includes the following features:

- An abstract SQL data type, XMLType, for XML data.
- Enterprise-level Oracle Database features for XML content: reliability, availability, scalability, and security. XML-specific memory management and optimizations.
- Industry-standard ways to access and update XML data. You can use FTP, HTTP(S), and WebDAV to move XML content into and out of Oracle Database.
   Industry-standard APIs provide programmatic access and manipulation of XML content using Java, C, and PL/SQL.
- Ways to store, query, update, and transform XML data while accessing it using SQL and XQuery.
- Ways to perform XML operations on SQL data.
- Oracle XML DB Repository: a simple, lightweight repository where you can organize and manage database content, including XML content, using a file/ folder/URL metaphor.
- Ways to access and combine data from disparate systems through gateways, using a single, common data model. This reduces the complexity of developing applications that must deal with data from different stores.
- Ways to use Oracle XML DB in conjunction with Oracle XML Developer's Kit (XDK) to build applications that run in the middle tier in either Oracle Fusion Middleware or Oracle Database.

Oracle XML DB functionality is partially based on the Oracle XML Developer's Kit C implementations of the relevant XML standards, such as XML Parser, XSLT Virtual Machine, XML DOM, and XML Schema Validator.

#### **Related Topics**

XMLType Data Type

Using XMLType, XML developers can leverage the power of XML standards while working in the context of a relational database, and SQL developers can leverage the power of a relational database while working with XML data.

### See Also:

- Oracle XML DB on OTN for the latest news and white papers about Oracle XML DB
- Oracle XML Developer's Kit Programmer's Guide

### 1.2 Oracle XML DB Benefits

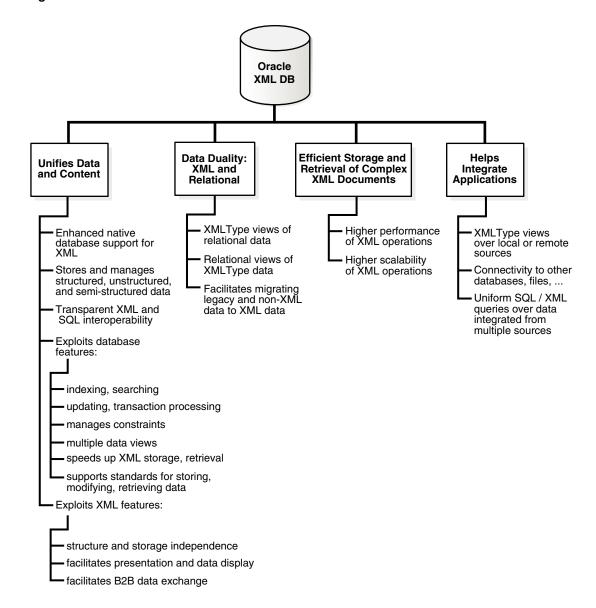
Oracle XML DB supports all major XML, SQL, Java, and Internet standards. It provides high performance and scalability for XML operations. It brings database



features such as transaction control, data integrity, replication, reliability, availability, security, and scalability to the world of XML.

Figure 1-1 presents an overview of the standards supported by Oracle XML DB.

Figure 1-1 Oracle XML DB Benefits



#### Data and Content Unified

With Oracle XML DB, you can store and manage data that is structured, unstructured, and semi-structured using a standard data model and standard SQL and XML. You can perform SQL operations on XML documents and XML operations on object-relational (such as table) data.

Data Duality: XML and Relational
 Oracle XML DB presents a symmetric, dual view of data: as XML and as relational.

Efficient Storage and Retrieval of Complex XML Documents
 Oracle XML DB provides high performance and scalability for XML operations,
 letting you manage the storage and retrieval of complex, large, or many XML
 documents.

### 1.2.1 Data and Content Unified

With Oracle XML DB, you can store and manage data that is structured, unstructured, and semi-structured using a standard data model and standard SQL and XML. You can perform SQL operations on XML documents and XML operations on object-relational (such as table) data.

Most application data and Web content is stored in a relational database, a file system, or both. XML data is often used for data exchange, and it can be generated from a relational database or a file system. As the volume of XML data exchanged grows, the cost of regenerating this data grows, and these storage methods become less effective at accommodating XML content.

Oracle XML DB Non-Native XML Processing **Separate Data and Content Servers Applications Applications Applications** Oracle **Application Server Application Server** Application Server XML Processing and Repository Layer File System **RDBMS XML RDBMS Oracle** Repository XML DB Structured Data Multimedia, Document Multimedia and Structured Data Multimedia and **Document Content** and Metadata Content and XML, Document Content, Metadata Structured Data. XML, Metadata

Figure 1-2 Unifying Data and Content: Some Common XML Architectures

Organizations often manage their structured data and unstructured data differently:

- Unstructured data, stored in tables, makes document access transparent and table access complex.
- Structured data, often stored in binary large objects (such as in BLOB instances), makes access more complex and table access transparent.



Database Capabilities for Working with XML

Oracle Database provides database capabilities for working with XML: indexing and search; updates and transaction processing; managing relationships using constraints; multiple data views; high performance and scalability. It supports XML Schema, XQuery, XPath, and DOM.

Advantages of Storing Data as XML in the Database
 Storing data as XML in the database provides these advantages: storage independence, ease of presentation, and ease of interchange.

### 1.2.1.1 Database Capabilities for Working with XML

Oracle Database provides database capabilities for working with XML: indexing and search; updates and transaction processing; managing relationships using constraints; multiple data views; high performance and scalability. It supports XML Schema, XQuery, XPath, and DOM.

 Indexing and search – Just as your database data can be more or less structured, so can your queries. One query can look for all product definitions created between March and April 2014. Another query can look for products whose descriptions contain the words "wireless" and "router" but not the term "wireless router".

A query such as the former targets structured data, and it is typically supported by a B-tree index on a date column. A query such as the latter targets unstructured data, and for Oracle Database it is typically supported by an Oracle Text (full-text) index. Applications can of course combine structured and unstructured queries, and targeted data can be a mix of structured and unstructured data.

For XML data the situation is similar. Oracle XML DB provides indexing features that let you target the gamut of XML possibilities, from data and queries that are highly structured to those that are highly unstructured.

- Query and Update of XML Data
- Generation of XML Data from Relational Data
- Indexing XML Data for Full-Text Queries
- Oracle Text Application Developer's Guide
- Oracle Text Reference
- Updates and transaction processing Commercial relational databases use
  fast updates of subparts of records, with minimal contention between users
  trying to update. As traditionally document-centric data participate in collaborative
  environments through XML, this requirement becomes more important. File or
  CLOB storage cannot provide the granular concurrency control that Oracle XML DB
  does.





Query and Update of XML Data

Managing relationships – Data with any structure typically has foreign-key
constraints. XML data stores generally lack this feature, so you must implement
any constraints in application code. Oracle XML DB enables you to constrain
XML data according to XML schema definitions, and hence achieve control over
relationships that structured data has always enjoyed.

### See Also:

- XML Schema Storage and Query: Basic
- The purchase-order examples in Query and Update of XML Data
- Multiple views of data Most enterprise applications need to group data together
  in different ways for different modules. This is why relational views are necessary
  —to allow for these multiple ways to combine data. By allowing views on XML,
  Oracle XML DB creates different logical abstractions on XML for, say, consumption
  by different types of applications.

### See Also:

XMLType Views

 Performance and scalability – Users expect data storage, retrieval, and query to be fast. Loading a file or CLOB value, and parsing, are typically slower than relational data access. Oracle XML DB dramatically speeds up XML storage and retrieval.

- Getting Started with Oracle XML DB
- Overview of How To Use Oracle XML DB
- Ease of development Databases are foremost an application platform that
  provides standard, easy ways to manipulate, transform, and modify individual data
  elements. While typical XML parsers give standard read access to XML data they
  do not provide an easy way to modify and store individual XML elements. Oracle
  XML DB supports several standard ways to store, modify, and retrieve data. These
  include XML Schema, XQuery, XPath, DOM, and Java.



### ✓ See Also:

- XQuery and Oracle XML DB
- Java DOM API for XMLType
- Repository Access Using RESOURCE\_VIEW and PATH\_VIEW
- PL/SQL Access to Oracle XML DB Repository

### 1.2.1.2 Advantages of Storing Data as XML in the Database

Storing data as XML in the database provides these advantages: storage independence, ease of presentation, and ease of interchange.

• Storage independence: When you use relational design, your client programs must know where your data is stored, in what format, what table, and what the relationships are among those tables. XMLType enables you to write applications without that knowledge and lets database administrators map structured data to physical table and column storage.

### See Also:

Access to Oracle XML DB Repository Data

 Ease of presentation: XML is understood natively by Web browsers, many popular desktop applications, and most Internet applications. Relational data is generally not accessible directly from applications. Additional programming is required to make relational data accessible to standard clients. Oracle XML DB stores data as XML and makes it available as XML outside the database. No extra programming is required to display database content.

- Transformation and Validation of XMLType Data.
- Generation of XML Data from Relational Data.
- XMLType Views.
- Ease of interchange XML is the language of choice in business-to-business (B2B) data exchange. If you are forced to store XML in an arbitrary table structure, you are using some kind of proprietary translation. Whenever you translate a language, information is lost and interchange suffers. By natively understanding XML and providing DOM fidelity in the storage/retrieval process, Oracle XML DB enables a clean interchange.



- Transformation and Validation of XMLType DataXMLType Views

### 1.2.2 Data Duality: XML and Relational

Oracle XML DB presents a symmetric, dual view of data: as XML and as relational.

A key feature of Oracle XML DB is that it lets you work with XML data as if it were relational data and relational data as if it were XML data. You can leverage the power of the relational model when working with XML content, and you can leverage the flexibility of XML when working with relational content. You can use the most appropriate tools for different aspects of a particular business problem.

This duality means that the same data can be exposed as rows in a table and manipulated using SOL or exposed as nodes in an XML document and manipulated using XQuery, the DOM, or XSL transformation. Access and processing techniques are independent of the underlying storage method.

These features can provide simple solutions to common business problems:

- You can generate XML data directly from a SQL query. You can transform the XML data into other formats, such as HTML, using the database-resident XSLT processor.
- You can access XML content without converting between different data formats, using SQL queries, on-line analytical processing (OLAP), and businessintelligence/data warehousing operations.
- You can perform text and spatial data operations on XML content.
- Use XMLType Views If Your Data Is Not XML XMLType views provide a way for you to wrap existing relational or object-relational data in XML format.

### 1.2.2.1 Use XMLType Views If Your Data Is Not XML

XMLType views provide a way for you to wrap existing relational or object-relational data in XML format.

This can be especially useful if your legacy data is not in XML format but you must migrate it to XML format. Using XMLType views, you need not alter your application code or the stored data.

To use XMLType views, you must first register an XML schema with annotations that represent a bidirectional mapping between XML Schema data types and either SQL data types or binary XML encoding types. You can then create an XMLType view conforming to this mapping, by providing an underlying query that constructs instances of the appropriate types.

#### **Related Topics**

XMLType Views You can create XMLType views over relational and object-relational data.



### 1.2.3 Efficient Storage and Retrieval of Complex XML Documents

Oracle XML DB provides high performance and scalability for XML operations, letting you manage the storage and retrieval of complex, large, or many XML documents.

These are the major performance features of Oracle XML DB:

- Native XMLType. Abstract data type XMLType has two storage models, each
  optimized to work efficiently for a particular set of use cases. See Query and
  Update of XML Data and Choice of XMLType Storage and Indexing
- Optimized processing of XQuery, XPath, and XSLT. See Performance Tuning for XQuery and Transformation and Validation of XMLType Data.
- Indexing XML data for structured or full-text search. See Indexes for XMLType Data.
- A lazily evaluated virtual DOM. See PL/SQL DOM API for XMLType (DBMS XMLDOM).
- A hierarchical index over Oracle XML DB Repository. See Performance Guidelines for Oracle XML DB Repository Operations.
- Parallelism and Oracle Exadata Smart Scan. Query and update of XML data can be carried out in parallel. Oracle Exadata Smart Scan is enabled automatically for XML data.

### 1.3 Oracle XML DB Architecture

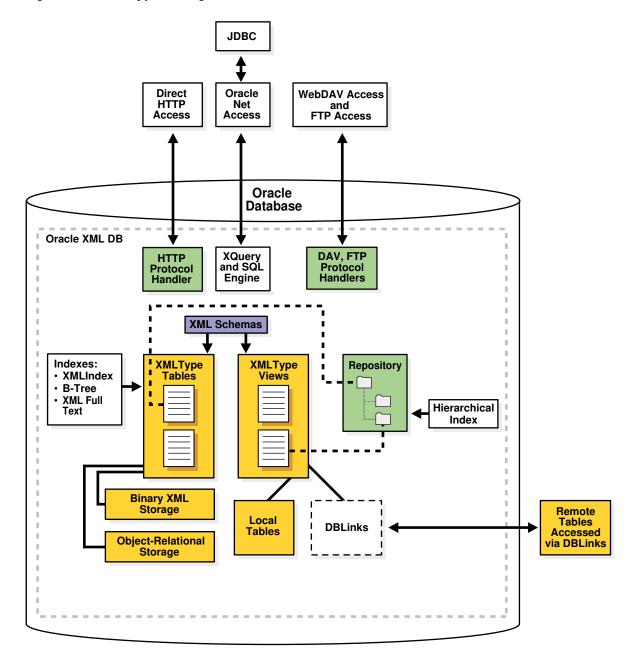
Oracle XML DB gives you protocol and programmatic access to XML data in the form of local and remote XMLType tables and views. It provides a WebDAV repository with resource versioning and access control.

Figure 1-3 shows the software architecture of Oracle XML DB. The main features are:

- Storage of XMLType tables and views.
  - You can index XMLType tables and views using XMLIndex, B-tree, and Oracle Text indexes.
  - You can store data that is in XMLType views in local or remote tables. You can access remote tables using database links.
- Support for XQuery, including XQuery Update and XQuery Full Text.
- Oracle XML DB Repository. You can store any kind of documents in the repository, including XML documents that are associated with an XML schema that is registered with Oracle XML DB. You can access documents in the repository in any of the following ways:
  - HTTP(S), through the HTTP protocol handler
  - WebDAV and FTP, through the WebDAV and FTP protocol handlers
  - SQL, through Oracle Net Services, including Java Database Connectivity (JDBC)



Figure 1-3 XMLType Storage



### 1.4 Oracle XML DB Features

Oracle XML DB provides standard database features such as transaction control, data integrity, replication, reliability, availability, security, and scalability, while also allowing for efficient indexing, querying, updating, and searching of XML documents in an XML-centric manner.

The hierarchical nature of XML presents a traditional relational database with some challenges:

- In a relational database, the *table-row* metaphor locates content. Primary-Key Foreign-Key relationships help define the relationships between content. Content is accessed and updated using the table-row-column metaphor.
- XML, on the other hand, uses *hierarchical* techniques to achieve the same functionality. A URL is used to locate an XML document. URL-based standards such as XLink are used to define relationships between XML documents. W3C Recommendations such as XPath are used to access and update content contained within XML documents. Both URLs and XPath expressions are based on *hierarchical* metaphors. A URL uses a path through a *folder hierarchy* to identify a document, whereas XPath uses a path through the *node hierarchy* of an XML document to access part of an XML document.

Oracle XML DB addresses these challenges by introducing SQL functions and methods that allow the use of XML-centric metaphors, such as XQuery and XPath expressions for querying and updating XML Documents.

#### XMLType Data Type

Using XMLType, XML developers can leverage the power of XML standards while working in the context of a relational database, and SQL developers can leverage the power of a relational database while working with XML data.

#### XMLType Storage Models

XMLType is an abstract data type that provides different storage models to best fit your data and your use of it. As an abstract data type, your applications and database queries gain in flexibility: the same interface is available for all XMLType operations.

XML Schema Support in Oracle XML DB
 Support for the World Wide Web Consortium (W3C) XML Schema
 Recommendation is a key feature in Oracle XML DB.

#### DTD Support in Oracle XML DB

An XML schema is in general a much more powerful way to define XML document structure than is a DTD. You can nevertheless use DTDs to some extent with Oracle XML DB.

- Static Data Dictionary Views Related to XML
   Several static data dictionary views are related to XML.
- SQL/XML Standard Functions
   Oracle XML DB provides the SQL functions that are defined in the SQL/XML standard.
- Programmatic Access to Oracle XML DB (Java, PL/SQL, and C)
   All Oracle XML DB functionality is accessible from C, PL/SQL, and Java.
- Oracle XML DB Repository: Overview
   Oracle XML DB Repository is a component of Oracle Database that lets you handle XML data using a file/folder/URL metaphor.

### 1.4.1 XMLType Data Type

Using XMLType, XML developers can leverage the power of XML standards while working in the context of a relational database, and SQL developers can leverage the power of a relational database while working with XML data.

XMLType is an abstract native SQL data type for XML data. It provides PL/SQL and Java constructors for creating an XMLType instance from a VARCHAR2, CLOB, BLOB, or BFILE instance. And it provides PL/SQL methods for various XML operations.

You can use XMLType as you would any other SQL data type. For example, you can create an XMLType table or view, or an XMLType column in a relational table.

You can use XMLType in PL/SQL stored procedures for parameters, return values, and variables.

You can also manipulate XMLType data using application programming interfaces (APIs) for the Java and C languages, including Java Database Connectivity (JDBC), XQuery for Java (XQJ), and Oracle Data Provider for .NET (ODP.NET).

XMLType is an Oracle Database *object* type, so you can also create a table of XMLType object instances. By default, an XMLType table or column can contain any well-formed XML document.

You can constrain XMLType tables or columns to conform to an XML schema, in which case the database ensures that only XML data that validates against the XML schema is stored in the column or table, invalid documents are excluded.

#### See Also:

- Oracle Database Object-Relational Developer's Guide for information about Oracle Database object types and object-relational storage
- Oracle XML Developer's Kit Programmer's Guide for information about using XQJ
- Oracle Database PL/SQL Packages and Types Reference for information about XMLType constructors and methods

### 1.4.2 XMLType Storage Models

XMLType is an *abstract* data type that provides different *storage models* to best fit your data and your use of it. As an abstract data type, your applications and database queries gain in flexibility: the same interface is available for all XMLType operations.

XMLType tables and columns can be stored in these ways:

- Binary XML storage (the default) XMLType data is stored in a post-parse, binary format designed specifically for XML data. Binary XML is compact, postparse, XML schema-aware XML data. This is also referred to as post-parse persistence.
- Object-relational storage XMLType data is stored as a set of objects. This is also referred to as structured storage and object-based persistence.

#### Note:

Starting with Oracle Database 12c Release 1 (12.1.0.1), the unstructured (CLOB) storage model for XMLType is *deprecated*. Use binary XML storage instead.



With the use of appropriate indexes, binary XML storage offers good performance for most use cases. However, some advanced use cases can benefit from using object-relational storage.

You can change XMLType storage from one model to another using database import/export. Your application code need not change. You can change XML storage options when tuning your application.

For binary XML storage, SecureFiles is the default storage option.<sup>1</sup> However, if either of the following is true then it is not possible to use SecureFiles LOB storage. In that case, BasicFiles is the default option for binary XML data:

- The tablespace for the XMLType table does not use automatic segment space management.
- A setting in file init.ora prevents SecureFiles LOB storage. For example, see parameter DB\_SECUREFILE.

#### **Related Topics**

- Choice of XMLType Storage and Indexing
   Important design choices for your application include what XMLType storage model to use and which indexing approaches to use.
- Export and Import of Oracle XML DB Data
   You can use Oracle Data Pump to export and import XMLType tables for use with
   Oracle XML DB.

#### See Also:

- Oracle Database SQL Language Reference, section "CREATE TABLE", clause "LOB\_storage\_clause"
- Oracle Database SecureFiles and Large Objects Developer's Guide for information about LOB storage options SecureFiles and BasicFiles
- Oracle Database Administrator's Guide for information about automatic segment space management
- Oracle Database Reference for information about parameter DB\_SECUREFILE

### 1.4.3 XML Schema Support in Oracle XML DB

Support for the World Wide Web Consortium (W3C) XML Schema Recommendation is a key feature in Oracle XML DB.

XML Schema specifies the structure, content, and certain semantics of XML documents. It is described in detail at http://www.w3.org/TR/soap12-part0/.

The W3C Schema Working Group publishes a particular XML schema, often referred to as the **schema for schemas**, that provides the definition, or vocabulary, of the XML

Prior to Oracle Database 11g Release 2 (11.2.0.2) the BasicFiles option was the default for binary XML storage. Use of the BasicFiles option for binary XML data is *deprecated*.



Schema language. An **XML** schema definition (**XSD**<sup>2</sup>), also called an **XML** schema, is an XML document that is compliant with the vocabulary defined by the schema for schemas.

An XML schema uses vocabulary defined by the schema for schemas to create a collection of XML Schema type definitions and element declarations that comprise a vocabulary for describing the contents and structure of a new class of XML documents, the **XML instance documents** that conform to that XML schema.

#### Note:

This manual uses the term "XML schema" (lower-case "s") to reference any XML schema that conforms to the W3C XML Schema (upper-case "S") Recommendation. Since an XML schema is used to define a class of XML documents, the term "instance document" is often used to describe an XML document that conforms to a particular XML schema.

The XML Schema language provides strong typing of elements and attributes. It defines numerous scalar data types. This base set of data types can be extended to define more complex types, using object-oriented techniques such as inheritance and extension. The XML Schema vocabulary also includes constructs that you can use to define complex types, substitution groups, repeating sets, nesting, ordering, and so on. Oracle XML DB supports all of the constructs defined by the XML Schema Recommendation, except for redefines.

XML schemas are commonly used as a mechanism for checking (validating) whether XML instance documents conform with their specifications. Oracle XML DB includes XMLType methods and SQL functions that you can use to validate XML documents against an XML schema.

In Oracle XML DB, you can use a standard data model for all of your data, regardless of how structured it is. You can use XML Schema to automatically create database tables for storing your XML data. XML schema-based data maintains DOM fidelity and allows for significant database optimizations.

XML schema-based data can be stored using either Oracle XML DB XMLType storage model: binary XML storage or object-relational storage. Non-schema-based XML data can be stored only using binary XML storage.

You can also wrap existing relational and object-relational data as  $\mathtt{XMLType}$  views, which can optionally be XML schema-based. You can map from incoming XML documents to  $\mathtt{XMLType}$  storage, specifying the mapping using a registered XML schema.

#### **Related Topics**

 Choice of XMLType Storage and Indexing Important design choices for your application include what XMLType storage model to use and which indexing approaches to use.

<sup>2</sup> xsd is the prefix used in the schema of schemas for the XML Schema namespace, hence it is also the namespace prefix used for the XML Schema data types, such as xsd:string. xsd is also used often as the file extension of XML schema files.



#### XML Schema Storage and Query: Basic

XML Schema is a standard for describing the content and structure of XML documents. You can register, update, and delete an XML schema used with Oracle XML DB. You can define storage structures to use for your XML schema-based data and map XML Schema data types to SQL data types.

### 1.4.4 DTD Support in Oracle XML DB

An XML schema is in general a much more powerful way to define XML document structure than is a DTD. You can nevertheless use DTDs to some extent with Oracle XML DB.

Like an XML schema, A **DTD** is a set of rules that define the allowable structure of an XML document. DTDs are text files that derive their format from SGML. They can be associated with an XML document by using DTD element DOCTYPE or by using an external file through a DOCTYPE reference.

Oracle XML DB uses XML Schema, not DTDs, to define structured mappings to XMLType storage, but XML processors can still access and interpret your DTDs.



You can use a DTD to obtain the XML entities defined in it. The entities are the only information used from the DTD. The structural and type information in the DTD is not used by Oracle XML DB.

#### **Inline DTD Definitions**

When an XML instance document has an inline DTD definition, that definition is used during document parsing. Any DTD validations and entity declaration handling are done at this point. However, once parsed, the entity references are replaced with actual values and the original entity reference is lost.

#### **External DTD Definitions**

Oracle XML DB supports external DTD definitions if they are stored in Oracle XML DB Repository. Applications needing to process an XML document containing an external DTD definition such as <code>/public/flights.dtd</code> must first ensure that the DTD document is stored in Oracle XML DB at path <code>/public/flights.dtd</code>.

#### **Related Topics**

Access to Oracle XML DB Repository Data

There are several ways to access and manipulate data in Oracle XML DB Repository, including using standard protocols such as FTP and HTTP(S)/ WebDAV; Oracle XML DB resource Application Program Interfaces (APIs); and the repository views RESOURCE\_VIEW and PATH\_VIEW.



# 1.4.5 Static Data Dictionary Views Related to XML

Several static data dictionary views are related to XML.

Table 1-1 lists these views. Information about a given view can be obtained by using SQL command DESCRIBE:

DESCRIBE USER\_XML\_SCHEMAS

Table 1-1 Static Data Dictionary Views Related to XML

Schema	Description
USER_XML_SCHEMAS	Registered XML schemas owned by the current user
ALL_XML_SCHEMAS	Registered XML schemas usable by the current user
DBA_XML_SCHEMAS	Registered XML schemas in Oracle XML DB
USER_XML_TABLES	XMLType tables owned by the current user
ALL_XML_TABLES	XMLType tables usable by the current user
DBA_XML_TABLES	XMLType tables in Oracle XML DB
USER_XML_TAB_COL S	XMLType table columns owned by the current user
ALL_XML_TAB_COLS	XMLType table columns usable by the current user
DBA_XML_TAB_COLS	XMLType table columns in Oracle XML DB
USER_XML_VIEWS	XMLType views owned by the current user
ALL_XML_VIEWS	XMLType views usable by the current user
DBA_XML_VIEWS	XMLType views in Oracle XML DB
USER_XML_VIEW_CO	XMLType view columns owned by the current user
ALL_XML_VIEW_COL S	XMLType view columns usable by the current user
DBA_XML_VIEW_COL	XMLType view columns in Oracle XML DB

In addition to the views ALL\_XML\_TABLES, DBA\_XML\_TABLES, and USER\_XML\_TABLES, views ALL\_OBJECT\_TABLES, DBA\_OBJECT\_TABLES, and USER\_OBJECT\_TABLES provide tablespace and other storage information for XMLType data stored object-relationally.



- Oracle Database Reference
- Oracle Database PL/SQL Packages and Types Reference



### 1.4.6 SQL/XML Standard Functions

Oracle XML DB provides the SQL functions that are defined in the SQL/XML standard.

SQL/XML functions fall into two groups:

- Functions that you can use to generate XML data from the result of a SQL query.
   In this book, these are called SQL/XML publishing functions. They are also sometimes called SQL/XML generation functions.
- Functions that you can use to query and update XML content as part of normal SQL operations. In this book, these are called SQL/XML query and update functions.

Using SQL/XML functions you can address XML content in any part of a SQL statement. These functions use XQuery or XPath expressions to traverse the XML structure and identify the nodes on which to operate. The ability to embed XQuery and XPath expressions in SQL statements greatly simplifies XML access.



Oracle Database SQL Language Reference for information about Oracle support for the SQL/XML standard

### 1.4.7 Programmatic Access to Oracle XML DB (Java, PL/SQL, and C)

All Oracle XML DB functionality is accessible from C, PL/SQL, and Java.

You can build Web-based applications that take advantage of Oracle XML DB in various ways, including these:

- Using servlets and Java Server Pages (JSP). A typical API accesses data using Java Database Connectivity (JDBC).
- Using Extensible Stylesheet Language (XSL) plus XML Server Pages (XSP). A typical API accesses data in the form of XML documents that are processed using a Document Object Model (DOM) API implementation.

Oracle XML DB supports such styles of application development. It provides Java, PL/SQL, and C implementations of the DOM API. Applications that use JDBC, such as those based on servlets, need prior knowledge of the data structure they are processing. Oracle JDBC drivers allow you to access and update XMLType tables and columns, and call PL/SQL procedures that access Oracle XML DB Repository. Applications that use DOM, such as those based on XSLT transformations, typically require less knowledge of the data structure. DOM-based applications use string names to identify pieces of content, and must dynamically walk through the DOM tree to find the required information. For this, Oracle XML DB supports the use of the DOM API to access and update XMLType columns and tables. Programming to a DOM API is more flexible than programming through JDBC, but it may require more resources at run time.



### 1.4.8 Oracle XML DB Repository: Overview

Oracle XML DB Repository is a component of Oracle Database that lets you handle XML data using a file/folder/URL metaphor.

Oracle XML DB Repository contains **resources**, which can be either **folders** (directories, containers) or files.

A resource, whether folder or file, has these properties:

- It is identified by a path and name.
- It has content (data), which can be XML data but need not be.
- It has a set of **system-defined metadata** (properties), such as Owner and CreationDate, in addition to its content. Oracle XML DB uses this information to manage the resource.
- It might also have **user-defined metadata**. Like system-defined metadata, this is information that is not part of the content, but is associated with it.
- It has an associated access control list that determines who can access the resource, and for what operations.

Although Oracle XML DB Repository treats XML content specially, you can use the repository to store other kinds of data besides XML. You can use the repository to access any data that is stored in Oracle Database.

You can access data in the repository in the following ways:

- SQL Using views RESOURCE\_VIEW and PATH\_VIEW
- Standard protocols FTP, HTTP(S), and WebDAV
- PL/SQL Using PL/SQL package DBMS\_XDB\_REPOS
- Java Using the Oracle XML DB resource API for Java

Besides providing APIs for accessing and manipulating repository data, Oracle XML DB provides APIs for the following repository services, which are based on IETF WebDAV:

- Versioning Using PL/SQL package DBMS\_XDB\_VERSION
- ACL Security Using access control lists (ACLs)
- Foldering Using repository path names

Figure 1-4 illustrates the architecture of Oracle XML DB Repository.



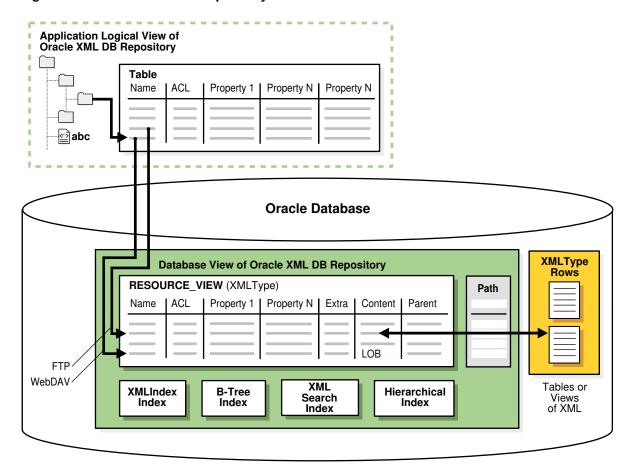


Figure 1-4 Oracle XML DB Repository Architecture

#### **Related Topics**

Oracle XML DB Repository
 Oracle XML DB Repository lets you version your data, implement and manage
 security, and use APIs to access and manipulate repository resources.

# 1.5 Standards Supported by Oracle XML DB

Oracle XML DB supports all major XML, SQL, Java, and Internet standards.

These include the following:

- W3C XML Schema 1.0 Recommendation. You can register XML schemas, validate stored XML content against XML schemas, or constrain XML stored in the server to XML schemas.
- W3C XQuery 1.0 Recommendation and W3C XPath 2.0 Recommendation. You
  can search or traverse XML stored inside the database using XQuery and XPath,
  either from HTTP(S) requests or from SQL.
- ANSI/ISO/IEC 9075-14:2011, Information technology—Database languages—SQL
  —Part 14: XML-Related Specifications (SQL/XML).



- W3C DOM Recommendation Levels 1.0 and 2.0 Core. You can retrieve XML stored in the server as an XML DOM, for dynamic access.
- Java Database Connectivity (JDBC) API. Provides Java access to XML data.
- XQuery API for Java (XQJ). Provides Java access to XML data using XQuery.
- W3C XSL 1.0 Recommendation. You can transform XML documents at the server using XSLT.
- Protocol support. You can store or retrieve XML data from Oracle XML DB using Oracle Net or standard protocols such as HTTP(S), FTP, and IETF WebDAV.
- Java Servlet version 2.2, (except: the servlet WAR file, web.xml, is not supported in its entirety; only one ServletContext; one web-app are currently supported; and stateful servlets are not supported).
- Web services: SOAP 1.1. You can access XML stored in the server from SOAP requests. You can build, publish, or find Web Services using Oracle XML DB and Oracle Fusion Middleware, using WSDL and UDDI. You can use Oracle Database Advanced Queuing IDAP, the SOAP specification for queuing operations, on XML stored in Oracle Database.
- W3C XML Linking Language (Xlink) 1.0 Recommendation. You can define various types of links between XML documents.
- W3C XML Pointer Language (XPointer) Recommendation and XPointer Framework. You can include the content of multiple XML documents or fragments in a single infoset.

- SQL/XML Standard Functions for more information about the SQL/XML functions
- Oracle XML Developer's Kit Programmer's Guide for information about using XQJ
- Oracle Database SQL Language Reference for information about Oracle support for the SQL/XML standard
- Use of XLink and XInclude with Oracle XML DB for more information about XLink and XPointer support
- Repository Access Using Protocols for more information about protocol support
- Guidelines for Oracle XML DB Applications in Java for information about using the Java servlet
- XML Data Exchange Using Oracle Database Advanced Queuing and Oracle Database Advanced Queuing User's Guide for information about using SOAP



# 1.6 Oracle XML DB Technical Support

Besides the regular channels of support through your customer representative or consultant, technical support for Oracle Database XML-enabled technologies is available free through Oracle Technology Network (OTN).

# 1.7 Oracle XML DB Examples

The examples that illustrate the use of Oracle XML DB and XMLType are based on various database schemas, sample XML documents, and sample XML schemas.

#### **Related Topics**

Oracle-Supplied XML Schemas and Examples
 Full listings are provided here for the Oracle XML DB-supplied XML schemas, purchase-order XML schemas and an XSLT stylesheet used in various examples, and C-language (OCI) examples for loading XML content into Oracle XML DB and initializing and terminating an XML context.

# 1.8 Oracle XML DB Case Studies and Demonstrations on OTN

Visit Oracle Technology Network (OTN) to view Oracle XML DB examples, white papers, case studies, and demonstrations.

Oracle XML DB is presented on OTN at Oracle XML DB on OTN.

Comprehensive XML classes on how to use Oracle XML DB are also available. See the Oracle University link on OTN.

Detailed Oracle XML DB case studies available on OTN include the following:

- Oracle XML DB Downloadable Demonstration. This detailed demonstration illustrates how to use many Oracle XML DB features. Parts of this demonstration are also included in Overview of How To Use Oracle XML DB.
- SAX Loader Application. This demonstrates an efficient way to break up large files containing multiple XML documents outside the database and insert them into the database as a set of separate documents. This is provided as a standalone and a Web-based application.



2

# Getting Started with Oracle XML DB

Some preliminary design criteria are presented for consideration when planning your Oracle XML DB solution.

- Oracle XML DB Installation
   Oracle XML DB is installed automatically if Database Configuration Assistant
   (DBCA) is used to build Oracle Database using the general-purpose template.
- Oracle XML DB Use Cases
   Oracle XML DB is suited for any application where some or all of the data processed is represented using XML.
- Application Design Considerations for Oracle XML DB
   When planning an Oracle XML DB application it can be worthwhile to consider some preliminary design criteria.

### 2.1 Oracle XML DB Installation

Oracle XML DB is installed automatically if Database Configuration Assistant (DBCA) is used to build Oracle Database using the general-purpose template.

You can determine whether or not Oracle XML DB is already installed. If it is installed, then the following are true:

• Database schema (user account) XDB exists. To check that, run this query:

```
SELECT * FROM ALL USERS;
```

View RESOURCE\_VIEW exists. To check that, use this command:

```
DESCRIBE RESOURCE_VIEW
```

### See Also:

- Administration of Oracle XML DB for information about installing Oracle XML DB manually
- Oracle Database Security Guide

### 2.2 Oracle XML DB Use Cases

Oracle XML DB is suited for any application where some or all of the data processed is represented using XML.

Oracle XML DB provides for high-performance database ingestion, storage, processing and retrieval of XML data. It also lets you quickly and easily generate XML from existing relational data. Applications for which Oracle XML DB is particularly suited include the following:

- Business-to-business (B2B) and application-to-application (A2A) integration
- Internet
- Content-management
- Messaging
- Web Services

A typical Oracle XML DB application has at least one of the following characteristics:

- Large numbers of XML documents must be ingested or generated
- Large XML documents must be processed or generated
- High-performance searching is needed, both within a document and across large collections of documents
- High levels of security are needed
- Fine-grained security is needed
- Data processing must use XML documents, and data must be stored in relational tables
- Programming must support open standards such as SQL, XML, XQuery, XPath, and XSL
- Information must be accessed using standard Internet protocols such as FTP, HTTP(S)/WebDAV, and Java Database Connectivity (JDBC)
- XML data must be queried from SQL
- Analytic capabilities must be applied to XML data
- XML documents must be validated against an XML schema

# 2.3 Application Design Considerations for Oracle XML DB

When planning an Oracle XML DB application it can be worthwhile to consider some preliminary design criteria.

These include the following:

- The ways that you intend to store your XML data
- The structure of your XML data
- The languages used to implement your application
- The ways you intend to process your XML data

However, in general Oracle recommends that you start with the following Oracle XML DB features. For most use cases they are all that you need to consider.

- Storage model binary XML
- Indexing XML search index, XMLIndex with structured component
- Database language SQL, with SQL/XML functions



- XML languages XQuery and XSLT
- Client APIs OCI, thin JDBC, SOL .NET
- XML Data Storage

There are several ways to store XML data in Oracle Database.

The Structure of Your XML Data

How structured your XML data is, and whether it is based on an XML schema, can influence how you store it.

Languages Used to Implement Your Application

You can program your Oracle XML DB applications in Java (JDBC, Java Servlets) or PL/SQL.

XML Processing Options

Oracle XML DB offers a full range of XML processing options.

Oracle XML DB Repository Access

Design considerations for applications that use Oracle XML DB Repository include access method, security needs, and whether you need versioning.

Oracle XML DB Cooperates with Other Database Options and Features
Oracle XML DB is an integrated part of Oracle Database, and works well with
other database options and features.

#### **Related Topics**

Choice of XMLType Storage and Indexing

Important design choices for your application include what  $\mathtt{XMLType}$  storage model to use and which indexing approaches to use.

SOL/XML Standard Functions

Oracle XML DB provides the SQL functions that are defined in the SQL/XML standard.

XQuery and Oracle XML DB

The XQuery language is one of the main ways that you interact with XML data in Oracle XML DB. Support for the language includes SQL\*Plus commandXQUERY and SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast.

Transformation and Validation of XMLType Data

There are several Oracle SQL functions and XMLType APIs for transforming XMLType data using XSLT stylesheets and for validating XMLType instances against an XML schema.

C DOM API for XMLType

The C DOM API for  ${\tt XMLType}$  lets you operate on  ${\tt XMLType}$  instances using a DOM in C.

Java DOM API for XMLType

The Java DOM API for XMLType lets you operate on XMLType instances using a DOM. You can use it to manipulate XML data in Java, including fetching it through Java Database Connectivity (JDBC).

Oracle XML DB and Oracle Data Provider for .NET

Oracle Data Provider for Microsoft .NET (ODP.NET) is an implementation of a data provider for Oracle Database. It uses Oracle native APIs to offer fast and reliable access to Oracle data and features from any .NET application.

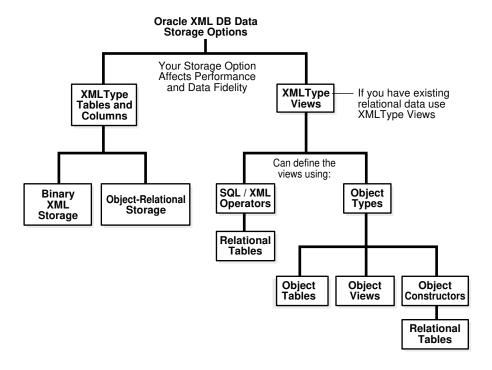


### 2.3.1 XML Data Storage

There are several ways to store XML data in Oracle Database.

Storage of XMLType tables and views is outlined in Figure 2-1.

Figure 2-1 Oracle XML DB Storage Options for XML Data



If you have existing relational data, you can access it as XML data by creating XMLType views over it. You can use the following to define the XMLType views:

- SQL/XML functions. See Generation of XML Data from Relational Data and XQuery and Oracle XML DB.
- Object types: object tables, object constructors, and object views.

Regardless of which storage options you choose for your application, Oracle XML DB provides the same functionality. Though the storage model you use can affect your application performance and XML data fidelity, it is totally independent of how frequently you query or update your data and what APIs your application uses.

#### **Related Topics**

XMLType Storage Models

XMLType is an abstract data type that provides different storage models to best fit your data and your use of it. As an abstract data type, your applications and database queries gain in flexibility: the same interface is available for all XMLType operations.



#### 2.3.2 The Structure of Your XML Data

How structured your XML data is, and whether it is based on an XML schema, can influence how you store it.

If your XML data is *not* XML Schema-based, then, regardless of how structured it is, you can store it in an XMLType table or view as binary XML, or you can store it as a file in an Oracle XML DB Repository folder. You cannot store it object-relationally.

If your XML data is XML Schema-based then you must store it as binary XML or object-relationally.

#### **Related Topics**

Choice of XMLType Storage and Indexing Important design choices for your application include what XMLType storage model to use and which indexing approaches to use.

## 2.3.3 Languages Used to Implement Your Application

You can program your Oracle XML DB applications in Java (JDBC, Java Servlets) or PL/SQL.

#### **Related Topics**

Java DOM API for XMLType

The Java DOM API for XMLType lets you operate on XMLType instances using a DOM. You can use it to manipulate XML data in Java, including fetching it through Java Database Connectivity (JDBC).

- Guidelines for Oracle XML DB Applications in Java
   Design guidelines are presented for writing Oracle XML DB applications in Java.
   This includes guidelines for writing and configuring Java servlets for Oracle XML DB.
- PL/SQL APIs for XMLType
   There are several PL/SQL packages that provide APIs for XMLType.
- PL/SQL Access to Oracle XML DB Repository
   PL/SQL packages DBMS\_XDB\_CONFIG and DBMS\_XDB\_REPOS together provide the
   Oracle XML DB resource application program interface (API) for PL/SQL. You use the former to configure Oracle XML DB and its repository. You use the latter to perform other, non-configuration operations on the repository.

### 2.3.4 XML Processing Options

Oracle XML DB offers a full range of XML processing options.

The following are available and should be considered when designing your Oracle XML DB application:

- XML Generation and XMLType views. Whether you need to generate (or regenerate) XML data. See Generation of XML Data from Relational Data.
- Whether your application is data-centric or document-centric, or both. See Overview of How To Use Oracle XML DB.



 DOM fidelity, document fidelity. XMLType storage, whether object-relational or binary XML, preserves DOM fidelity. That is, A DOM created from an XML document stored as XMLType is identical to a DOM created from the original document. However, there could be differences in insignificant whitespace. See DOM Fidelity, SYS\_XDBPD\$ and DOM Fidelity for Object-Relational Storage, and PL/SQL APIs for XMLType.

If you need to preserve document fidelity (insignificant whitespace) in addition to DOM fidelity, then store two copies of your original document: one as an XMLType instance for database use and XML processing, the other as a CLOB instance to provide document fidelity.

- XPath searching. You can use XPath syntax embedded in a SQL statement to query XML content in the database. See Query and Update of XML Data, Access to Oracle XML DB Repository Data, and Repository Access Using RESOURCE\_VIEW and PATH\_VIEW.
- How often XML documents are accessed, updated, and manipulated. See Query and Update of XML Data.
- Whether you need to update fragments or whole documents. You can use XPath
  expressions to specify individual elements and attributes of your document during
  updates, without rewriting the entire document. This is more efficient, especially for
  large XML documents. See Updating XML Data.
- Which kinds of indexing best suit your application and data. See Indexes for XMLType Data.
- XSLT. Whether you need to transform the XML data to HTML, WML, or other languages, and, if so, how your application does this. While storing XML documents in Oracle XML DB, you can optionally ensure that their structure complies with (validates against) specific XML schemas. See Transformation and Validation of XMLType Data.

### 2.3.5 Oracle XML DB Repository Access

Design considerations for applications that use Oracle XML DB Repository include access method, security needs, and whether you need versioning.

There are two main repository access methods:

- Navigation-based access or path-based access. This is suitable for both content/ document and data oriented applications. Oracle XML DB provides the following languages and access APIs:
  - SQL access through resource and path views. See Repository Access Using RESOURCE\_VIEW and PATH\_VIEW.
  - PL/SQL access using package DBMS\_XDB or packages DBMS\_XDB\_ADMIN, DBMS\_XDB\_CONFIG and DBMS\_XDB\_REPOS. See PL/SQL Access to Oracle XML DB Repository.
  - Protocol-based access using HTTP(S)/WebDAV or FTP, most suited to content-oriented applications. See Repository Access Using Protocols.
- Query-based access. This can be most suited to data oriented applications. Oracle XML DB provides access using SQL queries through the following APIs:
  - Java access (through JDBC). See Java DOM API for XMLType.
  - PL/SQL access. See PL/SQL APIs for XMLType.



These options for accessing repository data are also discussed in Access to Oracle XML DB Repository Data.

You can also consider the following access criteria:

- What levels of security you need. See Repository Access Control.
- Whether you need to version the data. See Resource Versions.

## 2.3.6 Oracle XML DB Cooperates with Other Database Options and Features

Oracle XML DB is an integrated part of Oracle Database, and works well with other database options and features.

- Oracle Database Advanced Queuing (AQ) merge XML payloads. See XML
   Data Exchange Using Oracle Database Advanced Queuing and Oracle Database

   Advanced Queuing User's Guide
- Oracle GoldenGate and Oracle Active Data Guard replicate and safeguard XML data, or perform a rolling upgrade. See Oracle GoldenGate and Oracle Data Guard Concepts and Administration
- Oracle Exadata Storage Server Software high-performance, scalable, and highly available use of XML data. See Oracle Exadata Storage Server Software User's Guide.
- Oracle Real Application Clusters (Oracle RAC) Use XML data with clusters of database instances. See Oracle Real Application Clusters Administration and Deployment Guide
- Oracle Multitenant option Use XML data with a multitenant architecture, where each pluggable database has its own Oracle XML DB Repository. See Oracle Multitenant Administrator's Guide
- Compression and Encryption You can compress or encrypt binary XML data that uses SecureFiles LOB storage. For XML data stored object-relationally, you can compress or encrypt XML elements and attributes individually.
- Parallel Execution Execution of the following operations can be carried out in parallel:
  - A query of XMLType data
  - DML for XMLType data stored as binary XML using SecureFiles LOBs
  - A direct load for an XMLType table on which an Oracle Text CONTEXT index is defined



Oracle Database Concepts



## Overview of How To Use Oracle XML DB

An overview of the various ways of using Oracle XML DB is presented.

This overview illustrates how to do the following: create and partition XMLType tables and columns; enforce data integrity, load, query, and update database XML content; and generate XML data from relational data. It also explains how Oracle XML DB determines which character sets are used for XML documents.

#### Purchase Order Documents Illustrate Key XML Schema Features

Many of the examples presented in this chapter illustrate techniques for accessing and managing XML content in purchase-order documents. Purchase orders are highly structured documents, but you can also use the techniques shown here to work with XML documents that have little structure.

The purchase-order documents used for the examples here conform to a purchase-order XML schema that demonstrates some key features of a typical XML document:

- Global element PurchaseOrder is an instance of the complexType
   PurchaseOrderType
- PurchaseOrderType defines the set of nodes that make up a PurchaseOrder element
- LineItems element consists of a collection of LineItem elements
- Each LineItem element consists of two elements: Description and Part
- Part element has attributes Id, Quantity, and UnitPrice
- Creating XMLType Tables and Columns
   Creating a table or column of XMLType is straightforward because it is an abstract data type.
- Creating Virtual Columns on XMLType Data Stored as Binary XML
   You can create virtual columns only for XMLType data that is stored as binary XML.
   Such columns are useful for partitioning or constraining the data.
- Partitioning Tables That Contain XMLType Data Stored as Binary XML You can partition a table that contains XMLType data stored as binary XML.
- Enforcing XML Data Integrity Using the Database
   You can combine the power of SQL and XML with the ability of the database to enforce rules.
- Loading XML Content into Oracle XML DB
   There are several ways to load XML content into Oracle XML DB.
- Querying XML Content Stored in Oracle XML DB
   There are many ways to query XML content in Oracle XML DB and retrieve it.
- Updating XML Content Stored in Oracle XML DB
   You can update XML content, replacing either the entire contents of a document or
   only particular parts of a document.



- Generating XML Data from Relational Data
   You can use Oracle XML DB to generate XML data from relational data.
- Character Sets of XML Documents
   There are a few ways in which Oracle XML DB determines which character sets are used for XML documents

#### See Also:

- Application Design Considerations for Oracle XML DB for recommended Oracle XML DB features for most uses
- XMLType APIs, XML Schema and Object-Relational XMLType, and Oracle XML DB Repository for information about more advanced Oracle XML DB features
- Purchase-Order XML Schemas for the purchase-order XML schemas used for examples in this chapter

## 3.1 Creating XMLType Tables and Columns

Creating a table or column of XMLType is straightforward because it is an abstract data type.

The basic CREATE TABLE statement, specifying no storage options and no XML schema, stores XMLType data as binary XML.<sup>1</sup>

Example 3-1 creates an XMLType column, and Example 3-2 creates an XMLType table.

#### Example 3-1 Creating a Table with an XMLType Column

```
CREATE TABLE mytable1 (key_column VARCHAR2(10) PRIMARY KEY, xml_column XMLType);
```

#### Example 3-2 Creating a Table of XMLType

CREATE TABLE mytable2 OF XMLType;

#### **Related Topics**

• Creation of XMLType Tables and Columns Based on XML Schemas You can create XMLType tables and columns that are constrained to a global element defined by an XML schema. After an XMLType column has been constrained to a particular element and a particular schema, it can only contain documents that are compliant with the schema definition of that element.

The XMLType storage model for XML schema-based data is whatever was specified during registration of the referenced XML schema. If no storage model was specified during registration, then object-relational storage is used.



# 3.2 Creating Virtual Columns on XMLType Data Stored as Binary XML

You can create virtual columns only for XMLType data that is stored as binary XML. Such columns are useful for partitioning or constraining the data.

You create virtual columns for XML data the same way you create them for other data types, but you use a slightly different syntax. (In particular, you cannot specify constraints in association with the column definition.)

You create a virtual column based on an XML element or attribute by defining it in terms of a SQL expression that involves that element or attribute. The column is thus function-based.

You use SQL/XML functions XMLCast and XMLQuery to do this, as shown in Example 3-3 and Example 3-4. The XQuery expression argument to function XMLQuery must be a simple XPath expression that uses only the child and attribute axes.

Example 3-3 creates XMLType table po\_binaryxml, stored as binary XML. It creates virtual column date\_col, which represents the XML data in attribute /PurchaseOrder/@orderDate.

Example 3-4 creates relational table rel\_tab, which has two columns: VARCHAR2 column key col for the primary key, and XMLType column xml col for the XML data.

Because XMLType is an abstract data type, if you create virtual columns on an XMLType table or column then those columns are *hidden*. They do not show up in DESCRIBE statements, for example. This hiding enables tools that use operations such as DESCRIBE to function normally and not be misled by the virtual columns.

#### Note:

If you use a virtual column for interval partitioning then it must have data type <code>NUMBER</code> or <code>DATE</code>, otherwise an error is raised. Use SQL/XML functions <code>XMLCast</code> and <code>XMLQuery</code> to cast to the proper data type.

#### See Also:

Oracle Database SQL Language Reference for information about creating tables with virtual columns

## Example 3-3 Creating a Virtual Column for an XML Attribute in an XMLType Table

CREATE TABLE po\_binaryxml OF XMLType

XMLTYPE STORE AS BINARY XML

VIRTUAL COLUMNS

(date\_col AS (XMLCast(XMLQuery('/PurchaseOrder/@orderDate')))



```
PASSING OBJECT_VALUE RETURNING CONTENT)

AS DATE)));
```

## Example 3-4 Creating a Virtual Column for an XML Attribute in an XMLType Column

#### **Related Topics**

- Partitioning Tables That Contain XMLType Data Stored as Binary XML
   You can partition a table that contains XMLType data stored as binary XML.
- Enforcing Referential Integrity Using SQL Constraints
   You can use SQL constraints and database triggers to ensure data-integrity properties such as uniqueness and foreign-key relations.

# 3.3 Partitioning Tables That Contain XMLType Data Stored as Binary XML

You can partition a table that contains XMLType data stored as binary XML.

There are two possibilities:

- The table is relational, with an XMLType column and a non-XMLType column.
- The table is of data type XMLType.

In the case of an XMLType column, you use the non-XMLType column as the partitioning key. This is illustrated in Example 3-5.

This case presents nothing new or specific with respect to XML data. The fact that one of the columns contains XMLType data is irrelevant. Things are different for the other case: partitioning an XMLType table.

XML data has its own structure, which (except for object-relational storage of XMLType) is not reflected directly in database data structure. For XMLType data stored as binary XML, individual XML elements and attributes are not mapped to individual database columns or tables.

Therefore, to partition binary XML data according to the values of individual elements or attributes, the standard approach for relational data does not apply. Instead, you must create *virtual columns* that represent the XML data of interest, and then use those virtual columns to define the constraints or partitions that you need.

The technique is as follows:

Define virtual columns that correspond to the XML elements or attributes that you
are interested in.



2. Use those columns to partition the XMLType data as a whole.

This is illustrated in Example 3-6: virtual column date\_col targets the orderDate attribute of element PurchaseOrder in a purchase-order document. This column is used as the partitioning key.

For best performance using a partitioned table containing XML data, Oracle recommends that you use an XMLType column rather than an XMLType table, and you therefore partition using a non-XMLType column.

#### Note:

- You can partition an XMLType table using a virtual column only if the storage model is binary XML. Range, hash, and list partitioning are supported.
- Partitioning of XMLType tables stored as XML is supported starting with 11g Release 2 (11.2). It is supported only if the database compatibility (parameter compatible in file init.ora) is 11.2 or higher.
- If a relational table has an XMLType *column*, you cannot partition the table using that column to define virtual columns of XML data.

#### **Example 3-5** Partitioning a Relational Table That Has an XMLType Column

#### **Example 3-6 Partitioning an XMLType Table**

#### **Related Topics**

XMLIndex Partitioning and Parallelism

If you partition an XMLType table, or a table with an XMLType column, using range, list, or hash partitioning, you can also create an XMLIndex index on the table. You can optionally ensure that index creation and maintenance are carried out in parallel.



Overview of Partitioning XMLType Tables and Columns Stored Object-Relationally
When you partition an object-relational XMLType table or a table with an XMLType
column that is stored object-relationally and you use list, range, or hash
partitioning, any ordered collection tables (OCTs) or out-of-line tables within the
data are automatically partitioned accordingly, by default.

## 3.4 Enforcing XML Data Integrity Using the Database

You can combine the power of SQL and XML with the ability of the database to enforce rules.

You can use SQL to supplement the functionality provided by XML schema. Only well-formed XML documents can be stored in XMLType tables or columns. A **well-formed** XML document is one that conforms to the syntax of the XML version declared in its XML declaration. This includes having a single root element, properly nested tags, and so forth. Additionally, if the XMLType table or column is constrained to an XML schema then only documents that conform to that XML schema can be stored in that table or column. Any attempt to store or insert any other kind of XML document in an XML schema-based XMLType raises an error. Example 3-7 illustrates this.

Such an error occurs only when content is inserted directly into an XMLType table. It indicates that Oracle XML DB did not recognize the document as a member of the class defined by the XML schema. For a document to be recognized as a member of the class defined by the schema, the following conditions must be true:

- The name of the XML document root element must match the name of global element used to define the XMLType table or column.
- The XML document must include the appropriate attributes from the XMLSchemainstance namespace, or the XML document must be explicitly associated with the XML schema using the XMLType constructor or XMLType method createSchemaBasedXML().

If the constraining XML schema declares a targetNamespace, then the instance documents must contain the appropriate namespace declarations to place the root element of the document in the targetNamespace defined by the XML schema.



XML constraints are enforced only within individual XML documents. Database (SQL) constraints are enforced across sets of XML documents.

#### Example 3-7 Error From Attempting to Insert an Incorrect XML Document



Enforcing Referential Integrity Using SQL Constraints
 You can use SQL constraints and database triggers to ensure data-integrity properties such as uniqueness and foreign-key relations.

#### **Related Topics**

Partial and Full XML Schema Validation
 When you insert XML Schema-based documents into the database they can be validated partially or fully.

### 3.4.1 Enforcing Referential Integrity Using SQL Constraints

You can use SQL constraints and database triggers to ensure data-integrity properties such as uniqueness and foreign-key relations.

The W3C XML Schema Recommendation defines a powerful language for defining the contents of an XML document. However, there are some simple data management concepts that are not currently addressed by the W3C XML Schema Recommendation. These include the ability to ensure that the value of an element or attribute has either of these properties:

- It is unique across a set of XML documents (a UNIQUE constraint).
- It exists in a particular data source that is outside of the current document (FOREIGN KEY constraint).

With Oracle XML DB, however, you can enforce such constraints. The mechanisms that you use to enforce integrity on XML data are the same as those you use to enforce integrity on relational data. Simple rules, such as uniqueness and foreign-key relationships, can be enforced by specifying SQL constraints. More complex rules can be enforced by specifying database triggers.

Oracle XML DB lets you use the database to enforce business rules on XML content, in addition to enforcing rules that can be specified using XML Schema constructs. The database enforces these business rules regardless of whether XML is inserted directly into a table or uploaded using one of the protocols supported by Oracle XML DB Repository.

XML data has its own structure, which (except for object-relational storage of XMLType) is not reflected directly in database data structure. For XMLType data stored as binary XML, individual XML elements and attributes are not mapped to individual database columns or tables.

Therefore, to constrain binary XML data according to the values of individual elements or attributes, the standard approach for relational data does not apply. Instead, you must create *virtual columns* that represent the XML data of interest, and then use those virtual columns to define the constraints that you need.

The technique is as follows:

- Define virtual columns that correspond to the XML elements or attributes that you are interested in.
- 2. Use those columns to constrain the XMLType data as a whole.

The binary XML data can be in an XMLType table or an XMLType column of a relational table. In the former case, you can include creation of the constraint as part of the CREATE TABLE statement, if you like. For the latter case, you must create the constraint using an ALTER TABLE statement, after the relational table has been created.





Oracle Database Error Messages Reference

#### **Example 3-8 Constraining a Binary XML Table Using a Virtual Column**

This example illustrates the technique for an XMLType table. It defines virtual column c\_xtabref using the Reference element in a purchase-order document. It defines uniqueness constraint reference\_is\_unique on that column, which ensures that the value of node /PurchaseOrder/Reference/text() is unique across all documents that are stored in the table. It fills the table with the data from OE.purchaseorder. It then tries to insert a duplicate document, DuplicateReference.xml, which violates the uniqueness constraint, raising an error.

```
CREATE TABLE po_binaryxml OF XMLType
  (CONSTRAINT reference_is_unique UNIQUE (c_xtabref))
  XMLTYPE STORE AS BINARY XML
  VIRTUAL COLUMNS
    (c_xtabref AS (XMLCast(XMLQuery('/PurchaseOrder/Reference'))
                                    PASSING OBJECT_VALUE RETURNING
CONTENT)
                           AS VARCHAR2(32)));
INSERT INTO po_binaryxml SELECT OBJECT_VALUE FROM OE.purchaseorder;
132 rows created.
INSERT INTO po_binaryxml
  VALUES (XMLType(bfilename('XMLDIR', 'DuplicateReference.xml'),
                  nls_charset_id('AL32UTF8')));
INSERT INTO po_binaryxml
ERROR at line 1:
ORA-00001: unique constraint (OE.REFERENCE_IS_UNIQUE) violated
```

## Example 3-9 Constraining a Binary XML Column Using a Virtual Column: Uniqueness

This example illustrates the technique for an XMLType column of a relational table. It defines virtual column <code>c\_xcolref</code> and uniqueness constraint <code>fk\_ref</code>, which references the uniqueness constraint defined in <code>Example 3-8</code>. As in <code>Example 3-8</code>, this ensures that the value of node <code>/PurchaseOrder/Reference/text()</code> is unique across all documents that are stored in <code>XMLType</code> column <code>po\_binxml\_col</code>.

The example fills the XMLType column with the same data from OE.purchaseorder. It then tries to insert duplicate document, DuplicateReference.xml, which violates the uniqueness constraint, raising an error.

```
CREATE TABLE po_reltab (po_binxml_col XMLType)

XMLTYPE po_binxml_col STORE AS BINARY XML

VIRTUAL COLUMNS
```



## Example 3-10 Constraining a Binary XML Column Using a Virtual Column: Foreign Key

This example is similar to Example 3-9, but it uses a foreign-key constraint, fk\_ref, which references the column with the uniqueness constraint defined in Example 3-8. Insertion of the document in file DuplicateReference.xml succeeds here, since that document is in (virtual) column c\_tabref of table po\_binaryxml. Insertion of a document that does not match any document in table po\_binaryxml.

```
CREATE TABLE po_reltab (po_binxml_col XMLType)
 XMLTYPE po binxml col STORE AS BINARY XML
 VIRTUAL COLUMNS
    (c xcolref AS (XMLCast (XMLQuery('/PurchaseOrder/Reference'))
                                     PASSING po binxml col
                                     RETURNING CONTENT)
                           AS VARCHAR2(32)));
ALTER TABLE po reltab ADD CONSTRAINT fk ref
                          FOREIGN KEY (c xcolref)
                          REFERENCES po binaryxml(c xtabref);
INSERT INTO po reltab
 VALUES (XMLType(bfilename('XMLDIR', 'DuplicateReference.xml'),
                  nls charset id('AL32UTF8')));
INSERT INTO po reltab
 VALUES (
    '<PurchaseOrder><Reference>Not Compliant</Reference></
PurchaseOrder>');
INSERT INTO po_reltab VALUES ('<PurchaseOrder><Reference>Not Compliant
</Reference></PurchaseOrder>')
ERROR at line 1:
ORA-02291: integrity constraint (OE.FK_REF) violated - parent key not
found
```



#### Example 3-11 Enforcing Database Integrity When Loading XML Using FTP

Integrity rules defined using constraints and triggers are also enforced when XML schema-based XML content is loaded into Oracle XML DB Repository. This example shows that database integrity is also enforced when a protocol, such as FTP, is used to upload XML schema-based XML content into Oracle XML DB Repository. In this case, additional constraints, besides uniqueness, were also violated.

```
$ ftp localhost 2100
Connected to localhost.
220 mdrake-sun FTP Server (Oracle XML DB/Oracle Database 10g Enterprise
Edition
Release 10.1.0.0.0 - Beta) ready.
Name (localhost:oracle10): QUINE
331 Password required for QUINE
Password: password
230 QUINE logged in
ftp> cd /source/schemas
250 CWD Command successful
ftp> put InvalidReference.xml
200 PORT Command successful
150 ASCII Data Connection
550- Error Response
ORA-00604: error occurred at recursive SQL level 1
ORA-31154: invalid XML document
ORA-19202: Error occurred in XML processing
LSX-00221: "SBELL-20021009" is too short (minimum length is 18)
ORA-06512: at "SYS.XMLTYPE", line 333
ORA-06512: at "QUINE.VALIDATE_PURCHASEORDER", line 3
ORA-04088: error during execution of trigger
'QUINE.VALIDATE_PURCHASEORDER'
550 End Error Response
ftp> put InvalidElement.xml
200 PORT Command successful
150 ASCII Data Connection
550- Error Response
ORA-30937: No schema definition for 'UserName' (namespace '##local') in
parent
'PurchaseOrder'
550 End Error Response
ftp> put DuplicateReference.xml
200 PORT Command successful
150 ASCII Data Connection
550- Error Response
ORA-00604: error occurred at recursive SQL level 1
ORA-00001: unique constraint (QUINE.REFERENCE_IS_UNIQUE) violated
550 End Error Response
ftp> put InvalidUser.xml
200 PORT Command successful
150 ASCII Data Connection
550- Error Response
ORA-00604: error occurred at recursive SQL level 1
ORA-02291: integrity constraint (QUINE.USER_IS_VALID) violated - parent
key not
```



found 550 End Error Response

When an error occurs while a document is being uploaded using a protocol, Oracle XML DB provides the client with the full SQL error trace. How the error is interpreted and reported to you is determined by the error-handling built into the client application. Some clients, such as a command line FTP tool, report the error returned by Oracle XML DB, while others, such as Microsoft Windows Explorer, report a generic error message.

#### **Related Topics**

- Specification of Relational Constraints on XMLType Tables and Columns
   For XMLType data stored object-relationally, you can specify typical relational
   constraints for elements and attributes that occur only once in an XML document.
- Creating Virtual Columns on XMLType Data Stored as Binary XML You can create virtual columns only for XMLType data that is stored as binary XML. Such columns are useful for partitioning or constraining the data.

## 3.5 Loading XML Content into Oracle XML DB

There are several ways to load XML content into Oracle XML DB.

- Loading XML Content Using SQL or PL/SQL
- Loading XML Content Using Java
   With a DOM you can use Java to load a SQLXML instance.
- Loading XML Content Using C
   With a DOM you can use C code to load an XMLType instance.
- Loading Large XML Files that Contain Small XML Documents
   When loading large XML files consisting of a collection of smaller XML documents, it is often more efficient to use Simple API for XML (SAX) parsing to break the file into a set of smaller documents, and then insert those documents.
- Loading Large XML Files Using SQL\*Loader
   You can use SQL\*Loader to load large amounts of XML data into Oracle Database.
- Loading XML Documents into the Repository Using DBMS\_XDB\_REPOS
   You can use PL/SQL package DBMS\_XDB\_REPOS to load XML documents into
   Oracle XML DB Repository. You can access repository documents (resources)
   using path-based rather than table-based techniques.
- Loading Documents into the Repository Using Protocols
   You can load documents, including XML documents, from a local file system into
   Oracle XML DB Repository using popular protocols.

### 3.5.1 Loading XML Content Using SQL or PL/SQL

You can use a simple INSERT operation in SQL or PL/SQL to load an XML document into the database.

Before the document can be stored as an XMLType column or table, you must convert it into an XMLType instance using one of the XMLType constructors.



XMLType constructors allow an XMLType instance to be created from different sources, including VARCHAR, CLOB, and BFILE values. The constructors accept additional arguments that reduce the amount of processing associated with XMLType creation. For example, if you are sure that a given source XML document is valid, you can provide an argument to the constructor that disables the type-checking that is otherwise performed.

In addition, if the source data is not encoded in the database character set, an XMLType instance can be constructed using a BFILE or BLOB value. The encoding of the source data is specified through the character set id (csid) argument of the constructor.

When you use SQL INSERT to insert a large document containing collections into XMLType tables (but not into XMLType columns), Oracle XML DB optimizes load time and memory usage.

Example 3-13 shows how to insert XML content into an XMLType table. Before making this insertion, you must create a database directory object that points to the directory containing the file to be processed. To do this, you must have the CREATE ANY DIRECTORY privilege.

#### See Also:

- Oracle Database PL/SQL Packages and Types Reference for a description of the XMLType constructors
- Oracle Database SQL Language Reference, under GRANT

#### **Example 3-12 Creating a Database Directory**

CREATE DIRECTORY xmldir AS path\_to\_folder\_containing\_XML\_file;

#### Example 3-13 Inserting XML Content into an XMLType Table

The value passed to nls\_charset\_id indicates that the encoding for the file to be read is UTF-8.

#### **Related Topics**

- Query and Update of XML Data
   There are many ways for applications to query and update XML data that is in Oracle Database, both XML schema-based and non-schema-based.
- PL/SQL APIs for XMLType: References
   The PL/SQL Application Programming Interfaces (APIs) for XMLType are described.
- Considerations for Loading and Retrieving Large Documents with Collections
   Oracle XML DB configuration file xdbconfig.xml has parameters that control the
   amount of memory used by the loading operation: xdbcore-loadableunit-size
   and xdbcore-xobmem-bound.



### 3.5.2 Loading XML Content Using Java

With a DOM you can use Java to load a SQLXML instance.

Example 3-14 shows how to load XML content into Oracle XML DB by first creating a SQLXML instance in Java, given a Document Object Model (DOM).

A simple bulk loader application is available at Oracle XML DB on OTN. It shows how to load a directory of XML files into Oracle XML DB using Java Database Connectivity (JDBC). JDBC is a set of Java interfaces to Oracle Database.

#### Example 3-14 Inserting Content into an XMLType Table Using Java

```
public void doInsert(Connection conn, Document doc)
throws Exception
{
   String query = "INSERT INTO purchaseorder VALUES (?)";
   SQLXML sx = conn.createSQLXML();
   DOMResult dom = sx.setResult(DOMResult.class);
   dom.setNode(doc);
   PreparedStatement statement = conn.prepareStatement(query);
   statement.setSQLXML(1, sx);
   statement.execute();
}
```

## 3.5.3 Loading XML Content Using C

With a DOM you can use C code to load an XMLType instance.

Example 3-15 shows how to insert XML content into an XMLType table using C code, by creating an XMLType instance given a DOM (see *Oracle XML Developer's Kit Programmer's Guide*). See Loading XML Data Using C (OCI) for a complete listing of this example.



For simplicity in demonstrating this feature, this example does not perform the password management techniques that a deployed system normally uses. In a production environment, follow the Oracle Database password management guidelines, and disable any sample accounts. See *Oracle Database Security Guide* for password management guidelines and other security recommendations.

#### Example 3-15 Inserting Content into an XMLType Table Using C

```
void main()
{
   OCIType *xmltdo;
   xmldocnode *doc;
   ocixmldbparam params[1];
   xmlerr err;
```



```
xmlctx *xctx;
oratext *ins_stmt;
sword
       status;
xmlnode *root;
oratext buf[10000];
/* Initialize envhp, svchp, errhp, dur, stmthp */
init_oci_connect();
/* Get an XML context */
params[0].name_ocixmldbparam = XCTXINIT_OCIDUR;
params[0].value_ocixmldbparam = &dur;
xctx = OCIXmlDbInitXmlCtx(envhp, svchp, errhp, params, 1);
if (!(doc = XmlLoadDom(xctx, &err, "file", filename,
                       "schema_location", schemaloc, NULL)))
   printf("Parse failed.\n");
   return;
else
 printf("Parse succeeded.\n");
root = XmlDomGetDocElem(xctx, doc);
printf("The xml document is :\n");
XmlSaveDom(xctx, &err, (xmlnode *)doc, "buffer", buf, "buffer_length", 10000, NULL);
printf("%s\n", buf);
/* Insert the document into my_table */
ins_stmt = (oratext *)"insert into purchaseorder values (:1)";
status = OCITypeByName(envhp, errhp, svchp, (const text *) "SYS",
                       (ub4) strlen((const char *)"SYS"), (const text *) "XMLTYPE",
                       (ub4) strlen((const char *)"XMLTYPE"), (CONST text *) 0,
                       (ub4) 0, OCI_DURATION_SESSION, OCI_TYPEGET_HEADER,
                       (OCIType **) &xmltdo);
if (status == OCI_SUCCESS)
   status = exec_bind_xml(svchp, errhp, stmthp, (void *)doc,
                           xmltdo, ins_stmt);
if (status == OCI_SUCCESS)
 printf ("Insert successful\n");
 printf ("Insert failed\n");
/* Free XML instances */
if (doc)
 XmlFreeDocument((xmlctx *)xctx, (xmldocnode *)doc);
/* Free XML CTX */
OCIXmlDbFreeXmlCtx(xctx);
free oci();
```

## 3.5.4 Loading Large XML Files that Contain Small XML Documents

When loading large XML files consisting of a collection of smaller XML documents, it is often more efficient to use Simple API for XML (SAX) parsing to break the file into a set of smaller documents, and then insert those documents.

SAX is an XML standard interface provided by XML parsers for event-based applications. You can use SAX to load a database table from very large XML files in the order of 30 MB or larger, by creating individual documents from a collection of nodes. You can also bulk load XML files.

#### See Also:

- SAX Project for information about SAX
- Oracle XML DB on OTN, for an application example that loads large files using SAX

### 3.5.5 Loading Large XML Files Using SQL\*Loader

You can use SQL\*Loader to load large amounts of XML data into Oracle Database.

SQL\*Loader loads in one of two modes, conventional or direct path. Table 3-1 compares these modes.

Table 3-1 SQL\*Loader – Conventional and Direct-Path Load Modes

Conventional Load Mode	Direct-Path Load Mode
Uses SQL to load data into Oracle Database. This is the <i>default</i> mode.	Bypasses SQL and streams the data directly into Oracle Database.
Advantage: Follows SQL semantics. For example triggers are fired and constraints are checked.	Advantage: This loads data much faster than the conventional load mode.
Disadvantage: This loads data slower than with the direct load mode.	Disadvantage: SQL semantics is not obeyed. For example triggers are not fired and constraints are not checked.

When loading LOBs with SQL\*Loader direct-path load, much memory can be used. If the message SQL\*Loader 700 (out of memory) appears, then it is likely that more rows are being included in each load call than can be handled by your operating system and process memory. *Workaround:* use the ROWS option to read a smaller number of rows in each data save.

#### **Related Topics**

How to Load XML Data
 The main way to load XML data into Oracle XML DB is to use SQL\*Loader.

## 3.5.6 Loading XML Documents into the Repository Using DBMS\_XDB\_REPOS

You can use PL/SQL package DBMS\_XDB\_REPOS to load XML documents into Oracle XML DB Repository. You can access repository documents (resources) using path-based rather than table-based techniques.

To load an XML document into the repository under a given path, use PL/SQL function DBMS\_XDB\_REPOS.createResource. Example 3-16 illustrates this.

Many operations for configuring and using Oracle XML DB are based on processing one or more XML documents. Examples include registering an XML schema and performing an XSL transformation. The easiest way to make these XML documents available to Oracle Database is to load them into Oracle XML DB Repository.



## **Example 3-16** Inserting XML Content into the Repository Using CREATERESOURCE

### 3.5.7 Loading Documents into the Repository Using Protocols

You can load documents, including XML documents, from a local file system into Oracle XML DB Repository using popular protocols.

Oracle XML DB Repository can store XML documents that are either XML schemabased or non-schema-based. It can also store content that is not XML data, such as HTML files, image files, and Microsoft Word documents.

You can load XML documents from a local file system into Oracle XML DB Repository using protocols such as WebDAV, from Windows Explorer or other tools that support WebDAV. Figure 3-1 shows a simple drag and drop operation for copying the contents of the SCOTT folder from the local hard drive to folder poSource in Oracle XML DB Repository.

C:\oracle\demo\10.1.0.0.0\basicDemo\LOCAL\configurationFiles File Edit View Favorites Tools Help Search Polders 🔻 🕞 Go Address C:\oracle\demo\10.1.0.0.0\basicDemo\LOCAL\configurationFiles \* File and Folder Tasks Other Places (\*) Copying file Details \* poSource File Folder Copying b10759.pdf to http://localhost:8080/home/SCOTT/poSource/doc Date Modified: Yesterday, November 21, 2003, 4:42 PM Cancel

Figure 3-1 Loading Content into the Repository Using Windows Explorer

The copied folder might contain, for example, an XML schema document, an HTML page, and some XSLT stylesheets.

## 3.6 Querying XML Content Stored in Oracle XML DB

There are many ways to query XML content in Oracle XML DB and retrieve it.



For efficient query performance you typically need to create indexes. For information about indexing XML data, see Indexes for XMLType Data.

- PurchaseOrder XML Document Used in Examples
   An XML schema defines the purchase-order documents used in examples.
- Retrieving the Content of an XML Document Using Pseudocolumn OBJECT\_VALUE

Pseudocolumn OBJECT\_VALUE can be used as an alias for the value of an object table.

- Accessing Fragments or Nodes of an XML Document Using XMLQUERY
  You can use SQL/XML function XMLQuery to extract the nodes that match an
  XQuery expression. The result is returned as an instance of XMLType.
- Accessing Text Nodes and Attribute Values Using XMLCAST and XMLQUERY
  You can access text node and attribute values using SQL/XML standard functions
  XMLQuery and XMLCast.
- Searching an XML Document Using XMLEXISTS, XMLCAST, and XMLQUERY You can use SQL/XML standard functions XMLExists, XMLCast, and XMLQuery in a SQL WHERE clause to limit guery results.
- Performing SQL Operations on XMLType Fragments Using XMLTABLE
   You can use SQL/XML function XMLTable to perform SQL operations on a set of
   nodes that match an XQuery expression.

## 3.6.1 PurchaseOrder XML Document Used in Examples

An XML schema defines the purchase-order documents used in examples.

Examples presented here are based on the PurchaseOrder XML document shown in Example 3-17.

#### **Example 3-17 PurchaseOrder XML Instance Document**

```
<PurchaseOrder
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xsi:noNamespaceSchemaLocation=
    "http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd">
  <Reference>SBELL-2002100912333601PDT</Reference>
  <Actions>
    <Action>
      <User>SVOLLMAN</User>
    </Action>
  </Actions>
  <Reject/>
  <Requestor>Sarah J. Bell</Requestor>
  <User>SBELL</User>
  <CostCenter>S30</CostCenter>
  <ShippingInstructions>
    <name>Sarah J. Bell
    <address>400 Oracle Parkway
```



```
Redwood Shores
     CA
      94065
     USA</address>
    <telephone>650 506 7400</telephone>
 </ShippingInstructions>
  <SpecialInstructions>Air Mail/SpecialInstructions>
  <LineItems>
    <LineItem ItemNumber="1">
      <Description>A Night to Remember/Description>
      <Part Id="715515009058" UnitPrice="39.95" Quantity="2"/>
    </LineItem>
    <LineItem ItemNumber="2">
      <Description>The Unbearable Lightness Of Being</Description>
      <Part Id="37429140222" UnitPrice="29.95" Quantity="2"/>
    </LineItem>
    <LineItem ItemNumber="3">
      <Description>Sisters/Description>
      <Part Id="715515011020" UnitPrice="29.95" Quantity="4"/>
    </LineItem>
  </LineItems>
</PurchaseOrder>
```

## 3.6.2 Retrieving the Content of an XML Document Using Pseudocolumn OBJECT VALUE

Pseudocolumn OBJECT\_VALUE can be used as an alias for the value of an object table.

For an XMLType table that consists of a single column of XMLType, the entire XML document is retrieved. (OBJECT\_VALUE replaces the value(x) and  $SYS_NC_ROWINFO$ \$ aliases used in releases prior to Oracle Database 10g Release 1.)

In Example 3-18, the SQL\*Plus settings PAGESIZE and LONG are used to ensure that the entire document is printed correctly, without line breaks. (The output has been formatted for readability.)

#### Example 3-18 Retrieving an Entire XML Document Using OBJECT\_VALUE



```
<CostCenter>S30</CostCenter>
  <ShippingInstructions>
    <name>Sarah J. Bell
    <address>400 Oracle Parkway
Redwood Shores
CA
94065
USA</address>
    <telephone>650 506 7400</telephone>
  </ShippingInstructions>
  <SpecialInstructions>Air Mail/SpecialInstructions>
  <LineItems>
    <LineItem ItemNumber="1">
      <Description>A Night to Remember/Description>
      <Part Id="715515009058" UnitPrice="39.95" Quantity="2"/>
    </LineItem>
    <LineItem ItemNumber="2">
      <Description>The Unbearable Lightness Of Being/Description>
      <Part Id="37429140222" UnitPrice="29.95" Ouantity="2"/>
    </LineItem>
    <LineItem ItemNumber="3">
      <Description>Sisters/Description>
      <Part Id="715515011020" UnitPrice="29.95" Quantity="4"/>
    </LineItem>
  </LineItems>
</PurchaseOrder>
1 row selected.
```

## 3.6.3 Accessing Fragments or Nodes of an XML Document Using XMLQUERY

You can use SQL/XML function XMLQuery to extract the nodes that match an XQuery expression. The result is returned as an instance of XMLType.

Example 3-19 illustrates this with several queries.

#### Example 3-19 Accessing XML Fragments Using XMLQUERY

The following query returns an  $\mathtt{XMLType}$  instance containing the  $\mathtt{Reference}$  element that matches the XPath expression.



The following query returns an XMLType instance containing the first LineItem element in the LineItems collection:

The following query returns an XMLType instance that contains the three Description elements that match the XPath expression. These elements are returned as nodes in a single XMLType instance. The XMLType instance does not have a single root node; it is an XML fragment.

#### **Related Topics**

Performing SQL Operations on XMLType Fragments Using XMLTABLE
 You can use SQL/XML function XMLTable to perform SQL operations on a set of
 nodes that match an XQuery expression.

## 3.6.4 Accessing Text Nodes and Attribute Values Using XMLCAST and XMLQUERY

You can access text node and attribute values using SQL/XML standard functions  ${\tt XMLQuery}$  and  ${\tt XMLCast}.$ 

To do this, the XQuery expression passed to XMLQuery must uniquely identify a *single* text node or attribute value within the document – that is, a *leaf* node. Example 3-20 illustrates this using several queries.





XQuery and Oracle XML DB for information on SQL/XML functions XMLQuery and XMLCast

#### Example 3-20 Accessing a Text Node Value Using XMLCAST and XMLQuery

The following query returns the value of the text node associated with the Reference element that matches the target XPath expression. The value is returned as a VARCHAR2 value.

The following query returns the value of the text node associated with a <code>Description</code> element contained in a <code>LineItem</code> element. The particular <code>LineItem</code> element is specified by its <code>Id</code> attribute value. The predicate that identifies the <code>LineItem</code> element is <code>[Part/@Id="715515011020"]</code>. The at-sign character (@) specifies that <code>Id</code> is an attribute rather than an element. The value is returned as a <code>VARCHAR2</code> value.

The following query returns the value of the text node associated with the Description element contained in the first LineItem element. The first LineItem element is indicated by the position predicate[1].



```
DESCRIPTION'PASSINGOBJECT_VALUEAS"P"
------
A Night to Remember

1 row selected.
```

## 3.6.5 Searching an XML Document Using XMLEXISTS, XMLCAST, and XMLQUERY

You can use SQL/XML standard functions XMLExists, XMLCast, and XMLQuery in a SQL where clause to limit query results.

SQL/XML standard function XMLExists evaluates whether or not a given document contains a node that matches a W3C XPath expression. It returns a Boolean value of true if the document contains the node specified by the XPath expression supplied to the function and a value of false if it does not. Since XPath expressions can contain predicates, XMLExists can determine whether or not a given node exists in the document, and whether or not a node with the specified value exists in the document.

Similarly, functions XMLCast and XMLQuery let you limit query results to documents that satisfy some property. Example 3-21 illustrates the use of XMLExists, XMLCast, and XMLQuery to search for documents.

Example 3-22 performs a join based on the values of a node in an XML document and data in another, relational table.



XQuery and Oracle XML DB for information about SQL/XML functions XMLQuery, XMLExists, and XMLCast

#### Example 3-21 Searching XML Content Using XMLExists, XMLCast, and XMLQuery

The following query uses XMLExists to check if the XML document contains an element named Reference that is a child of the root element PurchaseOrder:

The following query checks if the value of the text node associated with the Reference element is SBELL-2002100912333601PDT:



```
1
1 row selected.
```

This query checks whether the XML document contains a root element PurchaseOrder that contains a LineItems element that contains a LineItem element that contains a Part element with an Id attribute.

The following query checks whether the XML document contains a root element PurchaseOrder that contains a LineItems element that contains a LineItem element that contains a Part element with Id attribute value 715515009058.

The following query checks whether the XML document contains a root element PurchaseOrder that contains a LineItems element whose third LineItem element contains a Part element with Id attribute value 715515009058.

The following query limits the results of the SELECT statement to rows where the text node associated with element User starts with the letter S. XQuery does not include support for LIKE-based queries.



```
SKING-20021009123336321PDT ... 36 rows selected.
```

The following query uses XMLExists to limit the results of a SELECT statement to rows where the text node of element User contains the value SBELL.

```
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Reference' PASSING OBJECT_VALUE AS "p"
                        RETURNING CONTENT)
               AS VARCHAR2(30)) "Reference"
  FROM purchaseorder
  WHERE XMLExists('$p/PurchaseOrder[User="SBELL"]' PASSING OBJECT_VALUE AS "p");
Reference
SBELL-20021009123336231PDT
SBELL-20021009123336331PDT
SBELL-20021009123337353PDT
SBELL-20021009123338304PDT
SBELL-20021009123338505PDT
SBELL-20021009123335771PDT
SBELL-20021009123335280PDT
SBELL-2002100912333763PDT
SBELL-2002100912333601PDT
SBELL-20021009123336362PDT
SBELL-20021009123336532PDT
SBELL-20021009123338204PDT
SBELL-20021009123337673PDT
13 rows selected.
```

The following query uses SQL/XML functions XMLQuery and XMLExists to find the Reference element for any PurchaseOrder element whose first LineItem element contains an order for the item with Id 715515009058. Function XMLExists is used in the WHERE clause to determine which rows are selected, and XMLQuery is used in the SELECT list to control which part of the selected documents appears in the result.

#### Example 3-22 Joining Data from an XMLType Table and a Relational Table



13 rows selected.

## 3.6.6 Performing SQL Operations on XMLType Fragments Using XMLTABLE

You can use SQL/XML function  $\mathtt{XMLTable}$  to perform SQL operations on a set of nodes that match an XQuery expression.

Example 3-19 demonstrates how to extract an XMLType instance that contains the node or nodes that match an XPath expression. When the document contains *multiple* nodes that match the supplied XPath expression, such a query returns an XML *fragment* that contains all of the matching nodes. Unlike an XML document, an XML **fragment** has no single element that is the *root* element.

This kind of result is common in these cases:

- When you retrieve the set of elements contained in a collection, in which case all nodes in the fragment are of the same type – see Example 3-23
- When the target XPath expression ends in a wildcard, in which case the nodes in the fragment can be of different types – see Example 3-25

You can use SQL/XML function XMLTable to break up an XML fragment contained in an XMLType instance, inserting the collection-element data into a new, virtual table, which you can then query using SQL—in a join expression, for example. In particular, converting an XML fragment into a virtual table makes it easier to process the result of evaluating an XMLQuery expression that returns multiple nodes.



XQuery and Oracle XML DB for more information about SQL/XML function XMLTable

Example 3-23 shows how to access the text nodes for each Description element in the PurchaseOrder document. It breaks up the single XML Fragment output from Example 3-19 into multiple text nodes.



Example 3-24 counts the number of elements in a collection. It also shows how SQL keywords such as ORDER BY and GROUP BY can be applied to the virtual table data created by SQL/XML function XMLTable.

Example 3-25 shows how to use SQL/XML function XMLTable to count the number of *child* elements of a given element. The XPath expression passed to XMLTable contains a wildcard (\*) that matches all elements that are direct descendants of a PurchaseOrder element. Each row of the virtual table created by XMLTable contains a node that matches the XPath expression. Counting the number of rows in the virtual table provides the number of element children of element PurchaseOrder.

#### **Example 3-23 Accessing Description Nodes Using XMLTABLE**

3 rows selected.

To use SQL to process the contents of the text nodes, the example converts the collection of <code>Description</code> nodes into a *virtual table*, using SQL/XML function <code>XMLTable</code>. The virtual table has three rows, each of which contains a single <code>XMLType</code> instance with a single <code>Description</code> element.

The XPath expression targets the <code>Description</code> elements. The <code>PASSING</code> clause says to use the contents (<code>OBJECT\_VALUE</code>) of <code>XMLType</code> table <code>purchaseorder</code> as the context for evaluating the XPath expression.

The XMLTable expression thus *depends* on the purchaseorder table. This is a *left lateral join*. This correlated join ensures a one-to-many (1:N) relationship between the purchaseorder row accessed and the rows generated from it by XMLTable. Because of this correlated join, the purchaseorder table *must appear before* the XMLTable expression in the FROM list. This is a general requirement in any situation where the PASSING clause refers to a column of the table.

Each XMLType instance in the virtual table contains a single Description element. You can use the COLUMNS clause of XMLTable to break up the data targeted by the XPath expression 'Description' into a column named description of SQL data type VARCHAR2(256). The 'Description' expression that defines this column is *relative* to the *context* XPath expression, '/PurchaseOrder/LineItems/LineItem'.

```
SELECT des.description

FROM purchaseorder p,

XMLTable('/PurchaseOrder/LineItems/LineItem' PASSING p.OBJECT_VALUE

COLUMNS description VARCHAR2(256) PATH 'Description') des

WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'

PASSING OBJECT_VALUE AS "p");

DESCRIPTION
```



```
A Night to Remember
The Unbearable Lightness Of Being
Sisters
```

3 rows selected.

The COLUMNS clause lets you specify precise SQL data types, which can make static type-checking more helpful. This example uses only a single column (description). To expose data that is contained at multiple levels in an XMLType table as individual rows in a relational view, apply XMLTable to each document level to be broken up and stored in relational columns. See Example 9-2 for an example.

## Example 3-24 Counting the Number of Elements in a Collection Using XMLTABLE

```
SELECT reference, count(*)
  FROM purchaseorder,
      XMLTable('/PurchaseOrder' PASSING OBJECT_VALUE
               COLUMNS reference VARCHAR2(32) PATH 'Reference',
                       lineitem XMLType PATH 'LineItems/LineItem'),
      XMLTable('LineItem' PASSING lineitem)
  WHERE XMLExists('$p/PurchaseOrder[User="SBELL"]'
                 PASSING OBJECT_VALUE AS "p")
  GROUP BY reference
  ORDER BY reference;
REFERENCE
                            COUNT(*)
SBELL-20021009123335280PDT
SBELL-20021009123335771PDT
                                  21
SBELL-2002100912333601PDT
                                  3
SBELL-20021009123336231PDT
                                 25
                                 10
SBELL-20021009123336331PDT
                                 15
SBELL-20021009123336362PDT
                                 14
SBELL-20021009123336532PDT
SBELL-20021009123337353PDT
                                 10
SBELL-2002100912333763PDT
                                  21
SBELL-20021009123337673PDT
                                  10
SBELL-20021009123338204PDT
                                  14
SBELL-20021009123338304PDT
SBELL-20021009123338505PDT
```

13 rows selected.

The query in this example locates the set of XML documents that match the XPath expression to SQL/XML function XMLExists. It generates a virtual table with two columns:

- reference, containing the Reference node for each document selected
- lineitem, containing the set of LineItem nodes for each document selected

It counts the number of LineItem nodes for each document. A correlated join ensures that the GROUP BY correctly determines which LineItem elements belong to which PurchaseOrder element.

## **Example 3-25** Counting the Number of Child Elements in an Element Using XMLTABLE

```
SELECT count(*)
FROM purchaseorder p, XMLTable('/PurchaseOrder/*' PASSING p.OBJECT_VALUE)
```

## 3.7 Updating XML Content Stored in Oracle XML DB

You can update XML content, replacing either the entire contents of a document or only particular parts of a document.

The ability to perform partial updates on XML documents is very powerful, particularly when you make small changes to large documents, as it can significantly reduce the amount of network traffic and disk input-output required to perform the update.

You can make multiple changes to a document in a single operation. Each change uses an XQuery expression to identify a node to be updated, and specifies the new value for that node.

Example 3-26 updates the text node associated with element User.

Example 3-27 replaces an entire element within an XML document. The XQuery expression references the element, and the replacement value is passed as an XMLType object.

You can make multiple changes to a document in one statement. Example 3-28 changes the values of text nodes belonging to elements CostCenter and SpecialInstructions in a single SQL UPDATE statement.

#### Example 3-26 Updating a Text Node

```
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/User' PASSING OBJECT VALUE AS
"q"
                                                 RETURNING CONTENT)
               AS VARCHAR2(60))
  FROM purchaseorder
  WHERE XMLExists('$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                  PASSING OBJECT VALUE AS "p");
XMLCAST(XMLQUERY('$P/PURCHAS
SBELL
1 row selected.
UPDATE purchaseorder
SET OBJECT VALUE =
    XMLQuery('copy $i := $p1 modify
                (for $j in $i/PurchaseOrder/User
                 return replace value of node $j with $p2)
              return $i'
             PASSING OBJECT_VALUE AS "p1", 'SKING' AS "p2"
             RETURNING CONTENT)
```



```
WHERE XMLExists(
           '$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
           PASSING OBJECT_VALUE AS "p");
1 row updated.
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/User' PASSING OBJECT_VALUE AS
"q"
                                              RETURNING CONTENT)
              AS VARCHAR2(60))
 FROM purchaseorder
 WHERE XMLExists('$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING OBJECT_VALUE AS "p");
XMLCAST(XMLQUERY('$P/PURCHAS
______
SKING
1 row selected.
```

#### Example 3-27 Replacing an Entire Element Using XQuery Update

```
SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[1]'
                PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)
  FROM purchaseorder
  WHERE XMLExists('$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                  PASSING OBJECT_VALUE AS "p");
XMLQUERY('$P/PURCHAS
_____
<LineItem ItemNumber="1">
  <Description>A Night to Remember/Description>
  <Part Id="715515009058" UnitPrice="39.95" Quantity="2"/>
</LineItem>
1 row selected.
UPDATE purchaseorder
  SET OBJECT VALUE =
        XMLQuery(
          'copy $i := $p1 modify
             (for $j in $i/PurchaseOrder/LineItems/LineItem[1]
              return replace node $j with $p2)
          return $i'
          PASSING OBJECT_VALUE AS "p1",
                  XMLType('<LineItem ItemNumber="1">
                             <Description>The Lady Vanishes/
Description>
                             <Part Id="37429122129" UnitPrice="39.95"</pre>
                                   Quantity="1"/>
                           </LineItem>') AS "p2"
          RETURNING CONTENT)
```



```
WHERE XMLExists(
                '$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
               PASSING OBJECT_VALUE AS "p");
1 row updated.
SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[1]'
               PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)
 FROM purchaseorder
 WHERE XMLExists('$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING OBJECT_VALUE AS "p");
XMLQUERY('$P/PURCHAS
______
<LineItem ItemNumber="1">
  <Description>The Lady Vanishes/Description>
  <Part Id="37429122129" UnitPrice="39.95" Quantity="1"/>
</LineItem>
1 row selected.
```

#### **Example 3-28 Changing Text Node Values Using XQuery Update**

```
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/CostCenter'
                        PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)
               AS VARCHAR2(4)) "Cost Center",
       XMLCast(XMLQuery('$p/PurchaseOrder/SpecialInstructions'
                        PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)
               AS VARCHAR2(2048)) "Instructions"
  FROM purchaseorder
  WHERE XMLExists('$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                  PASSING OBJECT_VALUE AS "p");
Cost Center Instructions
S30
            Air Mail
1 row selected.
UPDATE purchaseorder
  SET OBJECT VALUE =
        XMLQuery('copy $i := $p1 modify
                    ((for $j in $i/PurchaseOrder/CostCenter
                      return replace value of node $j with $p2),
                     (for $j in $i/PurchaseOrder/SpecialInstructions
                      return replace value of node $j with $p3))
                 return $i'
                 PASSING OBJECT_VALUE AS "p1",
                         'B40' AS "p2",
                         'Priority Overnight Service' AS "p3"
                 RETURNING CONTENT)
```

```
WHERE XMLExists(
                '$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                PASSING OBJECT_VALUE AS "p");
1 row updated.
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/CostCenter'
                        PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)
               AS VARCHAR2(4)) "Cost Center",
       XMLCast(XMLQuery('$p/PurchaseOrder/SpecialInstructions'
                        PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)
               AS VARCHAR2(2048)) "Instructions"
 FROM purchaseorder
 WHERE XMLExists('$p/
PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                  PASSING OBJECT_VALUE AS "p");
Cost Center Instructions
            Priority Overnight Service
1 row selected.
```

## 3.8 Generating XML Data from Relational Data

You can use Oracle XML DB to generate XML data from relational data.

- Generating XML Data from Relational Data Using SQL/XML Functions You can use standard SQL/XML functions to generate one or more XML documents.
- Generating XML Data from Relational Data Using DBURITYPE
   You can generate XML data from relational data using SQL function DBURIType.

#### **Related Topics**

- XQuery and Oracle XML DB
  - The XQuery language is one of the main ways that you interact with XML data in Oracle XML DB. Support for the language includes SQL\*Plus commandXQUERY and SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast.
- Generation of XML Data from Relational Data
   Oracle XML DB provides features for generating (constructing) XML data from
   relational data in the database. There are both SQL/XML standard functions and
   Oracle-specific functions and packages for generating XML data from relational
   content.

## 3.8.1 Generating XML Data from Relational Data Using SQL/XML Functions

You can use standard SQL/XML functions to generate one or more XML documents.

SQL/XML function XMLQuery is the most general way to do this. Other SQL/XML functions that you can use for this are the following:

- XMLElement creates a element
- XMLAttributes adds attributes to an element
- XMLForest creates forest of elements
- XMLAgg creates a single element from a collection of elements

The query in Example 3-29 uses these functions to generate an XML document that contains information from the tables departments, locations, countries, employees, and jobs.

This guery generates element Department for each row in the departments table.

- Each Department element contains attribute DepartmentID. The value of DepartmentID comes from the department\_id column. The Department element contains sub-elements Name, Location, and EmployeeList.
- The text node associated with the Name element comes from the name column in the departments table.
- The Location element has child elements Address, City, State, Zip, and Country. These elements are constructed by creating a forest of named elements from columns in the locations and countries tables. The values in the columns become the text node for the named element.
- The EmployeeList element contains an aggregation of Employee Elements. The content of the EmployeeList element is created by a subquery that returns the set of rows in the employees table that correspond to the current department. Each Employee element contains information about the employee. The contents of the elements and attributes for each Employee element is taken from tables employees and jobs.

The output generated by SQL/XML functions is generally *not* pretty-printed. The only exception is function XMLSerialize — use XMLSerialize to pretty-print. This lets the other SQL/XML functions (1) avoid creating a full DOM when generating the required output, and (2) reduce the size of the generated document. This lack of pretty-printing by most SQL/XML functions does not matter to most applications. However, it makes verifying the generated output manually more difficult.

You can also create and query an XMLType view that is built using the SQL/XML generation functions. Example 3-30 and Example 3-31 illustrate this. Such an XMLType view has the effect of persisting relational data as XML content. Rows in XMLType views can also be persisted as documents in Oracle XML DB Repository.

In Example 3-31, the XPath expression passed to SQL/XML function XMLExists restricts the query result set to the node that contains the Executive department information. The result is shown pretty-printed here for clarity.



XPath rewrite on XML expressions that operate on XMLType views is only supported when nodes referenced in the XPath expression are *not* descendants of an element created using SQL function XMLAgg.



### Example 3-29 Generating XML Data Using SQL/XML Functions

```
SELECT XMLElement(
         "Department",
         XMLAttributes(d.Department_id AS "DepartmentId"),
         XMLForest(d.department name AS "Name"),
         XMLElement(
           "Location",
           XMLForest(street_address AS "Address",
                     city AS "City",
                     state_province AS "State",
                     postal code AS "Zip",
                     country_name AS "Country")),
           XMLElement(
             "EmployeeList",
             (SELECT XMLAgg(
                       XMLElement(
                         "Employee",
                         XMLAttributes(e.employee_id AS
"employeeNumber"),
                         XMLForest(
                           e.first_name AS "FirstName",
                           e.last name AS "LastName",
                           e.email AS "EmailAddress",
                           e.phone number AS "PHONE NUMBER",
                           e.hire_date AS "StartDate",
                           j.job_title AS "JobTitle",
                           e.salary AS "Salary",
                           m.first name | ' '
                           | m.last_name AS "Manager"),
                         XMLElement("Commission", e.commission_pct)))
                FROM hr.employees e, hr.employees m, hr.jobs j
                WHERE e.department_id = d.department_id
                  AND j.job id = e.job id
                  AND m.employee_id = e.manager_id)))
 AS XML
 FROM hr.departments d, hr.countries c, hr.locations l
 WHERE department_name = 'Executive'
   AND d.location id = 1.location id
    AND l.country_id = c.country_id;
The query returns the following XML:
XML
<Department DepartmentId="90"><Name>Executive/
Name><Location><Address>2004
 Charade Rd</Address><City>Seattle</City><State>Washingto
n</State><Zip>98199</Zip><Country>United States of
 America</Country></Location><EmployeeList><Employee
 employeeNumber="101"><FirstNa
me>Neena</FirstName><LastName>Kochhar</LastName><EmailAddress>NKOCHHAR</
EmailAdd
```



```
ess><PHONE_NUMBER>515.123.4568</PHONE_NUMBER><Start
Date>2005-09-21</StartDate><JobTitle>Administration Vice
President</JobTitle><Salary>17000</Salary><Manager>Steven King</
Manager><Com
mission></Commission></Employee><Employee
employeeNumber="102"><FirstName>Lex</FirstName><LastName>De
Haan</LastName><EmailAddress>L
DEHAAN</EmailAddress><PHONE_NUMBER>515.123.4569</PHONE
NUMBER><StartDate>2001-01-13</StartDate><JobTitle>Administration Vice
Presiden
t</JobTitle><Salary>17000</Salary><Manager>Steven
King</Manager><Commission></Employee></EmployeeList></Department>
```

### Example 3-30 Creating XMLType Views Over Conventional Relational Tables

```
CREATE OR REPLACE VIEW department xml OF XMLType
  WITH OBJECT ID (substr(
                    XMLCast(
                      XMLQuery('$p/Department/Name'
                               PASSING OBJECT VALUE AS "p"
                               RETURNING CONTENT)
                      AS VARCHAR2(30)),
                    1,
                    128))
  AS
  SELECT XMLElement(
           "Department",
           XMLAttributes(d.department_id AS "DepartmentId"),
           XMLForest(d.department name AS "Name"),
           XMLElement("Location", XMLForest(street_address AS "Address",
                                             city AS "City",
                                             state province AS "State",
                                             postal_code AS "Zip",
                                             country_name AS "Country")),
           XMLElement(
             "EmployeeList",
             (SELECT XMLAgg(
                       XMLElement(
                          "Employee",
                          XMLAttributes(e.employee id AS
"employeeNumber"),
                          XMLForest(e.first name AS "FirstName",
                                     e.last name AS "LastName",
                                     e.email AS "EmailAddress",
                                     e.phone number AS "PHONE NUMBER",
                                     e.hire_date AS "StartDate",
                                     j.job_title AS "JobTitle",
                                     e.salary AS "Salary",
                                    m.first name | ' ' |
                                    m.last_name AS "Manager"),
                          XMLElement("Commission", e.commission_pct)))
                FROM hr.employees e, hr.employees m, hr.jobs j
                WHERE e.department_id = d.department_id
```



```
AND j.job_id = e.job_id

AND m.employee_id = e.manager_id))).extract('/*')

AS XML

FROM hr.departments d, hr.countries c, hr.locations l

WHERE d.location_id = l.location_id

AND l.country_id = c.country_id;
```

### **Example 3-31 Querying XMLType Views**

```
SELECT OBJECT_VALUE FROM department_xml
 WHERE XMLExists('$p/Department[Name="Executive"]'
                  PASSING OBJECT VALUE AS "p");
OBJECT_VALUE
<Department DepartmentId="90">
  <Name>Executive</Name>
  <Location>
    <Address>2004 Charade Rd</Address>
    <City>Seattle</City>
    <State>Washington</State>
    <Zip>98199</Zip>
    <Country>United States of America</Country>
  </Location>
  <EmployeeList>
    <Employee employeeNumber="101">
      <FirstName>Neena</FirstName>
      <LastName>Kochhar</LastName>
      <EmailAddress>NKOCHHAR</EmailAddress>
      <PHONE NUMBER>515.123.4568/PHONE NUMBER>
      <StartDate>2005-09-21</StartDate>
      <JobTitle>Administration Vice President</JobTitle>
      <Salary>17000</Salary>
      <Manager>Steven King</manager>
      <Commission/>
    </Employee>
    <Employee employeeNumber="102">
      <FirstName>Lex</FirstName>
      <LastName>De Haan</LastName>
      <EmailAddress>LDEHAAN</EmailAddress>
      <PHONE NUMBER>515.123.4569/PHONE NUMBER>
      <StartDate>2001-01-13</StartDate>
      <JobTitle>Administration Vice President</JobTitle>
      <Salary>17000</Salary>
      <Manager>Steven King</manager>
      <Commission/>
    </Employee>
  </EmployeeList>
</Department>
1 row selected.
```



As can be seen from the following execution plan output, Oracle XML DB is able to correctly rewrite the XPath-expression argument in the XMLExists expression into a SELECT statement on the underlying relational tables.

```
SELECT OBJECT_VALUE FROM department_xml WHERE XMLExists('$p/Department[Name="Executive"]' PASSING OBJECT_VALUE AS "p");
```

PLAN\_TABLE\_OUTPUT

-----

Plan hash value: 2414180351

Id   Operation		Operation	Name	Rows	Bytes	Cost (	 %CPU)	Time
	0	SELECT STATEMENT		1	80	3	(0)	00:00:01
ĺ	1	SORT AGGREGATE		1	115		j	į
*	2	HASH JOIN		10	1150	7	(15)	00:00:01
*	3	HASH JOIN		10	960	5	(20)	00:00:01
ĺ	4	TABLE ACCESS BY INDEX ROWID BATCHED	EMPLOYEES	10	690	2	(0)	00:00:01
*	5	INDEX RANGE SCAN	EMP_DEPARTMENT_IX	10		1	(0)	00:00:01
	6	TABLE ACCESS FULL	JOBS	19	513	2	(0)	00:00:01
	7	TABLE ACCESS FULL	EMPLOYEES	107	2033	2	(0)	00:00:01
	8	NESTED LOOPS		1	80	3	(0)	00:00:01
ĺ	9	NESTED LOOPS		1	68	3	(0)	00:00:01
*	10	TABLE ACCESS FULL	DEPARTMENTS	1	19	2	(0)	00:00:01
	11	TABLE ACCESS BY INDEX ROWID	LOCATIONS	1	49	1	(0)	00:00:01
*	12	INDEX UNIQUE SCAN	LOC_ID_PK	1	ĺ	0	(0)	00:00:01
*	13	INDEX UNIQUE SCAN	COUNTRY_C_ID_PK	1	12	0	(0)	00:00:01

 $\label{lem:predicate} \mbox{ Predicate Information (identified by operation id):}$ 

```
2 - access("M"."EMPLOYEE_ID"="E"."MANAGER_ID")
3 - access("J"."JOB_ID"="E"."JOB_ID")
5 - access("E"."DEPARTMENT_ID"=:B1)
10 - filter("D"."DEPARTMENT_NAME"='Executive')
12 - access("D"."LOCATION_ID"="L"."LOCATION_ID")
13 - access("L"."COUNTRY_ID"="C"."COUNTRY_ID")
```

30 rows selected.

## 3.8.2 Generating XML Data from Relational Data Using DBURITYPE

You can generate XML data from relational data using SQL function DBURIType.

Function DBURIType exposes one or more rows in a given table or view as a single XML document. The name of the root element is derived from the name of the table or view. The root element contains a set of ROW elements. There is one ROW element for each row in the table or view. The children of each ROW element are derived from the columns in the table or view. Each child element contains a text node with the value of the column for the given row.

Example 3-32 shows how to use SQL function <code>DBURIType</code> to access the contents of table <code>departments</code> in database schema <code>HR</code>. It uses method <code>getXML()</code> to return the resulting document as an <code>XMLType</code> instance.

Example 3-33 shows how to use an XPath predicate to restrict the rows that are included in an XML document generated using <code>DBURIType</code>. The XPath expression in the example restricts the XML document to <code>DEPARTMENT\_ID</code> columns with value 10.

SQL function <code>DBURIType</code> provides a simple way to expose some or all rows in a relational table as one or more XML documents. The URL passed to function <code>DBURIType</code> can be extended to return a single column from the view or table, but in that case the URL must also include predicates that identify a single row in the target table or view.

Example 3-34 illustrates this. The predicate [DEPARTMENT\_ID="10"] causes the query to return the value of column department\_name for the departments row where column department\_id has the value 10.

SQL function DBURIType is less flexible than the SQL/XML functions:

- It provides no way to control the shape of the generated document.
- The data can come only from a single table or view.
- The generated document consists of one or more ROW elements. Each ROW element contains a child for each column in the target table.
- The names of the child elements are derived from the column names.

To control the names of the XML elements, to include columns from more than one table, or to control which columns from a table appear in the generated document, create a relational view that exposes the desired set of columns as a single row, and then use function <code>DBURIType</code> to generate an XML document from the contents of that view.

## Example 3-32 Generating XML Data from a Relational Table Using DBURIType and getXML()

```
SELECT DBURIType('/HR/DEPARTMENTS').getXML() FROM DUAL;
DBURITYPE('/HR/DEPARTMENTS').GETXML()
_____
<?xml version="1.0"?>
<DEPARTMENTS>
 <ROW>
 <DEPARTMENT ID>10/DEPARTMENT ID>
 <DEPARTMENT NAME>Administration/DEPARTMENT NAME>
 <MANAGER ID>200</MANAGER ID>
 <LOCATION_ID>1700</LOCATION_ID>
 </ROW>
 <ROW>
 <DEPARTMENT ID>20</DEPARTMENT ID>
 <DEPARTMENT_NAME>Marketing/DEPARTMENT_NAME>
 <MANAGER ID>201</MANAGER ID>
 <LOCATION ID>1800</LOCATION ID>
 </ROW>
</DEPARTMENTS>
```

### Example 3-33 Restricting Rows Using an XPath Predicate

```
SELECT DBURIType('/HR/DEPARTMENTS/ROW[DEPARTMENT_ID="10"]').getXML()
FROM DUAL;

DBURITYPE('/HR/DEPARTMENTS/ROW[DEPARTMENT_ID="10"]').GETXML()
```



```
<?xml version="1.0"?>
 <ROW>
  <DEPARTMENT_ID>10</DEPARTMENT_ID>
  <DEPARTMENT_NAME>Administration/DEPARTMENT_NAME>
  <MANAGER_ID>200</MANAGER_ID>
  <LOCATION_ID>1700</LOCATION_ID>
 </ROW>
1 row selected.
```

### Example 3-34 Restricting Rows and Columns Using an XPath Predicate

```
SELECT DBURIType(
         '/HR/DEPARTMENTS/ROW[DEPARTMENT_ID="10"]/DEPARTMENT_NAME').getXML()
  FROM DUAL;
DBURITYPE('/HR/DEPARTMENTS/ROW[DEPARTMENT_ID="10"]/DEPARTMENT_NAME').GETXML()
<?xml version="1.0"?>
 <DEPARTMENT NAME>Administration/DEPARTMENT NAME>
1 row selected.
```

## 3.9 Character Sets of XML Documents

There are a few ways in which Oracle XML DB determines which character sets are used for XML documents



### Caution:

*AL32UTF8* is the Oracle Database character set that is appropriate for XMLType data. It is equivalent to the IANA registered standard UTF-8 encoding, which supports all valid XML characters.

Do not confuse Oracle Database database character set UTF8 (no hyphen) with database character set AL32UTF8 or with character encoding UTF-8. Database character set UTF8 has been superseded by AL32UTF8. Do not use UTF8 for XML data. Character set UTF8 supports only Unicode version 3.1 and earlier. It does not support all valid XML characters. AL32UTF8 has no such limitation.

Using database character set UTF8 for XML data could potentially stop a system or affect security negatively. If a character that is not supported by the database character set appears in an input-document element name, a replacement character (usually "?") is substituted for it. This terminates parsing and raises an exception. It can cause an irrecoverable error.

### XML Encoding Declaration

You can use an XML encoding declaration to explicitly specify the character encoding to use for a given XML entity.

- Character-Set Determination When Loading XML Documents into the Database Except for XML data obtained from a CLOB or VARCHAR value, character encoding is determined by an encoding declaration when a document is loaded into the database.
- Character-Set Determination When Retrieving XML Documents from the Database Except for XML data stored in a CLOB or VARCHAR value, you can specify the encoding to be used when it is retrieved from Oracle XML DB using a SQL client, programmatic APIs, or transfer protocols.

## 3.9.1 XML Encoding Declaration

You can use an XML encoding declaration to explicitly specify the character encoding to use for a given XML entity.

Each XML document is composed of units called entities. Each entity in an XML document can use a different encoding for its characters. Entities that are stored in an encoding other than UTF-8 or UTF-16 must begin with an XML declaration containing an encoding specification indicating the character encoding in use. For example:

```
<?xml version='1.0' encoding='EUC-JP' ?>
```

Entities encoded in UTF-16 must begin with the Byte Order Mark (BOM), as described in Appendix F of the XML 1.0 Reference. For example, on big-endian platforms, the BOM required of a UTF-16 data stream is #xFEFF.

In the absence of both the encoding declaration and the BOM, the XML entity is assumed to be encoded in UTF-8. Because ASCII is a subset of UTF-8, ASCII entities do not require an encoding declaration.

In many cases, external sources of information are available, besides the XML data, to provide the character encoding in use. For example, the encoding of the data can be obtained from the charset parameter of the Content-Type field in an HTTP(S) request as follows:

```
Content-Type: text/xml; charset=ISO-8859-4
```

## 3.9.2 Character-Set Determination When Loading XML Documents into the Database

Except for XML data obtained from a CLOB or VARCHAR value, character encoding is determined by an encoding declaration when a document is loaded into the database.

For XML data obtained from a CLOB or VARCHAR value, any encoding declaration present is *ignored*,, because these two data types are *always encoded in the database character set*.

In addition, when loading data into Oracle XML DB, either through programmatic APIs or transfer protocols, you can provide external encoding to override the document encoding declaration. An error is raised if you try to load a schema-based XML document that contains characters that are not legal in the determined encoding.

The following examples show different ways to specify external encoding:



• Using PL/SQL function DBMS\_XDB\_REPOS.createResource to create a file resource from a BFILE, you can specify the file encoding with the CSID argument. If a zero CSID is specified then the file encoding is auto-detected from the document encoding declaration.

 Use the FTP protocol to load documents into Oracle XML DB. Use the quote set\_charset FTP command to indicate the encoding of the files to be loaded.

```
ftp> quote set_charset Shift_JIS
ftp> put mypurchaseorder.xml
```

 Use the HTTP(S) protocol to load documents into Oracle XML DB. Specify the encoding of the data to be transmitted to Oracle XML DB in the request header.

```
Content-Type: text/xml; charset= EUC-JP
```

## 3.9.3 Character-Set Determination When Retrieving XML Documents from the Database

Except for XML data stored in a CLOB or VARCHAR value, you can specify the encoding to be used when it is retrieved from Oracle XML DB using a SQL client, programmatic APIs, or transfer protocols.

When XML data is stored as a CLOB or VARCHAR2 value, the encoding declaration, if present, is always *ignored* for retrieval, just as for storage. The encoding of a retrieved document can thus be different from the encoding explicitly declared in that document.

The character set for an XML document retrieved from the database is determined in the following ways:

SQL client – If a SQL client (such as SQL\*Plus) is used to retrieve XML data, then
the character set is determined by the client-side environment variable NLS\_LANG.
In particular, this setting overrides any explicit character-set declarations in the
XML data itself.

For example, if you set the client side NLS\_LANG variable to AMERICAN\_AMERICA.AL32UTF8 and then retrieve an XML document with encoding EUC\_JP provided by declaration <?xml version="1.0" encoding="EUC-JP"?>, the character set of the retrieved document is AL32UTF8. not EUC JP.



PL/SQL and APIs – Using PL/SQL or programmatic APIs, you can retrieve XML data into VARCHAR, CLOB, or XMLType data types. As for SQL clients, you can control the encoding of the retrieved data by setting NLS\_LANG.

You can also retrieve XML data into a BLOB value using XMLType and URIType methods. These let you specify the character set of the returned BLOB value. Here is an example:

```
CREATE OR REPLACE FUNCTION getXML(pathname VARCHAR2, charset
VARCHAR2)
  RETURN BLOB IS
  xblob BLOB;
BEGIN
  SELECT XMLSERIALIZE(DOCUMENT e.RES AS BLOB ENCODING charset) INTO
xblob
   FROM RESOURCE_VIEW e WHERE equals_path(e.RES, pathname) = 1;
  RETURN xblob;
END;
//
```

 FTP – You can use the FTP quote set\_nls\_locale command to set the character set:

```
ftp> quote set_nls_locale EUC-JP
ftp> get mypurchaseorder.xml
```

HTTP(S) – You can use the Accept-Charset parameter in an HTTP(S) request:

```
/httptest/mypurchaseorder.xml 1.1 HTTP/Host: localhost:2345 Accept: text/*
Accept-Charset: iso-8859-1, utf-8
```

### **Related Topics**

FTP Ouote Methods

Oracle Database supports several FTP  ${\tt quote}$  methods, which provide information directly to Oracle XML DB.

Character Sets for HTTP(S)

You can control the character sets used for data that is transferred using HTTP(S).



Oracle Database Globalization Support Guide for information about  ${\tt NLS\_LANG}$ 



## Part II

# Manipulation of XML Data in Oracle XML DB

The following are covered here: XQuery, XMLType operations, and indexing of XML data.

### XQuery and Oracle XML DB

The XQuery language is one of the main ways that you interact with XML data in Oracle XML DB. Support for the language includes SQL\*Plus commandXQUERY and SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast.

### Query and Update of XML Data

There are many ways for applications to query and update XML data that is in Oracle Database, both XML schema-based and non-schema-based.

### Indexes for XMLType Data

You can create indexes on your XML data, to focus on particular parts of it that you query often and thus improve performance. There are various ways that you can index XMLType data, whether it is XML schema-based or non-schema-based, and regardless of the XMLType storage model you use.

### Transformation and Validation of XMLType Data

There are several Oracle SQL functions and XMLType APIs for transforming XMLType data using XSLT stylesheets and for validating XMLType instances against an XML schema.



4

## XQuery and Oracle XML DB

The XQuery language is one of the main ways that you interact with XML data in Oracle XML DB. Support for the language includes SQL\*Plus commandXQUERY and SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast.

- Overview of the XQuery Language
   XQuery is the W3C language designed for querying and updating XML data.
- Overview of XQuery in Oracle XML DB
   Oracle XML DB support for the XQuery language is provided through a
   native implementation of SQL/XML functions XMLQuery, XMLTable, XMLExists,
   and XMLCast. As a convenience, SQL\*Plus command XQUERY is also provided,
   which lets you enter XQuery expressions directly in effect, this command turns
   SQL\*Plus into an XQuery command-line interpreter.
- SQL/XML Functions XMLQUERY, XMLTABLE, XMLExists, and XMLCast SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast are defined by the SQL/XML standard as a general interface between the SQL and XQuery languages.
- URI Scheme oradb: Querying Table or View Data with XQuery
  You can use XQuery function fn:collection to query data that is in database
  tables and views.
- Oracle XQuery Extension Functions
   Oracle XML DB adds some XQuery functions to those provided in the W3C standard. These additional functions are in the Oracle XML DB namespace, <a href="http://xmlns.oracle.com/xdb">http://xmlns.oracle.com/xdb</a>, which uses the predefined prefix ora.
- Oracle XQuery Extension-Expression Pragmas
   The W3C XQuery specification lets an implementation provide implementation-defined extension expressions. An XQuery extension expression is an XQuery expression that is enclosed in braces ({, }) and prefixed by an implementation-defined pragma. The Oracle implementation provides several such pragmas.
- XQuery Static Type-Checking in Oracle XML DB
   When possible, Oracle XML DB performs static (compile time) type-checking of queries.
- Oracle XML DB Support for XQuery
   Oracle XML DB support for the XQuery language includes SQL support and
   support for XQuery functions and operators.

## 4.1 Overview of the XQuery Language

XQuery is the W3C language designed for querying and updating XML data.

Oracle XML DB supports the following W3C XQuery standards:

- XQuery 1.0 Recommendation
- XQuery Update Facility 1.0 Recommendation



### XQuery and XPath Full Text 1.0 Recommendation

This section presents an overview of the XQuery language. For more information, consult a recent book on the language or refer to the standards documents that define it, all of which are available at <a href="http://www.w3c.org/">http://www.w3c.org/</a>.

### XPath Expressions Are XQuery Expressions

The XPath language is a W3C Recommendation for navigating XML documents. It is a subset of the XQuery language: an XPath expression is also an XQuery expression.

### XQuery: A Functional Language Based on Sequences

XQuery is similar to SQL in many ways, but just as SQL is designed for querying structured, relational data, XQuery is designed especially for querying semi-structured, XML data from a variety of data sources.

### XQuery Expressions

XQuery expressions are case-sensitive. An XQuery expression is either a *simple* expression or an *updating* expression, the latter being an expression that represents data modification. More precisely, these are the possible XQuery expressions:

### FLWOR Expressions

Just as for XQuery in general, there is a lot to learn about FLWOR expressions in particular. This section provides a brief overview.

## 4.1.1 XPath Expressions Are XQuery Expressions

The XPath language is a W3C Recommendation for navigating XML documents. It is a subset of the XQuery language: an XPath expression is also an XQuery expression.

XPath models an XML document as a tree of nodes. It provides a set of operations that walk this tree and apply predicates and node-test functions. Applying an XPath expression to an XML document results in a set of nodes. For example, the expression /PO/PONO selects all PONO child elements under the PO root element of a document.

Table 4-1 lists some common constructs used in XPath.

**Table 4-1 Common XPath Constructs** 

<b>XPath Construct</b>	Description
/	Denotes the root of the tree in an XPath expression. For example, $/PO$ refers to the child of the root node whose name is $PO$ .
/	Used as a path separator to identify the child element nodes of a given element node. For example, /PurchaseOrder/Reference identifies Reference elements that are children of PurchaseOrder elements that are children of the root element.
//	Used to identify all descendants of the current node. For example, PurchaseOrder//ShippingInstructions matches any ShippingInstructions element under the PurchaseOrder element.
*	Used as a wildcard to match any child node. For example, $/PO/*/STREET$ matches any street element that is a grandchild of the PO element.



Table 4-1 (Cont.) Common XPath Constructs

XPath Construct	Description
[ ]	Used to denote predicate expressions. XPath supports a rich list of binary operators such as or, and, and not. For example, /PO[PONO = 20 and PNAME = "PO_2"]/SHIPADDR selects the shipping address element of all purchase orders whose purchase-order number is 20 and whose purchase-order name is PO_2.
	Brackets are also used to denote a position (index). For example, $/PO/PONO[2]$ identifies the second purchase-order number element under the PO root element.
Functions	XPath and XQuery support a set of built-in functions such as substring, round, and not. In addition, these languages provide for extension functions through the use of namespaces. Oracle XQuery extension functions use the namespace prefix ora, for namespace http://xmlns.oracle.com/xdb. See Oracle XQuery Extension Functions.

An XPath expression must identify a single node or a set of element, text, or attribute nodes. The result of evaluating an XPath expression is never a Boolean expression.

You can select XMLType data using PL/SQL, C, or Java. You can also use XMLType method getNumberVal() to retrieve XML data as a NUMBER value.



Oracle SQL functions and XMLType methods respect the W3C XPath recommendation, which states that if an XPath expression targets *no nodes* when applied to XML data, then an empty sequence must be returned. An error must *not* be raised in this case.

## 4.1.2 XQuery: A Functional Language Based on Sequences

XQuery is similar to SQL in many ways, but just as SQL is designed for querying structured, relational data, XQuery is designed especially for querying semi-structured, XML data from a variety of data sources.

You can use XQuery to query XML data wherever it is found, whether it is stored in database tables, available through Web Services, or otherwise created on the fly. In addition to querying XML data, XQuery can be used to *construct* XML data. In this regard, XQuery can serve as an alternative or a complement to both XSLT and the other SQL/XML publishing functions, such as XMLElement.

XQuery builds on the Post-Schema-Validation Infoset (PSVI) data model, which unites the XML Information Set (Infoset) data model and the XML Schema type system. XQuery defines a new data model, the **XQuery Data Model** (XDM), which is based on *sequences*. Another name for an XQuery sequence is an **XDM instance**.

### XQuery Is About Sequences

XQuery is all about manipulating sequences. This makes XQuery similar to a set-manipulation language, except that sequences are ordered and can contain duplicate items. XQuery sequences differ from the sequences in some other languages in that nested XQuery sequences are always *flattened* in their effect.



### XQuery Is Referentially Transparent

XQuery is a *functional* language. As such, it consists of a set of possible *expressions* that are *evaluated* and whose evaluation returns *values* (results).

### XQuery Update Has Side Effects on Your Data

Referential transparency applies to the evaluation of XQuery expressions. It does not imply that this evaluation never has a *side effect* on your *data*. In particular, you use XQuery Update to modify your data. That modification is a side effect of evaluating an XQuery updating expression.

### XQuery Update Snapshots

An XQuery expression (query) can call for more than one update operation. XQuery Update performs all such operations for the same query as an *atomic* operation: either they all succeed or none of them do (if an error is raised).

### XQuery Full Text Provides Full-Text Search

The XQuery and XPath Full Text 1.0 Recommendation (XQuery Full Text) defines XQuery support for full-text searches in queries. It defines full-text selection operators that perform the search and return instances of the AllMatches model, which complements the XQuery Data Model (XDM).

## 4.1.2.1 XQuery Is About Sequences

XQuery is all about manipulating sequences. This makes XQuery similar to a setmanipulation language, except that sequences are ordered and can contain duplicate items. XQuery sequences differ from the sequences in some other languages in that nested XQuery sequences are always *flattened* in their effect.

In many cases, sequences can be treated as unordered, to maximize optimization — where this is available, it is under your control. This **unordered mode** can be applied to join order in the treatment of nested iterations (for), and it can be applied to the treatment of XPath expressions (for example, in /a/b, the matching b elements can be processed without regard to document order).

An XQuery **sequence** consists of zero or more **items**, which can be either *atomic* (scalar) values or XML *nodes*. Items are typed using a rich type system that is based upon the types of XML Schema. This type system is a major change from that of XPath 1.0, which is limited to simple scalar types such as Boolean, number, and string.

## 4.1.2.2 XQuery Is Referentially Transparent

XQuery is a *functional* language. As such, it consists of a set of possible *expressions* that are *evaluated* and whose evaluation returns *values* (results).

The result of evaluating an XQuery expression has two parts, at least one of which is empty: (a) a sequence (an XDM instance) and (b) a **pending update list**. Informally, the sequence is sometimes spoken of as the expression value, especially when the pending update list is empty, meaning that no data updates are involved.

As a functional language, XQuery is also **referentially transparent**. This means that the *same expression* evaluated in the *same context* returns the *same value*.

*Exceptions* to this desirable mathematical property include the following:

• XQuery expressions that derive their value from interaction with the external environment. For example, an expression such as fn:current-time(...) or fn:doc(...) does not necessarily always return the same value, since it depends



on external conditions that can change (the time changes; the content of the target document might change).

In some cases, like that of fn:doc, XQuery is defined to be referentially transparent within the execution of a single query: within a query, each invocation of fn:doc with the same argument results in the same document.

 XQuery expressions that are defined to be dependent on the particular XQuery language implementation. The result of evaluating such expressions might vary between implementations. Function fn:doc is an example of a function that is essentially implementation-defined.

XQuery Update is not in the list; it does *not* present an exception to referential transparency. See XQuery Update Has Side Effects on Your Data.

Referential transparency applies also to XQuery *variables*: the same variable in the same context has the same value. Functional languages are like mathematics formalisms in this respect and unlike procedural, or imperative, programming languages. A variable in a procedural language is really a name for a memory location; it has a *current* value, or state, as represented by its content at any time. A variable in a declarative language such as XQuery is really a name for a *static* value.

## 4.1.2.3 XQuery Update Has Side Effects on Your Data

Referential transparency applies to the evaluation of XQuery expressions. It does not imply that this evaluation never has a *side effect* on your *data*. In particular, you use XQuery Update to modify your data. That modification is a side effect of evaluating an XQuery updating expression.

The side effect is one thing; the expression value is another. The value returned from evaluation includes the pending update list that describes the updates to carry out. For a given XQuery expression, this description is the same regardless of the context in which evaluation occurs (with the above-mentioned exceptions).

The XQuery Update standard defines how the XDM instances of your data are updated. How those updates are propagated to persistent data stores (for example XMLType tables and columns) is implementation-dependent.

### 4.1.2.4 XQuery Update Snapshots

An XQuery expression (query) can call for more than one update operation. XQuery Update performs all such operations for the same query as an *atomic* operation: either they all succeed or none of them do (if an error is raised).

The unit of change is thus an entire XQuery query. To effect this atomic update behavior, before evaluating your query XQuery Update takes a **snapshot** of the data (XDM instances) whose modification is called for by the query. It also adds the update operations called for by the query to the pending update list. The snapshot is an evaluation context for an XDM instance that is the update target.

As the last step of XQuery expression evaluation, the pending update list is processed, applying the indicated update operations in an atomic fashion, and terminating the snapshot.

The atomic nature of snapshot semantics means that a set of update operations used in a given query are not necessarily applied in the order written. In fact, the order of applying update operations is fixed and specified by the XQuery Update Feature standard.



This means that *an update operation does not see the result of any other update operation for the same query.* There is no notion of an intermediate or interim update state – all updates for a query are applied together, atomically.

### 4.1.2.5 XQuery Full Text Provides Full-Text Search

The XQuery and XPath Full Text 1.0 Recommendation (XQuery Full Text) defines XQuery support for full-text searches in queries. It defines full-text selection operators that perform the search and return instances of the AllMatches model, which complements the XQuery Data Model (XDM).

An AllMatches instance describes all possible solutions to a full-text query for a given search context item. Each solution is described by a Match instance, which contains the search-context tokens (StringInclude instances) that must be included and those (StringExclude instances) that must be excluded.

In short, XQuery Full Text adds a full-text contains expression to the XQuery language. You use such an expression in your query to search the text of element nodes and their descendent elements (you can also search the text of attribute nodes).

## 4.1.3 XQuery Expressions

XQuery expressions are case-sensitive. An XQuery expression is either a *simple* expression or an *updating* expression, the latter being an expression that represents data modification. More precisely, these are the possible XQuery expressions:

- Basic updating expression an insert, delete, replace, or rename expression, or a call to an updating function (see the XQuery Update Facility 1.0 Recommendation).
- Updating expression a basic updating expression or an expression (other than a transform expression) that contains another updating expression (this is a recursive definition).
- Simple expression An XQuery 1.0 expression. It does not call for any updating.

The pending update list that results from evaluating a simple expression is empty. The sequence value that results from evaluating an updating expression is empty.

Simple expressions include the following:

- **Primary expression** literal, variable, or function application. A variable name starts with a dollar-sign (\$) for example, \$foo. Literals include numerals, strings, and character or entity references.
- XPath expression Any XPath expression. The XPath 2.0 standard is a subset of XQuery.
- FLWOR expression The most important XQuery expression, composed of the following, in order, from which FLWOR takes its name: for, let, where, order by, return.
- XQuery sequence The comma (,) constructor creates sequences. Sequence-manipulating functions such as union and intersect are also available. All XQuery sequences are effectively **flat**: a nested sequence is treated as its flattened equivalent. Thus, for instance, (1, 2, (3, 4, (5), 6), 7) is treated as (1, 2, 3, 4, 5, 6, 7). A singleton sequence, such as (42), acts the same in most XQuery contexts as does its single item, 42. Remember that the result of any XQuery expression is a sequence.



- **Direct (literal) constructions** XML element and attribute syntax automatically constructs elements and attributes: what you see is what you get. For example, the XQuery expression <a>33</a> constructs the XML element <a>33</a>.
- Computed (dynamic) constructions You can construct XML data at run time using computed values. For example, the following XQuery expression constructs this XML data: <foo toto="5"><bar>tata titi</bar> why? </foo>.

```
<foo>attribute toto {2+3},
element bar {"tata", "titi"},
text {" why? "}</foo>
```

In this example, element foo is a direct construction; the other constructions are computed. In practice, the arguments to computed constructors are not literals (such as toto and "tata"), but expressions to be evaluated (such as 2+3). Both the name and the value arguments of an element or attribute constructor can be computed. Braces ( $\{, \}$ ) are used to mark off an XQuery expression to be evaluated.

• **Conditional expression** – As usual, but remember that each part of the expression is itself an arbitrary expression. For instance, in this conditional expression, each of these subexpressions can be any XQuery expression: something, somethingElse, expression1, and expression2.

```
if (something < somethingElse) then expression1 else expression2</pre>
```

• **Arithmetic, relational expression** – As usual, but remember that each relational expression returns a (Boolean¹) value. Examples:

```
2 + 3
42 < \$a + 5
(1, 4) = (1, 2)
5 > 3 \text{ eq true}()
```

 Quantifier expression – Universal (every) and existential (some) quantifier functions provide shortcuts to using a FLWOR expression in some cases. Examples:

```
every foo in doc("bar.xml")/Whatever satisfies <math>foo/@bar > 42 some toto in (42, 5), titi in (123, 29, 5) satisfies <math>toto = titi
```

- Regular expression XQuery regular expressions are based on XML Schema 1.0 and Perl. (See Support for XQuery Functions and Operators.)
- Type expression An XQuery expression that represents an XQuery type. Examples: item(), node(), attribute(), element(), document-node(), namespace(), text(), xs:integer, xs:string.<sup>2</sup>

Type expressions can have **occurrence indicators**: ? (optional: zero or one), \* (zero or more), + (one or more). Examples: document-node(element())\*, item()+, attribute()?.

<sup>&</sup>lt;sup>2</sup> Namespace prefix xs is predefined for the XML Schema namespace, http://www.w3.org/2001/XMLSchema.



<sup>&</sup>lt;sup>1</sup> The value returned is a sequence, as always. However, in XQuery, a sequence of one item is equivalent to that item itself. In this case, the single item is a Boolean value.

XQuery also provides operators for working with types. These include cast as, castable as, treat as, instance of, typeswitch, and validate. For example, "42" cast as xs:integer is an expression whose value is the integer 42. (It is not, strictly speaking, a type expression, because its value does not represent a type.)

• Full-text contains expression – An XQuery expression that represents a full-text search. This expression is provided by the XQuery and XPath Full Text 1.0 Recommendation. A full-text contains expression (FTContainsExpr) supported by Oracle has these parts: a search context that specifies the items to search, and a full-text selection that filters those items, selecting matches.

The selection part is itself composed of the following:

- Tokens and phrases used for matching.
- Optional match options, such as the use of stemming.
- Optional Boolean operators for combining full-text selections.
- Optional constraint operators, such as positional filters (e.g. ordered window).

See Support for XQuery Full Text.

## 4.1.4 FLWOR Expressions

Just as for XQuery in general, there is a lot to learn about FLWOR expressions in particular. This section provides a brief overview.

FLWOR is the most general expression syntax in XQuery. FLWOR (pronounced "flower") stands for for, let, where, order by, and return. A FLWOR expression has at least one for or let clause and a return clause; single where and order by clauses are optional. Only the return clause can contain an updating expression; the other clauses cannot.

• **for** – Bind one or more variables each to any number of values, in turn. That is, for each variable, iterate, binding the variable to a different value for each iteration.

At each iteration, the variables are bound in the order they appear, so that the value of a variable \$earlier that is listed before a variable \$later in the for list, can be used in the binding of variable \$later. For example, during its second iteration, this expression binds \$i to 4 and \$j to 6 (2+4):

```
for $i in (3, 4), $j in ($i, 2+$i)
```

let – Bind one or more variables.

Just as with for, a variable can be bound by let to a value computed using another variable that is listed previously in the binding list of the let (or an enclosing for or let). For example, this expression binds  $\sharp j$  to 5 (3+2):

```
let $i := 3, $j := $i + 2
```

- where Filter the for and let variable bindings according to some condition. This
  is similar to a SQL WHERE clause.
- order by Sort the result of where filtering.
- return Construct a result from the ordered, filtered values. This is the result of the FLWOR expression as a whole. It is a flattened sequence.



If the return clause contains an updating expression then that expression is evaluated for each tuple generated by the other clauses. The pending update lists from these evaluations are then merged as the result of the FLWOR expression.

Expressions for and let act similarly to a SQL FROM clause. Expression where acts like a SQL WHERE clause Expression order by is similar to ORDER BY in SQL. Expression return is like SELECT in SQL. Except for the two keywords whose names are the same in both languages (where, order by), FLWOR clause order is more or less opposite to the SQL clause order, but the meanings of the corresponding clauses are quite similar.

Using a FLWOR expression (with order by) is the *only* way to construct an XQuery sequence in any order other than document order.

## 4.2 Overview of XQuery in Oracle XML DB

Oracle XML DB support for the XQuery language is provided through a native implementation of SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast. As a convenience, SQL\*Plus command XQUERY is also provided, which lets you enter XQuery expressions directly — in effect, this command turns SQL\*Plus into an XQuery command-line interpreter.

Oracle XML DB compiles XQuery expressions that are passed as arguments to SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast. This compilation produces SQL query blocks and operator trees that use SQL/XML functions and XPath functions. A SQL statement that includes XMLQuery, XMLTable, XMLExists, or XMLCast is compiled and optimized as a whole, leveraging both relational database and XQuery-specific optimization technologies. Depending on the XML storage and indexing methods used, XPath functions can be further optimized. The resulting optimized operator tree is executed in a streaming fashion.



Oracle XML Developer's Kit (XDK) supports XQuery on the mid-tier. You do not need access to Oracle Database to use XQuery. XDK lets you evaluate XQuery expressions using XQuery API for Java (XQJ).

#### When To Use XOuery

You can use XQuery to do many of the same things that you might do using the SQL/XML generation functions or XSLT; there is a great deal of overlap. The decision to use one or the other tool to accomplish a given task can be based on many considerations, most of which are not specific to Oracle Database. Please consult external documentation on this general question.

Predefined XQuery Namespaces and Prefixes
 Several namespaces and prefixes are predefined for use with XQuery in Oracle XML DB.



### **Related Topics**

- SQL/XML Functions XMLQUERY, XMLTABLE, XMLExists, and XMLCast SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast are defined by the SQL/XML standard as a general interface between the SQL and XQuery languages.
- Using the SQL\*Plus XQUERY Command
   You can evaluate an XQuery expression using the SQL\*Plus XQUERY command.
- Query and Update of XML Data
   There are many ways for applications to query and update XML data that is in Oracle Database, both XML schema-based and non-schema-based.

### See Also:

- Oracle XQuery Extension Functions for Oracle-specific XQuery functions that extend the language
- Oracle XML DB Support for XQuery for details about Oracle XML DB support for XQuery
- Oracle XML Developer's Kit Programmer's Guide for information about using XQJ

## 4.2.1 When To Use XQuery

You can use XQuery to do many of the same things that you might do using the SQL/XML generation functions or XSLT; there is a great deal of overlap. The decision to use one or the other tool to accomplish a given task can be based on many considerations, most of which are not specific to Oracle Database. Please consult external documentation on this general question.

A general pattern of use is that XQuery is often used when the focus is the world of XML data, and the SQL/XML generation functions (XMLElement, XMLAgg, and so on) are often used when the focus is the world of relational data.

Other things being equal, if a query constructs an XML document from fragments extracted from existing XML documents, then it is likely that an XQuery FLOWR expression is simpler (simplifying code maintenance) than extracting scalar values from relational data and constructing appropriate XML data using SQL/XML generation functions. If, instead, a query constructs an XML document from existing relational data, the SQL/XML generation functions can often be more suitable.

With respect to Oracle XML DB, you can expect the same general level of performance using the SQL/XML generation functions as with XMLQuery and XMLTable — all are subject to rewrite optimizations.

## 4.2.2 Predefined XQuery Namespaces and Prefixes

Several namespaces and prefixes are predefined for use with XQuery in Oracle XML DB.



Prefix	Namespace	Description
ora	http://xmlns.oracle.com/xdb	Oracle XML DB namespace
local	http://www.w3.org/2003/11/xpath-local-functions	XPath local function declaration namespace
fn	http://www.w3.org/2003/11/xpath-functions	XPath function namespace
xml	http://www.w3.org/XML/1998/namespace	XML namespace
XS	http://www.w3.org/2001/XMLSchema	XML Schema namespace
xsi	http://www.w3.org/2001/XMLSchema-instance	XML Schema instance namespace

You can use these prefixes in XQuery expressions without first declaring them in the XQuery-expression prolog. You can redefine any of them  $except \ xml$  in the prolog. All of these prefixes except ora are predefined in the XQuery standard.

# 4.3 SQL/XML Functions XMLQUERY, XMLTABLE, XMLExists, and XMLCast

SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast are defined by the SQL/XML standard as a general interface between the SQL and XQuery languages.

They are referred to in this book as SQL/XML *query and update* functions. As is the case for the other SQL/XML functions, these functions let you take advantage of the power and flexibility of both SQL and XML. Using these functions, you can construct XML data using relational data, query relational data as if it were XML, and construct relational data from XML data.

SQL functions XMLExists and XMLCast are documented elsewhere in this chapter. This section presents functions XMLQuery and XMLTable, but many of the examples in this chapter use also XMLExists and XMLCast. In terms of typical use:

- XMLQuery and XMLCast are typically used in a SELECT list.
- XMLTable is typically used in a SQL FROM clause.
- XMLExists is typically used in a SQL WHERE clause.

Both XMLQuery and XMLTable evaluate an XQuery expression. In the XQuery language, an expression always returns a sequence of items. Function XMLQuery aggregates the items in this sequence to return a single XML document or fragment. Function XMLTable returns a SQL table whose rows each contain one item from the XQuery sequence.

- XMLQUERY SQL/XML Function in Oracle XML DB
   Use SQL/XML function XMLQuery to construct or query XML data.
- You use SQL/XML Function in Oracle XML DB
  You use SQL/XML function XMLTable to decompose the result of an XQueryexpression evaluation into the relational rows and columns of a new, virtual table.
  You can insert this data into a pre-existing database table, or you can query it
  using SQL in a join expression, for example.



XMLEXISTS SQL/XML Function in Oracle XML DB
 SQL/XML standard function XMLExists checks whether a given XQuery
 expression returns a non-empty XQuery sequence. If so, the function returns TRUE.
 Otherwise, it returns FALSE.

### Using XMLExists to Find a Node

You can use SQL/XML standard function XMLExists to find a given node. You can create function-based indexes using XMLExists. You can also create an XMLIndex index to help speed up arbitrary XQuery searching.

- XMLCAST SQL/XML Function in Oracle XML DB
   You can use SQL/XML function XMLCast to cast an XQuery value to a SQL data
   type.
- Using XMLCAST to Extract the Scalar Value of an XML Fragment
   You can use standard SQL/XML function XMLCast to extract the scalar value of an
   XML fragment.



- Oracle Database SQL Language Reference for information about Oracle support for the SQL/XML standard
- http://www.w3.org/TR/xquery-30/ for information about the XQuery language
- Generation of XML Data Using SQL Functions for information about using other SQL/XML functions with Oracle XML DB

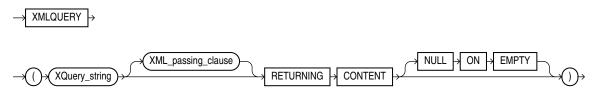
## 4.3.1 XMLQUERY SQL/XML Function in Oracle XML DB

Use SQL/XML function XMLQuery to construct or guery XML data.

The function takes as arguments an *XQuery expression*, as a string literal, and an optional XQuery *context item*, as a SQL expression. The context item establishes the XPath context in which the XQuery expression is evaluated. Additionally, XMLQuery accepts as arguments any number of SQL expressions whose values are bound to XQuery variables during the XQuery expression evaluation.

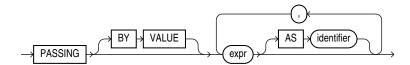
The function returns the result of evaluating the XQuery expression, as an  $\mathtt{XMLType}$  instance.

Figure 4-1 XMLQUERY Syntax





### XML\_passing\_clause ::=



- XQuery\_string is a complete XQuery expression, possibly including a prolog, as a literal string.
- The XML\_passing\_clause is the keyword PASSING followed by one or more SQL expressions (expr) that each return an XMLType instance or an instance of a SQL scalar data type (that is, not an object or collection data type). Each expression (expr) can be a table or view column value, a PL/SQL variable, or a bind variable with proper casting. All but possibly one of the expressions must each be followed by the keyword AS and an XQuery identifier. The result of evaluating each expr is bound to the corresponding identifier for the evaluation of XQuery\_string. If there is an expr that is not followed by an AS clause, then the result of evaluating that expr is used as the context item for evaluating XQuery\_string. Oracle XML DB supports only passing BY VALUE, not passing BY REFERENCE, so the clause BY VALUE is implicit and can be omitted.
- RETURNING CONTENT indicates that the value returned by an application of XMLQuery is an instance of parameterized XML type XML(CONTENT), not parameterized type XML(SEQUENCE). It is a document fragment that conforms to the *extended* Infoset data model. As such, it is a single document node with any number of children. The children can each be of any XML node type; in particular, they can be text nodes.

Oracle XML DB supports only the RETURNING CONTENT clause of SQL/XML function XMLQuery; it does *not* support the RETURNING SEQUENCE clause.

You can pass an XMLType column, table, or view as the context-item argument to function XMLQuery — see, for example, Example 5-8.

To query a relational table or view as if it were XML data, without having to first create a SQL/XML view on top of it, use XQuery function fn:collection within an XQuery expression, passing as argument a URI that uses the URI-scheme name oradb together with the database location of the data. See URI Scheme oradb: Querying Table or View Data with XQuery.

### Note:

Prior to Oracle Database 11g Release 2, some users employed Oracle SQL functions <code>extract</code> and <code>extractValue</code> to do some of what can be done better using SQL/XML functions <code>XMLQuery</code> and <code>XMLCast</code>. SQL functions <code>extract</code> and <code>extractValue</code> are <code>deprecated</code> in Oracle Database 11g Release 2.





Oracle Database SQL Language Reference for reference information about SQL/XML function XMLQuery in Oracle Database

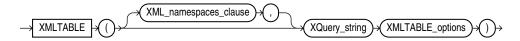
## 4.3.2 XMLTABLE SQL/XML Function in Oracle XML DB

You use SQL/XML function XMLTable to decompose the result of an XQuery-expression evaluation into the relational rows and columns of a new, virtual table. You can insert this data into a pre-existing database table, or you can query it using SQL — in a join expression, for example.

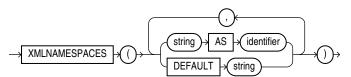
SeeExample 5-9.

You use XMLTable in a SQL FROM clause.

Figure 4-2 XMLTABLE Syntax

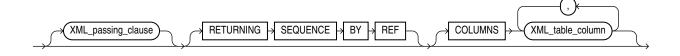


### XML\_namespaces\_clause ::=

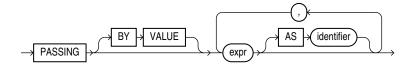


Note: You can specify at most one DEFAULT string clause.

### XMLTABLE\_options ::=

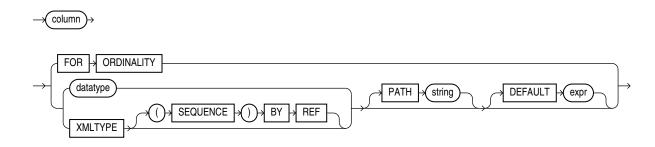


### XML\_passing\_clause ::=





### XML\_table\_column ::=



- XQuery\_string is sometimes called the row pattern of the XMLTable call. It is a
  complete XQuery expression, possibly including a prolog, as a literal string. The
  value of the expression serves as input to the XMLTable function; it is this XQuery
  result that is decomposed and stored as relational data.
- The optional XMLNAMESPACES clause contains XML namespace declarations that are referenced by XQuery\_string and by the XPath expression in the PATH clause of XML\_table\_column.
- The XML\_passing\_clause is the keyword PASSING followed by one or more SQL expressions (expr) that each return an XMLType instance or an instance of a SQL scalar data type (that is, not an object or collection data type). Each expression (expr) can be a table or view column value, a PL/SQL variable, or a bind variables with proper casting. All but possibly one of the expressions must each be followed by the keyword AS and an XQuery identifier. The result of evaluating each expr is bound to the corresponding identifier for the evaluation of XQuery\_string. If there is an expr that is not followed by an AS clause, then the result of evaluating that expr is used as the context item for evaluating XQuery\_string. Oracle XML DB supports only passing BY VALUE, not passing BY REFERENCE, so the clause BY VALUE is implicit and can be omitted.
- The optional COLUMNS clause defines the columns of the virtual table to be created by XMLTable.
  - If you omit the COLUMNS clause, then XMLTable returns a row with a single XMLType pseudo-column, named COLUMN\_VALUE.
  - FOR ORDINALITY specifies that column is to be a column of generated row numbers (SQL data type NUMBER). The row numbers start with 1. There must be at most one FOR ORDINALITY clause.
  - For each resulting column except the FOR ORDINALITY column, you must specify the column data type, which can be XMLType or any other SQL data type (called datatype in the syntax description). The resulting column content is an instance of the data type specified.
  - For data type XMLType, if you also include the specification (SEQUENCE) BY REF then a reference to the source data targeted by the PATH expression (string) is returned as the column content. Otherwise, column contains a copy of that targeted data.

Returning the XMLType data by reference lets you specify other columns whose paths target nodes in the source data that are outside those targeted by the PATH expression for column. See Example 5-13.



The optional PATH clause specifies that the portion of the XQuery result that is addressed by XQuery expression string is to be used as the column content. This XQuery expression is sometimes called the column pattern. You can use multiple PATH clauses to split the XQuery result into different virtual-table columns.

If you omit PATH, then the XQuery expression column is assumed. For example, these two expressions are equivalent:

```
XMLTable(... COLUMNS foo)
XMLTable(... COLUMNS foo PATH 'FOO')
```

The XQuery expression *string* must represent a *relative* path; it is relative to the path *XQuery\_string*.

The optional DEFAULT clause specifies the value to use when the PATH
expression results in an empty sequence (or NULL). Its expr is an XQuery
expression that is evaluated to produce the default value.

### See Also:

Oracle Database SQL Language Reference for reference information about SQL/XML function XMLTable in Oracle Database

### Note:

Prior to Oracle Database 11g Release 2, some users employed Oracle SQL function XMLSequence within a SQL TABLE collection expression, that is, TABLE (XMLSequence(...)), to do some of what can be done better using SQL/XML function XMLTable. Function XMLSequence is *deprecated* in Oracle Database 11g Release 2.

See Oracle Database SQL Language Reference for information about the SQL TABLE collection expression.

### Chaining Calls to SQL/XML Function XMLTABLE

When you need to expose data contained at multiple levels in an XMLType table as individual rows in a relational table (or view), you use the same general approach as for breaking up a single level: Use SQL/XML function XMLTable to define the columns making up the table and map the XML nodes to those columns.

## 4.3.2.1 Chaining Calls to SQL/XML Function XMLTABLE

When you need to expose data contained at multiple levels in an XMLType table as individual rows in a relational table (or view), you use the same general approach as for breaking up a single level: Use SQL/XML function XMLTable to define the columns making up the table and map the XML nodes to those columns.

But in this case you apply function XMLTable to each document level that is to be broken up and stored in relational columns. Use this technique of **chaining** 



multiple XMLTable calls whenever there is a one-to-many (1:N) relationship between documents in the XMLType table and the rows in the relational table.

You pass one level of XMLType data from one XMLTable call to the next, specifying its column type as XMLType.

When you chain two XMLTable calls, the *row pattern* of each call should target the *deepest node that is a common ancestor* to all of the nodes that are referenced in the *column patterns* of that call.

This is illustrated in Example 4-1.

Each PurchaseOrder element in XMLType table po\_binaryxml contains a LineItems element, which in turn contains one or more LineItem elements. Each LineItem element has child elements, such as Description, and an ItemNumber attribute. To make such lower-level data accessible as a relational value, you use XMLTable to project the collection of LineItem elements.

When element PurchaseOrder is decomposed by the first call to XMLTable, its descendant LineItem element is mapped to a column of type XMLType, which contains an XML fragment. That column is then passed to a second call to XMLTable to be broken by it into its various parts as multiple columns of relational values.

The first call to XMLTable uses /PurchaseOrder as the row pattern, because PurchaseOrder is the deepest common ancestor node for the column patterns, Reference and LineItems/LineItem.

The second call to XMLTable uses /LineItem as its row pattern, because that node is the deepest common ancestor node for each of its column patterns (@ItemNumber, Description, Part/@Id, and so on).

The column pattern (LineItems/LineItem) for the column (po.lineitem) that is passed from the first XMLTable call t o the second ends with the repeating element (LineItem) that the second XMLTable call decomposes. That repeating element, written with a leading slash (/), is used as the first element of the row pattern for the second XMLTable call.

The row pattern in each case is thus expressed as an *absolute* path; that is, it starts with /. It is the starting point for decomposition by XMLTable. Column patterns, on the other hand, *never* start with a slash (/); they are always relative to the row pattern of the same XMLTable call.

### **Example 4-1 Chaining XMLTable Calls**

```
SELECT po.reference, li.*

FROM po_binaryxml p,

XMLTable('/PurchaseOrder' PASSING p.OBJECT_VALUE

COLUMNS

reference VARCHAR2(30) PATH 'Reference',

lineitem XMLType PATH 'LineItems/LineItem') po,

XMLTable('/LineItem' PASSING po.lineitem

COLUMNS

itemno NUMBER(38) PATH '@ItemNumber',

description VARCHAR2(256) PATH 'Description',

partno VARCHAR2(14) PATH 'Part/@Id',

quantity NUMBER(12, 2) PATH 'Part/@Quantity',

unitprice NUMBER(8, 4) PATH 'Part/@UnitPrice') li;
```



## 4.3.3 XMLEXISTS SQL/XML Function in Oracle XML DB

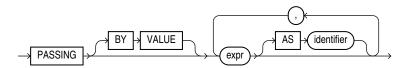
SQL/XML standard function XMLExists checks whether a given XQuery expression returns a non-empty XQuery sequence. If so, the function returns TRUE. Otherwise, it returns FALSE.

Figure 4-3 describes the syntax for function XMLExists.

Figure 4-3 XMLExists Syntax



XML passing clause ::=



- XQuery\_string is a complete XQuery expression, possibly including a prolog, as a literal string. It can contain XQuery variables that you bind using the XQuery PASSING clause (XML\_passing\_clause in the syntax diagram). The predefined namespace prefixes recognized for SQL/XML function XMLQuery are also recognized in XQuery\_string see Predefined XQuery Namespaces and Prefixes.
- The XML\_passing\_clause is the keyword PASSING followed by one or more SQL expressions (expr) that each return an XMLType instance or an instance of a SQL scalar data type. All but possibly one of the expressions must each be followed by the keyword AS and an XQuery identifier. The result of evaluating each expr is bound to the corresponding identifier for the evaluation of XQuery\_string. If there is an expr that is not followed by an AS clause, then the result of evaluating that expr is used as the context item for evaluating XQuery\_string. Oracle XML DB supports only passing BY VALUE, not passing BY REFERENCE, so the clause BY VALUE is implicit and can be omitted.

If an XQuery expression such as /PurchaseOrder/Reference or /PurchaseOrder/Reference/text() targets a single node, then XMLExists returns true for that expression. If XMLExists is called with an XQuery expression that locates no nodes, then XMLExists returns false.

Function XMLExists can be used in queries, and it can be used to create function-based indexes to speed up evaluation of queries.



### Note:

Oracle XML DB limits the use of XMLExists to a SQL WHERE clause or CASE expression. If you need to use XMLExists in a SELECT list, then wrap it in a CASE expression:

CASE WHEN XMLExists(...) THEN 'TRUE' ELSE 'FALSE' END

### Note:

Prior to Oracle Database 11g Release 2, some users employed Oracle SQL function existsNode to do some of what can be done better using SQL/XML function XMLExists. Function existsNode is *deprecated* in Oracle Database 11g Release 2. The two functions differ in these important ways:

- Function existsNode returns 0 or 1. Function XMLExists returns a Boolean value, TRUE or FALSE.
- You can use existsNode in a query SELECT list. You cannot use XMLExists directly in a SELECT list, but you can use XMLExists within a CASE expression in a SELECT list.

## 4.3.4 Using XMLExists to Find a Node

You can use SQL/XML standard function XMLExists to find a given node. You can create function-based indexes using XMLExists. You can also create an XMLIndex index to help speed up arbitrary XQuery searching.

Example 4-2 uses XMLExists to select rows with SpecialInstructions set to Expedite.

### Example 4-2 Finding a Node Using SQL/XML Function XMLExists

### OBJECT\_VALUE



<PurchaseOrder xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
<PurchaseOrder xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>

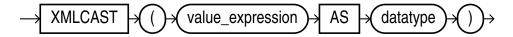
13 rows selected.

## 4.3.5 XMLCAST SQL/XML Function in Oracle XML DB

You can use SQL/XML function XMLCast to cast an XQuery value to a SQL data type.

Figure 4-4 describes the syntax for SQL/XML standard function XMLCast.

### Figure 4-4 XMLCast Syntax



SQL/XML standard function XMLCast casts its first argument to the scalar SQL data type specified by its second argument. The first argument is a SQL expression that is evaluated. Any of the following SQL data types can be used as the second argument:

- NUMBER
- VARCHAR2
- CHAR
- CLOB
- BLOB
- REF XMLTYPE
- any SQL date or time data type

### Note:

Unlike the SQL/XML standard, Oracle XML DB limits the use of XMLCast to cast XML to a SQL scalar data type. Oracle XML DB does not support casting XML to XML or from a scalar SQL type to XML.

The result of evaluating the first XMLCast argument is an XML value. It is converted to the target SQL data type by using the XQuery atomization process and then casting the XQuery atomic values to the target data type. If this conversion fails, then an error is raised. If conversion succeeds, the result returned is an instance of the target data type.



### Note:

- Prior to Oracle Database 11g Release 2, some users employed Oracle SQL function extractValue to do some of what can be done better using SQL/XML functions XMLQuery and XMLCast. Function extractValue is deprecated in Oracle Database 11g Release 2.
- Function extractValue raises an error when its XPath expression argument matches multiple text nodes. XMLCast applied to an XMLQuery result returns the concatenation of the text nodes — it does not raise an error.

### **Related Topics**

- Indexing XMLType Data Stored Object-Relationally
  You can effectively index XMLType data that is stored object-relationally by creating
  B-tree indexes on the underlying database columns that correspond to XML nodes.
- XMLIndex

## 4.3.6 Using XMLCAST to Extract the Scalar Value of an XML Fragment

You can use standard SQL/XML function  $\tt XMLCast$  to extract the scalar value of an XML fragment.

The query in Example 4-3 extracts the scalar value of node Reference.

### Example 4-3 Extracting the Scalar Value of an XML Fragment Using XMLCAST

```
SELECT XMLCast(XMLQuery('/PurchaseOrder/Reference' PASSING OBJECT_VALUE RETURNING CONTENT)

AS VARCHAR2(100)) "REFERENCE"

FROM purchaseorder

WHERE XMLExists('/PurchaseOrder[SpecialInstructions="Expedite"]'

PASSING OBJECT_VALUE);
```

#### REFERENCE

AMCEWEN-20021009123336271PDT
SKING-20021009123336321PDT
AWALSH-20021009123337123PDT
JCHEN-20021009123337123PDT
AWALSH-20021009123336642PDT
SKING-20021009123336622PDT
SKING-20021009123336822PDT
AWALSH-20021009123336412PDT
WSMITH-20021009123337954PDT
SKING-20021009123338294PDT
WSMITH-20021009123338294PDT
WSMITH-20021009123338154PDT



TFOX-20021009123337463PDT

13 rows selected.

# 4.4 URI Scheme oradb: Querying Table or View Data with XQuery

You can use XQuery function fn:collection to query data that is in database tables and views.

Besides using XQuery functions fn:doc and fn:collection to query resources in Oracle XML DB Repository (see Querying XML Data in Oracle XML DB Repository Using XQuery), you can use fn:collection to query data in database tables and views.

To do this, you pass function fn:collection a URI argument that specifies the table or view to query. The Oracle URI scheme oradb identifies this usage: without it, the argument is treated as a repository location.

The table or view that is queried can be relational or of type XMLType. If relational, its data is converted on the fly and treated as XML. The result returned by fn:collection is always an XQuery sequence.

- For an XMLType table, the root element of each XML document returned by fn:collection is the same as the root element of an XML document in the table.
- For a relational table, the root element of each XML document returned by fn:collection is ROW. The children of the ROW element are elements with the same names (uppercase) as columns of the table. The content of a child element corresponds to the column data. That content is an XML element if the column is of type XMLType; otherwise (the column is a scalar type), the content is of type xs:string.

The format of the URI argument passed to fn:collection is as follows:

For an XMLType or relational table or view, TABLE, in database schema DB-SCHEMA:

```
oradb:/DB-SCHEMA/TABLE/
```

You can use **PUBLIC** for *DB-SCHEMA* if *TABLE* is a public synonym or *TABLE* is a table or view that is accessible to the database user currently logged in.

For an XMLType column in a relational table or view:

```
oradb:/DB-SCHEMA/REL-TABLE/ROWPRED/X-COL
```

REL-TABLE is a relational table or view; PRED is an XPath predicate that does not involve any XMLType columns; and X-COL is an XMLType column in REL-TABLE. PRED is optional; DB-SCHEMA, REL-TABLE, and X-COL are required.

Optional XPath predicate PRED must satisfy the following conditions:

- It does not involve any XMLType columns.
- It involves only conjunctions (and) and disjunctions (or) of general equality and inequality comparisons (=, !=, >, <, >=, and <=).



• For each comparison operation: Either both sides name (non-XML) columns in REL-TABLE or one side names such a column and the other is a value of the proper type, as specified in Table 4-3. Use of any other type raises an error.

Table 4-3 oradb Expressions: Column Types for Comparisons

Relational Column Type	XQuery Value Type		
VARCHAR2, CHAR	xs:string or string literal		
NUMBER, FLOAT, BINARY_FLOAT, BINARY_DOUBLE	<pre>xs:decimal, xs:float, xs:double, or numeric literal</pre>		
DATE, TIMESTAMP, TIMESTAMP WITH TIMEZONE, TIMESTAMP WITH LOCAL TIMEZONE	xs:date, xs:time, or xs:dateTime		
INTERVAL YEAR TO MONTH	xs:yearMonthDuration		
INTERVAL DAY TO SECOND	xs:dayTimeDuration		
RAW	xs:hexBinary		
ROWID	xs:string or string literal		

For example, this XQuery expression represents all XML documents in XMLType column warehouse\_spec of table oe.warehouses, for the rows where column warehouse\_id has a value less than 6:

fn:collection('oradb:/OE/WAREHOUSES/ROW[WAREHOUSE\_ID < 6]/
WAREHOUSE\_SPEC')</pre>

### **Related Topics**

Querying Relational Data Using XQuery and URI Scheme oradb
 Examples are presented that use XQuery to query relational table or view data as if it were XML data. The examples use XQuery function fn:collection, passing as argument a URI that uses the URI-scheme name oradb together with the database location of the data.

## 4.5 Oracle XQuery Extension Functions

Oracle XML DB adds some XQuery functions to those provided in the W3C standard. These additional functions are in the Oracle XML DB namespace, http://xmlns.oracle.com/xdb, which uses the predefined prefix ora.



ora:sqrt XQuery Function

Oracle XQuery function <code>ora:sqrt</code> returns the square root of its numeric argument, which can be of XQuery type <code>xs:decimal</code>, <code>xs:float</code>, or <code>xs:double</code>. The returned value is of the same XQuery type as the argument.

### ora:tokenize XQuery Function

Oracle XQuery function ora:tokenize lets you use a regular expression to split the input string target\_string into a sequence of strings.

## 4.5.1 ora:sqrt XQuery Function

Oracle XQuery function <code>ora:sqrt</code> returns the square root of its numeric argument, which can be of XQuery type <code>xs:decimal</code>, <code>xs:float</code>, or <code>xs:double</code>. The returned value is of the same XQuery type as the argument.

### ora:sqrt Syntax

ora:sqrt (number)

## 4.5.2 ora:tokenize XQuery Function

Oracle XQuery function ora:tokenize lets you use a regular expression to split the input string target\_string into a sequence of strings.

### ora:tokenize Syntax

```
ora:tokenize (target_string, match_pattern [, match_parameter])
```

Function ora:tokenize treats each substring that matches the regular-expression <code>match\_pattern</code> as a separator indicating where to split. It returns the sequence of tokens as an XQuery value of type <code>xs:string\*</code> (a sequence of <code>xs:string</code> values). If <code>target\_string</code> is the empty sequence, it is returned. Optional argument <code>match\_parameter</code> is a code that qualifies matching: case-sensitivity and so on.

### The argument types are as follows:

- target\_string xs:string?<sup>3</sup>
- match\_pattern xs:string
- match\_parameter xs:string

## 4.6 Oracle XQuery Extension-Expression Pragmas

The W3C XQuery specification lets an implementation provide implementation-defined extension expressions. An XQuery extension expression is an XQuery expression that is enclosed in braces ({, }) and prefixed by an implementation-defined pragma. The Oracle implementation provides several such pragmas.

No other pragmas are recognized than those listed here. Use of any other pragma, or use of any of these pragmas with incorrect pragma content (for example, (#ora:view\_on\_null something\_else #)), raises an error.

In the ora: view\_on\_null examples here, assume that table null\_test has columns a and b of type VARCHAR2(10), and that column b (but not a) is empty.

<sup>&</sup>lt;sup>3</sup> The question mark (?) here is a zero-or-one occurrence indicator that indicates that the argument can be the empty sequence. See XQuery Expressions.



• (#ora:child-element-name name #) — Specify the name to use for a child element that is inserted. In general, without this pragma the name of the element to be inserted is unknown at compile time. Specifying the name allows for compile-time optimization, to improve runtime performance.

As an example, the following SQL statement specifies LineItem as the name of the element node that is inserted as a child of element LineItems. The element data to be inserted is the value of XQuery variable p2, which comes from bind variable :1.

This pragma applies to  $\mathtt{XMLType}$  data stored either object-relationally or as binary XML.

- (#ora:defaultTable #) Specify the default table used to store repository data. Use this to improve the performance of repository queries that use Query function fn:doc or fn:collection. See Using Oracle XQuery Pragma ora:defaultTable.
- (#ora:invalid\_path empty #) Treat an invalid XPath expression as if its targeted nodes do not exist. For example:

The XML schema for table <code>oe.purchaseorder</code> does not allow any such node <code>NotInTheSchema</code> as a descendant of node <code>PurchaseOrder</code>. Without the pragma, the use of this invalid XPath expression would raise an error. But with the pragma, the calling context acts just as if the XPath expression had targeted no nodes. That calling context in this example is XQuery function <code>exists</code>, which returns XQuery Boolean value <code>false</code> when passed an empty node sequence. (XQuery function <code>exists</code> is used in this example only to illustrate the behavior; the pragma is not especially related to function <code>exists</code>.)

• (#ora:view\_on\_null empty #) - XQuery function fn:collection returns an empty XML element for each NULL column. For example, the following query returns <ROW><A>x</A><B></B></ROW>:



```
RETURNING CONTENT)
```

(#ora:view\_on\_null null #) — XQuery function fn:collection returns no element for a NULL column. For example, the following query returns

(#ora:no\_xmlquery\_rewrite #) – Do not optimize XQuery procedure calls in the XQuery expression that follows the pragma; use functional evaluation instead.

This has the same effect as the SQL hint /\*+ NO\_XML\_QUERY\_REWRITE \*/, but the scope of the pragma is only the XQuery expression that follows it (not an entire SQL statement).

### See Also:

FROM DUAL;

<ROW><A>x</A></ROW>:

Turning Off Use of XMLIndex for information about optimizer hint NO\_XML\_QUERY\_REWRITE

• (#ora:xmlquery\_rewrite #)<sup>4</sup> – Try to optimize the XQuery expression that follows the pragma. That is, if possible, do not evaluate it functionally.

As an example of using both ora:no\_xmlquery\_rewrite and ora:xmlquery\_rewrite, in the following query the XQuery expression argument to XMLQuery will in general be evaluated functionally, but the fn:collection subexpressions that are preceded by pragma ora:xmlquery\_rewrite will be optimized, if possible.

Prior to Oracle Database 12c Release 1 (12.1.0.1), pragmas ora:no\_xmlquery\_rewrite and ora:xmlquery\_rewrite were named ora:xq\_proc and ora:xq\_qry, respectively. They were renamed for readability, with no change in meaning.

 (#ora:no\_schema #) – Do not raise an error if an XQuery Full Text expression is used with XML Schema-based XMLType data. Instead, implicitly cast the data to non XML-Schema-based data. In particular, this means ignore XML Schema data types.

Oracle supports XQuery Full Text only for XMLType data stored as binary XML, so this pragma applies only for the same case.

• (#ora:use\_xmltext\_idx #) — Use an XML search index, if available, to evaluate the query. Do not use an XMLIndex index or streaming evaluation.

An XML search index applies only to XMLType data stored as binary XML, so this pragma does also.

• (#ora:transform\_keep\_schema #) – Retain XML Schema information for the documents returned by the XQuery expression that follows the pragma. This is useful for XQuery Update, which uses copy semantics.

Without the pragma, when XML schema-based data is copied during an XQuery Update operation, the XML schema information is lost. This is the behavior specified by the XQuery Update standard. If you then try to insert the updated data into an XML schema-based column or table then an error is raised: the data to be inserted is untyped, so it does not conform to the XML schema.

If you use the pragma then the data retains its XML schema information, preventing the insertion error. Here is an example of using the pragma:

# 4.7 XQuery Static Type-Checking in Oracle XML DB

When possible, Oracle XML DB performs static (compile time) type-checking of queries.

Oracle XML DB type-checks *all* XQuery expressions. Doing this at run time can be costly, however. As an optimization technique, whenever there is sufficient static type information available for a given query at compile time, Oracle XML DB performs *static* (compile time) type-checking of that query. Whenever sufficient static type information is not available for a given query at compile time, Oracle XML DB uses dynamic (run-time) type checking for that query.

Static type-checking can save execution time by raising errors at compile time. Static type-checking errors include both data-type errors and the use of XPath expressions that are invalid with respect to an XML schema.



Typical ways of providing sufficient static type information at query compile time include the following:

- Using XQuery with fn:doc or fn:collection over relational data.
- Using XQuery to query an XMLType table, column, or view whose XML Schema information is available at query compile time.
- Using XQuery Update with a transform expression whose input is from an XMLType table or column that is based on an XML schema.

This section presents examples that demonstrate the utility of static type-checking and the use of these two means of communicating type information.

The XML data produced on the fly by fn:collection together with URI scheme oradb has ROW as its top-level element, but the query of Example 4-4 incorrectly lacks that ROW wrapper element. This omission raises a query compile-time error. Forgetting that fn:collection with oradb wraps relational data in this way is an easy mistake to make, and one that could be difficult to diagnose without static type-checking. Example 5-5 shows the correct code.

In Example 4-5, XQuery static type-checking finds a mismatch between an XPath expression and its target XML schema-based data. Element CostCenter is misspelled here as costcenter (XQuery and XPath are case-sensitive). Example 5-11 shows the correct code.

### Example 4-4 Static Type-Checking of XQuery Expressions: oradb URI scheme

### Example 4-5 Static Type-Checking of XQuery Expressions: XML Schema-Based Data

```
-- This results in a static-type-check error: CostCenter is not the right case.

SELECT xtab.poref, xtab.usr, xtab.requestor

FROM purchaseorder,

XMLTable('for $i in /PurchaseOrder where $i/costcenter eq "A10" return $i'

PASSING OBJECT_VALUE

COLUMNS poref VARCHAR2(20) PATH 'Reference',

usr VARCHAR2(20) PATH 'User' DEFAULT 'Unknown',

requestor VARCHAR2(20) PATH 'Requestor') xtab;

FROM purchaseorder,

*

ERROR at line 2:

ORA-19276: XPST0005 - XPath step specifies an invalid element/attribute name:

(costcenter)
```



# 4.8 Oracle XML DB Support for XQuery

Oracle XML DB support for the XQuery language includes SQL support and support for XQuery functions and operators.

### · Support for XQuery and SQL

Support for the XQuery language in Oracle XML DB is designed to provide the best fit between the worlds of relational storage and querying XML data. Oracle XML DB is a general XQuery implementation, but it is in addition specifically designed to make relational and XQuery queries work well together.

### Support for XQuery Functions and Operators

Oracle XML DB supports all of the XQuery functions and operators included in the latest *XQuery 1.0* and *XPath 2.0* Functions and Operators specification, with a few exceptions.

### Support for XQuery Full Text

Oracle XML DB supports XQuery Full Text for XMLType data that is stored as binary XML. Oracle Text technology provides the full-text indexing and search that is the basis of this support.

## 4.8.1 Support for XQuery and SQL

Support for the XQuery language in Oracle XML DB is designed to provide the best fit between the worlds of relational storage and querying XML data. Oracle XML DB is a general XQuery implementation, but it is in addition specifically designed to make relational and XQuery queries work well together.

The specific properties of the Oracle XML DB XQuery implementation are described in this section. The XQuery standard explicitly calls out certain aspects of the language processing as implementation-defined or implementation-dependent. There are also some features that are specified by the XQuery standard but are not supported by Oracle XML DB.

#### Implementation Choices Specified in the XQuery Standards

The XQuery standards specify several aspects of language processing that are to be defined by the implementation.

#### XQuery Features Not Supported by Oracle XML DB

The features specified by the XQuery standard that are not supported by Oracle XML DB are specified.

### XQuery Optional Features

The optional XQuery features that are not supported by Oracle XML DB are specified.

### **Related Topics**

#### Support for XQuery Full Text

Oracle XML DB supports XQuery Full Text for XMLType data that is stored as binary XML. Oracle Text technology provides the full-text indexing and search that is the basis of this support.



### 4.8.1.1 Implementation Choices Specified in the XQuery Standards

The XQuery standards specify several aspects of language processing that are to be defined by the implementation.

- Implicit time zone support In Oracle XML DB, the implicit time zone is always
  assumed to be Z, and instances of xs:date, xs:time, and xs:datetime that are
  missing time zones are automatically converted to UTC.
- copy-namespaces default value The default value for a copy-namespaces declaration (used in XQuery Update) is inherit.
- Revalidation mode The default mode for XQuery Update transform expression revalidation is skip. However, if the result of a transform expression is an update to XML schema-based data in an XMLType table or column, then XML schema validation is enforced.

### 4.8.1.2 XQuery Features Not Supported by Oracle XML DB

The features specified by the XQuery standard that are not supported by Oracle XML DB are specified.

- Copy-namespace mode Oracle XML DB supports only preserve and inherit
  for a copy-namespaces declaration. If an existing element node is copied by an
  element constructor or a document constructor, all in-scope namespaces of the
  original element are retained in the copy. Otherwise, the copied node inherits all
  in-scope namespaces of the constructed node. An error is raised if you specify
  no-preserve Or no-inherit.
- Version encoding Oracle XML DB does not support an optional encoding declaration in a version declaration. That is, you cannot include (encoding anencoding) in a declaration xquery version a-version: In particular, you cannot specify an encoding used in the query. An error is raised if you include an encoding declaration.
- xml:id Oracle XML DB does not support use of xml:id. If you use xml:id, then
  an error is raised.
- XQuery prolog default-collation declaration.
- XQuery prolog boundary-space declaration.
- XQuery data type xs:duration. Use either xs:yearMonthDuration or xs:DayTimeDuration instead.
- XQuery Update function fn:put.

### 4.8.1.3 XQuery Optional Features

The optional XQuery features that are not supported by Oracle XML DB are specified.

The XQuery standard specifies that some features are *optional* for a given implementation. The following optional XQuery features are *not* supported by Oracle XML DB:

- Schema Validation Feature
- Module Feature



The following optional XQuery features are supported by Oracle XML DB:

- XQuery Static Typing Feature
- XQuery Update Static Typing Feature

### **Related Topics**

XQuery Static Type-Checking in Oracle XML DB
 When possible, Oracle XML DB performs static (compile time) type-checking of queries.

### 4.8.2 Support for XQuery Functions and Operators

Oracle XML DB supports all of the XQuery functions and operators included in the latest *XQuery 1.0* and *XPath 2.0* Functions and Operators specification, with a few exceptions.

Oracle XML DB does *not* support the following XQuery functions and operators:

- Function fn:tokenize. Use Oracle XQuery function ora:tokenize instead.
- Functions fn:id and fn:idref.
- Function fn:collection without arguments.
- Optional collation parameters for XQuery functions.
- XQuery Functions fn:doc, fn:collection, and fn:doc-available
   Oracle XML DB supports XQuery functions fn:doc, fn:collection, and fn:doc-available for all resources in Oracle XML DB Repository.

### 4.8.2.1 XQuery Functions fn:doc, fn:collection, and fn:doc-available

Oracle XML DB supports XQuery functions fn:doc, fn:collection, and fn:doc-available for all resources in Oracle XML DB Repository.

Function fn:doc returns the repository file resource that is targeted by its URI argument; it must be a file of well-formed XML data. Function fn:collection is similar, but works on repository folder resources (each file in the folder must contain well-formed XML data).

When used with Oracle URI scheme oradb, fn:collection can return XML data derived on the fly from existing relational data that is not in the repository.

XQuery function fn:collection raises an error when used with URI scheme oradb, if its targeted table or view, or a targeted column, does not exist. Functions fn:doc and fn:collection do not raise an error if the repository resource passed as argument is not found. Instead, they return an empty sequence.

You can determine whether a given document exists using XQuery function fn:doc-available. It returns true if its document argument exists, false if not.



XQuery 3.0 Functions and Operators



### 4.8.3 Support for XQuery Full Text

Oracle XML DB supports XQuery Full Text for XMLType data that is stored as binary XML. Oracle Text technology provides the full-text indexing and search that is the basis of this support.

Refer to the XQuery and XPath Full Text 1.0 Recommendation (hereafter XQuery Full Text, or XQFT) for information about any terms that are not detailed here.

Oracle supports XQuery Full Text *only* for XMLType data that is stored as *binary XML*. You can perform a full-text search of XMLType data that is stored object-relationally using an Oracle Text index, but not using XQuery Full Text.

A general rule for understanding Oracle support for XQuery Full Text is that the Oracle implementation of XQFT is based on Oracle Text, which provides full-text indexing and search for Oracle products and for applications developed using them. The XQFT support details provided in this section are a consequence of this Oracle Text based implementation.

- XQuery Full Text, XML Schema-Based Data, and Pragma ora:no\_schema
   Use Oracle pragma ora:no\_schema with XQuery Full Text to query XML Schema based XMLType data that is stored as binary XML. The data is treated as if it were
   non XML Schema-based.
- Restrictions on Using XQuery Full Text with XMLExists
   Restrictions are specified for using XQuery Full Text with SQL/XML function
   XMLExists.
- Supported XQuery Full Text FTSelection Operators
   Oracle XML DB supports a subset of the XQuery Full Text FTSelection operators.
- Supported XQuery Full Text Match Options
   Oracle XML DB supports a subset of the XQuery Full Text match options.
- Unsupported XQuery Full Text Features
   The XQuery Full Text features that are not supported by Oracle XML DB are specified.
- XQuery Full Text Errors
   Compile-time errors that can be raised when you use XQuery Full Text are described.



- Oracle Text Application Developer's Guide
- Oracle Text Reference



# 4.8.3.1 XQuery Full Text, XML Schema-Based Data, and Pragma ora:no schema

Use Oracle pragma ora:no\_schema with XQuery Full Text to query XML Schema-based XMLType data that is stored as binary XML. The data is treated as if it were non XML Schema-based.

You can use XQuery Full Text to query XMLType data that is stored as binary XML. However, if you use it with XML Schema-based data then you must also use the XQuery extension-expression pragma ora:no\_schema in your query, or else an error is raised.

And if you use <code>ora:no\_schema</code> then, for purposes of XQuery Full Text, the XML data is implicitly cast to non XML Schema-based data. In other words, Oracle support of XQuery Full Text treats all XML data as if it were not based on an XML schema.

In particular, this means that if you include in your query an XQuery Full Text condition that makes use of XML Schema data types, such type considerations are ignored. A comparison of two XML Schema date values, for instance, is handled as a simple string comparison. Oracle support for XQuery Full Text is not XML Schema-aware.

#### **Related Topics**

Pragma ora:no\_schema: Using XML Schema-Based Data with XQuery Full Text
Oracle recommends in general that you use non XML Schema-based XMLType
data when you use XQuery Full Text and an XML search index. But you can
in some circumstances use XML Schema-based XMLType data that is stored as
binary XML. Oracle XQuery pragma ora:no\_schema can be useful in this context.

### 4.8.3.2 Restrictions on Using XQuery Full Text with XMLExists

Restrictions are specified for using XQuery Full Text with SQL/XML function  ${\tt XMLExists}$ .

You can pass only one XMLType instance as a SQL expression in the PASSING clause of SQL/XML function XMLExists, and each of the other, non-XMLType SQL expressions in that clause must be either a *compile-time constant* of a SQL built-in data type or a *bind variable* that is bound to an instance of such a data type. If this restriction is not respected then compile-time error ORA-18177 is raised.

### 4.8.3.3 Supported XQuery Full Text FTSelection Operators

Oracle XML DB supports a subset of the XQuery Full Text FTSelection operators.

Oracle XML DB supports *only* the following XQuery Full Text FTSelection operators. Any applicable restrictions are noted. Use of the terms "must" and "must not" means that an error is raised if the specified restriction is not respected. Use of any operators not listed here raises an error.

- FTAnd (ftand)
- FTMildNot (not in)



Each operand for operator FTMildNot must be either a term or a phrase, that is, an instance of FTWords. It must not be an expression. Oracle handles FTMildNot the same way it handles Oracle Text operator MNOT.

- FTOr (ftor)
- FTOrder (ordered)

Oracle supports the use of FTOrder *only* when used in the context of a window (FTWindow). Otherwise, it is not supported. For example, you can use ordered window 5 words, but you cannot use only ordered without also window. Oracle handles FTOrder the same way it handles Oracle Text operator NEAR with a TRUE value for option ORDER.

FTUnaryNot (ftnot)

FTUnaryNot must be used with FTAnd. You cannot use FTUnaryNot by itself. For example, you can use ftand ftnot, but you cannot use only ftnot without also ftand. Oracle handles FTUnaryNot the same way it handles Oracle Text operator NOT.

FTWindow (window)

Oracle handles FTWindow the same way it handles Oracle Text operator NEAR. You must specify the window size only in words, not in sentences or paragraphs (for example, window 2 paragraphs), and you must specify it as a numeric constant that is less than or equal to 100.

FTWords

FTWordsValue must be an XQuery literal string or a SQL bind variable whose value is passed to SQL function XMLExists or XMLQuery from a SQL expression whose evaluation returns a non-XMLType value.

In addition, FTAnyallOption, if present, must be any. That is, FTWords must correspond to a sequence with only one item.

### Note:

Even though FTWords corresponds to a sequence of only one item, you can still search for a phrase of multiple words, by using a single string for the entire phrase. So for example, although Oracle XML DB does not support using {"found" "necklace"} for FTWords, you can use "found necklace".

### 4.8.3.4 Supported XQuery Full Text Match Options

Oracle XML DB supports a subset of the XQuery Full Text match options.

Oracle XML DB supports *only* the following XQuery Full Text match options. Any applicable restrictions are noted. Use of the terms "must" and "must not" means that an error is raised if the specified restriction is not respected. Use of any match options not listed here raises an error.

FTStemOption (stemming, no stemming)



The default behavior specified in the XQuery and XPath Full Text 1.0 Recommendation is used for each unsupported match option, with the following exceptions:

- FTLanguage (unsupported) The language used is the language defined by the default lexer, which means the language that was used when the database was installed.
- FTStopWordOption (unsupported) The stoplist used is the stoplist defined for that language.

### See Also:

- Oracle Text Reference for information about the default lexer
- Oracle Text Reference for information about the stoplist used for each supported language

### 4.8.3.5 Unsupported XQuery Full Text Features

The XQuery Full Text features that are not supported by Oracle XML DB are specified.

In addition to all FTSelection operators not mentioned in Supported XQuery Full Text FTSelection Operators and all match options not mentioned in Supported XQuery Full Text Match Options, Oracle XML DB does *not* support the following XQuery Full Text features:

- FTIgnoreOption
- FTWeight (weight declarations, used with FTPrimaryWithOptions)
- FTScoreVar (score variables, used with XQuery ForClause and LetClause or with XPath 2.0 SimpleForClause)

### 4.8.3.6 XQuery Full Text Errors

Compile-time errors that can be raised when you use XQuery Full Text are described.

A compile-time error is raised whenever you use an XQuery Full Text (XQFT) feature that Oracle does not support.

In addition, compile-time error ORA-18177 is raised whenever you use a supported XQFT expression in a SQL WHERE clause (typically in XMLExists), if you did not create a corresponding XML search index or if that index is not picked up.

#### **Related Topics**

Unsupported XQuery Full Text Features
 The XQuery Full Text features that are not supported by Oracle XML DB are specified.



### See Also:

- Indexing XML Data for Full-Text Queries for information about creating an XML search index and handling error ORA-18177
- Performance Tuning for XQuery for information about axes other than forward and descendent
- Oracle Database SQL Language Reference for information about SQL built-in data types



# Query and Update of XML Data

There are many ways for applications to query and update XML data that is in Oracle Database, both XML schema-based and non-schema-based.

### Using XQuery with Oracle XML DB

XQuery is a very general and expressive language, and SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast combine that power of expression and computation with the strengths of SQL.

- Querying XML Data Using SQL and PL/SQL You can query XML data from XMLType columns and tables in various ways.
- Using the SQL\*Plus XQUERY Command
   You can evaluate an XQuery expression using the SQL\*Plus XQUERY command.
- Using XQuery with XQJ to Access Database Data
   XQuery API for Java (XQJ), also known as JSR-225, provides an industry standard way for Java programs to access XML data using XQuery. It lets you
   evaluate XQuery expressions against XML data sources and process the results
   as XML data.
- Using XQuery with PL/SQL, JDBC, and ODP.NET to Access Database Data
  You can use XQuery with the Oracle APIs for PL/SQL, JDBC, and Oracle Data
  Provider for .NET (ODP.NET).

### Updating XML Data

There are several ways you can use Oracle XML DB features to update XML data, whether it is transient or stored in database tables.

#### Performance Tuning for XQuery

A SQL query that involves XQuery expressions can often be automatically rewritten (optimized) in one or more ways. This optimization is referred to as **XML query rewrite** or optimization. When this happens, the XQuery expression is, in effect, evaluated directly against the XML document without constructing a DOM in memory.

### See Also:

- Overview of How To Use Oracle XML DB for XMLType storage recommendations
- XML Schema Storage and Query: Basic for how to work with XML schema-based XMLType tables and columns
- XQuery and Oracle XML DB for information about updating XML data using XQuery Update

# 5.1 Using XQuery with Oracle XML DB

XQuery is a very general and expressive language, and SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast combine that power of expression and computation with the strengths of SQL.

You typically use XQuery with Oracle XML DB in the following ways. The examples here are organized to reflect these different uses.

- Query XML data in Oracle XML DB Repository.
  - See Querying XML Data in Oracle XML DB Repository Using XQuery.
- Query a relational table or view as if it were XML data. To do this, you use XQuery function fn:collection, passing as argument a URI that uses the URI-scheme name oradb together with the database location of the data.
  - See Querying Relational Data Using XQuery and URI Scheme oradb.
- Query XMLType data, possibly decomposing the resulting XML into relational data using function XMLTable.
  - See Querying XMLType Data Using XQuery.

Example 5-1 creates Oracle XML DB Repository resources that are used in some of the other examples in this chapter.

### **Example 5-1** Creating Resources for Examples

```
DECLARE
  res BOOLEAN;
  empsxmlstring VARCHAR2(300):=
    '<?xml version="1.0"?>
     <emps>
       <emp empno="1" deptno="10" ename="John" salary="21000"/>
       <emp empno="2" deptno="10" ename="Jack" salary="310000"/>
       <emp empno="3" deptno="20" ename="Jill" salary="100001"/>
     </emps>';
  empsxmlnsstring VARCHAR2(300):=
    '<?xml version="1.0"?>
     <emps xmlns="http://example.com">
       <emp empno="1" deptno="10" ename="John" salary="21000"/>
       <emp empno="2" deptno="10" ename="Jack" salary="310000"/>
       <emp empno="3" deptno="20" ename="Jill" salary="100001"/>
     </emps>';
  deptsxmlstring VARCHAR2(300):=
    '<?xml version="1.0"?>
       <dept deptno="10" dname="Administration"/>
       <dept deptno="20" dname="Marketing"/>
       <dept deptno="30" dname="Purchasing"/>
     </depts>';
BEGIN
  res := DBMS_XDB_REPOS.createResource('/public/emps.xml', empsxmlstring);
  res := DBMS_XDB_REPOS.createResource('/public/empsns.xml', empsxmlnsstring);
  res := DBMS_XDB_REPOS.createResource('/public/depts.xml', deptsxmlstring);
END;
```



- XQuery Sequences Can Contain Data of Any XQuery Type
  - XQuery is a general *sequence*-manipulation language. Its expressions and their results are not necessarily XML data. An XQuery sequence can contain items of any XQuery type, which includes numbers, strings, Boolean values, dates, and various types of XML node (document-node(), element(), attribute(), text(), namespace(), and so on).
- Querying XML Data in Oracle XML DB Repository Using XQuery
   Examples are presented that use XQuery with XML data in Oracle XML DB

   Repository. You use XQuery functions fn:doc and fn:collection to query file and folder resources in the repository, respectively.
- Querying Relational Data Using XQuery and URI Scheme oradb
   Examples are presented that use XQuery to query relational table or view data as if it were XML data. The examples use XQuery function fn:collection, passing as argument a URI that uses the URI-scheme name oradb together with the database location of the data.
- Querying XMLType Data Using XQuery
   Examples are presented that use XQuery to query XMLType data.
- You can use the XQuery declare namespace declaration in the prolog of an XQuery expression to define a namespace prefix. You can use declare default namespace to establish the namespace as the default namespace for the expression.

### 5.1.1 XQuery Sequences Can Contain Data of Any XQuery Type

XQuery is a general *sequence*-manipulation language. Its expressions and their results are not necessarily XML data. An XQuery sequence can contain items of any XQuery type, which includes numbers, strings, Boolean values, dates, and various types of XML node (document-node(), element(), attribute(), text(), namespace(), and so on).

**Example 5-2** provides a sampling. It applies SQL/XML function XMLQuery to an XQuery sequence that contains items of several different kinds:

- an integer literal: 1
- a arithmetic expression: 2 + 3
- a string literal: "a"
- a sequence of integers: 100 to 102
- a constructed XML element node: <A>33</A>

Example 5-2 also shows construction of a sequence using the comma operator (,) and parentheses ((, )) for grouping.

The sequence expression 100 to 102 evaluates to the sequence (100, 101, 102), so the argument to XMLQuery here is a sequence that contains a nested sequence. The sequence argument is automatically flattened, as is always the case for XQuery sequences. The argument is, in effect, (1, 5, "a", 100, 101, 102, <A>33</A>).

### Example 5-2 XMLQuery Applied to a Sequence of Items of Different Types

```
SELECT XMLQuery('(1, 2 + 3, "a", 100 to 102, <A>33</A>)'
RETURNING CONTENT) AS output
```



```
FROM DUAL;

OUTPUT

1 5 a 100 101 102<A>33</A>

1 row selected.
```

### 5.1.2 Querying XML Data in Oracle XML DB Repository Using XQuery

Examples are presented that use XQuery with XML data in Oracle XML DB Repository. You use XQuery functions fn:doc and fn:collection to query file and folder resources in the repository, respectively.

The examples here use XQuery function fn:doc to obtain a repository file that contains XML data, and then bind XQuery variables to parts of that data using for and let FLWOR-expression clauses.

Example 5-3 queries two XML-document resources in Oracle XML DB Repository: / public/emps.xml and /public/depts.xml. It illustrates the use of fn:doc and each of the possible FLWOR-expression clauses.

Example 5-4 also uses each of the FLWOR-expression clauses. It shows the use of XQuery functions doc, count, avg, and integer, which are in the namespace for builtin XQuery functions, http://www.w3.org/2003/11/xpath-functions. This namespace is bound to the prefix fn.

### Example 5-3 FLOWR Expression Using for, let, order by, where, and return

In this example, the various FLWOR clauses perform these operations:

- for iterates over the emp elements in /public/emps.xml, binding variable \$e to the value of each such element, in turn. That is, it iterates over a general list of employees, binding \$e to each employee.
- let binds variable \$d to a sequence consisting of all of the values of dname attributes of those dept elements in /public/emps.xml whose deptno attributes have the same value as the deptno attribute of element \$e (this is a join operation). That is, it binds \$d to the names of all of the departments that have the same department number as the department of employee \$e. (It so happens



- that the dname value is unique for each deptno value in depts.xml.) Unlike for, let never iterates over values; d is bound only once in this example.
- Together, for and let produce a stream of tuples (\$e, \$d), where \$e represents
  an employee and \$d represents the names of all of the departments to which
  that employee belongs —in this case, the unique name of the employee's unique
  department.
- where filters this tuple stream, keeping only tuples with employees whose salary is greater than 100,000.
- order by sorts the filtered tuple stream by employee number, empno (in ascending order, by default).
- return constructs emp elements, one for each tuple. Attributes ename and dept
  of these elements are constructed using attribute ename from the input and \$d,
  respectively. The element and attribute names emp and ename in the output have
  no necessary connection with the same names in the input document emps.xml.

### Example 5-4 FLOWR Expression Using Built-In Functions

In this example, the various FLWOR clauses perform these operations:

- for iterates over deptno attributes in input document /public/depts.xml, binding variable \$d to the value of each such attribute, in turn.
- let binds variable \$e to a sequence consisting of all of the emp elements in input document /public/emps.xml whose deptno attributes have value \$d (this is a join operation).
- Together, for and let produce a stream of tuples (\$d, \$e), where \$d represents a department number and \$e represents the set of employees in that department.
- where filters this tuple stream, keeping only tuples with more than one employee.
- order by sorts the filtered tuple stream by average salary in descending order. The average is computed by applying XQuery function avg (in namespace fn) to the values of attribute salary, which is attached to the emp elements of \$e.
- return constructs big-dept elements, one for each tuple produced by order by.
   The text() node of big-dept contains the department number, bound to \$d.
   A headcount child element contains the number of employees, bound to \$e,



as determined by XQuery function count. An avgsal child element contains the computed average salary.

### **Related Topics**

XQuery Functions fn:doc, fn:collection, and fn:doc-available
 Oracle XML DB supports XQuery functions fn:doc, fn:collection, and fn:doc-available for all resources in Oracle XML DB Repository.

### 5.1.3 Querying Relational Data Using XQuery and URI Scheme oradb

Examples are presented that use XQuery to query relational table or view data as if it were XML data. The examples use XQuery function fn:collection, passing as argument a URI that uses the URI-scheme name oradb together with the database location of the data.

Example 5-5 uses Oracle XQuery function fn:collection in a FLWOR expression to query two relational tables, regions and countries. Both tables belong to sample database schema HR. The example also passes scalar SQL value Asia to XQuery variable \$regionname. Any SQL expression can be evaluated to produce a value passed to XQuery using PASSING. In this case, the value comes from a SQL\*Plus variable, REGION. You must cast the value to the scalar SQL data type expected, in this case, VARCHAR2(40).

In Example 5-5, the various FLWOR clauses perform these operations:

- for iterates over sequences of XML elements returned by calls to fn:collection. In the first call, each element corresponds to a row of relational table hr.regions and is bound to variable \$i\$. Similarly, in the second call to fn:collection, \$j\$ is bound to successive rows of table hr.countries. Since regions and countries are not XMLType tables, the top-level element corresponding to a row in each table is ROW (a wrapper element). Iteration over the row elements is unordered.
- where filters the rows from both tables, keeping only those pairs of rows whose region\_id is the same for each table (it performs a join on region\_id) and whose region\_name is Asia.
- return returns the filtered rows from table hr.countries as an XML document containing XML fragments with ROW as their top-level element.

Example 5-6 uses fn:collection within nested FLWOR expressions to query relational data.

In Example 5-6, the various FLWOR clauses perform these operations:

- The outer for iterates over the sequence of XML elements returned by fn:collection: each element corresponds to a row of relational table oe.warehouses and is bound to variable \$i. Since warehouses is not an XMLType table, the top-level element corresponding to a row is ROW. The iteration over the row elements is unordered.
- The inner for iterates, similarly, over a sequence of XML elements returned by fn:collection: each element corresponds to a row of relational table hr.locations and is bound to variable \$\frac{1}{2}\$.
- where filters the tuples (\$i, \$j), keeping only those whose location\_id child is the same for \$i and \$j (it performs a join on location id).



- The inner return constructs an XQuery sequence of elements STREET\_ADDRESS, CITY, and STATE\_PROVINCE, all of which are children of locations-table ROW element \$j; that is, they are the values of the locations-table columns of the same name.
- The outer return wraps the result of the inner return in a Location element, and
  wraps that in a Warehouse element. It provides the Warehouse element with an id
  attribute whose value comes from the warehouse\_id column of table warehouses.

Example 5-7 uses SQL/XML function XMLTable to decompose the result of an XQuery query to produce virtual relational data. The XQuery expression used in this example is identical to the one used in Example 5-6; the result of evaluating the XQuery expression is a sequence of Warehouse elements. Function XMLTable produces a virtual relational table whose rows are those Warehouse elements. More precisely, in this example the value of pseudocolumn COLUMN\_VALUE for each virtual-table row is an XML fragment (of type XMLType) with a single Warehouse element.

### See Also:

- Example 5-41 for the execution plan of Example 5-6
- Example 5-42 for the execution plan of Example 5-7

### Example 5-5 Querying Relational Data as XML Using XMLQuery

This produces the following result. (The result is shown here pretty-printed, for clarity.)



```
<REGION_ID>3</REGION_ID>
</ROW>
<ROW>
  <COUNTRY_ID>IN</COUNTRY_ID>
  <COUNTRY_NAME>India</COUNTRY_NAME>
  <REGION_ID>3</REGION_ID>
</ROW>
<ROW>
  <COUNTRY_ID>JP</COUNTRY_ID>
  <COUNTRY_NAME>Japan</COUNTRY_NAME>
  <REGION_ID>3</REGION_ID>
</ROW>
<ROW>
  <COUNTRY_ID>SG</COUNTRY_ID>
  <COUNTRY_NAME>Singapore</COUNTRY_NAME>
  <REGION_ID>3</REGION_ID>
</ROW>
1 row selected.
```

### Example 5-6 Querying Relational Data as XML Using a Nested FLWOR Expression

```
CONNECT hr
Enter password: password
Connected.
GRANT SELECT ON LOCATIONS TO OE
CONNECT oe
Enter password: password
Connected.
SELECT XMLQuery(
         'for $i in fn:collection("oradb:/OE/WAREHOUSES")/ROW
          return <Warehouse id="{$i/WAREHOUSE_ID}">
                   <Location>
                     {for $j in fn:collection("oradb:/HR/LOCATIONS")/ROW
                      where $j/LOCATION_ID eq $i/LOCATION_ID
                      return ($j/STREET_ADDRESS, $j/CITY, $j/STATE_PROVINCE)}
                   </Location>
                 </Warehouse>'
         RETURNING CONTENT) FROM DUAL;
```

This query is an example of using nested FLWOR expressions. It accesses relational table warehouses, which is in sample database schema oe, and relational table locations, which is in sample database schema HR. To run this example as user oe, you must first connect as user hr and grant permission to user oe to perform SELECT operations on table locations.



### This produces the following result. (The result is shown here pretty-printed, for clarity.)

```
XMLQUERY('FOR$IINFN:COLLECTION("ORADB:/OE/WAREHOUSES")/ROWRETURN
<Warehouse id="1">
  <Location>
    <STREET ADDRESS>2014 Jabberwocky Rd</STREET ADDRESS>
    <CITY>Southlake</CITY>
    <STATE_PROVINCE>Texas</STATE_PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="2">
  <Location>
    <STREET ADDRESS>2011 Interiors Blvd</STREET ADDRESS>
    <CITY>South San Francisco</CITY>
    <STATE_PROVINCE>California</STATE_PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="3">
  <Location>
    <STREET_ADDRESS>2007 Zagora St</STREET_ADDRESS>
    <CITY>South Brunswick</CITY>
    <STATE PROVINCE>New Jersey</STATE PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="4">
  <Location>
    <STREET ADDRESS>2004 Charade Rd</STREET ADDRESS>
    <CITY>Seattle</CITY>
    <STATE_PROVINCE>Washington</STATE_PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="5">
  <Location>
    <STREET_ADDRESS>147 Spadina Ave</STREET_ADDRESS>
    <CITY>Toronto</CITY>
    <STATE_PROVINCE>Ontario</STATE_PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="6">
  <Location>
    <STREET_ADDRESS>12-98 Victoria Street</STREET_ADDRESS>
    <CITY>Sydney</CITY>
    <STATE_PROVINCE>New South Wales/STATE_PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="7">
  <Location>
    <STREET ADDRESS>Mariano Escobedo 9991</STREET ADDRESS>
    <CITY>Mexico City</CITY>
    <STATE PROVINCE>Distrito Federal,</STATE PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="8">
  <Location>
```

### Example 5-7 Querying Relational Data as XML Using XMLTable

This produces the same result as Example 5-6, except that each Warehouse element is output as a separate row, instead of all Warehouse elements being output together in a single row.

```
COLUMN VALUE
-----
<Warehouse id="1">
  <Location>
   <STREET ADDRESS>2014 Jabberwocky Rd</STREET ADDRESS>
   <CITY>Southlake</CITY>
   <STATE_PROVINCE>Texas</STATE_PROVINCE>
  </Location>
</Warehouse>
<Warehouse id="2">
  <Location>
   <STREET_ADDRESS>2011 Interiors Blvd</STREET_ADDRESS>
   <CITY>South San Francisco</CITY>
   <STATE_PROVINCE>California</STATE_PROVINCE>
  </Location>
</Warehouse>
```



9 rows selected.

### 5.1.4 Querying XMLType Data Using XQuery

Examples are presented that use XQuery to query XMLType data.

The query in Example 5-8 passes an XMLType column, warehouse\_spec, as *context* item to XQuery, using function XMLQuery with the PASSING clause. It constructs a Details element for each of the warehouses whose area is greater than 80,000: / Warehouse/Area > 80000.

In Example 5-8, function XMLQuery is applied to the warehouse\_spec column in each row of table warehouses. The various FLWOR clauses perform these operations:

- for iterates over the Warehouse elements in each row of column warehouse\_spec (the passed context item): each such element is bound to variable \$i, in turn. The iteration is unordered.
- where filters the Warehouse elements, keeping only those whose Area child has a value greater than 80,000.
- return constructs an XQuery sequence of Details elements, each of which contains a Docks and a Rail child elements. The num attribute of the constructed Docks element is set to the text() value of the Docks child of Warehouse. The text() content of Rail is set to true or false, depending on the value of the RailAccess attribute of element Warehouse.

The SELECT statement in Example 5-8 applies to each row in table warehouses. The XMLQuery expression returns the *empty sequence* for those rows that do not match the XQuery expression. Only the warehouses in New Jersey and Seattle satisfy the XQuery query, so they are the only warehouses for which <Details>...</Details> is returned.

Example 5-9 uses SQL/XML function XMLTable to query an XMLType table, oe.purchaseorder, which contains XML Schema-based data. It uses the PASSING clause to provide the purchaseorder table as the context item for the XQuery-expression argument to XMLTable. Pseudocolumn COLUMN\_VALUE of the resulting virtual table holds a constructed element, A10po, which contains the Reference information for those purchase orders whose CostCenter element has value A10 and whose User element has value SMCCAIN. The query performs a join between the virtual table and database table purchaseorder.

The PASSING clause of function XMLTable passes the OBJECT\_VALUE of XMLType table purchaseorder, to serve as the XPath context. The XMLTable expression thus depends on the purchaseorder table. Because of this, table purchaseorder must appear before the XMLTable expression in the FROM list. This is a general requirement in any situation involving data dependence.



### Note:

Whenever a PASSING clause refers to a column of an XMLType table in a query, that table *must appear before* the XMLTable expression in the query FROM list. This is because the XMLTable expression *depends* on the XMLType table — a *left lateral* (correlated) join is needed, to ensure a one-to-many (1:N) relationship between the XMLType table row accessed and the rows generated from it by XMLTable.

Example 5-10 is similar to Example 5-9 in its effect. It uses XMLQuery, instead of XMLTable, to query oe.purchaseorder. These two examples differ in their treatment of the empty sequences returned by the XQuery expression. In Example 5-9, these empty sequences are not joined with the purchaseorder table, so the overall SQL-query result set has only ten rows. In Example 5-10, these empty sequences are part of the overall result set of the SQL query, which contains 132 rows, one for each of the rows in table purchaseorder. All but ten of those rows are empty, and show up in the output as empty lines. To save space here, those empty lines have been removed.

### See Also:

Example 5-43 for the execution plan of Example 5-10

Example 5-11 uses XMLTable clauses PASSING and COLUMNS. The XQuery expression iterates over top-level PurchaseOrder elements, constructing a PO element for each purchase order with cost center A10. The resulting PO elements are then passed to XMLTable for processing.

In Example 5-11, data from the children of PurchaseOrder is used to construct the children of PO, which are Ref, Type, and Name. The content of Type is taken from the content of /PurchaseOrder/SpecialInstructions, but the classes of SpecialInstructions are divided up differently for Type.

Function XMLTable breaks up the result of XQuery evaluation, returning it as three VARCHAR2 columns of a virtual table: poref, priority, and contact. The DEFAULT clause is used to supply a default priority of Regular.

Example 5-11 does not use the clause RETURNING SEQUENCE BY REF, which means that the XQuery sequence returned and then used by the COLUMNS clause is passed by *value*, not by reference. That is, a copy of the targeted nodes is returned, not a reference to the actual nodes.

When the returned sequence is passed by value, the columns specified in a COLUMNS clause cannot refer to any data that is not in that returned copy. In particular, they cannot refer to data that *precedes* the targeted nodes in the source data.

To be able to refer to an arbitrary part of the source data from column specifications in a COLUMNS clause, you need to use the clause RETURNING SEQUENCE BY REF, which causes the sequence resulting from the XQuery expression to be returned by reference.



Example 5-12 shows the use of clause RETURNING SEQUENCE BY REF, which allows column reference to refer to a node that is outside the nodes targeted by the XQuery expression. Because the sequence of LineItem nodes is returned by reference, the code has access to the complete tree of nodes, so it can navigate upward and then back down to node Reference.

Clause RETURNING SEQUENCE BY REF lets you specify that the result of evaluating the top-level XQuery expression used to generate rows for XMLTable be returned by reference. The same kind of choice is available for the result of evaluating a PATH expression in a COLUMNS clause. To specify that such a result be returned by reference you use XMLType (SEQUENCE) BY REF as the column data type.

**Example 5-13** illustrates this. It chains together two XMLTable tables, t1 and t2, returning XML data from the source document by reference:

- For column reference of the top-level table, t1, because it corresponds to a node outside element LineItem (just as in Example 5-12)
- For column part of table t1, because it is passed to table t2, whose column item targets data outside node Part

In table t1, the type used for column part is XMLType (SEQUENCE) BY REF, so that the part data is a reference to the source data targeted by its PATH expression, LineItem/Part. This is needed because the PATH expression for column item in table t2 targets attribute ItemNumber of the parent of element Part, LineItem. Without specifying that part is a reference, it would be a copy of just the Part element, so that using PATH expression ../@ItemNumber would raise an error.

Example 5-14 uses SQL/XML function XMLTable to break up the XML data in an XMLType collection element, LineItem, into separate columns of a virtual table.

### See Also:

- Example 5-44 for the execution plan of Example 5-14
- Creating a Relational View over XML: Mapping XML Nodes to Columns, for an example of applying XMLTable to multiple document levels (multilevel chaining)

### Example 5-8 Querying an XMLType Column Using XMLQuery PASSING Clause



PASSING warehouse\_spec RETURNING CONTENT) big\_warehouses FROM oe.warehouses;

### This produces the following output:

#### Example 5-9 Using XMLTABLE with XML Schema-Based Data

<A10po pono="SMCCAIN-20021009123335681PDT"></A10po>
<A10po pono="SMCCAIN-20021009123335470PDT"></A10po>



```
<A10po pono="SMCCAIN-20021009123336972PDT"></A10po>
<A10po pono="SMCCAIN-20021009123336842PDT"></A10po>
<A10po pono="SMCCAIN-20021009123336512PDT"></A10po>
<A10po pono="SMCCAIN-2002100912333894PDT"></A10po>
<A10po pono="SMCCAIN-2002100912333894PDT"></A10po>
<A10po pono="SMCCAIN-20021009123337403PDT"></A10po>

10 rows selected.
```

#### Example 5-10 Using XMLQUERY with XML Schema-Based Data

```
SELECT XMLQuery('for $i in /PurchaseOrder
                 where $i/CostCenter eq "A10"
                   and $i/User eq "SMCCAIN"
                return <Al0po pono="{$i/Reference}"/>'
                PASSING OBJECT VALUE
                RETURNING CONTENT)
  FROM purchaseorder;
XMLQUERY('FOR$IIN/PURCHASEORDERWHERE$I/COSTCENTEREQ
<a10po pono="SMCCAIN-20021009123336151PDT"></a10po>
<a10po pono="SMCCAIN-20021009123336341PDT"></a10po>
<a10po pono="SMCCAIN-20021009123337173PDT"></a10po>
<a10po pono="SMCCAIN-20021009123335681PDT"></a10po>
<a10po pono="SMCCAIN-20021009123335470PDT"></A10po>
<a10po pono="SMCCAIN-20021009123336972PDT"></a10po>
<a10po pono="SMCCAIN-20021009123336842PDT"></a10po>
<a10po pono="SMCCAIN-200210091233336512PDT"></a10po>
<a10po pono="SMCCAIN-2002100912333894PDT"></a10po>
<a10po pono="SMCCAIN-20021009123337403PDT"></a10po>
```

#### **Example 5-11 Using XMLTABLE with PASSING and COLUMNS Clauses**

132 rows selected.

```
SELECT xtab.poref, xtab.priority, xtab.contact
  FROM purchaseorder,
       XMLTable('for $i in /PurchaseOrder
                 let $spl := $i/SpecialInstructions
                 where $i/CostCenter eq "A10"
                 return <PO>
                          <Ref>{$i/Reference}</Ref>
                          {if ($spl eq "Next Day Air" or $spl eq "Expedite") then
                             <Type>Fastest</Type>
                           else if ($spl eq "Air Mail") then
                             <Type>Fast</Type>
                           else ()}
                          <Name>{$i/Requestor}</Name>
                        </PO>'
                PASSING OBJECT_VALUE
                COLUMNS poref
                                VARCHAR2(20) PATH 'Ref',
                        priority VARCHAR2(8) PATH 'Type' DEFAULT 'Regular',
                        contact VARCHAR2(20) PATH 'Name') xtab;
```

```
POREF
                  PRIORITY CONTACT
______
SKING-20021009123336 Fastest Steven A. King
SMCCAIN-200210091233 Regular Samuel B. McCain
SMCCAIN-200210091233 Fastest Samuel B. McCain
JCHEN-20021009123337 Fastest John Z. Chen
JCHEN-20021009123337 Regular John Z. Chen
SKING-20021009123337 Regular Steven A. King
SMCCAIN-200210091233 Regular Samuel B. McCain
JCHEN-20021009123338 Regular John Z. Chen
SMCCAIN-200210091233 Regular Samuel B. McCain
SKING-20021009123335 Regular Steven X. King
SMCCAIN-200210091233 Regular Samuel B. McCain
SKING-20021009123336 Regular Steven A. King
SMCCAIN-200210091233 Fast Samuel B. McCain
SKING-20021009123336 Fastest Steven A. King
SKING-20021009123336 Fastest Steven A. King
SMCCAIN-200210091233 Regular Samuel B. McCain
JCHEN-20021009123335 Regular John Z. Chen
SKING-20021009123336 Regular Steven A. King
JCHEN-20021009123336 Regular John Z. Chen
SKING-20021009123336 Regular Steven A. King
SMCCAIN-200210091233 Regular Samuel B. McCain
SKING-20021009123337 Regular Steven A. King
SKING-20021009123338 Fastest Steven A. King
SMCCAIN-200210091233 Regular Samuel B. McCain
JCHEN-20021009123337 Regular John Z. Chen
JCHEN-20021009123337 Regular John Z. Chen
JCHEN-20021009123337 Regular John Z. Chen
SKING-20021009123337 Regular Steven A. King
JCHEN-20021009123337 Regular John Z. Chen
SKING-20021009123337 Regular Steven A. King
SKING-20021009123337 Regular Steven A. King
SMCCAIN-200210091233 Fast Samuel B. McCain
```

32 rows selected.

### Example 5-12 Using XMLTABLE with RETURNING SEQUENCE BY REF

```
FROM purchaseorder,

XMLTable('/PurchaseOrder/LineItems/LineItem' PASSING OBJECT_VALUE

RETURNING SEQUENCE BY REF

COLUMNS reference VARCHAR2(30) PATH '../../Reference',

item VARCHAR2(4) PATH '@ItemNumber',

description VARCHAR2(45) PATH 'Description') t

WHERE item = 5;

REFERENCE ITEM DESCRIPTION

AMCEWEN-20021009123336171PDT 5 Coup De Torchon (Clean Slate)

AMCEWEN-20021009123336271PDT 5 The Unbearable Lightness Of Being

PTUCKER-20021009123336291PDT 5 The Unbearable Lightness Of Being
```



```
        SBELL-20021009123336231PDT
        5
        Black Narcissus

        SBELL-20021009123336331PDT
        5
        Fishing With John 1 -3

        SKING-20021009123336321PDT
        5
        The Red Shoes

        SMCCAIN-200210091233363151PDT
        5
        Wages of Fear

        SMCCAIN-20021009123336341PDT
        5
        The Most Dangerous Game

        VJONES-20021009123336301PDT
        5
        Le Trou
```

10 rows selected.

### Example 5-13 Using Chained XMLTABLE with Access by Reference

```
SELECT t1.reference, t2.id, t2.item

FROM purchaseorder,

XMLTable('/PurchaseOrder/LineItems' PASSING OBJECT_VALUE

RETURNING SEQUENCE BY REF

COLUMNS part XMLType (SEQUENCE) BY REF

PATH 'LineItem/Part',

reference VARCHAR2(30)

PATH '../Reference') t1,

XMLTable('.' PASSING t1.part

RETURNING SEQUENCE BY REF

COLUMNS id VARCHAR2(12) PATH '@Id',

item NUMBER PATH '../@ItemNumber') t2;
```

# Example 5-14 Using XMLTABLE to Decompose XML Collection Elements into Relational Data

```
SELECT lines.lineitem, lines.description, lines.partid,
      lines.unitprice, lines.quantity
 FROM purchaseorder,
      XMLTable('for $i in /PurchaseOrder/LineItems/LineItem
               where $i/@ItemNumber >= 8
               and $i/Part/@UnitPrice > 50
               and $i/Part/@Quantity > 2
               return $i'
              PASSING OBJECT_VALUE
              COLUMNS
                lineitem NUMBER PATH '@ItemNumber',
                description VARCHAR2(30) PATH 'Description',
                partid NUMBER PATH 'Part/@Id',
               unitprice NUMBER
                                     PATH 'Part/@UnitPrice',
                quantity NUMBER
                                    PATH 'Part/@Quantity')
lines;
LINEITEM DESCRIPTION
                                        PARTID UNITPRICE QUANTITY
     11 Orphic Trilogy
                                     37429148327
                                                     80
                                                               3
     22 Dreyer Box Set
                                    37429158425
                                                     80
                                                               4
     11 Dreyer Box Set
                                                     80
                                                               3
                                    37429158425
                                    37429158425
                                                     80
     16 Dreyer Box Set
                                                               3
                                                     80
     8 Dreyer Box Set
                                    37429158425
                                                               3
     12 Brazil
                                    37429138526
                                                     60
                                                               3
     18 Eisenstein: The Sound Years 37429149126
                                                     80
                                                               4
                                     37429158425 80
     24 Dreyer Box Set
```

14	Dreyer Box Set	37429158425	80	4
10	Brazil	37429138526	60	3
17	Eisenstein: The Sound Years	37429149126	80	3
16	Orphic Trilogy	37429148327	80	4
13	Orphic Trilogy	37429148327	80	4
10	Brazil	37429138526	60	4
12	Eisenstein: The Sound Years	37429149126	80	3
12	Dreyer Box Set	37429158425	80	4
13	Dreyer Box Set	37429158425	80	4

17 rows selected.

# 5.1.5 Using Namespaces with XQuery

You can use the XQuery declare namespace declaration in the prolog of an XQuery expression to define a namespace prefix. You can use declare default namespace to establish the namespace as the default namespace for the expression.



Be aware of the following pitfall, if you use SQL\*Plus: If the semicolon (;) at the end of a namespace declaration terminates a line, SQL\*Plus interprets it as a SQL terminator. To avoid this, you can do one of the following:

- Place the text that follows the semicolon on the same line.
- Place a comment, such as (: :), after the semicolon, on the same line.
- Turn off the recognition of the SQL terminator with SQL\*Plus command SET SQLTERMINATOR.

Example 5-15 illustrates use of a namespace declaration in an XQuery expression.

An XQuery namespace declaration has no effect outside of its XQuery expression. To declare a namespace prefix for use in an XMLTable expression outside of the XQuery expression, use the XMLNAMESPACES clause. This clause also covers the XQuery expression argument to XMLTable, eliminating the need for a separate declaration in the XQuery prolog.

In Example 5-16, XMLNAMESPACES is used to define the prefix e for the namespace http://example.com. This namespace is used in the COLUMNS clause and the XQuery expression of the XMLTable expression.

### Example 5-15 Using XMLQUERY with a Namespace Declaration



```
-- This works - do not end the line with ";".
SELECT XMLQuery('declare namespace e = "http://example.com"; for
                   $i in doc("/public/empsns.xml")/e:emps/e:emp
                let $d :=
                 doc("/public/depts.xml")//dept[@deptno=$i/@deptno]/@dname
                where $i/@salary > 100000
                order by $i/@empno
               return <emp ename="{$i/@ename}" dept="{$d}"/>'
               RETURNING CONTENT) FROM DUAL;
XMLQUERY('DECLARENAMESPACEE="HTTP://EXAMPLE.COM";FOR$IINDOC("/PUBLIC/EMPSNS.XML"
______
<emp ename="Jack" dept=""></emp><emp ename="Jill" dept=""></emp>
-- This works too - add a comment after the ";".
SELECT XMLQuery('declare namespace e = "http://example.com"; (: :)
                for $i in doc("/public/empsns.xml")/e:emps/e:emp
                let $d := doc("/public/depts.xml")//dept[@deptno=$i/@deptno]/@dname
               where $i/@salary > 100000
               order by $i/@empno
                return <emp ename="{$i/@ename}" dept="{$d}"/>'
               RETURNING CONTENT) FROM DUAL;
XMLQUERY('DECLARENAMESPACEE="HTTP://EXAMPLE.COM";(::)FOR$IINDOC("/PUBLIC/EMPSNS.
______
<emp ename="Jack" dept=""></emp><emp ename="Jill" dept=""></emp>
1 row selected.
-- This works too - tell SQL*Plus to ignore the ";".
SET SQLTERMINATOR OFF
SELECT XMLQuery('declare namespace e = "http://example.com";
                for $i in doc("/public/empsns.xml")/e:emps/e:emp
                let $d :=
                 doc("/public/depts.xml")//dept[@deptno=$i/@deptno]/@dname
               where i/@salary > 100000
               order by $i/@empno
               return <emp ename="{ \dot {i}/@ename} " dept="{ \dot {d} }"/>'
               RETURNING CONTENT) FROM DUAL
/
XMLQUERY('DECLARENAMESPACEE="HTTP://EXAMPLE.COM";FOR$IINDOC("/PUBLIC/EMPSNS.XML"
<emp ename="Jack" dept=""></emp><emp ename="Jill" dept=""></emp>
              Example 5-16 Using XMLTABLE with the XMLNAMESPACES Clause
              SELECT * FROM XMLTable(XMLNAMESPACES ('http://example.com' AS "e"),
                                   'for $i in doc("/public/empsns.xml")
                                    return $i/e:emps/e:emp'
                                   COLUMNS name VARCHAR2(6) PATH '@ename',
```

id NUMBER

PATH '@empno');

#### This produces the following result:

NAME	ID
John	1
Jack	2
Jill	3

3 rows selected.

It is the presence of qualified names e:ename and e:empno in the COLUMNS clause that necessitates using the XMLNAMESPACES clause. Otherwise, a prolog namespace declaration (declare namespace e = "http://example.com") would suffice for the XQuery expression itself.

Because the same namespace is used throughout the XMLTable expression, a default namespace could be used: XMLNAMESPACES (DEFAULT 'http://example.com'). The qualified name \$i/e:emps/e:emp could then be written without an explicit prefix: \$i/emps/emp.

# 5.2 Querying XML Data Using SQL and PL/SQL

You can query XML data from XMLType columns and tables in various ways.

- Select XMLType data using SQL, PL/SQL, or Java.
- Query XMLType data using SQL/XML functions such as XMLQuery. See Querying XMLType Data Using XQuery.
- Perform full-text search using XQuery Full Text. See Support for XQuery Full Text and Indexes for XMLType Data.

The examples in this section illustrate different ways you can use SQL and PL/SQL to query XML data. Example 5-17 inserts two rows into table purchaseorder, then queries data in those rows using SQL/XML functions XMLCast, XMLQuery, and XMLExists.

Example 5-18 uses a PL/SQL cursor to query XML data. It uses a local XMLType instance to store transient data.

Example 5-19 and Example 5-20 both use SQL/XML function XMLTable to extract data from an XML purchase-order document. They then insert that data into a relational table. Example 5-19 uses SQL; Example 5-20 uses PL/SQL.

Example 5-20 defines and uses a PL/SQL procedure to extract data from an XML purchase-order document and insert it into a relational table.

Example 5-21 tabulates the purchase orders whose shipping address contains the string "Shores" and which were requested by customers whose names contain the string "11" (double L). These purchase orders are grouped by customer and counted. The example uses XQuery Full Text to perform full-text search.

Example 5-22 extracts the fragments of a document that are identified by an XPath expression. The XMLType instance returned by XMLQuery can be a set of nodes, a singleton node, or a text value. Example 5-22 uses XMLType method isFragment() to determine whether the result is a fragment.





You cannot insert fragments into XMLType columns. You can use SQL/XML function XMLQuery to convert a fragment into a well-formed document.

#### **Example 5-17 Querying XMLTYPE Data**

```
INSERT INTO purchaseorder
  VALUES (XMLType(bfilename('XMLDIR',
'SMCCAIN-2002091213000000PDT.xml'),
                  nls charset id('AL32UTF8')));
INSERT INTO purchaseorder
  VALUES (XMLType(bfilename('XMLDIR',
'VJONES-20020916140000000PDT.xml'),
                  nls_charset_id('AL32UTF8')));
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Reference'
                        PASSING po.OBJECT VALUE AS "p" RETURNING
CONTENT)
               AS VARCHAR2(30)) reference,
       XMLCast(XMLQuery('$p/PurchaseOrder/*//User'
                        PASSING po.OBJECT_VALUE AS "p" RETURNING
CONTENT)
               AS VARCHAR2(30)) userid,
       CASE
         WHEN XMLExists('$p/PurchaseOrder/Reject/Date'
                        PASSING po.OBJECT VALUE AS "p")
           THEN 'Rejected'
           ELSE 'Accepted'
       END "STATUS",
       XMLCast(XMLQuery('$p//Date'
                        PASSING po.OBJECT VALUE AS "p" RETURNING
CONTENT)
               AS VARCHAR2(12)) status_date
  FROM purchaseorder po
  WHERE XMLExists('$p//Date' PASSING po.OBJECT VALUE AS "p")
  ORDER BY XMLCast(XMLQuery('$p//Date' PASSING po.OBJECT_VALUE AS "p"
                                       RETURNING CONTENT)
                   AS VARCHAR2(12));
REFERENCE
                                 USERID STATUS STATUS DATE
VJONES-20020916140000000PDT
                                 SVOLLMAN Accepted 2002-10-11
SMCCAIN-2002091213000000PDT
                                 SKING Rejected 2002-10-12
2 rows selected.
```



### Example 5-18 Querying Transient XMLTYPE Data Using a PL/SQL Cursor

```
DECLARE
  xNode
             XMLType;
  vText
             VARCHAR2(256);
  vReference VARCHAR2(32);
  CURSOR getPurchaseOrder(reference IN VARCHAR2) IS
           SELECT OBJECT VALUE XML
             FROM purchaseorder
             WHERE XMLExists('$p/PurchaseOrder[Reference=$r]'
                             PASSING OBJECT VALUE AS "p",
                                     reference
                                                  AS "r");
BEGIN
  vReference := 'EABEL-20021009123335791PDT';
  FOR c IN getPurchaseOrder(vReference) LOOP
    xNode := c.XML.extract('//Requestor');
    SELECT XMLSerialize(CONTENT
                        XMLQuery('//text()'
                                 PASSING xNode RETURNING CONTENT))
           INTO vText FROM DUAL;
    DBMS_OUTPUT.put_line('The Requestor for Reference '
                         || vReference || ' is '|| vText);
  END LOOP;
  vReference := 'PTUCKER-20021009123335430PDT';
  FOR c IN getPurchaseOrder(vReference) LOOP
    xNode := c.XML.extract('//LineItem[@ItemNumber="1"]/Description');
    SELECT XMLSerialize(CONTENT
                        XMLQuery('//text()' PASSING xNode RETURNING
CONTENT))
           INTO vText FROM DUAL;
    DBMS_OUTPUT.put_line('The Description of LineItem[1] for Reference '
                         | vReference | ' is ' vText);
  END LOOP;
END;
The Requestor for Reference EABEL-20021009123335791PDT is Ellen S. Abel
The Description of LineItem[1] for Reference
PTUCKER-20021009123335430PDT is
Picnic at
Hanging Rock
PL/SQL procedure successfully completed.
```

### Example 5-19 Extracting XML Data and Inserting It into a Relational Table Using SQL

```
VARCHAR2(28) PRIMARY KEY,
CREATE TABLE purchaseorder_table (reference
                                  requestor
                                                      VARCHAR2(48),
                                  actions
                                                      XMLType,
                                  userid
                                                      VARCHAR2(32),
                                  costcenter
                                                      VARCHAR2(3),
                                                      VARCHAR2(48),
                                  shiptoname
                                                      VARCHAR2(512),
                                  address
                                                      VARCHAR2(32),
                                  phone
                                  rejectedby
                                                      VARCHAR2(32),
                                  daterejected
                                                      DATE,
                                                      VARCHAR2(2048),
                                  comments
                                  specialinstructions VARCHAR2(2048));
```



```
CREATE TABLE purchaseorder_lineitem (reference,
                                          FOREIGN KEY ("REFERENCE")
                                           REFERENCES "PURCHASEORDER_TABLE" ("REFERENCE") ON DELETE CASCADE,
                                                      NUMBER(10), PRIMARY KEY ("REFERENCE", "LINENO"),
                                                      VARCHAR2(14),
                                          description VARCHAR2(128),
                                          quantity NUMBER(10),
unitprice NUMBER(12,2));
INSERT INTO purchaseorder_table (reference, requestor, actions, userid, costcenter, shiptoname, address,
                                   phone, rejectedby, daterejected, comments, specialinstructions)
  SELECT t.reference, t.requestor, t.actions, t.userid, t.costcenter, t.shiptoname, t.address,
           t.phone, t.rejectedby, t.daterejected, t.comments, t.specialinstructions
    FROM purchaseorder p,
          XMLTable('/PurchaseOrder' PASSING p.OBJECT_VALUE
                   COLUMNS reference VARCHAR2(28) PATH 'Reference', requestor VARCHAR2(48) PATH 'Requestor', actions XMLType PATH 'Actions', userid VARCHAR2(32) PATH 'User', costcenter VARCHAR2(3) PATH 'CostCenter',
                             costcenter VARCHAR2(3) PAIN COSTCENCE, shiptoname VARCHAR2(48) PATH 'ShippingInstructions/name', address VARCHAR2(512) PATH 'ShippingInstructions/address',
                             phone VARCHAR2(32) PATH 'ShippingInstructions/telephone', rejectedby VARCHAR2(32) PATH 'Reject/User', daterejected DATE PATH 'Reject/Date', comments VARCHAR2(2048) PATH 'Reject/Comments',
                             specialinstructions VARCHAR2(2048) PATH 'SpecialInstructions') t
    WHERE t.reference = 'EABEL-20021009123336251PDT';
INSERT INTO purchaseorder_lineitem (reference, lineno, upc, description, quantity, unitprice)
  SELECT t.reference, li.lineno, li.upc, li.description, li.quantity, li.unitprice
    FROM purchaseorder p,
          XMLTable('/PurchaseOrder' PASSING p.OBJECT_VALUE
                    COLUMNS reference VARCHAR2(28) PATH 'Reference',
                            lineitem XMLType PATH 'LineItems/LineItem') t,
          XMLTable('LineItem' PASSING t.lineitem
                    COLUMNS lineno NUMBER(10)
                                                        PATH '@ItemNumber',
                                        VARCHAR2(14) PATH 'Part/@Id',
                             description VARCHAR2(128) PATH 'Description',
                             quantity NUMBER(10) PATH 'Part/@Quantity',
unitprice NUMBER(12,2) PATH 'Part/@UnitPrice') li
    WHERE t.reference = 'EABEL-20021009123336251PDT';
SELECT reference, userid, shiptoname, specialinstructions FROM purchaseorder_table;
REFERENCE
                                    USERID SHIPTONAME
SPECIALINSTRUCTIONS
    ------
EABEL-20021009123336251PDT EABEL Ellen S. Abel
                                                                                                       Counter to
SELECT reference, lineno, upc, description, quantity FROM purchaseorder_lineitem;
                                        LINENO UPC
                                                                DESCRIPTION
EABEL-20021009123336251PDT 1 37429125526 Samurai 2: Duel at Ichijoji Temple EABEL-20021009123336251PDT 2 37429128220 The Red Shoes EABEL-20021009123336251PDT 3 715515009058 A Night to Remember
                                                                                                                    1
```



### Example 5-20 Extracting XML Data and Inserting It into a Table Using PL/SQL

```
CREATE OR REPLACE PROCEDURE insertPurchaseOrder(purchaseorder XMLType) AS reference VARCHAR2(28);
  INSERT INTO purchaseorder_table (reference, requestor, actions, userid, costcenter, shiptoname, address,
                                     phone, rejectedby, daterejected, comments, specialinstructions)
    SELECT * FROM XMLTable('$p/PurchaseOrder' PASSING purchaseorder AS "p"
                                                    VARCHAR2(28) PATH 'Reference',
                             COLUMNS reference
                                     requestor
                                                         VARCHAR2(48) PATH 'Requestor',
                                                        XMLType PATH 'Actions' VARCHAR2(32) PATH 'User',
                                     actions
                                                                          PATH 'Actions',
                                     userid
                                                      VARCHAR2(3) PATH 'User',
VARCHAR2(48) PATH 'CostCenter',
VARCHAR2(48) PATH 'ShippingInstructions/name',
                                     costcenter
                                     shiptoname
                                                        VARCHAR2(512) PATH 'ShippingInstructions/address',
                                     address
                                     phone
                                                          VARCHAR2(32) PATH 'ShippingInstructions/telephone',
                                     rejectedby
                                                          VARCHAR2(32) PATH 'Reject/User',
                                     daterejected DATE PATH 'Reject/Oser',

comments VARCHAR2(2048) PATH 'Reject/Comments',
                                     specialinstructions VARCHAR2(2048) PATH 'SpecialInstructions');
  INSERT INTO purchaseorder_lineitem (reference, lineno, upc, description, quantity, unitprice)
    SELECT t.reference, li.lineno, li.upc, li.description, li.quantity, li.unitprice
    FROM XMLTable('$p/PurchaseOrder' PASSING purchaseorder AS "p"
                   COLUMNS reference VARCHAR2(28) PATH 'Reference',
                           lineitem XMLType PATH 'LineItems/LineItem') t,
         XMLTable('LineItem' PASSING t.lineitem
                   COLUMNS lineno NUMBER(10)
                                                PATH '@ItemNumber',
                           upc VARCHAR2(14) PATH 'Part/@Id',
                            description VARCHAR2(128) PATH 'Description',
                            quantity NUMBER(10)
                                                  PATH 'Part/@Quantity',
                            unitprice NUMBER(12,2) PATH 'Part/@UnitPrice') li;
END;
CALL insertPurchaseOrder(XMLType(bfilename('XMLDIR', 'purchaseOrder.xml'), nls_charset_id('AL32UTF8')));
SELECT reference, userid, shiptoname, specialinstructions FROM purchaseorder_table;
REFERENCE
                                   USERID SHIPTONAME
                                                                                                 SPECIALINSTRUCTIONS
SBELL-2002100912333601PDT
                                SBELL
                                          Sarah J. Bell
                                                                                                 Air Mail
SELECT reference, lineno, upc, description, quantity FROM purchaseorder_lineitem;
REFERENCE
                         LINENO UPC
                                               DESCRIPTION
                                                                                      OUANTITY
------

      SBELL-2002100912333601PDT
      1 715515009058 A Night to Remember

      SBELL-2002100912333601PDT
      2 37429140222 The Unbearable Lightness Of Being

      SBELL-2002100912333601PDT
      3 715515011020 Sisters
```

### Example 5-21 Searching XML Data Using SQL/XML Functions

#### **Example 5-22 Extracting Fragments Using XMLQUERY**

William M. Smith

# 5.3 Using the SQL\*Plus XQUERY Command

You can evaluate an XQuery expression using the SQL\*Plus XQUERY command.

Example 5-23 shows how you can enter an XQuery expression directly at the SQL\*Plus command line, by preceding the expression with the SQL\*Plus command XQUERY and following it with a slash (/) on a line by itself. Oracle Database treats XQuery expressions submitted with this command the same way it treats XQuery expressions in SQL/XML functions XMLQuery and XMLTable. Execution is identical, with the same optimizations.

There are also a few SQL\*Plus SET commands that you can use for settings that are specific to XQuery. Use SHOW XQUERY to see the current settings.

- SET XQUERY BASEURI Set the base URI for XQUERY. URIs in XQuery expressions are relative to this URI.
- SET XQUERY CONTEXT Specify a context item for subsequent XQUERY evaluations.

```
✓ See Also:
SQL*Plus User's Guide and Reference
```

#### Example 5-23 Using the SQL\*Plus XQUERY Command

```
SQL> XQUERY for $i in fn:collection("oradb:/HR/DEPARTMENTS")
2  where $i/ROW/DEPARTMENT_ID < 50
3  return $i
4  /</pre>
```



#### Result Sequence

<ROW><DEPARTMENT ID>10/DEPARTMENT ID>
CEPARTMENT NAME>Administration/DEPARTMENT

<ROW><DEPARTMENT\_ID>10</DEPARTMENT\_ID><DEPARTMENT\_NAME>AdministrationT\_NAME><MANAGER\_ID>200</MANAGER\_ID><LOCATION\_ID>1700</LOCATION\_ID>

<ROW><DEPARTMENT\_ID>20</DEPARTMENT\_ID><DEPARTMENT\_NAME>Marketing</DEPARTMENT\_NAME><MANAGER\_ID>201</MANAGER\_ID><LOCATION\_ID>1800</LOCATION\_ID></ROW>

<ROW><DEPARTMENT\_ID>30</DEPARTMENT\_ID><DEPARTMENT\_NAME>Purchasing</DEPARTMENT\_NA
ME><MANAGER\_ID>114</MANAGER\_ID><a href="Location\_id>1700</location\_id>"Loca

<ROW><DEPARTMENT\_ID>40</DEPARTMENT\_ID><DEPARTMENT\_NAME>Human Resources/DEPARTME
NT NAME><MANAGER ID>203</MANAGER ID><LOCATION ID>2400</LOCATION ID></ROW>

# 5.4 Using XQuery with XQJ to Access Database Data

XQuery API for Java (XQJ), also known as JSR-225, provides an industry-standard way for Java programs to access XML data using XQuery. It lets you evaluate XQuery expressions against XML data sources and process the results as XML data.

Oracle provides two XQuery engines for evaluating XQuery expressions: one in Oracle XML DB, for use with XML data in the database, and one in Oracle XML Developer's Kit, for use with XML data outside the database.

Similarly, Oracle provides two mid-tier XQJ implementations for accessing these two XQuery engines. Both implementations are part of Oracle XML Developer's Kit (XDK). You use XDK to access XML data with XQJ, regardless of whether that data resides in the database or elsewhere.

In particular, you can use XDK and XQJ to access XML data in Oracle XML DB. A typical use case for this feature is to access data stored in remote databases from a local Java program.

#### See Also:

- XQuery API for Java (XQJ) 1.0 Specification, March 2009
   This specification is quite concrete and helpful, with understandable examples.
- Oracle XML Developer's Kit Programmer's Guide for complete information about using XQJ with Oracle XML Developer's Kit
- Oracle XML Developer's Kit Programmer's Guide for information, including examples, about using XQJ with XDK to access XML data in the database



# 5.5 Using XQuery with PL/SQL, JDBC, and ODP.NET to Access Database Data

You can use XQuery with the Oracle APIs for PL/SQL, JDBC, and Oracle Data Provider for .NET (ODP.NET).

Example 5-24 shows how to use XQuery with PL/SQL, in particular, how to bind *dynamic variables* to an XQuery expression using the XMLQuery PASSING clause. The bind variables :1 and :2 are bound to the PL/SQL bind arguments nbitems and partid, respectively. These are then passed to XQuery as XQuery variables itemno and id, respectively.

Example 5-25 shows how to use XQuery with JDBC, binding variables by position with the PASSING clause of SQL/XML function XMLTable.

Example 5-26 shows how to use XQuery with ODP.NET and the C# language. The C# input parameters :nbitems and :partid are passed to XQuery as XQuery variables itemno and id, respectively.

#### Example 5-24 Using XQuery with PL/SQL

```
DECLARE
  sql_stmt VARCHAR2(2000); -- Dynamic SQL statement to execute
 nbitems NUMBER := 3; -- Number of items
 partid VARCHAR2(20):= '715515009058'; -- Part ID
 result XMLType;
         DBMS XMLDOM.DOMDocument;
 ndoc
         DBMS XMLDOM.DOMNode;
 buf
          VARCHAR2(20000);
BEGIN
  sql_stmt :=
   'SELECT XMLQuery(
              ''for $i in fn:collection("oradb:/OE/PURCHASEORDER") ' |
              'where count($i/PurchaseOrder/LineItems/LineItem) = $itemno ' |
                'and $i/PurchaseOrder/LineItems/LineItem/Part/@Id = $id ' |
              'return $i/PurchaseOrder/LineItems'' ' | |
              'PASSING :1 AS "itemno", :2 AS "id" ' ||
              'RETURNING CONTENT) FROM DUAL';
 EXECUTE IMMEDIATE sql_stmt INTO result USING nbitems, partid;
 doc := DBMS_XMLDOM.newDOMDocument(result);
 ndoc := DBMS_XMLDOM.makeNode(doc);
 DBMS_XMLDOM.writeToBuffer(ndoc, buf);
 DBMS_OUTPUT.put_line(buf);
END;
```

#### This produces the following output:



```
</LineItem>
  <LineItem ItemNumber="3">
    <Description>A Night to Remember
    <Part Id="715515009058" UnitPrice="39.95" Quantity="1"/>
  </LineItem>
</TineTtems>
<T.ineTtems>
  <LineItem ItemNumber="1">
    <Description>A Night to Remember
    <Part Id="715515009058" UnitPrice="39.95" Quantity="2"/>
  </LineItem>
  <LineItem ItemNumber="2">
    <Description>The Unbearable Lightness Of Being/Description>
    <Part Id="37429140222" UnitPrice="29.95" Quantity="2"/>
  </LineItem>
  <LineItem ItemNumber="3">
    <Description>Sisters/Description>
    <Part Id="715515011020" UnitPrice="29.95" Quantity="4"/>
  </LineItem>
</LineItems>
```

#### **Example 5-25 Using XQuery with JDBC**

PL/SQL procedure successfully completed.

```
import java.sql.*;
import oracle.sql.*;
import oracle.jdbc.*;
import oracle.xdb.XMLType;
import java.util.*;
public class QueryBindByPos
 public static void main(String[] args) throws Exception, SQLException
    System.out.println("*** JDBC Access of XQuery using Bind Variables ***");
   DriverManager.registerDriver(new oracle.jdbc.driver.OracleDriver());
   OracleConnection conn
      = (OracleConnection)
        DriverManager.getConnection("jdbc:oracle:oci8:@localhost:1521:orallgR1", "oe", "oe");
    String xqString
      = "SELECT COLUMN_VALUE" +
          "FROM XMLTable('for $i in fn:collection(\"oradb:/OE/PURCHASEORDER\") " +
                         "where $i/PurchaseOrder/Reference= $ref " +
                         "return $i/PurchaseOrder/LineItems' " +
                        "PASSING ? AS \"ref\")";
    OraclePreparedStatement stmt = (OraclePreparedStatement)conn.prepareStatement(xqString);
    String refString = "EABEL-20021009123336251PDT"; // Set the filter value
    stmt.setString(1, refString); // Bind the string
   ResultSet rs = stmt.executeQuery();
    while (rs.next())
       SQLXML sqlXml = rs.getSQLXML(1);
       System.out.println("LineItem Description: " + sqlXml.getString());
       sqlXml.free();
   rs.close();
    stmt.close();
```

#### This produces the following output:

```
*** JDBC Access of Database XQuery with Bind Variables ***
LineItem Description: Samurai 2: Duel at Ichijoji Temple
LineItem Description: The Red Shoes
LineItem Description: A Night to Remember
```

#### Example 5-26 Using XQuery with ODP.NET and C#

```
using System;
using System.Data;
using System. Text;
using System.IO;
using System.Xml;
using Oracle.DataAccess.Client;
using Oracle.DataAccess.Types;
namespace XQuery
  /// <summary>
  \ensuremath{///} Demonstrates how to bind variables for XQuery calls
  class XQuery
    /// <summary>
    \ensuremath{///} The main entry point for the application.
    /// </summary>
    static void Main(string[] args)
      int rows = 0;
      StreamReader sr = null;
      // Create the connection.
      string constr = "User Id=oe;Password=******;Data Source=orallgr2"; // Replace with real password.
      OracleConnection con = new OracleConnection(constr);
      // Create the command.
      OracleCommand cmd = new OracleCommand("", con);
      // Set the XML command type to query.
      cmd.CommandType = CommandType.Text;
      // Create the SQL query with the XQuery expression.
      StringBuilder blr = new StringBuilder();
      blr.Append("SELECT COLUMN_VALUE FROM XMLTable");
      blr.Append("(\'for $i in fn:collection(\"oradb:/OE/PURCHASEORDER\") ");
      blr.Append(" where count($i/PurchaseOrder/LineItems/LineItem) = $itemno ");
      blr.Append("
                       and $i/PurchaseOrder/LineItems/LineItem/Part/@Id = $id ");
                    return $i/PurchaseOrder/LineItems\' ");
      blr.Append(" PASSING :nbitems AS \"itemno\", :partid AS \"id\")");
      cmd.CommandText = blr.ToString();
      \verb|cmd.Parameters.Add(":nbitems"|, OracleDbType.Int16, 3, ParameterDirection.Input)|; \\
      cmd.Parameters.Add(":partid", OracleDbType.Varchar2, "715515009058", ParameterDirection.Input);
      // Get the XML document as an XmlReader.
      OracleDataReader dr = cmd.ExecuteReader();
      dr.Read();
      // Get the XMLType column as an OracleXmlType
      OracleXmlType xml = dr.GetOracleXmlType(0);
      // Print the XML data in the OracleXmlType object
      Console.WriteLine(xml.Value);
      xml.Dispose();
      // Clean up.
```



```
cmd.Dispose();
con.Close();
con.Dispose();
}
}
```

#### This produces the following output:

#### **Related Topics**

PL/SQL APIs for XMLType
 There are several PL/SQL packages that provide APIs for XMLType.

Java DOM API for XMLType

The Java DOM API for XMLType lets you operate on XMLType instances using a DOM. You can use it to manipulate XML data in Java, including fetching it through Java Database Connectivity (JDBC).

Oracle XML DB and Oracle Data Provider for .NET
 Oracle Data Provider for Microsoft .NET (ODP.NET) is an implementation of a data
 provider for Oracle Database. It uses Oracle native APIs to offer fast and reliable
 access to Oracle data and features from any .NET application.

# 5.6 Updating XML Data

There are several ways you can use Oracle XML DB features to update XML data, whether it is transient or stored in database tables.

Updating an Entire XML Document
 To update an entire XML document, use a SQL UPDATE statement.

Replacing XML Nodes

You can use XQuery Update with a SQL UPDATE statement to update an existing XML document instead of creating a new document. The entire document is updated, not just the part of it that is selected.

Inserting Child XML Nodes

You can use XQuery Update to insert new children (either a single attribute or one or more elements of the same type) under parent XML elements. The XML document that is the target of the insertion can be schema-based or non-schema-based.

Deleting XML Nodes

An example uses XQuery Update to delete XML nodes.



Creating XML Views of Modified XML Data
 You can use XQuery Update to create new views of XML data.

## 5.6.1 Updating an Entire XML Document

To update an entire XML document, use a SQL UPDATE statement.

The right side of the UPDATE statement SET clause must be an XMLType instance. This can be created in any of the following ways:

- Use SQL functions or XML constructors that return an XML instance.
- Use the PL/SQL DOM APIs for XMLType that change and bind an existing XML instance.
- Use the Java DOM API that changes and binds an existing XML instance.

Updates for non-schema-based documents stored as binary XML can be made in a piecewise manner.

Example 5-27 updates an XMLType instance using a SQL UPDATE statement.

#### Example 5-27 Updating XMLType Data Using SQL UPDATE

```
SELECT t.reference, li.lineno, li.description
  FROM purchaseorder po,
      XMLTable('$p/PurchaseOrder' PASSING po.OBJECT_VALUE AS "p"
               COLUMNS reference VARCHAR2(28) PATH 'Reference',
                      lineitem XMLType
                                          PATH 'LineItems/LineItem') t,
      XMLTable('$1/LineItem' PASSING t.lineitem AS "1"
               COLUMNS lineno NUMBER(10) PATH '@ItemNumber',
                      description VARCHAR2(128) PATH 'Description') li
  WHERE t.reference = 'DAUSTIN-20021009123335811PDT' AND ROWNUM < 6;
REFERENCE
                               LINENO DESCRIPTION
DAUSTIN-20021009123335811PDT
                                   1 Nights of Cabiria
DAUSTIN-20021009123335811PDT
                                    2 For All Mankind
DAUSTIN-20021009123335811PDT
                                   3 Dead Ringers
DAUSTIN-20021009123335811PDT
                                   4 Hearts and Minds
DAUSTIN-20021009123335811PDT
                                   5 Rushmore
UPDATE purchaseorder po
  SET po.OBJECT VALUE = XMLType(bfilename('XMLDIR','NEW-
DAUSTIN-20021009123335811PDT.xml'),
                              nls_charset_id('AL32UTF8'))
  WHERE XMLExists('$p/PurchaseOrder[Reference="DAUSTIN-20021009123335811PDT"]'
                 PASSING po.OBJECT_VALUE AS "p");
SELECT t.reference, li.lineno, li.description
  FROM purchaseorder po,
      XMLTable('$p/PurchaseOrder' PASSING po.OBJECT_VALUE AS "p"
               COLUMNS reference VARCHAR2(28) PATH 'Reference',
                      lineitem XMLType
                                          PATH 'LineItems/LineItem') t,
      XMLTable('$1/LineItem' PASSING t.lineitem AS "1"
               COLUMNS lineno
                                 NUMBER(10) PATH '@ItemNumber',
                      description VARCHAR2(128) PATH 'Description') li
```



WHERE t.reference = 'DAUSTIN-20021009123335811PDT';

REFERENCE	LINENO	DESCRIPTION
DAUSTIN-20021009123335811PDT	1	Dead Ringers
DAUSTIN-20021009123335811PDT	2	Getrud
DAUSTIN-20021009123335811PDT	3	Branded to Kill

## 5.6.2 Replacing XML Nodes

You can use XQuery Update with a SQL UPDATE statement to update an existing XML document instead of creating a new document. The entire document is updated, not just the part of it that is selected.

In Example 5-28 we pass the SQL string literal 'SKING' to the XQuery expression as a variable (\$p2). In this simple example, since the value is a string literal, we could have simply used replace value of node \$j with "SKING". That is, you can just use a literal XQuery string here, instead of passing a literal string from SQL to XQuery. In real-world examples you will typically pass a value that is available only at runtime; Example 5-28 shows how to do that. This is also true of other examples.

Example 5-29 updates multiple text nodes and attribute nodes.

Example 5-30 updates selected nodes within a collection.

Example 5-31 illustrates the common mistake of using an XQuery Update replace-value operation to update a *node that occurs multiple times* in a collection. The UPDATE statement sets the value of the text node of a Description element to The Wizard of Oz, where the current value of the text node is Sisters. The statement includes an XMLExists expression in the WHERE clause that identifies the set of nodes to be updated.

Instead of updating only the intended node, Example 5-31 updates the values of *all* text nodes that belong to the Description element. This is not what was intended.

A WHERE clause can be used only to identify which **documents** must be updated, not which **nodes** within a document must be updated.

After the document has been selected, the *XQuery expression* passed to XQuery Update determines which *nodes* within the document must be updated. In this case, the XQuery expression identifies all three Description nodes, so all three of the associated text nodes were updated.

To correctly update a node that occurs multiple times within a collection, use the XQuery expression passed XQuery Update to identify which nodes in the XML document to update. By introducing the appropriate predicate into the XQuery expression, you can limit which nodes in the document are updated. Example 5-32 illustrates the correct way to update one node within a collection.

#### Example 5-28 Updating XMLTYPE Data Using SQL UPDATE and XQuery Update

```
SELECT XMLQuery('$p/PurchaseOrder/Actions/Action[1]' PASSING po.OBJECT_VALUE AS "p"

RETURNING CONTENT) action

FROM purchaseorder po

WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'

PASSING po.OBJECT VALUE AS "p");
```



```
______
<Action>
  <User>SVOLLMAN</User>
</Action>
UPDATE purchaseorder po
  SET po.OBJECT_VALUE =
    XMLQuery('copy $i := $p1 modify
               (for $j in $i/PurchaseOrder/Actions/Action[1]/User
                return replace value of node $j with $p2)
               return $i' PASSING po.OBJECT_VALUE AS "p1",
                                   'SKING' AS "p2" RETURNING CONTENT)
  WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                   PASSING po.OBJECT_VALUE AS "p");
SELECT XMLQuery('$p/PurchaseOrder/Actions/Action[1]' PASSING po.OBJECT_VALUE AS "p"
                                                          RETURNING CONTENT) action
  FROM purchaseorder po
  WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                   PASSING po.OBJECT_VALUE AS "p");
ACTION
_____
<Action>
  <User>SKING</User>
</Action>
Example 5-29 Updating Multiple Text Nodes and Attribute Nodes
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Requestor'
                     PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
            AS VARCHAR2(30)) name,
      XMLQuery('$p/PurchaseOrder/LineItems'
             PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT) lineitems
 FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
               PASSING po.OBJECT_VALUE AS "p");
NAME
              LINEITEMS
Sarah J. Bell
              <LineItems>
                <LineItem ItemNumber="1">
                  <Description>A Night to Remember
                  <Part Id="715515009058" UnitPrice="39.95" Quantity="2"/>
                </LineItem>
                <LineItem ItemNumber="2">
                  <Description>The Unbearable Lightness Of Being/Description>
                  <Part Id="37429140222" UnitPrice="29.95" Quantity="2"/>
                </LineItem>
                <LineItem ItemNumber="3">
                  <Description>Sisters/Description>
                  <Part Id="715515011020" UnitPrice="29.95" Quantity="4"/>
                </LineItem>
               </LineItems>
UPDATE purchaseorder
 SET OBJECT_VALUE =
   XMLQuery('copy $i := $p1 modify
              ((for $j in $i/PurchaseOrder/Requestor
               return replace value of node $j with $p2),
```



ACTION

```
(for $j in $i/PurchaseOrder/LineItems/LineItem[1]/Part/@Id
                 return replace value of node $j with $p3),
                 (for $j in $i/PurchaseOrder/LineItems/LineItem[1]/Description
                 return replace value of node $j with $p4),
                (for $j in $i/PurchaseOrder/LineItems/LineItem[3]
                 return replace node $j with $p5))
               return $i'
            PASSING OBJECT_VALUE AS "p1",
                     'Stephen G. King' AS "p2",
                     '786936150421' AS "p3",
                    'The Rock' AS "p4",
                    XMLType('<LineItem ItemNumber="99">
                               <Description>Dead Ringers/Description>
                               <Part Id="715515009249" UnitPrice="39.95" Quantity="2"/>
                             </LineItem>') AS "p5"
            RETURNING CONTENT)
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING OBJECT_VALUE AS "p");
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Requestor'
                       PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
              AS VARCHAR2(30)) name,
       XMLQuery('$p/PurchaseOrder/LineItems'
               PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT) lineitems
 FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING po.OBJECT_VALUE AS "p");
NAME
                LINEITEMS
Stephen G. King <LineItems>
                  <LineItem ItemNumber="1">
                    <Description>The Rock
                    <Part Id="786936150421" UnitPrice="39.95" Quantity="2"/>
                  </LineItem>
                   <LineItem ItemNumber="2">
                    <Description>The Unbearable Lightness Of Being</Description>
                    <Part Id="37429140222" UnitPrice="29.95" Quantity="2"/>
                  <LineItem ItemNumber="99">
                    <Description>Dead Ringers/Description>
                     <Part Id="715515009249" UnitPrice="39.95" Quantity="2"/>
                  </LineTtem>
                 </LineItems>
```

#### Example 5-30 Updating Selected Nodes within a Collection

```
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Requestor'
                        PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
               AS VARCHAR2(30)) name,
       XMLQuery('$p/PurchaseOrder/LineItems'
                PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT) lineitems
  FROM purchaseorder po
  WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                  PASSING po.OBJECT_VALUE AS "p");
NAME
                 LINEITEMS
Sarah J. Bell
                 <LineItems>
                   <LineItem ItemNumber="1">
                     <Description>A Night to Remember/Description>
                     <Part Id="715515009058" UnitPrice="39.95" Quantity="2"/>
                   </LineItem>
```

```
<LineItem ItemNumber="2">
                    <Description>The Unbearable Lightness Of Being</Description>
                    <Part Id="37429140222" UnitPrice="29.95" Quantity="2"/>
                   </LineItem>
                   <LineItem ItemNumber="3">
                     <Description>Sisters/Description>
                    <Part Id="715515011020" UnitPrice="29.95" Quantity="4"/>
                   </LineItem>
                 </LineItems>
UPDATE purchaseorder
 SET OBJECT_VALUE =
     XMLQuery(
        'copy $i := $p1 modify
           ((for $j in $i/PurchaseOrder/Requestor
            return replace value of node $j with $p2),
            (for $j in $i/PurchaseOrder/LineItems/LineItem/Part[@Id="715515009058"]/
@Quantity
            return replace value of node $j with $p3),
            (for $j in $i/PurchaseOrder/LineItems/LineItem)
                         [Description/text()="The Unbearable Lightness Of Being"]
            return replace node $j with $p4))
          return $i'
       PASSING OBJECT_VALUE AS "p1",
                'Stephen G. King' AS "p2",
               25 AS "p3",
               XMLType('<LineItem ItemNumber="99">
                           <Part Id="786936150421" Quantity="5" UnitPrice="29.95"/>
                           <Description>The Rock
                        </LineItem>') AS "p4"
       RETURNING CONTENT)
     WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                     PASSING OBJECT_VALUE AS "p");
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Requestor'
                       PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
              AS VARCHAR2(30)) name,
      XMLQuery('$p/PurchaseOrder/LineItems'
               PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT) lineitems
 FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING po.OBJECT_VALUE AS "p");
NAME
                LINEITEMS
Stephen G. King <LineItems>
                  <LineItem ItemNumber="1">
                    <Description>A Night to Remember
                    <Part Id="715515009058" UnitPrice="39.95" Quantity="25"/>
                   </LineItem>
                   <LineItem ItemNumber="99">
                    <Part Id="786936150421" Quantity="5" UnitPrice="29.95"/>
                    <Description>The Rock
                   </LineItem>
                   <LineItem ItemNumber="3">
```

#### Example 5-31 Incorrectly Updating a Node That Occurs Multiple Times in a Collection

```
SELECT XMLCast(des.COLUMN_VALUE AS VARCHAR2(256))
 FROM purchaseorder,
      XMLTable('$p/PurchaseOrder/LineItems/LineItem/Description'
               PASSING OBJECT VALUE AS "p") des
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING OBJECT_VALUE AS "p");
XMLCAST(DES.COLUMN_VALUEASVARCHAR2(256))
The Lady Vanishes
The Unbearable Lightness Of Being
Sisters
3 rows selected.
UPDATE purchaseorder
 SET OBJECT VALUE =
       XMLQuery('copy $i := $p1 modify
                   (for $j in $i/PurchaseOrder/LineItems/LineItem/Description
                    return replace value of node $j with $p2)
                 return $i'
                PASSING OBJECT_VALUE AS "p1", 'The Wizard of Oz' AS "p2"
                RETURNING CONTENT)
       WHERE XMLExists('$p/PurchaseOrder/LineItems/LineItem[Description="Sisters"]'
                       PASSING OBJECT_VALUE AS "p")
         AND XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                       PASSING OBJECT_VALUE AS "p");
1 row updated.
SELECT XMLCast(des.COLUMN_VALUE AS VARCHAR2(256))
 FROM purchaseorder,
      XMLTable('$p/PurchaseOrder/LineItems/LineItem/Description'
               PASSING OBJECT_VALUE AS "p") des
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING OBJECT_VALUE AS "p");
XMLCAST(DES.COLUMN_VALUEASVARCHAR2(256))
_____
The Wizard of Oz
The Wizard of Oz
The Wizard of Oz
3 rows selected.
```

#### Example 5-32 Correctly Updating a Node That Occurs Multiple Times in a Collection

```
SELECT XMLCast(des.COLUMN VALUE AS VARCHAR2(256))
  FROM purchaseorder,
       XMLTable('$p/PurchaseOrder/LineItems/LineItem/Description'
                PASSING OBJECT VALUE AS "p") des
  WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                  PASSING OBJECT_VALUE AS "p");
XMLCAST(DES.COLUMN_VALUEASVARCHAR2(256))
A Night to Remember
The Unbearable Lightness Of Being
Sisters
3 rows selected.
UPDATE purchaseorder
 SET OBJECT_VALUE =
       XMLQuery('copy $i := $p1 modify
                   (for $j in $i/PurchaseOrder/LineItems/LineItem/Description
                                [text()="Sisters"]
                    return replace value of node $j with $p2)
                 return $i'
                PASSING OBJECT_VALUE AS "p1",
                        'The Wizard of Oz' AS "p2" RETURNING CONTENT)
       WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                       PASSING OBJECT_VALUE AS "p");
1 row updated.
SELECT XMLCast(des.COLUMN VALUE AS VARCHAR2(256))
  FROM purchaseorder,
       XMLTable('$p/PurchaseOrder/LineItems/LineItem/Description'
                PASSING OBJECT_VALUE AS "p") des
  WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                  PASSING OBJECT VALUE AS "p");
XMLCAST(DES.COLUMN_VALUEASVARCHAR2(256))
A Night to Remember
The Unbearable Lightness Of Being
The Wizard of Oz
3 rows selected.
```

Updating XML Data to NULL Values
 Certain considerations apply to updating XML data to NULL values.

### 5.6.2.1 Updating XML Data to NULL Values

Certain considerations apply to updating XML data to NULL values.

- If you update an XML *element* to NULL, the attributes and children of the element are removed, and the element becomes empty. The type and namespace properties of the element are retained. See Example 5-33.
- If you update an *attribute* value to NULL, the value appears as the empty string. See Example 5-33.
- If you update the *text* node of an element to NULL, the content (text) of the element is removed. The element itself remains, but it is empty. See Example 5-34.

Example 5-33 updates all of the following to NULL:

- The Description element and the Quantity attribute of the LineItem element whose Part element has attribute Id value 715515009058.
- The LineItem element whose Description element has the content (text) "The Unbearable Lightness Of Being".

Example 5-33 shows two different but equivalent ways to remove the value of a node. For element <code>Description</code> and attribute <code>Quantity</code>, a literal XQuery empty sequence, (), replaces the existing value directly. For element <code>LineItem</code>, SQL <code>NULL</code> is passed into the XQuery expression to provide the empty node value. Since the value used is literal, it is simpler not to pass it from SQL to XQuery. But in real-world examples you will often pass a value that is available only at runtime. Example 5-33 shows how to do this for an empty XQuery sequence: pass a SQL <code>NULL</code> value.

Example 5-34 updates the text node of a Part element whose Description attribute has value "A Night to Remember" to NULL. The XML data for this example corresponds to a different, revised purchase-order XML schema — see Scenario for Copy-Based Evolution. In that XML schema, Description is an attribute of the Part element, not a sibling element.



Example 3-26

#### Example 5-33 NULL Updates – Element and Attribute



```
<Description>A Night to Remember</Description>
                    <Part Id="715515009058" UnitPrice="39.95" Quantity="2"/>
                  </LineTtem>
                  <LineItem ItemNumber="2">
                    <Description>The Unbearable Lightness Of Being/Description>
                    <Part Id="37429140222" UnitPrice="29.95" Quantity="2"/>
                  </LineItem>
                  <LineItem ItemNumber="3">
                    <Description>Sisters/Description>
                    <Part Id="715515011020" UnitPrice="29.95" Quantity="4"/>
                   </LineItem>
                 </LineItems>
UPDATE purchaseorder
 SET OBJECT_VALUE =
     XMLQuery(
        'copy $i := $p1 modify
           ((for $j in $i/PurchaseOrder/LineItems/LineItem[Part/@Id="715515009058"]/Description
            return replace value of node $j with ()) ,
           (for $j in $i/PurchaseOrder/LineItems/LineItem/Part[@Id="715515009058"]/@Quantity
            return replace value of node $j with ()) ,
           (for $j in $i/PurchaseOrder/LineItems/LineItem
                        [Description/text()= "The Unbearable Lightness Of Being"]
            return replace node $j with $p2))
        return $i'
       PASSING OBJECT_VALUE AS "p1", NULL AS "p2"
       RETURNING CONTENT)
     WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                     PASSING OBJECT_VALUE AS "p");
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Requestor'
                       PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
              AS VARCHAR2(30)) name,
      XMLQuery('$p/PurchaseOrder/LineItems'
               PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT) lineitems
 FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
                 PASSING po.OBJECT_VALUE AS "p");
NAME
                LINEITEMS
______
                <LineItems>
Sarah J. Bell
                  <LineItem ItemNumber="1">
                    <Description/>
                    <Part Id="715515009058" UnitPrice="39.95" Quantity=""/>
                  </LineItem>
                  <LineItem/>
                  <LineItem ItemNumber="3">
                    <Description>Sisters/Description>
                    <Part Id="715515011020" UnitPrice="29.95" Quantity="4"/>
                  </LineItem>
                </LineItems>
Example 5-34 NULL Updates – Text Node
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/LineItems/LineItem/Part[@Description="A Night to Remember"]'
                     PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
             AS VARCHAR2(128)) part
 FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[@Reference="SBELL-2003030912333601PDT"]'
               PASSING po.OBJECT_VALUE AS "p");
```

```
PART
<Part Description="A Night to Remember" UnitCost="39.95">715515009058</part>
UPDATE purchaseorder
 SET OBJECT_VALUE =
     XMLQuery(
        'copy $i := $p1 modify
           (for $j in $i/PurchaseOrder/LineItems/LineItem/Part[@Description="A Night to Remember"]
           return replace value of node $j with $p2)
       PASSING OBJECT_VALUE AS "p1", NULL AS "p2" RETURNING CONTENT)
 WHERE XMLExists('$p/PurchaseOrder[@Reference="SBELL-2003030912333601PDT"]'
                  PASSING OBJECT_VALUE AS "p");
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/LineItems/LineItem/Part[@Description="A Night to Remember"]'
                        PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
              AS VARCHAR2(128)) part
 FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[@Reference="SBELL-2003030912333601PDT"]'
                 PASSING po.OBJECT_VALUE AS "p");
PART
<Part Description="A Night to Remember" UnitCost="39.95"/>
```

# 5.6.3 Inserting Child XML Nodes

You can use XQuery Update to insert new children (either a single attribute or one or more elements of the same type) under parent XML elements. The XML document that is the target of the insertion can be schema-based or non-schema-based.

Example 5-35 inserts a new LineItem element as a child of element LineItems. It uses the Oracle XQuery pragma ora:child-element-name to specify the name of the inserted child element as LineItem.

If the XML data to be updated is XML schema-based and it refers to a namespace, then the data to be inserted must also refer to the same namespace. Otherwise, an error is raised because the inserted data does not conform to the XML schema.



Be aware that using XQuery Update to update XML schema-based data results in an error being raised if you try to store the updated data back into an XML schema-based column or table. To prevent this, use XQuery pragma ora:transform\_keep\_schema. See Oracle XQuery Extension-Expression Pragmas.

Example 5-36 is the same as Example 5-35, except that the LineItem element to be inserted refers to a namespace. This assumes that the relevant XML schema requires a namespace for this element.

Example 5-37 inserts a LineItem element before the first LineItem element.

Example 5-38 inserts a Date element as the last child of an Action element.



#### Example 5-35 Inserting an Element into a Collection

```
SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[@ItemNumber=222]'
                PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
  FROM purchaseorder po
  WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                  PASSING po.OBJECT_VALUE AS "p");
XMLOUERY('$P/PURCHASEORDER/LINEITEMS/LINEITEM[@ITEMNUMBER=222]'
1 row selected.
UPDATE purchaseorder
  SET OBJECT_VALUE =
      XMLQuery('copy $i := $p1 modify
                  (for $j in $i/PurchaseOrder/LineItems
                   return (# ora:child-element-name LineItem #)
                          {insert node $p2 into $j})
                return $i'
               PASSING OBJECT_VALUE AS "p1",
                       XMLType('<LineItem ItemNumber="222">
                                  <Description>The Harder They Come</Description>
                                  <Part Id="953562951413" UnitPrice="22.95" Quantity="1"/>
                                </LineItem>') AS "p2"
               RETURNING CONTENT)
      WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                      PASSING OBJECT_VALUE AS "p");
SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[@ItemNumber=222]'
                PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
  FROM purchaseorder po
  WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                  PASSING po.OBJECT_VALUE AS "p");
XMLQUERY('$P/PURCHASEORDER/LINEITEMS/LINEITEM[@ITEMNUMBER=222]'
<LineItem ItemNumber="222">
  <Description>The Harder They Come</Description>
  <Part Id="953562951413" UnitPrice="22.95" Quantity="1"/>
</LineItem>
1 row selected.
Example 5-36 Inserting an Element that Uses a Namespace
UPDATE purchaseorder
  SET OBJECT_VALUE =
      XMLQuery('declare namespace e = "films.xsd"; (: :)
                copy $i := $p1 modify
                  (for $j in $i/PurchaseOrder/LineItems
                   return (# ora:child-element-name e:LineItem #)
                          {insert node $p2 into $j})
                return $i'
               PASSING OBJECT_VALUE AS "p1",
```

XMLType('<e:LineItem ItemNumber="222">

</e:LineItem>') AS "p2"

<Description>The Harder They Come</Description>

<Part Id="953562951413" UnitPrice="22.95" Quantity="1"/>



RETURNING CONTENT)

#### **Example 5-37** Inserting an Element Before an Element

```
SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[1]'
                PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
  FROM purchaseorder po
  WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                   PASSING po.OBJECT_VALUE AS "p");
XMLQUERY('$P/PURCHASEORDER/LINEITEMS/LINEITEM[1]'PASSINGPO.OBJECT_
<LineItem ItemNumber="1">
  <Description>Salesman/Description>
  <Part Id="37429158920" UnitPrice="39.95" Quantity="2"/>
</LineItem>
UPDATE purchaseorder
  SET OBJECT_VALUE =
      XMLQuery('copy $i := $p1 modify
                  (for $j in $i/PurchaseOrder/LineItems/LineItem[1]
                   return insert node $p2 before $j)
                return $i'
               PASSING OBJECT_VALUE AS "p1",
                       XMLType('<LineItem ItemNumber="314">
                                  <Description>Brazil</Description>
                                  <Part Id="314159265359" UnitPrice="69.95"
                                        Quantity="2"/>
                                </LineItem>') AS "p2"
               RETURNING CONTENT)
      WHERE XMLExists('$p/
PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                      PASSING OBJECT_VALUE AS "p");
SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[position() <= 2]'</pre>
                PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
  FROM purchaseorder po
  WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                   PASSING po.OBJECT_VALUE AS "p");
XMLQUERY('$P/PURCHASEORDER/LINEITEMS/LINEITEM[POSITION()<=2]'PASSINGPO.OBJECT_
<LineItem ItemNumber="314">
  <Description>Brazil</Description>
  <Part Id="314159265359" UnitPrice="69.95" Quantity="2"/>
</LineItem>
<LineItem ItemNumber="1">
  <Description>Salesman/Description>
  <Part Id="37429158920" UnitPrice="39.95" Quantity="2"/>
</LineItem>
Example 5-38 Inserting an Element as the Last Child Element
SELECT XMLQuery('$p/PurchaseOrder/Actions/Action[1]'
                PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
  FROM purchaseorder po
  WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                  PASSING po.OBJECT_VALUE AS "p");
```

XMLQUERY('\$P/PURCHASEORDER/ACTIONS/ACTION[1]'PASSINGPO.OBJECT\_VALUE

```
<Action>
 <User>KPARTNER</User>
</Action>
UPDATE purchaseorder
  SET OBJECT_VALUE =
     XMLQuery('copy $i := $p1 modify
                  (for $j in $i/PurchaseOrder/Actions/Action[1]
                   return insert nodes $p2 as last into $j)
                return $i'
               PASSING OBJECT_VALUE AS "p1",
                      XMLType('<Date>2002-11-04</Date>') AS "p2"
              RETURNING CONTENT)
     WHERE XMLExists('Sp/
PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                     PASSING OBJECT_VALUE AS "p");
SELECT XMLQuery('$p/PurchaseOrder/Actions/Action[1]'
                PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
  FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'
                 PASSING po.OBJECT_VALUE AS "p");
XMLQUERY('$P/PURCHASEORDER/ACTIONS/ACTION[1]'PASSINGPO.OBJECT_VALUE
<Action>
 <User>KPARTNER
  <Date>2002-11-04</Date>
</Action>
```

## 5.6.4 Deleting XML Nodes

An example uses XQuery Update to delete XML nodes.

Example 5-39 deletes the LineItem element whose ItemNumber attribute has value 222.

#### Example 5-39 Deleting an Element

```
PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)

WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'

PASSING OBJECT_VALUE AS "p");

SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[@ItemNumber=222]'

PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)

FROM purchaseorder po

WHERE XMLExists('$p/PurchaseOrder[Reference="AMCEWEN-20021009123336171PDT"]'

PASSING po.OBJECT_VALUE AS "p");

XMLQUERY('$p/PURCHASEORDER/LINEITEMS/LINEITEM[@ITEMNUMBER=222]'PASSINGPO

1 row selected.
```

# 5.6.5 Creating XML Views of Modified XML Data

You can use XQuery Update to create new views of XML data.

Example 5-40 creates a view of table purchaseorder.

#### Example 5-40 Creating a View Using Updated XML Data

```
CREATE OR REPLACE VIEW purchaseorder_summary OF XMLType AS
  SELECT XMLQuery('copy $i := $p1 modify
                     ((for $j in $i/PurchaseOrder/Actions
                       return replace value of node $j with ()),
                      (for $j in $i/PurchaseOrder/ShippingInstructions
                       return replace value of node $j with ()),
                      (for $j in $i/PurchaseOrder/LineItems
                       return replace value of node $j with ()))
                   return $i'
                  PASSING OBJECT_VALUE AS "p1" RETURNING CONTENT)
    FROM purchaseorder p;
SELECT OBJECT_VALUE FROM purchaseorder_summary
  WHERE XMLExists('$p/PurchaseOrder[Reference="DAUSTIN-20021009123335811PDT"]'
                  PASSING OBJECT_VALUE AS "p");
OBJECT_VALUE
<PurchaseOrder
   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:noNamespaceSchemaLocation=
      "http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd">
  <Reference>DAUSTIN-20021009123335811PDT</Reference>
  <Actions/>
  <Reject/>
  <Requestor>David L. Austin</Requestor>
  <User>DAUSTIN</User>
  <CostCenter>S30</CostCenter>
  <ShippingInstructions/>
  <SpecialInstructions>Courier</SpecialInstructions>
```



#### <LineItems/>

</PurchaseOrder>

# 5.7 Performance Tuning for XQuery

A SQL query that involves XQuery expressions can often be automatically rewritten (optimized) in one or more ways. This optimization is referred to as **XML query rewrite** or optimization. When this happens, the XQuery expression is, in effect, evaluated directly against the XML document without constructing a DOM in memory.

XPath expressions are a proper subset of XQuery expressions. **XPath rewrite** is a subset of XML query rewrite that involves rewriting queries that involve XPath expressions.

XPath rewrite includes all of the following:

- Single-pass streaming of XMLType data stored as binary XML A set of XPath expressions is evaluated in a single scan of the data.
- XMLIndex optimizations A SQL statement that uses an XPath expression is rewritten to an equivalent SQL statement that does not use it but which instead references the relational XMLIndex tables. The rewritten SQL statement can also make use of any B-tree indexes on the underlying XMLIndex tables.
- Optimizations for XMLType data stored object-relationally and for XMLType views —
   A SQL statement that uses an XPath expression is rewritten to an equivalent SQL
   statement that does not use it but which instead references the object-relational
   or relational data structures that underly the XMLType data. The rewritten SQL
   statement can also make use of any B-tree indexes on the underlying data
   structures. This can take place for both queries and update operations.

Just as query tuning can improve SQL performance, so it can improve XQuery performance. You tune XQuery performance by choosing appropriate XML storage models and indexes.

As with database queries generally, you determine whether tuning is required by examining the execution plan for a query. If the plan is not optimal, then consult the following documentation for specific tuning information:

- For object-relational storage: XPath Rewrite for Object-Relational Storage
- For binary XML storage: Indexes for XMLType Data

In addition, be aware that the following expressions can be expensive to process, so they might add performance overhead when processing large volumes of data:

- XQuery expressions that use the following axes (use forward and descendent axes instead):
  - ancestor
  - ancestor-or-self
  - descendant-or-self
  - following
  - following-sibling
  - namespace



- parent
- preceding
- preceding-sibling
- XQuery expressions that involve node identity (for example, using the ordercomparison operators << and >>)

Topics in this section present execution plans for some of the examples shown in XQuery and Oracle XML DB, to indicate how they are executed.

- Rule-Based and Cost-Based XQuery Optimization
   Several competing optimization possibilities can exist for queries with XQuery
   expressions, depending on various factors such as the XMLType storage model and
   indexing that are used.
- XQuery Optimization over Relational Data
   Use of SQL/XML functions XMLQuery and XMLTable over relational data can be optimized. Examples are included that use XQuery expressions that target XML data created on the fly using fn:collection together with URI scheme oradb.
- XQuery Optimization over XML Schema-Based XMLType Data
   Use of SQL/XML functions XMLQuery and XMLTable XML Schema-based data can
   be optimized. Examples are included that use XQuery expressions that target an
   XML schema-based XMLType table stored object-relationally.
- Diagnosis of XQuery Optimization: XMLOptimizationCheck
   You can examine an execution plan for your SQL code to determine whether
   XQuery optimization occurs or the plan is instead suboptimal.
- Performance Improvement for fn:doc and fn:collection on Repository Data
   You can improve the performance of fn:doc and fn:collection queries over the
   Oracle XML DB Repository, by linking them to the actual database tables that hold
   the repository data being queried.

#### **Related Topics**

Oracle XML DB Support for XQuery
 Oracle XML DB support for the XQuery language includes SQL support and
 support for XQuery functions and operators.

## 5.7.1 Rule-Based and Cost-Based XQuery Optimization

Several competing optimization possibilities can exist for queries with XQuery expressions, depending on various factors such as the  $\mathtt{XMLType}$  storage model and indexing that are used.

By default, Oracle XML DB follows a prioritized set of rules to determine which of the possible optimizations should be used for any given query and context. This behavior is referred to as **rule-based** XML query rewrite.

Alternatively, Oracle XML DB can use **cost-based** XML query rewrite. In this mode, Oracle XML DB estimates the performance of the various XML optimization possibilities for a given query and chooses the combination that is expected to be most performant.

You can impose cost-based optimization for a given SQL statement by using the optimizer hint /\*+ COST\_XML\_QUERY\_REWRITE \*/.



# 5.7.2 XQuery Optimization over Relational Data

Use of SQL/XML functions XMLQuery and XMLTable over relational data can be optimized. Examples are included that use XQuery expressions that target XML data created on the fly using fn:collection together with URI scheme oradb.

Example 5-41 shows the optimization of XMLQuery over relational data accessed as XML. Example 5-42 shows the optimization of XMLTable in the same context.

#### Example 5-41 Optimization of XMLQuery over Relational Data

Here again is the query of Example 5-6, together with its execution plan, which shows that the query has been optimized.

PLAN\_TABLE\_OUTPUT

\_\_\_\_\_\_

Plan hash value: 3341889589

I	d	Operation	Name	Rows	Bytes	Cost (%C	PU)   Time	
      *	0   1   2   3   4	SELECT STATEMENT SORT AGGREGATE TABLE ACCESS BY INDEX ROWID INDEX UNIQUE SCAN SORT AGGREGATE	LOCATIONS	1   1   1   1	41     41     41     6	2 1 0	(0)   00:00:01   (0)   00:00:01 (0)   00:00:01	-       
	5   6	TABLE ACCESS FULL FAST DUAL	WAREHOUSES	9   1	54	2 2	(0)   00:00:01 (0)   00:00:01	İ

```
Predicate Information (identified by operation id):
------
3 - access("LOCATION_ID"=:B1)
```

18 rows selected.

#### Example 5-42 Optimization of XMLTable over Relational Data

Here again is the query of Example 5-7, together with its execution plan, which shows that the query has been optimized.



</Location>
</Warehouse>');

PLAN\_TABLE\_OUTPUT

-----

Plan hash value: 1021775546

I	id	Operation	Name	Rows	Bytes	Cost (%CP	U)  Time	
	0	SELECT STATEMENT		9	54	2 (	0)  00:00:01	.
	Τ	SORT AGGREGATE		I	41		0.1 00.00.01	-
ļ	2	TABLE ACCESS BY INDEX ROWID	LOCATIONS	i T	41	! `	0)   00:00:01	
*	3	INDEX UNIQUE SCAN	LOC_ID_PK	1		0 (	0)   00:00:01	-
	4	TABLE ACCESS FULL	WAREHOUSES	9	54	2 (	0)  00:00:01	-

Predicate Information (identified by operation  $\operatorname{id}$ ):

3 - access("LOCATION\_ID"=:B1)

16 rows selected.

# 5.7.3 XQuery Optimization over XML Schema-Based XMLType Data

Use of SQL/XML functions XMLQuery and XMLTable XML Schema-based data can be optimized. Examples are included that use XQuery expressions that target an XML schema-based XMLType table stored object-relationally.

Example 5-43 shows the optimization of XMLQuery over an XML schema-based XMLType table. Example 5-44 shows the optimization of XMLTable in the same context.

#### Example 5-43 Optimization of XMLQuery with Schema-Based XMLType Data

Here again is the query of Example 5-10, together with its execution plan, which shows that the query has been optimized.

PLAN\_TABLE\_OUTPUT

Plan hash value: 3611789148

Id	Operation	Name	Rows	Bytes	Cost (%0	CPU)  Time	 
0     1    * 2     3    * 4	SELECT STATEMENT SORT AGGREGATE FILTER FAST DUAL TABLE ACCESS FULL	       PURCHASEORDER	1 1 1	530   	5 2 5	(0)   00:00:0   	       01



22 rows selected.

#### Example 5-44 Optimization of XMLTable with Schema-Based XMLType Data

Here again is the query of Example 5-14, together with its execution plan, which shows that the query has been optimized. The XQuery result is never materialized. Instead, the underlying storage columns for the XML collection element LineItem are used to generate the overall result set.

```
SELECT lines.lineitem, lines.description, lines.partid,
      lines.unitprice, lines.quantity
 FROM purchaseorder,
      XMLTable('for $i in /PurchaseOrder/LineItems/LineItem
                where $i/@ItemNumber >= 8
                  and $i/Part/@UnitPrice > 50
                 and $i/Part/@Quantity > 2
               return $i'
               PASSING OBJECT_VALUE
               COLUMNS lineitem NUMBER PATH '@ItemNumber',
                      description VARCHAR2(30) PATH 'Description',
                      partid NUMBER PATH 'Part/@Id',
                                             PATH 'Part/@UnitPrice',
                      unitprice NUMBER
                      quantity NUMBER
                                            PATH 'Part/@Quantity') lines;
```

	Id	Operation	Name	Rows	Bytes	Cost (%CPU)	Time
	0 1	SELECT STATEMENT   NESTED LOOPS		   4	384	7 (0)	00:00:01
	2	NESTED LOOPS		4	384	7 (0)	00:00:01
*   *	3 4	TABLE ACCESS FULL INDEX RANGE SCAN	PURCHASEORDER SYS_C005478	1     17	37   	5 (0)  1 (0)	00:00:01   00:00:01
*	5	TABLE ACCESS BY INDEX ROWID	LINEITEM_TABLE	3	177	2 (0)	00:00:01

Predicate Information (identified by operation id):



25 rows selected.

This example traverses table oe.purchaseorder completely. The XMLTable expression is evaluated for each purchase-order document. It is more efficient to have the XMLTable expression, not the purchaseorder table, drive the SQL-query execution.

Although the XQuery expression has been rewritten to relational expressions, you can improve this optimization by creating an *index* on the underlying relational data — you can optimize this query in the same way that you would optimize a purely SQL query. That is always the case with XQuery in Oracle XML DB: the optimization techniques you use are the same as those you use in SQL.

The UnitPrice attribute of collection element LineItem is an appropriate index target. The governing XML schema specifies that an ordered collection table (OCT) is used to store the LineItem elements.

However, the name of this OCT was generated by Oracle XML DB when the XML purchase-order documents were decomposed as XML schema-based data. Instead of using table purchaseorder from sample database schema HR, you could manually create a new purchaseorder table (in a different database schema) with the same properties and same data, but having OCTs with user-friendly names.

Assuming that this has been done, the following statement creates the appropriate index:

```
CREATE INDEX unitprice_index ON lineitem_table("PART"."UNITPRICE");
```

With this index defined, the query of Example 5-14 results in the following execution plan, which shows that the XMLTable expression has driven the overall evaluation.

PLAN\_TABLE\_OUTPUT

Plan hash value: 1578014525

Id   Operation	Name	Rows	Bytes	Cost (%CPU)	Time
0   SELECT STATEMENT   1   NESTED LOOPS   2   INDEX UNIQUE SCAN   3   INDEX RANGE SCAN   4   INDEX UNIQUE SCAN	UNITPRICE_INDEX	3 3 3 20	624   624   624   564	8 (0)   8 (0)   5 (0)   2 (0)   0 (0)	00:00:01   00:00:01   00:00:01   00:00:01   00:00:01

 $\label{lem:predicate} \mbox{ Predicate Information (identified by operation id):}$ 

```
2 - access("SYS_NC00013$">50)
    filter("ITEMNUMBER">=8 AND "SYS_NC00012$">2)
3 - access("SYS_NC00013$">50)
4 - access("NESTED_TABLE_ID"="PURCHASEORDER"."SYS_NC0003400035$")
```

#### Note

- dynamic sampling used for this statement

23 rows selected.



# 5.7.4 Diagnosis of XQuery Optimization: XMLOptimizationCheck

You can examine an execution plan for your SQL code to determine whether XQuery optimization occurs or the plan is instead suboptimal.

In the latter case, a note such as the following appears immediately after the plan:

Unoptimized XML construct detected (enable XMLOptimizationCheck for more information)

You can also compare the execution plan output with the plan output that you see after you use the optimizer hint  $NO\_XML\_QUERY\_REWRITE$ , which turns off XQuery optimization.

In addition, you can use the SQL\*Plus SET command with system variable XMLOptimizationCheck to turn on an **XML diagnosability mode** for SQL:

SET XMLOptimizationCheck ON

When this mode is on, the plan of execution is automatically checked for XQuery optimization, and if the plan is suboptimal then an error is raised and diagnostic information is written to the trace file indicating which operators are not rewritten.

The main advantage of XMLOptimizationCheck is that it brings a potential problem to your attention immediately. For this reason, you might find it preferable to leave it turned on at all times. Then, if an application change or a database change for some reason prevents a SQL operation from rewriting, execution is stopped instead of performance being negatively impacted without your being aware of the cause.

#### Note:

- XMLOptimizationCheck was not available prior to Oracle Database 11g Release 2 (11.2.0.2). Users of older releases directly manipulated event 19201 to obtain XQuery optimization information.
- OCI users can use OCIStmtExecute or event 19201. Only the event is available to Java users.

#### See Also:

Turning Off Use of XMLIndex for information about optimizer hint NO\_XML\_QUERY\_REWRITE



# 5.7.5 Performance Improvement for fn:doc and fn:collection on Repository Data

You can improve the performance of fn:doc and fn:collection queries over the Oracle XML DB Repository, by linking them to the actual database tables that hold the repository data being queried.

In Oracle XML DB, you can use XQuery functions fn:doc and fn:collection to reference documents and collections in Oracle XML DB Repository.

When repository XML data is stored object-relationally or as binary XML, queries that use fn:doc and fn:collection are evaluated functionally; that is, they are not optimized to access the underlying storage tables directly. To improve the performance of such queries, you must link them to the actual database tables that hold the repository data being queried. You can do that in either of the following ways:

- Join view RESOURCE\_VIEW with the XMLType table that holds the data, and then use the Oracle SQL functions equals\_path and under\_path instead of the XQuery functions fn:doc and fn:collection, respectively. These SQL functions reference repository resources in a performant way.
- Use the Oracle XQuery extension-expression pragma ora:defaultTable.

Both methods have the same effect. Oracle recommends that you use the ora:defaultTable pragma because it lets you continue to use the XQuery standard functions fn:doc and fn:collection and it simplifies your code.

These two methods are illustrated in the examples of this section.

- Use EQUALS\_PATH and UNDER\_PATH Instead of fn:doc and fn:collection
   Using Oracle SQL functions equals\_path and under\_path instead of XQuery
   functions fn:doc and fn:collection can improve performance.
- Using Oracle XQuery Pragma ora:defaultTable
   You can use Oracle XQuery extension-expression pragma ora:defaultTable to
   improve the performance of querying repository data.

# 5.7.5.1 Use EQUALS\_PATH and UNDER\_PATH Instead of fn:doc and fn:collection

Using Oracle SQL functions equals\_path and under\_path instead of XQuery functions fn:doc and fn:collection can improve performance.

SQL function equals\_path references a resource located at a specified repository path, and SQL function under\_path references a resource located under a specified repository path. Example 5-45 and Example 5-46 illustrate this for functions fn:doc and equals\_path; functions fn:collection and under\_path are treated similarly.

#### Example 5-45 Unoptimized Repository Query Using fn:doc



```
return $val' RETURNING CONTENT)
FROM DUAL;
```

#### Example 5-46 Optimized Repository Query Using EQUALS\_PATH

## 5.7.5.2 Using Oracle XQuery Pragma ora:defaultTable

You can use Oracle XQuery extension-expression pragma ora:defaultTable to improve the performance of querying repository data.

Oracle XQuery extension-expression pragma ora:defaultTable lets you specify the default table used to store repository data that you query. The query is rewritten to automatically join the default table to view RESOURCE\_VIEW and use Oracle SQL functions equals\_path and under\_path instead of XQuery functions fn:doc and fn:collection, respectively. The effect is thus the same as coding the query manually to use an explicit join and equals\_path or under\_path. Example 5-47 illustrates this; the query is rewritten automatically to what is shown in Example 5-46.

For clarity of scope Oracle recommends that you apply pragma ora:defaultTable directly to the relevant document or collection expression, fn:doc or fn:collection, rather than to a larger expression.

#### Example 5-47 Repository Query Using Oracle XQuery Pragma ora:defaultTable

<sup>&</sup>lt;sup>1</sup> XQuery function fn:data is used here to atomize its argument, in this case returning the XMLRef node's typed atomic value.

6

# Indexes for XMLType Data

You can create indexes on your XML data, to focus on particular parts of it that you query often and thus improve performance. There are various ways that you can index XMLType data, whether it is XML schema-based or non-schema-based, and regardless of the XMLType storage model you use.



The execution plans shown here are for illustration only. If you run the examples presented here in your environment then your execution plans might not be identical.

- Oracle XML DB Tasks Involving Indexes
   Common tasks involving indexes for XML data are described.
- Overview of Indexing XMLType Data
   Database indexes improve performance by providing faster access to table data.
   The use of indexes is particularly recommended for online transaction processing (OLTP) environments involving few updates.
- XMLIndex
- Indexing XML Data for Full-Text Queries
   When you need full-text search over XML data, Oracle recommends that you store your XMLType data as binary XML and you use XQuery Full Text (XQFT). You use an XML search index for this. This is the topic of this section.
- Indexing XMLType Data Stored Object-Relationally
   You can effectively index XMLType data that is stored object-relationally by creating
   B-tree indexes on the underlying database columns that correspond to XML
   nodes.

#### See Also:

- Oracle Database Concepts for an overview of indexing
- Oracle Database Development Guide for information about using indexes in application development

# 6.1 Oracle XML DB Tasks Involving Indexes

Common tasks involving indexes for XML data are described.

Table 6-1 identifies the documentation for some basic user tasks involving indexes for XML data.

Table 6-1 Basic XML Indexing Tasks

For information about how to	See
Choose an indexing approach	Overview of Indexing XMLType Data
Index XMLType data stored object-relationally	Indexing XMLType Data Stored Object- Relationally, Guideline: Create indexes on ordered collection tables
Create, drop, or rename an XMLIndex index	Example 6-7, Example 6-9
Obtain the name of an XMLIndex index for a given table or column	Example 6-8
Determine whether a given XMLIndex index is used in evaluating a query	How to Tell Whether XMLIndex is Used
Turn off use of an XMLIndex index	Turning Off Use of XMLIndex

Table 6-2 identifies the documentation for some user tasks involving XMLIndex indexes that have a *structured* component.

Table 6-2 Tasks Involving XMLIndex Indexes with a Structured Component

For information about how to	See
Create an XMLIndex index with a structured component	Example 6-23, Example 6-21
Drop the structured component of an XMLIndex index (drop all structure groups)	Example 6-25
Ensure data type correspondence between a query and an ${\tt XMLIndex}$ index with a structured component	Data Type Considerations for XMLIndex Structured Component
Create a B-tree index on a content table of an XMLIndex structured component	Example 6-26
Create an Oracle Text CONTEXT index on a content table of an XMLIndex structured component	Example 6-46

Table 6-3 identifies the documentation for some user tasks involving XMLIndex indexes that have an *unstructured* component.

Table 6-3 Tasks Involving XMLIndex Indexes with an Unstructured Component

For information about how to	See
Create an XMLIndex index with an unstructured component	Example 6-10, Example 6-12, Example 6-33, Example 6-35, Example 6-36, Example 6-37, Example 6-38
Drop the unstructured component of an XMLIndex index (drop the path table)	Example 6-13
Name the path table when creating an XMLIndex index	Example 6-10
Specify storage options when creating an XMLIndex index	Example 6-12
Show all existing secondary indexes on an XMLIndex path table	Example 6-14, Example 6-20



Table 6-3 (Cont.) Tasks Involving XMLIndex Indexes with an Unstructured Component

For information about how to	See
Obtain the name of a path table for an XMLIndex index	Example 6-11
Obtain the name of an XMLIndex index with an unstructured component, given its path table	Example 6-28
Create a secondary index on an XMLIndex path table	Using XMLIndex with an Unstructured Component
Obtain information about all of the secondary indexes on an XMLIndex path table	Example 6-20
Create a function-based index on a path-table VALUE column	Example 6-15
Create a numeric index on a path-table VALUE column	Example 6-17
Create a date index on a path-table VALUE column	Example 6-18
Create an Oracle Text CONTEXT index on a path-table VALUE column	Example 6-19
Exclude or include particular XPath expressions from use by an XMLIndex index	XMLIndex Path Subsetting: Specifying the Paths You Want to Index
Specify namespace prefixes for XPath expressions used for XMLIndex	XMLIndex Path Subsetting: Specifying the Paths You Want to Index
Exclude or include particular XPath expressions from use by an XMLIndex index	XMLIndex Path Subsetting: Specifying the Paths You Want to Index
Specify namespace prefixes for XPath expressions used for XMLIndex	XMLIndex Path Subsetting: Specifying the Paths You Want to Index

Table 6-4 identifies the documentation for some other user tasks involving  ${\tt XMLIndex}$  indexes.

Table 6-4 Miscellaneous Tasks Involving XMLIndex Indexes

For information about how to	See
Specify that an XMLIndex index should be created and maintained using parallel processes	XMLIndex Partitioning and Parallelism
Change the parallelism of an XMLIndex path table to tune index performance	XMLIndex Partitioning and Parallelism
Schedule maintenance for an XMLIndex index	Asynchronous (Deferred) Maintenance of XMLIndex Indexes
Manually synchronize an XMLIndex index and its base table	Asynchronous (Deferred) Maintenance of XMLIndex Indexes
Collect statistics on a table or index for the cost-based optimizer	Example 6-40
Create an XML search index	Example 6-41
Use an XML search index for full-text search of XML data stored as binary XML	Example 6-42
Show whether an XML search index is used in a query	Example 6-43
Create an Oracle Text CONTEXT index on a content table of an XMLIndex structured component	Example 6-46



# 6.2 Overview of Indexing XMLType Data

Database indexes improve performance by providing faster access to table data. The use of indexes is particularly recommended for online transaction processing (OLTP) environments involving few updates.

The principle way you index XML data is using XMLIndex. You can also use Oracle Text CONTEXT indexes to supplement the use of XMLIndex.

Here is a summary decision tree, as the place to start when choosing ways to index XMLType data stored as binary  $XML:^1$ 

# If your XML data contains islands of structured, predictable data, and your queries are known

Use XMLIndex with a *structured component* to index the structured islands (even if the data surrounding these islands is unstructured).

A structured index component reflects the queries you use. You can change this set of known queries over time, provided you update the index definition accordingly. See XMLIndex Structured Component.

#### If you need to query full-text content within your XML data

Use an XML search index. See Oracle Text Indexes for XML Data.

#### If you need to support ad-hoc XML queries that involve predicates

Use XMLIndex with an *unstructured component* – see XMLIndex Unstructured Component.

Does your XML data contain *islands of data that is highly structured and predictable* (even if the surrounding data might be unstructured)?

- Yes. Use XMLIndex with a structured component to index the islands. See "XMLIndex Structured Component" on page 6-12.
- No. Do you need to query full-text content within your XML data?
  - Yes. Use an XML search index. See "Oracle Text Indexes for XML Data" on page 6-5.
  - No. Do you need to support ad-hoc XML queries that involve predicates? If so, use XMLIndex with an unstructured component see "XMLIndex Unstructured Component" on page 6-16. If not, do not bother to index your XML data.
- XMLIndex Addresses the Fine-Grained Structure of XML Data You can create indexes on one or more relational columns, or on a functional expression. XML data, however, has its own, fine-grained structure, which is not necessarily reflected in the structure of the database tables used to store it. For this reason, effectively indexing XML data can be a bit different from indexing most database data.

For XMLType data stored object-relationally, see Indexing XMLType Data Stored Object-Relationally. If your data is highly structured throughout, or your queries are not known at index creation time, then this approach might be appropriate.



#### Oracle Text Indexes for XML Data

Besides accessing XML nodes such as elements and attributes, it is sometimes important to provide fast access to particular passages within XML text nodes. To query such content within XML data, you can use XQuery Full Text (XQFT) or Oracle-specific full-text constructs.

#### Optimization Chooses the Right Indexes to Use

Which indexes are used when more than one might apply in a given case? Cost-based optimization determines the index or indexes to use, so that performance is maximized.

Function-Based Indexes Are Deprecated for XMLType

In releases prior to Oracle Database 11g Release 2 (11.2), function-based indexes were sometimes appropriate for use with XMLType data when an XPath expression targeted a singleton node. Oracle recommends that you use the structured component of XMLIndex instead.

### 6.2.1 XMLIndex Addresses the Fine-Grained Structure of XML Data

You can create indexes on one or more relational columns, or on a functional expression. XML data, however, has its own, fine-grained structure, which is not necessarily reflected in the structure of the database tables used to store it. For this reason, effectively indexing XML data can be a bit different from indexing most database data.

For object-relational XMLType storage, XML objects such as elements and attributes correspond to object-relational columns and tables. Creating *B-tree indexes* on those columns and tables thus provides an excellent way to effectively index the corresponding XML objects. Here, the storage model directly reflects the fine-grained structure of the XML data, so there is no special problem for indexing structured XML data. See Indexing XMLType Data Stored Object-Relationally.

In object-relational XMLType storage, an XML document is broken up and stored object-relationally, but you can choose to store one or more of its XML fragments as embedded CLOB instances. A typical use case for this is mapping an XML-schema complexType or a complex element to CLOB storage, because you generally access the entire fragment as a unit.

But such an embedded CLOB fragment also acts as an opaque unit when it comes to indexing; its parts are not indexed individually.

Similarly, standard indexing is not helpful for binary XML storage. In both of these cases, indexing a database column using the standard sorts of index (B-tree, bitmap) is generally not helpful for accessing particular parts of an XML document.

XMLIndex provides a general, XML-specific index that indexes the internal structure of XML data. One of its main purposes is to overcome the indexing limitation presented by binary XML storage.

An XMLIndex index with an unstructured component indexes the XML tags of
your document and identifies document fragments based on XPath expressions
that target them. It can also index scalar node values, to provide quick lookup
based on individual values or ranges of values. It also records document hierarchy
information for each node it indexes: relations parent—child, ancestor—descendant,
and sibling. This index component is particularly useful for queries that extract
XML fragments from documents that have little or variable structure.



An XMLIndex index with a structured component indexes highly structured and
predictable parts of XML data that is nevertheless for the most part unstructured.
This index component is particularly useful for queries that project and use such
islands of structured content.

#### **Related Topics**

XMLIndex

### 6.2.2 Oracle Text Indexes for XML Data

Besides accessing XML nodes such as elements and attributes, it is sometimes important to provide fast access to particular passages within XML text nodes. To query such content within XML data, you can use XQuery Full Text (XQFT) or Oracle-specific full-text constructs.

In either case, you create an appropriate Oracle Text (full-text) index. In the case of XQFT, the index is an XML search index, which is designed specifically for use with XMLType data stored as binary XML.

Full-text indexing is particularly useful for *document-centric* applications, which often contain a mix of XML elements and text-node content. Full-text searching can often be made more powerful, more focused, by combining it with structural XML searching, that is, by restricting it to certain parts of an XML document, which are identified by using XPath expressions.

#### **Related Topics**

• Indexing XML Data for Full-Text Queries When you need full-text search over XML data, Oracle recommends that you store your XMLType data as binary XML and you use XQuery Full Text (XQFT). You use an XML search index for this. This is the topic of this section.

## 6.2.3 Optimization Chooses the Right Indexes to Use

Which indexes are used when more than one might apply in a given case? Costbased optimization determines the index or indexes to use, so that performance is maximized.

Oracle Text indexes apply only to text, which for XML data means text nodes. Whenever text nodes are targeted and a corresponding Oracle Text index is defined, it is used. If other indexes are also appropriate in a particular context, then they can be used as well. However, just because an index is defined and it might appear applicable in a given situation does not mean that it will be used. It will not be used if the cost-based optimizer deems that its use is not cost-effective.

# 6.2.4 Function-Based Indexes Are Deprecated for XMLType

In releases prior to Oracle Database 11g Release 2 (11.2), function-based indexes were sometimes appropriate for use with  $\mathtt{XMLType}$  data when an XPath expression targeted a singleton node. Oracle recommends that you use the structured component of  $\mathtt{XMLIndex}$  instead.

Doing so obviates the overhead associated with maintenance operations on functionbased indexes, and it increases the number of situations in which the optimizer can



correctly select the index. No changes to existing DML statements are required as a result of this.

It continues to be the case that, for object-relational storage of XMLType, defining an index for (deprecated) Oracle SQL function extractValue often leads, by XPath rewrite, to automatic creation of B-tree indexes on the underlying objects (instead of a function-based index on extractValue). The XPath target here must be a *singleton* element or attribute. A similar shortcut exists for XMLCast applied to XMLQuery.

#### **Related Topics**

Indexing XMLType Data Stored Object-Relationally

You can effectively index XMLType data that is stored object-relationally by creating B-tree indexes on the underlying database columns that correspond to XML nodes.

XMLIndex Structured Component

You create and use the structured component of an XMLIndex index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

## 6.3 XMLIndex

Advantages of XMLIndex

B-tree indexes can be used advantageously with object-relational XMLType storage — they provide sharp focus by targeting the underlying objects directly. They are generally ineffective, however, in addressing the detailed structure (elements and attributes) of an XML document stored using binary XML. That is the special domain of XMLIndex.

Structured and Unstructured XMLIndex Components

XMLIndex is used to index XML data that is unstructured or semi-structured, that is, data that generally has little or no fixed structure. It applies to XMLType data that is stored as binary XML.

XMLIndex Structured Component

You create and use the structured component of an XMLIndex index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

• XMLIndex Unstructured Component

Unlike a B-tree index, which you define for a specific database column that represents an individual XML element or attribute, or the XMLIndex structured component, which applies to specific, structured document parts, the unstructured component of an XMLIndex index is, by default, very general.

- Creating, Dropping, Altering, and Examining an XMLIndex Index
   Basic operations on an XMLIndex index include creating it, dropping it, altering it, and examining it. Examples are presented.
- Using XMLIndex with an Unstructured Component
  You can perform various operations on an XMLIndex index that has an
  unstructured component, including manipulating the path table and the secondary
  indexes of that component.
- Use of XMLIndex with a Structured Component
   An XMLIndex structured component indexes specific islands of structure in your XML data.



#### How to Tell Whether XMLIndex is Used

To know whether a particular XMLIndex index has been used in resolving a query, you can examine an execution plan for the query.

#### Turning Off Use of XMLIndex

You can turn off the use of XMLIndex by using optimizer hint: /\*+
NO XML QUERY REWRITE \*/ or optimizer hint /\*+ NO XMLINDEX REWRITE \*/.

- XMLIndex Path Subsetting: Specifying the Paths You Want to Index
  If you know which XPath expressions you are most likely to query then you can
  narrow the focus of XMLIndex indexing and thus improve performance.
- Guidelines for Using XMLIndex with an Unstructured Component
   There are several guidelines that can help you use XMLIndex with an unstructured component.
- Guidelines for Using XMLIndex with a Structured Component
   There are several guidelines that can help you use XMLIndex with a structured component.

#### XMLIndex Partitioning and Parallelism

If you partition an XMLType table, or a table with an XMLType column, using range, list, or hash partitioning, you can also create an XMLIndex index on the table. You can optionally ensure that index creation and maintenance are carried out in parallel.

- Asynchronous (Deferred) Maintenance of XMLIndex Indexes
  You can defer the cost of maintaining an XMLIndex index that has *only* an *unstructured* component, performing maintenance only at commit time or when
  database load is reduced. This can improve DML performance, and it can enable
  bulk loading of unsynchronized index rows when an index is synchronized.
- Collecting Statistics on XMLIndex Objects for the Cost-Based Optimizer
   The Oracle Database cost-based optimizer determines how to most cost effectively evaluate a given query, including which indexes, if any, to use. For it
   to be able to do this accurately, you must collect statistics on various database
   objects.
- Data Dictionary Static Public Views Related to XMLIndex
   Information about the standard database indexes is available in static
   public views USER\_INDEXES, ALL\_INDEXES, and DBA\_INDEXES. Similar information
   about XMLIndex indexes is available in static public views USER\_XML\_INDEXES,
   ALL\_XML\_INDEXES, and DBA\_XML\_INDEXES.
- PARAMETERS Clause for CREATE INDEX and ALTER INDEX
   Creation or modification of an XMLIndex index often involves the use of a
   PARAMETERS clause with SQL statement CREATE INDEX or ALTER INDEX. You can
   use it to specify index characteristics in detail.

# 6.3.1 Advantages of XMLIndex

B-tree indexes can be used advantageously with object-relational XMLType storage — they provide sharp focus by targeting the underlying objects directly. They are generally ineffective, however, in addressing the detailed structure (elements and attributes) of an XML document stored using binary XML. That is the special domain of XMLIndex.



XMLIndex is a *domain* index; it is designed specifically for the domain of XML data. It is a *logical* index. An XMLIndex index can be used for SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast.

XMLIndex presents the following advantages over other indexing methods:

- An XMLIndex index is effective in any part of a query; it is not limited to use in a
  WHERE clause. This is not the case for any of the other kinds of indexes you might
  use with XML data.
- An XMLIndex index with an unstructured component can speed access to both SELECT list data and FROM list data, making it useful for XML fragment extraction, in particular. Function-based indexes, which are deprecated, cannot be used to extract document fragments.
- You can use an XMLIndex index with either XML schema-based or non-schemabased XMLType data stored as binary XML. B-tree indexing is appropriate only for XML schema-based data that is stored object-relationally.
- You can use an XMLIndex index for searches with XPath expressions that target collections, that is, nodes that occur multiple times within a document. This is not the case for function-based indexes.
- You need no prior knowledge of the XPath expressions that might be used in queries. The unstructured component of an XMLIndex index can be completely general. This is not the case for function-based indexes.
- If you have prior knowledge of the XPath expressions to be used in queries, then you can improve performance either by using a *structured* XMLIndex component that targets fixed, structured islands of data that are queried often.
- XMLIndex indexing both index creation and index maintenance can be carried
  out in parallel, using multiple database processes. This is not the case for functionbased indexes, which are deprecated.

# 6.3.2 Structured and Unstructured XMLIndex Components

XMLIndex is used to index XML data that is unstructured or semi-structured, that is, data that generally has little or no fixed structure. It applies to XMLType data that is stored as binary XML.

Semi-structured XML data can sometimes nevertheless contain islands of predictable, structured data. An XMLIndex index can therefore have two components: a **structured component**, used to index such islands, and an **unstructured component**, used to index data that has little or variable structure.

A structured component can help with queries that project and use islands of structured content. A typical example is a free-form specification with fixed fields author, date, and title. An unstructured component can help with queries that extract XML fragments. Either component can be omitted from a given XMLIndex index.

Unlike a structured component, an unstructured component is general and relatively untargeted. It is appropriate for general indexing of document-centric XML data. A typical example is an XML web document or a book chapter.

You can create an XMLIndex index with both structured and unstructured components. A typical use case is supporting queries that extract an XML fragment from a document whenever some structured data is also present. The unstructured component is used for the fragment extraction. The structured component is used for



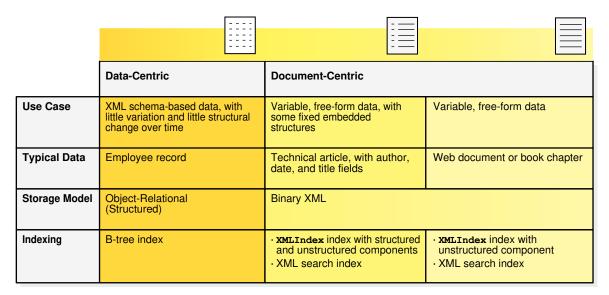
a query predicate that checks for the structured data (for example, in the SQL WHERE clause).

Though you can restrict an unstructured component to apply only to certain XPath subsets, its path table indexes node content that can be of different scalar types, which can require you to create multiple secondary indexes on the VALUE column to deal with the different data types — see Secondary Indexes on Column VALUE. Using an unstructured component alone can also lead to inefficiencies involving multiple probes and self-joins of its path table, for queries that project structured islands.

On the other hand, a structured component is not suited for queries that involve little structure or queries that extract XML fragments. Use a structured component to index structured islands of data; use an unstructured component to index data that has little structure.

The last row indicates the applicability of XMLIndex for different XML data use cases. It shows that XMLIndex is appropriate for semi-structured XML data, however it is stored (last three columns). And an XMLIndex index with a structured component is useful for document-centric data that contains structured islands (fourth column).

Figure 6-1 XML Use Cases and XML Indexing



### **Related Topics**

### XMLIndex Structured Component

You create and use the structured component of an XMLIndex index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

#### XMLIndex Unstructured Component

Unlike a B-tree index, which you define for a specific database column that represents an individual XML element or attribute, or the XMLIndex structured component, which applies to specific, structured document parts, the unstructured component of an XMLIndex index is, by default, very general.



### See Also:

Advantages of XMLIndex for a summary of the advantages provided by each XMLIndex component type

# 6.3.3 XMLIndex Structured Component

You create and use the structured component of an XMLIndex index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

A structured XMLIndex component organizes such islands in a *relational* format. In this it is similar to SQL/XML *function* XMLTable, and the syntax you use to define the structured component reflects this similarity. The relational tables used to store the indexing data are data-type aware, and each column can be of a different scalar data type.

You can thus think of the act of creating the structured component of an XMLIndex index as *decomposing* a structured portion of your XML data into relational format. This differs from the object-relational storage model of XMLType in these ways:

- A structured index component explicitly decomposes particular portions of your data, which you specify — portions that you commonly query. Object-relational XMLType storage involves automatic decomposition of an entire XMLType table or column.
- The structured component of an XMLIndex index applies to both XML schemabased and non-schema-based data. Object-relational XMLType storage applies only to data that is based on an XML schema.
- The decomposed data for a structured XMLIndex component is stored in addition to the XMLType data, as an index, rather than being the storage model for the XMLType data itself.
- For a structured XMLIndex component, the same data can be projected multiple times, as columns of different data type.

The index content tables used for the structured component of an XMLIndex index are part of the index, but because they are normal relational tables you can, in turn, *index* them using any standard relational indexes, including indexes that satisfy primary-key and foreign-key constraints. You can also index them using domain indexes, such as an Oracle Text CONTEXT index.

Another way to look at the structured component of an XMLIndex index sees that it acts as a *generalized function-based index*. A function-based index is similar to a structured XMLIndex component that has only one relational column.

If you find that for a particular application you are creating multiple function-based indexes, then consider using an XMLIndex index with a structured component instead. Create also B-tree indexes on the columns of the structured index component.



### Note:

 Queries that use SQL/XML function XMLTable can typically be automatically rewritten to use the relational indexing tables of an XMLIndex structured component. In particular, SQL ORDER BY, GROUP BY, and window constructs operating on columns of an XMLTable virtual table are rewritten to the same constructs operating on the real columns of the relational indexing tables of the structured XMLIndex component.

The relational tables used for XMLIndex structured indexing also contain some internal, system-defined columns. These internal columns might change in the future, so do not write code that depends on any assumptions about their existence or contents.

Queries that use Oracle SQL function XMLSequence within a SQL
 TABLE collection expression, that is, TABLE (XMLSequence(...)), are
 not rewritten to use the indexing tables of an XMLIndex structured
 component. Oracle SQL function XMLSequence is deprecated in Oracle
 Database 11g Release 2; use standard SQL/XML function XMLTable
 instead.

See *Oracle Database SQL Language Reference* for information about the SQL TABLE collection expression.

- Ignore the Index Content Tables; They Are Transparent
   Although the index content tables of an XMLIndex structured component are
   normal relational tables, they are also read-only: you cannot add or drop their
   columns or modify (insert, update, or delete) their rows.
- Data Type Considerations for XMLIndex Structured Component
   The relational tables that are used for an XMLIndex structured component use SQL data types. XQuery expressions that are used in queries use XML data types (XML Schema data types and XQuery data types).
- Exchange Partitioning and XMLIndex In exchange partitioning, you exchange a table with a partition of another table. The first table must have the same structure as the partition of the second table, with which it is to be exchanged. The two tables must also be similar with respect to indexing with an XMLIndex index.

### **Related Topics**

- Use of XMLIndex with a Structured Component
   An XMLIndex structured component indexes specific islands of structure in your XML data.
- SQL/XML Functions XMLQUERY, XMLTABLE, XMLExists, and XMLCast SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast are defined by the SQL/XML standard as a general interface between the SQL and XQuery languages.
- Function-Based Indexes Are Deprecated for XMLType
   In releases prior to Oracle Database 11g Release 2 (11.2), function-based indexes
   were sometimes appropriate for use with XMLType data when an XPath expression



targeted a singleton node. Oracle recommends that you use the structured component of XMLIndex instead.

### 6.3.3.1 Ignore the Index Content Tables; They Are Transparent

Although the index content tables of an XMLIndex structured component are normal relational tables, they are also *read-only*: you cannot add or drop their columns or modify (insert, update, or delete) their rows.

You can thus generally ignore the relational index content tables. You cannot access them, other than to DESCRIBE them and create (secondary) indexes on them. You need never explicitly gather statistics on them. You need only collect statistics on the XMLIndex index itself or the base table on which the XMLIndex index is defined; statistics are collected and maintained on the index content tables transparently.

### **Related Topics**

Collecting Statistics on XMLIndex Objects for the Cost-Based Optimizer
 The Oracle Database cost-based optimizer determines how to most cost effectively evaluate a given query, including which indexes, if any, to use. For it
 to be able to do this accurately, you must collect statistics on various database
 objects.

# 6.3.3.2 Data Type Considerations for XMLIndex Structured Component

The relational tables that are used for an XMLIndex structured component use SQL data types. XQuery expressions that are used in queries use XML data types (XML Schema data types and XQuery data types).

This is a general rule. For an XMLIndex index with structured component to apply to a query, the data types must correspond. Table 6-5 shows the data-type correspondences.

Table 6-5 XML and SQL Data Type Correspondence for XMLIndex

XML Data Type	SQL Data Type
xs:decimal	INTEGER or NUMBER
xs:double	BINARY_DOUBLE
xs:float	BINARY_FLOAT
xs:date	DATE, TIMESTAMP WITH TIMEZONE
xs:dateTime	TIMESTAMP, TIMESTAMP WITH TIMEZONE
xs:dayTimeDuration	INTERVAL DAY TO SECOND
xs:yearMonthDuration	INTERVAL YEAR TO MONTH



### Note:

If the XML data type is xs:date or xs:dateTime, and if you know that the data that you will query and for which you are creating an index will not contain a time-zone component, then you can increase performance by using SQL data type DATE or TIMESTAMP. If the data might contain a time-zone component, then you must use SQL data type TIMESTAMP WITH TIMEZONE.

If the XML and SQL data types involved do not have a built-in one-to-one correspondence, then you must make them correspond (according to Table 6-5), in order for the index to be picked up for your query. There are two ways you can do this:

- Make the index correspond to the query Define (or redefine) the column in
  the structured index component, so that it corresponds to the XML data type. For
  example, if a query that you want to index uses the XML data type xs:double,
  then define the index to use the corresponding SQL data type, BINARY\_DOUBLE.
- Make the query correspond to the index In your query, explicitly cast the
  relevant parts of an XQuery expression to data types that correspond to the SQL
  data types used in the index content table.

Example 6-1 and Example 6-2 show how you can cast an XQuery expression in your query to match the SQL data type used in the index content table.

Notice that the number 25 plays a different role in these two examples, even though in both cases it is the purchase-order item number. In Example 6-1, 25 is a SQL number of data type INTEGER; in Example 6-2, 25 is an XQuery number of data type xs:decimal.

In Example 6-1, the XMLQuery result is cast to SQL type INTEGER, which is compared with the SQL value 25. In Example 6-2, the value of attribute ItemNumber is cast (in XQuery) to the XML data type xs:decimal, which is compared with the XQuery value 25 and which corresponds to the SQL data type (INTEGER) used for the index. There are thus two different kinds of data-type conversion in these examples, but they both convert query data to make it type-compatible with the index content table.

### See Also:

Use DBMS\_XMLSCHEMA to Map XML Schema Data Types to SQL Data Types for information about the built-in correspondence between XML Schema data types and SQL data types

### Example 6-1 Making Query Data Compatible with Index Data – SQL Cast



### Example 6-2 Making Query Data Compatible with Index Data – XQuery Cast

## 6.3.3.3 Exchange Partitioning and XMLIndex

In exchange partitioning, you exchange a table with a partition of another table. The first table must have the same structure as the partition of the second table, with which it is to be exchanged. The two tables must also be similar with respect to indexing with an XMLIndex index.

One of the following must be true:

- Neither table has an XMLIndex index.
- Both have an XMLIndex index, and one of the following is true:
  - Neither index has a structured component.
  - Both indexes have a structured component.

If none of those conditions holds then you cannot perform exchange partitioning.

If both tables have an XMLIndex index with a structured component then in the general case you must perform some preprocessing before invoking ALTER TABLE EXCHANGE PARTITION, and you must perform some postprocessing after invoking it. Otherwise, the exchange-partition operation raises an error.

You use PL/SQL procedures exchangePreProc and exchangePostProc in package DBMS\_XMLSTORAGE\_MANAGE to perform this preprocessing and postprocessing, as illustrated in Example 6-3. Each of the XMLType tables there, table and exchange\_table, has an XMLIndex index that has a structured component.

In the special case of *reference*-partitioned tables there are foreign-key constraints involved, so things are a bit more complex. In this case, you use PL/SQL procedure refPartitionExchangeIn Or refPartitionExchangeOut, to load data into (exchange-in) or out of (exchange-out) the partitioned tables, respectively.

Example 6-4 illustrates this, loading data from exchange tables parent\_ex and child\_ex into base tables parent and child. Example 6-5 shows the table and index definitions.

### See Also:

- Oracle Database SQL Language Reference
- Oracle Database Data Cartridge Developer's Guide for general information about using ALTER TABLE EXCHANGE PARTITION with tables that have domain indexes (XMLIndex is a domain index)
- Oracle Database PL/SQL Packages and Types Reference for information about procedures exchangePreProc, exchangePostProc, refPartitionExchangeIn, and refPartitionExchangeIOut in package DBMS\_XMLSTORAGE\_MANAGE.



# **Example 6-3** Exchange-Partitioning Tables That Have an XMLIndex Structured Component

```
EXEC DBMS_XMLSTORAGE_MANAGE.exchangePreProc(USER, 'table');
EXEC DBMS_XMLSTORAGE_MANAGE.exchangePreProc(USER, 'exchange_table');

ALTER TABLE table EXCHANGE PARTITION partition WITH TABLE exchange_table
   WITH VALIDATION UPDATE INDEXES;

EXEC DBMS_XMLSTORAGE_MANAGE.exchangePostProc(USER, 'table');
EXEC DBMS_XMLSTORAGE_MANAGE.exchangePostProc(USER, 'exchange_table');
```

# **Example 6-4** Exchange-Partitioning Reference-Partitioned Tables That Use XMLIndex

In this example:

- parent is the partitioned base table.
- **child** is a *reference*-partitioned child table with XMLType column xcol.
- **child\_xidx** is an XMLIndex index with a structured component, defined on column xcol of table child. This is a local index, which is partitioned.
- parent\_ex is the exchange table for base table parent.
- child\_ex is the exchange table for child table child.
- **child\_xidx\_ex** is an XMLIndex index with a structured component, defined on column xcol of table child\_ex. This is *not* a local index (unlike the case for index child xidx).
- USER is the owner (database schema) of the tables.

This example performs an exchange-in operation, loading data from the exchange tables into the partitioned tables. An exchange-out operations, which loads data out of the partitioned tables into the exchange tables, would look the same, except that it would use procedure refPartitionExchangeOut instead. The procedure is passed the relevant tables and the necessary ALTER TABLE ... EXCHANGE statements.

#### Example 6-5 Data Used in Example of Exchange-Partitioning for Reference-Partitioned Tables

This example shows the creation operations for the tables and indexes used in Example 6-4.

```
CREATE TABLE parent (id NUMBER PRIMARY KEY, created DATE)

PARTITION BY RANGE (created)
```



```
(PARTITION part_2014 VALUES LESS THAN (to_date('01-jan-2015', 'dd-mon-yyyy')),
PARTITION part_all VALUES LESS THAN (maxvalue));
            CREATE TABLE child (parent id NUMBER NOT NULL,
                                xcol
                                           XMLTvpe,
                                 CONSTRAINT child_tab_fk FOREIGN KEY (parent_id)
                                                         REFERENCES parent (id)
                                ENABLE VALIDATE)
              XMLType COLUMN xcol STORE AS BINARY XML PARTITION BY REFERENCE
            (child tab fk);
            CREATE INDEX child_xidx ON child p (xcol) INDEXTYPE IS XDB.XMLIndex
              PARAMETERS ('XMLTable po_index_tab ''purchaseorder''
                           COLUMNS pid NUMBER(4) PATH ''@id''') LOCAL ;
            CREATE TABLE parent ex (id
                                             NUMBER PRIMARY KEY,
                                     created DATE);
            CREATE TABLE child_ex (parent_id NUMBER NOT NULL,
                                   xcol
                                              XMLType,
                                   CONSTRAINT child_tab_fk1 FOREIGN KEY (parent_id)
                                                              REFERENCES parent ex(id)
                                   ENABLE VALIDATE)
              XMLType COLUMN xcol STORE AS BINARY XML;
            CREATE INDEX child_ex_xidx ON child_ex p (xcol) INDEXTYPE IS
            XDB.XMLIndex
              PARAMETERS ('XMLTable po index tab ex ''purchaseorder''
                           COLUMNS pid NUMBER(4) PATH ''@id''');
```

# 6.3.4 XMLIndex Unstructured Component

Unlike a B-tree index, which you define for a specific database column that represents an individual XML element or attribute, or the XMLIndex structured component, which applies to specific, structured document parts, the unstructured component of an XMLIndex index is, by default, very general.

Unless you specify a more narrow focus by detailing specific XPath expressions to use or not to use in indexing, an unstructured XMLIndex component applies to *all possible XPath expressions* for your XML data.

The unstructured component of an XMLIndex index has three logical parts:

- A path index This indexes the XML tags of a document and identifies its various document fragments.
- An order index This indexes the hierarchical positions of the nodes in an XML document. It keeps track of parent–child, ancestor–descendant, and sibling relations.



A value index – This indexes the values of an XML document. It provides lookup
by either value equality or value range. A value index is used for values in query
predicates (WHERE clause).

The unstructured component of an XMLIndex index uses a path table and a set of (local) secondary indexes on the path table, which implement the logical parts described above. Two secondary indexes are created automatically:

- A pikey index, which implements the logical indexes for both path and order.
- A real value index, which implements the logical value index.

You can modify these two indexes or create additional secondary indexes. The path table and its secondary indexes are all owned by the owner of the base table upon which the XMLIndex index is created.

The pikey index handles paths and order relationships together, which gives the best performance in most cases. If you find in some particular case that the value index is not picked up when think it should be, you can replace the pikey index with separate indexes for the paths and order relationships. Such (optional) indexes are called **path** id and **order key** indexes, respectively. For best results, contact Oracle Support if you find that the pikey index is not sufficient for your needs in some case.

The path table contains one row for each indexed node in the XML document. For each indexed node, the **path table** stores:

- The corresponding *rowid* of the table that stores the document.
- A locator, which provides fast access to the corresponding document fragment.
   For binary XML storage of XML schema-based data, it also stores data-type information.
- An order key, to record the hierarchical position of the node in the document. You
  can think of this as a Dewey decimal key like that used in library cataloging and
  Internet protocol SNMP. In such a system, the key 3.21.5 represents the node
  position of the fifth child of the twenty-first child of the third child of the document
  root node.
- An identifier that represents an XPath path to the node.
- The effective text value of the node.

Table 6-6 shows the main information<sup>2</sup> that is in the path table.

Table 6-6 XMLIndex Path Table

Column	Data Type	Description
PATHID	RAW(8)	Unique identifier for the XPath path to the node.
RID	ROWID	Rowid of the table used to store the XML data.
ORDER_KEY	RAW(1000)	Decimal order key that identifies the hierarchical position of the node. (Document ordering is preserved.)
LOCATOR	RAW(2000)	Fragment-location information. Used for fragment extraction. For binary XML storage of XML schemabased data, data-type information is also stored here.
VALUE	VARCHAR2(4000)	Effective text value the node.

<sup>&</sup>lt;sup>2</sup> The actual path table implementation may be slightly different.



The pikey index uses path table columns PATHID, RID, and ORDER\_KEY to represent the path and order indexes. An optional path id index uses columns PATHID and RID to represent the path index. A value index is an index on the VALUE column.

Example 6-6 explores the contents of the path table for two purchase-order documents.

### **Example 6-6** Path Table Contents for Two Purchase Orders

```
<PurchaseOrder>
 <Reference>SBELL-2002100912333601PDT</Reference>
 <Actions>
  <Action>
   <User>SVOLLMAN</User>
 </Action>
 </Actions>
</PurchaseOrder>
<PurchaseOrder>
 <Reference>ABEL-20021127121040897PST</Reference>
 <Actions>
  <Action>
   <User>ZLOTKEY</User>
  </Action>
  <Action>
  <User>KING</User>
  </Action>
 </Actions>
 . . .
</PurchaseOrder>
```

An XMLIndex index on an XMLType table or column storing these purchase orders includes a path table that has one row for each indexed node in the XML documents. Suppose that the system assigns the following PATHIDS when indexing the nodes according to their XPath expressions:

PATHID	Indexed XPath
1	/PurchaseOrder
2	/PurchaseOrder/Reference
3	/PurchaseOrder/Actions
4	/PurchaseOrder/Actions/Action
5	/PurchaseOrder/Actions/Action/User

The resulting path table would then be something like this (column  ${\tt LOCATOR}$  is not shown):

PATHID	RID	ORDER_KEY	VALUE
1	R1	1	SBELL-2002100912333601PDTSVOLLMAN
2	R1	1.1	SBELL-2002100912333601PDT



PATHID	RID	ORDER_KEY	VALUE
3	R1	1.2	SVOLLMAN
4	R1	1.2.1	SVOLLMAN
5	R1	1.2.1.1	SVOLLMAN
1	R2	1	ABEL-20021127121040897PSTZLOTKEYKING
2	R2	1.1	ABEL-20021127121040897PST
3	R2	1.2	ZLOTKEYKING
4	R2	1.2.1	ZLOTKEY
5	R2	1.2.1.1	ZLOTKEY
4	R2	1.2.2	KING
5	R2	1.2.2.1	KING

### Ignore the Path Table – It Is Transparent

Though you can create secondary indexes on path-table columns, you can generally ignore the path table itself.

### Column VALUE of an XMLIndex Path Table

A secondary index on column VALUE is used with XPath expressions in a WHERE clause that have predicates involving string matches. For example:

### Secondary Indexes on Column VALUE

Even if you do not specify a secondary index for column VALUE when you create an XMLIndex index, a default secondary index is created on column VALUE. This default index has the default properties — in particular, it is an index for *text* (string-valued) data only.

 XPath Expressions That Are Not Indexed by an XMLIndex Unstructured Component

A few types of XPath expressions are *not* indexed by XMLIndex.

## 6.3.4.1 Ignore the Path Table – It Is Transparent

Though you can create secondary indexes on path-table columns, you can generally ignore the path table itself.

You cannot access the path table, other than to DESCRIBE it and create (secondary) indexes on it. You need never explicitly gather statistics on the path table. You need only collect statistics on the XMLIndex index or the base table on which the XMLIndex index is defined; statistics are collected and maintained on the path table and its secondary indexes transparently.

### **Related Topics**

Collecting Statistics on XMLIndex Objects for the Cost-Based Optimizer
 The Oracle Database cost-based optimizer determines how to most cost effectively evaluate a given query, including which indexes, if any, to use. For it
 to be able to do this accurately, you must collect statistics on various database
 objects.



### 6.3.4.2 Column VALUE of an XMLIndex Path Table

A secondary index on column VALUE is used with XPath expressions in a WHERE clause that have predicates involving string matches. For example:

```
/PurchaseOrder[Reference/text() = "SBELL-2002100912333601PDT"]
```

Column VALUE stores the **effective text value** of an element or an attribute node — comments and processing instructions are ignored during indexing.

- For an attribute, the effective text value is the attribute value.
- For a *simple* element (an element that has no children), the effective text value is the concatenation of all of the text nodes of the element.
- For a *complex* element (an element that has children), the effective text value is the concatenation of (1) the text nodes of the element itself and (2) the effective text values of all of its simple-element descendants. (This is a recursive definition.)

The effective text value is limited (truncated), however, to 4000 bytes for a simple element or attribute and to 80 bytes for a complex element.

Column VALUE is a fixed size, VARCHAR2 (4000). Any overflow (beyond 4000 bytes) during index creation or update is truncated.

In addition to the 4000-byte limit for column VALUE, there is a limit on the size of a key for the secondary index created on this column. This is the case for B-tree and function-based indexes as well; it is not an XMLIndex limitation. The index-key size limit is a function of the block size for your database. It is this limit that determines how much of VALUE is indexed.

Thus, only the first 4000 bytes of the effective text value are stored in column VALUE, and only the first N bytes of column VALUE are indexed, where N is the index-key size limit (N < 4000). Because of the index-key size limit, the index on column VALUE acts only as a *preliminary filter* for the effective text value.

For example, suppose that your database block size requires that the VALUE index be no larger than 800 bytes, so that only the first 800 bytes of the effective text value is indexed. The first 800 bytes of the effective text value is first tested, using XMLIndex, and only if that text prefix matches the query value is the rest of the effective text value tested.

The secondary index on column VALUE is an index on SQL function substr (substring equality), because that function is used to test the text prefix. This function-based index is created automatically as part of the implementation of XMLIndex for column VALUE.

For example, the XPath expression /PurchaseOrder[Reference/text() = :1] in a query WHERE clause might, in effect, be rewritten to a test something like this:

```
substr(VALUE, 1 800) = substr(:1, 1, 800) AND VALUE = :1;
```

This conjunction contains two parts, which are processed from left to right. The first test uses the index on function <code>substr</code> as a preliminary filter, to eliminate text whose first 800 bytes do not match the first 800 bytes of the value of bind variable :1.



Only the first test uses an index — the full value of column VALUE is not indexed. After preliminary filtering by the first test, the second test checks the entire effective text value — that is, the full value of column VALUE — for full equality with the value of :1. This check does not use an index.

Even if only the first 800 bytes of text is indexed, it is important for query performance that up to 4000 bytes be stored in column VALUE, because that provides quick, direct access to the data, instead of requiring, for example, extracting it from deep within a CLOB-instance XML document. If the effective text value is greater than 4000 bytes, then the second test in the WHERE-clause conjunction requires accessing the base-table data.

Neither the VALUE column 4000-byte limit nor the index-key size affect query results in any way; they can affect only performance.



Because of the possibility of the VALUE column being truncated, an Oracle Text CONTEXT index created on the VALUE column might return incorrect results.

As mentioned, XMLIndex can be used with XML schema-based data. If an XML schema specifies a defaultValue value for a given element or attribute, and a particular document does not specify a value for that element or attribute, then the defaultValue value is used for the VALUE column.

### 6.3.4.3 Secondary Indexes on Column VALUE

Even if you do not specify a secondary index for column VALUE when you create an XMLIndex index, a default secondary index is created on column VALUE. This default index has the default properties — in particular, it is an index for *text* (string-valued) data only.

You can, however, create a VALUE index of a different type. For example, you can create a number-valued index if that is appropriate for many of your queries. You can create multiple secondary indexes on the VALUE column. An index of a particular type is used only when it is appropriate. For example, a number-valued index is used only when the VALUE column is a number; it is ignored for other values. Secondary indexes on path-table columns are treated like any other secondary indexes — you can alter them, drop them, mark them unusable, and so on.

### See Also:

- Using XMLIndex with an Unstructured Component for examples of creating secondary indexes on column VALUE
- PARAMETERS Clause for CREATE INDEX and ALTER INDEX for the syntax of the PARAMETERS clause



# 6.3.4.4 XPath Expressions That Are Not Indexed by an XMLIndex Unstructured Component

A few types of XPath expressions are *not* indexed by XMLIndex.

- Applications of XPath functions. In particular, user-defined XPath functions are not indexed.
- Axes other than child, descendant, and attribute, that is, axes parent, ancestor, following-sibling, preceding-sibling, following, preceding, and ancestor-or-self.
- Expressions using the union operator, | (vertical bar).

# 6.3.5 Creating, Dropping, Altering, and Examining an XMLIndex Index

Basic operations on an XMLIndex index include creating it, dropping it, altering it, and examining it. Examples are presented.

You create an XMLIndex index by declaring the index type to be XDB.XMLIndex, as illustrated in Example 6-7.

This creates an XMLIndex index named po\_xmlindex\_ix on XMLType table po\_binxml. The index has only an unstructured component, no structured component.

You specify inclusion of a *structured* component in an XMLIndex index by including a *structured\_clause* in the PARAMETERS clause. You specify inclusion of an *unstructured* component by including a *path\_table\_clause* in the PARAMETERS clause.

You can do this when you create the XMLIndex index or when you modify it. If, as in Example 6-7, you specify neither a structured\_clause nor a path\_table\_clause, then only an unstructured component is included.

If an XMLIndex index has both an unstructured and a structured component, then you can drop either of these components using ALTER INDEX.

You can obtain the name of an XMLIndex index on a particular XMLType table (or column), as shown in Example 6-8. You can also select INDEX\_NAME from DBA\_INDEXES or ALL INDEXES, as appropriate.

You rename or drop an XMLIndex index just as you would any other index, as illustrated in Example 6-9. This renaming changes the name of the XMLIndex index only. It does not change the name of the path table — you can rename the path table separately.

Similarly, you can change other index properties using other ALTER INDEX options, such as REBUILD. XMLIndex is no different from other index types in this respect.

The RENAME clause of an ALTER INDEX statement for XMLIndex applies only to the XMLIndex index itself. To rename the path table and secondary indexes, you must determine the names of these objects and use appropriate ALTER TABLE OF ALTER INDEX statements on them directly. Similarly, to retrieve the physical properties of the secondary indexes or alter them in any other way, you must obtain their names, as in Example 6-14.



### See Also:

- structured\_clause ::=
- path\_table\_clause ::=
- drop\_path\_table\_clause ::=
- alter\_index\_group\_clause ::=

### **Example 6-7 Creating an XMLIndex Index**

CREATE INDEX po\_xmlindex\_ix ON po\_binxml (OBJECT\_VALUE) INDEXTYPE IS XDB.XMLIndex;

### Example 6-8 Obtaining the Name of an XMLIndex Index on a Particular Table

### **Example 6-9 Renaming and Dropping an XMLIndex Index**

```
ALTER INDEX po_xmlindex_ix RENAME TO new_name_ix;

DROP INDEX new_name_ix;
```

### **Related Topics**

PARAMETERS Clause Syntax for CREATE INDEX and ALTER INDEX
 The syntax for the PARAMETERS clause for CREATE INDEX and ALTER INDEX is
 defined.

# 6.3.6 Using XMLIndex with an Unstructured Component

You can perform various operations on an XMLIndex index that has an unstructured component, including manipulating the path table and the secondary indexes of that component.

To include an unstructured component in an XMLIndex index, you can use a <code>path\_table\_clause</code> in the PARAMETERS clause when you create or modify the XMLIndex index — see <code>path\_table\_clause</code> ::=.

If you do not specify a *structured* component, then the index will have an unstructured component, even if you do not specify the path table. It is however generally a good idea to specify the path table, so that it has a recognizable, user-oriented name that you can refer to in other XMLIndex operations.



Example 6-10 shows how to name the path table ("my\_path\_table") when creating an XMLIndex index with an unstructured component.

If you do not name the path table then its name is generated by the system, using the index name you provide to CREATE INDEX as a base. Example 6-11 shows this for the XMLIndex index created in Example 6-7.

By default, the storage options of a path table and its secondary indexes are derived from the storage properties of the base table on which the XMLIndex index is created. You can specify different storage options by using a PARAMETERS clause when you create the index, as shown in Example 6-12. The PARAMETERS clause of CREATE INDEX (and ALTER INDEX) must be between single quotation marks (').

Because XMLIndex is a logical *domain* index, not a physical index, all physical attributes are either zero (0) or NULL.

If an XMLIndex index has both an unstructured and a structured component, then you can use ALTER INDEX to drop the unstructured component. To do this, you drop the path table. Example 6-13 illustrates this. (This assumes that you also have a structured component — Example 6-23 results in an index with both structured and unstructured components.)

In addition to specifying storage options for the path table, Example 6-12 names the secondary indexes on the path table.

Like the name of the path table, the names of the secondary indexes on the path-table columns are generated automatically using the index name as a base, unless you specify them in the PARAMETERS clause. Example 6-14 illustrates this, and shows how you can determine these names using public view USER\_IND\_COLUMNS. It also shows that the pikey index uses three columns.



Example 6-20 for a similar, but more complex example

#### Example 6-10 Naming the Path Table of an XMLIndex Index

```
CREATE INDEX po_xmlindex_ix ON po_binxml (OBJECT_VALUE) INDEXTYPE IS XDB.XMLIndex PARAMETERS ('PATH TABLE my_path_table');
```

# Example 6-11 Determining the System-Generated Name of an XMLIndex Path Table

### **Example 6-12** Specifying Storage Options When Creating an XMLIndex Index

CREATE INDEX po\_xmlindex\_ix ON po\_binxml (OBJECT\_VALUE) INDEXTYPE IS XDB.XMLIndex PARAMETERS



```
('PATH TABLE po_path_table
  (PCTFREE 5 PCTUSED 90 INITRANS 5
   STORAGE (INITIAL 1k NEXT 2k MINEXTENTS 3 BUFFER_POOL KEEP)
  NOLOGGING ENABLE ROW MOVEMENT PARALLEL 3)
  PIKEY INDEX po_pikey_ix (LOGGING PCTFREE 1 INITRANS 3)
  VALUE INDEX po_value_ix (LOGGING PCTFREE 1 INITRANS 3)');
```

### **Example 6-13 Dropping an XMLIndex Unstructured Component**

ALTER INDEX po\_xmlindex\_ix PARAMETERS('DROP PATH TABLE');

# Example 6-14 Determining the Names of the Secondary Indexes of an XMLIndex Index

```
SELECT INDEX_NAME, COLUMN_NAME, COLUMN_POSITION FROM USER_IND_COLUMNS
WHERE TABLE_NAME IN (SELECT PATH_TABLE_NAME FROM USER_XML_INDEXES

WHERE INDEX_NAME = 'PO_XMLINDEX_IX')
ORDER BY INDEX_NAME, COLUMN_NAME;
```

INDEX_NAME	COLUMN_NAME	COLUMN_POSITION
SYS67563_PO_XMLINDE_PIKEY_IX	ORDER_KEY	3
SYS67563_PO_XMLINDE_PIKEY_IX	PATHID	2
SYS67563_PO_XMLINDE_PIKEY_IX	RID	1
SYS67563_PO_XMLINDE_VALUE_IX	SYS_NC00006\$	1

4 rows selected.

Creating Additional Secondary Indexes on an XMLIndex Path Table
 You can add extra secondary indexes to an XMLIndex unstructured component.

### **Related Topics**

PARAMETERS Clause Syntax for CREATE INDEX and ALTER INDEX
 The syntax for the PARAMETERS clause for CREATE INDEX and ALTER INDEX is
 defined.

## 6.3.6.1 Creating Additional Secondary Indexes on an XMLIndex Path Table

You can add extra secondary indexes to an XMLIndex unstructured component.

Examples Example 6-15, Example 6-17, Example 6-18, and Example 6-19 add extra secondary indexes to the XMLIndex index created in Example 6-12.

You can create any number of additional secondary indexes on the VALUE column of the path table of an XMLIndex index. These can be of different types, including function-based indexes and Oracle Text indexes.

Whether or not a given index is used for a given element occurrence when processing a query is determined by whether it is of the appropriate type for that value and whether it is cost-effective to use it.

Example 6-15 creates a function-based index on column VALUE of the path table using SQL function substr. This might be useful if your queries often use substr applied to the text nodes of XML elements.

If you have many elements whose text nodes represent numeric values, then it can make sense to create a numeric index on the column VALUE. However, doing so directly, in a manner analogous to Example 6-15, raises an ORA-01722 error



(invalid number) if some of the element values are *not* numbers. This is illustrated in Example 6-16.

What is needed is an index that is used for numeric-valued elements but is ignored for element occurrences that do not have numeric values. Procedure createNumberIndex of package DBMS\_XMLINDEX exists specifically for this purpose. You pass it the names of the database schema, the XMLIndex index, and the numeric index to be created. Creation of a numeric index is illustrated in Example 6-17.

Because such an index is specifically designed to ignore elements that do not have numeric values, its use does not detect their presence. If there are non-numeric elements and, for whatever reason, the XMLIndex index is not used in some query, then an ORA-01722 error is raised. However, if the index is used, no such error is raised, because the index ignores non-numeric data. As always, the use of an index never changes the result set — it never gives you different results, but use of an index can prevent you from detecting erroneous data.

Creating a date-valued index is similar to creating a numeric index; you use procedure DBMS\_XMLINDEX.createDateIndex. Example 6-18 shows this.

Example 6-19 creates an Oracle Text CONTEXT index on column VALUE. This is useful for full-text queries on text values of XML elements. If a CONTEXT index is defined on column VALUE, then it is used during predicate evaluation. An Oracle Text index is independent of all other VALUE-column indexes.

The query in Example 6-20 shows all of the secondary indexes created on the path table of an XMLIndex index. The indexes created explicitly are in bold. Note in particular that some indexes, such as the function-based index created on column VALUE, do not appear as such; the column name listed for such an index is a system-generated name such as SYS\_NC00007\$. You cannot see these columns by executing a query with COLUMN\_NAME = 'VALUE' in the WHERE clause.

### See Also:

- Column VALUE of an XMLIndex Path Table for information about the possibility of an Oracle Text CONTEXT index created on the VALUE column returning incorrect results
- Oracle Text Reference for information about CREATE INDEX parameter TRANSACTIONAL
- Oracle Database PL/SQL Packages and Types Reference for information on PL/SQL procedures createNumberIndex and createDateIndex in package DBMS\_XMLINDEX

### Example 6-15 Creating a Function-Based Index on Path-Table Column VALUE

```
CREATE INDEX fn_based_ix ON po_path_table (substr(VALUE, 1, 100));
```

# Example 6-16 Trying to Create a Numeric Index on Path-Table Column VALUE Directly

```
CREATE INDEX direct_num_ix ON po_path_table (to_binary_double(VALUE)); CREATE INDEX direct_num_ix ON po_path_table (to_binary_double(VALUE))
```



```
ERROR at line 1: ORA-01722: invalid number
```

# Example 6-17 Creating a Numeric Index on Column VALUE with Procedure createNumberIndex

CALL DBMS\_XMLINDEX.createNumberIndex('OE', 'PO\_XMLINDEX\_IX', 'API\_NUM\_IX');

# Example 6-18 Creating a Date Index on Column VALUE with Procedure createDateIndex

```
CALL DBMS_XMLINDEX.createDateIndex('OE', 'PO_XMLINDEX_IX', 'API_DATE_IX', 'dateTime');
```

# Example 6-19 Creating an Oracle Text CONTEXT Index on Path-Table Column VALUE

```
CREATE INDEX po_otext_ix ON po_path_table (VALUE)
INDEXTYPE IS CTXSYS.CONTEXT PARAMETERS('TRANSACTIONAL');
```

### **Example 6-20** Showing All Secondary Indexes on an XMLIndex Path Table

```
SELECT c.INDEX_NAME, c.COLUMN_NAME, c.COLUMN_POSITION, e.COLUMN_EXPRESSION
FROM USER_IND_COLUMNS c LEFT OUTER JOIN USER_IND_EXPRESSIONS e
ON (c.INDEX_NAME = e.INDEX_NAME)
WHERE c.TABLE_NAME IN (SELECT PATH_TABLE_NAME FROM USER_XML_INDEXES
WHERE INDEX_NAME = 'PO_XMLINDEX_IX')
ORDER BY c.INDEX_NAME, c.COLUMN_NAME;
```

INDEX_NAME	COLUMN_NAME	COLUMN_POSITION	COLUMN_EXPRESSION
API_DATE_IX	SYS_NC00009\$	1	SYS_EXTRACT_UTC(SYS_XMLCONV("V ALUE",3,8,0,0,181))
API_NUM_IX	SYS_NC00008\$	1	TO_BINARY_DOUBLE("VALUE")
FN_BASED_IX	SYS_NC00007\$	1	SUBSTR("VALUE",1,100)
PO_OTEXT_IX	VALUE	1	
PO_PIKEY_IX	ORDER_KEY	3	
PO_PIKEY_IX	PATHID	2	
PO_PIKEY_IX	RID	1	
PO_VALUE_IX	SYS_NC00006\$	1	SUBSTRB("VALUE",1,1599)

<sup>8</sup> rows selected.

### **Related Topics**

Indexing XML Data for Full-Text Queries

When you need full-text search over XML data, Oracle recommends that you store your XMLType data as binary XML and you use XQuery Full Text (XQFT). You use an XML search index for this. This is the topic of this section.

# 6.3.7 Use of XMLIndex with a Structured Component

An XMLIndex structured component indexes specific islands of structure in your XML data.

To include a structured component in an XMLIndex index, you use a  $structured\_clause$  in the PARAMETERS clause when you create or modify the XMLIndex index — see structured clause ::=.



A structured\_clause specifies the structured islands that you want to index. You use the keyword GROUP to specify each structured island: an island thus corresponds syntactically to a structure **group**. If you specify no group explicitly, then the predefined group DEFAULT\_GROUP is used. For ALTER INDEX, you precede the GROUP keyword with the modification operation keyword: ADD\_GROUP specifies a new group (island); DROP\_GROUP deletes a group.

Why have multiple groups within a single index, instead of simply using multiple XMLIndex indexes? The reason is that XMLIndex is a domain index, and you can create only one domain index of a given type on a given database column.

The syntax for defining a structure group, that is, indexing a structured island, is similar to the syntax for invoking SQL/XML function XMLTable: you use keywords XMLTable and COLUMNS to define relational columns, and you use multilevel chaining of XMLTable to handle collections. To simplify the creation of such an index, you can use PL/SQL function DBMS\_XMLSTORAGE\_MANAGE.getSIDXDefFromView to provide exactly the XMLTable expression needed for creating the index.

- Using Namespaces and Storage Clauses with an XMLIndex Structured Component
  - When you create an XMLIndex index that has a structured component you can specify XML namespaces and storage options to use.
- Adding a Structured Component to an XMLIndex Index
   You can use ALTER INDEX to add a structured component to an existing XMLIndex
   index.
- Using Non-Blocking ALTER INDEX with an XMLIndex Structured Component
  You can prevent ALTER INDEX from blocking when you add a group or column for
  the structured component of an XMLIndex index, so that queries that use the index
  do not need to wait.
- Modifying the Data Type of a Structured XMLIndex Component
   If an error is raised because some of your data does not match the data type used
   for the corresponding column of the structured XMLIndex component, you can in
   some cases simply modify the index by passing keyword MODIFY\_COLUMN\_TYPE to
   ALTER INDEX.
- Dropping an XMLIndex Structured Component

  If an XMLIndex index has both an unstructured and a structured component, then you can use ALTER INDEX to drop the structured component. You do this by dropping *all* of the structure groups that compose the structured component.
- Indexing the Relational Tables of a Structured XMLIndex Component
  Because the tables used for the structured component of an XMLIndex index
  are normal relational tables, you can index them using any standard relational
  indexes.

### **Related Topics**

• Using a Registered PARAMETERS Clause for XMLIndex
The string value used for the PARAMETERS clause of a CREATE INDEX or ALTER
INDEX statement has a 1000-character limit. To get around this limitation, you can
use PL/SQL procedures registerParameter and modifyParameter in package
DBMS\_XMLINDEX.



Data Type Considerations for XMLIndex Structured Component
 The relational tables that are used for an XMLIndex structured component use SQL data types. XQuery expressions that are used in queries use XML data types (XML Schema data types and XQuery data types).

### See Also:

- Indexing Binary XML Data Exposed Using a Relational View for information about using DBMS\_XMLSTORAGE\_MANAGE.getSIDXDefFromView
- Example 6-30
- Indexing XML Data for Full-Text Queries
- structured\_clause ::=
- Usage of XMLIndex\_xmltable\_clause for information about an XMLType column in an XMLTable clause
- Usage of column\_clause for information about keywords COLUMNS and VIRTUAL

# 6.3.7.1 Using Namespaces and Storage Clauses with an XMLIndex Structured Component

When you create an XMLIndex index that has a structured component you can specify XML namespaces and storage options to use.

Example 6-21 shows the creation of an XMLIndex index that has only a structured component (no path-table clause) and that uses the XMLNAMESPACES clause to specify namespaces. It specifies that the index data be compressed and use tablespace USERTBS1. The example assumes a binary XML table po\_binxml with non XML schema-based data.

Each of the (identical) TABLESPACE clauses in Example 6-21 applies at the table level (tables po\_ptab and li\_tab).

In general you can specify storage options at both the table level and the partition level. A specification at the partition level overrides one at the table level. A TABLESPACE clause can also be specified at the *index* level, that is, so that it applies to all of the partitions and tables used for the index. If TABLESPACE is specified at more than one level, the partition level overrides the table level, which overrides the index level.

Example 6-22 specifies the same TABLESPACE for each of the tables used in the index. This commonality can be factored out by specifying the TABLESPACE at the index level, as shown in Example 6-22.

# Example 6-21 XMLIndex with a Structured Component, Using Namespaces and Storage Options

```
CREATE INDEX po_struct ON po_binxml (OBJECT_VALUE) INDEXTYPE IS XDB.XMLINdex PARAMETERS ('XMLTable po_ptab (TABLESPACE "USERTBS1" COMPRESS FOR OLTP)

XMLNAMESPACES (DEFAULT ''http://www.example.com/po''),
```



# Example 6-22 XMLIndex with a Structured Component, Specifying TABLESPACE at the Index Level

## 6.3.7.2 Adding a Structured Component to an XMLIndex Index

You can use  ${\tt ALTER}\ {\tt INDEX}\ to$  add a structured component to an existing  ${\tt XMLIndex}\ index.$ 

Example 6-23 shows the creation of an XMLIndex index with only an unstructured component. An unstructured component is created because the PARAMETERS clause explicitly names the path table.

Example 6-23 then uses ALTER INDEX to add a structured component (group) named po\_item. This structure group includes two relational tables, each specified with keyword XMLTable.

The top-level table, po\_idx\_tab, has columns reference, requestor, username, and lineitem. Column lineitem is of type XMLType. It represents a collection, so it is passed to the second XMLTable construct to form the second-level relational table, po\_index\_lineitem, which has columns itemno, description, partno, quantity, and unitprice.

The keyword VIRTUAL is required for an XMLType column. It specifies that the XMLType column itself is not materialized: its data is stored in the XMLIndex index only in the form of the relational columns specified by its corresponding XMLTable table.



You cannot create more than one XMLType column in a given XMLTable clause. To achieve that effect, you must instead define an additional group.

Example 6-23 also illustrates the use of a registered parameter string in the PARAMETERS clause. It uses PL/SQL procedure DBMS\_XMLINDEX.registerParameter to register the parameters string named myparam. Then it uses ALTER INDEX to update the index parameters to include those in the string myparam.

### Example 6-23 XMLIndex Index: Adding a Structured Component

```
CREATE INDEX po_xmlindex_ix ON po_binxml (OBJECT_VALUE)
 INDEXTYPE IS XDB.XMLIndex PARAMETERS ('PATH TABLE path_tab');
BEGIN
 DBMS_XMLINDEX.registerParameter(
    'myparam',
    'ADD_GROUP GROUP po_item
      XMLTable po_idx_tab ''/PurchaseOrder''
        COLUMNS reference VARCHAR2(30) PATH ''Reference'',
                requestor VARCHAR2(30) PATH ''Requestor'',
                username VARCHAR2(30) PATH ''User'',
                lineitem XMLType
                                         PATH ''LineItems/LineItem''
VIRTUAL
      XMLTable po_index_lineitem ''/LineItem'' PASSING lineitem
        COLUMNS itemno BINARY_DOUBLE PATH ''@ItemNumber'',
                description VARCHAR2(256) PATH ''Description'',
                partno VARCHAR2(14) PATH ''Part/@Id'',
                quantity BINARY_DOUBLE PATH ''Part/@Quantity'',
                unitprice BINARY_DOUBLE PATH ''Part/@UnitPrice''');
END;
ALTER INDEX po_xmlindex_ix PARAMETERS('PARAM myparam');
```

# 6.3.7.3 Using Non-Blocking ALTER INDEX with an XMLIndex Structured Component

You can prevent ALTER INDEX from blocking when you add a group or column for the structured component of an XMLIndex index, so that queries that use the index do not need to wait.

When you use ALTER INDEX to add a group or a column for the structured component of an XMLIndex index, this index-maintenance operation obtains an exclusive DDL lock on the base table and the index.

The base table is locked to DML operations, and the index cannot be used for queries until the ALTER INDEX operation is finished. This means that during this index maintenance the index cannot be used by other sessions that query or perform DML operations on the base table. The duration of the ALTER INDEX operation and the attendant locking depends on the volume of data in the base XMLType column.

You can avoid or work around this problem as follows:



1. Use keyword nonblocking before ADD\_GROUP or ADD\_COLUMN in the PARAMETERS clause of the ALTER INDEX statement that creates the structured-component group or column.

This updates the index as needed, but it does not index any base-table data. Because it does not depend on the base-table data it is quick regardless of the base-table size.

2. Invoke PL/SQL procedure DBMS\_XMLINDEX.process\_pending.

This procedure indexes rows of the base table and populates tables of the index, just as if keyword NONBLOCKING were absent. However, in this case only a few rows are locked at a time while they are processed and the changes committed. Rows that have already been locked for some other purpose are skipped. This can significantly reduce lock contention and allow indexing of some rows to proceed at the same time as querying or DML on other rows.

When procedure process pending finishes it returns, as OUT parameters:

- The number of rows that it could not index. This is either because they were
  locked for another purpose or because an error was raised (this number
  includes the number returned as the other OUT parameter).
  - After you think those locks have been removed, invoke procedure process\_pending again to try to process those pending rows.
- The number of rows that it could not index because an *error* was raised. (This should be rare.)
  - Check table SYS\_AIXSXI\_index\_number\_ERRORTAB for information about those errors, then take action to fix the underlying problems. index\_number is the object number of the index.
- 3. Repeat step 2 as many times as necessary until procedure process\_pending indicates that all rows have been successfully indexed or you encounter an insurmountable problem and decide to cancel the indexing operation altogether.
  - You can cancel the indexing at any time (before step 2) by using keywords **NONBLOCKING ABORT** in the PARAMETERS clause of a separate ALTER INDEX statement for the same XMLIndex index.
- 4. If all rows have been successfully indexed then use keywords NONBLOCKING COMPLETE in the PARAMETERS clause of a separate ALTER INDEX Statement for the same XMLIndex index.

### Example 6-24 illustrates this.

Just as table SYS\_AIXSXI\_index\_number\_ERRORTAB reports errors, so table SYS\_AIXSXI\_index\_number\_PENDINGTAB records the current status of each base-table row: whether or not it has been indexed. A row might not yet be indexed because it is locked by for some other purpose or because trying to index it raised an error. In the latter case, consult SYS\_AIXSXI\_index\_number\_ERRORTAB for specific information about the error.





### Example 6-24 Using DBMS\_XMLINDEX.PROCESS\_PENDING To Index XML Data

```
CREATE INDEX po_struct ON po_binxml (OBJECT_VALUE) INDEXTYPE IS XDB.XMLIndex
  PARAMETERS ('XMLTable po_idx_tab
                ''/PurchaseOrder''
                COLUMNS reference VARCHAR2(30) PATH ''Reference'',
                        requestor VARCHAR2(30) PATH ''Requestor'',
                        username VARCHAR2(30) PATH ''User'',
                        lineitem XMLType PATH ''LineItems/LineItem'' VIRTUAL
              XMLTable po_index_lineitem
                ''/LineItem'' PASSING lineitem
                COLUMNS itemno BINARY_DOUBLE PATH ''@ItemNumber'',
                        description VARCHAR2(256) PATH ''Description'',
                        partno VARCHAR2(14) PATH ''Part/@Id'',
                        quantity BINARY_DOUBLE PATH ''Part/@Quantity'',
                        unitprice BINARY_DOUBLE PATH ''Part/@UnitPrice''');
ALTER INDEX po_struct
  PARAMETERS('NONBLOCKING ADD_GROUP GROUP po_action_group
             XMLTABLE po_idx_tab
               ''/PurchaseOrder''
               COLUMNS actions
                                    XMLType
                                                 PATH ''Actions/Action'' VIRTUAL
             XMLTABLE po_idx_action
               ''/Action'' PASSING actions
               COLUMNS actioned_by VARCHAR2(10) PATH ''User'',
                       date_actioned TIMESTAMP PATH ''Date''');
DECLARE
 num_pending NUMBER := 0;
 num_errored NUMBER := 0;
DBMS_XMLINDEX.process_pending('oe', 'po_struct', num_pending, num_errored);
DBMS_OUTPUT.put_line('Number of rows still pending = ' || num_pending);
DBMS_OUTPUT.put_line('Number of rows with errors = ' || num_errored);
END;
Number of rows still pending = 0
Number of rows with errors = 0
PL/SQL procedure successfully completed.
ALTER INDEX po_struct PARAMETERS('NONBLOCKING COMPLETE');
```

## 6.3.7.4 Modifying the Data Type of a Structured XMLIndex Component

If an error is raised because some of your data does not match the data type used for the corresponding column of the structured XMLIndex component, you can in some cases simply modify the index by passing keyword MODIFY\_COLUMN\_TYPE to ALTER INDEX.

You can, for example, expand a VARCHAR2(30) column to, say, VARCHAR2(40) if it needs to accommodate data that is up to 40 characters. This is simpler and more efficient than dropping the column and then adding a new column. The new data type must be compatible with the old one: the same restrictions apply as apply for ALTER TABLE MODIFY COLUMN.



### See Also:

- Oracle Database SQL Language Reference for information about ALTER TABLE MODIFY COLUMN
- modify\_column\_type\_clause :==

### 6.3.7.5 Dropping an XMLIndex Structured Component

If an XMLIndex index has both an unstructured and a structured component, then you can use ALTER INDEX to drop the structured component. You do this by dropping *all* of the structure groups that compose the structured component.

Example 6-25 shows how to drop the structured component that was added in Example 6-23, by dropping its only structure group, po\_item.

### **Example 6-25** Dropping an XMLIndex Structured Component

ALTER INDEX po\_xmlindex\_ix PARAMETERS('DROP\_GROUP GROUP po\_item');

### 6.3.7.6 Indexing the Relational Tables of a Structured XMLIndex Component

Because the tables used for the structured component of an XMLIndex index are normal relational tables, you can index them using any standard relational indexes.

This is explained in section XMLIndex Structured Component. It is illustrated by Example 6-26, which creates a B-tree index on the reference column of the index content table (structured fragment) for the XMLIndex index of Example 6-23.

### Example 6-26 Creating a B-tree Index on an XMLIndex Index Content Table

CREATE INDEX idx\_tab\_ref\_ix ON po\_idx\_tab (reference);

## 6.3.8 How to Tell Whether XMLIndex is Used

To know whether a particular XMLIndex index has been used in resolving a query, you can examine an execution plan for the query.

It is at query compile time that Oracle Database determines whether or not a given  $\mathtt{XMLIndex}$  index can be used, that is, whether the query can be rewritten into a query against the index.

For an unstructured XMLIndex component, if it cannot be determined at compile time that an XPath expression in the query is a subset of the paths you specified to be used for XMLIndex indexing, then the unstructured component of the index is not used.

For example, if the path /PurchaseOrder/LineItems//\* is included for indexing, then a query with /PurchaseOrder/LineItems/LineItem/Description can use the index, but a query with //Description cannot. The latter also matches potential Description elements that are not children of /PurchaseOrder/LineItems, and it is not possible at compile time to know if such additional Description elements are present in the data.

You can examine the execution plan for a query to see whether a particular XMLIndex index has been used in resolving the query.



- If the *unstructured* component of the index is used, then its path table, order key, or path id is referenced in the execution plan. The execution plan does *not* directly indicate that a domain index was used; it does *not* refer to the XMLIndex index by name. See Example 6-27 and Example 6-29.
- If the *structured* component of the index is used, then one or more of its index content tables is called out in the execution plan. See Example 6-30 and Example 6-31.

See Also:

Oracle Database SQL Tuning Guide

Example 6-27 shows that the XMLIndex index created in Example 6-10 is used in a particular query. The reference to MY\_PATH\_TABLE in the execution plan here indicates that the XMLIndex index (created in Example 6-10) is used in this query. Similarly, reference to columns LOCATOR, ORDER\_KEY, and PATHID indicates the same thing.

Given the name of a path table from an execution plan such as this, you can obtain the name of its XMLIndex index as shown in Example 6-28. (This is more or less opposite to the query in Example 6-11.)

XMLIndex can be used for XPath expressions in the SELECT list, the FROM list, and the WHERE clause of a query, and it is useful for SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast. Unlike function-based indexes, which are deprecated for XMLType, XMLIndex indexes can be used when you extract data from an XML fragment in a document.

Example 6-29 illustrates this.

The execution plan for the query in Example 6-29 shows, by referring to the path table, that XMLIndex is used. It also shows the use of Oracle internal SQL function sys\_orderkey\_depth — see Guidelines for Using XMLIndex with an Unstructured Component.

Example 6-30 shows an execution plan that indicates that the XMLIndex index created in Example 6-23 is picked up for a query that uses two WHERE clause predicates. It is the same query as in Example 6-46, and the same XML search index is in effect, as is also shown in the execution plan.

With only the unstructured XMLIndex component, the query would have involved a join of the path table to itself, because of the two different paths in the WHERE clause.

The presence in Example 6-30 of the path table name, path\_tab, indicates that the unstructured component of the index is used. The presence of the index content table po\_idx\_tab indicates that the structured index component is used. The presence of the XML search index, po\_ctx\_idx, indicates that it too is used.

Example 6-31 shows an execution plan that indicates that the same XMLIndex index is also picked up for a query that uses multilevel XMLTable chaining. With only the unstructured XMLIndex component, this query too would involve a join of the path table to itself, because of the different paths in the two XMLTable function calls.



The execution plan shows direct access to the relational index content tables, po\_idx\_tab and po\_index\_lineitem. There is *no* access at all to the path table, path\_tab.

### Example 6-27 Checking Whether an XMLIndex Unstructured Component Is Used

```
SET AUTOTRACE ON EXPLAIN
SELECT XMLQuery('/PurchaseOrder/Requestor' PASSING OBJECT_VALUE RETURNING CONTENT) FROM po_binxml
 WHERE XMLExists('/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]' PASSING OBJECT_VALUE);
XMLQUERY('/PURCHASEORDER/REQUESTOR'PASSINGOBJECT_VALUERETURNINGCONTENT)
<Requestor>Sarah J. Bell</Requestor>
1 row selected.
Execution Plan
| Id | Operation
                                    Name
                                                                 Rows | Bytes | Cost (%CPU) |
Time
     0 | SELECT STATEMENT
                                                                             24
                                                                       1 |
                                                                                     28 (4)
00:00:01
| 1 | SORT GROUP BY
                                                                       1 | 3524 |
|* 2 | TABLE ACCESS BY INDEX ROWID | MY_PATH_TABLE
                                                                       2 | 7048 |
                                                                                         (0)
00:00:01 |
* 3
         INDEX RANGE SCAN
                                    SYS67616_PO_XMLINDE_PIKEY_IX
                                                                                          (0)
00:00:01 |
4 | NESTED LOOPS
                                                                       1 |
                                                                             24
                                                                                     28
                                                                                         (4)
00:00:01
l 5 l
        VTEW
                                    VW_SQ_1
                                                                       1 |
                                                                             12 |
                                                                                     26
                                                                                         (0)
00:00:01
  6
         HASH UNIQUE
                                                                       1 | 5046 |
 7 |
          NESTED LOOPS
                                                                       1 | 5046 |
                                                                                         (0)
00:00:01
                                                                       1 | 3524 |
| * 8 |
           TABLE ACCESS BY INDEX ROWID | MY_PATH_TABLE
                                                                                     24
                                                                                          (0)
00:00:01
|* 9 |
            INDEX RANGE SCAN
                                     SYS67616_PO_XMLINDE_VALUE_IX
                                                                      73
                                                                              (0)
                                                                                      1
00:00:01
           TABLE ACCESS BY INDEX ROWID | MY_PATH_TABLE
|* 10 |
                                                                       1 | 1522 |
                                                                                          (0)
00:00:01
                                   SYS67616_PO_XMLINDE_PIKEY_IX
                                                                       1 |
* 11
            INDEX RANGE SCAN
                                                                              (0)
00:00:01
| 12 | TABLE ACCESS BY USER ROWID | PO_BINXML
                                                                 1 |
                                                                             12
                                                                                     1 (0)
00:00:01
Predicate Information (identified by operation id):
  2 - filter(SYS_XMLI_LOC_ISNODE("SYS_P0"."LOCATOR")=1)
  3 - access("SYS_P0"."RID"=:B1 AND "SYS_P0"."PATHID"=HEXTORAW('76E2') )
  8 - filter("SYS_P4"."VALUE"='SBELL-2002100912333601PDT' AND "SYS_P4"."PATHID"=HEXTORAW('4F8C') AND
            SYS_XMLI_LOC_ISNODE("SYS_P4"."LOCATOR")=1)
  9 - access(SUBSTRB("VALUE",1,1599)='SBELL-2002100912333601PDT')
 10 - filter(SYS_XMLI_LOC_ISNODE("SYS_P2"."LOCATOR")=1)
 11 - access("SYS_P4"."RID"="SYS_P2"."RID" AND "SYS_P2"."PATHID"=HEXTORAW('4E36') AND
             "SYS_P2"."ORDER_KEY"<"SYS_P4"."ORDER_KEY")
      filter("SYS_P4"."ORDER_KEY"<SYS_ORDERKEY_MAXCHILD("SYS_P2"."ORDER_KEY") AND
```



 $\textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P2 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) ) \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDERKEY\_DEPTH} ( \ "SYS\_P4 \ " \ . \ "ORDER\_KEY \ " \ ) + 1 \\ = \textbf{SYS\_ORDER_SYS\_ORDER KEY} ( \ " \$ 

. . .

# Example 6-28 Obtaining the Name of an XMLIndex Index from Its Path-Table Name

### Example 6-29 Extracting Data from an XML Fragment Using XMLIndex

SET AUTOTRACE ON EXPLAIN

```
SELECT li.description, li.itemno
FROM po_binxml, XMLTable('/PurchaseOrder/LineItems/LineItem'

PASSING OBJECT_VALUE

COLUMNS "DESCRIPTION" VARCHAR(40) PATH 'Description',

"ITEMNO" INTEGER PATH '@ItemNumber') li

WHERE XMLExists('/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'

PASSING OBJECT_VALUE);
```

DESCRIPTION	ITEMNO
A Night to Remember	1
The Unbearable Lightness Of Being	2
Sisters	3

3 rows selected.

Execution Plan

Id   Operation   Time	Name	Ro	ws	Bytes	Cost (	%CPU)
   0   SELECT STATEMENT 00:00:01	I		1	1546	30	(4)
* 1   FILTER			1			
* 2   TABLE ACCESS BY INDEX ROWID 00:00:01	MY_PATH_TABLE		1	3524	3	(0)
* 3   INDEX RANGE SCAN 00:00:01	SYS67616_PO_XMLINDE_PIKEY_IX	:	1		2	(0)
* 4   FILTER						
	MY_PATH_TABLE		1	3524	3	(0)



```
| * 6 | INDEX RANGE SCAN
                                      SYS67616_PO_XMLINDE_PIKEY_IX
                                                                          1 |
                                                                                  2 (0)
00:00:01 |
  7 | NESTED LOOPS
  8 |
                                                                          1 | 1546 |
         NESTED LOOPS
                                                                                       30
                                                                                            (4)
00:00:01
9 |
                                                                                 24
          NESTED LOOPS
                                                                          1 |
                                                                                            (4)
00:00:01 |
10 |
           VIEW
                                       VW_SQ_1
                                                                          1 |
                                                                               12
                                                                                       26
                                                                                            (0)
00:00:01
            HASH UNIQUE
11
                                                                          1 | 5046 |
1 12 1
            NESTED LOOPS
                                                                          1 | 5046 |
                                                                                       26
                                                                                            (0)
00:00:01
| * 13 |
             TABLE ACCESS BY INDEX ROWID | MY_PATH_TABLE
                                                                          1 | 3524 |
                                                                                       24
                                                                                            (0)
00:00:01
* 14
             INDEX RANGE SCAN
                                       SYS67616_PO_XMLINDE_VALUE_IX
                                                                         73
                                                                                  (0)
00:00:01 |
| * 15 |
             TABLE ACCESS BY INDEX ROWID | MY_PATH_TABLE
                                                                    1 | 1522 |
                                                                                            (0)
                                                                                        2
00:00:01
|* 16 |
             INDEX RANGE SCAN
                                       SYS67616_PO_XMLINDE_PIKEY_IX
                                                                          1 |
                                                                                  1 (0)
00:00:01
| 17 |
          TABLE ACCESS BY USER ROWID
                                     PO_BINXML
                                                                          1 |
                                                                                 12 | 1
                                                                                           (0)
00:00:01
| * 18 |
          INDEX RANGE SCAN
                                       SYS67616_PO_XMLINDE_PIKEY_IX
                                                                          1 |
                                                                                            (0)
00:00:01 |
| * 19 | TABLE ACCESS BY INDEX ROWID | MY_PATH_TABLE
                                                                     1 | 1522 | 2 (0)|
00:00:01
Predicate Information (identified by operation id):
  1 - filter(:B1<SYS_ORDERKEY_MAXCHILD(:B2))</pre>
  2 - filter(SYS_XMLI_LOC_ISNODE("SYS_P2"."LOCATOR")=1)
  3 - access("SYS_P2"."RID"=:B1 AND "SYS_P2"."PATHID"=HEXTORAW('28EC') AND "SYS_P2"."ORDER_KEY">:B2 AND
             "SYS_P2"."ORDER_KEY"<SYS_ORDERKEY_MAXCHILD(:B3))
      filter(SYS_ORDERKEY_DEPTH("SYS_P2"."ORDER_KEY")=SYS_ORDERKEY_DEPTH(:B1)+1)
  4 - filter(:B1<SYS_ORDERKEY_MAXCHILD(:B2))
  5 - filter(SYS_XMLI_LOC_ISNODE("SYS_P5"."LOCATOR")=1)
  6 - access("SYS_P5"."RID"=:B1 AND "SYS_P5"."PATHID"=HEXTORAW('60E0') AND "SYS_P5"."ORDER_KEY">:B2 AND
             "SYS_P5"."ORDER_KEY"<SYS_ORDERKEY_MAXCHILD(:B3))
      filter(SYS_ORDERKEY_DEPTH("SYS_P5"."ORDER_KEY")=SYS_ORDERKEY_DEPTH(:B1)+1)
 13 - filter("SYS_P10"."VALUE"='SBELL-2002100912333601PDT' AND "SYS_P10"."PATHID"=HEXTORAW('4F8C') AND
             SYS_XMLI_LOC_ISNODE("SYS_P10"."LOCATOR")=1)
 14 - access(SUBSTRB("VALUE",1,1599)='SBELL-2002100912333601PDT')
 15 - filter(SYS_XMLI_LOC_ISNODE("SYS_P8"."LOCATOR")=1)
 16 - access("SYS_P10"."RID"="SYS_P8"."RID" AND "SYS_P8"."PATHID"=HEXTORAW('4E36') AND
             "SYS_P8"."ORDER_KEY"<"SYS_P10"."ORDER_KEY")
      filter("SYS_P10"."ORDER_KEY"<SYS_ORDERKEY_MAXCHILD("SYS_P8"."ORDER_KEY") AND
             SYS_ORDERKEY_DEPTH("SYS_P8"."ORDER_KEY")+1=SYS_ORDERKEY_DEPTH("SYS_P10"."ORDER_KEY"))
 18 - access("PO_BINXML".ROWID="SYS_ALIAS_4"."RID" AND "SYS_ALIAS_4"."PATHID"=HEXTORAW('3748') )
 19 - filter(SYS_XMLI_LOC_ISNODE("SYS_ALIAS_4"."LOCATOR")=1)
Note
  - dynamic sampling used for this statement (level=2)
```

#### Example 6-30 Using a Structured XMLIndex Component for a Query with Two Predicates

```
EXPLAIN PLAN FOR

SELECT XMLQuery('/PurchaseOrder/LineItems/LineItem'

PASSING OBJECT_VALUE RETURNING CONTENT)

FROM po_binxml

WHERE XMLExists('/PurchaseOrder/LineItems/LineItem
```



```
Explained.
| Id | Operation
                                      Name
                                                                 |Rows|Bytes| Cost (%CPU)|
Time
0 | SELECT STATEMENT
                                                                  | 1 | 2051 | 9 (0) |
00:00:01 |
 1 | SORT GROUP BY
                                                                 | 1| 3524|
* 2 | TABLE ACCESS BY INDEX ROWID BATCHED | PATH_TAB
                                                                 2 7048
                                                                                3 (0)
00:00:01
|* 3 | INDEX RANGE SCAN
                                     | SYS86751_PO_XMLINDE_PIKEY_IX | 1 | 2 (0) |
00:00:01
4 | NESTED LOOPS SEMI
                                                                1 2051
                                                                                6 (0)
00:00:01 |
| 5 | TABLE ACCESS BY INDEX ROWID
                                     PO_BINXML
                                                                1 2024
                                                                                4 (0)
00:00:01
       DOMAIN INDEX
|* 6 |
                                      PO_CTX_IDX
                                                                 4 (0)
00:00:01 |
        TABLE ACCESS BY INDEX ROWID BATCHED | PO_IDX_TAB
                                                                 | 13| 351|
|* 7 |
                                                                                2 (0)
00:00:01 |
* 8 | INDEX RANGE SCAN
                                      SYS86751_86755_OID_IDX
                                                                | 1| |
                                                                              1 (0)
00:00:01
Predicate Information (identified by operation id):
  2 - filter(SYS_XMLI_LOC_ISNODE("SYS_P1"."LOCATOR")=1)
  3 - access("SYS_P1"."RID"=:B1 AND "SYS_P1"."PATHID"=HEXTORAW('3748'))
   \texttt{6 - access("CTXSYS"."CONTAINS"(SYS\_MAKEXML(0,"XMLDATA"),'<query><textquery grammar="CONTEXT")} \\
           lang="english">{Picnic} INPATH
           (/PurchaseOrder/LineItems/LineItem/Description)</textquery><xquery><offset>0</
           offset></xquery></query>')>0)
  7 - filter("SYS_SXI_0"."USERNAME"='SBELL')
  8 - access("PO_BINXML"."SYS_NC_OID$"="SYS_SXI_0"."OID")
  - dynamic sampling used for this statement (level=2)
30 rows selected.
Example 6-31 Using a Structured XMLIndex Component for a Query with Multilevel Chaining
EXPLAIN PLAN FOR
  SELECT po.reference, li.*
    FROM po_binxml p,
         XMLTable('/PurchaseOrder' PASSING p.OBJECT VALUE
                   COLUMNS reference VARCHAR2(30) PATH 'Reference',
                            lineitem
                                        XMLType
                                                    PATH 'LineItems/LineItem') po,
         XMLTable('/LineItem' PASSING po.lineitem
```

COLUMNS itemno BINARY\_DOUBLE PATH '@ItemNumber',

[Description contains text "Picnic"]'

AND XMLExists('/PurchaseOrder[User="SBELL"]' PASSING OBJECT\_VALUE);

PASSING OBJECT\_VALUE)



```
description VARCHAR2(256) PATH 'Description',
partno VARCHAR2(14) PATH 'Part/@Id',
quantity BINARY_DOUBLE PATH 'Part/@Quantity',
unitprice BINARY_DOUBLE PATH 'Part/@UnitPrice') li
WHERE po.reference = 'SBELL-20021009123335280PDT';
```

I	d	Operation	Name	Rows	Bytes	Cost (%	CPU)	Time
	0	SELECT STATEMENT		17	20366	8	(0)	00:00:01
	1	NESTED LOOPS						
ĺ	2	NESTED LOOPS		17	20366	8	(0)	00:00:01
ĺ	3	NESTED LOOPS		1	539	3	(0)	00:00:01
*	4	TABLE ACCESS FULL	PO_IDX_TAB	1	529	3	(0)	00:00:01
*	5	INDEX UNIQUE SCAN	SYS_C007442	1	10	0	(0)	00:00:01
*	6	INDEX RANGE SCAN	SYS86751_86759_PKY_IDX	17	ĺ	1	(0)	00:00:01
İ	7	TABLE ACCESS BY INDEX ROWID	PO_INDEX_LINEITEM	17	11203	5	(0)	00:00:01

 $\label{eq:predicate_predicate} \mbox{ Information (identified by operation id):}$ 

```
4 - filter("SYS_SXI_2"."REFERENCE"='SBELL-20021009123335280PDT')
5 - access("P"."SYS_NC_OID$"="SYS_SXI_2"."OID")
6 - access("SYS_SXI_2"."KEY"="SYS_SXI_3"."PKEY")
```

### Note

----

- dynamic sampling used for this statement

25 rows selected.

### **Related Topics**

Collecting Statistics on XMLIndex Objects for the Cost-Based Optimizer
 The Oracle Database cost-based optimizer determines how to most cost effectively evaluate a given query, including which indexes, if any, to use. For it
 to be able to do this accurately, you must collect statistics on various database
 objects.

# 6.3.9 Turning Off Use of XMLIndex

You can turn off the use of XMLIndex by using optimizer hint: /\*+
NO\_XML\_QUERY\_REWRITE \*/ or optimizer hint /\*+ NO\_XMLINDEX\_REWRITE \*/.

Each of these hints turns off the use of *all* XMLIndex indexes. In addition to turning off the use of XMLIndex, NO\_XML\_QUERY\_REWRITE turns off all XQuery optimization (XMLIndex is part of XPath rewrite).

Example 6-32 shows the use of these optimizer hints.



The NO\_INDEX optimizer hint does not apply to XMLIndex.



### See Also:

XQuery Optional Features for information about XQuery pragmas ora:no\_xmlquery\_rewrite and ora:xmlquery\_rewrite, which you can use for fine-grained control of XQuery optimization

### **Example 6-32 Turning Off XMLIndex Using Optimizer Hints**

```
SELECT /*+ NO_XMLINDEX_REWRITE */
  count(*) FROM po_binxml WHERE XMLExists('$p/*' PASSING OBJECT_VALUE AS "p");

SELECT /*+ NO_XML_QUERY_REWRITE */
  count(*) FROM po_binxml WHERE XMLExists('$p/*' PASSING OBJECT_VALUE AS "p");
```

# 6.3.10 XMLIndex Path Subsetting: Specifying the Paths You Want to Index

If you know which XPath expressions you are most likely to query then you can narrow the focus of XMLIndex indexing and thus improve performance.

One of the advantages of an XMLIndex index with an unstructured component is that it is very general: you need not specify which XPath locations to index; you need no prior knowledge of the XPath expressions that will be queried. By default, an unstructured XMLIndex component indexes all possible XPath locations in your XML data.

However, if you are aware of the XPath expressions that you are most likely to query, then you can narrow the focus of XMLIndex indexing and thus improve performance. Having fewer indexed nodes means less space is required for indexing, which improves index maintenance during DML operations. Having fewer indexed nodes improves DDL performance, and having a smaller path table improves query performance.

You narrow the focus of indexing by pruning the set of XPath expressions (paths) corresponding to XML fragments to be indexed, specifying a subset of all possible paths. You can do this in two alternative ways:

- Exclusion Start with the default behavior of including all possible XPath expressions, and exclude some of them from indexing.
- Inclusion Start with an empty set of XPath expressions to be used in indexing, and add paths to this inclusion set.

You can specify path subsetting either when you create an XMLIndex index using CREATE INDEX or when you modify it using ALTER INDEX. In both cases, you provide the subsetting information in the PATHS parameter of the statement's PARAMETERS clause. For exclusion, you use keyword EXCLUDE. For inclusion, you use keyword INCLUDE for ALTER INDEX and no keyword for CREATE INDEX (list the paths to include). You can also specify namespace mappings for the nodes targeted by the PATHS parameter.

For ALTER INDEX, keyword INCLUDE or EXCLUDE is followed by keyword ADD or REMOVE, to indicate whether the list of paths that follows the keyword is to be added or



removed from the inclusion or exclusion list. For example, this statement adds path / PurchaseOrder/Reference to the list of paths to be excluded from indexing:

```
ALTER INDEX po_xmlindex_ix REBUILD

PARAMETERS ('PATHS (EXCLUDE ADD (/PurchaseOrder/Reference))');
```

To alter an XMLIndex index so that it *includes all* possible paths, use keyword INDEX\_ALL\_PATHS. See *alter\_index\_paths\_clause* ::=.



If you create an XMLIndex index that has both structured and unstructured components, then, by default, any nodes indexed in the structured component are also indexed in the unstructured component; that is, they are *not* automatically *excluded* from the unstructured component. If you do not want unstructured XMLIndex indexing to apply to them, then you must explicitly use path subsetting to exclude them.

- Examples of XMLIndex Path Subsetting
   Some examples are presented of defining XMLIndex indexes on subsets of XPath expressions.
- XMLIndex Path-Subsetting Rules
   Rules that apply to XMLIndex path subsetting are described.

### **Related Topics**

PARAMETERS Clause Syntax for CREATE INDEX and ALTER INDEX
 The syntax for the PARAMETERS clause for CREATE INDEX and ALTER INDEX is
 defined.

### 6.3.10.1 Examples of XMLIndex Path Subsetting

Some examples are presented of defining XMLIndex indexes on subsets of XPath expressions.

#### Example 6-33 XMLIndex Path Subsetting with CREATE INDEX

This statement creates an index that indexes only top-level element PurchaseOrder and some of its children, as follows:

- All LineItems elements and their descendants
- All Reference elements

It does that by including the specified paths, starting with an empty set of paths to be used for the index.



### Example 6-34 XMLIndex Path Subsetting with ALTER INDEX

This statement adds two more paths to those used for indexing. These paths index element Requestor and descendants of element Action (and their ancestors).

### **Example 6-35 XMLIndex Path Subsetting Using a Namespace Prefix**

If an XPath expression to be used for XMLIndex indexing uses namespace prefixes, you can use a NAMESPACE MAPPING clause to the PATHS list, to specify those prefixes. Here is an example:

## 6.3.10.2 XMLIndex Path-Subsetting Rules

Rules that apply to XMLIndex path subsetting are described.

- The paths must reference only child and descendant axes, and they must test
  only element and attribute nodes or their names (possibly using wildcards). In
  particular, the paths must not involve predicates.
- You cannot specify both path exclusion and path inclusion; choose one or the other.
- If an index was created using path exclusion (inclusion), then you can modify
  it using only path exclusion (inclusion) index modification must either further
  restrict or further extend the path subset. For example, you cannot create an index
  that includes certain paths and subsequently modify it to exclude certain paths.

# 6.3.11 Guidelines for Using XMLIndex with an Unstructured Component

There are several guidelines that can help you use  $\mathtt{XMLIndex}$  with an unstructured component.

These guidelines are applicable only when the two alternatives discussed return the same result set.

- Avoid prefixing // with ancestor elements. For example, use //c, not /a/b//c, provided these return the same result set.
- Avoid prefixing /\* with ancestor elements. For example, use /\*/\*/\*, not /a/\*/\*, provided these return the same result set.



• In a WHERE clause, use XMLExists rather than XMLCast of XMLQuery. This can allow optimization that, in effect, invokes a subquery against the path-table VALUE column. For example, use this:

When possible, use count(\*), not count(XMLCast(XMLQuery(...)), in a SELECT clause. For example, if you know that a LineItem element in a purchase-order document has only one Description child, use this:

```
SELECT count(*) FROM po_binxml, XMLTable('//LineItem'
PASSING OBJECT_VALUE);
```

#### Do not use this:

• Reduce the number of XPath expressions used in a query FROM list as much as possible. For example, use this:

#### Do not use this:



• If you use an XPath expression in a query to drill down inside a virtual table (created, for example, using SQL/XML function XMLTable), then create a secondary index on the order key of the path table using Oracle SQL function sys\_orderkey\_depth. Here is an example of such a query; the selection navigates to element Description inside virtual line-item table 1i.

Such queries are evaluated using function <code>sys\_orderkey\_depth</code>, which returns the depth of the order-key value. Because the order index uses two columns, the index needed is a *composite* index over columns <code>ORDER\_KEY</code> and <code>RID</code>, as well as over function <code>sys\_orderkey\_depth</code> applied to the <code>ORDER\_KEY</code> value. For example:

```
CREATE INDEX depth_ix ON my_path_table
  (RID, sys_orderkey_depth(ORDER_KEY), ORDER_KEY);
```



Example 6-29 for an example that shows the use of sys\_orderkey\_depth

# 6.3.12 Guidelines for Using XMLIndex with a Structured Component

There are several guidelines that can help you use  ${\tt XMLIndex}$  with a structured component.

- Use XMLIndex with a structured component to project and index XML data as
  relational columns. Do not use function-based indexes; they are deprecated for
  use with XML. See Function-Based Indexes Are Deprecated for XMLType.
- Ensure data type correspondence between a query and an XMLIndex index that has a structured component. See Data Type Considerations for XMLIndex Structured Component.
- If you create a relational view over XMLType data (for example, using SQL function XMLTable), then consider also creating an XMLIndex index with a structured component that targets the same relational columns. See Relational Views over XML Data.
- Instead of using a single XQuery expression for both fragment extraction and value filtering (search), use SQL/XML function XMLQuery in the SELECT clause to extract fragments and XMLExists in the WHERE clause to filter values.

This lets Oracle XML DB evaluate fragment extraction functionally or by using streaming evaluation. For value filtering, this lets Oracle XML DB pick up an XMLIndex index that has a relevant structured component.



To order query results, use a SQL ORDER BY clause, together with SQL/XML function XMLTable. Avoid using the XQuery order by clause. This is particularly pertinent if you use an XMLIndex index with a structured component.

## 6.3.13 XMLIndex Partitioning and Parallelism

If you partition an XMLType table, or a table with an XMLType column, using range, list, or hash partitioning, you can also create an XMLIndex index on the table. You can optionally ensure that index creation and maintenance are carried out in parallel.

To ensure parallel index creation and maintenance, you use a PARALLEL clause (with optional degree) when creating or altering an XMLIndex index.

If you use the keyword LOCAL when you create the XMLIndex index, then the index and all of its storage tables are locally equipartitioned with respect to the base table.

If you do not use the keyword LOCAL then you cannot create an XMLIndex index on a partitioned table. Also, if you composite-partition a table, then you cannot create an XMLIndex index on it.

If you use a PARALLEL clause and the base table is partitioned or enabled for parallelism, then this can improve the performance for both DML operations (INSERT, UPDATE, DELETE) and index DDL operations (CREATE, ALTER, REBUILD).

Specifying parallelism for an index can also consume more storage, because storage parameters apply separately to each query server process. For example, an index created with an INITIAL value of 5M and a parallelism degree of 12 consumes at least 60M of storage during index creation.

The syntax for the parallelism clause for CREATE INDEX and ALTER INDEX is the same as for other domain indexes:

```
{ NOPARALLEL | PARALLEL [ integer ] }
```

Example 6-36 creates an XMLIndex index with a parallelism degree of 10. If the base table is partitioned, then this index is equipartitioned.

In Example 6-36, the path table and the secondary indexes are created with the same parallelism degree as the XMLIndex index itself, 10, by inheritance. You can specify different parallelism degrees for these by using separate PARALLEL clauses. Example 6-37 demonstrates this. Again, because of keyword LOCAL, if the base table is partitioned, then this index is equipartitioned.

In Example 6-37, the XMLIndex index itself is created serially, because of NOPARALLEL. The secondary index  $po\_pikey\_ix$  is also populated serially, because no parallelism is specified explicitly for it; it inherits the parallelism of the XMLIndex index. The path table itself is created with a parallelism degree of 10, and the secondary index value column,  $po\_value\_ix$ , is populated with a degree of 5, due to their explicit parallelism specifications.

Any parallelism you specify for an XMLIndex index, its path table, or its secondary indexes is exploited during subsequent DML operations and queries.

There are two places where you can specify parallelism for XMLIndex: within the PARAMETERS clause parenthetical expression and after it.



#### See Also:

Oracle Database SQL Language Reference for information on the CREATE INDEX parallel clause

#### **Example 6-36 Creating an XMLIndex Index in Parallel**

```
CREATE INDEX po_xmlindex_ix ON sale_info (sale_po_clob)
  INDEXTYPE IS XDB.XMLINdex
  LOCAL PARALLEL 10;
```

# Example 6-37 Using Different PARALLEL Degrees for XMLIndex Internal Objects

```
CREATE INDEX po_xmlindex_ix ON sale_info (sale_po_clob)
INDEXTYPE IS XDB.XMLINDEX
LOCAL NOPARALLEL PARAMETERS ('PATH TABLE po_path_table (PARALLEL 10)
PIKEY INDEX po_pikey_ix
VALUE INDEX po_value_ix (PARALLEL 5)');
```

#### **Related Topics**

- PARAMETERS Clause Syntax for CREATE INDEX and ALTER INDEX
   The syntax for the PARAMETERS clause for CREATE INDEX and ALTER INDEX is
   defined.
- Structured and Unstructured XMLIndex Components XMLIndex is used to index XML data that is unstructured or semi-structured, that is, data that generally has little or no fixed structure. It applies to XMLType data that is stored as binary XML.

# 6.3.14 Asynchronous (Deferred) Maintenance of XMLIndex Indexes

You can defer the cost of maintaining an XMLIndex index that has *only* an *unstructured* component, performing maintenance only at commit time or when database load is reduced. This can improve DML performance, and it can enable bulk loading of unsynchronized index rows when an index is synchronized.

This feature applies to an XMLIndex index that has *only* an *unstructured* component. If you specify asynchronous maintenance for an XMLIndex index that has a *structured* component (even if it also has an unstructured component), then an error is raised.

By default, XMLIndex indexing is updated (maintained) at each DML operation, so that it remains in sync with the base table. In some situations, you might not require this, and using possibly stale indexes might be acceptable. In that use case, you can decide to defer the cost of index maintenance, performing at commit time only or at some time when database load is reduced. This can improve DML performance. It can also improve index maintenance performance by enabling bulk loading of unsynchronized index rows when an index is synchronized.

Using a stale index has no effect, other than performance, on DML operations. It can have an effect on query results, however: If the index is not up-to-date at query time,



then the query results might not be up-to-date either. Even if only one column of a base table is of data type XMLType, all queries on that table reflect the database data as of the last synchronization of the XMLIndex index on the XMLType column.

You can specify index maintenance deferment using the parameters clause of a CREATE INDEX or ALTER INDEX statement.

Be aware that even if you defer synchronization for an XMLIndex index, the following database operations automatically synchronize the index:

- Any DDL operation on the index ALTER INDEX or creation of secondary indexes
- Any DDL operation on the base table ALTER TABLE or creation of another index

Table 6-7 lists the synchronization options and the ASYNC clause syntax you use to specify them. The ASYNC clause is used in the PARAMETERS clause of a CREATE INDEX or ALTER INDEX statement for XMLIndex.

Table 6-7 Index Synchronization

When to Synchronize	ASYNC Clause Syntax
Always	ASYNC (SYNC ALWAYS)
	This is the default behavior. You can specify it explicitly, to cancel a previous ASYNC specification.
Upon commit	ASYNC (SYNC ON COMMIT)
Periodically	ASYNC (SYNC EVERY "repeat_interval")
	$repeat\_interval$ is the same as for the calendaring syntax of <code>DBMS\_SCHEDULER</code>
	To use EVERY, you must have the CREATE JOB privilege.
Manually, on demand	ASYNC (SYNC MANUAL)
	You can manually synchronize the index using PL/SQL procedure DBMS_XMLINDEX.syncIndex.

Optional ASYNC syntax parameter STALE is intended for possible future use; you need never specify it explicitly. It has value FALSE whenever ALWAYS is used; otherwise it has value TRUE. Specifying an explicit STALE value that contradicts this rule raises an error.

Example 6-38 creates an XMLIndex index that is synchronized every Monday at 3:00 pm, starting tomorrow.

Example 6-39 manually synchronizes the index created in Example 6-38.

When XMLIndex index synchronization is deferred, all DML changes (inserts, updates, and deletions) made to the base table since the last index synchronization are recorded in a pending table, one row per DML operation. The name of this table is the value of column PEND\_TABLE\_NAME of static public views USER\_XML\_INDEXES, ALL\_XML\_INDEXES, and DBA\_XML\_INDEXES.

You can examine this table to determine when synchronization might be appropriate for a given XMLIndex index. The more rows there are in the pending table, the more the index is likely to be in need of synchronization.

If the pending table is large, then setting parameter REINDEX to TRUE when calling syncIndex, as in Example 6-39, can improve performance. When REINDEX is TRUE, all



of the secondary indexes are dropped and then re-created after the pending table data is bulk-loaded.

#### See Also:

- Oracle Database PL/SQL Packages and Types Reference, section
   "Calendaring Syntax", for the syntax of repeat interval
- Oracle Database PL/SQL Packages and Types Reference for information on PL/SQL procedure DBMS\_XMLINDEX.syncIndex

#### **Example 6-38** Specifying Deferred Synchronization for XMLIndex

CREATE INDEX po\_xmlindex\_ix ON po\_binxml (OBJECT\_VALUE) INDEXTYPE IS XDB.XMLIndex PARAMETERS ('ASYNC (SYNC EVERY "FREQ=HOURLY; INTERVAL = 1")');

#### Example 6-39 Manually Synchronizing an XMLIndex Index Using SYNCINDEX

EXEC DBMS\_XMLINDEX.syncIndex('OE', 'PO\_XMLINDEX\_IX', REINDEX => TRUE);

Syncing an XMLIndex Index in Case of Error ORA-08181 If a query raises error ORA-08181, check whether the base XMLType table of the query has an XMLIndex index with an unstructured component. If so, then manually synchronize the XMLIndex index using DBMS\_XMLINDEX.syncIndex.

## 6.3.14.1 Syncing an XMLIndex Index in Case of Error ORA-08181

If a query raises error ORA-08181, check whether the base  ${\tt XMLType}$  table of the query has an  ${\tt XMLIndex}$  index with an unstructured component. If so, then manually synchronize the  ${\tt XMLIndex}$  index using  ${\tt DBMS\_XMLINDEX.syncIndex}$ .

This applies only if error ORA-08181 is raised in the following situation:

- 1. In a pluggable database, PDB1, you created an XMLType table or column XTABCOL, which you indexed using an XMLIndex index that has an unstructured component.
- 2. You plugged PDB1 into a container database.
- 3. You cloned PDB1 to a new pluggable database, PDB2.
- 4. Error ORA-08181 is raised when you query XTABCOL in PDB2.

If the error is raised even after synchronizing then seek another cause. Error ORA-08181 is a general error that can be raised in various situations, of which this is only one.

#### **Related Topics**

Oracle XML DB and Database Consolidation
 Each pluggable database has its own Oracle XML DB Repository, and its own Oracle XML DB configuration file, xdbconfig.xml.



# 6.3.15 Collecting Statistics on XMLIndex Objects for the Cost-Based Optimizer

The Oracle Database cost-based optimizer determines how to most cost-effectively evaluate a given query, including which indexes, if any, to use. For it to be able to do this accurately, you must collect statistics on various database objects.



The following applies only to procedures in package <code>DBMS\_STATS</code>; it does not apply to <code>ANALYZE INDEX</code>.

For XMLIndex, you normally need to collect statistics on only the base table on which the XMLIndex index is defined (using, for example, procedure DBMS\_STATS.gather\_table\_stats). This automatically collects statistics for the XMLIndex index itself, as well as the path table, its secondary indexes, and any structured component content tables and their secondary indexes.

If you delete statistics on the base table (using procedure DBMS\_STATS.delete\_table\_stats), then statistics on the other objects are also deleted. Similarly, if you collect statistics on the XMLIndex index (using procedure DBMS\_STATS.gather\_index\_stats), then statistics are also collected on the path table, its secondary indexes, and any structured component content tables and their secondary indexes.

Example 6-40 collects statistics on the base table po\_binxml. Statistics are automatically collected on the XMLIndex index, its path table, and the secondary pathtable indexes.



Data Dictionary Static Public Views Related to XMLIndex for information about database views that record statistics information for an XMLIndex index

#### **Example 6-40** Automatic Collection of Statistics on XMLIndex Objects

CALL DBMS\_STATS.gather\_table\_stats(USER, 'PO\_BINXML', ESTIMATE PERCENT => NULL);

## 6.3.16 Data Dictionary Static Public Views Related to XMLIndex

Information about the standard database indexes is available in static public views USER\_INDEXES, ALL\_INDEXES, and DBA\_INDEXES. Similar information about XMLIndex indexes is available in static public views USER\_XML\_INDEXES, ALL\_XML\_INDEXES, and DBA\_XML\_INDEXES.

Table 6-8 describes the columns in each of these views.



Table 6-8 XMLIndex Static Public Views

Column Name	Туре	Description
ASYNC	VARCHAR2	Asynchronous index updating specification. See Asynchronous (Deferred) Maintenance of XMLIndex Indexes.
EX_OR_INCLUDE	VARCHAR2	<ul> <li>Path subsetting:</li> <li>FULLY_IX – The index uses no path subsetting.</li> <li>EXCLUDE – The index uses only exclusion subsetting.</li> <li>INCLUDE – The index uses only inclusion subsetting.</li> </ul>
INDEX_NAME	VARCHAR2	Name of the XMLIndex index.
INDEX_OWNER	VARCHAR2	Owner of the index. Not available for USER_XML_INDEXES.
INDEX_TYPE	VARCHAR2	The types of components the index is composed of: STRUCTURED, UNSTRUCTURED, or STRUCTURED AND UNSTRUCTURED.
PARAMETERS	XMLType	Information from the PARAMETERS clause that was used to create the index.
		If an unstructured XMLIndex component is present, the PARAMETERS clause can include the set of XPath paths defining path-subsetting and the name of a scheduler job for synchronization.
		If a structured component is present, the PARAMETERS clause includes the name of the structure group and the table definitions provided by XMLTable, including the XQuery expressions that define the columns.
PATH_TABLE_NAME	VARCHAR2	Name of the XMLIndex path table.
PEND_TABLE_NAME	VARCHAR2	Name of the table that records base-table DML operations since the last index synchronization. See Asynchronous (Deferred) Maintenance of XMLIndex Indexes.
TABLE_NAME	VARCHAR2	Name of the base table on which the index is defined.
TABLE_OWNER	VARCHAR2	Owner of the base table on which the index is defined.

These views provide information about an XMLIndex index, but there is no single static data dictionary view that provides information about the statistics gathered for an XMLIndex index. This statistics information is distributed among the following views:

- USER\_INDEXES, ALL\_INDEXES, DBA\_INDEXES Column LAST\_ANALYZED provides the date when the XMLIndex index was last analyzed.
- USER\_TAB\_STATISTICS, ALL\_TAB\_STATISTICS, DBA\_TAB\_STATISTICS Column TABLE\_NAME provides information about the structured and unstructured components of an XMLIndex index. For information about the structured or unstructured component, query using the name of the path table or the XMLTable table as TABLE\_NAME, respectively.



• USER\_IND\_STATISTICS, ALL\_IND\_STATISTICS, DBA\_IND\_STATISTICS — Column INDEX\_NAME provides information about each of the secondary indexes for an XMLIndex index. for information about a given secondary index, query using the name of that secondary index as INDEX\_NAME.

### 6.3.17 PARAMETERS Clause for CREATE INDEX and ALTER INDEX

Creation or modification of an XMLIndex index often involves the use of a PARAMETERS clause with SQL statement CREATE INDEX or ALTER INDEX. You can use it to specify index characteristics in detail.

You can use PL/SQL procedures registerParameter and modifyParameter in package DBMS\_XMLINDEX to bypass the 1000-character PARAMETERS clause limit.

Using a Registered PARAMETERS Clause for XMLIndex
The string value used for the PARAMETERS clause of a GRADUE

The string value used for the PARAMETERS clause of a GRADUE

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The string value used for the parameters of a GRADUE

The string value used

The string value used for the PARAMETERS clause of a CREATE INDEX or ALTER INDEX statement has a 1000-character limit. To get around this limitation, you can use PL/SQL procedures registerParameter and modifyParameter in package DBMS\_XMLINDEX.

- PARAMETERS Clause Syntax for CREATE INDEX and ALTER INDEX
  The syntax for the PARAMETERS clause for CREATE INDEX and ALTER INDEX is defined.
- Usage of XMLIndex\_parameters\_clause

When you create an XMLIndex index, if there is no XMLIndex\_parameters\_clause, then the new index has only an unstructured component. If there is an XMLIndex\_parameters\_clause, but the PARAMETERS argument is empty (''), then the result is the same: an index with only an unstructured component.

- Usage of XMLIndex\_parameters
   Certain considerations apply to using XMLIndex\_parameters.
- Usage of PATHS Clause
   Certain considerations apply to using the PATHS clause.
- Usage of create\_index\_paths\_clause and alter\_index\_paths\_clause Certain considerations apply to using create\_index\_paths\_clause and alter\_index\_paths\_clause.
- Usage of pikey\_clause, path\_id\_clause, and order\_key\_clause
   Syntactically, each of the clauses pikey\_clause, path\_id\_clause, and order\_key\_clause is optional. A pikey index is created even if you do not specify a pikey\_clause. To create a path id index or an order-key index, you must specify a path\_id\_clause or an order\_key\_clause, respectively.
- Usage of value\_clause
   Certain considerations apply to using value\_clause.
- Usage of async\_clause
   Certain considerations apply to using the ASYNC clause.
- Usage of groups\_clause and alter\_index\_group\_clause Clause groups\_clause is used only with CREATE INDEX (or following ADD GROUP in clause alter\_index\_group\_clause). Clause alter\_index\_group\_clause is used only with ALTER INDEX.
- Usage of XMLIndex\_xmltable\_clause
   Certain considerations apply to using XMLIndex\_xmltable\_clause.



Usage of column\_clause
 Certain considerations apply to using column clause.

#### See Also:

- Oracle Database SQL Language Reference for the syntax of index\_attributes
- Oracle Database SQL Language Reference for the syntax of segment\_attributes\_clause
- Oracle Database SQL Language Reference for the syntax of table\_properties
- Oracle Database SQL Language Reference for the syntax of parallel\_clause
- Oracle Database SQL Language Reference for additional information about the syntax and semantics of CREATE INDEX
- Oracle Database SQL Language Reference for additional information about the syntax and semantics of ALTER INDEX
- Oracle Database PL/SQL Packages and Types Reference, section "Calendaring Syntax", for the syntax of repeat\_interval

# 6.3.17.1 Using a Registered PARAMETERS Clause for XMLIndex

The string value used for the PARAMETERS clause of a CREATE INDEX or ALTER INDEX statement has a 1000-character limit. To get around this limitation, you can use PL/SQL procedures registerParameter and modifyParameter in package DBMS\_XMLINDEX.

For each of these procedures, you provide a string of parameters (unlimited in length) and an identifier under which the string is registered. Then, in the index PARAMETERS clause, you provide the identifier preceded by the keyword PARAM, instead of a literal string.

The identifier must already have been registered before you can use it in a CREATE INDEX or ALTER INDEX statement.

See Also:

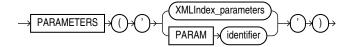
Example 6-23

## 6.3.17.2 PARAMETERS Clause Syntax for CREATE INDEX and ALTER INDEX

The syntax for the Parameters clause for Create Index and Alter Index is defined.



#### XMLIndex\_parameters\_clause ::=



#### See Also:

Usage of XMLIndex\_parameters\_clause

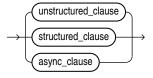
#### XMLIndex\_parameters ::=



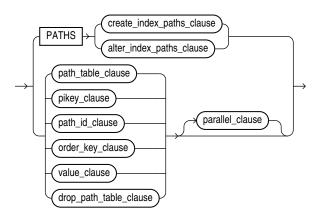
#### See Also:

Usage of XMLIndex\_parameters

#### XMLIndex\_parameter\_clause ::=

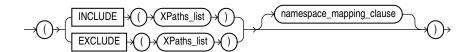


#### unstructured\_clause ::=





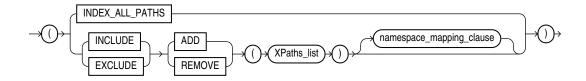
#### create\_index\_paths\_clause ::=



#### See Also:

- Usage of PATHS Clause
- Usage of create\_index\_paths\_clause and alter\_index\_paths\_clause

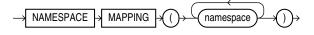
#### alter\_index\_paths\_clause ::=



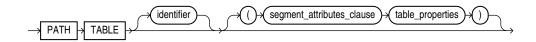
#### See Also:

- Usage of PATHS Clause
- Usage of create\_index\_paths\_clause and alter\_index\_paths\_clause

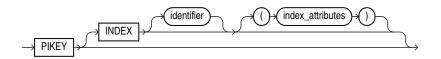
#### namespace\_mapping\_clause ::=



#### path\_table\_clause ::=



#### pikey\_clause ::=

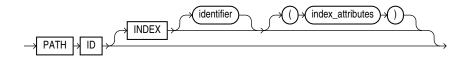




#### ✓ See Also:

Usage of pikey\_clause, path\_id\_clause, and order\_key\_clause

#### path\_id\_clause ::=



#### See Also:

Usage of pikey\_clause, path\_id\_clause, and order\_key\_clause

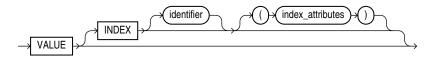
#### order\_key\_clause ::=



#### See Also:

Usage of pikey\_clause, path\_id\_clause, and order\_key\_clause

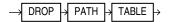
#### value\_clause ::=



#### See Also:

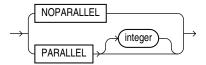
Usage of value\_clause

#### drop\_path\_table\_clause ::=

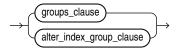




#### parallel\_clause ::=



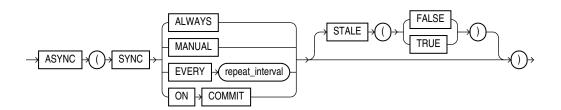
#### structured\_clause ::=



#### See Also:

Usage of groups\_clause and alter\_index\_group\_clause

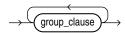
#### async\_clause ::=



#### See Also:

Usage of async\_clause

#### groups\_clause ::=

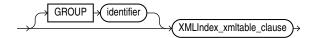


#### See Also:

Usage of groups\_clause and alter\_index\_group\_clause



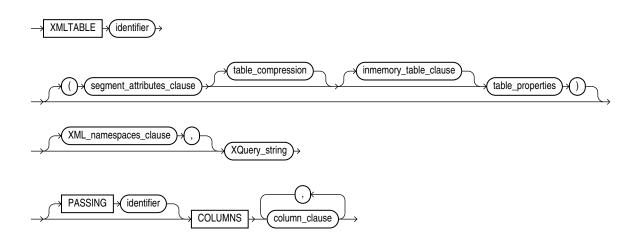
#### group\_clause ::=



#### See Also:

Usage of groups\_clause and alter\_index\_group\_clause

#### XMLIndex\_xmltable\_clause ::=

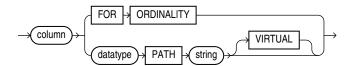


Syntax elements  $XML\_namespaces\_clause$  and  $XQuery\_string$  are the same as for SQL/XML function XMLTable.

## See Also:

- Usage of XMLIndex\_xmltable\_clause
- XMLTABLE SQL/XML Function in Oracle XML DB

#### column\_clause ::=



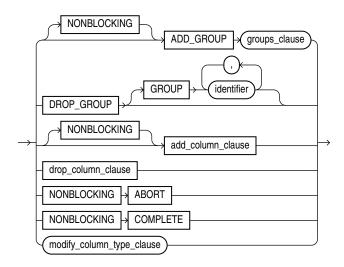


Syntax element  $column\_clause$  is similar, but not identical, to XML\_table\_column in SQL/XML function XMLTable.



- Usage of column\_clause
- XMLTABLE SQL/XML Function in Oracle XML DB

#### alter\_index\_group\_clause ::=



#### See Also:

Usage of groups\_clause and alter\_index\_group\_clause

#### add\_column\_clause :==

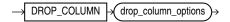


#### add\_column\_options :==

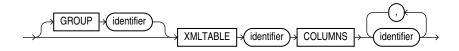


Syntax element XML\_namespaces\_clause is the same as for SQL/XML function XMLTable. See XMLTABLE SQL/XML Function in Oracle XML DB.

drop\_column\_clause :==



drop\_column\_options :==



modify\_column\_type\_clause :==



modify\_column\_type\_options :==



## 6.3.17.3 Usage of XMLIndex parameters clause

When you create an XMLIndex index, if there is no XMLIndex\_parameters\_clause, then the new index has only an unstructured component. If there is an XMLIndex\_parameters\_clause, but the PARAMETERS argument is empty (''), then the result is the same: an index with only an unstructured component.

#### See Also:

- Oracle Database SQL Language Reference for information about the use context for XMLIndex\_parameters\_clause in CREATE INDEX
- Oracle Database SQL Language Reference for information about the use context for XMLIndex\_parameters\_clause in ALTER INDEX



## 6.3.17.4 Usage of XMLIndex parameters

Certain considerations apply to using XMLIndex\_parameters.

- There can be at most one XMLIndex\_parameter\_clause of each type in XMLIndex\_parameters. For example, there can be at most one PATHS clause, at most one path table clause, and so on.
- If there is no structured\_clause when you create an XMLIndex index, then the new index has only an unstructured component. If there is only a structured\_clause, then the new index has only a structured component.

## 6.3.17.5 Usage of PATHS Clause

Certain considerations apply to using the PATHS clause.

- There can be at most one PATHS clause in a CREATE INDEX statement. That is, there can be at most one occurrence of PATHS followed by create\_index\_paths\_clause.
- Clause create\_index\_paths\_clause is used only with CREATE INDEX; alter\_index\_paths\_clause is used only with ALTER INDEX.

## 6.3.17.6 Usage of create index paths clause and alter index paths clause

Certain considerations apply to using <code>create\_index\_paths\_clause</code> and <code>alter\_index\_paths\_clause</code>.

- The INDEX\_ALL\_PATHS keyword rebuilds the index to include all paths. This keyword is available only for alter\_index\_paths\_clause, not create\_index\_paths\_clause.
- An explicit list of paths to index can include wildcards and //.
- XPaths\_list is a list of one or more XPath expressions, each of which includes only child axis, descendant axis, name test, and wildcard (\*) constructs.
- If XPaths\_list is omitted from create\_index\_paths\_clause, all paths are indexed.
- For each unique namespace prefix that is used in an XPath expression in XPaths\_list, a standard XML namespace declaration is needed, to provide the corresponding namespace information.
- You can change an index in ways that are not reflected directly in the syntax by
  dropping it and then creating it again as needed. For example, to change an index
  that was defined by including paths to one that is defined by excluding paths, drop
  it and then create it using EXCLUDE.

## 6.3.17.7 Usage of pikey\_clause, path\_id\_clause, and order\_key\_clause

Syntactically, each of the clauses pikey\_clause, path\_id\_clause, and order\_key\_clause is optional. A pikey index is created even if you do not specify a pikey\_clause. To create a path id index or an order-key index, you must specify a path\_id\_clause or an order\_key\_clause, respectively.



## 6.3.17.8 Usage of value clause

Certain considerations apply to using value\_clause.

- Column VALUE is created as VARCHAR2 (4000).
- If clause value\_clause consists only of the keyword VALUE, then the value index is created with the usual default attributes.
- If clause path\_id\_clause consists only of the keywords PATH ID, then the path-id index is created with the usual default attributes.
- If clause order\_key\_clause consists only of the keywords ORDER KEY, then the order-key index is created with the usual default attributes.

## 6.3.17.9 Usage of async clause

Certain considerations apply to using the ASYNC clause.

- Use this feature only with an XMLIndex index that has *only* an *unstructured* component. If you specify an ASYNC clause for an XMLIndex index that has a *structured* component, then an error is raised.
- ALWAYS means automatic synchronization occurs for each DML statement.
- MANUAL means no automatic synchronization occurs. You must manually synchronize the index using DBMS\_XMLINDEX.syncIndex.
- EVERY repeat\_interval means automatically synchronize the index at interval repeat\_interval. The syntax of repeat\_interval is the same as that for PL/SQL package DBMS\_SCHEDULER, and it must be enclosed in double quotation marks ("). To use EVERY you must have the CREATE JOB privilege.
- ON COMMIT means synchronize the index immediately after a commit operation. The commit does not return until the synchronization is complete. Since the synchronization is performed as a separate transaction, there can be a short period when the data is committed but index changes are not yet committed.
- STALE is optional. A value of TRUE means that query results might be stale; a value of FALSE means that query results are always up-to-date. The default value, and the only permitted explicitly specified value, is as follows.
  - For always, Stale is false.
  - For any other ASYNC option besides ALWAYS, STALE is TRUE.

## 6.3.17.10 Usage of groups\_clause and alter\_index\_group\_clause

Clause groups\_clause is used only with CREATE INDEX (or following ADD GROUP in clause alter\_index\_group\_clause). Clause alter\_index\_group\_clause is used only with ALTER INDEX.

## 6.3.17.11 Usage of XMLIndex xmltable clause

Certain considerations apply to using XMLIndex\_xmltable\_clause.

The XQuery\_string expression in XMLIndex\_xmltable\_clause must not use the XQuery functions ora:view (desupported), fn:doc, or fn:collection.



- Oracle XML DB raises an error if a given XMLIndex\_xmltable\_clause contains more than one column\_clause of data type XMLType. To achieve the effect of defining two such virtual columns, you must instead add a separate group clause.
- The PASSING clause in XMLIndex\_xmltable\_clause is optional. If not present, then an XMLType column is passed implicitly, as follows:
  - For the first XMLIndex\_xmltable\_clause in a parameters clause, the XMLType column being indexed is passed implicitly. (When indexing an XMLType table, pseudocolumn OBJECT\_VALUE is passed.)
  - For each subsequent XMLIndex\_xmltable\_clause, the VIRTUAL XMLType column of the preceding XMLIndex\_xmltable\_clause is passed implicitly.

# 6.3.17.12 Usage of column\_clause

Certain considerations apply to using column\_clause.

When you use multilevel chaining of XMLTable in an XMLIndex index, the XMLTable table at one level corresponds to an XMLType column at the previous level. The syntax description shows keyword VIRTUAL as optional. In fact, it is used only for such an XMLType column, in which case it is *required*. It is an error to use it for a non-XMLType column. VIRTUAL specifies that the XMLType column itself is not materialized, meaning that its data is stored in the index only in the form of the relational columns specified by its corresponding XMLTable table.

# 6.4 Indexing XML Data for Full-Text Queries

When you need full-text search over XML data, Oracle recommends that you store your XMLType data as binary XML and you use XQuery Full Text (XQFT). You use an XML search index for this. This is the topic of this section.

If portability and standardized code are not a concern, or if your XMLType data is stored object-relationally, then you can alternatively use the Oracle-specific full-text constructs and syntax provided by Oracle Text, specifically Oracle SQL function contains.

You can perform XQuery Full Text (XQFT) queries on XMLType data that is stored as binary XML. If you use an XQFT full-text predicate in an XMLExists expression within a SQL WHERE clause, then you must create an XML search index. This section describes the creation and use of such an index.

- Creating and Using an XML Search Index
   An XQuery Full Text query can use an XML search index to improve performance.
- What To Do If an XML Search Index Is Not Picked Up
  You can modify your query to ensure that certain conditions are satisfied, so its
  evaluation picks up an XML search index.
- Pragma ora:no\_schema: Using XML Schema-Based Data with XQuery Full Text
  Oracle recommends in general that you use non XML Schema-based XMLType
  data when you use XQuery Full Text and an XML search index. But you can
  in some circumstances use XML Schema-based XMLType data that is stored as
  binary XML. Oracle XQuery pragma ora:no\_schema can be useful in this context.
- Pragma ora:use\_xmltext\_idx: Forcing the Use of an XML Search Index
   You can use XQuery pragma ora:use\_xmltext\_idx to force the use of an XML
   search index.



Migrating from Using Oracle Text Index to XML Search Index
 If you have legacy queries for XMLType data stored as binary XML that use
 SQL function CONTAINS and an Oracle Text index that is not XML-enabled, then
 consider using XQuery Full Text constructs instead.

#### **Related Topics**

Support for XQuery Full Text
Oracle XML DB supports XQuery Full Text for XMLType data that is stored as binary XML. Oracle Text technology provides the full-text indexing and search that is the basis of this support.

See Also:

Example 6-46

# 6.4.1 Creating and Using an XML Search Index

An XQuery Full Text query can use an XML search index to improve performance.

To create an XML search index you must be granted database role CTXAPP. More generally, this role is needed to create Oracle Text indexes, to set Oracle Text index preferences, or to use Oracle Text PL/SQL packages.

Before creating the index, you must create an Oracle Text path section group and set its XML ENABLE attribute to t. This makes the path section group XML-aware.

For best performance, create an index preference of type BASIC\_STORAGE in the Oracle Text data dictionary, specifying the following attributes:

- D\_TABLE\_CLAUSE Specify SECUREFILE storage for column DOC of index data table \$D, which contains information about the structure of your XML documents.
   Specify caching and medium compression.
- I\_TABLE\_CLAUSE Specify SECUREFILE storage for column TOKEN\_INFO of index data table \$1, which contains information about full-text tokens and their occurrences in the indexed documents. Specify *caching* (but not compression).

This is illustrated in Example 6-41, which uses a non XML-schema-based XMLType table, po\_binxml (which has the same data as table purchaseorder in standard database schema OE).

Index preference BASIC\_STORAGE specifies the tablespace and creation parameters for the database tables and indexes that constitute an Oracle Text index.



#### See Also:

- Oracle Text Reference for information about section groups
- Oracle Text Reference for information about procedure CTX\_DDL.set\_sec\_grp\_attr
- Oracle Text Reference for information about procedure CTX\_DDL.create\_preference
- Oracle Text Reference for information about procedure CTX\_DDL.set\_attribute
- Oracle Text Reference for information about preference BASIC\_STORAGE, D\_TABLE\_CLAUSE, and I\_TABLE\_CLAUSE

Example 6-42 queries the data to retrieve the Description elements whose text contains both Big and Street, in that order.

Example 6-43 shows the execution plan for the query, which indicates that index po\_ctx\_idx is picked up.

#### Example 6-41 Creating an XML Search Index

```
BEGIN
  \verb|CTX_DDL.create_section_group|| \verb|'mysecgroup'|, | \verb|'PATH_SECTION_GROUP'||); \\
  CTX_DDL.set_sec_grp_attr('mysecgroup', 'XML_ENABLE', 'T');
  CTX_DDL.create_preference('mypref', 'BASIC_STORAGE');
  CTX_DDL.set_attribute('mypref',
                          'D_TABLE_CLAUSE',
                          'TABLESPACE my_ts
                          LOB(DOC) STORE AS SECUREFILE
                          (TABLESPACE my_ts COMPRESS MEDIUM CACHE) ');
  CTX_DDL.set_attribute('mypref',
                          'I_TABLE_CLAUSE',
                          'TABLESPACE my_ts
                          LOB(TOKEN_INFO) STORE AS SECUREFILE
                          (TABLESPACE my_ts NOCOMPRESS CACHE) ');
END;
/
CREATE INDEX po_ctx_idx ON po_binxml(OBJECT_VALUE)
  INDEXTYPE IS CTXSYS.CONTEXT
  PARAMETERS('storage mypref section group mysecgroup');
```

#### Example 6-42 XQuery Full Text Query



Example 6-43	<b>Execution Plan for XQuer</b>	v Full Text Query
--------------	---------------------------------	-------------------

Id	Operation	Name	Rows	Bytes	Cost	(%CPU)  Ti	ime
1	SELECT STATEMENT TABLE ACCESS BY IN DOMAIN INDEX	NDEX ROWID  PO_BINXML   PO_CTX_IDX	1	2014	4	1 (0)   00 1 (0)   00 1 (0)   00	0:00:01

Predicate Information (identified by operation id):

#### Note

--

- dynamic sampling used for this statement (level=2)
- Unoptimized XML construct detected (enable XMLOptimizationCheck for more information)

21 rows selected.

# 6.4.2 What To Do If an XML Search Index Is Not Picked Up

You can modify your query to ensure that certain conditions are satisfied, so its evaluation picks up an XML search index.

If you use an XQuery full-text predicate in an XMLExists expression within a SQL WHERE clause, but you do not create an XML search index or the index cannot be used for some reason, then compile-time error ORA-18177 is raised.

If this error is raised then your execution plan does not indicate that the index is picked up. In the plan you do not see operation <code>DOMAIN INDEX</code> followed by the name of the index.

In that case, try to change your query to enable the index to be used. The following conditions must both apply for the index to be picked up:

- The expression that computes the XML nodes for the search context must be an XPath expression whose steps are only along *forward* and *descendent axes*.
- You can pass only one XMLType instance as a SQL expression in the PASSING clause of SQL/XML function XMLExists, and each of the other, non-XMLType SQL expressions in that clause must be either a *compile-time constant* of a SQL built-in data type or a *bind variable* that is bound to an instance of such a data type.

# 6.4.3 Pragma ora:no\_schema: Using XML Schema-Based Data with XQuery Full Text

Oracle recommends in general that you use *non* XML Schema-based XMLType data when you use XQuery Full Text and an XML search index. But you can in some circumstances use XML Schema-based XMLType data that is stored as binary XML. Oracle XQuery pragma ora:no\_schema can be useful in this context.

By default, when an XML search index is used to evaluate XML Schema-based data, compile-time error ORA-18177 is raised. This is because the full-text indexing itself makes no use of the associated XML schema: it is not type-aware. It treats all of the text that it applies to as untyped. This error is raised even if you type-cast data

appropriately and thus do not depend on the XML schema to cast types implicitly. Example 6-44 illustrates this.

The error raised draws this to your attention, in case you might be expecting a full-text condition in your query to depend on XML Schema types and typed operations.

In order to use a condition that depends on types you must explicitly cast the relevant XQuery expressions to the appropriate types. Do not expect Oracle XML DB to use the XML schema to perform implicit type casting. Failure to type-cast appropriately can lead to results that you might not expect.

Example 6-45 shows a query of XML Schema-based data that uses explicit type-casting to ensure that the proper condition is evaluated.

However, most uses of XQuery Full Text expressions, even with XML Schema-based data, do not involve data that is typed. Just remember that if you do use a condition that makes use of typed data then you must cast to the proper type.

In sum, if you are sure that your query does not involve typed data, or if you judge that it is all right to treat particular typed data as if it were untyped, or if you explicitly type-cast any data that needs to be typed, then you can use Oracle XQuery pragma ora:no\_schema in your query to inhibit raising the error and allow evaluation of the query using an XML search index.

# Example 6-44 XQuery Full Text Query with XML Schema-Based Data: Error ORA-18177

```
SELECT XMLQuery('/PurchaseOrder/LineItems/LineItems'
PASSING OBJECT_VALUE RETURNING CONTENT)

FROM oe.purchaseorder
WHERE XMLExists('/PurchaseOrder
[LineItems/LineItem/@ItemNumber > xs:integer("20")
and Actions/Action/User contains text "KPARTNER"]'
PASSING OBJECT_VALUE);
FROM oe.purchaseorder

*

ERROR at line 3:

ORA-18177: XQuery full text expression '/PurchaseOrder
[LineItems/LineItem/@ItemNumber > xs:integer("20")
and Actions/Action/User contains text "KPARTNER"]'
cannot be evaluated using XML text index
```

# Example 6-45 Using XQuery Pragma ora:no\_schema with XML Schema-Based Data

```
SELECT XMLQuery('/PurchaseOrder/LineItems/LineItem'

PASSING OBJECT_VALUE RETURNING CONTENT)

FROM oe.purchaseorder

WHERE XMLExists('(# ora:no_schema #)

{/PurchaseOrder

[LineItems/LineItem/@ItemNumber > xs:integer("20")

and Actions/Action/User contains text "KPARTNER"]}'

PASSING OBJECT_VALUE);
```

# 6.4.4 Pragma ora:use\_xmltext\_idx: Forcing the Use of an XML Search Index

You can use XQuery pragma ora:use\_xmltext\_idx to force the use of an XML search index.

A given query involving XML data can be evaluated in various ways, depending on the existence of different indexes and other factors. Sometimes the default evaluation method is not the most performant and it would be more efficient to force the use of an existing XML search index. You can use XQuery pragma ora:use\_xmltext\_idx to do this. (An XML search index applies only to XMLType data stored as binary XML.)

For example, a WHERE clause might include two XMLExists expressions, only one of which involves an XQuery full-text condition, and you might have an XMLIndex index that applies to the XMLExists expression that has no full-text condition. With such a query it is typically more efficient to use an XML search index to evaluate the entire WHERE clause.

Even in some cases where there is no full-text condition in the query, the use of an XML search index can provide the most efficient query evaluation.

The query in Example 6-46 illustrates the use of pragma <code>ora:use\_xmltext\_idx</code>. Only the first of the <code>XMLExists</code> clauses uses a full-text condition. Because of the pragma, the full-text index (<code>po\_ctx\_idx</code>, created in Example 6-41) is used for both <code>XMLExists</code> clauses.

#### Example 6-46 Full-Text Query with XQuery Pragma ora:use\_xmltext\_idx

```
SELECT XMLQuery('/PurchaseOrder/LineItems/LineItem'

PASSING OBJECT_VALUE RETURNING CONTENT)

FROM po_binxml

WHERE XMLExists('/PurchaseOrder/LineItems/LineItem

[Description contains text "Picnic"]' PASSING OBJECT_VALUE)

AND XMLExists('(# ora:use_xmltext_idx #) {/PurchaseOrder[User="SBELL"]}'

PASSING OBJECT VALUE);
```

# 6.4.5 Migrating from Using Oracle Text Index to XML Search Index

If you have legacy queries for XMLType data stored as binary XML that use SQL function CONTAINS and an Oracle Text index that is not XML-enabled, then consider using XQuery Full Text constructs instead.

The XQuery and XPath Full Text (XQFT) standard is supported by Oracle XML DB starting with Oracle Database 12c Release 1 (12.1). This support applies only to XMLType data stored as binary XML. Prior to that release, for full-text querying of XML data you could use only an Oracle Text index that was not XML-enabled (not an XML search index), and your full-text queries necessarily used Oracle-specific constructs: SQL function CONTAINS.

If you have legacy code that does this, Oracle recommends that you migrate that code to use XQFT. This section provides information about which XQFT constructs you can use to replace the use of <code>CONTAINS</code>.

This use of an Oracle Text index can also be replaced by the use of an XML search index. To replace a query that uses HASPATH by one that uses a simple XQuery expression, you use Oracle XQuery pragma ora:use\_xmltext\_idx to specify that the XML search index is to be picked up. This section also illustrates this.

Table 6-9 provides a mapping from typical queries that use Oracle-specific constructs to queries that use XQuery Full Text.



Table 6-9 Migrating Oracle-Specific XML Queries to XQuery Full Text

Original Example		Replacement Example		
CONTAINS(t.x, 'Description1)')	HASPATH (/P/LIs/LI/ > 0	<pre>XMLExists('(# ora:use_xmltext_idx #)</pre>		
		Or if the data is XML Schema-based:		
		<pre>XMLExists('(# ora:use_xmltext_idx #)</pre>		
CONTAINS(t.x, '	Big INPATH (/P/LIs/LI/Description)')	<pre>XMLExists('\$d/P/LIs/LI/Description       [. contains text "Big"]'       PASSING t.x AS "d")</pre>		
		Or if the data is XML Schema-based:		
		<pre>XMLExists('(# ora:no_schema #)</pre>		
<pre>CONTAINS(t.x, ' &gt; 0</pre>	(Big) <b>AND</b> (Street) INPATH (/P/LIs/LI/Description)')	<pre>XMLExists('\$d/P/LIs/LI/Description</pre>		
CONTAINS(t.x, '	(Big) <b>OR</b> (Street) INPATH (/P/LIs/LI/Description)')	<pre>XMLExists('\$d/P/LIs/LI/Description</pre>		
CONTAINS(t.x, 'INPATH > 0	({Big}) NOT ({Street}) (/P/LIs/LI/Description)')	<pre>XMLExists('\$d/P/LIs/LI/Description      [. contains text           "Big" ftand ftnot "Street"]'           PASSING t.x AS "d")</pre>		



Table 6-9 (Cont.) Migrating Oracle-Specific XML Queries to XQuery Full Text

Original Example	Replacement Example
<pre>CONTAINS(t.x, '({Street}) MNOT ({Big Street}) INPATH</pre>	<pre>XMLExists('\$d/P/LIs/LI/Description</pre>
<pre>CONTAINS(t.x, '(NEAR (({Big}, {Street}), 3) INPATH</pre>	<pre>XMLExists('\$d/P/LIs/LI/Description</pre>
(Not applicable – Oracle Text queries are not XML namespace aware.)	<pre>XMLExists('declare namespace</pre>

The path test can contain a predicate expression, which is the same for both the original query (with HASPATH) and its replacement. For example: /PurchaseOrder/LineItems/LineItem/Part[@Id < "31415927"].

# 6.5 Indexing XMLType Data Stored Object-Relationally

You can effectively index XMLType data that is stored object-relationally by creating B-tree indexes on the underlying database columns that correspond to XML nodes.

If the data to be indexed is a *singleton*, that is, if it can occur only once in any XML instance document, then you can use a *shortcut* of ostensibly creating a function-based index, where the expression defining the index is a functional application, with an XPath-expression argument that targets the singleton data. A shortcut is defined for XMLCast applied to XMLQuery, and another shortcut is defined for (deprecated) Oracle SQL function extractValue.

In many cases, Oracle XML DB then automatically creates appropriate indexes on the underlying object-relational tables or columns; it does *not* create a function-based index on the targeted XMLType data as the CREATE INDEX statement would suggest.

In the case of the <code>extractValue</code> shortcut, the index created is a B-tree index. In the case of <code>XMLCast</code> applied to <code>XMLQuery</code>, the index created is a function-based index on the scalar value resulting from the functional expression.

If the data to be indexed is a *collection*, then you cannot use such a shortcut; you must create the B-tree indexes manually.

# • Indexing Non-Repeating Text Nodes or Attribute Values Table purchaseorder in sample database schema OE is stored object-relationally. Each purchase-order document has a single Reference element; this element is

a singleton. You can thus use a shortcut to create an index on the underlying object-relational data.

#### • Indexing Repeating (Collection) Elements

In XMLType data stored object-relationally, a collection is stored as an ordered collection table (OCT) of an XMLType instance, which means that you can directly access its members. Because object-relational storage directly reflects the finegrained structure of the XML data, you can create indexes that target individual collection members.

## 6.5.1 Indexing Non-Repeating Text Nodes or Attribute Values

Table purchaseorder in sample database schema OE is stored object-relationally. Each purchase-order document has a single Reference element; this element is a singleton. You can thus use a shortcut to create an index on the underlying object-relational data.

Example 6-47 shows a CREATE INDEX statement that ostensibly tries to create a function-based index using XMLCast applied to XMLQuery, targeting the text content of element Reference. (The content of this element is only text, so targeting the element is the same as targeting its text node using XPath node test text().)

Example 6-48 ostensibly tries to create a function-based index using (deprecated) Oracle SQL function extractValue, targeting the same data.

In reality, in both Example 6-47 and Example 6-48 no function-based index is created on the targeted XMLType data. Instead, Oracle XML DB rewrites the CREATE INDEX statements to create indexes on the underlying scalar data.



Example 19-7 and Example 19-8 for information about XPath rewrite as it applies to such CREATE INDEX statements

In some cases when you use either of these shortcuts, the CREATE INDEX statement is not able to create an index on the underlying scalar data as described, and it instead actually does create a function-based index on the referenced XMLType data. (This is so, even if the *value* of the index might be a scalar.)

If this happens, drop the index, and create instead an XMLIndex index with a structured component that targets the same XPath. As a general rule, Oracle recommends against using a function-based index on XMLType data.

This is an instance of a general rule for XMLType data, regardless of the storage method used: Use an XMLIndex with a structured component instead of a function-based index. This rule applies starting with Oracle Database 11g Release 2 (11.2). Respecting this rule obviates the overhead associated with maintenance operations on function-based indexes, and it can increase the number of situations in which the optimizer can correctly select the index.



# Example 6-47 CREATE INDEX Using XMLCAST and XMLQUERY on a Singleton Element

```
CREATE INDEX po_reference_ix ON purchaseorder

(XMLCast(XMLQuery ('$p/PurchaseOrder/Reference' PASSING po.OBJECT_VALUE AS "p"

RETURNING CONTENT)

AS VARCHAR2(128)));
```

#### Example 6-48 CREATE INDEX Using EXTRACTVALUE on a Singleton Element

```
CREATE INDEX po_reference_ix ON purchaseorder
  (extractValue(OBJECT_VALUE, '/PurchaseOrder/Reference'));
```

#### **Related Topics**

Function-Based Indexes Are Deprecated for XMLType
In releases prior to Oracle Database 11g Release 2 (11.2), function-based indexes
were sometimes appropriate for use with XMLType data when an XPath expression
targeted a singleton node. Oracle recommends that you use the structured
component of XMLIndex instead.

## 6.5.2 Indexing Repeating (Collection) Elements

In XMLType data stored object-relationally, a collection is stored as an ordered collection table (OCT) of an XMLType instance, which means that you can directly access its members. Because object-relational storage directly reflects the finegrained structure of the XML data, you can create indexes that target individual collection members.

You must create such indexes manually. The special feature of automatically creating B-tree indexes when you ostensibly create a function-based index for (deprecated) Oracle SQL function <code>extractValue</code> does *not* apply to collections (the XPath expression passed to <code>extractValue</code> must target a singleton).

To create B-tree indexes for a collection, you must understand the structure of the SQL object that is used to manage the collection. Given this information, you can use conventional object-relational SQL code to created the indexes directly on the appropriate SQL-object attributes. Refer to Guideline: Create indexes on ordered collection tables for an example of how to do this.



7

# Transformation and Validation of XMLType Data

There are several Oracle SQL functions and XMLType APIs for transforming XMLType data using XSLT stylesheets and for validating XMLType instances against an XML schema.

#### XSL Transformation and Oracle XML DB

You can apply XSL transformations to XML Schema-based documents using the built-in Oracle XML DB XSLT processor. In-database XML-specific optimizations can significantly reduce the memory required, eliminate the overhead associated with parsing, and reduce network traffic.

Validation of XMLType Instances

Besides needing to know whether a particular XML document is well-formed, you often need to know whether it conforms to a given XML schema, that is, whether it is valid with respect to that XML schema.

## 7.1 XSL Transformation and Oracle XML DB

You can apply XSL transformations to XML Schema-based documents using the built-in Oracle XML DB XSLT processor. In-database XML-specific optimizations can significantly reduce the memory required, eliminate the overhead associated with parsing, and reduce network traffic.

The W3C XSLT Recommendation defines an XML language for specifying how to transform XML documents from one form to another. See XSL Transformations (XSLT) Version 1.0 for information about the XSLT standard.

Transformation can include mapping from one XML schema to another or mapping from XML to some other format such as HTML or WML.

XSL transformation can be costly in terms of the amount of memory and processing required. In typical XSL processors, the entire source document and stylesheet must be parsed and loaded into memory, before processing can begin. Typically, XSL processors use DOM to provide dynamic memory representations of document and stylesheet, to allow random access to their different parts. The XSL processor then applies the stylesheet to the source document, generating a third document.

Parsing and loading the document and stylesheet into memory before beginning transformation requires significant memory and processor resources. It is especially inefficient when only a small part of the document needs to be transformed.

Oracle XML DB includes an XSLT processor that performs XSL transformations *inside the database*. In this way, it can provide XML-specific optimizations that can significantly reduce the memory required to perform the transformation, eliminate overhead associated with parsing, and reduce network traffic.

These optimizations are available, however, *only* when the source for the transformation is a *schema-based* XML document. In that case, there is no need to

parse before processing can begin. The Oracle XML DB lazily loaded virtual DOM loads content only on demand, as the nodes are accessed. This also reduces the memory required, because only parts of the document that need to be processed are loaded.

You can transform XML data in the following ways:

- In Oracle Database Using Oracle SQL function XMLtransform, XMLType method transform(), or PL/SQL package DBMS\_XSLPROCESSOR
- In the middle tier Using Oracle XML Developer's Kit transformation options, such as XSLT Processor for Java.

#### See Also:

- Oracle Database SQL Language Reference for information about SQL function XMLTransform
- PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR) and Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL package DBMS\_XSLPROCESSOR
- Oracle XML Developer's Kit Programmer's Guide for information about XSLT Processor for Java

Each of these XML transformation methods takes as input a source XML document and an XSL stylesheet in the form of XMLType instances. For SQL function XMLtransform and XMLType method transform(), the result of the transformation can be an XML document or a non-XML document, such as HTML. However, for PL/SQL package DBMS\_XSLPROCESSOR, the result of the transformation is expected to be a valid XML document. Any HTML data generated by a transformation using package DBMS\_XSLPROCESSOR is XHTML data, which is both valid XML data and valid HTML data.

Example 7-1 shows part of an XSLT stylesheet, PurchaseOrder.xsl. The complete stylesheet is given in XSLT Stylesheet Example, PurchaseOrder.xsl.

These is nothing Oracle XML DB-specific about the stylesheet of Example 7-1. A stylesheet can be stored in an XMLType table or column or stored as non-schema-based XML data inside Oracle XML DB Repository.

#### Example 7-1 XSLT Stylesheet Example: PurchaseOrder.xsl



```
<B>PurchaseOrder </B>
     </FONT>
   </span>
 </center>
 <br/>
 <center>
   <xsl:for-each select="Reference">
    <span style="font-family:Arial; font-weight:bold">
      <xsl:apply-templates/>
    </span>
   </xsl:for-each>
 </center>
</xsl:for-each>
 <xsl:for-each select="PurchaseOrder">
 </xsl:for-each>
 <P/>
   <xsl:for-each select="PurchaseOrder">
    <hr/>
   </xsl:for-each>
 </P>
</P>
<xsl:for-each select="PurchaseOrder"/>
<xsl:for-each select="PurchaseOrder">
 <t.r>
      <P>
          <R>
            <FONT SIZE="+1" COLOR="#FF0000" FACE="Arial, Helvetica, sans-serif">Internal</FONT>
          </B>
        </P>
       <FONT COLOR="#FF0000">
           <FONT SIZE="+1">Ship To</FONT>
          </FONT>
        <xsl:for-each select="ShippingInstructions">
          <xsl:if test="position()=1"/>
        </xsl:for-each>
        <xsl:for-each select="ShippingInstructions">
        </xsl:for-each>
```

SQL Function XMLTRANSFORM and XMLType Method TRANSFORM()

SQL function  $\mathtt{XMLtransform}$  transforms an XML document by using an XSLT stylesheet. It returns the processed output as XML, HTML, and so on, as specified by the stylesheet.

XSL Transformation Using DBUri Servlet

You can apply an XSL transformation to XML content that is generated by the DBUri servlet.

# 7.1.1 SQL Function XMLTRANSFORM and XMLType Method TRANSFORM()

SQL function XMLtransform transforms an XML document by using an XSLT stylesheet. It returns the processed output as XML, HTML, and so on, as specified by the stylesheet.

Figure 7-1 shows the syntax of Oracle SQL function XMLtransform. This function takes as arguments an XMLType instance and an XSLT stylesheet. The stylesheet can be an XMLType instance or a VARCHAR2 string literal. It applies the stylesheet to the instance and returns an XMLType instance.

Figure 7-1 XMLTRANSFORM Syntax



You can alternatively use XMLType method transform() as an alternative to Oracle SQL function XMLtransform. It has the same functionality.

Figure 7-2 shows how XMLtransform transforms an XML document by using an XSLT stylesheet. It returns the processed output as XML, HTML, and so on, as specified by the XSLT stylesheet. You typically use XMLtransform when retrieving or generating XML documents stored as XMLType in the database.



Figure 7-2 Using XMLTRANSFORM



XMLTRANSFORM and XMLType.transform(): Examples
 Examples illustrate how to use Oracle SQL function XMLtransform and XMLType method transform() to transform XML data stored as XMLType to various formats.

## 7.1.1.1 XMLTRANSFORM and XMLType.transform(): Examples

Examples illustrate how to use Oracle SQL function XMLtransform and XMLType method transform() to transform XML data stored as XMLType to various formats.

Example 7-2 sets up an XML schema and tables that are needed to run other examples in this chapter. The call to deleteSchema here ensures that there is

no existing XML schema before creating one. If no such schema exists, then deleteSchema raises an error.

Example 7-3 stores an XSLT stylesheet, then retrieves it and uses it with Oracle SQL function XMLTransform to transform the XML data stored in Example 7-2.

Example 7-4 uses  $\mathtt{XMLType}$  method  $\mathtt{transform}()$  with an XSL stylesheet created on the fly.

Example 7-5 uses XMLTransform to apply an XSL stylesheet to produce HTML code. PL/SQL constructor XDBURIType reads the XSL stylesheet from Oracle XML DB Repository.

Only part of the HTML result is shown in Example 7-5. Omitted parts are indicated with an ellipsis (. . .). Figure 7-3 shows what the transformed result looks like in a Web browser.

#### Example 7-2 Registering an XML Schema and Inserting XML Data

```
BEGIN
  -- Delete the schema, if it already exists.
 DBMS_XMLSCHEMA.deleteSchema('http://www.example.com/schemas/ipo.xsd',4);
BEGIN
  -- Register the schema
 DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.example.com/schemas/ipo.xsd',
    SCHEMADOC => '<schema targetNamespace="http://www.example.com/IPO"</pre>
                           xmlns="http://www.w3.org/2001/XMLSchema"
                          xmlns:ipo="http://www.example.com/IPO">
                    <!-- annotation>
                      <documentation xml:lang="en">
                       International Purchase order schema for Example.com
                       Copyright 2000 Example.com. All rights reserved.
                      </documentation>
                    </annotation -->
                    <element name="purchaseOrder" type="ipo:PurchaseOrderType"/>
                    <element name="comment" type="string"/>
                    <complexType name="PurchaseOrderType">
                      <sequence>
                        <element name="shipTo"</pre>
                                                   type="ipo:Address"/>
                        <element name="billTo"</pre>
                                                  type="ipo:Address"/>
                        <element ref="ipo:comment" minOccurs="0"/>
                        <element name="items"</pre>
                                                   type="ipo:Items"/>
                      </sequence>
                      <attribute name="orderDate" type="date"/>
                    </complexType>
                    <complexType name="Items">
                      <sequence>
                         <element name="item" minOccurs="0" maxOccurs="unbounded">
                           <complexType>
                             <sequence>
                               <element name="productName" type="string"/>
                               <element name="quantity">
                                 <simpleType>
                                   <restriction base="positiveInteger">
                                     <maxExclusive value="100"/>
                                   </restriction>
                                 </simpleType>
                               </element>
```



```
<element name="USPrice"</pre>
                                                          type="decimal"/>
                               <element ref="ipo:comment" minOccurs="0"/>
                               <element name="shipDate"</pre>
                                                           type="date" minOccurs="0"/>
                             </sequence>
                             <attribute name="partNum" type="ipo:SKU" use="required"/>
                           </complexType>
                         </element>
                      </sequence>
                    </complexType>
                     <complexType name="Address">
                      <sequence>
                         <element name="name"</pre>
                                                 type="string"/>
                         <element name="street" type="string"/>
                        <element name="city"</pre>
                                                 type="string"/>
                        <element name="state" type="string"/>
                        <element name="country" type="string"/>
                        <element name="zip"
                                                type="string"/>
                      </sequence>
                    </complexType>
                    <simpleType name="SKU">
                      <restriction base="string">
                        <pattern value="[0-9]{3}-[A-Z]{2}"/>
                      </restriction>
                    </simpleType>
                  </schema>',
   LOCAL
             => TRUE,
    GENTYPES => TRUE);
END;
-- Create table to hold XML purchase-order documents, and insert the documents
DROP TABLE po_tab;
CREATE TABLE po_tab (id NUMBER, xmlcol XMLType)
XMLType COLUMN xmlcol
XMLSCHEMA "http://www.example.com/schemas/ipo.xsd"
ELEMENT "purchaseOrder";
INSERT INTO po_tab
 VALUES(1, XMLType(
              '<?xml version="1.0"?>
               <ipo:purchaseOrder</pre>
                 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                 xmlns:ipo="http://www.example.com/IPO"
                 xsi:schemaLocation="http://www.example.com/IPO
                                      http://www.example.com/schemas/ipo.xsd"
                 orderDate="1999-12-01">
                 <shipTo>
                   <name>Helen Zoe</name>
                   <street>121 Broadway</street>
                   <city>Cardiff</city>
                   <state>Wales</state>
                   <country>UK</country>
                   <zip>CF2 1QJ</zip>
                 </shipTo>
                 <br/>
<br/>
hillTo>
                   <name>Robert Smith
                   <street>8 Oak Avenue/street>
                   <city>Old Town</city>
                   <state>CA</state>
                   <country>US</country>
                   <zip>95819</zip>
```

# Example 7-3 Using SQL Function XMLTRANSFORM to Apply an XSL Stylesheet

```
DROP TABLE stylesheet_tab;
CREATE TABLE stylesheet_tab (id NUMBER, stylesheet XMLType);
INSERT INTO stylesheet_tab
 VALUES (1,
          XMLType(
            '<?xml version="1.0" ?>
             <xsl:stylesheet version="1.0"</pre>
                             xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
               <xsl:template match="*">
                 >
                   <xsl:choose>
                     <xsl:when test="count(child::*) > 1">
                        <xsl:call-template name="nested"/>
                     </xsl:when>
                     <xsl:otherwise>
                        <xsl:value-of select="name(.)"/>:<xsl:value-of</pre>
                                                            select="text()"/>
                     </xsl:otherwise>
                   </xsl:choose>
                 </xsl:template>
               <xsl:template match="*" name="nested" priority="-1"</pre>
mode="nested2">
                   <!-- xsl:value-of select="count(child::*)"/ -->
                   <xsl:choose>
                     <xsl:when test="count(child::*) > 1">
                        <xsl:value-of select="name(.)"/>:<xsl:apply-templates</pre>
                                                            mode="nested2"/>
                     </xsl:when>
                     <xsl:otherwise>
                        <xsl:value-of select="name(.)"/>:<xsl:value-of</pre>
                                                            select="text()"/>
                     </xsl:otherwise>
                   </xsl:choose>
                 </b>
               </xsl:template>
             </xsl:stylesheet>'));
SELECT XMLSerialize(DOCUMENT XMLtransform(x.xmlcol, y.stylesheet)
                    AS VARCHAR2(1000))
 AS result FROM po_tab x, stylesheet_tab y WHERE y.id = 1;
```

This produces the following output (pretty-printed here for readability):

```
RESULT
<b>ipo:purchaseOrder:
    <b>shipTo:
      <br/><b>name:Helen Zoe</b>
      <br/><b>street:100 Broadway</b>
      <br/>city:Cardiff</b>
      <b>state:Wales</b>
      <b>country:UK</b>
      <br/><b>zip:CF2 1QJ</b>
    <b>billTo:
      <b>name:Robert Smith</b>
      <br/><b>street:8 Oak Avenue</b>
      <br/><b>city:Old Town</b>
      <b>state:CA</b>
      <b>country:US</b>
      <b>zip:95819</b>
    </b>
    <b>items:</b>
  </b>
```

# Example 7-4 Using XMLType Method TRANSFORM() with a Transient XSL Stylesheet

```
SELECT XMLSerialize(
         DOCUMENT
         x.xmlcol.transform(
           XMLType('<?xml version="1.0" ?>
                  <xsl:stylesheet</pre>
                      version="1.0"
                      xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
                    <xsl:template match="*">
                      >
                         <xsl:choose>
                           <xsl:when test="count(child::*) > 1">
                             <xsl:call-template name="nested"/>
                          </xsl:when>
                           <xsl:otherwise>
                             <xsl:value-of
                                select =
                                  "name(.)"/>:<xsl:value-of select="text()"/>
                           </xsl:otherwise>
                        </xsl:choose>
                      </xsl:template>
                    <xsl:template match="*" name="nested" priority="-1"</pre>
                                  mode="nested2">
                        <!-- xsl:value-of select="count(child::*)"/ -->
                        <xsl:choose>
                           <xsl:when test="count(child::*) > 1">
                             <xsl:value-of select="name(.)"/>:
                             <xsl:apply-templates mode="nested2"/>
                           </xsl:when>
                           <xsl:otherwise>
                             <xsl:value-of</pre>
                               select =
                                  "name(.)"/>:<xsl:value-of select="text()"/>
```

# Example 7-5 Using XMLTRANSFORM to Apply an XSL Stylesheet Retrieved Using XDBURIType

```
SELECT
 XMLTransform(
   OBJECT_VALUE,
   \textbf{XDBURIType('/source/schemas/poSource/xsl/purchaseOrder.xsl').getXML())}
 FROM purchaseorder
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2002100912333601PDT"]'
               PASSING OBJECT_VALUE AS "p");
XMLTRANSFORM(OBJECT_VALUE, XDBURITYPE('/SOURCE/SCHEMAS/POSOURCE/XSL/PURCHASEORDER.XSL').GET
<html xmlns:xdb="http://xmlns.oracle.com/xdb"</pre>
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
 <body bgcolor="#003333" text="#FFFFCC" link="#FFCC00" vlink="#66CC99" alink="#669999">
   <FONT FACE="Arial, Helvetica, sans-serif">
      <span style="font-family:Arial; font-weight:bold">
        <FONT COLOR="#FF0000">
          <B>PurchaseOrder </B>
        </FONT>
      </span>
     </center>
     <br/>>
      <span style="font-family:Arial; font-weight:bold">SBELL-2002100912333601PDT/
     </center>
     <P>
      <br/>
      <P/>
      <P>
        <br/>
      </P>
     <P>
             <B>
               <FONT SIZE="+1" COLOR="#FF0000" FACE="Arial, Helvetica,
                    sans-serif">Internal</FONT>
             </B>
            <FONT COLOR="#FF0000">
               <FONT SIZE="+1">Ship To</FONT>
```

```
</FONT>
        </B>
        \langle br/ \rangle
    <FONT COLOR="#FF0000" SIZE="+1">Items:</FONT>
   </B>
   <br/>>
   <br/>
   </FONT>
 </body>
</html>
```

### 7.1.2 XSL Transformation Using DBUri Servlet

1 row selected.

You can apply an XSL transformation to XML content that is generated by the DBUri servlet.

Figure 7-3 shows the result of such a transformation. The URL is the following (it is split and truncated here):

http://localhost:8080/oradb/SCOTT/PURCHASEORDER/ROW/PurchaseOrder[Reference="SBELL-2003030912333601PDT"] contenttype=text/html&transform=/home/SCOTT/xsl/purchaseOrder.xsl...

The presence of parameter transform causes the DBUri servlet to use SQL function XMLTransform to apply the XSL stylesheet at /home/SCOTT/xsl/purchaseOrder.xsl to the PurchaseOrder document that is identified by the main URL. The result of the transformation, which is HTML code, is returned to the browser for display. The URL also uses parameter contentType to specify that the MIME-type of the final document is text/html.



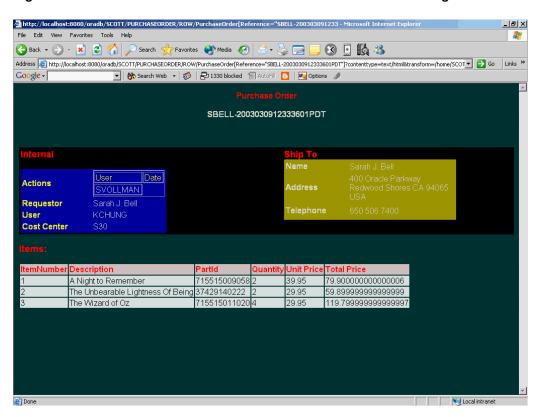


Figure 7-3 Database XSL Transformation of a PurchaseOrder Using DBUri Servlet

Figure 7-4 shows table departments displayed as an HTML document. You need no code to achieve this. You need only an XMLType view based on SQL/XML functions, an industry-standard XSL stylesheet, and DBUri servlet.



Figure 7-4 Database XSL Transformation of Departments Table Using DBUri Servlet

# 7.2 Validation of XMLType Instances

Besides needing to know whether a particular XML document is well-formed, you often need to know whether it conforms to a given XML schema, that is, whether it is valid with respect to that XML schema.

XML schema-based data that is stored as binary XML it is automatically validated fully whenever it is inserted or updated. This validation does not require building a DOM. It is done using streaming, which is efficient and minimizes memory use.

For XMLType data that is stored object-relationally, full validation requires building a DOM, which can be costly in terms of memory management. For this reason, Oracle XML DB does not automatically perform full validation when you insert or update data that is stored object-relationally.

However, in the process of decomposing XML data to store it object-relationally, Oracle XML DB does automatically perform partial validation, to ensure that the structure of the XML document conforms to the SQL data type definitions that were derived from the XML schema.

If you require full validation for XMLType data stored object-relationally, then consider validating on the client before inserting the data into the database or updating it.

You can use the following to perform full validation and manipulate the recorded validation status of XML documents:

- Oracle SQL function **XMLIsValid** and XMLType method **IsSchemaValid()** Run the validation process unconditionally. Do not record any validation status. Return:
  - 1 if the document is determined to be valid.
  - 0 if the document is determined to be *invalid* or the validity of the document cannot be determined.
- XMLType method SchemaValidate() Runs the validation process if the validation status is 0, which it is by default. Sets the validation status to 1 if the document is determined to be *valid*. (Otherwise, the status remains 0.)
- XMLType method isSchemaValidated() returns the recorded validation status of an XMLType instance.
- XMLType method setSchemaValidated() sets (records) the validation status of an XMLType instance.

The validation status indicates knowledge of validity, as follows:

- 1 means that the document is known to be *valid*.
- 0 means that validity of the document is unknown. The document might have been shown to be invalid during a validation check, but that invalidity is not recorded.
   A recorded validation status of 0 indicates only a lack of knowledge about the document's validity.
- Partial and Full XML Schema Validation
   When you insert XML Schema-based documents into the database they can be validated partially or fully.
- Validating XML Data Stored as XMLType: Examples
   Examples here illustrate how to use Oracle SQL function XMLIsValid and XMLType
   methods isSchemaValid() and schemaValidate() to validate XML data being
   stored as XMLType in Oracle XML DB.

#### See Also:

- Oracle Database SQL Language Reference for information about Oracle SQL function XMLIsValid
- Oracle Database PL/SQL Packages and Types Reference for information about XMLType methods IsSchemaValid(), IsSchemaValidated(), SchemaValidate(), and setSchemaValidated()

### 7.2.1 Partial and Full XML Schema Validation

When you insert XML Schema-based documents into the database they can be validated partially or fully.

Partial Validation

For binary XML storage, Oracle XML DB validates XML Schema-based documents fully when they are inserted into an XMLType table or column. For object-relational XML storage, only a partial validation is performed. This is because complete XML schema validation is quite costly for object-relational storage, in terms of performance.



#### Full Validation

Loading XML Schema-based data into binary XML storage fully validates it against the target XML schemas. For object-relational XMLType storage, you can force full validation at any time.

#### 7.2.1.1 Partial Validation

For binary XML storage, Oracle XML DB validates XML Schema-based documents fully when they are inserted into an XMLType table or column. For object-relational XML storage, only a partial validation is performed. This is because complete XML schema validation is guite costly for object-relational storage, in terms of performance.

**Partial validation** ensures only that all of the mandatory elements and attributes are present, and that there are no unexpected elements or attributes in the document. That is, it ensures only that the structure of the XML document conforms to the SQL data type definitions that were derived from the XML schema. Partial validation does not ensure that the instance document is fully compliant with the XML schema.

Example 7-6 provides an example of failing partial validation while inserting an XML document into table PurchaseOrder, which is stored object-relationally.

#### Example 7-6 Error When Inserting Incorrect XML Document (Partial Validation)

#### 7.2.1.2 Full Validation

Loading XML Schema-based data into binary XML storage fully validates it against the target XML schemas. For object-relational  ${\tt XMLType}$  storage, you can force full validation at any time.

To force full validation, use either of the following:

- Table level CHECK constraint
- PL/SQL BEFORE INSERT trigger

Both approaches ensure that only valid XML documents can be stored in the XMLType table.

The advantage of a TABLE CHECK constraint is that it is easy to code. The disadvantage is that it is based on Oracle SQL function XMLisValid, so it can only indicate whether or not the XML document is valid. If an XML document is invalid, a TABLE CHECK constraint cannot provide any information about why it is invalid.

A BEFORE INSERT trigger requires slightly more code. The trigger validates the XML document by invoking XMLType method schemaValidate(). The advantage of using schemaValidate() is that the exception raised provides additional information about what was wrong with the instance document. Using a BEFORE INSERT trigger

also makes it possible to attempt corrective action when an invalid document is encountered.

Full XML Schema Validation Costs Processing Time and Memory Usage
 Unless you are using binary XML storage, full XML schema validation costs
 processing time and memory. You should thus perform full XML schema validation
 only when necessary.

#### 7.2.1.2.1 Full XML Schema Validation Costs Processing Time and Memory Usage

Unless you are using binary XML storage, full XML schema validation costs processing time and memory. You should thus perform full XML schema validation only when necessary.

If you can rely on your application to validate an XML document then you can obtain higher overall throughput with non-binary XML storage, by avoiding the overhead associated with full validation. If you cannot be sure about the validity of incoming XML documents, you can rely on the database to ensure that an XMLType table or column contains only schema-valid XML documents.

Example 7-7 shows how to force a full XML schema validation by adding a CHECK constraint to an XMLType table. In Example 7-7, the XML document InvalidReference is a not valid with respect to the XML schema. The XML schema defines a minimum length of 18 characters for the text node associated with the Reference element. In this document, the node contains the value SBELL-20021009, which is only 14 characters long. Partial validation would not catch this error. Unless the constraint or trigger is present, attempts to insert this document into the database would succeed.

#### Example 7-7 Forcing Full XML Schema Validation Using a CHECK Constraint

Pseudocolumn <code>OBJECT\_VALUE</code> can be used to access the content of an <code>XMLType</code> table from within a trigger. Example 7-8 illustrates this, showing how to use a <code>BEFORE INSERT</code> trigger to validate that the data being inserted into the <code>XMLType</code> table conforms to the specified <code>XML</code> schema.

# Example 7-8 Enforcing Full XML Schema Validation Using a BEFORE INSERT Trigger

```
CREATE OR REPLACE TRIGGER validate_purchaseorder BEFORE INSERT ON purchaseorder
```



```
FOR EACH ROW
BEGIN
  IF (:new.OBJECT_VALUE IS NOT NULL)
THEN : new.OBJECT_VALUE.schemavalidate();
  END IF;
END;
INSERT INTO purchaseorder
  VALUES (XMLType(bfilename('XMLDIR', 'InvalidReference.xml'),
                  nls_charset_id('AL32UTF8')));
  VALUES (XMLType( bfilename('XMLDIR', 'InvalidReference.xml'),
ERROR at line 2:
ORA-31154: invalid XML document
ORA-19202: Error occurred in XML processing
LSX-00221: "SBELL-20021009" is too short (minimum length is 18)
LSX-00213: only 0 occurrences of particle "sequence", minimum is 1
ORA-06512: at "SYS.XMLTYPE", line 354
ORA-06512: at "QUINE.VALIDATE_PURCHASEORDER", line 3
ORA-04088: error during execution of trigger
'QUINE.VALIDATE_PURCHASEORDER'
```

### 7.2.2 Validating XML Data Stored as XMLType: Examples

Examples here illustrate how to use Oracle SQL function  $\tt XMLIsValid$  and  $\tt XMLType$  methods  $\tt isSchemaValid()$  and  $\tt schemaValidate()$  to validate XML data being stored as  $\tt XMLType$  in Oracle XML DB.

Example 7-9 and Example 7-10 show how to validate an XML instance against an XML schema using PL/SQL method isSchemaValid().

XMLType method schemaValidate() can be used within INSERT and UPDATE triggers to ensure that all instances stored in the table are validated against the XML schema. Example 7-11 illustrates this.

Example 7-12 uses Oracle SQL function XMLIsValid to do the following:

- Verify that the XMLType instance conforms to the specified XML schema
- Ensure that the incoming XML documents are valid by using CHECK constraints



The validation functions and procedures described in Validation of XMLType Instances facilitate validation checking. Of these, schemaValidate is the only one that raises errors that indicate why validation has failed.

#### Example 7-9 Validating XML Using Method ISSCHEMAVALID() in SQL



#### Example 7-10 Validating XML Using Method ISSCHEMAVALID() in PL/SQL

```
DECLARE
   xml_instance XMLType;
BEGIN
   SELECT x.xmlcol INTO xml_instance FROM po_tab x WHERE id = 1;
   If xml_instance.isSchemaValid('http://www.example.com/schemas/ipo.xsd') = 0
    THEN raise_application_error(-20500, 'Invalid Instance');
    ELSE DBMS_OUTPUT.put_line('Instance is valid');
   END IF;
END;
/
Instance is valid
```

 $\ensuremath{\text{PL}/\text{SQL}}$  procedure successfully completed.

# Example 7-11 Validating XML Using Method SCHEMAVALIDATE() within Triggers

```
DROP TABLE po_tab;
CREATE TABLE po_tab OF XMLType
   XMLSCHEMA "http://www.example.com/schemas/ipo.xsd" ELEMENT "purchaseOrder";
CREATE TRIGGER emp_trig BEFORE INSERT OR UPDATE ON po_tab FOR EACH ROW

DECLARE
   newxml XMLType;
BEGIn
   newxml := :new.OBJECT_VALUE;
   XMLTYPE.schemavalidate(newxml);
END;
//
```

# Example 7-12 Checking XML Validity Using XMLISVALID Within CHECK Constraints

```
DROP TABLE po_tab;
CREATE TABLE po_tab OF XMLType
   (CHECK(XMLIsValid(OBJECT_VALUE) = 1))
   XMLSCHEMA "http://www.example.com/schemas/ipo.xsd" ELEMENT "purchaseOrder";
```



# Part III

# Relational Data To and From XML Data

There are various ways that you can view existing relational data as XML data.

- Generation of XML Data from Relational Data
   Oracle XML DB provides features for generating (constructing) XML data from
   relational data in the database. There are both SQL/XML standard functions and
   Oracle-specific functions and packages for generating XML data from relational
   content.
- Relational Views over XML Data
   Relational database views over XML data provide conventional, relational access
   to XML content.
- XMLType Views
  You can create XMLType views over relational and object-relational data.



8

# Generation of XML Data from Relational Data

Oracle XML DB provides features for generating (constructing) XML data from relational data in the database. There are both SQL/XML standard functions and Oracle-specific functions and packages for generating XML data from relational content.

#### Overview of Generating XML Data

You can generate XML data using Oracle XML DB using standard SQL/XML functions, Oracle-specific SQL functions, PL/SQL subprograms from package DBMS\_XMLGEN, or DBURIType.

#### Generation of XML Data Using SQL Functions

Oracle XML DB provides SQL functions that you can use to construct XML data. Most of these belong to the SQL/XML standard.

#### Generation of XML Data Using DBMS\_XMLGEN

PL/SQL package DBMS\_XMLGEN creates XML documents from SQL query results. It retrieves an XML document as a CLOB or XMLType value.

#### SYS\_XMLAGG Oracle SQL Function

Oracle SQL function  $sys\_XMLAgg$  aggregates all XML documents or fragments represented by an expression, producing a single XML document from them. It wraps the results of the expression in a new element named ROWSET (by default).

- Ordering Query Results Before Aggregating, Using XMLAGG ORDER BY Clause To use the XMLAgg ORDER BY clause before aggregation, specify the ORDER BY clause following the first XMLAGG argument.
- Returning a Rowset Using XMLTABLE

You can use standard SQL/XML function XMLTable to return a rowset with relevant portions of a document extracted as multiple rows.



XQuery and Oracle XML DB for information about constructing XML data using SQL/XML functions XMLQuery and XMLTable

## 8.1 Overview of Generating XML Data

You can generate XML data using Oracle XML DB using standard SQL/XML functions, Oracle-specific SQL functions, PL/SQL subprograms from package DBMS\_XMLGEN, or DBURIType.

 Use standard SQL/XML functions. See Generation of XML Data Using SQL Functions.

- Use Oracle SQL functions . See the following sections:
  - XMLCOLATTVAL Oracle SQL Function
  - XMLCDATA Oracle SQL Function
  - SYS\_XMLAGG Oracle SQL Function. This operates on groups of rows, aggregating several XML documents into one.
- Use PL/SQL package DBMS\_XMLGEN. See Generation of XML Data Using DBMS\_XMLGEN.
- Use a DBURIType instance to construct XML documents from database data. See Data Access Using URIs.

#### See Also:

- Overview of How To Use Oracle XML DB
- Transformation and Validation of XMLType Data
- PL/SQL APIs for XMLType
- Java DOM API for XMLType

# 8.2 Generation of XML Data Using SQL Functions

Oracle XML DB provides SQL functions that you can use to construct XML data. Most of these belong to the SQL/XML standard.

The standard XML-generation functions are also known as SQL/XML **publishing** or **generation** functions.

The use of SQL/XML function xmlQuery is not limited to generating (publishing) XML data. Function xmlQuery is very general and is referred to in this book as a SQL/XML query and update function.

The following XML-generating SQL functions are Oracle-specific (not part of the SQL/XML standard):

- XMLCOLATTVAL Oracle SQL Function.
- XMLCDATA Oracle SQL Function.
- SYS\_XMLAGG Oracle SQL Function. This operates on groups of relational rows, aggregating several XML documents into one.

All of the XML-generation SQL functions convert scalars and user-defined data-type instances to their canonical XML format. In this canonical mapping, user-defined data-type attributes are mapped to XML elements.

• XMLELEMENT and XMLATTRIBUTES SQL/XML Functions SQL/XML standard function XMLElement constructs XML elements from relational data. SQL/XML standard function XMLAttributes can be used together with XMLElement, to specify attributes for the generated elements.



#### XMLFOREST SQL/XML Function

You use SQL/XML standard function XMLForest to construct a forest of XML elements.

#### XMLCONCAT SQL/XML Function

You use SQL/XML standard function  $\tt XMLConcat$  to construct an XML fragment by concatenating multiple  $\tt XMLType$  instances.

#### XMLAGG SQL/XML Function

You use SQL/XML standard function XMLAgg to construct a forest of XML elements from a collection of XML elements — it is an aggregate function.

#### XMLPI SQL/XML Function

You use SQL/XML standard function XMLPI to construct an XML processing instruction (PI).

#### XMLCOMMENT SQL/XML Function

You use SQL/XML standard function XMLComment to construct an XML comment.

#### XMLSERIALIZE SOL/XML Function

You use SQL/XML standard function XMLSerialize to obtain a string or LOB representation of XML data.

#### XMLPARSE SQL/XML Function

You use SQL/XML standard function XMLParse to parse a string containing XML data and construct a corresponding XMLType instance.

#### XMLCOLATTVAL Oracle SQL Function

Oracle SQL function XMLColAttVal generates a forest of XML column elements containing the values of the arguments passed in. This function is an Oracle extension to the SQL/XML ANSI-ISO standard functions.

#### XMLCDATA Oracle SQL Function

You use Oracle SQL function XMLCDATA to generate an XML CDATA section.

#### See Also:

- XQuery and Oracle XML DB for information about constructing XML data using SQL/XML function XMLQuery
- Oracle Database SQL Language Reference for information about Oracle support for the SQL/XML standard

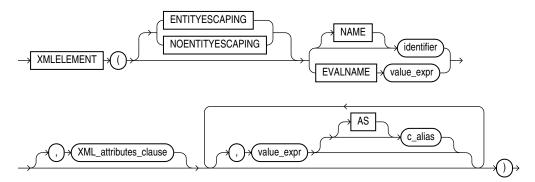
### 8.2.1 XMLELEMENT and XMLATTRIBUTES SQL/XML Functions

SQL/XML standard function XMLElement constructs XML elements from relational data. SQL/XML standard function XMLAttributes can be used together with XMLElement, to specify attributes for the generated elements.

SQL/XML standard function XMLElement takes as arguments an XML element name, an optional collection of attributes for the element, and zero or more additional arguments that make up the element content. It returns an XMLType instance.



Figure 8-1 XMLELEMENT Syntax



For an explanation of keywords ENTITYESCAPING and NOENTITYESCAPING, see Escape of Characters in Generated XML Data. These keywords are Oracle extensions to standard SQL/XML functions XMLElement and XMLAttributes.

The first argument to function XMLElement defines an identifier that names the *root* XML element to be created. The root-element identifier argument can be defined using a literal identifier (*identifier*, in Figure 8-1) or by EVALNAME followed by an expression (*value\_expr*) that evaluates to an identifier. However it is defined, the identifier must not be NULL or else an error is raised. The possibility of using EVALNAME is an Oracle extension to standard SQL/XML function XMLElement.

The optional XML-attributes-clause argument of function XMLElement specifies the attributes of the root element to be generated. Figure 8-2 shows the syntax of this argument.

In addition to the optional  $\mathit{XML-attributes-clause}$  argument, function  $\mathit{XMLElement}$  accepts zero or more  $\mathit{value\_expr}$  arguments that make up the  $\mathit{content}$  of the root element (child elements and text content). If an  $\mathit{XML-attributes-clause}$  argument is also present then these content arguments must follow the  $\mathit{XML-attributes-clause}$  argument. Each of the content-argument expressions is evaluated, and the result is converted to  $\mathit{XML}$  format. If a value argument evaluates to  $\mathit{NULL}$ , then no content is created for that argument.



The AS preceding an alias ( $c_alias$ ) is required by the SQL/XML standard, but is optional for Oracle.

The optional XML-attributes-clause argument uses SQL/XML standard function XMLAttributes to specify the attributes of the root element. Function XMLAttributes can be used only in a call to function XMLElement. It cannot be used on its own.



XMLATTRIBUTES

ENTITYESCAPING

NOENTITYESCAPING

NOSCHEMACHECK

NOSCHEMACHECK

NOSCHEMACHECK

NOSCHEMACHECK

Value\_expr

Value\_expr

Figure 8-2 XMLAttributes Clause Syntax (XMLATTRIBUTES)

For an explanation of keywords ENTITYESCAPING and NOENTITYESCAPING, see Escape of Characters in Generated XML Data. These keywords are Oracle extensions to standard SQL/XML functions XMLElement and XMLAttributes.

Keywords SCHEMACHECK and NOSCHEMACHECK determine whether or not a run-time check is made of the generated attributes, to see if any of them specify a schema location that corresponds to an XML schema that is registered with Oracle XML DB, and, if so, to try to generate XML schema-based XML data accordingly. The default behavior is that provided by NOSCHEMACHECK: no check is made. In releases prior to 12c Release 1 (12.1), the default behavior is to perform the check. Keyword SCHEMACHECK can be used to obtain backward compatibility.

A similar check is *always* made at *compile* time, regardless of the presence or absence of NOSCHEMACHECK. This means, in particular, that if you use a string literal to specify an XML schema location attribute value, then a (compile-time) check is made, and, if appropriate, XML schema-based data is generated accordingly.

Keywords SCHEMACHECK and NOSCHEMACHECK are Oracle extensions to standard SQL/XML function XMLAttributes.



If a view is created to generate XML data, function XMLAttributes is used to add XML-schema location references, and the target XML schema has not yet been registered with Oracle XML DB, then the XML data that is generated is not XML schema-based. If the XML schema is subsequently registered, then XML data that is generated thereafter is also *not* XML-schema-based. To create XML schema-based data, you must recompile the view.

Argument XML-attributes-clause itself contains one or more  $value\_expr$  expressions as arguments to function XMLAttributes. These are evaluated to obtain the values for the attributes of the root element. (Do not confuse these  $value\_expr$  arguments to function XMLAttributes with the  $value\_expr$  arguments to function



XMLElement, which specify the content of the root element.) The optional AS  $c\_alias$  clause for each  $value\_expr$  specifies that the attribute name is  $c\_alias$ , which can be either a string literal or EVALNAME followed by an expression that evaluates to a string literal.

#### Note:

The following are Oracle extensions to the standard SQL/XML syntax:

- The possibility of using EVALNAME.
- The fact that AS preceding an alias (c\_alias) is optional.

If an attribute value expression evaluates to  $\mathtt{NULL}$ , then no corresponding attribute is created. The data type of an attribute value expression cannot be an object type or a collection.

#### Escape of Characters in Generated XML Data

As specified by the SQL/XML standard, characters in explicit *identifiers* are *not* escaped in any way – it is up to you to ensure that valid XML names are used. This applies to all SQL/XML functions.

#### Formatting of XML Dates and Timestamps

The XML Schema standard specifies that dates and timestamps in XML data be in standard formats. XML generation functions in Oracle XML DB produce XML dates and timestamps according to this standard.

XMLElement Examples
 Examples here illustrate the use SQL/XML function XMLElement.

### 8.2.1.1 Escape of Characters in Generated XML Data

As specified by the SQL/XML standard, characters in explicit *identifiers* are *not* escaped in any way – it is up to you to ensure that valid XML names are used. This applies to all SQL/XML functions.

In particular, it applies to the root-element identifier of XMLElement (*identifier*, in Figure 8-1) and to attribute identifier aliases named with AS clauses of XMLAttributes (see Figure 8-2).

However, other XML data that is generated is <code>escaped</code>, by default, to ensure that only valid XML <code>NameChar</code> characters are generated. As part of generating a valid XML element or attribute name from a SQL identifier, each character that is disallowed in an XML name is replaced with an underscore character (\_), followed by the hexadecimal Unicode representation of the original character, followed by a second underscore character. For example, the colon character (:) is escaped by replacing it with <code>\_003A\_</code>, where <code>003A</code> is the hexadecimal Unicode representation.

Escaping applies to characters in the evaluated <code>value\_expr</code> arguments to <code>all SQL/XML</code> functions, including <code>XMLElement</code> and <code>XMLAttributes</code>. It applies also to the characters of an attribute identifier that is defined implicitly from an <code>XMLAttributes</code> attribute value expression that is <code>not</code> followed by an <code>AS</code> clause: the escaped form of the SQL column name is used as the name of the attribute.



In some cases, you might not need or want character escaping. If you know, for example, that the XML data being generated is well-formed, then you can save some processing time by inhibiting escaping. You can do that by specifying the keyword NOENTITYESCAPING for SQL/XML functions XMLElement and XMLAttributes. Keyword ENTITYESCAPING imposes escaping, which is the default behavior. Keywords NOENTITYESCAPING and ENTITYESCAPING are Oracle extensions to standard SQL/XML functions XMLElement and XMLAttributes.

### 8.2.1.2 Formatting of XML Dates and Timestamps

The XML Schema standard specifies that dates and timestamps in XML data be in standard formats. XML generation functions in Oracle XML DB produce XML dates and timestamps according to this standard.

In releases prior to Oracle Database 10g Release 2, the database settings for date and timestamp formats, not the XML Schema standard formats, were used for XML. You can reproduce this *previous* behavior by setting the database event 19119, level 0x8, as follows:

ALTER SESSION SET EVENTS '19119 TRACE NAME CONTEXT FOREVER, LEVEL 0x8';

If you must otherwise produce a non-standard XML date or timestamp, use SQL function  $to\_char - see$  Example 8-1.



XML Schema Part 2: Datatypes, D. ISO 8601 Date and Time Formats for the XML Schema specification of XML date and timestamp formats

### 8.2.1.3 XMLElement Examples

Examples here illustrate the use SQL/XML function XMLElement.

Example 8-1 uses XMLElement to generate an XML date with a format that is different from the XML Schema standard date format.

Example 8-2 uses XMLElement to generate an Emp element for each employee, with the employee name as the content.

Example 8-3 uses XMLElement to generate an Emp element for each employee, with child elements that provide the employee name and hire date.

Example 8-4 uses XMLElement to generate an Emp element for each employee, with attributes id and name.

As mentioned in Escape of Characters in Generated XML Data, characters in the root-element name and the names of any attributes defined by AS clauses are *not* escaped. Characters in an identifier name are escaped only if the name is created from an evaluated expression (such as a column reference).

Example 8-5 shows that, with XML data constructed using XMLElement, the rootelement name and the attribute name are *not* escaped. Invalid XML is produced



because greater-than sign (>) and a comma (,) are not allowed in XML element and attribute names.

A full description of character escaping is included in the SQL/XML standard.

Example 8-6 illustrates the use of namespaces to create an XML schema-based document. Assuming that an XML schema "http://www.oracle.com/Employee.xsd" exists and has no target namespace, the query in Example 8-6 creates an XMLType instance conforming to that schema:

Example 8-7 uses XMLElement to generate an XML document with employee and department information, using data from sample database schema table hr.departments.

#### **Example 8-1** XMLELEMENT: Formatting a Date

```
-- With standard XML date format:
SELECT XMLElement("Date", hire_date)
 FROM hr.employees
 WHERE employee id = 203;
XMLELEMENT ("DATE", HIRE_DATE)
______
<Date>2002-06-07</Date>
1 row selected.
-- With an alternative date format:
SELECT XMLElement("Date", to_char(hire_date))
 FROM hr.employees
 WHERE employee_id = 203;
XMLELEMENT("DATE",TO_CHAR(HIRE_DATE))
_____
<Date>07-JUN-02
1 row selected.
```

#### **Example 8-2** XMLELEMENT: Generating an Element for Each Employee

This guery produces the following typical result:

```
EMPLOYEE_ID RESULT

201 <Emp>Michael Hartstein</Emp>
202 <Emp>Pat Fay</Emp>
203 <Emp>Susan Mavris</Emp>
204 <Emp>Hermann Baer</Emp>
205 <Emp>Shelley Higgins</Emp>
206 <Emp>William Gietz</Emp>
```

6 rows selected.

SQL/XML function XMLElement can also be nested, to produce XML data with a nested structure.



#### **Example 8-3 XMLELEMENT: Generating Nested XML**

```
SELECT XMLElement("Emp",

XMLElement("name", e.first_name ||' '|| e.last_name),

XMLElement("hiredate", e.hire_date)) AS "RESULT"

FROM hr.employees e

WHERE employee_id > 200;
```

#### This query produces the following typical XML result:

```
RESULT

<pre
```

6 rows selected.

# Example 8-4 XMLELEMENT: Generating Employee Elements with Attributes ID and Name

#### This query produces the following typical XML result fragment:

# Example 8-5 XMLELEMENT: Characters in Generated XML Data Are Not Escaped

#### This query produces the following result, which is *not* well-formed XML:



# **Example 8-6 Creating a Schema-Based XML Document Using XMLELEMENT with Namespaces**

This creates the following XML document that conforms to XML schema Employee.xsd. (The result is shown here pretty-printed, for clarity.)

```
RESULT

<Employee xmlns:xsi="http://www.w3.org/2001/XMLSchema"

xsi:nonamespaceSchemaLocation="http://www.oracle.com/Employee.xsd">

<EMPLOYEE_ID>200</EMPLOYEE_ID>

<LAST_NAME>Whalen</LAST_NAME>

<SALARY>4400</SALARY>

</Employee>

1 row selected.
```

#### Example 8-7 XMLELEMENT: Generating an Element from a User-Defined Data-Type Instance

```
CREATE OR REPLACE TYPE emp_t AS OBJECT ("@EMPNO" NUMBER(4),
                                         ENAME VARCHAR2(10));
CREATE OR REPLACE TYPE emplist_t AS TABLE OF emp_t;
CREATE OR REPLACE TYPE dept_t AS OBJECT ("@DEPTNO" NUMBER(2),
                                         DNAME VARCHAR2(14),
                                         EMP_LIST emplist_t);
SELECT XMLElement("Department",
                  dept_t(department_id,
                         department_name,
                         cast(MULTISET
                              (SELECT employee_id, last_name
                                 FROM hr.employees e
                                 WHERE e.department_id = d.department_id)
                              AS emplist_t)))
  AS deptxml
  FROM hr.departments d
  WHERE d.department_id = 10;
```

This produces an XML document which contains the Department element and the canonical mapping of type dept t.



```
</EMP_T>
<EMP_T EMPNO="7839">
<ENAME>KING</ENAME>
</EMP_T>
<EMP_T EMPNO="7934">
<ENAME>MILLER</ENAME>
</EMP_T>
</EMPLT>
</EMPLIST>
</DEPT_T>
</Department>
```

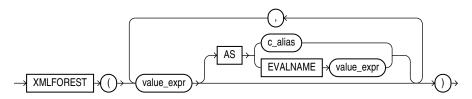
### 8.2.2 XMLFOREST SQL/XML Function

1 row selected.

You use SQL/XML standard function XMLForest to construct a forest of XML elements.

Its arguments are expressions to be evaluated, with optional aliases. Figure 8-3 describes the XMLForest syntax.

Figure 8-3 XMLFOREST Syntax



Each of the value expressions ( $value\_expr$  in Figure 8-3) is converted to XML format, and, optionally, identifier  $c\_alias$  is used as the attribute identifier ( $c\_alias$  can be a string literal or EVALNAME followed by an expression that evaluates to a string literal). The possibility of using EVALNAME is an Oracle extension to standard SQL/XML function XMLForest.

For an object type or collection, the AS clause is required. For other types, the AS clause is optional. For a given expression, if the AS clause is omitted, then characters in the evaluated value expression are escaped to form the name of the enclosing tag of the element. The escaping is as defined in Escape of Characters in Generated XML Data. If the value expression evaluates to NULL, then no element is created for that expression.

Example 8-8 uses XMLElement and XMLForest to generate an Emp element for each employee, with a name attribute and with child elements containing the employee hire date and department as the content.

# Example 8-8 XMLFOREST: Generating Elements with Attribute and Child Elements

(The WHERE clause is used here to keep the example brief.) This query produces the following XML result:



Example 8-9 uses XMLForest to generate hierarchical XML data from user-defined data-type instances.

#### Example 8-9 XMLFOREST: Generating an Element from a User-Defined Data-Type Instance

This produces an XML document with element Department containing attribute DEPTNO and child element DNAME.

You might want to compare this example with Example 8-7 and Example 8-24.



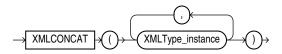
### 8.2.3 XMLCONCAT SQL/XML Function

You use SQL/XML standard function XMLConcat to construct an XML fragment by concatenating multiple XMLType instances.

Figure 8-4 shows the XMLConcat syntax. Function XMLConcat has two forms:

- The first form takes as argument an XMLSequenceType value, which is a varray of XMLType instances, and returns a single XMLType instance that is the concatenation of all of the elements of the varray. This form is useful to collapse lists of XMLType instances into a single instance.
- The second form takes an arbitrary number of XMLType instances and concatenates them together. If one of the values is NULL, then it is ignored in the result. If all the values are NULL, then the result is NULL. This form is used to concatenate arbitrary number of XMLType instances in the same row. Function XMLAgg can be used to concatenate XMLType instances across rows.

Figure 8-4 XMLCONCAT Syntax



Example 8-10 uses SQL/XML function XMLConcat to return a concatenation of XMLType instances from an XMLSequenceType value (a varray of XMLType instances).

# Example 8-10 XMLCONCAT: Concatenating XMLType Instances from a Sequence

This query returns a single XML fragment. (The result is shown here pretty-printed, for clarity.)

Example 8-11 uses XMLConcat to create and concatenate XML elements for employee first and the last names.



#### Example 8-11 XMLCONCAT: Concatenating XML Elements

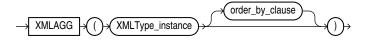
#### This query produces the following XML fragment:

## 8.2.4 XMLAGG SQL/XML Function

You use SQL/XML standard function XMLAgg to construct a forest of XML elements from a collection of XML elements — it is an aggregate function.

Figure 8-5 describes the XMLAgg syntax.

#### Figure 8-5 XMLAGG Syntax



The order\_by\_clause is the following:

```
ORDER BY [list of: expr [ASC|DESC] [NULLS {FIRST|LAST}]]
```

Numeric literals are *not* interpreted as column positions. For example,  $ORDER\ BY\ 1$  does not mean order by the first column. Instead, numeric literals are interpreted as any other literals.

As with SQL/XML function XMLConcat, any arguments whose value is NULL are dropped from the result. SQL/XML function XMLAgg is similar to Oracle SQL function sys\_XMLAgg, but XMLAgg returns a forest of nodes and it does not accept an XMLFormat parameter.

SQL/XML function XMLAgg can be used to concatenate XMLType instances across *multiple rows*. It also accepts an optional ORDER BY clause, to order the XML values being aggregated. Function XMLAgg produces one aggregated XML result for each group. If there is no group by specified in the query, then it returns a single aggregated XML result for all the rows of the query.

Example 8-12 uses SQL/XML functions XMLAgg and XMLElement to construct a Department element that contains Employee elements that have employee job ID and last name as their contents. It also orders the Employee elements in the department by employee last name. (The result is shown pretty-printed, for clarity.)



# Example 8-12 XMLAGG: Generating a Department Element with Child Employee Elements

```
SELECT XMLElement("Department", XMLAgg(XMLElement("Employee",
                                              e.job_id||' '||e.last_name)
                                    ORDER BY e.last_name))
 AS "Dept_list"
 FROM hr.employees e
 WHERE e.department_id = 30 OR e.department_id = 40;
Dept_list
<Department>
 <Employee>PU_CLERK Baida
 <Employee>PU CLERK Colmenares
 <Employee>PU_CLERK Himuro</Employee>
 <Employee>PU_CLERK Khoo</Employee>
 <Employee>HR_REP Mavris
 <Employee>PU_MAN Raphaely</Employee>
 <Employee>PU_CLERK Tobias
</Department>
1 row selected.
```

The result is a *single* row, because XMLAgg aggregates the employee rows.

Example 8-13 shows how to use the GROUP BY clause to group the returned set of rows into multiple groups, forming multiple Department elements. (The result is shown here pretty-printed, for clarity.)

#### Example 8-13 XMLAGG: Using GROUP BY to Generate Multiple Department Elements

```
SELECT XMLElement("Department", XMLAttributes(department_id AS "deptno"),
                XMLAgg(XMLElement("Employee", e.job_id||' '||e.last_name)))
  AS "Dept_list"
  FROM hr.employees e
  GROUP BY e.department id;
Dept_list
<Department deptno="30">
 <Employee>PU_MAN Raphaely</Employee>
 <Employee>PU_CLERK Colmenares
 <Employee>PU_CLERK Himuro</Employee>
 <Employee>PU_CLERK Tobias
 <Employee>PU_CLERK Baida
 <Employee>PU_CLERK Khoo</Employee></Department>
<Department deptno="40">
 <Employee>HR_REP Mavris
</Department>
2 rows selected.
```

You can order the employees within each department by using the <code>ORDER BY</code> clause inside the <code>XMLAgg</code> expression.



Within the ORDER BY clause, Oracle Database does not interpret number literals as column positions, as it does in other uses of this clause.

Function XMLAgg can be used to reflect the hierarchical nature of some relationships that exist in tables. Example 8-14 generates a department element for department 30. Within this element is a child element emp for each employee of the department. Within each employee element is a dependent element for each dependent of that employee.

#### **Example 8-14 XMLAGG: Generating Nested Elements**

SELECT last\_name, employee\_id FROM employees WHERE department\_id = 30;

LAST_NAME	EMPLOYEE_ID
Raphaely	114
Khoo	115
Baida	116
Tobias	117
Himuro	118
Colmenares	119

6 rows selected.

A dependents table holds the dependents of each employee.

```
CREATE TABLE hr.dependents (id NUMBER(4) PRIMARY KEY,
                            employee_id NUMBER(4),
                            name VARCHAR2(10));
Table created.
INSERT INTO dependents VALUES (1, 114, 'MARK');
1 row created.
INSERT INTO dependents VALUES (2, 114, 'JACK');
1 row created.
INSERT INTO dependents VALUES (3, 115, 'JANE');
1 row created.
INSERT INTO dependents VALUES (4, 116, 'HELEN');
1 row created.
INSERT INTO dependents VALUES (5, 116, 'FRANK');
1 row created.
COMMIT;
Commit complete.
```

The following query generates the XML data for a department that contains the information about dependents. (The result is shown here pretty-printed, for clarity.)



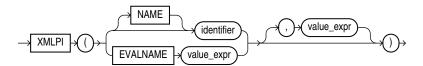
```
FROM dependents de
                            WHERE de.employee_id = e.employee_id)))
       FROM employees e
       WHERE e.department_id = d.department_id)) AS "dept_list"
 FROM departments d
 WHERE department_id = 30;
dept_list
<Department name="Purchasing">
  <emp NAME="Raphaely">
    <dependent name="MARK"></dependent>
    <dependent name="JACK"></dependent>
  </emp><emp NAME="Khoo">
    <dependent name="JANE"></dependent>
  </emp>
  <emp NAME="Baida">
    <dependent name="HELEN"></dependent>
    <dependent name="FRANK"></dependent>
  </emp><emp NAME="Tobias"></emp>
  <emp NAME="Himuro"></emp>
  <emp NAME="Colmenares"></emp>
</Department>
1 row selected.
```

### 8.2.5 XMLPI SQL/XML Function

You use SQL/XML standard function XMLPI to construct an XML processing instruction (PI).

Figure 8-6 shows the syntax:

Figure 8-6 XMLPI Syntax



Argument  $value\_expr$  is evaluated, and the string result is appended to the optional identifier (identifier), separated by a space. This concatenation is then enclosed between "<?" and "?>" to create the processing instruction. That is, if  $string\_result$  is the result of evaluating  $value\_expr$ , then the generated processing instruction is <? $identifier\ string\_result$ ?>. If  $string\_result$  is the empty string, '', then the function returns <?identifier?>.

As an alternative to using keyword NAME followed by a *literal* string *identifier*, you can use keyword EVALNAME followed by an expression that evaluates to a string to be used as the identifier. The possibility of using EVALNAME is an Oracle extension to standard SQL/XML function XMLPI.

An error is raised if the constructed XML is not a legal XML processing instruction. In particular:

identifier must not be the word "xml" (uppercase, lowercase, or mixed case).

string-result must not contain the character sequence "?>".

Function XMLPI returns an instance of XMLType. If string-result is NULL, then it returns NULL.

**Example 8-15** uses XMLPI to generate a simple processing instruction.

#### Example 8-15 Using SQL/XML Function XMLPI

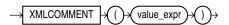
This results in the following output:

### 8.2.6 XMLCOMMENT SQL/XML Function

You use SQL/XML standard function XMLComment to construct an XML comment.

Figure 8-7 shows the syntax:

Figure 8-7 XMLComment Syntax



Argument <code>value\_expr</code> is evaluated to a string, and the result is used as the body of the generated XML comment. The result is thus <code><!--string-result--></code>, where <code>string-result</code> is the string result of evaluating <code>value\_expr</code>. If <code>string-result</code> is the empty string, then the comment is empty: <code><!---></code>.

An error is raised if the constructed XML is not a legal XML comment. In particular, string-result must not contain two consecutive hyphens (-): "--".

Function XMLComment returns an instance of XMLType. If string-result is NULL, then the function returns NULL.

Example 8-16 uses XMLComment to generate a simple XML comment.

#### **Example 8-16 Using SQL/XML Function XMLCOMMENT**

```
SELECT XMLComment('This is a comment') AS cmnt FROM DUAL;
```

This query results in the following output:

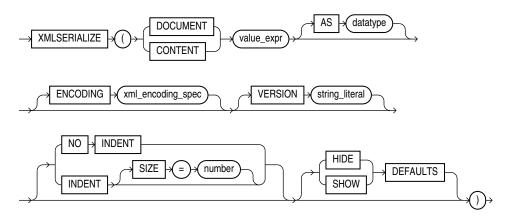


### 8.2.7 XMLSERIALIZE SQL/XML Function

You use SQL/XML standard function XMLSerialize to obtain a string or LOB representation of XML data.

Figure 8-8 shows the syntax of XMLSerialize:

Figure 8-8 XMLSerialize Syntax



Argument *value\_expr* is evaluated, and the resulting XMLType instance is serialized to produce the content of the created string or LOB. If present<sup>1</sup>, the specified *datatype* must be one of the following (the default data type is CLOB):

- VARCHAR2(N), where N is the size in bytes<sup>2</sup>
- CLOB
- BLOB

If you specify DOCUMENT, then the result of evaluating  $value\_expr$  must be a well-formed document. In particular, it must have a single root. If the result is not a well-formed document, then an error is raised. If you specify CONTENT, however, then the result of  $value\_expr$  is not checked for being well-formed.

If  $value\_expr$  evaluates to NULL or to the empty string (''), then function XMLSerialize returns NULL.

The ENCODING clause specifies the character encoding for XML data that is serialized as a BLOB instance.  $xml\_encoding\_spec$  is an XML encoding declaration (encoding="..."). If datatype is BLOB and you specify an ENCODING clause, then the output is encoded as specified, and  $xml\_encoding\_spec$  is added to the prolog to indicate the BLOB encoding. If you specify an ENCODING clause with a datatype other than BLOB, then an error is raised. For UTF-16 characters,  $xml\_encoding\_spec$  must be one of the following:

encoding=UTF-16BE - Big-endian UTF-16 encoding

<sup>&</sup>lt;sup>2</sup> The limit is 32767 or 4000 bytes, depending on the value of initialization parameter MAX\_STRING\_SIZE. See *Oracle Database PL/SQL Packages and Types Reference*.



<sup>&</sup>lt;sup>1</sup> The SQL/XML standard requires argument *data-type* to be present, but it is *optional* in the Oracle XML DB implementation of the standard, for ease of use.

encoding=UTF-16LE — Little-endian UTF-16 encoding

If you specify VERSION then the specified version is used in the XML declaration (<?xml version="..."...?>).

If you specify NO INDENT, then all insignificant whitespace is stripped, so that it does not appear in the output. If you specify INDENT SIZE = N, where N is a whole number, then the output is *pretty-printed* using a relative indentation of N spaces. If N is 0, then pretty-printing inserts a newline character after each element, placing each element on a line by itself, but there is no other insignificant whitespace in the output. If you specify INDENT without a SIZE specification, then 2-space indenting is used. If you specify neither NO INDENT nor INDENT, then the behavior (pretty-printing or not) is indeterminate.

HIDE DEFAULTS and SHOW DEFAULTS apply only to XML schema-based data. If you specify SHOW DEFAULTS and the input data is missing any optional elements or attributes for which the XML schema defines default values, then those elements or attributes are included in the output with their default values. If you specify HIDE DEFAULTS, then no such elements or attributes are included in the output. HIDE DEFAULTS is the default behavior.

Example 8-17 uses XMLSerialize to produce a CLOB instance containing serialized XML data.

#### **Example 8-17 Using SQL/XML Function XMLSERIALIZE**

SELECT XMLSerialize(DOCUMENT XMLType('<poid>143598</poid>') AS CLOB)
AS xmlserialize doc FROM DUAL;

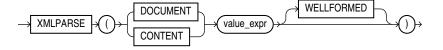
#### This results in the following output:

### 8.2.8 XMLPARSE SQL/XML Function

You use SQL/XML standard function XMLParse to parse a string containing XML data and construct a corresponding XMLType instance.

Figure 8-9 shows the syntax:

#### Figure 8-9 XMLParse Syntax



Argument  $value\_expr$  is evaluated to produce the string that is parsed. If you specify DOCUMENT, then  $value\_expr$  must correspond to a *singly rooted*, well-formed XML document. If you specify CONTENT, then  $value\_expr$  need only correspond to a well-formed XML fragment (it need not be singly rooted).



Keyword Wellformed is an Oracle XML DB extension to the SQL/XML standard. When you specify Wellformed, you are informing the parser that argument  $value\_expr$  is well-formed, so Oracle XML DB does *not* check to ensure that it is well-formed.

Function XMLParse returns an instance of XMLType. If value\_expr evaluates to NULL, then the function returns NULL.

Example 8-18 uses XMLParse to parse a string of XML code and produce an XMLType instance.

#### Example 8-18 Using SQL/XML Function XMLPARSE

#### This results in the following output:



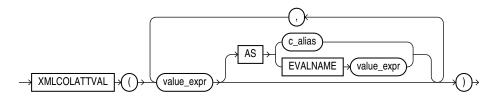
Extensible Markup Language (XML) 1.0 for the definition of well-formed XML documents and fragments

### 8.2.9 XMLCOLATTVAL Oracle SQL Function

Oracle SQL function XMLColAttVal generates a forest of XML column elements containing the values of the arguments passed in. This function is an Oracle extension to the SQL/XML ANSI-ISO standard functions.

Figure 8-10 shows the XMLColAttVal syntax.

Figure 8-10 XMLCOLATTVAL Syntax





The arguments are used as the values of the name attribute of the column element. The *c alias* values are used as the attribute identifiers.

As an alternative to using keyword AS followed by a *literal* string  $c\_alias$ , you can use AS EVALNAME followed by an expression that evaluates to a string to be used as the attribute identifier.

Because argument values  $value\_expr$  are used only as attribute values, they need not be escaped in any way. This is in contrast to function XMLForest. It means that you can use XMLColAttval to transport SQL columns and values without escaping.

Example 8-19 uses XMLColAttVal to generate an Emp element for each employee, with a name attribute, and with column elements that have the employee hire date and department as the content.

# Example 8-19 XMLCOLATTVAL: Generating Elements with Attribute and Child Elements

This query produces the following XML result. (The result is shown here pretty-printed, for clarity.)

```
RESULT
<Emp fullname="Den Raphaely">
  <column name = "HIRE_DATE">2002-12-07</column>
  <column name = "department">30</column>
</Emp>
<Emp fullname="Alexander Khoo">
  <column name = "HIRE_DATE">2003-05-18</column>
  <column name = "department">30</column>
<Emp fullname="Shelli Baida">
  <column name = "HIRE_DATE">2005-12-24</column>
  <column name = "department">30</column>
<Emp fullname="Sigal Tobias">
  <column name = "HIRE_DATE">2005-07-24</column>
  <column name = "department">30</column>
<Emp fullname="Guy Himuro">
  <column name = "HIRE_DATE">2006-11-15</column>
  <column name = "department">30</column>
<Emp fullname="Karen Colmenares">
  <column name = "HIRE_DATE">2007-08-10</column>
  <column name = "department">30</column>
</Emp>
6 rows selected.
```



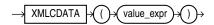


### 8.2.10 XMLCDATA Oracle SQL Function

You use Oracle SQL function XMLCDATA to generate an XML CDATA section.

Figure 8-11 shows the syntax:

#### Figure 8-11 XMLCDATA Syntax



Argument  $value\_expr$  is evaluated to a string, and the result is used as the body of the generated XML CDATA section, <![CDATA[string-result]]>, where string-result is the result of evaluating  $value\_expr$ . If string-result is the empty string, then the CDATA section is empty: <![CDATA[]]>.

An error is raised if the constructed XML is not a legal XML CDATA section. In particular, string-result must not contain two consecutive right brackets (]): "]]".

Function XMLCDATA returns an instance of XMLType. If string-result is NULL, then the function returns NULL.

Example 8-20 uses XMLCDATA to generate an XML CDATA section.

#### **Example 8-20 Using Oracle SQL Function XMLCDATA**

This results in the following output. (The result is shown here pretty-printed, for clarity.)



# 8.3 Generation of XML Data Using DBMS\_XMLGEN

PL/SQL package DBMS\_XMLGEN creates XML documents from SQL query results. It retrieves an XML document as a CLOB or XMLType value.

It provides a *fetch* interface, whereby you can specify the maximum number of rows to retrieve and the number of rows to skip. For example, the first fetch could retrieve a maximum of ten rows, skipping the first four. This is especially useful for pagination requirements in Web applications.

Package DBMS\_XMLGEN also provides options for changing tag names for ROW, ROWSET, and so on. The parameters of the package can restrict the number of rows retrieved and the enclosing tag names.

- Using PL/SQL Package DBMS\_XMLGEN
   You can use package DBMS\_XMLGEN to generate XML data from relational data.
- Functions and Procedures of Package DBMS\_XMLGEN
   PL/SQL package DBMS\_XMLGEN provides functions and procedures for generating
   XML data from relational data.
- DBMS\_XMLGEN Examples
   Examples here illustrate the use of PL/SQL package DBMS\_XMLGEN.

#### See Also:

- Oracle Database PL/SQL Packages and Types Reference
- Oracle XML Developer's Kit Programmer's Guide (compare OracleXMLQuery with DBMS\_XMLGEN)

### 8.3.1 Using PL/SQL Package DBMS XMLGEN

You can use package DBMS\_XMLGEN to generate XML data from relational data.

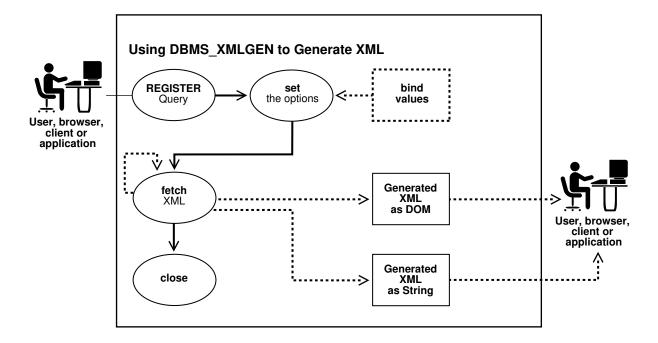
Figure 8-12 illustrates how to use package DBMS XMLGEN. The steps are as follows:

- Get the context from the package by supplying a SQL query and calling PL/SQL function newContext.
- Pass the context to all procedures or functions in the package to set the various options. For example, to set the ROW element name, use setRowTag(ctx), where ctx is the context got from the previous newContext call.
- 3. Get the XML result, using PL/SQL function getXML or getXMLType. By setting the maximum number of rows to be retrieved for each fetch using PL/SQL procedure setMaxRows, you can call either of these functions repeatedly, retrieving up to the maximum number of rows for each call. These functions return XML data (as a CLOB value and as an instance of XMLType, respectively), unless there are no rows retrieved. In that case, these functions return NULL. To determine how many rows were retrieved, use PL/SQL function getNumRowsProcessed.



- 4. You can reset the query to start again and repeat step 3.
- Call PL/SQL procedure closeContext to free up any previously allocated resources.

Figure 8-12 Using PL/SQL Package DBMS\_XMLGEN



In conjunction with a SQL query, PL/SQL method  $DBMS\_XMLGEN.getXML()$  typically returns a result similar to the following, as a CLOB value:

```
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMPLOYEE_ID>100</EMPLOYEE_ID>
  <FIRST_NAME>Steven/FIRST_NAME>
  <LAST_NAME>King</LAST_NAME>
  <EMAIL>SKING</EMAIL>
  <PHONE_NUMBER>515.123.4567/PHONE_NUMBER>
  <HIRE_DATE>17-JUN-87/HIRE_DATE>
  <JOB_ID>AD_PRES</JOB_ID>
  <SALARY>24000</SALARY>
  <DEPARTMENT_ID>90</DEPARTMENT_ID>
 </ROW>
 <ROW>
  <EMPLOYEE_ID>101</EMPLOYEE_ID>
  <FIRST_NAME>Neena/FIRST_NAME>
  <LAST_NAME>Kochhar</LAST_NAME>
  <EMAIL>NKOCHHAR</EMAIL>
  <PHONE_NUMBER>515.123.4568/PHONE_NUMBER>
  <HIRE_DATE>21-SEP-89/HIRE_DATE>
  <JOB_ID>AD_VP</JOB_ID>
  <SALARY>17000</SALARY>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>90</DEPARTMENT_ID>
```



```
</ROW>
```

The default mapping between relational data and XML data is as follows:

- Each row returned by the SQL query maps to an XML element with the default element name ROW.
- Each column returned by the SQL query maps to a child element of the ROW element.
- The entire result is wrapped in a ROWSET element.
- Binary data is transformed to its hexadecimal representation.

Element names ROW and ROWSET can be replaced with names you choose, using DBMS\_XMLGEN procedures setRowTagName and setRowSetTagName, respectively.

The CLOB value returned by <code>getXML</code> has the same encoding as the database character set. If the database character set is SHIFTJIS, then the XML document returned is also SHIFTJIS.

### 8.3.2 Functions and Procedures of Package DBMS\_XMLGEN

PL/SQL package DBMS\_XMLGEN provides functions and procedures for generating XML data from relational data.

Table 8-1 describes the functions and procedures of package DBMS\_XMLGEN.

Table 8-1 DBMS\_XMLGEN Functions and Procedures

Function or Procedure	Description				
	The context handle used by all functions.				
SUBTYPE ctxHandle IS NUMBER	Document Type Definition (DTD) or schema specifications:				
	NONE CONSTANT NUMBER:= 0;				
	DTD CONSTANT NUMBER:= 1;				
	SCHEMA CONSTANT NUMBER:= 2;				
	Can be used in function getXML to specify whether to generate a DTD or XML schema or neither (NONE). Only the NONE specification is supported.				
newContext()	Given a query string, generate a new context handle to be used in subsequent functions.				
	Returns a new context				
newContext( queryString IN VARCHAR2)	Parameter: $queryString(IN)$ - the query string, the result of which must be converted to XML				
	Returns: Context handle. Call this function first to obtain a handle that you can use in the getXML and other functions to get the XML back from the result.				
newContext( queryString IN SYS REFCURSOR)	Creates a new context handle from a PL/SQL cursor variable. The context handle can be used for the rest of the functions.				
RETURN ctxHandle;					



Table 8-1 (Cont.) DBMS XMLGEN Functions and Procedures

#### Function or Procedure

#### Description

newContextFromHierarchy(
 queryString IN VARCHAR2)
 RETURN ctxHandle;

Parameter: queryString (IN) - the query string, the result of which must be converted to XML. The query is a hierarchical query typically formed using a CONNECT BY clause, and the result must have the same property as the result set generated by a CONNECT BY query. The result set must have only two columns, the level number and an XML value. The level number is used to determine the hierarchical position of the XML value within the result XML document.

Returns: Context handle. Call this function first to obtain a handle that you can use in the <code>getXML</code> and other functions to get a hierarchical XML with recursive elements back from the result.

Sets the name of the element separating all the rows. The default name is ROW.

setRowTag()

#### Parameters:

 $\verb|ctx(IN)| - the context handle obtained from the newContext call. \\ \verb|rowTag(IN)| - the name of the ROW element. A NULL value for \\ \verb|rowTag| indicates that you do not want the ROW element to be \\ \verb|present|.$ 

Call this procedure to set the name of the ROW element, if you do not want the default ROW name to show up. You can also set rowTag to NULL to suppress the ROW element itself.

However, since function <code>getXML</code> returns complete XML documents, not XML fragments, there must be a (single) root element. Therefore, an error is raised if both the <code>rowTag</code> value and the <code>rowSetTag</code> value (see <code>setRowSetTag</code>, next) are <code>NULL</code> and there is more than one column or row in the output.

Sets the name of the document root element. The default name is  ${\tt ROWSET}$ 

setRowSetTag()

setRowSetTag(
 ctx IN ctxHandle,
 rowSetTag IN VARCHAR2);

#### Parameters:

 $\mathtt{ctx}(\mathtt{IN})$  – the context handle obtained from the  $\mathtt{newContext}$  call.  $\mathtt{rowSetTag}(\mathtt{IN})$  – the name of the document root element to be used in the output. A <code>NULL</code> value for  $\mathtt{rowSetTag}$  indicates that you do *not* want the <code>ROWSET</code> element to be present.

Call this procedure to set the name of the document root element, if you do not want the default name ROWSET to be used. You can set rowSetTag to NULL to suppress printing of the document root element.

However, since function <code>getXML</code> returns complete XML documents, not XML fragments, there must be a (single) root element. Therefore, an error is raised if both the <code>rowTag</code> value and the <code>rowSetTag</code> value (see <code>setRowTag</code>, previous) are <code>NULL</code> and there is more than one column or row in the output, or if the <code>rowSetTag</code> value is <code>NULL</code> and there is more than one row in the output.



Table 8-1 (Cont.) DBMS\_XMLGEN Functions and Procedures

Function or Procedure	Description
getXML()	Gets the XML document by fetching the maximum number of rows specified. It appends the XML document to the CLOB passed in.
	Parameters:
getXML(	$\mathtt{ctx}(\mathtt{IN})$ - The context handle obtained from calling $\mathtt{newContext}.$
ctx IN ctxHandle, clobval IN OUT NCOPY clob,	${\tt clobval(IN/OUT)} \ \hbox{- the CLOB to which the XML document is to be appended},$
dtdOrSchema IN number:= NONE);	${\tt dtdOrSchema(IN)} \ \hbox{-} \ \text{whether you should generate the DTD or Schema}. This parameter is NOT supported.}$
	Use this version of function <code>getXML</code> , to avoid any extra <code>CLOB</code> copies and if you want to reuse the same <code>CLOB</code> for subsequent calls. This <code>getXML</code> call is more efficient than the next flavor, though this involves that you create the LOB locator. When generating the XML, the number of rows indicated by the <code>setSkipRows</code> call are skipped, then the maximum number of rows as specified by the <code>setMaxRows</code> call (or the entire result if not specified) is fetched and converted to XML. Use the <code>getNumRowsProcessed</code> function to check if any rows were retrieved or not.
	Generates the XML document and returns it as a CLOB.
getXML()	
	Parameters:
getXML(	$\mathtt{ctx}(\mathtt{IN})$ - The context handle obtained from calling $\mathtt{newContext}.$
ctx IN ctxHandle, dtdOrSchema IN number:= NONE)	$\label{local-dtd0rSchema} {\tt dtd0rSchema(IN)} \mbox{ - whether to generate a DTD or XML schema}.$ This parameter is $\it not \mbox{ supported}.$
RETURN clob;	Returns: A temporary CLOB containing the document. Free the temporary CLOB obtained from this function using the DBMS_LOB.freeTemporary call.
	Parameters:
getXMLType(	$\mathtt{ctx}(\mathtt{IN})$ - The context handle obtained from calling $\mathtt{newContext}.$
<pre>ctx IN ctxHandle, dtdOrSchema IN number:= NONE) RETURN XMLType;</pre>	dtdOrSchema(IN) - whether to generate a DTD or XML schema. This parameter is <i>not</i> supported.
	Returns: An XMLType instance containing the document.
getXML(	Converts the query results from the SQL query string $\mathtt{sqlQuery}$ to XML format.
<pre>sqlQuery IN VARCHAR2, dtdOrSchema IN NUMBER := NONE) RETURN CLOB;</pre>	Returns: A CLOB instance.



Table 8-1 (Cont.) DBMS\_XMLGEN Functions and Procedures

Function or Procedure	Description				
getXMLType(	Converts the query results from the SQL query string $\mathtt{sqlQuery}$ to XML format.				
<pre>sqlQuery IN VARCHAR2, dtdOrSchema IN NUMBER := NONE) RETURN XMLType;</pre>	Returns: An XMLType instance.				
getNumRowsProcessed()	Gets the number of SQL rows processed when generating XML data using function getXML. This count does not include the number of rows <i>skipped</i> before generating XML data.				
getNumRowsProcessed(	Parameter: queryString(IN)- the query string, the result of which must be converted to XML				
ctx IN ctxHandle) RETURN number;	Returns: The number of SQL rows that were processed in the last call to getXML.				
	You can call this to find out if the end of the result set has been reached. This does not include the number of rows <i>skipped</i> before generating XML data. Use this function to determine the terminating condition if you are calling <code>getXML</code> in a loop. <code>getXML</code> always generates an XML document even if there are no rows present.				
setMaxRows()	Sets the maximum number of rows to fetch from the SQL query result for every invocation of the getXML call. It is an error to call this function on a context handle created by function newContextFromHierarchy.				
	Parameters:				
<pre>setMaxRows(ctx IN ctxHandle,</pre>	$\mathtt{ctx}(\mathtt{IN})$ - the context handle corresponding to the query executed,				
	${\tt maxRows}({\ttIN})$ - the maximum number of rows to get for each call to ${\tt getXML}.$				
	The maxRows parameter can be used when generating paginated results using this utility. For instance when generating a page of XML or HTML data, you can restrict the number of rows converted to XML and then in subsequent calls, you can get the next set of rows and so on. This also can provide for faster response times. It is an error to call this procedure on a context handle created by function newContextFromHierarchy.				
setSkipRows()	Skips a given number of rows before generating the XML output for every call to getXML. It is an error to call this function on a context handle created by function newContextFromHierarchy.				



 Table 8-1
 (Cont.) DBMS\_XMLGEN Functions and Procedures

Function or Procedure	Description		
	Parameters:		
<pre>setSkipRows(ctx IN ctxHandle,</pre>	$\mathtt{ctx}(\mathtt{IN})$ - the context handle corresponding to the query executed,		
	${\tt skipRows(IN)}$ - the number of rows to skip for each call to ${\tt getXML}$ .		
	The skipRows parameter can be used when generating paginated results for stateless Web pages using this utility. For instance when generating the first page of XML or HTML data, you can set skipRows to zero. For the next set, you can set the skipRows to the number of rows that you got in the first case. It is an error to call this function on a context handle created by function newContextFromHierarchy.		
setConvertSpecialChars()	Determines whether or not special characters in the XML data must be converted into their escaped XML equivalent. For example, the < sign is converted to <. The default behavior is to perform escape conversions.		
	Parameters:		
setConvertSpecialChars(	ctx(IN) - the context handle to use,		
ctx IN ctxHandle,	conv(IN) - true indicates that conversion is needed.		
conv IN BOOLEAN);	You can use this function to speed up the XML processing whenever you are sure that the input data cannot contain any special characters such as <, >, ", ', and so on, which must be preceded by an escape character. It is expensive to scan the character data to replace the special characters, particularly if it involves a lot of data. So, in cases when the data is XML-safe, this function can be called to improve performance.		
useItemTagsForColl()	Sets the name of the collection elements. The default name for collection elements is the type name itself. You can override that to use the name of the column with the <code>_ITEM</code> tag appended to it using this function.		
	Parameter: ctx(IN) - the context handle.		
<pre>useItemTagsForColl(   ctx IN ctxHandle);</pre>	If you have a collection of NUMBER, say, the default tag name for the collection elements is NUMBER. You can override this action and generate the collection column name with the _ITEM tag appended to it, by calling this procedure.		
	Restarts the query and generate the XML from the first row again.		
restartQuery()			
<pre>restartQuery(ctx IN ctxHandle);</pre>	Parameter: $\mathtt{ctx}(\mathtt{IN})$ - the context handle corresponding to the current query. You can call this to start executing the query again, without having to create a new context.		
closeContext()	Closes a given context and releases all resources associated with that context, including the SQL cursor and bind and define buffers, and so on.		



Table 8-1 (Cont.) DBMS\_XMLGEN Functions and Procedures

Function or Procedure	Description				
<pre>closeContext(ctx IN ctxHandle);</pre>	$\label{eq:parameter:ctx(IN) - the context handle to close. Closes all resources associated with this handle. After this you cannot use the handle for any other DBMS_XMLGEN function call.}$				
<pre>Conversion Functions  convert(    xmlData IN varchar2,    flag IN NUMBER := ENTITY_ENCODE)    RETURN VARCHAR2;</pre>	<ul> <li>Encodes or decodes the XML data string argument.</li> <li>Encoding refers to replacing entity references such as &lt; to their escaped equivalent, such as &lt;.</li> <li>Decoding refers to the reverse conversion.</li> </ul>				
<pre>convert(   xmlData IN CLOB,   flag IN NUMBER := ENTITY_ENCODE)   RETURN CLOB;</pre>	<ul> <li>Encodes or decodes the passed in XML CLOB data.</li> <li>Encoding refers to replacing entity references such as &lt; to their escaped equivalent, such as &lt;.</li> <li>Decoding refers to the reverse conversion.</li> </ul>				
<pre>NULL Handling setNullHandling(ctx IN ctxHandle,</pre>	<ul> <li>The setNullHandling flag values are:</li> <li>DROP_NULLS CONSTANT NUMBER := 0;     This is the default setting and leaves out the tag for NULL elements.</li> <li>NULL_ATTR CONSTANT NUMBER := 1;     This sets xsi:nil = "true".</li> <li>EMPTY_TAG CONSTANT NUMBER := 2;     This sets, for example, <foo></foo>.</li> <li>useNullAttributeIndicator is a shortcut for setNullHandling(ctx, NULL_ATTR).</li> </ul>				
<pre>setBindValue(   ctx IN ctxHandle,   bindVariableName IN VARCHAR2,   bindValue IN VARCHAR2);</pre>	Sets bind value for the bind variable appearing in the query string associated with the context handle. The query string with bind variables cannot be executed until all of the bind variables are set values using setBindValue.				
<pre>clearBindValue(ctx IN ctxHandle);</pre>	Clears all the bind values for all the bind variables appearing in the query string associated with the context handle. Afterward, all of the bind variables must rebind new values using setBindValue.				



### 8.3.3 DBMS\_XMLGEN Examples

Examples here illustrate the use of PL/SQL package DBMS\_XMLGEN.

Example 8-21 uses DBMS\_XMLGEN to create an XML document by selecting employee data from an object-relational table and putting the resulting CLOB value into a table.

Instead of generating all of the XML data for all rows, you can use the fetch interface of package <code>DBMS\_XMLGEN</code> to retrieve a fixed number of rows each time. This speeds up response time and can help in scaling applications that need a Document Object Model (DOM) Application Program Interface (API) on the resulting XML, particularly if the number of rows is large.

Example 8-22 uses DBMS XMLGEN to retrieve results from table HR.employees:

Example 8-23 uses DBMS XMLGEN with object types to represent nested structures.

With relational data, the result is an XML document without nested elements. To obtain nested XML structures, you can use object-relational data, where the mapping is as follows:

- Object types map to XML elements see XML Schema Storage and Query: Basic.
- Attributes of the type map to sub-elements of the parent element

### Note:

Complex structures can be obtained by using object types and creating object views or object tables. A canonical mapping is used to map object instances to XML.

When used in column names or attribute names, the at-sign (@) is translated into an attribute of the enclosing XML element in the mapping.

When you provide a user-defined data-type instance to DBMS\_XMLGEN functions, the user-defined data-type instance is mapped to an XML document using a canonical mapping: the *attributes* of the user-defined data type are mapped to XML *elements*. Attributes with names starting with an at-sign character (@) are mapped to attributes of the preceding element.

User-defined data-type instances can be used for nesting in the resulting XML document.

For example, consider the tables emp and dept defined in Example 8-24. To generate a hierarchical view of the data, that is, departments with their employees, Example 8-24 defines suitable object types to create the structure inside the database.

The default name ROW is not present because it was set to NULL. The deptno and empno have become attributes of the enclosing element.

Example 8-25 uses DBMS\_XMLGEN.getXMLType to generate a purchase order document in XML format using object views.

Example 8-26 shows how to open a cursor variable for a query and use that cursor variable to create a new context handle for DBMS XMLGEN.



### See Also:

Oracle Database PL/SQL Language Reference for more information about cursor variables (REF CURSOR)

Example 8-27 shows how to specify NULL handling when using DBMS XMLGEN.

Function DBMS\_XMLGEN.newContextFromHierarchy takes as argument a hierarchical query string, which is typically formulated with a CONNECT BY clause. It returns a context that can be used to generate a hierarchical XML document with recursive elements.

The hierarchical query returns two columns, the level number (a pseudocolumn generated by CONNECT BY query) and an  $\mathtt{XMLType}$  instance. The level is used to determine the position of the  $\mathtt{XMLType}$  value within the hierarchy of the result XML document.

It is an error to set the skip number of rows or the maximum number of rows for a context created using <code>newContextFromHierarchy</code>.

Example 8-28 uses DBMS\_ XMLGEN.newContextFromHierarchy to generate a manager—employee hierarchy.

If the query string used to create a context contains host variables, you can use PL/SQL method setBindValue() to give the variables values before query execution. Example 8-29 illustrates this.

### Example 8-21 DBMS\_XMLGEN: Generating Simple XML

#### That generates the following XML document:



```
<LAST_NAME>Kochhar</LAST_NAME>
<EMAIL>NKOCHHAR</EMAIL>
<PHONE_NUMBER>515.123.4568</PHONE_NUMBER>
<HIRE_DATE>21-SEP-05</HIRE_DATE>
<JOB_ID>AD_VP</JOB_ID>
<SALARY>17000</SALARY>
<MANAGER_ID>100</MANAGER_ID>
<DEPARTMENT_ID>90</DEPARTMENT_ID>
</EMPLOYEE>
</ROWSET>

1 row selected.
```

### Example 8-22 DBMS\_XMLGEN: Generating Simple XML with Pagination (Fetch)

```
-- Create a table to hold the results
CREATE TABLE temp_clob_tab (result clob);
DECLARE
  qryCtx DBMS_XMLGEN.ctxHandle;
  result CLOB;
BEGIN
  -- Get the query context;
  qryCtx := DBMS_XMLGEN.newContext('SELECT * FROM hr.employees');
  -- Set the maximum number of rows to be 2
  DBMS_XMLGEN.setMaxRows(qryCtx, 2);
  LOOP
    -- Get the result
   result := DBMS_XMLGEN.getXML(qryCtx);
    -- If no rows were processed, then quit
    EXIT WHEN DBMS_XMLGEN.getNumRowsProcessed(gryCtx) = 0;
    -- Do some processing with the lob data
    -- Insert the results into a table.
    -- You can print the lob out, output it to a stream,
    -- put it in a queue, or do any other processing.
    INSERT INTO temp_clob_tab VALUES(result);
  END LOOP;
  --close context
  DBMS_XMLGEN.closeContext(qryCtx);
END;
SELECT * FROM temp_clob_tab WHERE rownum < 3;</pre>
RESULT
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMPLOYEE_ID>100</EMPLOYEE_ID>
  <FIRST_NAME>Steven/FIRST_NAME>
  <LAST_NAME>King</LAST_NAME>
  <EMAIL>SKING</EMAIL>
  <PHONE_NUMBER>515.123.4567/PHONE_NUMBER>
  <hr/><hr/>IRE_DATE>17-JUN-03</hr><br/>/HIRE_DATE>
  <JOB_ID>AD_PRES</JOB_ID>
  <SALARY>24000</SALARY>
  <DEPARTMENT_ID>90</DEPARTMENT_ID>
 </ROW>
 <ROW>
  <EMPLOYEE_ID>101</EMPLOYEE_ID>
```



```
<FIRST_NAME>Neena/FIRST_NAME>
  <LAST_NAME>Kochhar</LAST_NAME>
  <EMAIL>NKOCHHAR</EMAIL>
  <PHONE_NUMBER>515.123.4568</PHONE_NUMBER>
  <HIRE_DATE>21-SEP-05/HIRE_DATE>
  <JOB_ID>AD_VP</JOB_ID>
  <SALARY>17000</SALARY>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>90</DEPARTMENT_ID>
 </ROW>
</ROWSET>
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMPLOYEE_ID>102</EMPLOYEE_ID>
  <FIRST_NAME>Lex</first_NAME>
  <LAST_NAME>De Haan</LAST_NAME>
  <EMAIL>LDEHAAN</EMAIL>
  <PHONE_NUMBER>515.123.4569/PHONE_NUMBER>
  <hr/><hr/>IRE_DATE>13-JAN-01</hr>/HIRE_DATE>
  <JOB_ID>AD_VP</JOB_ID>
  <SALARY>17000</SALARY>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>90</DEPARTMENT_ID>
 </ROW>
 <ROW>
  <EMPLOYEE_ID>103</EMPLOYEE_ID>
  <FIRST_NAME>Alexander/FIRST_NAME>
  <LAST_NAME>Hunold</LAST_NAME>
  <EMAIL>AHUNOLD</EMAIL>
  <PHONE_NUMBER>590.423.4567/PHONE_NUMBER>
  <hr/><hr/>IRE_DATE>03-JAN-06</hr>/HIRE_DATE>
  <JOB_ID>IT_PROG</JOB_ID>
  <SALARY>9000</SALARY>
  <MANAGER_ID>102</MANAGER_ID>
  <DEPARTMENT_ID>60</DEPARTMENT_ID>
</ROW>
</ROWSET>
```

2 rows selected.

### Example 8-23 DBMS\_XMLGEN: Generating XML Using Object Types

```
CREATE TABLE new_departments (department_id NUMBER PRIMARY KEY,
                           department_name VARCHAR2(20));
CREATE TABLE new_employees (employee_id NUMBER PRIMARY KEY,
                         last_name
                                         VARCHAR2(20),
                         CREATE TYPE emp_t AS OBJECT ("@employee_id" NUMBER,
                          last_name
                                         VARCHAR2(20));
INSERT INTO new_departments VALUES (10, 'SALES');
INSERT INTO new_departments VALUES (20, 'ACCOUNTING');
INSERT INTO new_employees VALUES (30, 'Scott', 10);
INSERT INTO new_employees VALUES (31, 'Mary', 10);
INSERT INTO new_employees VALUES (40, 'John', 20);
INSERT INTO new_employees VALUES (41, 'Jerry', 20);
COMMIT;
CREATE TYPE emplist_t AS TABLE OF emp_t;
```



```
CREATE TYPE dept_t AS OBJECT ("@department_id" NUMBER,
                              department_name VARCHAR2(20),
                              emplist
                                                emplist_t);
CREATE TABLE temp_clob_tab (result CLOB);
DECLARE
  qryCtx DBMS_XMLGEN.ctxHandle;
 result CLOB;
REGIN
  DBMS_XMLGEN.setRowTag(qryCtx, NULL);
  qryCtx := DBMS_XMLGEN.newContext
    ('SELECT dept_t(department_id,
                    department_name,
                    cast(MULTISET
                         (SELECT e.employee_id, e.last_name
                            FROM new_employees e
                            WHERE e.department_id = d.department_id)
                         AS emplist_t))
        AS deptxml
        FROM new_departments d');
  -- now get the result
  result := DBMS_XMLGEN.getXML(qryCtx);
  INSERT INTO temp_clob_tab VALUES (result);
  -- close context
 DBMS_XMLGEN.closeContext(qryCtx);
END;
SELECT * FROM temp_clob_tab;
Here is the resulting XML:
RESULT
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <DEPTXML department_id="10">
  <DEPARTMENT_NAME>SALES/DEPARTMENT_NAME>
    <EMP_T employee_id="30">
    <LAST_NAME>Scott</LAST_NAME>
    </EMP_T>
    <EMP_T employee_id="31">
     <LAST_NAME>Mary</LAST_NAME>
    </EMP_T>
   </EMPLIST>
  </DEPTXML>
 </ROW>
 <ROW>
  <DEPTXML department_id="20">
   <DEPARTMENT_NAME>ACCOUNTING</DEPARTMENT_NAME>
   <EMPLIST>
    <EMP_T employee_id="40">
    <LAST_NAME>John</LAST_NAME>
    </EMP_T>
    <EMP_T employee_id="41">
     <LAST_NAME>Jerry</LAST_NAME>
    </EMP_T>
   </EMPLIST>
  </DEPTXML>
 </ROW>
```



```
</ROWSET>
1 row selected.
```

## Example 8-24 DBMS\_XMLGEN: Generating XML Using User-Defined Data-Type Instances

```
CREATE TABLE dept (deptno NUMBER PRIMARY KEY, dname VARCHAR2(20));
CREATE TABLE emp (empno NUMBER PRIMARY KEY, ename VARCHAR2(20),
                  deptno NUMBER REFERENCES dept);
-- empno is preceded by an at-sign (@) to indicate that it must
-- be mapped as an attribute of the enclosing Employee element.
CREATE TYPE emp_t AS OBJECT ("@empno" NUMBER, -- empno defined as attribute
                                     VARCHAR2(20));
                              ename
INSERT INTO DEPT VALUES (10, 'Sports');
INSERT INTO DEPT VALUES(20, 'Accounting');
INSERT INTO EMP VALUES(200, 'John', 10);
INSERT INTO EMP VALUES(300, 'Jack', 10);
INSERT INTO EMP VALUES(400, 'Mary', 20);
INSERT INTO EMP VALUES(500, 'Jerry', 20);
COMMIT;
CREATE TYPE emplist_t AS TABLE OF emp_t;
CREATE TYPE dept_t AS OBJECT("@deptno" NUMBER,
                             dname
                                      VARCHAR2(20),
                             emplist emplist_t);
-- Department type dept_t contains a list of employees.
-- You can now query the employee and department tables and get
-- the result as an XML document, as follows:
CREATE TABLE temp_clob_tab (result CLOB);
DECLARE
  qryCtx DBMS_XMLGEN.ctxHandle;
 RESULT CLOB;
BEGIN
  -- get query context
 gryCtx := DBMS_XMLGEN.newContext(
    'SELECT dept_t(deptno,
                   dname,
                   cast(MULTISET
                        (SELECT empno, ename FROM emp e WHERE e.deptno =
d.deptno)
                        AS emplist_t))
       AS deptxml
       FROM dept d');
  -- set maximum number of rows to 5
  DBMS_XMLGEN.setMaxRows(qryCtx, 5);
  -- set no row tag for this result, since there is a single ADT column
  DBMS_XMLGEN.setRowTag(qryCtx, NULL);
 LOOP
    -- get result
    result := DBMS_XMLGEN.getXML(qryCtx);
    -- if there were no rows processed, then quit
    EXIT WHEN DBMS_XMLGEN.getNumRowsProcessed(qryCtx) = 0;
    -- do something with the result
    INSERT INTO temp_clob_tab VALUES (result);
 END LOOP;
END;
```



The MULTISET keyword for Oracle SQL function cast treats the employees working in the department as a list, which cast assigns to the appropriate collection type. A department instance is created using constructor dept\_t, and DBMS\_XMLGEN routines create the XML data for the object instance.

```
SELECT * FROM temp_clob_tab;
RESULT
<?xml version="1.0"?>
<ROWSET>
 <DEPTXML deptno="10">
  <DNAME>Sports</DNAME>
  <EMPLIST>
  <EMP_T empno="200">
   <ENAME>John</ENAME>
   </EMP_T>
   <EMP_T empno="300">
   <ENAME>Jack</ENAME>
   </EMP_T>
  </EMPLIST>
 </DEPTXML>
 <DEPTXML deptno="20">
  <DNAME>Accounting</DNAME>
  <EMPLIST>
   <EMP_T empno="400">
   <ENAME>Mary</ENAME>
   </EMP_T>
   <EMP_T empno="500">
   <ENAME>Jerry</ENAME>
   </EMP_T>
  </EMPLIST>
 </DEPTXML>
</ROWSET>
1 row selected.
```

### Example 8-25 DBMS XMLGEN: Generating an XML Purchase Order

```
-- Create relational schema and define object views
-- DBMS_XMLGEN maps user-defined data-type attribute names that start
     with an at-sign (@) to XML attributes
-- Purchase Order Object View Model
-- PhoneList varray object type
CREATE TYPE phonelist_vartyp AS VARRAY(10) OF VARCHAR2(20)
-- Address object type
CREATE TYPE address_typ AS OBJECT(Street VARCHAR2(200),
                                 City VARCHAR2(200),
                                 State CHAR(2),
                                 Zip VARCHAR2(20))
-- Customer object type
CREATE TYPE customer_typ AS OBJECT(CustNo NUMBER,
                                  CustName VARCHAR2(200),
                                  Address address_typ,
                                  PhoneList phonelist_vartyp)
-- StockItem object type
```



```
CREATE TYPE stockitem_typ AS OBJECT("@StockNo" NUMBER,
                                  Price
                                  TaxRate
                                             NUMBER)
-- LineItems object type
CREATE TYPE lineitem_typ AS OBJECT("@LineItemNo" NUMBER,
                                 Item
                                               stockitem_typ,
                                 Quantity
                                              NUMBER,
                                 Discount
                                              NUMBER)
-- LineItems ordered collection table
CREATE TYPE lineitems_ntabtyp AS TABLE OF lineitem_typ
-- Purchase Order object type
CREATE TYPE po_typ AUTHID CURRENT_USER
 AS OBJECT(PONO
                         NUMBER,
                         REF customer_typ,
           Cust_ref
           OrderDate
                         DATE,
                          TIMESTAMP,
           ShipDate
           LineItems_ntab lineitems_ntabtyp,
           ShipToAddr
                          address_typ)
-- Create Purchase Order relational model tables
-- Customer table
CREATE TABLE customer_tab (CustNo
                                   NUMBER NOT NULL,
                          CustName VARCHAR2(200),
                          Street
                                   VARCHAR2(200),
                                   VARCHAR2(200),
                          City
                          State
                                   CHAR(2),
                                   VARCHAR2(20),
                          Zip
                                   VARCHAR2(20),
                          Phone1
                          Phone2
                                   VARCHAR2(20),
                         Phone3
                                    VARCHAR2(20),
                         CONSTRAINT cust_pk PRIMARY KEY (CustNo));
-- Purchase Order table
CREATE TABLE po_tab (PONo
                              NUMBER,
                                             /* purchase order number */
                    Custno
                              NUMBER
                                        /* foreign KEY referencing customer
                              CONSTRAINT po_cust_fk REFERENCES customer_tab,
                    OrderDate DATE, /* date of order */
                                            /* date to be shipped */
                    ShipDate TIMESTAMP,
                    ToStreet VARCHAR2(200), /* shipto address */
                    ToCity VARCHAR2(200),
                    ToState CHAR(2),
                              VARCHAR2(20),
                    CONSTRAINT po_pk PRIMARY KEY(PONo));
--Stock Table
CREATE TABLE stock_tab (StockNo NUMBER CONSTRAINT stock_uk UNIQUE,
                      Price NUMBER,
                       TaxRate NUMBER);
--Line Items table
CREATE TABLE lineitems_tab (LineItemNo NUMBER,
                           PONo
                                   NUMBER
                                     CONSTRAINT li_po_fk REFERENCES po_tab,
                           StockNo
                                     NUMBER,
                           Quantity NUMBER,
                           Discount
                                    NUMBER,
                           CONSTRAINT li_pk PRIMARY KEY (PONo, LineItemNo));
-- Create Object views
-- Customer Object View
CREATE OR REPLACE VIEW customer OF customer_typ
```

```
WITH OBJECT IDENTIFIER (CustNo)
  AS SELECT c.custno, c.custname,
            address_typ(c.street, c.city, c.state, c.zip),
            phonelist_vartyp(phone1, phone2, phone3)
       FROM customer_tab c;
--Purchase order view
CREATE OR REPLACE VIEW po OF po_typ
  WITH OBJECT IDENTIFIER (PONo)
  AS SELECT p.pono, make_ref(Customer, P.Custno), p.orderdate, p.shipdate,
            cast(MULTISET
                 (SELECT lineitem_typ(l.lineitemno,
                                      stockitem_typ(l.stockno, s.price,
                                                    s.taxrate),
                                      1.quantity, 1.discount)
                    FROM lineitems_tab 1, stock_tab s
                    WHERE 1.pono = p.pono AND s.stockno=1.stockno)
                 AS lineitems_ntabtyp),
            address_typ(p.tostreet,p.tocity, p.tostate, p.tozip)
       FROM po_tab p;
-- Create table with XMLType column to store purchase order in XML format
CREATE TABLE po_xml_tab (poid NUMBER, podoc XMLType)
-- Populate data
-- Establish Inventory
INSERT INTO stock_tab VALUES(1004, 6750.00, 2);
INSERT INTO stock_tab VALUES(1011, 4500.23, 2);
INSERT INTO stock_tab VALUES(1534, 2234.00, 2);
INSERT INTO stock_tab VALUES(1535, 3456.23, 2);
-- Register Customers
INSERT INTO customer_tab
 VALUES (1, 'Jean Nance', '2 Avocet Drive',
          'Redwood Shores', 'CA', '95054',
          '415-555-1212', NULL, NULL);
INSERT INTO customer_tab
  VALUES (2, 'John Nike', '323 College Drive',
          'Edison', 'NJ', '08820',
          '609-555-1212', '201-555-1212', NULL);
-- Place orders
INSERT INTO po_tab
 VALUES (1001, 1, '10-APR-1997', '10-MAY-1997',
         NULL, NULL, NULL, NULL);
INSERT INTO po_tab
  VALUES (2001, 2, '20-APR-1997', '20-MAY-1997',
          '55 Madison Ave', 'Madison', 'WI', '53715');
-- Detail line items
INSERT INTO lineitems_tab VALUES(01, 1001, 1534, 12, 0);
INSERT INTO lineitems_tab VALUES(02, 1001, 1535, 10, 10);
INSERT INTO lineitems_tab VALUES(01, 2001, 1004, 1, 0);
INSERT INTO lineitems_tab VALUES(02, 2001, 1011, 2, 1);
-- Use package DBMS_XMLGEN to generate purchase order in XML format
-- and store XMLType in table po_xml
DECLARE
  qryCtx DBMS_XMLGEN.ctxHandle;
 pxml XMLType;
 cxml CLOB;
BEGIN
  -- get query context;
  qryCtx := DBMS_XMLGEN.newContext('SELECT pono,deref(cust_ref) customer,
                                           p.orderdate,
```

```
p.shipdate,
                                          lineitems_ntab lineitems,
                                          shiptoaddr
                                     FROM po p');
  -- set maximum number of rows to be 1,
 DBMS_XMLGEN.setMaxRows(qryCtx, 1);
  -- set ROWSET tag to NULL and ROW tag to PurchaseOrder
 DBMS_XMLGEN.setRowSetTag(qryCtx, NULL);
  DBMS_XMLGEN.setRowTag(qryCtx, 'PurchaseOrder');
 LOOP
   -- get purchase order in XML format
   pxml := DBMS_XMLGEN.getXMLType(gryCtx);
    -- if there were no rows processed, then quit
   EXIT WHEN DBMS XMLGEN.getNumRowsProcessed(gryCtx) = 0;
    -- Store XMLType po in po_xml table (get the pono out)
   INSERT INTO po_xml_tab(poid, poDoc)
     VALUES(XMLCast(XMLQuery('//PONO/text()' PASSING pxml RETURNING CONTENT)
                    AS NUMBER),
            pxml);
 END LOOP;
END;
This guery then produces two XML purchase-order documents:
SELECT XMLSerialize(DOCUMENT x.podoc AS CLOB) xpo FROM po_xml_tab x;
XΡΩ
             _____
<PurchaseOrder>
  <PONO>1001</PONO>
  <CUSTOMER>
  <CUSTNO>1</CUSTNO>
  <CUSTNAME>Jean Nance</CUSTNAME>
  <ADDRESS>
   <STREET>2 Avocet Drive
   <CITY>Redwood Shores</CITY>
   <STATE>CA</STATE>
   <ZIP>95054</ZIP>
   </ADDRESS>
   <PHONELIST>
   <VARCHAR2>415-555-1212
  </PHONELIST>
  </CUSTOMER>
  <ORDERDATE>10-APR-97
  <SHIPDATE>10-MAY-97 12.00.00.000000 AM</SHIPDATE>
  <LINEITEMS>
  <LINEITEM_TYP LineItemNo="1">
   <ITEM StockNo="1534">
    <PRICE>2234</PRICE>
    <TAXRATE>2</TAXRATE>
   </ITEM>
   <QUANTITY>12</QUANTITY>
   <DISCOUNT>0</DISCOUNT>
  </LINEITEM_TYP>
  <LINEITEM_TYP LineItemNo="2">
   <ITEM StockNo="1535">
    <PRICE>3456.23</PRICE>
    <TAXRATE>2</TAXRATE>
    </ITEM>
    <QUANTITY>10</QUANTITY>
```



```
<DISCOUNT>10</DISCOUNT>
   </LINEITEM_TYP>
  </LINEITEMS>
  <SHIPTOADDR/>
 </PurchaseOrder>
 <PurchaseOrder>
  <PONO>2001</PONO>
  <CUSTOMER>
   <CUSTNO>2</CUSTNO>
   <CUSTNAME>John Nike</CUSTNAME>
   <ADDRESS>
    <STREET>323 College Drive</STREET>
   <CITY>Edison</CITY>
   <STATE>NJ</STATE>
   <ZIP>08820</ZIP>
   </ADDRESS>
   <PHONELIST>
   <VARCHAR2>609-555-1212
   <VARCHAR2>201-555-1212
   </PHONELIST>
  </CUSTOMER>
  <ORDERDATE>20-APR-97
  <SHIPDATE>20-MAY-97 12.00.00.000000 AM</SHIPDATE>
  <LINEITEM_TYP LineItemNo="1">
   <ITEM StockNo="1004">
    <PRICE>6750</PRICE>
    <TAXRATE>2</TAXRATE>
   </ITEM>
    <QUANTITY>1</QUANTITY>
    <DISCOUNT>0</DISCOUNT>
   </LINEITEM_TYP>
   <LINEITEM_TYP LineItemNo="2">
    <ITEM StockNo="1011">
    <PRICE>4500.23</PRICE>
    <TAXRATE>2</TAXRATE>
   </ITEM>
   <QUANTITY>2</QUANTITY>
   <DISCOUNT>1</DISCOUNT>
  </LINEITEM_TYP>
  </LINEITEMS>
  <SHIPTOADDR>
  <STREET>55 Madison Ave</STREET>
  <CITY>Madison</CITY>
  <STATE>WI</STATE>
  <ZIP>53715</ZIP>
 </SHIPTOADDR>
 </PurchaseOrder>
2 rows selected.
```

## Example 8-26 DBMS\_XMLGEN: Generating a New Context Handle from a REF Cursor

```
CREATE TABLE emp_tab (emp_id NUMBER PRIMARY KEY, name VARCHAR2(20), dept_id NUMBER);

Table created.

INSERT INTO emp_tab VALUES (122, 'Scott', 301);

1 row created.
```



```
INSERT INTO emp_tab VALUES (123, 'Mary',
                                            472);
1 row created.
INSERT INTO emp_tab VALUES (124, 'John',
                                            93);
1 row created.
INSERT INTO emp_tab VALUES (125, 'Howard', 488);
1 row created.
INSERT INTO emp_tab VALUES (126, 'Sue',
                                           16);
1 row created.
COMMIT;
DECLARE
  ctx
         NUMBER;
 maxrow NUMBER;
 xmldoc CLOB;
 refcur SYS_REFCURSOR;
BEGIN
 DBMS_LOB.createtemporary(xmldoc, TRUE);
 maxrow := 3;
 OPEN refcur FOR 'SELECT * FROM emp_tab WHERE ROWNUM <= :1' USING maxrow;
 ctx := DBMS_XMLGEN.newContext(refcur);
   -- xmldoc will have 3 rows
 DBMS_XMLGEN.getXML(ctx, xmldoc, DBMS_XMLGEN.NONE);
 DBMS_OUTPUT.put_line(xmldoc);
  DBMS_LOB.freetemporary(xmldoc);
  CLOSE refcur;
  DBMS_XMLGEN.closeContext(ctx);
END;
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMP_ID>122</EMP_ID>
  <NAME>Scott</NAME>
 <DEPT_ID>301</DEPT_ID>
 </ROW>
 <ROW>
  <EMP_ID>123</EMP_ID>
 <NAME>Mary</NAME>
 <DEPT_ID>472</DEPT_ID>
 </ROW>
 <ROW>
  <EMP_ID>124</EMP_ID>
 <NAME>John</NAME>
 <DEPT_ID>93</DEPT_ID>
 </ROW>
</ROWSET>
```

PL/SQL procedure successfully completed.

### Example 8-27 DBMS\_XMLGEN: Specifying NULL Handling

```
CREATE TABLE emp_tab (emp_id NUMBER PRIMARY KEY, name VARCHAR2(20), dept_id NUMBER);

Table created.

INSERT INTO emp_tab VALUES (30, 'Scott', NULL);

1 row created.

INSERT INTO emp_tab VALUES (31, 'Mary', NULL);

1 row created.

INSERT INTO emp_tab VALUES (40, 'John', NULL);

1 row created.
```

```
COMMIT;
CREATE TABLE temp_clob_tab (result CLOB);
Table created.
DECLARE
  qryCtx DBMS_XMLGEN.ctxHandle;
 result CLOB;
  qryCtx := DBMS_XMLGEN.newContext('SELECT * FROM emp_tab where name = :NAME');
  -- Set the row header to be EMPLOYEE
  DBMS_XMLGEN.setRowTag(qryCtx, 'EMPLOYEE');
  -- Drop nulls
  DBMS_XMLGEN.setBindValue(gryCtx, 'NAME', 'Scott');
  DBMS_XMLGEN.setNullHandling(qryCtx, DBMS_XMLGEN.DROP_NULLS);
  result := DBMS_XMLGEN.getXML(gryCtx);
  INSERT INTO temp_clob_tab VALUES(result);
  -- Null attribute
  DBMS_XMLGEN.setBindValue(qryCtx, 'NAME', 'Mary');
  DBMS_XMLGEN.setNullHandling(qryCtx, DBMS_XMLGEN.NULL_ATTR);
  result := DBMS_XMLGEN.getXML(qryCtx);
  INSERT INTO temp_clob_tab VALUES(result);
  -- Empty tag
  DBMS_XMLGEN.setBindValue(qryCtx, 'NAME', 'John');
  DBMS_XMLGEN.setNullHandling(qryCtx, DBMS_XMLGEN.EMPTY_TAG);
  result := DBMS_XMLGEN.getXML(qryCtx);
  INSERT INTO temp_clob_tab VALUES(result);
  --Close context
 DBMS_XMLGEN.closeContext(qryCtx);
END;
/
PL/SQL procedure successfully completed.
SELECT * FROM temp_clob_tab;
RESULT
<?xml version="1.0"?>
<ROWSET>
 <EMPLOYEE>
 <EMP_ID>30</EMP_ID>
 <NAME>Scott</NAME>
 </EMPLOYEE>
</ROWSET>
<?xml version="1.0"?>
<ROWSET xmlns:xsi = "http://www.w3.org/2001/XMLSchema-instance">
 <EMPLOYEE>
  <EMP_ID>31</EMP_ID>
  <NAME>Mary</NAME>
 <DEPT_ID xsi:nil = "true"/>
 </EMPLOYEE>
</ROWSET>
<?xml version="1.0"?>
<ROWSET>
 <EMPLOYEE>
  <EMP_ID>40</EMP_ID>
  <NAME>John</NAME>
  <DEPT_ID/>
 </EMPLOYEE>
```

```
</ROWSET>
3 rows selected.
```

# Example 8-28 DBMS\_XMLGEN: Generating Recursive XML with a Hierarchical Query

```
CREATE TABLE sqlx_display (id NUMBER, xmldoc XMLType);
Table created.
DECLARE
 gryctx DBMS_XMLGEN.ctxhandle;
 result XMLType;
BEGIN
  gryctx :=
   DBMS_XMLGEN.newContextFromHierarchy(
      'SELECT level,
             XMLElement("employees",
                        XMLElement("enumber", employee_id),
                        XMLElement("name", last_name),
                        XMLElement("Salary", salary),
                        XMLElement("Hiredate", hire_date))
        FROM hr.employees
        START WITH last_name=''De Haan'' CONNECT BY PRIOR employee_id=manager_id
        ORDER SIBLINGS BY hire_date');
 result := DBMS_XMLGEN.getxmltype(gryctx);
 DBMS_OUTPUT.put_line('<result num rows>');
  DBMS_OUTPUT.put_line(to_char(DBMS_XMLGEN.getNumRowsProcessed(qryctx)));
 DBMS_OUTPUT.put_line('</result num rows>');
  INSERT INTO sqlx_display VALUES (2, result);
 COMMIT;
 DBMS_XMLGEN.closecontext(qryctx);
END;
<result num rows>
</result num rows>
PL/SQL procedure successfully completed.
SELECT xmldoc FROM sqlx_display WHERE id = 2;
XMI'DOC
_____
<?xml version="1.0"?>
<employees>
  <enumber>102</enumber>
  <name>De Haan</name>
  <Salary>17000</Salary>
  <Hiredate>2001-01-13</Hiredate>
  <employees>
   <enumber>103</enumber>
    <name>Hunold</name>
   <Salary>9000</Salary>
    <Hiredate>2006-01-03</Hiredate>
    <employees>
     <enumber>105</enumber>
     <name>Austin</name>
     <Salary>4800</Salary>
      <Hiredate>2005-06-25</Hiredate>
    </employees>
    <employees>
```



```
<enumber>106</enumber>
      <name>Pataballa</name>
      <Salary>4800</Salary>
      <Hiredate>2006-02-05</Hiredate>
    </employees>
    <employees>
      <enumber>107</enumber>
      <name>Lorentz</name>
      <Salary>4200</Salary>
      <Hiredate>2007-02-07</Hiredate>
    </employees>
    <employees>
      <enumber>104</enumber>
      <name>Ernst</name>
      <Salary>6000</Salary>
      <hiredate>2007-05-21</hiredate>
    </employees>
  </employees>
</employees>
1 row selected.
```

By default, the ROWSET tag is NULL: there is no default ROWSET tag used to enclose the XML result. However, you can explicitly set the ROWSET tag by using procedure setRowSetTag, as follows:

```
CREATE TABLE gg (x XMLType);
Table created.
DECLARE
  qryctx DBMS_XMLGEN.ctxhandle;
 result CLOB;
BEGIN
  qryctx := DBMS_XMLGEN.newContextFromHierarchy(
              'SELECT level,
                      XMLElement("NAME", last_name) AS myname FROM hr.employees
               CONNECT BY PRIOR employee_id=manager_id
               START WITH employee_id = 102');
  DBMS_XMLGEN.setRowSetTag(qryctx, 'mynum_hierarchy');
  result:=DBMS_XMLGEN.getxml(qryctx);
  DBMS_OUTPUT.put_line('<result num rows>');
  DBMS_OUTPUT.put_line(to_char(DBMS_XMLGEN.getNumRowsProcessed(qryctx)));
  DBMS_OUTPUT.put_line('</result num rows>');
  INSERT INTO gg VALUES(XMLType(result));
  COMMIT;
  DBMS_XMLGEN.closecontext(qryctx);
END;
<result num rows>
</result num rows>
PL/SQL procedure successfully completed.
SELECT * FROM gg;
X
<?xml version="1.0"?>
<mynum_hierarchy>
  <NAME>De Haan
```

<NAME>Hunold

## Example 8-29 DBMS\_XMLGEN: Binding Query Variables Using SETBINDVALUE()

```
-- Bind one variable
DECLARE
 ctx NUMBER;
 xmldoc CLOB;
BEGIN
 ctx := DBMS_XMLGEN.newContext(
           'SELECT * FROM employees WHERE employee_id = :NO');
 DBMS_XMLGEN.setBindValue(ctx, 'NO', '145');
  xmldoc := DBMS_XMLGEN.getXML(ctx);
  DBMS_OUTPUT.put_line(xmldoc);
  DBMS_XMLGEN.closeContext(ctx);
  WHEN OTHERS THEN DBMS_XMLGEN.closeContext(ctx);
 RAISE;
END;
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMPLOYEE_ID>145</EMPLOYEE_ID>
  <FIRST_NAME>John/FIRST_NAME>
  <LAST_NAME>Russell</LAST_NAME>
  <EMAIL>JRUSSEL</EMAIL>
  <PHONE_NUMBER>011.44.1344.429268/PHONE_NUMBER>
  <hr/><HIRE DATE>01-OCT-04</hr>
  <JOB_ID>SA_MAN</JOB_ID>
  <SALARY>14000</SALARY>
  <COMMISSION_PCT>.4</COMMISSION_PCT>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>80</DEPARTMENT_ID>
 </ROW>
</ROWSET>
PL/SQL procedure successfully completed.
-- Bind one variable twice with different values
DECLARE
 ctx NUMBER;
 xmldoc CLOB;
BEGIN
  ctx := DBMS_XMLGEN.newContext('SELECT * FROM employees
                                   WHERE hire_date = :MDATE');
  DBMS_XMLGEN.setBindValue(ctx, 'MDATE', '01-OCT-04');
  xmldoc := DBMS_XMLGEN.getXML(ctx);
  DBMS_OUTPUT.put_line(xmldoc);
  DBMS_XMLGEN.setBindValue(ctx, 'MDATE', '10-MAR-05');
  xmldoc := DBMS_XMLGEN.getXML(ctx);
  DBMS_OUTPUT.put_line(xmldoc);
```



```
DBMS_XMLGEN.closeContext(ctx);
  WHEN OTHERS THEN DBMS_XMLGEN.closeContext(ctx);
  RAISE;
END;
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMPLOYEE_ID>145</EMPLOYEE_ID>
  <FIRST_NAME>John</FIRST_NAME>
  <LAST_NAME>Russell</LAST_NAME>
  <EMAIL>JRUSSEL</EMAIL>
  <PHONE_NUMBER>011.44.1344.429268/PHONE_NUMBER>
  <hr/><hr/>HIRE_DATE>01-OCT-04</hr>/HIRE_DATE>
  <JOB_ID>SA_MAN</JOB_ID>
  <SALARY>14000</SALARY>
  <COMMISSION_PCT>.4</COMMISSION_PCT>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>80</DEPARTMENT_ID>
 </ROW>
</ROWSET>
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMPLOYEE_ID>147</EMPLOYEE_ID>
  <FIRST_NAME>Alberto/FIRST_NAME>
  <LAST_NAME>Errazuriz</LAST_NAME>
  <EMAIL>AERRAZUR</EMAIL>
  <PHONE_NUMBER>011.44.1344.429278
  <HIRE_DATE>10-MAR-05/HIRE_DATE>
  <JOB_ID>SA_MAN</JOB_ID>
  <SALARY>12000</SALARY>
  <COMMISSION_PCT>.3</COMMISSION_PCT>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>80</DEPARTMENT_ID>
 </ROW>
 <ROW>
  <EMPLOYEE_ID>159</EMPLOYEE_ID>
  <FIRST_NAME>Lindsey</FIRST_NAME>
  <LAST_NAME>Smith</LAST_NAME>
  <EMAIL>LSMITH</EMAIL>
  <PHONE_NUMBER>011.44.1345.729268/PHONE_NUMBER>
  <hr/><hr/>HIRE_DATE>10-MAR-97</hr>
  <JOB_ID>SA_REP</JOB_ID>
  <SALARY>8000</SALARY>
  <COMMISSION_PCT>.3</COMMISSION_PCT>
  <MANAGER_ID>146</MANAGER_ID>
  <DEPARTMENT_ID>80</DEPARTMENT_ID>
 </ROW>
</ROWSET>
PL/SQL procedure successfully completed.
-- Bind two variables
DECLARE
  ctx NUMBER;
 xmldoc CLOB;
BEGIN
  ctx := DBMS_XMLGEN.newContext('SELECT * FROM employees
                                    WHERE employee_id = :NO
```

```
AND hire_date = :MDATE');
 DBMS_XMLGEN.setBindValue(ctx, 'NO', '145');
 DBMS_XMLGEN.setBindValue(ctx, 'MDATE', '01-OCT-04');
 xmldoc := DBMS_XMLGEN.getXML(ctx);
 DBMS_OUTPUT.put_line(xmldoc);
 DBMS_XMLGEN.closeContext(ctx);
EXCEPTION
 WHEN OTHERS THEN DBMS_XMLGEN.closeContext(ctx);
 RAISE;
END;
<?xml version="1.0"?>
<ROWSET>
 <ROW>
  <EMPLOYEE_ID>145</EMPLOYEE_ID>
  <FIRST NAME>John</FIRST NAME>
  <LAST_NAME>Russell</LAST_NAME>
  <EMAIL>JRUSSEL</EMAIL>
  <PHONE_NUMBER>011.44.1344.429268/PHONE_NUMBER>
  <hr/><hr/>HIRE_DATE>01-OCT-04</hr>/HIRE_DATE>
  <JOB_ID>SA_MAN</JOB_ID>
  <SALARY>14000</SALARY>
  <COMMISSION_PCT>.4</COMMISSION_PCT>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>80</DEPARTMENT_ID>
</ROW>
</ROWSET>
PL/SQL procedure successfully completed.
```

## 8.4 SYS XMLAGG Oracle SQL Function

Oracle SQL function  $sys\_XMLAgg$  aggregates all XML documents or fragments represented by an expression, producing a single XML document from them. It wraps the results of the expression in a new element named ROWSET (by default).

Oracle function <code>sys\_XMLAgg</code> is similar to standard SQL/XML function <code>XMLAgg</code>, but <code>sys\_XMLAgg</code> returns a single node and it accepts an <code>XMLFormat</code> parameter. You can use that parameter to format the resulting XML document in various ways.

Figure 8-13 SYS\_XMLAGG Syntax



### See Also:

- Oracle Database SQL Language Reference for information about sys\_XMLAgg
- Oracle Database SQL Language Reference for information about an XMLFormat parameter

# 8.5 Ordering Query Results Before Aggregating, Using XMLAGG ORDER BY Clause

To use the XMLAgg ORDER BY clause before aggregation, specify the ORDER BY clause following the first XMLAGG argument.

This is illustrated in Example 8-30.

### Example 8-30 Using XMLAGG ORDER BY Clause

```
CREATE TABLE dev_tab (dev NUMBER, dev_total NUMBER, devname VARCHAR2(20));

Table created.

INSERT INTO dev_tab VALUES (16, 5, 'Alexis');

1 row created.

INSERT INTO dev_tab VALUES (2, 14, 'Han');

1 row created.

INSERT INTO dev_tab VALUES (1, 2, 'Jess');

1 row created.

INSERT INTO dev_tab VALUES (9, 88, 'Kurt');

1 row created.

COMMIT;
```

The result of the following query is aggregated according to the order of the dev column. (The result is shown here pretty-printed, for clarity.)

### 8.6 Returning a Rowset Using XMLTABLE

You can use standard SQL/XML function XMLTable to return a rowset with relevant portions of a document extracted as multiple rows.

This is shown in Example 8-31.



### Example 8-31 Returning a Rowset Using XMLTABLE

```
CONNECT oe
Enter password: password
Connected.
SELECT item.descr, item.partid
  FROM purchaseorder,
       XMLTable('$p/PurchaseOrder/LineItems/LineItem' PASSING
OBJECT_VALUE
                COLUMNS descr VARCHAR2(256) PATH 'Description',
                        partid VARCHAR2(14) PATH 'Part/@Id') item
  WHERE item.partid = '715515012027'
     OR item.partid = '715515011921'
  ORDER BY partid;
This returns a rowset with just the descriptions and part IDs, ordered by part ID.
```

### DESCR \_\_\_\_\_ PARTID \_\_\_\_\_ My Man Godfrey 715515011921 My Man Godfrey

My Man Godfrey 715515011921

715515011921

My Man Godfrey 715515011921

My Man Godfrey 715515011921

My Man Godfrey 715515011921

My Man Godfrey 715515011921

Mona Lisa 715515012027

Mona Lisa 715515012027

Mona Lisa 715515012027

Mona Lisa



715515012027

Mona Lisa 715515012027

Mona Lisa 715515012027

Mona Lisa 715515012027

Mona Lisa 715515012027

Mona Lisa 715515012027

16 rows selected.



## Relational Views over XML Data

Relational database views over XML data provide conventional, relational access to XML content.

- Introduction to Creating and Using Relational Views over XML Data
   You can use the XML-specific functions and methods provided by Oracle XML DB
   to create conventional database views that provide relational access to XML
   content. This lets programmers, tools, and applications that understand Oracle
   Database, but not necessarily XML, work with XML content stored in the database.
- Creating a Relational View over XML: One Row for Each XML Document
   To expose each document in an XMLType table as a row in a relational view, use
   CREATE OR REPLACE VIEW AS SELECT, selecting from a join of the XMLType table
   and a relational table that you create from the XML data using SQL/XML function
   XMLTable.
- Creating a Relational View over XML: Mapping XML Nodes to Columns
   To expose data from multiple levels of an XMLType table as individual rows
   in a relational view, apply SQL/XML function XMLTable to each level. Use this
   technique whenever there is a one-to-many (1:N) relationship between documents
   in the XMLType table and rows in the view.
- Indexing Binary XML Data Exposed Using a Relational View
   If the relational columns of the structured component of an XMLIndex index over binary XML data match the columns of a relational view over that data, then the view too is effectively indexed.
- Querying XML Content As Relational Data
   Examples here show relational queries of XML data. They illustrate some of the benefits provided by creating relational views over XMLType tables and columns.

# 9.1 Introduction to Creating and Using Relational Views over XML Data

You can use the XML-specific functions and methods provided by Oracle XML DB to create conventional database views that provide relational access to XML content. This lets programmers, tools, and applications that understand Oracle Database, but not necessarily XML, work with XML content stored in the database.

The relational views can use XQuery expressions and SQL/XML functions such as XMLTable to define a mapping between columns in the view and nodes in an XML document.

### **Related Topics**

XQuery and Oracle XML DB

The XQuery language is one of the main ways that you interact with XML data in Oracle XML DB. Support for the language includes SQL\*Plus commandxQUERY and SQL/XML functions XMLQuery, XMLTable, XMLExists, and XMLCast.

### Indexes for XMLType Data

You can create indexes on your XML data, to focus on particular parts of it that you query often and thus improve performance. There are various ways that you can index XMLType data, whether it is XML schema-based or non-schema-based, and regardless of the XMLType storage model you use.

# 9.2 Creating a Relational View over XML: One Row for Each XML Document

To expose each document in an XMLType table as a row in a relational view, use CREATE OR REPLACE VIEW AS SELECT, selecting from a join of the XMLType table and a relational table that you create from the XML data using SQL/XML function XMLTable.

You use standard SQL/XML function XMLTable to map nodes in the XML document to columns in the view. Use this technique whenever there is a one-to-one (1:1) relationship between documents in the XMLType table and the rows in the view.

**Example 9-1 creates relational view** purchaseorder\_master\_view, which has one row for each row in XMLType table po\_binaryxml.

### Example 9-1 Creating a Relational View of XML Content

```
CREATE TABLE po binaryxml OF XMLType
 XMLTYPE STORE AS BINARY XML;
INSERT INTO po binaryxml SELECT OBJECT VALUE FROM OE.purchaseorder;
CREATE OR REPLACE VIEW purchaseorder_master_view AS
 SELECT po.*
   FROM po_binaryxml pur,
        XMLTable(
          '$p/PurchaseOrder' PASSING pur.OBJECT_VALUE as "p"
          COLUMNS
            reference
                          VARCHAR2(30)
                                          PATH 'Reference',
            requestor
                          VARCHAR2(128) PATH 'Requestor',
            userid
                          VARCHAR2(10) PATH 'User',
            costcenter VARCHAR2(4) PATH 'CostCenter',
            ship to name VARCHAR2(20) PATH 'ShippingInstructions/name',
            ship_to_address VARCHAR2(256) PATH 'ShippingInstructions/address',
            ship_to_phone VARCHAR2(24)
                                          PATH 'ShippingInstructions/telephone',
            instructions
                           VARCHAR2(2048) PATH 'SpecialInstructions') po;
```

View created.

DESCRIBE purchaseorder\_master\_view

Name	Null?	Type
REFERENCE		VARCHAR2(30)
REQUESTOR		VARCHAR2(128)
USERID		VARCHAR2(10)
COSTCENTER		VARCHAR2(4)
SHIP_TO_NAME		VARCHAR2(20)
SHIP_TO_ADDRESS		VARCHAR2(256)



SHIP\_TO\_PHONE VARCHAR2(24)
INSTRUCTIONS VARCHAR2(2048)

# 9.3 Creating a Relational View over XML: Mapping XML Nodes to Columns

To expose data from multiple levels of an XMLType table as individual rows in a relational view, apply SQL/XML function XMLTable to each level. Use this technique whenever there is a one-to-*many* (1:N) relationship between documents in the XMLType table and rows in the view.

That is, you use the same general approach as for breaking up a single level (see Creating a Relational View over XML: One Row for Each XML Document): Define the columns making up the view, and map the XML nodes to those columns. But in this case you apply XMLTable to each document level that is to be broken up and stored in relational columns.

For example, each PurchaseOrder element contains a LineItems element, which in turn contains one or more LineItem elements. Each LineItem element has child elements, such as Description, and an ItemNumber attribute. To make such lower-level data accessible as a relational value, use XMLTable to project both the PurchaseOrder element and the LineItem collection.

When element PurchaseOrder is broken up, its descendant LineItem element is mapped to a column of type XMLType, which contains an XML fragment. That column is then passed to a second call to XMLTable to be broken into its various parts as multiple columns of relational values.

Example 9-2 illustrates this. It uses XMLTable to effect a one-to-many (1:N) relationship between the documents in XMLType table po\_binaryxml and the rows in relational view purchaseorder\_detail\_view. The view provides access to the individual members of a collection and exposes the collection members as a set of rows.

In Example 9-2, there is one row in view purchaseorder\_detail\_view for each LineItem element in the XML documents stored in XMLType table po\_binaryxml.

The CREATE OR REPLACE VIEW statement of Example 9-2 defines the set of relational columns that make up the view. The SELECT statement passes table po\_binaryxml as context to function XMLTable to create virtual table p, which has columns reference and lineitem. These columns contain the Reference and LineItem elements of the purchase-order documents, respectively.

Column lineitem contains a collection of LineItem elements as an XMLType instance — one row for each element. These rows are in turn passed to a second XMLTable expression to serve as its context. This second XMLTable expression creates a virtual table of line-item rows, with columns corresponding to various descendant nodes of element LineItem. Most of these descendants are attributes (ItemNumber, Part/@Id, and so on). One of the descendants is the child element Description.

Element Reference is projected in view purchaseorder\_detail\_view as column reference. It provides a foreign key that can be used to join rows in view purchaseorder\_detail\_view to corresponding rows in view purchaseorder\_master\_view. The correlated join in the CREATE OR REPLACE VIEW statement ensures that the one-to-many (1:N) relationship between element



Reference and the associated LineItem elements is maintained whenever the view is accessed.

### **Example 9-2** Accessing Individual Members of a Collection Using a View

```
CREATE OR REPLACE VIEW purchaseorder_detail_view AS
  SELECT po.reference, li.*
     FROM po_binaryxml p,
           XMLTable('/PurchaseOrder' PASSING p.OBJECT VALUE
                       reference VARCHAR2(30) PATH 'Reference',
                       lineitem XMLType PATH 'LineItems/LineItem') po,
           XMLTable('/LineItem' PASSING po.lineitem
                     COLUMNS
                                    NUMBER(38) PATH '@ItemNumber',
                        itemno
                       description VARCHAR2(256) PATH 'Description',
                       partno VARCHAR2(14) PATH 'Part/@Id', quantity NUMBER(12, 2) PATH 'Part/@Quantity',
                       unitprice NUMBER(8, 4) PATH 'Part/@UnitPrice') li;
View created.
DESCRIBE purchaseorder_detail_view
Name Null? Type
REFERENCE VARCHAR2(30)
ITEMNO NUMBER(38)
DESCRIPTION VARCHAR2(256)
PARTNO VARCHAR2(14)
QUANTITY NUMBER(12,2)
UNITPRICE NUMBER(8,4)
```

# 9.4 Indexing Binary XML Data Exposed Using a Relational View

If the relational columns of the structured component of an XMLIndex index over binary XML data match the columns of a relational view over that data, then the view too is effectively indexed.

When the XMLType data that is exposed in a relational view is stored as binary XML, you can typically improve performance by creating an XMLIndex index that has a structured component that matches the view columns. Such an index projects parts of the XML data onto relational columns, just as the view does. When the columns of the index match the columns of the view, the view is itself indexed.

To simplify the creation of such an XMLIndex index, you can PL/SQL function DBMS\_XMLSTORAGE\_MANAGE.getSIDXDefFromView to provide exactly the XMLTable expression needed for creating the index. That is the sole purpose of this function: to return an XMLTable expression that you can use to create an XMLIndex index for a relational view. It takes the view as argument and returns a CLOB instance. Example 9-3 illustrates this.

Example 9-4 shows the XMLTable expression used in Example 9-3.





Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL function DBMS\_XMLSTORAGE\_MANAGE.getSIDXDefFromView

### **Example 9-3** XMLIndex Index that Matches Relational View Columns

```
CALL DBMS_XMLINDEX.registerParameter(
   'my_param',
   DBMS_XMLSTORAGE_MANAGE.getSIDXDefFromView('PURCHASEORDER_MASTER_VIEW'));

CREATE INDEX my_idx on po_binaryxml (OBJECT_VALUE) INDEXTYPE IS XDB.XMLINdex
   PARAMETERS ('PARAM my_param');
```

## Example 9-4 XMLTable Expression Returned by PL/SQL Function getSIDXDefFromView

### **Related Topics**

Use of XMLIndex with a Structured Component
 An XMLIndex structured component indexes specific islands of structure in your XML data.

## 9.5 Querying XML Content As Relational Data

Examples here show relational queries of XML data. They illustrate some of the benefits provided by creating relational views over XMLType tables and columns.

Example 9-5 and Example 9-6 show how to query master and detail relational views of XML data. Example 9-5 queries the master view to select the rows where column userid starts with S.

Example 9-6 joins the master view and the detail view. It selects the purchaseorder\_detail\_view rows where the value of column itemno is 1 and the corresponding purchaseorder\_master\_view row contains a userid column with the value SBELL.

Example 9-7 shows how to use relational views over XML content to perform business-intelligence queries on XML documents. The example query selects

<sup>&</sup>lt;sup>1</sup> The view-name argument to getSIDXDefFromView must be uppercase, because that is how the name is recorded.



PurchaseOrder documents that contain orders for titles identified by UPC codes 715515009058 and 715515009126.

The query in Example 9-7 determines the number of copies of each film title that are ordered in each PurchaseOrder document. For example, for part number 715515009126, there are four PurchaseOrder documents where one copy of the item is ordered and seven PurchaseOrder documents where three copies of the item are ordered.

### **Example 9-5 Querying Master Relational View of XML Data**

```
SELECT reference, costcenter, ship_to_name
FROM purchaseorder_master_view
WHERE userid LIKE 'S%';
```

REFERENCE	COST	SHIP_TO_NAME
SBELL-20021009123336231PDT	S30	Sarah J. Bell
SBELL-20021009123336331PDT	S30	Sarah J. Bell
SKING-20021009123336321PDT	A10	Steven A. King
• • •		
36 rows selected.		

### Example 9-6 Querying Master and Detail Relational Views of XML Data

```
SELECT d.reference, d.itemno, d.partno, d.description
FROM purchaseorder_detail_view d, purchaseorder_master_view m
WHERE m.reference = d.reference
AND m.userid = 'SBELL'
AND d.itemno = 1;
```

REFERENCE	ITEMNO	PARTNO	DESCRIPTION	
SBELL-20021009123336231PDT	1	37429165829	Juliet of the	
Spirits				
SBELL-20021009123336331PDT	1	715515009225	Salo	
SBELL-20021009123337353PDT	1	37429141625	The Third Man	
SBELL-20021009123338304PDT	1	715515009829	Nanook of the North	
SBELL-20021009123338505PDT	1	37429122228	The 400 Blows	
SBELL-20021009123335771PDT	1	37429139028	And the Ship Sails	
on				
SBELL-20021009123335280PDT	1	715515011426	All That Heaven	
Allows				
SBELL-2002100912333763PDT	1	715515010320	Life of Brian -	
Python				
SBELL-2002100912333601PDT	1	715515009058	A Night to Remember	
SBELL-20021009123336362PDT	1	715515012928	In the Mood for	
Love				
SBELL-20021009123336532PDT	1	37429162422	Wild Strawberries	
SBELL-20021009123338204PDT	1	37429168820	Red Beard	
SBELL-20021009123337673PDT	1	37429156322	Cries and Whispers	

13 rows selected.

### Example 9-7 Business-Intelligence Query of XML Data Using a View

SELECT partno, count(\*) "No of Orders", quantity "No of Copies"
FROM purchaseorder\_detail\_view
WHERE partno IN (715515009126, 715515009058)
GROUP BY rollup(partno, quantity);

PARTNO	No	of	Orders	No	of	Copies
715515009058			7			1
715515009058			9			2
715515009058			5			3
715515009058			2			4
715515009058			23			
715515009126			4			1
715515009126			7			3
715515009126			11			
			34			

9 rows selected.



10

# XMLType Views

You can create XMLType views over relational and object-relational data.

### What Are XMLType Views?

XMLType views wrap existing relational and object-relational data in XML formats. This lets you use existing data in contexts that expect XML data and exploit XML features, including XML Schema.

• CREATE VIEW for XMLType Views: Syntax
The syntax for the CREATE VIEW clause for creating XMLType views is presented.

### Creating Non-Schema-Based XMLType Views

The XML data in a non XML Schema-based  $\tt XMLType$  view is not constrained to conform to a registered XML schema. You can create a non-schema-based  $\tt XMLType$  view using SQL/XML publishing functions.

### Creating XML Schema-Based XMLType Views

The XML data in an XML Schema-based XMLType view is constrained to conform to an XML schema. You can create a schema-based XMLType view using SQL/XML publishing functions or using object types or views.

### Creating XMLType Views from XMLType Tables

An XMLType view can be created on an XMLType table, for example, to transform the XML data or to restrict the rows returned.

• Referencing XMLType View Objects Using SQL Function REF You can reference an XMLType view object using SQL function ref.

### Using DML (Data Manipulation Language) on XMLType Views

A given XMLType view might not be implicitly updatable. In that case, you must write instead-of triggers to handle all DML. To determine whether an XMLType view is implicitly updatable, query it to see whether it is based on an object view or constructor that is itself inherently updatable.

### 10.1 What Are XMLType Views?

XMLType views wrap existing relational and object-relational data in XML formats. This lets you use existing data in contexts that expect XML data and exploit XML features, including XML Schema.

The major advantages of using XMLType views are:

- You can exploit Oracle XML DB XML features that use XML Schema functionality without having to migrate your base legacy data.
- With XMLType views, you can experiment with various forms of storage for your data. You need not decide immediately whether to store it as XMLType or which XMLType storage model to use.

XMLType views are similar to object views. Each row of an XMLType view corresponds to an XMLType instance. The object identifier for uniquely identifying each row in the view can be created using SQL/XML functions XMLCast and XMLQuery.

There are two types of XMLType views:

- Non-schema-based XMLType views. These views do not confirm to a particular XML schema.
- XML schema-based XMLType views. As with XMLType tables, XMLType views that conform to a particular XML schema are called XML schema-based XMLType views. These provide stronger typing than non-schema-based XMLType views.

XPath rewrite of queries over XMLType views is enabled for both XML schema-based and non-schema-based XMLType views. XPath rewrite is described in XPath Rewrite for Object-Relational Storage.

To create an XML schema-based XMLType view, first register your XML schema. If the view is an object view, that is, if it is constructed using an object type, then the XML schema should have annotations that represent the bidirectional mapping from XML to SQL object types. XMLType views conforming to this registered XML schema can then be created by providing an underlying query that constructs instances of the appropriate SQL object type.

You can create XMLType views in any of the following ways:

- Based on SQL/XML publishing functions, such as XMLElement, XMLForest, XMLConcat, and XMLAgg. SQL/XML publishing functions can be used to construct both non-schema-based XMLType views and XML schema-based XMLType views. This enables construction of XMLType view from the underlying relational tables directly without physically migrating those relational legacy data into XML. However, to construct XML schema-based XMLType view, the XML schema must be registered and the XML value generated by SQL/XML publishing functions must be constrained to the XML schema.
- Based on object types or object views. This enables the construction of the
   XMLType view from underlying relational or object relational tables directly without
   physically migrating the relational or object relational legacy data into XML.
   Creating an XML-schema-based XMLType view requires that you annotate the XML
   schema with a mapping to existing object types or that you generate the XML
   schema from the existing object types.
- Directly from an XMLType table.

### **Related Topics**

- XML Schema Storage and Query: Basic
  - XML Schema is a standard for describing the content and structure of XML documents. You can register, update, and delete an XML schema used with Oracle XML DB. You can define storage structures to use for your XML schema-based data and map XML Schema data types to SQL data types.
- Relational Views over XML Data
   Relational database views over XML data provide conventional, relational access
  to XML content.
- Choice of XMLType Storage and Indexing
  Important design choices for your application include what XMLType storage model to use and which indexing approaches to use.

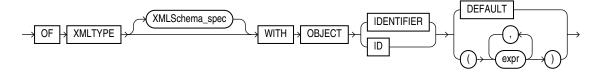


## 10.2 CREATE VIEW for XMLType Views: Syntax

The syntax for the CREATE VIEW clause for creating XMLType views is presented.

Figure 10-1 shows this syntax. See *Oracle Database SQL Language Reference* for details on the CREATE VIEW syntax.

Figure 10-1 Creating XMLType Views Clause: Syntax



## 10.3 Creating Non-Schema-Based XMLType Views

The XML data in a non XML Schema-based XMLType view is not constrained to conform to a registered XML schema. You can create a non-schema-based XMLType view using SQL/XML publishing functions.

Example 10-1 shows how to create an XMLType view using SQL/XML function XMLELement.

Existing data in relational tables or views can be exposed as XML data this way. If a view is generated using a SQL/XML publishing function then queries that access that view using XQuery expressions can often be rewritten. These optimized queries can then directly access the underlying relational columns. See XPath Rewrite for Object-Relational Storage for details.

You can perform a DML operation on an XMLType view, but, in general, you must write instead-of triggers to handle the DML operation.



Generation of XML Data from Relational Data, for details on SQL/XML publishing functions

#### Example 10-1 Creating an XMLType View Using XMLELEMENT



#### SYS\_NC\_ROWINFO\$

-----

<Emp empno="100"><name>Steven King</name><hiredate>2003-06-17</hiredate></Emp>

<Emp empno="101"><name>Neena Kochhar</name><hiredate>2005-09-21</hiredate></Emp>

<Emp empno="102"><name>Lex De Haan</name><hiredate>2001-01-13</hiredate></Emp>

## 10.4 Creating XML Schema-Based XMLType Views

The XML data in an XML Schema-based XMLType view is constrained to conform to an XML schema. You can create a schema-based XMLType view using SQL/XML publishing functions or using object types or views.

Create a schema-based view in either of these ways:

- Using SQL/XML publishing functions.
- Using object types or object views. This is convenient when you already have object types, views, and tables that you want to map to XML data.
- Creating XML Schema-Based XMLType Views Using SQL/XML Publishing Functions

You can use SQL/XML publishing functions to create an XML Schema-based  ${\tt XMLType}$  view.

Creating XML Schema-Based XMLType Views Using Object Types or Object Views

You can create an XML Schema-based XMLType view from object types or views by annotating the XML schema to define a mapping between XML types and SQL object types and object attributes.

# 10.4.1 Creating XML Schema-Based XMLType Views Using SQL/XML Publishing Functions

You can use SQL/XML publishing functions to create an XML Schema-based  ${\tt XMLType}$  view.

- Create and register the XML schema document that contains the necessary XML structures. You do not need to annotate the XML schema to define the mapping between XML types and SQL object types.
- 2. Use SQL/XML publishing functions to create an XMLType view that conforms to the XML schema.

These two steps are illustrated in Example 10-2 and Example 10-3, respectively.

Example 10-4 illustrates querying an XMLType view.

Example 10-2 assumes that you have an XML schema emp\_simple.xsd that contains XML structures defining an employee. It registers the XML schema with the target location http://www.oracle.com/emp\_simple.xsd.

When using SQL/XML publishing functions to generate XML schema-based content, you must specify the appropriate namespace information for all of the elements and also indicate the location of the schema using attribute xsi:schemaLocation. These can be specified using the XMLAttributes clause. Example 10-3 illustrates this.



#### Note:

Whenever you use SQL/XML function XMLAttributes with an XML schema reference to create an XMLType view, register the XML schema before creating the view, if possible. Otherwise, you must recompile the view after registering the XML schema, in order for the generated documents to be based on the XML schema.

In Example 10-3, function XMLElement creates XML element Employee. Function XMLForest creates the children of element Employee. The XMLAttributes clause inside XMLElement constructs the required XML namespace and schema location attributes, so that the XML data that is generated conforms to the XML schema of the view. The innermost call to XMLForest creates the children of element department, which is a child of element Employee.

By default, the XML generation functions create a non-schema-based XML instance. However, when the schema location is specified, using attribute xsi:schemaLocation or xsi:noNamespaceSchemaLocation, Oracle XML DB generates XML schema-based XML data. For XMLType views, as long as the names of the elements and attributes match those in the XML schema, the XML data is converted implicitly into a valid XML schema-based document. Any errors in the generated XML data are caught later, when operations such as validation or extraction operations are performed on the XML instance.

Example 10-4 queries the XMLType view, returning an XML result from tables employees and departments. The result of the query is shown pretty-printed, for clarity.

#### Example 10-2 Registering XML Schema emp\_simple.xsd

```
BEGIN
 DBMS_XMLSCHEMA.registerSchema(
  SCHEMAURL => 'http://www.oracle.com/emp_simple.xsd',
  SCHEMADOC => '<schema xmlns="http://www.w3.org/2001/XMLSchema"
                          targetNamespace="http://www.oracle.com/emp_simple.xsd"
                          version="1.0"
                          xmlns:xdb="http://xmlns.oracle.com/xdb"
                          elementFormDefault="qualified">
                    <element name = "Employee">
                      <complexType>
                        <sequence>
                           <element name = "EmployeeId"</pre>
                                   type = "positiveInteger" minOccurs = "0"/>
                          <element name = "Name"</pre>
                                   type = "string" minOccurs = "0"/>
                          <element name = "Job"</pre>
                                   type = "string" minOccurs = "0"/>
                          <element name = "Manager"</pre>
                                   type = "positiveInteger" minOccurs = "0"/>
                          <element name = "HireDate"</pre>
                                   type = "date" minOccurs = "0"/>
                          <element name = "Salary"</pre>
                                   type = "positiveInteger" minOccurs = "0"/>
                           <element name = "Commission"</pre>
```

```
type = "positiveInteger" minOccurs = "0"/>
                           <element name = "Dept">
                             <complexType>
                               <sequence>
                                 <element name = "DeptNo"</pre>
                                           type = "positiveInteger" minOccurs = "0"/>
                                 <element name = "DeptName"</pre>
                                          type = "string" minOccurs = "0"/>
                                 <element name = "Location"</pre>
                                           type = "positiveInteger" minOccurs = "0"/>
                               </sequence>
                             </complexType>
                           </element>
                        </sequence>
                      </complexType>
                    </element>
                  </schema>',
   LOCAL
             => TRUE,
   GENTYPES => TRUE);
END;
```

#### Example 10-3 Creating an XMLType View Using SQL/XML Publishing Functions

```
CREATE OR REPLACE VIEW emp_simple_xml OF XMLType
 XMLSCHEMA "http://www.oracle.com/emp_simple.xsd" ELEMENT "Employee"
   WITH OBJECT ID (XMLCast(XMLQuery('/Employee/EmployeeId/text()'
                                   PASSING OBJECT VALUE
                                   RETURNING CONTENT)
                          AS BINARY DOUBLE)) AS
   SELECT
    XMLElement("Employee",
               XMLAttributes(
                  'http://www.oracle.com/emp simple.xsd' AS "xmlns" ,
                  'http://www.w3.org/2001/XMLSchema-instance' AS
"xmlns:xsi",
                 'http://www.oracle.com/emp_simple.xsd
                  http://www.oracle.com/emp_simple.xsd'
                 AS "xsi:schemaLocation"),
               XMLForest(e.employee_id AS "EmployeeId",
                         e.last_name AS "Name",
                         e.job id
                                         AS "Job",
                         e.manager_id AS "Manager",
                         e.hire date
                                        AS "HireDate",
                         e.salary
                                        AS "Salary",
                         e.commission_pct AS "Commission",
                         XMLForest(
                           d.department_id AS "DeptNo",
                           d.department_name AS "DeptName",
                           d.location_id AS "Location") AS "Dept"))
    FROM employees e, departments d
    WHERE e.department_id = d.department_id;
```



#### Example 10-4 Querying an XMLType View

```
SELECT OBJECT VALUE AS RESULT FROM emp simple xml WHERE ROWNUM < 2;
RESULT
<Employee xmlns="http://www.oracle.com/emp simple.xsd"</pre>
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xsi:schemaLocation="http://www.oracle.com/emp simple.xsd
                              http://www.oracle.com/emp_simple.xsd">
  <EmployeeId>200</EmployeeId>
  <Name>Whalen</Name>
  <Job>AD ASST</Job>
  <Manager>101</Manager>
  <hireDate>2003-09-17</hireDate>
  <Salary>4400</Salary>
  <Dept>
    <DeptNo>10</Deptno>
    <DeptName>Administration
    <Location>1700</Location>
  </Dept>
</Employee>
```

Using Namespaces with SQL/XML Publishing Functions
If you have complex XML schemas involving namespaces, you must use the
partially escaped mapping provided by the SQL/XML publishing functions and
create elements with appropriate namespaces and prefixes.

### 10.4.1.1 Using Namespaces with SQL/XML Publishing Functions

If you have complex XML schemas involving namespaces, you must use the partially escaped mapping provided by the SQL/XML publishing functions and create elements with appropriate namespaces and prefixes.

The query in Example 10-5 creates XML instances that have the correct namespace, prefixes, and target schema location. It can be used as the query in the definition of view emp\_simple\_xml.

If the XML schema had no target namespace, then you could use attribute xsi:noNamespaceSchemaLocation to indicate that. Example 10-6 shows such an XML schema.

Example 10-7 creates a view that conforms to the XML schema in Example 10-6. The XMLAttributes clause creates an XML element that contains the noNamespace schema location attribute.

Example 10-8 creates view dept\_xml, which conforms to XML schema dept.xsd.

#### Example 10-5 Using Namespace Prefixes with SQL/XML Publishing Functions



```
e.last_name
                                                         AS "ipo:Name",
                                                         AS "ipo:Job",
                      e.job_id
                                                         AS "ipo:Manager",
                      e.manager id
                      TO_CHAR(e.hire_date, 'YYYY-MM-DD') AS "ipo:HireDate",
                      e.salary
                                                         AS "ipo:Salary",
                      e.commission_pct
                                                         AS "ipo:Commission",
                 XMLForest(d.department_id AS "ipo:DeptNo",
                           d.department_name AS "ipo:DeptName", d.location_id
       AS "ipo:Location") AS "ipo:Dept"))
       FROM employees e, departments d
       WHERE e.department_id = d.department_id AND d.department_id = 20;
BEGIN
  -- Delete schema if it already exists (else error)
 DBMS_XMLSCHEMA.deleteSchema('emp-noname.xsd', 4);
END;
               XMLELEMENT("IPO:EMPLOYEE", XMLATTRIBUTES('HTTP://WWW.ORACLE.COM/
               <ipo:Employee
               xmlns:ipo="http://www.oracle.com/emp_simple.xsd"
                xmlns:xsi="http://www.oracle.com/emp_simple.xsd
               http://www.oracle.com/emp_simple.xsd">
               <ipo:EmployeeId>201</ipo:EmployeeId><ipo:Name>Hartstein</ipo:Name>
               <ipo:Job>MK_MAN</ipo:Job><ipo:Manager>100</ipo:Manager>
               <ipo:HireDate>2004-02-17</ipo:HireDate><ipo:Salary>13000</ipo:Salary>
               <ipo:Dept><ipo:DeptNo>20</ipo:DeptNo><ipo:DeptName>Marketing
               ipo:DeptName>
               <ipo:Location>1800</ipo:Location></ipo:Dept></ipo:Employee>
               <ipo:Employee xmlns:ipo="http://www.oracle.com/emp_simple.xsd"</pre>
                xmlns:xsi="http://www.oracle.com/emp_simple.xsd
               http://www.oracle.com/emp_simple.xsd"><ipo:EmployeeId>202</
               ipo:EmployeeId>
               <ipo:Name>Fay</ipo:Name><ipo:Job>MK_REP</ipo:Job><ipo:Manager>201
               ipo:Manager>
               <ipo:HireDate>2005-08-17</ipo:HireDate><ipo:Salary>6000</ipo:Salary>
               <ipo:Dept><ipo:DeptNo>20</ipo:Dept</pre>
               No><ipo:DeptName>Marketing</ipo:DeptName><ipo:Location>1800</
               ipo:Location>
               </ipo:Dept>
               </ipo:Employee>
```

#### **Example 10-6** XML Schema with No Target Namespace

```
<element name = "Job" type = "string"/>
                          <element name = "Manager" type = "positiveInteger"/>
                          <element name = "HireDate" type = "date"/>
                          <element name = "Salary" type = "positiveInteger"/>
                          <element name = "Commission" type = "positiveInteger"/>
                          <element name = "Dept">
                            <complexType>
                              <sequence>
                                <element name = "DeptNo" type = "positiveInteger" />
                                <element name = "DeptName" type = "string"/>
                                <element name = "Location" type = "positiveInteger"/>
                              </sequence>
                            </complexType>
                          </element>
                        </sequence>
                      </complexType>
                    </element>
                  </schema>',
    LOCAL
            => TRUE,
    GENTYPES => TRUE);
END;
```

#### Example 10-7 Creating a View for an XML Schema with No Target Namespace

```
CREATE OR REPLACE VIEW emp xml OF XMLType
    XMLSCHEMA "emp-noname.xsd" ELEMENT "Employee"
    WITH OBJECT ID (XMLCast(XMLQuery('/Employee/EmployeeId/text()'
                                     PASSING OBJECT VALUE
                                     RETURNING CONTENT)
                            AS BINARY DOUBLE)) AS
    SELECT XMLElement(
      "Employee",
      XMLAttributes('http://www.w3.org/2001/XMLSchema-instance'
                      AS "xmlns:xsi",
                    'emp-noname.xsd' AS
"xsi:noNamespaceSchemaLocation"),
      XMLForest(e.employee_id AS "EmployeeId",
                e.last_name AS "Name",
                e.job id
                               AS "Job",
                e.manager_id AS "Manager",
                e.hire_date
                               AS "HireDate",
                e.salary
                                AS "Salary",
                e.commission_pct AS "Commission",
                XMLForest(d.department id AS "DeptNo",
                          d.department name AS "DeptName",
                          d.location id AS "Location") AS "Dept"))
      FROM employees e, departments d
      WHERE e.department_id = d.department_id;
```

#### Example 10-8 Using SQL/XML Functions in XML Schema-Based XMLType Views

```
BEGIN
-- Delete schema if it already exists (else error)
DBMS_XMLSCHEMA.deleteSchema('http://www.oracle.com/dept.xsd', 4);
```

```
END;
BEGIN
 DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/dept.xsd',
    SCHEMADOC => '<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
                          targetNamespace="http://www.oracle.com/dept.xsd"
                          version="1.0"
                          xmlns:xdb="http://xmlns.oracle.com/xdb"
                          elementFormDefault="qualified">
                    <element name = "Department">
                      <complexType>
                        <sequence>
                           <element name = "DeptNo" type = "positiveInteger"/>
                           <element name = "DeptName" type = "string"/>
                           <element name = "Location" type = "positiveInteger"/>
                           <element name = "Employee" maxOccurs = "unbounded">
                             <complexType>
                               <sequence>
                                 <element name = "EmployeeId" type =</pre>
"positiveInteger"/>
                                 <element name = "Name" type = "string"/>
                                 <element name = "Job" type = "string"/>
                                 <element name = "Manager" type = "positiveInteger"/>
                                 <element name = "HireDate" type = "date"/>
                                 <element name = "Salary" type = "positiveInteger"/>
                                 <element name = "Commission" type =</pre>
"positiveInteger"/>
                              </sequence>
                             </complexType>
                           </element>
                        </sequence>
                      </complexType>
                    </element>
                  </schema>',
   LOCAL
             => TRUE,
   GENTYPES => FALSE);
 END;
CREATE OR REPLACE VIEW dept_xml OF XMLType
 XMLSCHEMA "http://www.oracle.com/dept.xsd" ELEMENT "Department"
 WITH OBJECT ID (XMLCast(XMLQuery('/Department/DeptNo'
                                    PASSING OBJECT_VALUE RETURNING CONTENT)
                          AS BINARY_DOUBLE)) AS
  SELECT XMLElement(
    "Department",
    XMLAttributes(
      'http://www.oracle.com/emp.xsd' AS "xmlns" ,
      'http://www.w3.org/2001/XMLSchema-instance' AS "xmlns:xsi",
      'http://www.oracle.com/dept.xsd
      http://www.oracle.com/dept.xsd' AS "xsi:schemaLocation"),
    XMLForest(d.department_id AS "DeptNo",
              d.department_name AS "DeptName",
```

```
d.location_id AS "Location"),
    (SELECT XMLagg(
              XMLElement("Employee",
                         XMLForest(
                           e.employee_id AS "EmployeeId",
                           e.last_name AS "Name",
                           e.job_id AS "Job",
                           e.manager id AS "Manager",
                           to_char(e.hire_date,'YYYY-MM-DD') AS "Hiredate",
                           e.salary AS "Salary",
                           e.commission_pct AS "Commission")))
       FROM employees e
       WHERE e.department_id = d.department_id))
     FROM departments d;
               This is the XMLType instance that results:
SELECT OBJECT_VALUE AS result FROM dept_xml WHERE ROWNUM < 2;
RESULT
    xmlns="http://www.oracle.com/emp.xsd"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:schemaLocation="http://www.oracle.com/dept.xsd
                        http://www.oracle.com/dept.xsd">
  <DeptNo>10</DeptNo>
  <DeptName>Administration/DeptName>
  <Location>1700</Location>
  <Employee>
    <EmployeeId>200</EmployeeId>
    <Name>Whalen</Name>
    <Job>AD_ASST</Job>
    <Manager>101</Manager>
    <Hiredate>2003-09-17</Hiredate>
    <Salary>4400</Salary>
```

# 10.4.2 Creating XML Schema-Based XMLType Views Using Object Types or Object Views

You can create an XML Schema-based  $\tt XMLType$  view from object types or views by annotating the XML schema to define a mapping between XML types and SQL object types and object attributes.

To create an XML Schema-based XMLType view from object types or object views, do the following:

- Create the object types, if they do not yet exist.
- Create and then register the XML schema, annotating it to define the mapping between XML types and SQL object types and attributes.

</Employee>
</Department>

Annotate the XML schema *before* registering it. You typically do this when you wrap existing data to create an XMLType view.

When such an XML schema document is registered, the following validation can occur:

- SQLType for attributes or elements based on simpleType. The SQL type must be compatible with the XML type of the corresponding XMLType data. For example, an XML string data type can be mapped only to a VARCHAR2 or a Large Object (LOB) data type.
- SQLType specified for elements based on complexType. This is either a LOB
  or an object type whose structure must be compatible with the declaration
  of the complexType, that is, the object type must have the correct number of
  attributes with the correct data types.
- 3. Create the XMLType view, specifying the XML schema URL and the root element name. The query defining the view first constructs the object instances and then converts them to XML.
  - a. Create an object view.
  - b. Create an XMLType view over the object view.

The topics in this section present examples of creating XML schema-based XMLType views using object types or object views. They are based on relational tables that contain employee and department data.

The same relational data is used to create each of two XMLType views. In the employee view, emp\_xml, the XML document describes an employee, with the employee's department as nested information. In the department view, dept\_xml, the XML data describes a department, with the department's employees as nested information.

- Creating XMLType Employee View, with Nested Department Information Examples here create XMLType view emp\_xml based on object views.
- Creating XMLType Department View, with Nested Employee Information
   XMLType view dept\_xml is created so that each department in the view contains
   nested employee information.

#### **Related Topics**

XML Schema Storage and Query: Basic
 XML Schema is a standard for describing the content and structure of XML documents. You can register, update, and delete an XML schema used with Oracle XML DB. You can define storage structures to use for your XML schema-based data and map XML Schema data types to SQL data types.

# 10.4.2.1 Creating XMLType Employee View, with Nested Department Information

Examples here create XMLType view emp\_xml based on object views.

For the *last* step of the view creation, there are two *alternatives*:

- Step 3a. Create XMLType View emp\_xml Using Object Type emp\_t create
   XMLType view emp\_xml using object type emp\_t
- Step 3b. Create XMLType View emp\_xml Using Object View emp\_v create
   XMLType view emp\_xml using object view emp\_v



- Step 1. Create Object Types for XMLType Employee View Create an object type for an XML Schema-based view.
- Step 2. Create and Register XML Schema emp\_complex.xsd
   Create and register an XML schema, emp\_complex.xsd. The schema maps XML elements and attributes to corresponding object-relational object attributes.
- Step 3a. Create XMLType View emp\_xml Using Object Type emp\_t
   Create an XMLType view using an object type.
- Step 3b. Create XMLType View emp\_xml Using Object View emp\_v Create an XMLType view using an object view.

#### 10.4.2.1.1 Step 1. Create Object Types for XMLType Employee View

Create an object type for an XML Schema-based view.

Example 10-9 creates the object types used in the other steps.

#### Example 10-9 Creating Object Types for Schema-Based XMLType Views

```
CREATE TYPE dept_t AS OBJECT
     (deptno NUMBER(4),
      dname VARCHAR2(30),
      loc
            NUMBER(4));
CREATE TYPE emp_t AS OBJECT
     (empno NUMBER(6),
      ename
              VARCHAR2(25),
      iob
             VARCHAR2(10),
              NUMBER(6),
      mgr
      hiredate DATE,
      sal NUMBER(8,2),
              NUMBER(2,2),
      COMM
            dept_t);
      dept
```

### 10.4.2.1.2 Step 2. Create and Register XML Schema emp\_complex.xsd

Create and register an XML schema, <code>emp\_complex.xsd</code>. The schema maps XML elements and attributes to corresponding object-relational object attributes.

Create XML schema <code>emp\_complex.xsd</code>, which specifies how XML elements and attributes are mapped to corresponding object attributes in the object types (the <code>xdb:SQLType</code> annotations), then register it. Example 10-10 registers it.

Example 10-10 creates and registers the XML schema using the target location http://www.oracle.com/emp\_complex.xsd.

#### Example 10-10 Creating and Registering XML Schema emp\_complex.xsd

```
BEGIN
   -- Delete schema if it already exists (else error)
   DBMS_XMLSCHEMA.deleteSchema('http://www.oracle.com/emp_complex.xsd', 4);
END;
//
```



```
BEGIN
  DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/emp_complex.xsd',
    SCHEMADOC => '<?xml version="1.0"?>
                  <xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema"</pre>
                               xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                               xmlns:xdb="http://xmlns.oracle.com/xdb"
                               xsi:schemaLocation="http://xmlns.oracle.com/xdb
                                                   http://xmlns.oracle.com/xdb/XDBSchema.xsd">
                     <xsd:element name="Employee" type="EMP_TType" xdb:SQLType="EMP_T"/>
                     <xsd:complexType name="EMP_TType" xdb:SQLType="EMP_T" xdb:maintainDOM="false">
                       <xsd:sequence>
                         <xsd:element name="EMPNO" type="xsd:double" xdb:SQLName="EMPNO"</pre>
                                      xdb:SQLType="NUMBER"/>
                         <xsd:element name="ENAME" xdb:SQLName="ENAME" xdb:SQLType="VARCHAR2">
                           <xsd:simpleType>
                             <xsd:restriction base="xsd:string">
                               <xsd:maxLength value="25"/>
                             </xsd:restriction>
                           </xsd:simpleType>
                         </xsd:element>
                         <xsd:element name="JOB" xdb:SQLName="JOB" xdb:SQLType="VARCHAR2">
                           <xsd:simpleType>
                             <xsd:restriction base="xsd:string">
                               <xsd:maxLength value="10"/>
                             </xsd:restriction>
                           </xsd:simpleType>
                         </xsd:element>
                         <xsd:element name="MGR" type="xsd:double" xdb:SQLName="MGR"</pre>
                                      xdb:SQLType="NUMBER"/>
                         <xsd:element name="HIREDATE" type="xsd:date" xdb:SQLName="HIREDATE"</pre>
                                      xdb:SQLType="DATE"/>
                         <xsd:element name="SAL" type="xsd:double" xdb:SQLName="SAL"</pre>
                                      xdb:SQLType="NUMBER"/>
                         <xsd:element name="COMM" type="xsd:double" xdb:SQLName="COMM"</pre>
                                      xdb:SQLType="NUMBER"/>
                         <xsd:element name="DEPT" type="DEPT_TType" xdb:SQLName="DEPT"</pre>
                                      xdb:SQLType="DEPT_T"/>
                       </xsd:sequence>
                     </xsd:complexType>
                     <xsd:complexType name="DEPT_TType" xdb:SQLType="DEPT_T"</pre>
                                      xdb:maintainDOM="false">
                       <xsd:sequence>
                         <xsd:element name="DEPTNO" type="xsd:double" xdb:SQLName="DEPTNO"</pre>
                                      xdb:SQLType="NUMBER"/>
                         <xsd:element name="DNAME" xdb:SQLName="DNAME" xdb:SQLType="VARCHAR2">
                           <xsd:simpleTvpe>
                             <xsd:restriction base="xsd:string">
                               <xsd:maxLength value="30"/>
                             </xsd:restriction>
                           </xsd:simpleType>
                         </xsd:element>
                        <xsd:element name="LOC" type="xsd:double" xdb:SQLName="LOC"</pre>
                                     xdb:SQLType="NUMBER"/>
                       </xsd:sequence>
                    </xsd:complexType>
                   </xsd:schema>',
   LOCAL
              => TRUE,
    GENTYPES => FALSE);
END;
```



COMMIT;

### 10.4.2.1.3 Step 3a. Create XMLType View emp\_xml Using Object Type emp\_t

Create an XMLType view using an object type.

Example 10-11 creates an XMLType view using object type emp\_t.

Example 10-11 uses SQL/XML function XMLCast in the OBJECT ID clause to convert the XML employee number to SQL data type BINARY\_DOUBLE.



Step 3b. Create XMLType View emp\_xml Using Object View emp\_v for an alternative way to create view emp\_xml, which uses object view emp\_v

#### Example 10-11 Creating XMLType View emp\_xml Using Object Type emp\_t

### 10.4.2.1.4 Step 3b. Create XMLType View emp xml Using Object View emp v

Create an XMLType view using an object view.

Example 10-12 creates object view emp\_v and then creates XMLType view emp\_xml based on that object view.



Step 3a. Create XMLType View emp\_xml Using Object Type emp\_t for an alternative way to create view emp\_xml, which uses object type emp\_t

# Example 10-12 Creating an Object View and an XMLType View Based on the Object View



```
WITH OBJECT ID DEFAULT AS
SELECT VALUE(p) FROM emp_v p;
```

# 10.4.2.2 Creating XMLType Department View, with Nested Employee Information

 ${\tt XMLType}$  view  ${\tt dept\_xml}$  is created so that each department in the view contains nested employee information.

For the *last* step of the view creation, there are two *alternatives*:

- Step 3a. Create XMLType View dept\_xml Using Object Type dept\_t create XMLType view dept\_xml using the object type for a department, dept\_t
- Step 3b. Create XMLType View dept\_xml Using Relational Data Directly create XMLType view dept\_xml using relational data directly
- Step 1. Create Object Types for XMLType Department View Create an object type for an XML Schema-based view.
- Step 2. Register XML Schema dept\_complex.xsd
   Register XML schema dept\_complex.xsd.
- Step 3a. Create XMLType View dept\_xml Using Object Type dept\_t
   Create XMLType view dept\_xml using object type dept\_t.
- Step 3b. Create XMLType View dept\_xml Using Relational Data Directly
  You can use SQL/XML publishing functions to create XMLType view dept\_xml from
  the relational tables without using object type dept\_t.

### 10.4.2.2.1 Step 1. Create Object Types for XMLType Department View

Create an object type for an XML Schema-based view.

Example 10-13 creates the object types used in the other steps.

#### **Example 10-13 Creating Object Types**

```
CREATE TYPE emp t AS OBJECT (empno
                                   NUMBER(6),
                           ename
                                   VARCHAR2(25),
                           job
                                  VARCHAR2(10),
                                 NUMBER(6),
                           mgr
                           hiredate DATE,
                           sal NUMBER(8,2),
                                   NUMBER(2,2)); /
                           COMM
CREATE OR REPLACE TYPE emplist_t AS TABLE OF emp_t;
CREATE TYPE dept t AS OBJECT (deptno NUMBER(4),
                            dname VARCHAR2(30),
                            loc NUMBER(4),
                            emps emplist_t);
```



#### 10.4.2.2.2 Step 2. Register XML Schema dept complex.xsd

Register XML schema dept\_complex.xsd.

Example 10-14 illustrates this.

#### Example 10-14 Registering XML Schema dept\_complex.xsd

```
BEGIN
  -- Delete schema if it already exists (else error)
 DBMS_XMLSCHEMA.deleteSchema('http://www.oracle.com/dept_complex.xsd', 4);
BEGIN
  DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/dept_complex.xsd',
    SCHEMADOC => '<?xml version="1.0"?>
                  <xsd:schema xmlns:xsd="http://www.w3.org/2001/XMLSchema"</pre>
                               xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                              xmlns:xdb="http://xmlns.oracle.com/xdb"
                               xsi:schemaLocation="http://xmlns.oracle.com/xdb
                                                   http://xmlns.oracle.com/xdb/XDBSchema.xsd">
                    <xsd:element name="Department" type="DEPT_TType" xdb:SQLType="DEPT_T"/>
                     <xsd:complexType name="DEPT_TType" xdb:SQLType="DEPT_T"</pre>
                                      xdb:maintainDOM="false">
                       <xsd:sequence>
                        <xsd:element name="DEPTNO" type="xsd:double" xdb:SQLName="DEPTNO"</pre>
                                     xdb:SQLType="NUMBER"/>
                        <xsd:element name="DNAME" xdb:SQLName="DNAME" xdb:SQLType="VARCHAR2">
                          <xsd:simpleType>
                             <xsd:restriction base="xsd:string">
                               <xsd:maxLength value="30"/>
                             </xsd:restriction>
                          </xsd:simpleType>
                         </xsd:element>
                        <xsd:element name="LOC" type="xsd:double" xdb:SQLName="LOC"</pre>
                                      xdb:SQLType="NUMBER"/>
                        <xsd:element name="EMPS" type="EMP_TType" maxOccurs="unbounded"</pre>
                                      minOccurs="0" xdb:SQLName="EMPS"
                                      xdb:SQLCollType="EMPLIST_T" xdb:SQLType="EMP_T"
                                      xdb:SQLCollSchema="HR"/>
                       </xsd:sequence>
                    </xsd:complexType>
                     <xsd:complexType name="EMP_TType" xdb:SQLType="EMP_T" xdb:maintainDOM="false">
                       <xsd:sequence>
                         <xsd:element name="EMPNO" type="xsd:double" xdb:SQLName="EMPNO"</pre>
                                      xdb:SQLType="NUMBER"/>
                        <xsd:element name="ENAME" xdb:SQLName="ENAME" xdb:SQLType="VARCHAR2">
                          <xsd:simpleType>
                             <xsd:restriction base="xsd:string">
                               <xsd:maxLength value="25"/>
                             </xsd:restriction>
                           </xsd:simpleType>
                         </xsd:element>
                        <xsd:element name="JOB" xdb:SQLName="JOB" xdb:SQLType="VARCHAR2">
                          <xsd:simpleType>
                             <xsd:restriction base="xsd:string">
                               <xsd:maxLength value="10"/>
                             </xsd:restriction>
                           </xsd:simpleType>
                         </xsd:element>
                         <xsd:element name="MGR" type="xsd:double" xdb:SQLName="MGR"</pre>
                                      xdb:SQLType="NUMBER"/>
                        <xsd:element name="HIREDATE" type="xsd:date" xdb:SQLName="HIREDATE"</pre>
                                      xdb:SQLType="DATE"/>
```

#### 10.4.2.2.3 Step 3a. Create XMLType View dept xml Using Object Type dept t

Create XMLType view dept\_xml using object type dept\_t.

Example 10-15 illustrates this.

#### Example 10-15 Creating XMLType View dept\_xml Using Object Type dept\_t

### 10.4.2.2.4 Step 3b. Create XMLType View dept\_xml Using Relational Data Directly

You can use SQL/XML publishing functions to create  $\mathtt{XMLType}$  view  $\mathtt{dept\_xml}$  from the relational tables without using object type  $\mathtt{dept\_t}$ .

Example 10-16 illustrates this.



XML schema and element information must be specified at the view level, because the SELECT list could arbitrarily construct XML of a different XML schema from the underlying table.

#### Example 10-16 Creating XMLType View dept\_xml Using Relational Data Directly

```
CREATE OR REPLACE VIEW dept_xml OF XMLType

XMLSCHEMA "http://www.oracle.com/dept_complex.xsd" ELEMENT "Department"

WITH OBJECT ID (XMLCast(XMLQuery('/Department/DEPTNO'

PASSING OBJECT_VALUE RETURNING CONTENT)

AS BINARY_DOUBLE)) AS
```



```
SELECT
  XMLElement(
   "Department",
   XMLAttributes('http://www.oracle.com/dept_complex.xsd' AS "xmlns",
                  'http://www.w3.org/2001/XMLSchema-instance' AS "xmlns:xsi",
                  'http://www.oracle.com/dept_complex.xsd
                   http://www.oracle.com/dept_complex.xsd'
                    AS "xsi:schemaLocation"),
   XMLForest(d.department_id "DeptNo", d.department_name "DeptName",
              d.location_id "Location"),
    (SELECT XMLAgg(XMLElement("Employee",
                              XMLForest(e.employee_id "EmployeeId",
                                        e.last_name "Name",
                                        e.job_id "Job",
                                        e.manager_id "Manager",
                                        e.hire_date "Hiredate",
                                        e.salary "Salary",
                                        e.commission_pct "Commission")))
                    FROM employees e WHERE e.department id = d.department id))
  FROM departments d;
```

## 10.5 Creating XMLType Views from XMLType Tables

An XMLType view can be created on an XMLType table, for example, to transform the XML data or to restrict the rows returned.

Example 10-17 creates an XMLType view by restricting the rows included from an underlying XMLType table. It uses XML schema dept\_complex.xsd to create the underlying table — see Creating XMLType Department View, with Nested Employee Information.

Example 10-18 shows how you can create an XMLType view by transforming XML data using an XSL stylesheet.

## Example 10-17 Creating an XMLType View by Restricting Rows from an XMLType Table

```
CREATE TABLE dept_xml_tab OF XMLType

XMLSchema "http://www.oracle.com/dept_complex.xsd" ELEMENT "Department"

NESTED TABLE XMLDATA."EMPS" STORE AS dept_xml_tab_tabl;

CREATE OR REPLACE VIEW dallas_dept_view OF XMLType

XMLSchema "http://www.oracle.com/dept.xsd" ELEMENT "Department"

AS SELECT OBJECT_VALUE FROM dept_xml_tab

WHERE XMLCast(XMLQuery('/Department/LOC'

PASSING OBJECT_VALUE RETURNING CONTENT)

AS VARCHAR2(20))

= 'DALLAS';
```

Here, dallas\_dept\_view restricts the XMLType table rows to those departments whose location is Dallas.

#### Example 10-18 Creating an XMLType View by Transforming an XMLType Table

```
CREATE OR REPLACE VIEW hr_po_tab OF XMLType
ELEMENT "PurchaseOrder" WITH OBJECT ID DEFAULT AS
```



```
SELECT XMLtransform(OBJECT_VALUE, x.coll)
FROM purchaseorder p, xsl_tab x;
```

#### **Related Topics**

SQL Function XMLTRANSFORM and XMLType Method TRANSFORM()
 SQL function XMLtransform transforms an XML document by using an XSLT
 stylesheet. It returns the processed output as XML, HTML, and so on, as specified
 by the stylesheet.

# 10.6 Referencing XMLType View Objects Using SQL Function REF

You can reference an XMLType view object using SQL function ref.

```
SELECT ref(d) FROM dept_xml_tab d;
```

An XMLType view reference is based on one of the following object IDs:

- System-generated OID for views on XMLType tables or object views
- Primary key based OID -- for views with OBJECT ID expressions

These REFs can be used to fetch OCIXMLTYPE instances in the OCI Object cache, or they can be used in SQL queries. These REFs act the same as REFs to object views.

# 10.7 Using DML (Data Manipulation Language) on XMLType Views

A given XMLType view might not be implicitly updatable. In that case, you must write instead-of triggers to handle all DML. To determine whether an XMLType view is implicitly updatable, query it to see whether it is based on an object view or constructor that is itself inherently updatable.

Example 10-19 illustrates this.

#### Example 10-19 Determining Whether an XMLType View Is Implicitly Updatable, and Updating It



```
xmlns:xdb="http://xmlns.oracle.com/xdb"
                              xsi:schemaLocation="http://xmlns.oracle.com/xdb
                                                   http://xmlns.oracle.com/xdb/XDBSchema.xsd">
                    <xsd:element name="Department" type="DEPT_TType" xdb:SQLType="DEPT_T"/>
                    <xsd:complexType name="DEPT_TType" xdb:SQLType="DEPT_T"</pre>
                                      xdb:maintainDOM="false">
                      <xsd:sequence>
                        <xsd:element name="DEPTNO" type="xsd:double" xdb:SQLName="DEPTNO"</pre>
                                      xdb:SQLType="NUMBER"/>
                        <xsd:element name="DNAME" xdb:SQLName="DNAME" xdb:SQLType="VARCHAR2">
                          <xsd:simpleType>
                            <xsd:restriction base="xsd:string">
                              <xsd:maxLength value="30"/>
                            </xsd:restriction>
                          </xsd:simpleType>
                        </xsd:element>
                        <xsd:element name="LOC" type="xsd:double" xdb:SQLName="LOC"</pre>
                                     xdb:SQLType="NUMBER"/>
                      </xsd:sequence>
                    </xsd:complexType>
                  </xsd:schema>',
    LOCAL
             => TRUE,
    GENTYPES => FALSE);
END;
CREATE OR REPLACE VIEW dept_xml of XMLType
  XMLSchema "http://www.oracle.com/dept_t.xsd" element "Department"
  WITH OBJECT ID (XMLCast(XMLQuery('/Department/DEPTNO'
                                   PASSING OBJECT VALUE RETURNING CONTENT)
                          AS BINARY_DOUBLE)) AS
  SELECT dept_t(d.department_id, d.department_name, d.location_id)
    FROM departments d;
INSERT INTO dept_xml
  VALUES (
    XMLType.createXML(
      '<Department
        xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
        xsi:noNamespaceSchemaLocation="http://www.oracle.com/dept_t.xsd" >
         <DEPTNO>300</DEPTNO>
         <DNAME>Processing</DNAME>
         <LOC>1700</LOC>
       </Department>'));
UPDATE dept_xml d
  SET d.OBJECT_VALUE =
    XMLQuery('copy $i := $p1 modify
                (for $j in $i/Department/DNAME
                 return replace value of node $j with $p2)
              return $i'
             PASSING d.OBJECT_VALUE AS "p1", 'Shipping' AS "p2" RETURNING CONTENT)
    WHERE XMLExists('/Department[DEPTNO=300]' PASSING OBJECT_VALUE);
```



# Part IV

# XMLType APIs

You can use Oracle XML DB XMLType PL/SQL, Java, C APIs, and Oracle Data Provider for .NET (ODP.NET) to access and manipulate XML data.

PL/SQL APIs for XMLType
 There are several PL/SQL packages that provide APIs for XMLType.

#### PL/SQL Package DBMS\_XMLSTORE

You can use PL/SQL package <code>DBMS\_XMLSTORE</code> to insert, update, or delete data from XML documents stored object-relationally. It uses a canonical XML mapping similar to the one produced by package <code>DBMS\_XMLGEN</code>. It converts the mapping to object-relational constructs and then inserts, updates or deletes the corresponding values in relational tables.

#### Java DOM API for XMLType

The Java DOM API for XMLType lets you operate on XMLType instances using a DOM. You can use it to manipulate XML data in Java, including fetching it through Java Database Connectivity (JDBC).

#### C DOM API for XMLType

The C DOM API for  ${\tt XMLType}$  lets you operate on  ${\tt XMLType}$  instances using a DOM in C.

#### Oracle XML DB and Oracle Data Provider for .NET

Oracle Data Provider for Microsoft .NET (ODP.NET) is an implementation of a data provider for Oracle Database. It uses Oracle native APIs to offer fast and reliable access to Oracle data and features from any .NET application.



# PL/SQL APIs for XMLType

There are several PL/SQL packages that provide APIs for XMLType.

- Overview of PL/SQL APIs for XMLType
   The PL/SQL Application Program Interfaces (APIs) for XMLType include a DOM API, a parser API, and a processor API.
- PL/SQL DOM API for XMLType (DBMS\_XMLDOM)
   The PL/SQL DOM API for XMLType, DBMS\_XMLDOM lets you operate on XMLType instances using a DOM.
- PL/SQL Parser API for XMLType (DBMS\_XMLPARSER)
   The PL/SQL Parser API for XMLType (DBMS\_XMLPARSER) builds a parsing result tree that can be accessed by PL/SQL APIs. If parsing fails, it raises an error.
- PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR)
   You can use PL/SQL package DBMS\_XSLPROCESSOR to transform one XML
   document to another or to convert XML data into HTML, PDF, or other formats.
   This package traverses the DOM tree for the document and applies Extensible
   Stylesheet Language Transformation (XSLT) statements to it to produce the
   transformed document.

## 11.1 Overview of PL/SQL APIs for XMLType

The PL/SQL Application Program Interfaces (APIs) for XMLType include a DOM API, a parser API, and a processor API.

PL/SQL Document Object Model (DOM) API for XMLType (package DBMS\_XMLDOM):
 For accessing XMLType objects. You can access both XML schema-based and non-schema-based documents.

A **DOM** is a tree-based object representation of an XML document in dynamic memory. It enables programmatic access to its elements and attributes. The DOM object and its interface is a W3C recommendation. It specifies the Document Object Model of an XML document including APIs for programmatic access. DOM views the parsed document as a tree of objects.

- PL/SQL XML Parser API for XMLType (package DBMS\_XMLPARSER): For creating a
  DOM and accessing the content and structure of XML documents.
- PL/SQL XSLT Processor for XMLType (package DBMS\_XSLPROCESSOR): For transforming XML documents to other formats using XSLT.
- PL/SQL APIs for XMLType: Features
   You can use the PL/SQL APIs for XMLType to create XMLType tables, columns, and
   views; construct XMLType instances from data encoded in different character sets;
   and access and manipulate XMLType in various ways.
- PL/SQL APIs for XMLType: References
   The PL/SQL Application Programming Interfaces (APIs) for XMLType are described.

## 11.1.1 PL/SQL APIs for XMLType: Features

You can use the PL/SQL APIs for XMLType to create XMLType tables, columns, and views; construct XMLType instances from data encoded in different character sets; and access and manipulate XMLType in various ways.

- Lazy Load of XML Data (Lazy Manifestation)
   Lazy XML loading loads rows of data only when they are requested, enhancing scalability of your applications that involve large XML documents and many concurrent users.
- XMLType Data Type Supports XML Schema SQL data type XMLType supports XML Schema.
- XMLType Supports Data in Different Character Sets
   You can use PL/SQL to create XMLType instances from data that is encoded in
   any Oracle-supported character set. To do this, you use the PL/SQL XMLType
   constructor or XMLType method createXML().

#### **Related Topics**

- Oracle XML DB Features
  - Oracle XML DB provides standard database features such as transaction control, data integrity, replication, reliability, availability, security, and scalability, while also allowing for efficient indexing, querying, updating, and searching of XML documents in an XML-centric manner.
- Query and Update of XML Data
   There are many ways for applications to query and update XML data that is in Oracle Database, both XML schema-based and non-schema-based.

## 11.1.1.1 Lazy Load of XML Data (Lazy Manifestation)

Lazy XML loading loads rows of data only when they are requested, enhancing scalability of your applications that involve large XML documents and many concurrent users.

Because XMLType provides a dynamic memory or virtual Document Object Model (DOM), it can use a memory conserving process called **lazy XML loading**, also sometimes referred to as **lazy manifestation**. This process optimizes memory usage by only loading rows of data when they are requested. It throws away previously-referenced sections of the document if memory usage grows too large. Lazy XML loading supports highly scalable applications that have many concurrent users needing to access large XML documents.

## 11.1.1.2 XMLType Data Type Supports XML Schema

SQL data type XMLType supports XML Schema.

You can create an XML schema and annotate it with mappings from XML to object-relational storage. To take advantage of the PL/SQL DOM API, first create an XML schema and register it. Then, when you create XMLType tables and columns, you can specify that these conform to the registered XML schema.



### 11.1.1.3 XMLType Supports Data in Different Character Sets

You can use PL/SQL to create XMLType instances from data that is encoded in any Oracle-supported character set. To do this, you use the PL/SQL XMLType constructor or XMLType method createXML().

The source XML data must be supplied using data type BFILE or BLOB. The encoding of the data is specified through argument csid. When this argument is zero (0), the encoding of the source data is determined from the XML prolog, as specified in Appendix F of the XML 1.0 Reference.

#### A

#### Caution:

AL32UTF8 is the Oracle Database character set that is appropriate for  ${\tt XMLType}$  data. It is equivalent to the IANA registered standard UTF-8 encoding, which supports all valid XML characters.

Do not confuse Oracle Database database character set UTF8 (no hyphen) with database character set AL32UTF8 or with character *encoding* UTF-8. Database character set UTF8 has been *superseded* by AL32UTF8. Do *not* use UTF8 for XML data. Character set UTF8 supports only Unicode version 3.1 and earlier. It does not support all valid XML characters. AL32UTF8 has no such limitation.

Using database character set UTF8 for XML data could potentially *stop a system or affect security negatively*. If a character that is not supported by the database character set appears in an input-document element name, a replacement character (usually "?") is substituted for it. This terminates parsing and raises an exception. It could cause an irrecoverable error.

## 11.1.2 PL/SQL APIs for XMLType: References

The PL/SQL Application Programming Interfaces (APIs) for XMLType are described.

Table 11-1 lists the reference documentation for the PL/SQL APIs that you can use to manipulate XML data. The main reference for PL/SQL APIs is *Oracle Database PL/SQL Packages and Types Reference*.

#### 1

#### See Also:

- Oracle Database XML Java API Reference for information about Java APIs for XML
- Oracle Database XML C API Reference for information about C APIs for XML
- Oracle Database XML C++ API Referencefor information about C++ APIs for XML



Table 11-1 PL/SQL APIs Related to XML

API	Documentation	Description
XMLType	Oracle Database PL/SQL Packages and Types Reference, chapter "XMLType"	PL/SQL APIs with XML operations on XMLType data – validation, transformation.
Database URI types	Oracle Database PL/SQL Packages and Types Reference, chapter "Database URI TYPEs"	Functions used for various URI types.
DBMS_METADATA	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_METADATA"	PL/SQL API for retrieving metadata from the database dictionary as XML, or retrieving creation DDL and submitting the XML to re-create the associated object.
DBMS_RESCONFIG	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_RESCONFIG"	PL/SQL API to operate on a resource configuration list, and to retrieve listener information for a resource.
DBMS_XDB_ADMIN	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XDB_ADMIN"	PL/SQL API for the management of Oracle XML DB Repository by database administrators.
DBMS_XDB_CONFIG	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XDB_CONFIG"	PL/SQL API for managing Oracle XML DB configuration sessions.
DBMS_XDB_CONSTANTS	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XDB_CONSTANTS"	PL/SQL constants for use with Oracle XML DB
DBMS_XDB_REPOS	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XDB_REPOS"	PL/SQL API for the use of Oracle XML DB Repository by application developers.
DBMS_XDBRESOURCE	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XDBRESOURCE"	PL/SQL API to operate on repository resource metadata and contents.
DBMS_XDB_VERSION	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XDB_VERSION"	PL/SQL API for version management of repository resources.
DBMS_XDBZ	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XDBZ"	Oracle XML DB Repository ACL-based security.
DBMS_XEVENT	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XEVENT"	PL/SQL API providing event-related types and supporting interface
DBMS_XMLDOM	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLDOM"	PL/SQL implementation of the DOM API for XMLType.
DBMS_XMLGEN	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLGEN"	PL/SQL API for transformation of SQL query results into canonical XML format.
DBMS_XMLINDEX	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLINDEX	PL/SQL API for XMLIndex.



Table 11-1 (Cont.) PL/SQL APIs Related to XML	Table 11-1 (	(Cont.)	PL/SQL	<b>APIs</b>	Related	to XML
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API	Documentation	Description
DBMS_XMLPARSER	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLPARSER"	PL/SQL implementation of the DOM Parser API for XMLType.
DBMS_XMLSCHEMA	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLSCHEMA	PL/SQL API for managing XML schemas within Oracle Database – schema registration, deletion.
DBMS_XMLSCHEMA_ANNOTATE	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLSCHEMA_ANNOTATE"	PL/SQL API for adding and managing Oracle-specific XML Schema annotations.
DBMS_XMLSTORAGE_MANAGE	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLSTORAGE_MANAGE"	PL/.SQL API managing and modifying storage of XML data after XML schema registration.
DBMS_XMLSTORE	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XMLSTORE"	PL/SQL API for storing XML data in relational tables.
DBMS_XSLPROCESSOR	Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS_XSLPROCESSOR"	PL/SQL implementation of an XSLT processor.

## 11.2 PL/SQL DOM API for XMLType (DBMS\_XMLDOM)

The PL/SQL DOM API for XMLType, DBMS\_XMLDOM lets you operate on XMLType instances using a DOM.

- Overview of the W3C Document Object Model (DOM) Recommendation
   The Document Object Model (DOM) recommended by the World Wide Web
   Consortium (W3C) is a universal API for accessing the structure of XML
   documents.
- PL/SQL DOM API for XMLType (DBMS\_XMLDOM): Features
   Oracle XML DB extends the Oracle Database XML development platform beyond
   SQL support for storage and retrieval of XML data. It lets you operate on XMLType
   instances using DOM in PL/SQL, Java, and C.
- Application Design Using Oracle XML Developer's Kit and Oracle XML DB
  When you build applications based on Oracle XML DB, you do not need the
  additional components provided by Oracle XML Developer's Kit (XDK). However,
  you can use XDK components with Oracle XML DB to deploy a full suite of
  XML-enabled applications that run end-to-end.
- Preparing XML Data to Use the PL/SQL DOM API for XMLType
   Create an XML schema, annotate it to map XML to SQL objects, and register the
   XML schema.
- XML Schema Types Are Mapped to SQL Object Types
   An XML schema must be registered with Oracle XML DB before it can be
   referenced by an XML document. When you register an XML schema, elements
   and attributes it declares are mapped to attributes of corresponding SQL object
   types within the database.



#### Wrap Existing Data as XML with XMLType Views

To make existing relational and object-relational data available to your XML applications, you can create XMLType views based on it. You can then access the resulting XML data using the PL/SQL DOM API.

- DBMS\_XMLDOM Methods Supported by Oracle XML DB
   All DBMS\_XMLDOM methods are supported by Oracle XML DB, with a few exceptions.
- PL/SQL DOM API for XMLType: Node Types
   The DOM specifies the way elements within an XML document are used to create an object-based tree structure. It defines and exposes interfaces to manage and use the objects stored in XML documents. The DOM supports storage of documents in diverse systems.
- PL/SQL Function NEWDOMDOCUMENT and DOMDOCUMENT Nodes
   PL/SQL function newDoMDocument constructs a DOM document handle, given an XMLType value. The resulting handle is of type DOMDocument.
- DOM NodeList and NamedNodeMap Objects
  When you change the document structure underlying a DOMDocument instance, the changes are reflected in all relevant NodeList and NamedNodeMap Objects.
- Overview of Using the PL/SQL DOM API for XMLType (DBMS\_XMLDOM)
   Using PL/SQL package DBMS\_XMLDOM typically involves creating DOM documents, traversing or extending the DOM tree, and creating and manipulating nodes.
- PL/SQL DOM API for XMLType Examples
  Examples are presented of using the PL/SQL DOM API for XMLType.
- Large Node Handling Using DBMS\_XMLDOM
   Oracle XML DB provides abstract streams and stream-manipulation methods that
   you can use to handle XML nodes that are larger than 64 K bytes.
- Get-Push Model for Large Node Handling
   In this model, you retrieve the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.
- Get-Pull Model for Large Node Handling
   In this model, you retrieve the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.
- Set-Pull Model for Large Node Handling
  In this model, you set the value of a DOM node, using a parser that is in pull
  mode. Oracle XML DB reads the event data from an input stream written by the
  parser.
- Set-Push Model for Large Node Handling
   In this model, you set the value of a DOM node, using a parser that is in push
   mode. Oracle XML DB writes the node data to an output stream that the parser
   reads.
- Determining Binary Stream or Character Stream for Large Node Handling You can use subprogram DBMS\_XMLDOM.useBinaryStream to determine whether to use a character stream or a binary stream to access the content of a large node.



#### See Also:

Oracle Database PL/SQL Packages and Types Reference for descriptions of the individual DBMS\_XMLDOM methods

# 11.2.1 Overview of the W3C Document Object Model (DOM) Recommendation

The Document Object Model (DOM) recommended by the World Wide Web Consortium (W3C) is a universal API for accessing the structure of XML documents.

The DOM is a universal API for accessing the structure of XML documents. It was originally developed to formalize Dynamic HTML, which is used for animation, interaction, and dynamic updating of Web pages. DOM provides a language-neutral and platform-neutral object model for Web pages and XML documents. DOM describes language-independent and platform-independent interfaces to access and operate on XML components and elements. It expresses the structure of an XML document in a universal, content-neutral way. Applications can be written to dynamically delete, add, and edit the content, attributes, and style of XML documents. DOM makes it possible to create applications that work properly on all browsers, servers, and platforms.

- Oracle XML Developer's Kit Extensions to the W3C DOM Standard
   Oracle XML Developer's Kit (XDK) extends the W3C DOM API. These extensions
   are supported by Oracle XML DB except for those relating to client-side operations
   that are not applicable in the database. See the Simple API for XML (SAX)
   interface in the Oracle XML Developer's Kit Java and C components.
- Supported W3C DOM Recommendations
   All Oracle XML DB APIs for accessing and manipulating XML data comply with standard XML processing requirements as approved by the W3C. The PL/SQL DOM supports Levels 1 and 2 of the W3C DOM specifications.
- Difference Between DOM and SAX
   DOM is the primary generic tree-based API for XML. SAX (Simple API for XML) is the primary generic event-based programming interface between an XML parser and an XML application.

### 11.2.1.1 Oracle XML Developer's Kit Extensions to the W3C DOM Standard

Oracle XML Developer's Kit (XDK) extends the W3C DOM API. These extensions are supported by Oracle XML DB except for those relating to client-side operations that are not applicable in the database. See the Simple API for XML (SAX) interface in the Oracle XML Developer's Kit Java and C components.



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### 11.2.1.2 Supported W3C DOM Recommendations

All Oracle XML DB APIs for accessing and manipulating XML data comply with standard XML processing requirements as approved by the W3C. The PL/SQL DOM supports Levels 1 and 2 of the W3C DOM specifications.

- **DOM Level 1.0** The first formal Level of the DOM specifications, completed in October 1998. Level 1.0 defines support for XML 1.0 and HTML.
- DOM Level 2.0 Completed in November 2000, Level 2.0 extends Level 1.0 with support for XML 1.0 with namespaces and adds support for Cascading Style Sheets (CSS) and events (user-interface events and tree manipulation events), and enhances tree manipulations (tree ranges and traversal mechanisms). CSS are a simple mechanism for adding style (fonts, colors, spacing, and so on) to Web documents.

Oracle support for DOM is as follows:

- In Oracle9i release 1 (9.0.1), Oracle XML Developer's Kit for PL/SQL implemented DOM Level 1.0 and parts of DOM Level 2.0.
- In Oracle9i release 2 (9.2) and Oracle Database 10g release 1 (10.1), the PL/SQL API for XMLType implements DOM Levels 1.0 and Level 2.0 Core, and is fully integrated in the database through extensions to the XMLType API.

#### 11.2.1.3 Difference Between DOM and SAX

DOM is the primary generic tree-based API for XML. SAX (Simple API for XML) is the primary generic event-based programming interface between an XML parser and an XML application.

DOM works by creating objects. These objects have child objects and properties. The child objects have their own child objects and properties, and so on. Objects are referenced either by moving down the object hierarchy or by explicitly giving an HTML element an ID attribute. For example:

```
<img src="employee_jdoe.gif" ID="0123jdoe">
```

Examples of structural manipulations are:

- Reordering elements
- Adding or deleting elements
- Adding or deleting attributes
- Renaming elements



- Document Object Model (DOM)
- SAX Project



## 11.2.2 PL/SQL DOM API for XMLType (DBMS\_XMLDOM): Features

Oracle XML DB extends the Oracle Database XML development platform beyond SQL support for storage and retrieval of XML data. It lets you operate on XMLType instances using DOM in PL/SQL, Java, and C.

The *default* action for the PL/SQL DOM API for XMLType (DBMS\_XMLDOM) does the following:

- Produce a parse tree that can be accessed by DOM APIs.
- Validate, if a DTD is found. Otherwise, do not validate.
- Raise an application error if parsing fails.

DTD validation occurs when the object document is manifested. If lazy manifestation is employed, then the document is validated when it is used.

The PL/SQL DOM API exploits a C-based representation of XML in the server and operates on XML schema-based XML instances. The PL/SQL, Java, and C DOM APIs for XMLType comply with the W3C DOM Recommendations to define and implement object-relational storage of XML data in relational or object-relational columns and as dynamic memory instances of XMLType. See Preparing XML Data to Use the PL/SQL DOM API for XMLType, for a description of W3C DOM Recommendations.

- PL/SQL DOM API Support for XML Schema
   The PL/SQL DOM API for XMLType supports XML Schema. Oracle XML DB uses annotations within an XML schema as metadata to determine the structure of an XML document and the mapping of the document to a database schema.
- Enhanced DOM Performance Oracle XML DB uses DOM to provide a standard way to translate data between XML and multiple back-end data sources. This eliminates the need to use separate XML translation techniques for the different data sources in your environment.

### 11.2.2.1 PL/SQL DOM API Support for XML Schema

The PL/SQL DOM API for XMLType supports XML Schema. Oracle XML DB uses annotations within an XML schema as metadata to determine the structure of an XML document and the mapping of the document to a database schema.



For backward compatibility and flexibility, the PL/SQL DOM supports both XML Schema-based documents and non-schema-based documents.

After an XML schema is registered with Oracle XML DB, the PL/SQL DOM API for XMLType builds a tree representation of an associated XML document in dynamic memory as a hierarchy of node objects, each with its own specialized interfaces. Most node object types can have child node types, which in turn implement additional, more specialized interfaces. Nodes of some node types can have child nodes of various types, while nodes of other node types must be leaf nodes, which do not have child nodes.



#### 11.2.2.2 Enhanced DOM Performance

Oracle XML DB uses DOM to provide a standard way to translate data between XML and multiple back-end data sources. This eliminates the need to use separate XML translation techniques for the different data sources in your environment.

Applications needing to exchange XML data can use a single native XML database to cache XML documents. Oracle XML DB can thus speed up application performance by acting as an intermediate cache between your Web applications and your back-end data sources, whether they are in relational databases or file systems.

#### **Related Topics**

Java DOM API for XMLType

The Java DOM API for XMLType lets you operate on XMLType instances using a DOM. You can use it to manipulate XML data in Java, including fetching it through Java Database Connectivity (JDBC).

# 11.2.3 Application Design Using Oracle XML Developer's Kit and Oracle XML DB

When you build applications based on Oracle XML DB, you do not need the additional components provided by Oracle XML Developer's Kit (XDK). However, you can use XDK components with Oracle XML DB to deploy a full suite of XML-enabled applications that run end-to-end.

These XDK features are particularly useful for developing XML applications based on Oracle XML DB.

- Simple API for XML (SAX) interface processing. SAX is an XML standard interface provided by XML parsers and used by procedural and event-based applications.
- DOM interface processing, for structural and recursive object-based processing.

Oracle XML Developer's Kit contains the basic building blocks for creating applications that run on a client, in a browser or a plug-in. Such applications typically read, manipulate, transform and view XML documents. To provide a broad variety of deployment options, Oracle XML Developer's Kit is available for Java, C, and C++. Oracle XML Developer's Kit is fully supported and comes with a commercial redistribution license.

Oracle XML Developer's Kit for Java consists of these components:

- XML Parsers Creates and parses XML using industry standard DOM and SAX interfaces. Supports Java, C, C++, and the Java API for XML Processing (JAXP).
- XSL Processor Transforms or renders XML into other text-based formats such as HTML. Supports Java, C, and C++.
- XML Schema Processor Uses XML simple and complex data types. Supports Java, C, and C++.
- XML Class Generator, Oracle JAXB Class Generator Automatically generate C++ and Java classes, respectively, from DTDs and XML schemas, to send XML data from Web forms or applications. Class generators accept an input file and create a set of output classes that have corresponding functionality. For the XML



Class Generator, the input file is a DTD, and the output is a series of classes that can be used to create XML documents conforming with the DTD.

- XML SQL Utility Generates XML documents, DTDs, and XML schemas from SQL queries. Supports Java.
- TransX Utility Loads data encapsulated in XML into the database. Has additional functionality useful for installations.
- XML Pipeline Processor Invokes Java processes through XML control files.
- XSLT VM and Compiler Provides a high-performance C-based XSLT transformation engine that uses compiled XSL stylesheets.
- XML Java Beans Parses, transforms, compares, retrieves, and compresses
   XML documents using Java components.



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## 11.2.4 Preparing XML Data to Use the PL/SQL DOM API for XMLType

Create an XML schema, annotate it to map XML to SQL objects, and register the XML schema.

To prepare data for using PL/SQL DOM APIs in Oracle XML DB:

- 1. Create a standard XML schema.
- 2. Annotate the XML schema with definitions for the SQL objects you use.
- 3. Register the XML schema, to generate the necessary database mappings.

You can then do any of the following:

- Use XMLType views to wrap existing relational or object-relational data in XML formats, making it available to your applications in XML form. See Wrap Existing Data as XML with XMLType Views.
- Insert XML data into XMLType columns.
- Use Oracle XML DB PL/SQL and Java DOM APIs to manipulate XML data stored in XMLType columns and tables.

## 11.2.5 XML Schema Types Are Mapped to SQL Object Types

An XML schema must be registered with Oracle XML DB before it can be referenced by an XML document. When you register an XML schema, elements and attributes it declares are mapped to attributes of corresponding SQL object types within the database.

After XML schema registration, XML documents that conform to the XML schema and reference it can be managed by Oracle XML DB. Tables and columns for storing the conforming documents can be created for root elements defined by the XML schema.



An XML schema is registered by using PL/SQL package DBMS\_XMLSCHEMA and by specifying the schema document and its *schema-location URL*. This URL is a name that uniquely identifies the registered schema within the database. It need not correspond to any real location — in particular, it need not indicate where the schema document is located.

The *target namespace* of the schema is another URL used in the XML schema. It specifies a namespace for the XML-schema elements and types. An XML document should specify both the namespace of the root element and the schema-location URL identifying the schema that defines this element.

When documents are inserted into Oracle XML DB using path-based protocols such as HTTP(S) and FTP, the XML schema to which the document conforms is *registered implicitly*, provided its name and location are specified and it has not yet been registered.

#### DOM Fidelity for XML Schema Mapping

Elements and attributes declared within an XML schema get mapped to separate attributes of the corresponding SQL object type. Other information encoded in an XML document, such as comments, processing instructions, namespace declarations and prefix definitions, and whitespace, is not represented directly.

#### **Related Topics**

XML Schema Storage and Query: Basic

XML Schema is a standard for describing the content and structure of XML documents. You can register, update, and delete an XML schema used with Oracle XML DB. You can define storage structures to use for your XML schema-based data and map XML Schema data types to SQL data types.



Oracle Database PL/SQL Packages and Types Reference descriptions of the individual DBMS\_XMLSCHEMA methods

## 11.2.5.1 DOM Fidelity for XML Schema Mapping

Elements and attributes declared within an XML schema get mapped to separate attributes of the corresponding SQL object type. Other information encoded in an XML document, such as comments, processing instructions, namespace declarations and prefix definitions, and whitespace, is not represented directly.

To store this additional information, binary attribute SYS\_XDBPD\$ is present in all generated SQL object types. This database attribute stores all information in the original XML document that is not stored using the other database attributes. Retaining this accessory information ensures *DOM fidelity* for XML documents stored in Oracle XML DB: an XML document retrieved from the database is identical to the original document that was stored.



#### Note:

In this book, attribute SYS\_XDBPD\$ has been omitted from most examples, for simplicity. However, the attribute is always present in SQL object types generated by schema registration.

#### **Related Topics**

SYS\_XDBPD\$ and DOM Fidelity for Object-Relational Storage
 In order to provide DOM fidelity for XML data that is stored object-relationally,
 Oracle XML DB records all information that cannot be stored in any of the other object attributes as instance-level metadata using the system-defined binary object attribute SYS\_XDBPD\$ (positional descriptor, or PD).

## 11.2.6 Wrap Existing Data as XML with XMLType Views

To make existing relational and object-relational data available to your XML applications, you can create XMLType views based on it. You can then access the resulting XML data using the PL/SQL DOM API.

After you register an XML schema containing annotations that represent the mapping between XML types and SQL object types, you can create an XMLType view that conforms to the XML schema.

#### **Related Topics**

• XMLType Views
You can create XMLType views over relational and object-relational data.

## 11.2.7 DBMS\_XMLDOM Methods Supported by Oracle XML DB

All DBMS\_XMLDOM methods are supported by Oracle XML DB, with a few exceptions.

These methods are *not* supported by Oracle XML DB:

- writeExternalDTDToFile()
- writeExternalDTDToBuffer()
- writeExternalDTDToClob()

#### See Also:

Oracle Database PL/SQL Packages and Types Reference for descriptions of the individual DBMS\_XMLDOM methods

## 11.2.8 PL/SQL DOM API for XMLType: Node Types

The DOM specifies the way elements within an XML document are used to create an object-based tree structure. It defines and exposes interfaces to manage and use the

objects stored in XML documents. The DOM supports storage of documents in diverse systems.

In the DOM specification, the term "**document**" describes a container for many different kinds of information or data, which the DOM objectifies.

When a request such as getNodeType(myNode) is invoked, it returns myNodeType, which is the node type supported by the parent node. The following constants represent the different types that a node can adopt:

- ELEMENT\_NODE
- ATTRIBUTE\_NODE
- TEXT\_NODE
- CDATA\_SECTION\_NODE
- ENTITY\_REFERENCE\_NODE
- ENTITY\_NODE
- PROCESSING\_INSTRUCTION\_NODE
- COMMENT\_NODE
- DOCUMENT\_NODE
- DOCUMENT\_TYPE\_NODE
- DOCUMENT\_FRAGMENT\_NODE
- NOTATION NODE

Table 11-2 shows the node types for XML and HTML, and the allowed corresponding child node types.

Table 11-2 XML and HTML DOM Node Types and Their Child Node Types

Node Type	Children Node Types
Document	Element (maximum of one), ProcessingInstruction, Comment, DocumentType (maximum of one)
DocumentFragment	Element, ProcessingInstruction, Comment, Text, CDATASection, EntityReference
DocumentType	No children
EntityReference	Element, ProcessingInstruction, Comment, Text, CDATASection, EntityReference
Element	Element, Text, Comment, ProcessingInstruction, CDATASection, EntityReference
Attr	Text, EntityReference
ProcessingInstruction	No children
Comment	No children



Table 11-2 (Cont.) XML and HTML DOM Node Types and Their Child Node Types

Node Type	Children Node Types
Text	No children
CDATASection	No children
Entity	Element, ProcessingInstruction, Comment, Text, CDATASection, EntityReference
Notation	No children

Oracle XML DB DOM API for XMLType also specifies the following interfaces:

- A NodeList interface to handle ordered lists of Nodes, for example:
  - The children of a Node
  - Elements returned by method getElementsByTagName() of the element interface
- A NamedNodeMap interface to handle unordered sets of nodes, referenced by their name attribute, such as the attributes of an element.

# 11.2.9 PL/SQL Function NEWDOMDOCUMENT and DOMDOCUMENT Nodes

PL/SQL function newDOMDocument constructs a DOM document handle, given an XMLType value. The resulting handle is of type DOMDocument.

A typical usage scenario for a PL/SQL application is:

- Fetch or construct an XMLType instance
- 2. Construct a DOMDocument node over the XMLType instance
- Use the DOM API to access and manipulate the XML data



For DOMDocument, node types represent handles to XML fragments but do not represent the data itself.

For example, if you copy a node value, <code>DOMDocument</code> clones the handle to the same underlying data. Any data modified by one of the handles is visible when accessed by the other handle. The <code>XMLType</code> value from which the <code>DOMDocument</code> handle is constructed is the data, and reflects the results of all <code>DOM</code> operations on it.



## 11.2.10 DOM NodeList and NamedNodeMap Objects

When you change the document structure underlying a DOMDocument instance, the changes are reflected in all relevant NodeList and NamedNodeMap objects.

For example, if a DOM user gets a <code>NodeList</code> object containing the children of an element, and then subsequently adds more children to that element (or removes children, or modifies existing children) then those changes automatically propagate to the <code>NodeList</code> without additional action from the user. Likewise, changes to a node in the tree are propagated throughout all references to that node in <code>NodeList</code> and <code>NamedNodeMap</code> objects.

The interfaces: Text, Comment, and CDATASection, all inherit from the CharacterData interface.

# 11.2.11 Overview of Using the PL/SQL DOM API for XMLType (DBMS\_XMLDOM)

Using PL/SQL package DBMS\_XMLDOM typically involves creating DOM documents, traversing or extending the DOM tree, and creating and manipulating nodes.

Figure 11-1 illustrates the use of PL/SQL DOM API for XMLType (DBMS\_XMLDOM).

 You can create a DOM document (DOMDocument) from an existing XMLType or as an empty document. Procedure newDOMDocument processes the XMLType instance or empty document.

This creates a DOMDocument instance.

- You can use DOM API PL/SQL methods such as <code>createElement()</code>, <code>createText()</code>, <code>createAttribute()</code>, and <code>createComment()</code> to traverse and extend the DOM tree.
- The results of PL/SQL methods such as DOMElement() and DOMText() can also be passed to PL/SQL function makeNode to obtain the DOMNode interface.



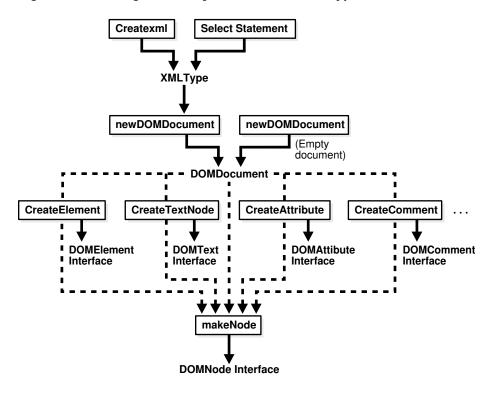


Figure 11-1 Using the PL/SQL DOM API for XMLType

## 11.2.12 PL/SQL DOM API for XMLType - Examples

Examples are presented of using the PL/SQL DOM API for XMLType.

Remember to call procedure freeDocument for *each* DOMDocument instance, when you are through with the instance. This procedure frees the document and all of its nodes. You can still access XMLType instances on which DOMDocument instances were built, even after the DOMDocument instances have been freed.

Example 11-1 creates a hierarchical, representation of an XML document in dynamic memory: a DOM document.

Example 11-1 uses a *handle* to the DOM document to manipulate it: print it, change part of it, and print it again after the change. Manipulating the DOM document by its handle also indirectly affects the XML data represented by the document, so that querying that data after the change shows the changed result.

The DOM document is created from an XMLType variable using PL/SQL function newDOMDocument. The handle to this document is created using function makeNode. The document is written to a VARCHAR2 buffer using function writeToBuffer, and the buffer is printed using DBMS\_OUTPUT.put\_line.

After manipulating the document using various <code>DBMS\_XMLDOM</code> procedures, the (changed) data in the <code>XMLType</code> variable is inserted into a table and queried, showing the change. It is only when the data is inserted into a database table that it becomes persistent. Until then, it exists in memory only. This persistence is demonstrated by the fact that the database query is made after the document (<code>DOMDocument</code> instance) has been freed from dynamic memory.



Example 11-2 creates an empty DOM document, and then adds an element node (<ELEM>) to the document. DBMS\_XMLDOM API node procedures are used to obtain the name (<ELEM>), value (NULL), and type (1 = element node) of the element node.

#### Example 11-1 Creating and Manipulating a DOM Document

```
CREATE TABLE person OF XMLType;
DECLARE
  var
            XMLType;
  doc
            DBMS XMLDOM.DOMDocument;
  ndoc
          DBMS_XMLDOM.DOMNode;
  docelem DBMS_XMLDOM.DOMElement;
            DBMS_XMLDOM.DOMNode;
  node
  childnode DBMS XMLDOM.DOMNode;
  nodelist DBMS XMLDOM.DOMNodelist;
 buf
           VARCHAR2(2000);
BEGIN
  var := XMLType('<PERSON><NAME>ramesh</NAME></PERSON>');
  -- Create DOMDocument handle
  doc
        := DBMS XMLDOM.newDOMDocument(var);
  ndoc
         := DBMS_XMLDOM.makeNode(doc);
  DBMS XMLDOM.writeToBuffer(ndoc, buf);
  DBMS_OUTPUT.put_line('Before:'||buf);
  docelem := DBMS_XMLDOM.getDocumentElement(doc);
  -- Access element
  nodelist := DBMS XMLDOM.getElementsByTagName(docelem, 'NAME');
  node := DBMS XMLDOM.item(nodelist, 0);
  childnode := DBMS XMLDOM.getFirstChild(node);
  -- Manipulate element
  DBMS_XMLDOM.setNodeValue(childnode, 'raj');
  DBMS XMLDOM.writeToBuffer(ndoc, buf);
  DBMS OUTPUT.put line('After:'|buf);
  DBMS_XMLDOM.freeDocument(doc);
  INSERT INTO person VALUES (var);
END;
This produces the following output:
Before: < PERSON>
  <NAME>ramesh</NAME>
</PERSON>
After:<PERSON>
 <NAME>raj</NAME>
</PERSON>
```



This query confirms that the data has changed:

#### Example 11-2 Creating an Element Node and Obtaining Information About It

```
DECLARE
 doc
       DBMS XMLDOM.DOMDocument;
 elem DBMS XMLDOM.DOMElement;
 nelem DBMS XMLDOM.DOMNode;
BEGIN
 doc := DBMS XMLDOM.newDOMDocument;
 elem := DBMS XMLDOM.createElement(doc, 'ELEM');
 nelem := DBMS_XMLDOM.makeNode(elem);
 DBMS OUTPUT.put line('Node name = ' ||
DBMS_XMLDOM.getNodeName(nelem));
 DBMS_OUTPUT.put_line('Node value = '||
DBMS XMLDOM.getNodeValue(nelem));
 DBMS OUTPUT.put line('Node type = ' |
DBMS_XMLDOM.getNodeType(nelem));
 DBMS XMLDOM.freeDocument(doc);
END;
```

#### This produces the following output:

```
Node name = ELEM
Node value =
Node type = 1
```

## 11.2.13 Large Node Handling Using DBMS\_XMLDOM

Oracle XML DB provides abstract streams and stream-manipulation methods that you can use to handle XML nodes that are larger than 64 K bytes.

Prior to Oracle Database 11g Release 1 (11.1), each text node or attribute value processed by Oracle XML DB was limited in size to 64 K bytes. Starting with release 11.1, this restriction no longer applies.

To overcome this size limitation and allow nodes to contain graphics files, PDF files, and multibyte character encodings, the following abstract streams are available. These abstract PL/SQL streams are analogous to the corresponding Java streams. Each input stream has an associated writer, or data producer, and each output stream has an associated reader, or data consumer.

- **Binary Input Stream:** This provides the data consumer with read-only access to source data, as a sequential (non-array) linear space of bytes. The consumer has iterative read access to underlying source data (whatever representation) in binary format, that is, read access to source data in unconverted, "raw" format. The consumer sees a sequence of bytes as they exist in the node. There is no specification of the format or representation of the source data. In particular, there is no associated character set.
- Binary Output Stream: This provides the data producer with write-only access to target data as a sequential (non-array) linear space of bytes. The producer has iterative write access to target data in binary format, that is, write access to target data in pure binary format with no data semantics at all. The producer passes a sequence of bytes and the target data is replaced by these bytes. No data conversion occurs.
- Character Input Stream: This provides the data consumer iterative read-only
  access to source data as a sequential (non-array) linear space of characters,
  independent of the representation and format of the source data. Conversion of
  the source data may or may not occur.
- Character Output Stream: This provides the data producer with iterative writeonly access to target data as a sequential (non-array) linear space of characters.
   The producer passes a sequence of characters and the target data is replaced by this sequence of characters. Conversion of the passed data may or may not occur.

Each of the input streams has the following abstract methods: open, read, and close. Each of the output streams has the following abstract methods: open, write, flush, and close. For output streams, you must close the stream before any nodes are physically written.

There are four general node-access models, for reading and writing. Each access model has both binary and character versions. Binary and character stream methods defined on data type DOMNode realize these access models.

Your application acts as the client, with the parser as its service provider. The parser mode determines whether the parser or your application drives the stream dataflow.

- For a parser in push mode, your application pushes data to the parser in an output stream, and the parser returns the result of the requested operation.
- For a parser in pull mode, your application pulls data from the parser in an input stream. Each data item in the stream is the result of a parsing event.

Each access model is described in a separate section, with an explanation of the PL/SQL functions and procedures in package DBMS\_XMLDOM that operate on large nodes. The name of each subprogram reflects whether it reads ("get") or writes ("set") data, and whether the parser is being used in push ("push") or pull ("pull") mode.

For all except the get-push and set-pull access models (whether binary or character), Oracle supplies a concrete stream that you can use (implicitly). For get-push and set-pull, you must define a subtype of the abstract stream type that Oracle provides, and you must implement its access methods (open, close, and so on). For get-push and set-pull, you then instantiate your stream type and supply your stream as an argument to the access method. So, for example, you would use my\_node.getNodeValueAsCharacterStream(my-stream) for get-push, but just my\_node.getNodeValueAsCharacterStream() for get-pull. The latter requires no explicit stream argument, because the concrete stream supplied by Oracle is used.



#### Note:

When you access a character-data stream, the access method you use determines the apparent character set of the nodes accessed. If you use Java to access the stream, then the character set seen by your Java program is UCS2 (or an application-specified character set). If you use PL/SQL to access the stream, then the character set seen by your PL/SQL program is the database-session character set (or an application-specified character set). In all cases, however, the XML data is stored in the database in the database character set.

In the following descriptions, C1 is the character set of the node as stored in the database, and C2 is the character set of the node as seen by your program.

#### **Related Topics**

Get-Push Model for Large Node Handling

In this model, you retrieve the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.

- Get-Pull Model for Large Node Handling
   In this model, you retrieve the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.
- Set-Pull Model for Large Node Handling
   In this model, you set the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.
- Set-Push Model for Large Node Handling
   In this model, you set the value of a DOM node, using a parser that is in push
   mode. Oracle XML DB writes the node data to an output stream that the parser
   reads.
- Large XML Node Handling with Java
   Oracle XML DR provides abstract stress

Oracle XML DB provides abstract streams and stream-manipulation methods that you can use to handle XML nodes that are larger than 64 K bytes. Use Java classes  $\tt XMLNode$  and  $\tt XMLAttr$ , together with a thick or kprb connection, to manipulate large nodes.

#### See Also:

- Oracle Database PL/SQL Packages and Types Reference
- Oracle Database XML Java API Reference for information about Java functions for handling large nodes
- Oracle Database XML C API Reference for information about C functions for handling large nodes



### 11.2.14 Get-Push Model for Large Node Handling

In this model, you retrieve the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.

To read a node value in this model, your application creates a binary output stream or character output stream and passes this to Oracle XML DB. In this case, the source data is the node value. Oracle XML DB populates the output stream by adding node data to the stream. If the stream is a character output stream, then the character set, C2, is the session character set, and node data is converted, if necessary, from C1 to C2. Additionally, the data type of the node can be any that is supported by Oracle XML DB. If the node data type is not character data then the node data is first converted to character data in C2. If a binary output stream, the data type of the node must be RAW or BLOB.

The procedures of the DBMS XMLDOM package to be used for this case are:

```
PROCEDURE getNodeValueAsBinaryStream (n IN DBMS_XMLDOM.domnode, value IN SYS.utl_BinaryOutputStream);
```

The application passes an implementation of SYS.utl\_BinaryOutputStream into which Oracle XML DB writes the contents of the node. The data type of the node must be RAW or CLOB or else an exception is raised.

The node data is converted, as necessary, to the session character set and then "pushed" into the SYS.utl CharacterOutputStream.

The following example fragments illustrate reading the node value as binary data and driving the write methods in a user-defined subtype of  $SYS.utl\_BinaryOutPutStream$ , which is called MyBinaryOutputStream:

## Example 11-3 Creating a User-Defined Subtype of SYS.util\_BinaryOutputStream()



#### Example 11-4 Retrieving Node Value with a User-Defined Stream

### 11.2.15 Get-Pull Model for Large Node Handling

In this model, you retrieve the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.

To read the value of a node in this model, Oracle XML DB creates a binary input stream or character input stream and returns this to the caller. The character set, C2, of the character input stream is the current session character set. Oracle XML DB populates the input stream as the caller pulls the node data from the stream so Oracle XML DB is again the producer of the data. If the stream is a character input stream, then the node data type may be any supported by Oracle XML DB and node data, if character, is converted, if necessary, from C1 to C2. If the node data is non-character, it is converted to character in C2. If a binary input stream, the data type of the node must be RAW or BLOB.

The functions of the DBMS\_XMLDOM package to be used for this case are getNodeValueAsBinaryStream and getNodeValueAsCharacterStream.

```
FUNCTION getNodeValueAsBinaryStream(n IN DBMS_XMLDOM.domnode)

RETURN SYS.utl_BinaryInputStream;
```

This function returns an instance of the new PL/SQL SYS.utl\_BinaryInputStream that can be read using defined methods as described in the section Set-Pull Model for Large Node Handling. The node data type must be RAW or BLOB or else an exception is raised.

```
FUNCTION getNodeValueAsCharacterStream (n IN DBMS_XMLDOM.domnode)
RETURN SYS.utl_CharacterInputStream;
```

This function returns an instance of the new PL/SQL SYS.utl\_CharacterInputStream that can be read using defined methods. If the node data is character it is converted to the current session character set. If the node data is not character data, it is first converted to character data.

Example 11-5 illustrates reading a node value as binary data in 50-byte increments:

Example 11-6 illustrates reading a node value as character data in 50-character increments:



#### Example 11-5 Get-Pull of Binary Data

```
DECLARE
  istream SYS.utl_BinaryInputStream;
 node     DBMS_XMLDOM.domnode;
buffer     raw(50);
  numBytes pls_integer;
BEGIN
  istream := DBMS_XMLDOM.getNodeValueAsBinaryStream (node);
  -- Read stream in 50-byte chunks
  T<sub>1</sub>OOP
    numBytes := 50;
    istream.read ( buffer, numBytes);
    if numBytes <= 0 then
       exit;
    end if;
-- Process next 50 bytes of node value in buffer
END LOOP
. . .
END;
```

#### Example 11-6 Get-Pull of Character Data

```
DECLARE
 istream SYS.utl_CharacterInputStream;
node DBMS_XMLDOM.domnode;
buffer varchar2(50);
              varchar2(50);
 numChars pls_integer;
BEGIN
  istream := DBMS_XMLDOM.getNodeValueAsCharacterStream (node);
-- Read stream in 50-character chunks
LOOP
   numChars := 50;
   istream.read ( buffer, numChars);
   IF numChars <= 0 then
      exit;
   END IF;
-- Process next 50 characters of node value in buffer
END LOOP
. . .
END;
```

## 11.2.16 Set-Pull Model for Large Node Handling

In this model, you set the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.

To write a node value in this mode, the application creates a binary input stream or character input stream and passes this to Oracle XML DB.

The character set of the character input stream, C2, is the session character set. Oracle XML DB pulls the data from the input stream and populates the node. If the stream is a character input stream, then the data type of the node may be any supported by Oracle XML DB. If the data type of the node is not character, the stream data is first converted to the node data type. If the node data type is character, then no conversion occurs, so the node data remains in character set C2. If the stream is



a binary input stream, then the data type of the node must be RAW or BLOB and no conversion occurs.

The procedures of the DBMS\_XMLDOM package to be used for this case are setNodeValueAsBinaryStream and setNodeValueAsCharacterStream.

The application passes in an implementation of SYS.utl\_BinaryInputStream from which Oracle XML DB reads data to populate the node. The data type of the node must be RAW or BLOB or else an exception is raised.

The application passes in an implementation of SYS.utl\_CharacterInputStream from which Oracle XML DB reads to populate the node. The data type of the node may be any valid type supported by Oracle XML DB. If it is a non-character data type, the character data read from the stream is converted to the data type of the node. If the data type of the node is either character or CLOB, then no conversion occurs and the character set of the node becomes the character set of the PL/SQL session.

Example 11-7 illustrates setting the node value to binary data produced by the read methods defined in a user-defined subtype of SYS.utl\_BinaryInputStream, which is called MyBinaryInputStream:

You can use an object of type MyBinaryInputStream to set the value of a node as follows:

#### Example 11-7 Set-Pull of Binary Data



### 11.2.17 Set-Push Model for Large Node Handling

In this model, you set the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.

To write a new node value in this mode, Oracle XML DB creates a binary output stream or character output stream and returns this to the caller. The character set of the character output stream, C2, is the current session character set. The caller pushes data into the output stream and Oracle XML DB then writes this to the Oracle XML DB Node. If the stream is a character output stream, then the data type of the node may be any type supported by Oracle XML DB. In this case, the character data is converted to the node data type. If the node data type is character, then the character set, C1, is changed to C2. No data conversion occurs. If the stream is a binary input stream, and the data type of the node must be RAW or BLOB. In this case, the stream is read without data conversion.

The procedures of the DBMS\_XMLDOM package to be used for this case are setNodeValueAsBinaryStream and setNodeValueAsCharacterStream.

This function returns an instance of SYS.utl\_BinaryOutputStream into which the caller can write the node value. The data type of the node must be RAW or BLOB or else an exception is raised.

```
FUNCTION setNodeValueAsCharacterStream (n IN DBMS_XMLDOM.domnode)
RETURN SYS.utl_CharacterOutputStream;
```

This function returns an instance of the PL/SQL SYS.utl\_CharacterOutputStream type into which the caller can write the node value. The data type of the node can be any valid Oracle XML DB data type. If the type is not character or CLOB, the character data written to the stream is converted to the node data type. If the data type of the node is character or CLOB, then the character data written to the stream is converted from PL/SOL session character set to the character set of the node

Example 11-8 illustrates setting the value of a node to binary data by writing 50-byte segments into the SYS.utl\_BinaryOutputStream:

#### Example 11-8 Set-Push of Binary Data

```
DECLARE
               SYS.utl_BinaryOutputStream;
  ostream
  node
               DBMS XMLDOM.domnode;
               raw(500);
  buffer
              raw(50);
  segment
  numBytes
               pls integer;
  offset
               pls_integer;
  . . .
BEGIN
  ostream := DBMS XMLDOM.setNodeValueAsBinaryStream (node);
  offset := 0;
```



```
length := 500;
-- Write to stream in 50-byte chunks
LOOP
   numBytes := 50;
-- Get next 50 bytes of buffer
   ostream.write ( segment, offset, numBytes);
   length := length - numBytes;
   If length <= 0 then
       exit;
   END IF;
END LOOP
   ostream.close();
...
END;</pre>
```

# 11.2.18 Determining Binary Stream or Character Stream for Large Node Handling

You can use subprogram <code>DBMS\_XMLDOM.useBinaryStream</code> to determine whether to use a character stream or a binary stream to access the content of a large node.

```
FUNCTION useBinaryStream (n IN DBMS_XMLDOM.domnode) RETURN BOOLEAN;
```

This function returns TRUE if the data type of the node is RAW or BLOB, so that the node value may be read or written using either a SYS.utl\_BinaryInputStream or a SYS.utl\_BinaryOutputStream. If a value of FALSE is returned, the node value can be accessed only using a SYS.utl\_CharacterInputStream or a SYS.utl\_CharacterOutputStream.

# 11.3 PL/SQL Parser API for XMLType (DBMS\_XMLPARSER)

The PL/SQL Parser API for XMLType (DBMS\_XMLPARSER) builds a parsing result tree that can be accessed by PL/SQL APIs. If parsing fails, it raises an error.

A software module called an XML parser or processor reads XML documents and provides access to their content and structure. An XML parser usually does its work on behalf of another module, typically the application.

XML documents are made up of storage units, called *entities*, that contain either parsed or unparsed data. Parsed data is made up of characters, some of which constitute character data and some of which act as markup. Markup encodes a description of the document storage layout and logical structure. XML provides a mechanism for imposing constraints on the storage layout and logical structure.

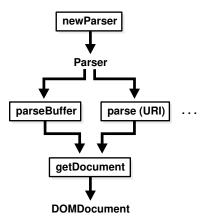
Figure 11-2 illustrates how to use the PL/SQL Parser for XMLType (DBMS\_XMLPARSER). These are the steps:

1. Construct a parser instance using PL/SQL method newParser().



- Parse XML documents using PL/SQL methods such as parseBuffer(), parseClob(), and parse(URI). An error is raised if the input is not a valid XML document.
- 3. Call PL/SQL function getDocument on the parser to obtain a DOMDocument interface.

Figure 11-2 Using the PL/SQL Parser API for XMLType



Example 11-9 parses a simple XML document. It creates an XML parser (instance of DBMS\_XMLPARSER.parser) and uses it to parse the XML document (text) in variable indoc. Parsing creates a DOM document, which is retrieved from the parser using DBMS\_XMLPARSER.getDocument. A DOM node is created that contains the entire document, and the node is printed. After freeing (destroying) the DOM document, the parser instance is freed using DBMS\_XMLPARSER.freeParser.



Method DBMS\_XMLPARSER.setErrorLog() is not supported.

### See Also:

Oracle Database PL/SQL Packages and Types Reference for descriptions of individual DBMS\_XMLPARSER methods

#### Example 11-9 Parsing an XML Document

```
DECLARE
  indoc    VARCHAR2(2000);
  indomdoc    DBMS_XMLDOM.DOMDocument;
  innode    DBMS_XMLDOM.DOMNode;
  myparser    DBMS_XMLPARSER.parser;
  buf    VARCHAR2(2000);

BEGIN
  indoc := '<emp><name>De Selby</name></emp>';
  myParser := DBMS_XMLPARSER.newParser;
```



```
DBMS_XMLPARSER.parseBuffer(myParser, indoc);
indomdoc := DBMS_XMLPARSER.getDocument(myParser);
innode := DBMS_XMLDOM.makeNode(indomdoc);
DBMS_XMLDOM.writeToBuffer(innode, buf);
DBMS_OUTPUT.put_line(buf);
DBMS_XMLDOM.freeDocument(indomdoc);
DBMS_XMLPARSER.freeParser(myParser);
END;
//
```

This produces the following output:

<emp><name>De Selby</name></emp>

# 11.4 PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR)

You can use PL/SQL package DBMS\_XSLPROCESSOR to transform one XML document to another or to convert XML data into HTML, PDF, or other formats. This package traverses the DOM tree for the document and applies Extensible Stylesheet Language Transformation (XSLT) statements to it to produce the transformed document.

The W3C XSL Recommendation describes rules for transforming a source tree into a result tree. A transformation expressed in XSL is called an **XSLT stylesheet**. The transformation specified is achieved by associating patterns with templates defined in the XSLT stylesheet. A template is instantiated to create part of the result tree. XSLT is widely used to convert XML data to HTML for web browser display.



Oracle XML DB applications do not require a separate XML parser. However, applications requiring external processing can still use the XML Parser for PL/SQL first to expose the document structure. The XML Parser for PL/SQL in Oracle XML Developer's Kit parses an XML document (or a standalone DTD) so that the XML document can be processed by an application, typically running on the client. PL/SQL APIs for XMLType are used for applications that run on the server and are natively integrated in the database. Benefits of running applications on the server include performance improvements and enhanced access and manipulation options.

- PL/SQL XSLT Processor for XMLType: Features
   PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR) follows the W3C XSLT final recommendation (REC-xslt-19991116). It provides a convenient and efficient way of applying a single XSL stylesheet to multiple documents.
- Using the PL/SQL XSLT Processor API for XMLType (DBMS\_XSLPROCESSOR)
   You create an XSLT processor, build a STYLESHEET object from a DOM document,
   transform the document using the processor and the stylesheet, and use the DOM
   API for XMLType to manipulate the result of XSLT processing.



#### **Related Topics**

Transformation and Validation of XMLType Data
 There are several Oracle SQL functions and XMLType APIs for transforming XMLType data using XSLT stylesheets and for validating XMLType instances against an XML schema.

### 11.4.1 PL/SQL XSLT Processor for XMLType: Features

PL/SQL XSLT Processor for XMLType (DBMS\_XSLPROCESSOR) follows the W3C XSLT final recommendation (REC-xslt-19991116). It provides a convenient and efficient way of applying a single XSL stylesheet to multiple documents.

The methods in PL/SQL package DBMS\_XSLPROCESSOR use PL/SQL data types PROCESSOR and STYLESHEET, which are specific to the XSL Processor implementation. All DBMS\_XSLPROCESSOR methods are supported by Oracle XML DB, with the *exception* of method setErrorLog().



Oracle Database PL/SQL Packages and Types Reference for descriptions of the individual DBMS XSLPROCESSOR methods

# 11.4.2 Using the PL/SQL XSLT Processor API for XMLType (DBMS\_XSLPROCESSOR)

You create an XSLT processor, build a STYLESHEET object from a DOM document, transform the document using the processor and the stylesheet, and use the DOM API for XMLType to manipulate the result of XSLT processing.

Figure 11-3 illustrates how to use the XSLT Processor for XMLType (DBMS XSLPROCESSOR).



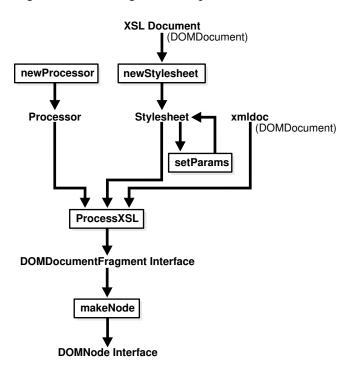


Figure 11-3 Using the PL/SQL XSLT Processor for XMLType

These are the steps:

- Construct an XSLT processor using newProcessor.
- Use newStylesheet to build a STYLESHEET object from a DOM document.
- 3. Optionally, you can set parameters for the STYLESHEET object using setParams.
- Use processXSL to transform a DOM document using the processor and STYLESHEET object.
- Use the PL/SQL DOM API for XMLType to manipulate the result of XSLT processing.

Example 11-10 transforms an XML document using procedure processXSL. It uses the same parser instance to create two different DOM documents: the XML text to transform and the XSLT stylesheet. An XSL processor instance is created, which applies the stylesheet to the source XML to produce a new DOM fragment. A DOM node (outnode) is created from this fragment, and the node content is printed. The output DOM fragment, parser, and XSLT processor instances are freed using procedures freeDocFrag, freeParser, and freeProcessor, respectively.

Example 11-10 Transforming an XML Document Using an XSL Stylesheet

```
DECLARE
indoc VARCHAR2(2000);
xsldoc VARCHAR2(2000);
myParser DBMS_XMLPARSER.parser;
indomdoc DBMS_XMLDOM.DOMDocument;
xsltdomdoc DBMS_XMLDOM.DOMDocument;
xsl DBMS_XSLPROCESSOR.stylesheet;
outdomdocf DBMS_XMLDOM.DOMDocumentFragment;
```



```
outnode
            DBMS_XMLDOM.DOMNode;
 proc
             DBMS_XSLPROCESSOR.processor;
 buf
             VARCHAR2(2000);
BEGIN
  indoc := '<emp><empno>1</empno>
              <fname>robert</fname>
              <lname>smith</lname>
              <sal>1000</sal>
              <job>engineer</job>
            </emp>';
 xsldoc := '<?xml version="1.0"?>
             <xsl:stylesheet</pre>
               version="1.0"
               xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
               <xsl:output encoding="utf-8"/>
               <!-- alphabetizes an xml tree -->
               <xsl:template match="*">
                 <xsl:copy>
                   <xsl:apply-templates select="*|text()">
                     <xsl:sort select="name(.)" data-type="text"</pre>
                               order="ascending"/>
                   </xsl:apply-templates>
                 </xsl:copy>
               </xsl:template>
               <xsl:template match="text()">
                 <xsl:value-of select="normalize-space(.)"/>
               </xsl:template>
             </xsl:stylesheet>';
 myParser := DBMS_XMLPARSER.newParser;
 DBMS XMLPARSER.parseBuffer(myParser, indoc);
            := DBMS_XMLPARSER.getDocument(myParser);
 DBMS_XMLPARSER.parseBuffer(myParser, xsldoc);
 xsltdomdoc := DBMS_XMLPARSER.getDocument(myParser);
 xsl
             := DBMS_XSLPROCESSOR.newStyleSheet(xsltdomdoc, '');
             := DBMS_XSLPROCESSOR.newProcessor;
 proc
  --apply stylesheet to DOM document
 outdomdocf := DBMS_XSLPROCESSOR.processXSL(proc, xsl, indomdoc);
 outnode
             := DBMS_XMLDOM.makeNode(outdomdocf);
  -- PL/SQL DOM API for XMLType can be used here
 DBMS_XMLDOM.writeToBuffer(outnode, buf);
 DBMS OUTPUT.put line(buf);
 DBMS_XMLDOM.freeDocument(indomdoc);
 DBMS XMLDOM.freeDocument(xsltdomdoc);
 DBMS_XMLDOM.freeDocFrag(outdomdocf);
 DBMS_XMLPARSER.freeParser(myParser);
 DBMS_XSLPROCESSOR.freeProcessor(proc);
END;
/
```

#### This produces the following output:

```
<emp>
<empno>1</empno>
<fname>robert</fname>
```



<job>engineer</job> <lname>smith</lname> <sal>1000</sal> </emp>



# PL/SQL Package DBMS\_XMLSTORE

You can use PL/SQL package DBMS\_XMLSTORE to insert, update, or delete data from XML documents stored object-relationally. It uses a canonical XML mapping similar to the one produced by package DBMS\_XMLGEN. It converts the mapping to object-relational constructs and then inserts, updates or deletes the corresponding values in relational tables.

#### Using Package DBMS\_XMLSTORE

Create a context handle, perform one or more insertions, updates, or deletions, and then close the context.

#### Inserting an XML Document Using DBMS\_XMLSTORE

You can use PL/SQL package <code>DBMS\_XMLSTORE</code> to insert an XML document into a table or view. It parses the document and creates an <code>INSERT</code> statement into which it binds the values. By default, it inserts values into all of the columns represented by elements in the XML document.

#### Updating XML Data Using DBMS\_XMLSTORE

You can use PL/SQL package DBMS\_XMLSTORE to update (modify) existing data. You specify which rows to update by calling procedure setKeyColumn once for *each* of the columns that are used collectively to identify the row. (In SQL, you would specify the rows using a WHERE clause in an UPDATE statement.)

#### Deleting XML Data Using DBMS\_XMLSTORE

You can use PL/SQL package DBMS\_XMLSTORE to delete existing data. You specify which rows to delete by calling procedure setKeyColumn once for *each* of the columns that are used collectively to identify the row. (In SQL, you would specify the rows using a WHERE clause in an UPDATE statement.)

## 12.1 Using Package DBMS\_XMLSTORE

Create a context handle, perform one or more insertions, updates, or deletions, and then close the context,

To use PL/SQL package DBMS\_XMLSTORE, follow these steps:

- 1. Create a context handle by calling function DBMS\_XMLSTORE.newContext and supplying it with the table name to use for the DML operations. For case sensitivity, double quotation mark (") the string that is passed to the function.
  - By default, XML documents are expected to use the <ROW> tag to identify rows. This is the same default used by package DBMS\_XMLGEN when generating XML data. You can use function setRowTag to override this behavior.
- **2.** (Optional) Perform one or more insertions, updates or deletions (you can *repeat* this step):
  - For insertions, to improve performance you can specify the list of columns to insert by calling procedure DBMS\_XMLSTORE.setUpdateColumn for each column. The default behavior (if you do not specify the list of columns) is to insert

values for each column whose corresponding element is present in the XML document.

 For updates, use function DBMS\_XMLSTORE.setKeyColumn to specify one or more (pseudo-) key columns, which are used to specify the rows to update. You do this in the WHERE clause of a SQL UPDATE statement. The columns that you specify need not be keys of the table, but together they must uniquely specify the rows to update.

For example, in table <code>employees</code>, column <code>employee\_id</code> uniquely identifies rows (it is a key of the table). If the XML document that you use to update the table contains element <code><EMPLOYEE\_ID>2176</EMPLOYEE\_ID></code>, then the rows where <code>employee\_id</code> equals <code>2176</code> are updated.

To improve performance, you can also specify the list of update columns using <code>DBMS\_XMLSTORE.setUpdateColumn</code>. The default behavior is to update all of the columns in the row(s) identified by <code>setKeyColumn</code> whose corresponding elements are present in the XML document.

- For deletions you specify (pseudo-) key columns to identify the row(s) to delete. You do this the same way you specify rows to update (see previous).
- 3. Provide a document to DBMS\_XMLSTORE function insertXML, updateXML, or deleteXML. You can *repeat* this step to update several XML documents.
- 4. Close the context by calling function DBMS\_XMLSTORE.closeContext.

## 12.2 Inserting an XML Document Using DBMS\_XMLSTORE

You can use PL/SQL package <code>DBMS\_XMLSTORE</code> to insert an XML document into a table or view. It parses the document and creates an <code>INSERT</code> statement into which it binds the values. By default, it inserts values into all of the columns represented by elements in the XML document.

Example 12-1 uses DBM\_XMLSTORE to insert the information for two new employees into the employees table. The information to insert is provided as XML data.

#### **Example 12-1** Inserting Data with Specified Columns

SELECT employee\_id AS EMP\_ID, salary, hire\_date, job\_id, email,
last\_name
FROM employees WHERE department id = 30;

EMP_ID	SALARY	HIRE_DATE	JOB_ID	EMAIL	LAST_NAME
114	11000	07-DEC-94	PU_MAN	DRAPHEAL	Raphaely
115	3100	18-MAY-95	PU_CLERK	AKHOO	Khoo
116	2900	24-DEC-97	PU_CLERK	SBAIDA	Baida
117	2800	24-JUL-97	PU_CLERK	STOBIAS	Tobias
118	2600	15-NOV-98	PU_CLERK	GHIMURO	Himuro
119	2500	10-AUG-99	PU_CLERK	KCOLMENA	Colmenares

6 rows selected.

DECLARE
 insCtx DBMS\_XMLSTORE.ctxType;
 rows NUMBER;



```
xmlDoc CLOB :=
    '<ROWSET>
       <ROW num="1">
         <EMPLOYEE_ID>920</EMPLOYEE_ID>
         <SALARY>1800</SALARY>
         <DEPARTMENT_ID>30</DEPARTMENT_ID>
         <HIRE_DATE>17-DEC-2002/HIRE_DATE>
         <LAST NAME>Strauss</LAST NAME>
         <EMAIL>JSTRAUSS</EMAIL>
         <JOB_ID>ST_CLERK</JOB_ID>
       </ROW>
       <ROW>
         <EMPLOYEE_ID>921</EMPLOYEE_ID>
         <SALARY>2000</SALARY>
         <DEPARTMENT_ID>30</DEPARTMENT_ID>
         <HIRE_DATE>31-DEC-2004/HIRE_DATE>
         <LAST_NAME>Jones</LAST_NAME>
         <EMAIL>EJONES</EMAIL>
         <JOB ID>ST CLERK</JOB ID>
       </ROW>
     </ROWSET>';
BEGIN
 insCtx := DBMS_XMLSTORE.newContext('HR.EMPLOYEES'); -- Get saved
 DBMS_XMLSTORE.clearUpdateColumnList(insCtx); -- Clear the update
settings
  -- Set the columns to be updated as a list of values
 DBMS_XMLSTORE.setUpdateColumn(insCtx, 'EMPLOYEE_ID');
 DBMS XMLSTORE.setUpdateColumn(insCtx, 'SALARY');
 DBMS_XMLSTORE.setUpdateColumn(insCtx, 'HIRE_DATE');
 DBMS_XMLSTORE.setUpdateColumn(insCtx, 'DEPARTMENT_ID');
 DBMS_XMLSTORE.setUpdateColumn(insCtx, 'JOB_ID');
 DBMS_XMLSTORE.setUpdateColumn(insCtx, 'EMAIL');
 DBMS_XMLSTORE.setUpdateColumn(insCtx, 'LAST_NAME');
  -- Insert the doc.
 rows := DBMS_XMLSTORE.insertXML(insCtx, xmlDoc);
 DBMS_OUTPUT.put_line(rows | | ' rows inserted.');
  -- Close the context
 DBMS_XMLSTORE.closeContext(insCtx);
END;
2 rows inserted.
PL/SQL procedure successfully completed.
SELECT employee_id AS EMP_ID, salary, hire_date, job_id, email,
last_name
 FROM employees WHERE department_id = 30;
EMP ID
          SALARY HIRE_DATE JOB_ID
                                       EMAIL
                                                   LAST NAME
```

114	11000	07-DEC-94	PU_MAN	DRAPHEAL	Raphaely
115	3100	18-MAY-95	PU_CLERK	AKHOO	Khoo
116	2900	24-DEC-97	PU_CLERK	SBAIDA	Baida
117	2800	24-JUL-97	PU_CLERK	STOBIAS	Tobias
118	2600	15-NOV-98	PU_CLERK	GHIMURO	Himuro
119	2500	10-AUG-99	PU_CLERK	KCOLMENA	Colmenares
920	1800	17-DEC-02	ST_CLERK	STRAUSS	Strauss
921	2000	31-DEC-04	ST_CLERK	<b>EJONES</b>	Jones

8 rows selected.

## 12.3 Updating XML Data Using DBMS\_XMLSTORE

You can use PL/SQL package DBMS\_XMLSTORE to update (modify) existing data. You specify which rows to update by calling procedure setKeyColumn once for *each* of the columns that are used collectively to identify the row. (In SQL, you would specify the rows using a WHERE clause in an UPDATE statement.)

You can think of this set of columns as acting like a set of key columns: *together*, they specify a unique row to be updated. However, the columns that you use (with setKeyColumn) need not be keys of the table — as long as they uniquely specify a row, they can be used with calls to setKeyColumn.

Example 12-2 uses DBM\_XMLSTORE to update information. Assuming that the first name for employee number 188 is incorrectly recorded as Kelly, this example corrects that first name to Pat. Since column employee\_id is a primary key for table employees, a single call to setKeyColumn specifying column employee\_id is sufficient to identify a unique row for updating.

The following UPDATE statement is equivalent to the use of DBM\_XMLSTORE in Example 12-2:

```
UPDATE hr.employees SET first name = 'Pat' WHERE employee id = 188;
```

#### Example 12-2 Updating Data with Key Columns



```
BEGIN
   updCtx := DBMS_XMLSTORE.newContext('HR.EMPLOYEES'); -- get the
  DBMS_XMLSTORE.clearUpdateColumnList(updCtx); -- clear update
settings
   -- Specify that column employee_id is a "key" to identify the row to
update.
  DBMS_XMLSTORE.setKeyColumn(updCtx, 'EMPLOYEE_ID');
  rows := DBMS_XMLSTORE.updateXML(updCtx, xmlDoc);
                                                       -- update the
                                                      -- close the
  DBMS_XMLSTORE.closeContext(updCtx);
context
END;
SELECT employee_id, first_name FROM employees WHERE employee_id = 188;
EMPLOYEE ID FIRST NAME
       188 Pat
1 row selected.
```

## 12.4 Deleting XML Data Using DBMS\_XMLSTORE

You can use PL/SQL package DBMS\_XMLSTORE to delete existing data. You specify which rows to delete by calling procedure setKeyColumn once for *each* of the columns that are used collectively to identify the row. (In SQL, you would specify the rows using a WHERE clause in an UPDATE statement.)

#### Example 12-3 DBMS\_XMLSTORE.DELETEXML Example

```
SELECT employee_id FROM employees WHERE employee_id = 188;
EMPLOYEE_ID
        188
1 row selected.
DECLARE
 delCtx DBMS_XMLSTORE.ctxType;
 rows NUMBER;
 xmlDoc CLOB :=
    '<ROWSET>
       <ROW>
         <EMPLOYEE_ID>188</EMPLOYEE ID>
         <DEPARTMENT_ID>50</DEPARTMENT_ID>
       </ROW>
     </ROWSET>';
BEGIN
 delCtx := DBMS_XMLSTORE.newContext('HR.EMPLOYEES');
 DBMS_XMLSTORE.setKeyColumn(delCtx, 'EMPLOYEE_ID');
```



```
rows := DBMS_XMLSTORE.deleteXML(delCtx, xmlDoc);
DBMS_XMLSTORE.closeContext(delCtx);
END;
/
SELECT employee_id FROM employees WHERE employee_id = 188;
no rows selected.
```



# Java DOM API for XMLType

The Java DOM API for XMLType lets you operate on XMLType instances using a DOM. You can use it to manipulate XML data in Java, including fetching it through Java Database Connectivity (JDBC).

#### Overview of Java DOM API for XMLType

Oracle XML DB supports the Java Document Object Model (DOM) Application Program Interface (API) for XMLType. This is a generic API for client and server, for both XML Schema-based and non-schema-based documents.

#### Access to XMLType Data Using JDBC

Java Database Connectivity (JDBC) is a SQL-based way for Java applications to access any data in Oracle Database, including XML documents in Oracle XML DB.

#### Manipulating XML Database Documents Using JDBC

You can update, insert, and delete XMLType data stored in the database using Java Database Connectivity (JDBC) with Oracle XML DB.

#### Loading a Large XML Document into the Database Using JDBC

To load a large XML document into the database using Java Database Connectivity (JDBC), use a Java CLOB object to hold the document, and use Java method insertXML() to perform the insertion.

 MS Windows Java Security Manager Permissions for Java DOM API with a Thick Connection

If you use Java Security Manager (class SecurityManager) on MS Windows to implement a security policy for your application, then you must add certain permissions to your security policy file, in order to use the Java DOM API for XMLType with a thick connection.

#### Creating XML Schema-Based Documents

To create XML Schema-based documents, Java DOM API for XMLType uses an extension to specify which XML schema URL to use. It also verifies that the DOM being created conforms to the specified XML schema, that is, that the appropriate children are being inserted under the appropriate documents.

#### XMLType Instance Representation in Java (JDBC or SQLJ)

An XMLType instance is represented in Java by oracle.xdb.XMLType. When an instance of XMLType is fetched using JDBC or a SQLJ client, it is automatically manifested as an object of the provided XMLType class.

#### Classes of Java DOM API for XMLType

Oracle XML DB supports the W3C DOM Level 2 Recommendation. It also provides Oracle-specific extensions, to facilitate interfacing your application with Oracle XML Developer's Kit for Java. The Java DOM API for XMLType provides classes that implement W3C DOM interfaces.

#### Using the Java DOM API for XMLType

Retrieve data from an XMLType table or column and obtain a Java XMLDocument instance from it. Manipulate elements of the DOM tree for the data using the Java DOM API for XMLType.

Large XML Node Handling with Java

Oracle XML DB provides abstract streams and stream-manipulation methods that you can use to handle XML nodes that are larger than 64 K bytes. Use Java classes XMLNode and XMLAttr, together with a thick or kprb connection, to manipulate large nodes.

Using the Java DOM API and JDBC with Binary XML
 You can use the Java DOM API for XML and Java Database Connectivity (JDBC) to read or write XML data that is encoded as binary XML from or to Oracle XML DB. Doing so involves the usual read and write procedures.

#### **Related Topics**

Using XQuery with XQJ to Access Database Data
 XQuery API for Java (XQJ), also known as JSR-225, provides an industry standard way for Java programs to access XML data using XQuery. It lets you
 evaluate XQuery expressions against XML data sources and process the results
 as XML data.

## 13.1 Overview of Java DOM API for XMLType

Oracle XML DB supports the Java Document Object Model (DOM) Application Program Interface (API) for XMLType. This is a generic API for client and server, for both XML Schema-based and non-schema-based documents.

DOM is a tree-based object representation of XML documents in dynamic memory that enables programmatic access to their elements and attributes. The DOM object and interface are part of a W3C recommendation. As discussed in PL/SQL APIs for XMLType, the Oracle XML DB DOM APIs are compliant with the W3C DOM Level 1.0 and Level 2.0 Core Recommendation.

The Java DOM API for XMLType handles all well-formed XML documents stored in Oracle XML DB. It presents a uniform view of an XML document, whether it is XML Schema-based or non-schema-based and whatever the underlying XMLType storage model. The Java DOM API works on both client and server.

The Java DOM API for XMLType can be used to construct an XMLType instance from data encoded in different character sets.

You can use the Java DOM API for XMLType to access XML documents stored in Oracle XML DB Repository from Java applications. Naming conforms to the Java binding for DOM as specified by the W3C DOM Recommendation. The repository can contain both XML schema-based and non-schema-based documents.

To access XMLType data using JDBC, use the class oracle.xdb.XMLType.

The Java DOM API for XMLType is implemented using Java package oracle.xml.parser.v2.



Oracle Database XML Java API Reference



## 13.2 Access to XMLType Data Using JDBC

Java Database Connectivity (JDBC) is a SQL-based way for Java applications to access any data in Oracle Database, including XML documents in Oracle XML DB.

You use Java class <code>oracle.xdb.XMLType</code> or Java interface <code>java.sql.SQLXML</code> to create XML data.

The JDBC 4.0 standard data type for XML data is <code>java.sql.SQLXML</code>. Method <code>getObject()</code> returns an object of type <code>oracle.xdb.XMLType</code>. Starting with Oracle Database 11g Release 2 (11.2.0.3), <code>oracle.xdb.XMLType</code> implements interface <code>java.sql.SQLXML</code>.

Using JDBC to Access XML Documents in Oracle XML DB
 JDBC users can query an XMLType table to obtain a JDBC XMLType interface that supports all SQL/XML functions supported by SQL data type XMLType. The Java (JDBC) API for XMLType interface can implement the DOM document interface.

### 13.2.1 Using JDBC to Access XML Documents in Oracle XML DB

JDBC users can query an XMLType table to obtain a JDBC XMLType interface that supports all SQL/XML functions supported by SQL data type XMLType. The Java (JDBC) API for XMLType interface can implement the DOM document interface.

**Example 13-1** illustrates how to use JDBC to query an XMLType table.

You can select XMLType data using JDBC in any of these ways:

- Use SQL/XML function XMLSerialize in SQL, and obtain the result as an oracle.jdbc.OracleClob or java.lang.String instance in Java. The Java snippet in Example 13-2 illustrates this.
- Call method getSQLXML() in the ResultSet to obtain the whole SQLXML instance. The return value of this method is of type java.sql.SQLXML. Then you can use Java methods in interface SQLXML to access the data. Example 13-3 shows how to do this.

Example 13-3 shows the use of method getObject() to directly obtain an XMLType instance from ResultSet.

**Example 13-4** shows how to bind an output parameter of type XMLType to a SQL statement. The output parameter is registered as having data type XMLType.

#### Example 13-1 Querying an XMLType Table Using JDBC

```
PreparedStatement statement = connection.prepareStatement(
   "SELECT e.poDoc FROM po_xml_tab e");

ResultSet resultSet = statement.executeQuery();

while(resultSet.next())
{
   // Get result as SQLXML data.
   // Use that to get a DomSource instance.
   SQLXML sqlXml = resultSet.getSQLXML(1);
   DomSource source = sqlXml.getSource(DOMSource.class);
```



```
// Get document from the DomSource instance as a DOM node.
Document document = (Document) source.getNode();

// Use the document object
...
}
```

#### Example 13-2 Selecting XMLType Data Using getString() and getCLOB()

```
PreparedStatement statement = connection.prepareStatement(
   "SELECT XMLSerialize(DOCUMENT e.poDoc AS CLOB) poDoc, " +
   "XMLSerialize(DOCUMENT e.poDoc AS VARCHAR2(2000)) poString " +
   "FROM po_xml_tab e");

ResultSet resultSet = statement.executeQuery();
while(resultSet.next())
{
   // The first result is an OracleClob instance
   OracleClob clob = resultSet.getClob(1));

   // The second result is a String instance
   String poString = resultSet.getString(2);

   // Use clob and poString
   ...
}
```

#### Example 13-3 Returning XMLType Data Using getSQLXML()

#### Example 13-4 Returning XMLType Data Using an Output Parameter

```
public void doCall (String[] args) throws Exception
{
    // CREATE OR REPLACE FUNCTION getPurchaseOrder(reference VARCHAR2)
    // RETURN XMLTYPE
```



```
// AS
   xml XMLTYPE;
// BEGIN
//
     SELECT OBJECT_VALUE INTO xml
//
       FROM purchaseorder
        WHERE XMLCast(
//
                XMLQuery('$p/PurchaseOrder/Reference'
//
                         PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)
//
                AS VARCHAR2(30))
              = reference;
//
        RETURN xml;
//
   END;
String SQLTEXT = "{? = call getPurchaseOrder('BLAKE-2002100912333601PDT')}";
super.doSomething(args);
createConnection();
try
  System.out.println("SQL := " + SQLTEXT);
  CallableStatement sqlStatement = getConnection().prepareCall(SQLTEXT);
  sqlStatement.registerOutParameter (1, java.sql.Types.SQLXML);
  sqlStatement.execute();
  SQLXML sqlXml = sqlStatement.getSQLXML(1);
  System.out.println(sqlXml.getString());
catch (SQLException exception)
  if (sqlStatement != null)
    sqlStatement.close();
   throw exception;
```

## 13.3 Manipulating XML Database Documents Using JDBC

You can update, insert, and delete  $\mathtt{XMLType}$  data stored in the database using Java Database Connectivity (JDBC) with Oracle XML DB.



XMLType method transform() works only with the OCI driver.

Not all <code>oracle.xdb.XMLType</code> functions are supported by the thin JDBC driver. If you do not use <code>oracle.xdb.XMLType</code> classes and the OCI driver, you could lose performance benefits associated with the intelligent handling of XML.

You can update, insert, or delete XMLType data in either of these ways:

- Bind a string to an INSERT, UPDATE, or DELETE statement, and use the XMLType constructor inside SQL to construct the XML instance. Example 13-5 illustrates this.
- Use setSQLXML() in a PreparedStatement instance to set an entire XMLType instance. Example 13-6 illustrates this.

When selecting SQLXML values, JDBC describes the column as SQLXML. You can select the column type name and compare it with SQLXML to see whether you are dealing with a SQLXML instance. Example 13-7 illustrates this.

Example 13-8 updates element discount inside element PurchaseOrder stored in an XMLType column. It uses JDBC and SQLXML. It uses the XML parser to update a DOM tree and write the updated XML value to the XMLType column.

Example 13-9 shows the updated purchase order that results from Example 13-8.

## Example 13-5 Updating an XMLType Column Using SQL Constructor XMLType and Java String

```
PreparedStatement statement =
    connection.prepareStatement(
        "UPDATE po_xml_tab SET poDoc = XMLType(?)");

String poString = "<PO><PONO>200</PONO><PNAME>PO_2</PNAME></PO>";

// Bind the string
statement.setString(1,poString);
statement.execute();
```

#### Example 13-6 Updating an XMLType Column Using SQLXML

```
PreparedStatement statement =
        connection.prepareStatement("UPDATE po_xml_tab SET poDoc = ?");
String xmlString = "<PO><PONO>200</PONO><PNAME>PO_2</PNAME></PO>";
SQLXML sqlXml = connection.createSQLXML();
sqlXml.setString(xmlString);

// Bind the SQLXML
statement.setSQLXML(1, sqlXml);
statement.execute();
```

#### Example 13-7 Retrieving Metadata About an XMLType Column Using JDBC

```
PreparedStatement statement =
    connection.prepareStatement("SELECT poDoc FROM po_xml_tab");
ResultSet resultSet = statement.executeQuery();

// Get the resultSet metadata
ResultSetMetaData mdata = (ResultSetMetaData)resultSet.getMetaData();

// The column type is SQLXML
if (mdata.getColumnType(1) == java.sql.Types.SQLXML)
{
```



```
// It is a SQLXML instance
}
```

#### Example 13-8 Updating an XMLType Column Using JDBC

```
public class UpdateXMLType
 static String gryStr =
   "SELECT x.poDoc from po_xml_tab x " +
   "WHERE XMLCast(XMLQuery('/PO/PONO/text()'" +
   " PASSING x.poDoc RETURNING CONTENT)" +
   " AS NUMBER)" +
   " = 200";
 static String updateXML(String xmlTypeStr)
   System.out.println("\n=======");
   System.out.println(xmlTypeStr);
   System.out.println("========");
   String outXML = null;
   try
     DOMParser parser = new DOMParser();
     parser.setValidationMode(false);
     parser.setPreserveWhitespace (true);
     parser.parse(new StringReader(xmlTypeStr));
     System.out.println("XML string is well-formed");
     XMLDocument document = parser.getDocument();
     NodeList nl = document.getElementsByTagName("DISCOUNT");
     for(int i=0;i<nl.getLength();i++)</pre>
       XMLElement discount = (XMLElement)nl.item(i);
       XMLNode textNode
                         = (XMLNode)discount.getFirstChild();
       textNode.setNodeValue("10");
     }
     StringWriter sw = new StringWriter();
     document.print(new PrintWriter(sw));
     outXML = sw.toString();
     //Print modified xml
     System.out.println("\n========");
     System.out.println("Updated PurchaseOrder:");
     System.out.println(outXML);
     System.out.println("=======");
   catch (Exception e)
     e.printStackTrace(System.out);
   return outXML;
```



```
public static void main(String args[]) throws Exception
  try
    PreparedStatement statement = connection.createStatement();
    ResultSet resultSet = statement.executeQuery(qryStr);
    while(orset.next())
      //retrieve PurchaseOrder xml document from database
      SQLXML sqlXml = resultSet.getSQLXML(1);
      //store this PurchaseOrder in po_xml_hist table
      statement = connection.prepareStatement(
                    "INSERT INTO po_xml_hist VALUES(?)");
      \verb|statement.setSQLXML(1,sqlXml);| // | bind | the | SQLXML | instance| \\
      statement.execute();
      //update "DISCOUNT" element
      String newXML = updateXML(sqlXml.getString());
      // create a new instance of an XMLtype from the updated value
      SQLXML sqlXml2 = connection.createSQLXML();
      sqlXml2.setString(newXml);
      // update PurchaseOrder xml document in database
      statement = connection.prepareStatement(
                     "UPDATE po_xml_tab x SET x.poDoc =? WHERE " +
                     "XMLCast(XMLQuery('/PO/PONO/text()'" +
                     " PASSING value(xmltab) RETURNING CONTENT)" +
                     " AS NUMBER)" +
                     "= 200");
      statement.setSQLXML(1, sqlXml2); // bind the XMLType instance
      statement.execute();
      connection.commit();
      System.out.println("PurchaseOrder 200 Updated!");
    //delete PurchaseOrder 1001
    statement.execute("DELETE FROM po xml x WHERE" +
                       "XMLCast(XMLQuery('/PurchaseOrder/PONO/text()'"
                      " PASSING value(xmltab) RETURNING CONTENT)" +
                       " AS NUMBER)" +
                       "= 1001");
    System.out.println("PurchaseOrder 1001 deleted!");
  catch(Exception e)
    e.printStackTrace(System.out);
```



}

#### **Example 13-9 Updated Purchase-Order Document**

```
<?xml version = "1.0"?>
<PurchaseOrder>
  <PONO>200</PONO>
 <CUSTOMER>
  <CUSTNO>2</CUSTNO>
   <CUSTNAME>John Nike</CUSTNAME>
   <ADDRESS>
   <STREET>323 College Drive</STREET>
   <CITY>Edison</CITY>
   <STATE>NJ</STATE>
   <ZIP>08820</ZIP>
   </ADDRESS>
  <PHONELIST>
   <VARCHAR2>609-555-1212
   <VARCHAR2>201-555-1212
  </PHONELIST>
 </CUSTOMER>
  <ORDERDATE>20-APR-97
 <SHIPDATE>20-MAY-97 12.00.00.000000 AM</SHIPDATE>
 <LINEITEMS>
  <LINEITEM TYP LineItemNo="1">
   <ITEM StockNo="1004">
    <PRICE>6750</PRICE>
    <TAXRATE>2</TAXRATE>
   </ITEM>
   <QUANTITY>1</QUANTITY>
   <DISCOUNT>10</DISCOUNT>
   </LINEITEM TYP>
   <LINEITEM TYP LineItemNo="2">
   <ITEM StockNo="1011">
    <PRICE>4500.23</PRICE>
    <TAXRATE>2</TAXRATE>
   </ITEM>
   <QUANTITY>2</QUANTITY>
   <DISCOUNT>10</DISCOUNT>
  </LINEITEM TYP>
 </LINEITEMS>
  <SHIPTOADDR>
  <STREET>55 Madison Ave</STREET>
  <CITY>Madison</CITY>
  <STATE>WI</STATE>
  <ZIP>53715</ZIP>
  </SHIPTOADDR>
</PurchaseOrder>
```



# 13.4 Loading a Large XML Document into the Database Using JDBC

To load a large XML document into the database using Java Database Connectivity (JDBC), use a Java CLOB object to hold the document, and use Java method insertXML() to perform the insertion.

If a large XML document (greater than 4000 characters, typically) is inserted into an XMLType table or column using a String object in JDBC, this run-time error occurs:

```
"java.sql.SQLException: Data size bigger than max size for this type"
```

This error can be avoided by using a Java <code>OracleClob</code> object to hold the large XML document. Example 13-10 shows code that uses this technique. It defines <code>XMLType</code> method <code>insertXML()</code>, which can be used to insert a large XML document into <code>XMLType</code> column <code>purchaseOrder</code> of table <code>poTable</code>. The same approach can be used for an <code>XMLType</code> table.

Method insertXML() uses an OracleClob object that contains the XML document. Interface OracleClob is a sub-interface of the standard JDBC interface java.sql.Clob. Method insertXML() binds the OracleClob object to a JDBC prepared statement, which inserts the data into the XMLType column.

The prerequisites for using insertXML() are as follows:

- Oracle Database, release 9.2.0.1 or later.
- The target database table. Execute the following SQL before running the example:
   CREATE TABLE poTable (purchaseOrder XMLType);

The formal parameters of XMLType method insertXML() are as follows:

- xmlString XML data to be inserted into the XMLType column
- connection database connection object (Oracle Connection Object)

Java method insertXML() calls method getCLOB() to create and return the CLOB object that holds the XML data. The formal parameters of method getCLOB(), which is defined in Example 13-11, are as follows:

- xmlString XML data to be inserted into the XMLType column
- connection database connection object (Oracle Connection Object)



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#### Example 13-10 Inserting an XMLType column using JDBC

```
private void insertXML(Connection connection, String xmlString)
{
   OracleClob clob = null;
   try
```



```
{
    String query = "INSERT INTO potable (purchaseOrder) VALUES (XMLType(?)) ";

    // Get the statement Object
    PreparedStatement statement = connection.prepareStatement(query);

    // Get the OracleClob instance from xmlString
    clob = getOracleClob(connection, xmlString);
    statement.setObject(1, clob);

    // Execute the prepared statement
    if (statement.executeUpdate () == 1)
    {
        System.out.println ("Successfully inserted a Purchase Order");
    }
} catch(Exception exp)
{
        exp.printStackTrace();
}
finally
{
        if(clob !=null)
            clob.close();
}
```

#### Example 13-11 Converting an XML String to an OracleClob Instance

```
private OracleClob getOracleClob(Connection connection, String xmlString) throws
SQLException
{
    OracleClob clob =(OracleClob) connection.createClob();
    clob.setString(1, xmlString);
    return clob;
}
```

# 13.5 MS Windows Java Security Manager Permissions for Java DOM API with a Thick Connection

If you use Java Security Manager (class <code>SecurityManager</code>) on MS Windows to implement a security policy for your application, then you must add certain permissions to your security policy file, in order to use the Java DOM API for <code>XMLType</code> with a thick connection.

Example 13-12 shows the contents of such a policy file, where the workspace folder that contains the jars related to Oracle XML DB is c:\myworkspace. (The policy file must be in the same folder.)

The libraries used in Example 13-12 are orageneric12 and oraxml12. The last two characters (12 here) must correspond to your major database release number (so for Oracle Database 13 Release 2, for example, you would use orageneric13 and oraxml13).



After you have created the policy file, you can invoke your program using the following command-line switches:

```
-Djava.security.manager=default -
Djava.security.policy=c:\myworkspace\ojdbc.policy
```

#### **Example 13-12 Policy File Granting Permissions for Java DOM API**

```
grant codeBase "file:c:\myworkspace" {
  permission java.lang.RuntimePermission "loadLibrary.orageneric12";
  permission java.lang.RuntimePermission "loadLibrary.oraxml12";
}

grant codeBase "file:c:\myworkspace\xdb6.jar" {
  permission java.lang.RuntimePermission "loadLibrary.orageneric12";
  permission java.lang.RuntimePermission "loadLibrary.oraxml12";
}

grant codeBase "file:c:\myworkspace\ojdbc6.jar" {
  permission java.lang.RuntimePermission "loadLibrary.orageneric12";
  permission java.lang.RuntimePermission "loadLibrary.orageneric12";
  permission java.lang.RuntimePermission "loadLibrary.oraxml12";
}
```

## 13.6 Creating XML Schema-Based Documents

To create XML Schema-based documents, Java DOM API for XMLType uses an extension to specify which XML schema URL to use. It also verifies that the DOM being created conforms to the specified XML schema, that is, that the appropriate children are being inserted under the appropriate documents.



The Java DOM API for XMLType does *not* perform type and constraint checks.

Once the DOM object has been created, it can be saved to Oracle XML DB Repository using the Oracle XML DB resource API for Java. The XML document is stored in the appropriate format:

- As a BLOB instance for non-schema-based documents.
- In the format specified by the XML schema for XML schema-based documents.

Example 13-13 shows how you can use the Java DOM API for XMLType to create a DOM object and store it in the format specified by the associated XML schema. Validation against the XML schema is not shown here.

#### Example 13-13 Creating a DOM Object with the Java DOM API

```
PreparedStatement statement =
  connection.prepareStatement(
    "update po_xml_XMLTypetab set poDoc = ? ");
```



```
String xmlString = "<PO><PONO>200</PONO><PNAME>PO_2</PNAME></PO>";

OracleClob clob = (OracleClob)connection.createClob();
clob.setString(1, xmlString);
SQLXML sqlXml = clob.toSQLXML();

DOMSource domSource = sqlXml.getSource(DOMSource.class);
Document document = (Document) domSource.getNode();
Element rootElem = document.createElement("PO");
document.insertBefore(document, rootElem, null);

SQLXML sqlXml2 = clob.toSQLXML();

DOMResult domResult = sqlXml2.setResult(DomResult.class);
domResult.setNode(document);
statement.setSQLXML(1, sqlXml2);
statement.execute();
```

# 13.7 XMLType Instance Representation in Java (JDBC or SQLJ)

An XMLType instance is represented in Java by oracle.xdb.XMLType. When an instance of XMLType is fetched using JDBC or a SQLJ client, it is automatically manifested as an object of the provided XMLType class.

You can bind objects of this class as values to Data Manipulation Language (DML) statements where an XMLType is expected.

## 13.8 Classes of Java DOM API for XMLType

Oracle XML DB supports the W3C DOM Level 2 Recommendation. It also provides Oracle-specific extensions, to facilitate interfacing your application with Oracle XML Developer's Kit for Java. The Java DOM API for XMLType provides classes that implement W3C DOM interfaces.

XMLDocument is a class that represents the DOM for the instantiated XML document. You can retrieve a SQLXML instance from a document and a connection object as follows:

```
SQLXML sqlXml = connection.createSQLXML();
DOMResult domResult = sqlXml.setResult(DOMResult.class);
domResult.setNode(document);
```

Table 13-1 lists the Java DOM API for XMLType classes and the W3C DOM interfaces they implement. The Java DOM API classes are in package oracle.xml.parser.v2.



Table 13-1 Java DOM API for XMLType: Classes

Java DOM API for XMLType Class	W3C DOM Interface Recommendation Class
XMLDocument	org.w3c.dom.Document
XMLCDATA	org.w3c.dom.CDataSection
XMLComment	org.w3c.dom.Comment
XMLPI	org.w3c.dom.ProcessingInstruction
XMLText	org.w3c.dom.Text
XMLEntity	org.w3c.dom.Entity
DTD	org.w3c.dom.DocumentType
XMLNotation	org.w3c.dom.Notation
XMLAttr	org.w3c.dom.Attribute
XMLDomImplementation	org.w3c.dom.DOMImplementation
XMLElement	org.w3c.dom.Element
XMLAttrList	org.w3c.dom.NamedNodeMap
XMLNode	org.w3c.dom.Node

#### See Also:

Oracle XML DB on OTN for Oracle extensions for interfacing an application with Oracle XML Developer's Kit for Java

## 13.9 Using the Java DOM API for XMLType

Retrieve data from an XMLType table or column and obtain a Java XMLDocument instance from it. Manipulate elements of the DOM tree for the data using the Java DOM API for XMLType.

The Java DOM API for XMLType lets you find and retrieve nodes within a document at any level. You can use it to create XML documents programmatically, including on the fly (dynamically). These documents can conform to a registered XML schema or

not. The Java API for XMLType conforms to the DOM 2.0 recommendation, and it is namespace-aware.

Figure 13-1 illustrates how to use the Java DOM API for XMLType. These are the steps:

- Retrieve the XML data from the XMLType table or XMLType column in the table.
   When you fetch XML data, Oracle creates a Document instance. You can then use method getNode() to retrieve an XMLDocument instance.
- Use the Java DOM API for XMLType to manipulate elements of the DOM tree. The XMLType instance holds the modified data, but the data is sent back using a JDBC update.

The XMLType and XMLDocument instances should be closed using method free() in the respective classes. This frees any underlying memory that is held.

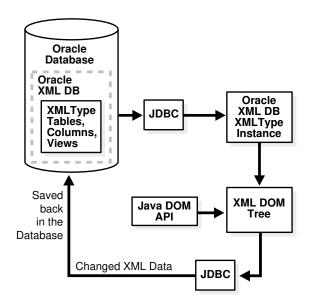


Figure 13-1 Using the Java DOM API for XMLType

## 13.10 Large XML Node Handling with Java

Oracle XML DB provides abstract streams and stream-manipulation methods that you can use to handle XML nodes that are larger than 64 K bytes. Use Java classes XMLNode and XMLAttr, together with a thick or kprb connection, to manipulate large nodes.



The large-node feature works only with a thick or kprb connection. It does not work with a thin connection.

<sup>&</sup>lt;sup>1</sup> This assumes that your XML data is pre-registered with an XML schema, and that it is stored in an XMLType column.



Prior to Oracle Database 11g Release 1 (11.1), each text node or attribute value processed by Oracle XML DB was limited in size to 64 K bytes. Starting with release 11.1, this restriction no longer applies.

The former restrictions on the size of nodes were because the Java methods to set and get a node value supported only arguments of type <code>java.lang.String</code>. The maximum size of a string is dependent on the implementation of the Java VM, but it is bounded. Prior to Release 11.1, the Java DOM APIs to manage a node value, contained within class <code>oracle.xdb.dom.XDBNode.java</code>, were these:

```
public String getNodeValue ();
public void setNodeValue (String value);
```

Prior to Release 11.1, the Java DOM APIs to manage an attribute, contained within class oracle.xdb.dom.XDBAttribute.java, were these:

```
public String getValue ();
public void setValue (String value);
```

Package oracle.xdb.dom is deprecated, starting with Oracle Database 11g Release 1 (11.1). Java classes XDBNode and XDBAttribute in that package are replaced by classes XMLNode and XMLAttr, respectively, in package oracle.xml.parser.v2. In addition, these DOM APIs were extended in Release 11.1 to support text and binary node values of arbitrary size.

#### Stream Extensions to Java DOM

All Java String, Reader, and Writer data is represented in UCS2, which might be different from the database character set. Additionally, node character data is tagged with a character set id, which is set at the time the node value is populated.

#### **Related Topics**

Large Node Handling Using DBMS\_XMLDOM
 Oracle XML DB provides abstract streams and stream-manipulation methods that
 you can use to handle XML nodes that are larger than 64 K bytes.

#### 13.10.1 Stream Extensions to Java DOM

All Java String, Reader, and Writer data is represented in UCS2, which might be different from the database character set. Additionally, node character data is tagged with a character set id, which is set at the time the node value is populated.

The following methods of <code>oracle.xml.parser.v2.XMLNode.java</code> can be used to access nodes of size greater than 64 KB. These APIs throw exceptions if you try to get or set a node that is not a leaf node (attribute, <code>PI, CDATA</code>, and so on). Also, be sure to use <code>close()</code> which actually writes the value and frees resources used to maintain the state for streaming access to nodes.

#### Get-Pull Model

You can use methods getNodeValueAsBinaryStream() and getNodeValueAsCharacterStream() to retrieve the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.

#### · Get-Push Model

In this model, you retrieve the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.

#### Set-Pull Model

In this model, you set the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.

#### Set-Push Model

In this model, you set the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.

#### 13.10.1.1 Get-Pull Model

You can use methods getNodeValueAsBinaryStream() and getNodeValueAsCharacterStream() to retrieve the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.

For a binary input stream:

Method <code>getNodeValueAsBinaryStream()</code> returns an instance of <code>java.io.InputStream</code> that can be read using the defined methods for this class. The data type of the node must be <code>RAW</code> or <code>BLOB</code>. If not, an <code>IOException</code> is thrown. The following example fragment illustrates reading the value of a node in binary 50-byte segments:

```
oracle.xml.parser.v2.XMLNode node = null;
...
java.io.InputStream value = node.getNodeValueAsBinaryStream ();
// now read InputStream...
byte buffer [] = new byte [50];
int returnValue = 0;
while ((returnValue = value.read (buffer)) != -1)
{
    // process next 50 bytes of node
}
...
```

For a character input stream:

Method getNodeValueAsCharacterStream() returns an instance of java.io.Reader that can be read using the defined methods for this class. If the data type of the node is neither character nor CLOB, the node data is first converted to character. All node data is ultimately in character format and is converted to UCS2, if necessary.



The following example fragment illustrates reading the node value in segments of 50 characters:

```
oracle.xml.parser.v2.XMLNode node = null;
...
java.io.Reader value = node.getNodeValueAsCharacterStream ();
// now read InputStream
char buffer [] = new char [50];
int returnValue = 0;
while ((returnValue = value.read (buffer)) != -1)
{
    // process next 50 characters of node
}
...
```

#### 13.10.1.2 Get-Push Model

In this model, you retrieve the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.

For a binary output stream:

The state of the <code>java.io.OutputStream</code> specified by <code>pushValue</code> must be open. The data type of the node must be <code>RAW</code> or <code>BLOB</code>. If not, an <code>IOException</code> is thrown. The node binary data is written to <code>pushValue</code> using method <code>write()</code> of <code>OutputStream</code>, and method <code>close()</code> is called when the node value has been completely written to the stream.

For a character output stream:

The state of the <code>java.io.Writer</code> specified by <code>pushValue</code> must be open. If the data type of the node is neither character nor <code>CLOB</code>, then the data is first converted to character. The node data, always in character format, is converted, as necessary, to <code>UCS2</code> and then pushed into the <code>java.io.Writer</code>.

#### 13.10.1.3 Set-Pull Model

In this model, you set the value of a DOM node, using a parser that is in pull mode. Oracle XML DB reads the event data from an input stream written by the parser.



#### For a binary input stream:

The state of the <code>java.io.InputStream</code> specified by <code>pullValue</code> must be open. The data type of the node must be <code>RAW</code> or <code>BLOB</code>. If not, an <code>IOException</code> is thrown. The binary data from <code>pullValue</code> is read in its entirety using method <code>read()</code> of <code>InputStream</code> and replaces the node value.

```
import java.io.InputStream;
import oracle.xml.parser.*;
...
oracle.xml.parser.v2.XMLNode node = null;
...
byte [] buffer = new byte [500];
java.io.InputStream istream; //user-defined input stream node.setNodeValueAsBinaryStream (istream);
```

#### For a character input stream:

The state of the <code>java.io.Reader</code> specified by <code>pullValue</code> must be open. If the data type of the node is neither character nor <code>CLOB</code>, the character data is converted from <code>UCS2</code> to the node data type. If the data type of the node is character or <code>CLOB</code>, then the character data read from <code>pullValue</code> is converted from <code>UCS2</code> to the character set of the node.

#### 13.10.1.4 Set-Push Model

In this model, you set the value of a DOM node, using a parser that is in push mode. Oracle XML DB writes the node data to an output stream that the parser reads.

For a binary output stream:

Method setNodeValueAsBinaryStream() returns an instance of java.io.OutputStream, into which the caller can write the node value. The data type of the node must be RAW or BLOB. Otherwise, an IOException is raised. The following example fragment illustrates setting the value of a node to binary data by writing to the implementation of java.io.OutputStream provided by Oracle XML DB or Oracle XML Developer's Kit.



#### For a character output stream:

Method setNodeValueAsCharacterStream() returns an instance of java.io.Writer into which the caller can write the node value. The character data written is first converted from UCS2 to the node character set, if necessary. If the data type of the node is neither character nor CLOB, then the character data is converted to the node data type. Similarly, the following example fragment illustrates setting the value of a node to character data by writing to the implementation of java.io.Writer provided by Oracle XML DB or Oracle XML Developer's Kit.

```
import java.io.Writer;
import oracle.xml.parser.*;
...
oracle.xml.parser.v2.XMLNode node = null;
...
char [] buffer = new char [500];
java.io.Writer writer = node.setNodeValueAsCharacterStream ();
for (int k = 0; k < 10; k++)
{
   byte segment [] = new byte [50];
   // copy next subset of buffer into segment
   writer.write (segment);
}
writer.flush ();
writer.close();</pre>
```

Oracle XML DB creates a writer or OutputStream and passes it to the user who calls method write() repeatedly until the complete node value has been written. The new node value is reflected only when the user calls method close().

#### See Also:

- Oracle Database XML Java API Reference
- Oracle Database XML C API Reference for information about C functions for large nodes

## 13.11 Using the Java DOM API and JDBC with Binary XML

You can use the Java DOM API for XML and Java Database Connectivity (JDBC) to read or write XML data that is encoded as binary XML from or to Oracle XML DB. Doing so involves the usual read and write procedures.

XML data can be stored in Oracle XML DB using data type XMLType, and one of the storage models for this abstract data type is binary XML, a compact, XML Schema-aware encoding of XML data. You can use binary XML as a storage model for XMLType

in the database, but you can also use it for XML data located outside the database. Client-side processing of XML data can involve data stored in Oracle XML DB or transient data that resides outside the database.

Binary XML is XML Schema-aware and can use various encoding schemes, depending on your needs and your data. Because of this, in order to manipulate binary XML data, you must have both the data and this metadata about the relevant XML schemas and encodings.

For XMLType data stored in the database, this metadata is also stored in the database. However, depending on how your database and data are set up, the metadata might not be on the same server as the data it applies to. If this is the case, then, before you can read or write binary XML data from or to the database, you must carry out these steps:

- Create a context instance for the metadata.
- 2. Associate this context with a data connection that you use to access binary XML data in the database. A data connection can be a dedicated connection or a connection pool. You use methods getDedicatedConn() and getConnPool() in class java.sql.Connection to obtain handles to these two types of connection, respectively.

Then, when your application needs to encode or decode binary XML data on the data connection, it automatically fetches the metadata needed for that. The overall sequence of actions is thus as follows:

- 1. Create an XML data connection object, in class java.sql.Connection.
- 2. Create one or more metadata contexts, as needed, using method BinXMLMetadataProviderFactory.createDBMetadataProvider() in package oracle.xml.binxml. A metadata context is sometimes referred to as a metadata repository. You can create a metadata context from a dedicated connection or from a connection pool.
- 3. Associate the metadata context(s) with the binary XML data connection(s). Use method DBBinXMLMetadataProvider.associateDataConnection() in package oracle.xml.binxml to do this.
- 4. (Optional) If the XML data originated outside of the database, use method oracle.xdb.XMLType.setFormatPref() to specify that XML data to be sent to the database be encoded in the binary XML format. This applies to a DOM document (class oracle.xdb.XMLType). If you do not specify binary XML, the data is sent to the database as text.
- 5. Use the usual Java methods to read and write XML data from and to the database. Whenever it is needed for encoding or decoding binary XML documents, the necessary metadata is fetched automatically using the metadata context.

Use the Java DOM API for XML to operate on the XML data at the client level.

Example 13-14 illustrates this.



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#### Example 13-14 Using the Java DOM API with a Binary XML Column

```
class PrintBinaryXML
 public static void printBinXML() throws SQLException, BinXMLException
    // Create datasource to connect to local database
    OracleDataSource ods = new OracleDataSource();
    ods.setURL("jdbc:oracle:kprb");
    System.out.println("Starting Binary XML Java Example");
    // Create data connection
   Connection connection = ods.getConnection();
    // Create binary XML metadata context, using connection pool
   DBBinXMLMetadataProvider repos =
     BinXMLMetadataProviderFactory.createDBMetadataProvider();
    repos.setConnectionPool(ods);
    // Associate metadata context with data connection
    repos.associateDataConnection(connection);
    // Query XML data stored in SQLXML column as binary XML
    Statement statement = connection.createStatement();
   ResultSet resultSet = statement.executeQuery("SELECT doc FROM po_binxmltab");
    // Get the SQLXML object
   while (resultSet.next())
     SQLXML sqlXml = resultSet.getSQLXML(1);
      // Convert SQLXML to a String
     String xmlString = sqlXml.getString();
     System.out.println(xmlString);
   resultSet.close();
    statement.close();
    connection.close();
    System.out.println("Completed Binary XML Java Example");
```

#### **Related Topics**

XMLType Storage Models

XMLType is an abstract data type that provides different storage models to best fit your data and your use of it. As an abstract data type, your applications and database queries gain in flexibility: the same interface is available for all XMLType operations.



## C DOM API for XMLType

The C DOM API for XMLType lets you operate on XMLType instances using a DOM in C.

- Overview of the C DOM API for XMLType
   The C DOM API for XMLType is a DOM API that is used for Oracle XML
   Developer's Kit (XDK) and Oracle XML DB. You can use it for XML data that
   is inside or outside the database.
- Access to XMLType Data Stored in the Database Using OCI
   Oracle XML DB provides support for storing and manipulating XML instances
   using abstract data type XMLType. These XML instances can be accessed and
   manipulated on the client side using the Oracle Call Interface (OCI) in conjunction
   with the C DOM API for XML.
- Creating XMLType Instances on the Client
   You can construct new XMLType instances on the client side using the C DOM API
   methods XMLCreateDocument() and XmlLoadDom().
- XML Context Parameter for C DOM API Functions
   An XML context is a required parameter for all the C DOM API functions. This opaque context encapsulates information about the data encoding, the error message language, and so on. The contents of the context are different for Oracle XML Developer's Kit applications and Oracle XML DB.
- Initializing and Terminating an XML Context
  An example illustrates a C program that uses the C DOM API to construct an XML document and save it to Oracle Database.
- Using the C API for XML with Binary XML
   You can use the C API for XML to read or write XML data that is encoded as
   binary XML from or to Oracle XML DB. Doing so involves the usual read and write
   procedures.
- Using the Oracle XML Developer's Kit Pull Parser with Oracle XML DB
   You can use the Oracle XML Developer's Kit pull parser with XMLType instances in
   Oracle XML DB. When you use this parser, parsing is done on demand, so your
   application drives the parsing process.
- Common XMLType Operations in C
   Common XML operations are provided by the C API for XML.

## 14.1 Overview of the C DOM API for XMLType

The C DOM API for XMLType is a DOM API that is used for Oracle XML Developer's Kit (XDK) and Oracle XML DB. You can use it for XML data that is inside or outside the database.

DOM refers to compliance with the World Wide Web Consortium (W3C) DOM 2.0 Recommendation.

The C DOM API for  $\mathtt{XMLType}$  also includes performance-improving extensions that you can use in XDK for traditional storage of XML data, or in Oracle XML DB for storage as an  $\mathtt{XMLType}$  column in a table.



C DOM functions from releases prior to Oracle Database 10g Release 1 are supported only for backward compatibility.

The C DOM API for XMLType is implemented on XMLType in Oracle XML DB. In the W3C DOM Recommendation, the term "document" is used in a broad sense (URI, file system, memory buffer, standard input and output). The C DOM API for XMLType is a combined programming interface that includes all of the functionality needed by Oracle XML Developer's Kit and Oracle XML DB applications. It provides XSLT and XML Schema implementations. Although the DOM 2.0 Recommendation was followed closely, some naming changes were required for mapping from the objected-oriented DOM 2.0 Recommendation to the flat C namespace. For example, method getName() was renamed to getAttrName().

The C DOM API for XMLType supersedes older Oracle APIs. In particular, the <code>oraxml</code> interface (top-level, DOM, SAX, and XSLT) and <code>oraxsd.h</code> (Schema) interfaces will be deprecated in a future release.

The reference documentation for the C and C++ Application Programming Interfaces (APIs) that you can use to manipulate XML data is *Oracle Database XML C API Reference*, and *Oracle Database XML C++ API Reference*.

#### See Also:

- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL APIs for XML
- Oracle Database XML Java API Reference for information about Java APIs for XML

# 14.2 Access to XMLType Data Stored in the Database Using OCI

Oracle XML DB provides support for storing and manipulating XML instances using abstract data type XMLType. These XML instances can be accessed and manipulated on the client side using the Oracle Call Interface (OCI) in conjunction with the C DOM API for XML.

You can bind and define XMLType values using the C DOM structure xmldocnode. This structure can be used for binding, defining and operating on XML values in OCI statements. You can use OCI statements to select XML data from the server, which you can then use with C DOM API functions. Similarly, values can be bound back to SQL statements directly.



The main flow for an application program involves initializing the usual OCI handles, such as server handle and statement handle, and then initializing an XML context parameter. You can then either operate on XML instances in the database or create new instances on the client side. The initialized XML context can be used with all of the C DOM functions.

#### **Related Topics**

XML Context Parameter for C DOM API Functions

An *XML context* is a required parameter for all the C DOM API functions. This opaque context encapsulates information about the data encoding, the error message language, and so on. The contents of the context are different for Oracle XML Developer's Kit applications and Oracle XML DB.

## 14.3 Creating XMLType Instances on the Client

You can construct new XMLType instances on the client side using the C DOM API methods XMLCreateDocument() and XmlLoadDom().

You can construct empty XMLType instances using XMLCreateDocument(). This is similar to using OCIObjectNew() for other types.

You construct a non-empty XMLType instance using XmlLoadDom(), as follows:

- 1. Initialize the xmlctx as in Example 14-1.
- 2. Construct the XML data from a user buffer, local file, or URI. The return value, a (xmldocnode\*), can be used in the rest of the common C API.
- 3. If required, you can cast (xmldocnode \*) to (void\*) and provide it directly as the bind value.

## 14.4 XML Context Parameter for C DOM API Functions

An *XML context* is a required parameter for all the C DOM API functions. This opaque context encapsulates information about the data encoding, the error message language, and so on. The contents of the context are different for Oracle XML Developer's Kit applications and Oracle XML DB.

For Oracle XML DB, OCI functions <code>OCIXmlDbInitXmlCtx()</code> and <code>OCIXmlDbFreeXmlCtx()</code>, respectively, initialize and terminate an XML context.

- OCIXmlDbInitXmlCtx() Syntax
   OCI function OCIXmlDbInitXmlCtx() initializes an XML context.
- OCIXmlDbFreeXmlCtx() Syntax
   OCI function OCIXmlDbFreeXmlCtx() terminates an XML context.

## 14.4.1 OCIXmlDbInitXmlCtx() Syntax

OCI function OCIXmlDbInitXmlCtx()initializes an XML context.

The syntax of OCIXmlDbInitXmlCtx() is as follows:



```
OCIError *errhp,
ocixmldbparam *params,
ub4 num_params);
```

Table 14-1 describes the parameters.

Table 14-1 OCIXmIDbInitXMICtx() Parameters

Parameter	Description
envhp (IN)	The OCI environment handle.
svchp (IN)	The OCI service handle.
errhp (IN)	The OCI error handle.
params (IN)	An array of optional values:  OCI duration. Default value is OCI_DURATION_SESSION.  Error handler, which is a user-registered callback:  void (*err_handler) (sword errcode,
num_params (IN)	Number of parameters to be read from params.

### 14.4.2 OCIXmlDbFreeXmlCtx() Syntax

OCI function OCIXmlDbFreeXmlCtx() terminates an XML context.

The syntax of  $\mathtt{OCIXmlDbFreeXmlCtx}()$  is as follows, where parameter  $\mathtt{xctx}$  (IN) is the XML context to terminate.:

void OCIXmlDbFreeXmlCtx (xmlctx \*xctx);

## 14.5 Initializing and Terminating an XML Context

An example illustrates a C program that uses the C DOM API to construct an XML document and save it to Oracle Database.

Example 14-1 shows this. The document constructed is stored in table  $my_table$ . OCI functions OCIXmlDbInitXmlCtx() and OCIXmlDbFreeXmlCtx() are used to initialize and terminate the XML context, respectively. These functions are defined in header file ocixmldb.h.

The code uses helper functions <code>exec\_bind\_xml</code>, <code>init\_oci\_handles</code>, and <code>free\_oci\_handles</code>, which are not listed here. The complete listing of this example, including the helper functions, can be found in Oracle-Supplied XML Schemas and Examples, Initializing and Terminating an XML Context (OCI).

The C code in Example 14-1 assumes that the following SQL code has first been executed to create table my\_table in database schema capiuser:

CONNECT CAPIUSER
Enter password: password



Connected.

CREATE TABLE my\_table OF XMLType;

Example 14-4 queries table  $my_table$  to show the data that was inserted by Example 14-1.

#### Example 14-1 Using OCIXMLDBINITXMLCTX() and OCIXMLDBFREEXMLCTX()

```
#ifndef S_ORACLE
#endif
#ifndef ORATYPES_ORACLE
#include <oratypes.h>
#endif
#ifndef XML_ORACLE
#include <xml.h>
#endif
#ifndef OCIXML_ORACLE
#include <ocixml.h>
#endif
#ifndef OCI_ORACLE
#include <oci.h>
#endif
#include <string.h>
typedef struct test_ctx {
        OCIEnv *envhp;
        OCIError *errhp;
        OCISvcCtx *svchp;
        OCIStmt *stmthp;
        OCIServer *srvhp;
        OCIDuration dur;
        OCISession *sesshp;
        oratext *username;
        oratext *password;
} test_ctx;
/* Helper function 1: execute a sql statement which binds xml data */
static sword exec_bind_xml(OCISvcCtx *svchp,
                           OCIError *errhp,
                           OCIStmt *stmthp,
                           void *xml,
                           OCIType *xmltdo,
                           OraText *sqlstmt);
/* Helper function 2: Initialize OCI handles and connect */
static sword init_oci_handles(test_ctx *ctx);
/* Helper function 3: Free OCI handles and disconnect */
static sword free_oci_handles(test_ctx *ctx);
void main()
  test_ctx temp_ctx;
  test_ctx *ctx = &temp_ctx;
```

```
OCIType *xmltdo = (OCIType *) 0;
xmldocnode *doc = (xmldocnode *)0;
ocixmldbparam params[1];
xmlnode *quux, *foo, *foo_data, *top;
xmlerr err;
sword status = 0;
xmlctx *xctx;
oratext ins_stmt[] = "insert into my_table values (:1)";
oratext tlpxml_test_sch[] = "<TOP/>";
ctx->username = (oratext *)"capiuser";
ctx->password = (oratext *)"********"; /* Replace with real password */
/* Initialize envhp, svchp, errhp, dur, stmthp */
init_oci_handles(ctx);
/* Get an xml context */
params[0].name_ocixmldbparam = XCTXINIT_OCIDUR;
params[0].value ocixmldbparam = &ctx->dur;
xctx = OCIXmlDbInitXmlCtx(ctx->envhp, ctx->svchp, ctx->errhp, params, 1);
/st Start processing - first, check that this DOM supports XML 1.0 */
printf("\n\nSupports XML 1.0? : %s\n",
       XmlHasFeature(xctx, (oratext *) "xml", (oratext *) "1.0") ?
       "YES" : "NO");
/* Parse a document */
if (!(doc = XmlLoadDom(xctx, &err, "buffer", tlpxml_test_sch,
                       "buffer_length", sizeof(tlpxml_test_sch)-1,
                       "validate", TRUE, NULL)))
 printf("Parse failed, code %d\n", err);
else
  /* Get the document element */
  top = (xmlnode *)XmlDomGetDocElem(xctx, doc);
  /* Print out the top element */
  printf("\n\nOriginal top element is :\n");
  XmlSaveDom(xctx, &err, top, "stdio", stdout, NULL);
  /* Print out the document. The changes are reflected here */
  printf("\n\nOriginal document is :\n");
  XmlSaveDom(xctx, &err, (xmlnode *)doc, "stdio", stdout, NULL);
  /* Create some elements and add them to the document */
  quux = (xmlnode *) XmlDomCreateElem(xctx ,doc, (oratext *) "QUUX");
  foo = (xmlnode *) XmlDomCreateElem(xctx, doc, (oratext *) "FOO");
  foo_data = (xmlnode *) XmlDomCreateText(xctx, doc, (oratext *) "data");
  foo_data = XmlDomAppendChild(xctx, (xmlnode *) foo, (xmlnode *) foo_data);
  foo = XmlDomAppendChild(xctx, quux, foo);
  quux = XmlDomAppendChild(xctx, top, quux);
  /* Print out the top element */
```

```
printf("\n\nw the top element is :\n");
  XmlSaveDom(xctx, &err, top, "stdio", stdout, NULL);
  /* Print out the document. The changes are reflected here */
  printf("\n\nNow the document is :\n");
  XmlSaveDom(xctx, &err, (xmlnode *)doc, "stdio", stdout, NULL);
  /* Insert the document into my_table */
  status = OCITypeByName(ctx->envhp, ctx->errhp, ctx->svchp,
                         (const text *) "SYS", (ub4) strlen((char *)"SYS"),
                         (const text *) "XMLTYPE",
                         (ub4) strlen((char *)"XMLTYPE"), (CONST text *) 0,
                         (ub4) 0, OCI_DURATION_SESSION, OCI_TYPEGET_HEADER,
                         (OCIType **) &xmltdo);
  if (status == OCI_SUCCESS)
    exec_bind_xml(ctx->svchp, ctx->errhp, ctx->stmthp, (void *)doc, xmltdo,
                  ins_stmt);
/* Free xml ctx */
OCIXmlDbFreeXmlCtx(xctx);
/* Free envhp, svchp, errhp, stmthp */
free_oci_handles(ctx);
```

The output from compiling and running this C program is as follows:

```
Supports XML 1.0? : YES
Original top element is :
<TOP/>
Original document is :
<TOP/>
Now the top element is :
<TOP>
  <QUUX>
   <F00>data</F00>
  </QUUX>
</TOP>
Now the document is :
<TOP>
   <F00>data</F00>
 </OUUX>
</TOP>
```

This is the result of querying the constructed document in my\_table:

```
SELECT * FROM my_table;
SYS_NC_ROWINFO$
-----------------
```



```
<QUUX>
<F00>data</F00>
</QUUX>
</TOP>
```

1 row selected.

## 14.6 Using the C API for XML with Binary XML

You can use the C API for XML to read or write XML data that is encoded as binary XML from or to Oracle XML DB. Doing so involves the usual read and write procedures.

Binary XML is a compact, XML Schema-aware encoding of XML data. You can use binary XML as a storage model for XMLType data in the database, but you can also use it for XML data located outside the database.

Binary XML data is XML Schema-aware, and it can use various encoding schemes, depending on your needs. In order to manipulate binary XML data, you must have both the data and this metadata about the relevant XML schemas and encodings.

For XMLType data stored in the database, this metadata is also stored in the database. However, depending on how your database and data are set up, the metadata might not be on the same server as the data it applies to. If this is the case, then, before you can read or write binary XML data from or to the database, you must carry out these steps:

- 1. Create a context instance for the metadata.
- 2. Associate this context with a data connection that you use to access binary XML data in the database. A data connection can be a dedicated connection (OCISvcCtx) or a connection pool (OCICPool).

Then, when your application needs to encode or decode binary XML data on the data connection, it automatically fetches the metadata needed for that. As is illustrated by Example 14-2, the overall sequence of actions is as follows:

- 1. Create the usual OCI handles for environment (OCIEnv), connection (OCISvcCtx), and error context (OCIError).
- 2. Create one or more metadata contexts, as needed. A metadata context is sometimes referred to as a metadata repository, and OCIBinXMLReposCtx is the OCI context data structure. You use OCIBinXMLCreateReposCtxFromConn to create a metadata context from a dedicated connection and OCIBinXMLCreateReposCtxFromCPool to create a context from a connection pool.
- 3. Associate the metadata context(s) with the binary XML data connection(s). You use OCIBinXmlSetReposCtxForConn to do this.
- 4. (Optional) If the XML data originated outside of the database, use setPicklePreference to specify that XML data to be sent to the database from now on is in binary XML format. This applies to a DOM document (xmldomdoc). If you do not specify binary XML, the data is stored as text (CLOB).
- 5. Use OCI libraries to read and write XML data from and to the database. Whenever it is needed for encoding or decoding binary XML documents, the necessary metadata is fetched automatically using the metadata context. Use the C DOM API for XML to operate on the XML data at the client level.



See Also:

Oracle XML Developer's Kit Programmer's Guide

#### Example 14-2 Using the C API for XML with Binary XML

```
/* Private types and constants */
#define SCHEMA (OraText *)"SYS"
#define TYPE
                    (OraText *)"XMLTYPE"
                    (OraText *)"oe"
#define USER
#define USER_LEN
                    (ub2)(strlen((char *)USER))
#define PWD
                    (OraText *)"oe"
#define PWD_LEN
                    (ub2)(strlen((char *)PWD))
                   1
#define NUM_PARAMS
static void checkerr(OCIError *errhp, sword status);
static sword create_env(OraText *user, ub2 user_len, OraText *pwd, ub2 pwd_len,
                       OCIEnv **envhp, OCISvcCtx **svchp, OCIError **errhp);
static sword run_example(OCIEnv *envhp, OCISvcCtx *svchp, OCIError *errhp,
                        OCIDuration dur);
static void cleanup(OCIEnv *envhp, OCISvcCtx *svchp, OCIError *errhp);
int main (int argc, char *argv[])
 OCIEnv
            *envhp;
 OCISvcCtx *svchp;
 OCIError *errhp;
 \verb|printf("*** Starting Binary XML Example program(n");\\
 if (create_env(USER, USER_LEN, PWD, PWD_LEN, &envhp, &svchp, &errhp))
     printf("FAILED: create_env()\n");
     cleanup(envhp, svchp, errhp);
     return OCI_ERROR;
  if (run_example(envhp, svchp, errhp, OCI_DURATION_SESSION))
     printf("FAILED: run_example()\n");
     cleanup(envhp, svchp, errhp);
     return OCI_ERROR;
 cleanup(envhp, svchp, errhp);
 printf ("*** Completed Binary XML example\n");
 return OCI_SUCCESS;
static sword create_env(OraText *user, ub2 user_len,
                       OraText *pwd, ub2 pwd_len,
                       OCIEnv **envhp, OCISvcCtx **svchp, OCIError **errhp)
 sword
 OCIServer *srvhp;
 OCISession *usrp;
 OCICPool *poolhp;
          *poolname;
 OraText
            poolnamelen;
 นb4
 OraText
            *database =(OraText *)"";
 OCIBinXmlReposCtx *rctx;
  /* Create and initialize environment. Allocate error handle. */
```

```
if ((status = OCIConnectionPoolCreate((dvoid *)*envhp, (dvoid*)*errhp,
                                        (dvoid *)poolhp, &poolname,
                                        (sb4 *)&poolnamelen,
                                        (OraText *)0,
                                        (sb4) 0, 1, 10, 1,
                                        (OraText *)USER,
                                        (sb4) USER_LEN,
                                        (OraText *)PWD,
                                        (sb4) PWD_LEN,
                                        OCI_DEFAULT)) != OCI_SUCCESS)
     printf ("OCIConnectionPoolCreate - Fail %d\n", status);
     return OCI ERROR;
  status = OCILogon2((OCIEnv *)*envhp, *errhp, svchp, (OraText *)USER,
                     (ub4)USER_LEN, (const oratext *)PWD, (ub4)PWD_LEN,
                     (const oratext *)poolname, poolnamelen, OCI_CPOOL);
  if (status)
     printf ("OCILogon2 - Fail %d\n", status);
     return OCI_ERROR;
  OCIBinXmlCreateReposCtxFromCPool(*envhp, poolhp, *errhp, &rctx);
  OCIBinXmlSetReposCtxForConn(*svchp, rctx);
 return OCI_SUCCESS;
static sword run_example(OCIEnv *envhp, OCISvcCtx *svchp, OCIError *errhp,
                         OCIDuration dur)
  OCIType
            *xmltdo = (OCIType *)0;
  OCIStmt
           *stmthp;
  OCIDefine *defnp;
 xmldocnode *xmldoc = (xmldocnode *)0;
  11h4
            xmlsize = 0;
  text
            *selstmt = (text *)"SELECT doc FROM po_binxmltab";
  sword
            status;
 struct xmlctx *xctx = (xmlctx *) 0;
  ocixmldbparam params[NUM_PARAMS];
  xmlerr xerr = (xmlerr) 0;
  /* Obtain type definition for XMLType. Allocate statement handle.
     Prepare SELECT statement. Define variable for XMLType. Execute statement. */
  /* Construct xmlctx for using XML C API */
 params[0].name_ocixmldbparam = XCTXINIT_OCIDUR;
 params[0].value_ocixmldbparam = &dur;
 xctx = OCIXmlDbInitXmlCtx(envhp, svchp, errhp, params, NUM_PARAMS);
  /* Print result to local string */
 XmlSaveDom(xctx, &xerr, (xmlnode *)xmldoc, "stdio", stdout, NULL);
  /* Free instances */
```

#### **Related Topics**

#### XMLType Storage Models

XMLType is an abstract data type that provides different storage models to best fit your data and your use of it. As an abstract data type, your applications and database queries gain in flexibility: the same interface is available for all XMLType operations.

# 14.7 Using the Oracle XML Developer's Kit Pull Parser with Oracle XML DB

You can use the Oracle XML Developer's Kit pull parser with XMLType instances in Oracle XML DB. When you use this parser, parsing is done on demand, so your application drives the parsing process.

Your application accesses an XML document through a sequence of events, with start tags, end tags, and comments, just as in Simple API for XML (SAX) parsing. However, unlike the case of SAX parsing, where parsing events are handled by callbacks, in pull parsing your application calls methods to ask for (pull) events only when it needs them. This gives the application more control over XML processing. In particular, filtering is more flexible with the pull parser than with the SAX parser.

You can also use the Oracle XML Developer's Kit pull parser to perform stream-based XML Schema validation.

Example 14-3 shows how to use the Oracle XML DB pull parser with an XMLType instance. To use the pull parser, you also need static library libxml10.a on UNIX and Linux systems or oraxml10.dll on Microsoft Windows systems. You also need header file xmlev.h.

#### See Also:

- Oracle XML Developer's Kit Programmer's Guide for information about the Oracle XML Developer's Kit pull parser
- Oracle XML Developer's Kit Programmer's Guide for information on using the pull parser for stream-based validation

#### Example 14-3 Using the Oracle XML DB Pull Parser

```
#define MAXBUFLEN 64*1024
void main()
 test_ctx temp_ctx;
 test_ctx *ctx = &temp_ctx;
 OCIType *xmltdo = (OCIType *) 0;
 ocixmldbparam params[1];
 sword status = 0;
 xmlctx *xctx;
 OCIDefine *defnp = (OCIDefine *) 0;
 oratext sel stmt[] =
    "SELECT XMLSerialize(DOCUMENT x.OBJECT_VALUE AS CLOB) FROM PURCHASEORDER x where rownum = 1";
 OCILobLocator *cob;
 ub4 amtp, nbytes;
 ub1 bufp[MAXBUFLEN];
 ctx->username = (oratext *)"oe";
 ctx->password = (oratext *)"************* /* Replace with real password */
  /* Initialize envhp, svchp, errhp, dur, stmthp */
 init_oci_handles(ctx);
```



```
/* Get an xml context */
params[0].name_ocixmldbparam = XCTXINIT_OCIDUR;
params[0].value_ocixmldbparam = &ctx->dur;
xctx = OCIXmlDbInitXmlCtx(ctx->envhp, ctx->svchp, ctx->errhp, params, 1);
/* Start processing */
printf("\n\nSupports XML 1.0? : %s\n",
       XmlHasFeature(xctx, (oratext *) "xml", (oratext *) "1.0") ?
       "YES" : "NO");
/* Allocate the lob descriptor */
status = OCIDescriptorAlloc((dvoid *) ctx->envhp, (dvoid **) &clob,
                     (ub4)OCI_DTYPE_LOB, (size_t) 0, (dvoid **) 0);
if (status)
  printf("OCIDescriptorAlloc Failed\n");
 goto error;
status = OCIStmtPrepare(ctx->stmthp, ctx->errhp,
               (CONST OraText *)sel_stmt, (ub4) strlen((char *)sel_stmt),
               (ub4) OCI_NTV_SYNTAX, (ub4) OCI_DEFAULT);
if (status)
  printf("OCIStmtPrepare Failed\n");
 goto error;
status = OCIDefineByPos(ctx->stmthp, &defnp, ctx->errhp, (ub4) 1,
               (dvoid *) &clob, (sb4) -1, (ub2) SQLT_CLOB,
               (dvoid *) 0, (ub2 *)0,
               (ub2 *)0, (ub4) OCI_DEFAULT);
if (status)
  printf("OCIDefineByPos Failed\n");
  goto error;
status = OCIStmtExecute(ctx->svchp, ctx->stmthp, ctx->errhp, (ub4) 1,
                        (ub4) 0, (CONST OCISnapshot*) 0, (OCISnapshot*) 0,
                        (ub4) OCI_DEFAULT);
if (status)
  printf("OCIStmtExecute Failed\n");
  goto error;
/* read the fetched value into a buffer */
amtp = nbytes = MAXBUFLEN-1;
status = OCILobRead(ctx->svchp, ctx->errhp, clob, &amtp,
              (ub4) 1, (dvoid *) bufp, (ub4) nbytes, (dvoid *)0,
              (sb4 (*)(dvoid *, CONST dvoid *, ub4, ub1)) 0,
              (ub2) 0, (ub1) SQLCS_IMPLICIT);
if (status)
  printf("OCILobRead Failed\n");
  goto error;
bufp[amtp] = '\0';
if (amtp > 0)
   printf("\n=> Query result of %s: \n%s\n", sel_stmt, bufp);
   /****** PULL PARSING ***********/
   status = pp_parse(xctx, bufp, amtp);
   if (status)
```



```
printf("Pull Parsing failed\n");
 }
error:
 /* Free XML Ctx */
 OCIXmlDbFreeXmlCtx(xctx);
 /* Free envhp, svchp, errhp, stmthp */
 free_oci_handles(ctx);
#define ERRBUFLEN 256
sb4 pp_parse(xctx, buf, amt)
xmlctx *xctx;
oratext *buf;
ub4
       amt;
 xmlevctx *evctx;
 xmlerr xerr = XMLERR_OK;
 oratext message[ERRBUFLEN];
 oratext *emsg = message;
 xmlerr ecode;
 boolean done, inattr = FALSE;
 xmlevtype event;
  /* Create an XML event context - Pull Parser Context */
 evctx = XmlEvCreatePPCtx(xctx, &xerr,
                           "expand_entities", FALSE,
                           "validate", TRUE,
                           "attr_events", TRUE,
                           "raw_buffer_len", 1024,
                           NULL);
if (!evctx)
   printf("FAILED: XmlEvCreatePPCtx: %d\n", xerr);
   return OCI_ERROR;
  /* Load the document from input buffer */
 xerr = XmlEvLoadPPDoc(xctx, evctx, "buffer", buf, amt, "utf-8");
 if (xerr)
   printf("FAILED: XmlEvLoadPPDoc: %d\n", xerr);
   return OCI_ERROR;
  /* Process the events until END_DOCUMENT event or error */
 done = FALSE;
 while(!done)
   event = XmlEvNext(evctx);
    switch(event)
     case XML_EVENT_START_ELEMENT:
       printf("START ELEMENT: %s\n", XmlEvGetName0(evctx));
       break;
     case XML_EVENT_END_ELEMENT:
       printf("END ELEMENT: %s\n", XmlEvGetName0(evctx));
     case XML_EVENT_START_DOCUMENT:
       printf("START DOCUMENT\n");
       break;
     case XML_EVENT_END_DOCUMENT:
       printf("END DOCUMENT\n");
       done = TRUE;
```



```
break;
      case XML_EVENT_START_ATTR:
        printf("START ATTRIBUTE: %s\n", XmlEvGetAttrName0(evctx, 0));
        inattr = TRUE;
        break;
      case XML_EVENT_END_ATTR:
        printf("END ATTRIBUTE: %s\n", XmlEvGetAttrName0(evctx, 0));
        inattr = FALSE;
        break;
      case XML_EVENT_CHARACTERS:
        if (inattr)
          printf("ATTR VALUE: %s\n", XmlEvGetText0(evctx));
          printf("TEXT: %s\n", XmlEvGetText0(evctx));
        break;
      case XML_EVENT_ERROR:
      case XML_EVENT_FATAL_ERROR:
        done = TRUE;
        ecode = XmlEvGetError(evctx, &emsg);
        printf("ERROR: %d: %s\n", ecode, emsg);
   }
  }
  /* Destroy the event context */
 XmlEvDestroyPPCtx(xctx, evctx);
 return OCI_SUCCESS;
                 The output from compiling and running this C program is as follows:
=> Query result of XMLSerialize(DOCUMENT x.OBJECT_VALUE AS CLOB) FROM PURCHASEORDER x where rownum = 1:
<PurchaseOrder xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
               xsi:noNamespaceSchemaLocation=
                 "http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd">
  <Reference>AMCEWEN-20021009123336171PDT</Reference>
  <Actions>
    <Action>
     <User>KPARTNER</User>
    </Action>
  </Actions>
  <Reject/>
  <Requestor>Allan D. McEwen</Requestor>
  <User>AMCEWEN</User>
  <CostCenter>S30</CostCenter>
  <ShippingInstructions>
    <name>Allan D. McEwen</name>
    <address>Oracle Plaza
Twin Dolphin Drive
Redwood Shores
CA
94065
USA</address>
    <telephone>650 506 7700</telephone>
  </ShippingInstructions>
  <SpecialInstructions>Ground/SpecialInstructions>
  <LineItems>
    <LineItem ItemNumber="1">
      <Description>Salesman/Description>
      <Part Id="37429158920" UnitPrice="39.95" Quantity="2"/>
    </LineItem>
```

```
</LineItems>
</PurchaseOrder>
START DOCUMENT
START ELEMENT: PurchaseOrder
START ATTRIBUTE: xmlns:xsi
ATTR VALUE: http://www.w3.org/2001/XMLSchema-instance
END ATTRIBUTE: xmlns:xsi
START ATTRIBUTE: xsi:noNamespaceSchemaLocation
ATTR VALUE: http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd
END ATTRIBUTE: xsi:noNamespaceSchemaLocation
START ELEMENT: Reference
TEXT: AMCEWEN-20021009123336171PDT
END ELEMENT: Reference
START ELEMENT: Actions
START ELEMENT: Action
START ELEMENT: User
TEXT: KPARTNER
END ELEMENT: User
END ELEMENT: Action
END ELEMENT: Actions
START ELEMENT: Reject
END ELEMENT: Reject
START ELEMENT: Requestor
TEXT: Allan D. McEwen
END ELEMENT: Requestor
START ELEMENT: User
TEXT: AMCEWEN
END ELEMENT: User
START ELEMENT: CostCenter
TEXT: S30
END ELEMENT: CostCenter
START ELEMENT: ShippingInstructions
START ELEMENT: name
TEXT: Allan D. McEwen
END ELEMENT: name
START ELEMENT: address
TEXT: Oracle Plaza
Twin Dolphin Drive
Redwood Shores
CA
94065
USA
END ELEMENT: address
START ELEMENT: telephone
TEXT: 650 506 7700
END ELEMENT: telephone
END ELEMENT: ShippingInstructions
START ELEMENT: SpecialInstructions
TEXT: Ground
END ELEMENT: SpecialInstructions
START ELEMENT: LineItems
START ELEMENT: LineItem
START ATTRIBUTE: ItemNumber
ATTR VALUE: 1
END ATTRIBUTE: ItemNumber
START ELEMENT: Description
TEXT: Salesman
END ELEMENT: Description
START ELEMENT: Part
START ATTRIBUTE: Id
```



ATTR VALUE: 37429158920 END ATTRIBUTE: Id

START ATTRIBUTE: UnitPrice

ATTR VALUE: 39.95 END ATTRIBUTE: UnitPrice START ATTRIBUTE: Quantity

ATTR VALUE: 2

END ATTRIBUTE: Quantity
END ELEMENT: Part
END ELEMENT: LineItem

. . .

END ELEMENT: LineItems
END ELEMENT: PurchaseOrder

END DOCUMENT

## 14.8 Common XMLType Operations in C

Common XML operations are provided by the C API for XML.

Table 14-2 provides the XMLType functional equivalent of common XML operations.

Table 14-2 Common XMLType Operations in C

Description	C API XMLType Function
Create empty XMLType instance	XmlCreateDocument()
Create from a source buffer	<pre>XmlLoadDom()</pre>
Extract an XPath expression	<pre>XmlXPathEvalexpr() and family</pre>
Transform using an XSLT stylesheet	XmlXslProcess() and family
Check if an XPath exists	<pre>XmlXPathEvalexpr() and family</pre>
Is document schema-based?	<pre>XmlDomIsSchemaBased()</pre>
Get schema information	<pre>XmlDomGetSchema()</pre>
Get document namespace	<pre>XmlDomGetNodeURI()</pre>
Validate using schema	<pre>XmlSchemaValidate()</pre>
Obtain DOM from XMLType	Cast (void *) to (xmldocnode *)
Obtain XMLType from DOM	Cast (xmldocnode *) to (void *)

See Also:

Oracle XML Developer's Kit Programmer's Guide "XML Parser for C"

Example 14-4 shows how to use the DOM to determine how many instances of a particular part have been ordered. The part in question has Id 37429158722. See Oracle-Supplied XML Schemas and Examples, Example A-6 for the definitions of helper functions exec\_bind\_xml, free\_oci\_handles, and init\_oci\_handles.



#### **Example 14-4 Using the DOM to Count Ordered Parts**

```
#ifndef S ORACLE
#endif
#ifndef ORATYPES ORACLE
#include <oratypes.h>
#endif
#ifndef XML_ORACLE
#include <xml.h>
#endif
#ifndef OCIXML_ORACLE
#include <ocixml.h>
#endif
#ifndef OCI ORACLE
#include <oci.h>
#endif
#include <string.h>
typedef struct test_ctx {
        OCIEnv *envhp;
        OCIError *errhp;
        OCISvcCtx *svchp;
        OCIStmt *stmthp;
        OCIServer *srvhp;
        OCIDuration dur;
        OCISession *sesshp;
        oratext *username;
        oratext *password;
} test_ctx;
/* Helper function 1: execute a sql statement which binds xml data */
static sword exec_bind_xml(OCISvcCtx *svchp,
                           OCIError *errhp,
                           OCIStmt *stmthp,
                           void *xml,
                           OCIType *xmltdo,
                           OraText *sqlstmt);
/* Helper function 2: Initialize OCI handles and connect */
static sword init_oci_handles(test_ctx *ctx);
/* Helper function 3: Free OCI handles and disconnect */
static sword free_oci_handles(test_ctx *ctx);
void main()
 test_ctx temp_ctx;
 test_ctx *ctx = &temp_ctx;
 OCIType *xmltdo = (OCIType *) 0;
 xmldocnode *doc = (xmldocnode *)0;
 ocixmldbparam params[1];
 xmlnode *quux, *foo, *foo_data, *top;
 xmlerr err;
  sword status = 0;
 xmlctx *xctx;
```

```
ub4 xmlsize = 0;
OCIDefine *defnp = (OCIDefine *) 0;
oratext sel_stmt[] = "SELECT SYS_NC_ROWINFO$ FROM PURCHASEORDER";
xmlnodelist *litems = (xmlnodelist *)0;
xmlnode *item = (xmlnode *)item;
xmlnode *part;
xmlnamedmap *attrs;
xmlnode *id;
xmlnode *qty;
oratext *idval;
oratext *qtyval;
ub4 total_qty;
int i;
int numdocs;
ctx->username = (oratext *)"oe";
ctx->password = (oratext *)"********"; /* Replace with real password */
/* Initialize envhp, svchp, errhp, dur, stmthp */
init_oci_handles(ctx);
/* Get an xml context */
params[0].name_ocixmldbparam = XCTXINIT_OCIDUR;
params[0].value_ocixmldbparam = &ctx->dur;
xctx = OCIXmlDbInitXmlCtx(ctx->envhp, ctx->svchp, ctx->errhp, params, 1);
/* Start processing */
printf("\n\nSupports XML 1.0? : %s\n",
       XmlHasFeature(xctx, (oratext *) "xml", (oratext *) "1.0") ?
       "YES" : "NO");
/* Get the documents from the database using a select statement */
status = OCITypeByName(ctx->envhp, ctx->errhp, ctx->svchp, (const text *) "SYS",
                       (ub4) strlen((char *)"SYS"), (const text *) "XMLTYPE",
                       (ub4) strlen((char *)"XMLTYPE"), (CONST text *) 0,
                       (ub4) 0, OCI_DURATION_SESSION, OCI_TYPEGET_HEADER,
                       (OCIType **) &xmltdo);
status = OCIStmtPrepare(ctx->stmthp, ctx->errhp,
               (CONST OraText *)sel_stmt, (ub4) strlen((char *)sel_stmt),
               (ub4) OCI_NTV_SYNTAX, (ub4) OCI_DEFAULT);
status = OCIDefineByPos(ctx->stmthp, &defnp, ctx->errhp, (ub4) 1, (dvoid *) 0,
               (sb4) 0, SQLT_NTY, (dvoid *) 0, (ub2 *)0,
               (ub2 *)0, (ub4) OCI_DEFAULT);
status = OCIDefineObject(defnp, ctx->errhp, (OCIType *) xmltdo,
                (dvoid **) &doc,
                &xmlsize, (dvoid **) 0, (ub4 *) 0);
status = OCIStmtExecute(ctx->svchp, ctx->stmthp, ctx->errhp, (ub4) 0, (ub4) 0,
               (CONST OCISnapshot*) 0, (OCISnapshot*) 0, (ub4) OCI_DEFAULT);
/* Initialize variables */
total_qty = 0;
numdocs = 0;
/* Loop through all the documents */
while ((status = OCIStmtFetch2(ctx->stmthp, ctx->errhp, (ub4) 1, (ub4)
```

```
OCI_FETCH_NEXT,
                                 (ub4)1, (ub4) OCI_DEFAULT)) == 0)
    numdocs++;
    /* Get all the LineItem elements */
    litems = XmlDomGetDocElemsByTag(xctx, doc, (oratext *)"LineItem");
    i = 0;
    /* Loop through all LineItems */
    while (item = XmlDomGetNodeListItem(xctx, litems, i))
      /* Get the part */
     part = XmlDomGetLastChild(xctx, item);
      /* Get the attributes */
     attrs = XmlDomGetAttrs(xctx, (xmlelemnode *)part);
      /* Get the id attribute and its value */
     id = XmlDomGetNamedItem(xctx, attrs, (oratext *)"Id");
      idval = XmlDomGetNodeValue(xctx, id);
      /* Keep only parts with id 37429158722 */
     if (idval && (strlen((char *)idval) == 11 )
          && !strncmp((char *)idval, (char *)"37429158722", 11))
        /* Get the quantity attribute and its value.*/
        qty = XmlDomGetNamedItem(xctx, attrs, (oratext *)"Quantity");
        qtyval = XmlDomGetNodeValue(xctx, qty);
        /* Add the quantity to total_qty */
        total_qty += atoi((char *)qtyval);
      }
     i++;
    XmlFreeDocument(xctx, doc);
    doc = (xmldocnode *)0;
  printf("Total quantity needed for part 37429158722 = %d\n", total_qty);
  printf("Number of documents in table PURCHASEORDER = %d\n", numdocs);
  /* Free Xml Ctx */
  OCIXmlDbFreeXmlCtx(xctx);
  /* Free envhp, svchp, errhp, stmthp */
  free_oci_handles(ctx);
}
```

The output from compiling and running this C program is as follows:

```
Supports XML 1.0? : YES

Total quantity needed for part 37429158722 = 42

Number of documents in table PURCHASEORDER = 132
```

## 15

# Oracle XML DB and Oracle Data Provider for .NET

Oracle Data Provider for Microsoft .NET (ODP.NET) is an implementation of a data provider for Oracle Database. It uses Oracle native APIs to offer fast and reliable access to Oracle data and features from any .NET application.

It also uses and inherits classes and interfaces available in the Microsoft .NET Framework Class Library. ODP.NET supports the following LOB data types natively with .NET: BLOB, CLOB, NCLOB, and BFILE.

- Oracle XML DB and ODP.NET XML
   ODP.NET supports XML data natively in the database, through Oracle XML DB.
- Using XMLType Data with ODP.NET
   An example illustrates passing XMLType data from the database to .NET

#### 15.1 Oracle XML DB and ODP.NET XML

ODP.NET supports XML data natively in the database, through Oracle XML DB.

#### ODP.NET:

- Stores XML data natively in Oracle Database as XMLType.
- Accesses relational and object-relational data as XML data from Oracle Database to a Microsoft .NET environment, and processes the XML using Microsoft .NET framework.
- Saves changes to the database server using XML data.
- Provides the following XML-specific classes:
  - OracleXmlType
  - OracleXmlStream
  - OracleXmlQueryProperties
  - OracleXmlSaveProperties
- Enhances classes OracleCommand, OracleConnection, and OracleDataReader.

## 15.2 Using XMLType Data with ODP.NET

An example illustrates passing XMLType data from the database to .NET

**Example 15-1** retrieves XMLType data from the database to .NET and outputs the results:

#### See Also:

Oracle Data Provider for .NET Developer's Guide for Microsoft Windows for complete information about Oracle .NET support for Oracle XML DB.

#### Example 15-1 Retrieve XMLType Data to .NET

```
//Create OracleCommand and query XMLType
OracleCommand xmlCmd = new OracleCommand();
poCmd.CommandText = "SELECT po FROM po_tab";
poCmd.Connection = conn;
// Execute OracleCommand and output XML results to an OracleDataReader
OracleDataReader poReader = poCmd.ExecuteReader();
// ODP.NET native XML data type object from Oracle XML DB
OracleXmlType poXml;
string str = ""; //read XML results
while (poReader.Read())
  // Return OracleXmlType object of the specified XmlType column
  poXml = poReader.GetOracleXmlType(0);
  // Concatenate output for all the records
  str = str + poXml.Value;
} //Output XML results to the screen
Console.WriteLine(str);
```



## Part V

# XML Schema and Object-Relational XMLType

The use of XML Schema and object-relational storage of XMLType data is covered.

- Choice of XMLType Storage and Indexing
   Important design choices for your application include what XMLType storage model to use and which indexing approaches to use.
- XML Schema Storage and Query: Basic
   XML Schema is a standard for describing the content and structure of XML
   documents. You can register, update, and delete an XML schema used with
   Oracle XML DB. You can define storage structures to use for your XML schema based data and map XML Schema data types to SQL data types.
- XML Schema Storage and Query: Object-Relational Storage

  Advanced techniques for XML Schema-based data include using object-relational storage; annotating XML schemas; mapping Schema data types to SQL; using complexType extensions and restrictions; creating, specifying relational constraints on, and partitioning XML Schema-based data, storing XMLType data out of line, working with complex or large schemas, and debugging schema registration.
- XPath Rewrite for Object-Relational Storage
  For XMLType data stored object-relationally, queries involving XPath expression arguments to various SQL functions can often be automatically rewritten to queries against the underlying SQL tables, which are highly optimized.
- XML Schema Evolution
   You can use XML schema evolution to update your XML schema after you have registered it with Oracle XML DB.



## Choice of XMLType Storage and Indexing

Important design choices for your application include what XMLType storage model to use and which indexing approaches to use.

- Introduction to Choosing an XMLType Storage Model and Indexing Approaches
   XMLType is an abstract SQL data type that provides different storage and indexing
   models to best fit your XML data and your use of it. Because it is an abstract data
   type, your applications and database queries gain in flexibility: the same interface
   is available for all XMLType operations.
- XMLType Use Case Spectrum: Data-Centric to Document-Centric When choosing an XMLType storage model, consider the nature of your XML data and the ways you use it. There is a spectrum of use cases, ranging from most data-centric to most document-centric.
- Common Use Cases for XML Data Stored as XMLType
   Recommendations are provided for application use cases that correspond to
   common use cases for XML data stored as XMLType.
- XMLType Storage Model Considerations
   For most use cases, Oracle recommends that you use binary XML storage of XMLType. Object-relational storage is appropriate in special cases.
- XMLType Indexing Considerations For XMLType data stored object-relationally, create B-tree and bitmap indexes just as you would for relational data. Use XMLIndex indexing with XMLType data that is stored as binary XML.
- XMLType Storage Options: Relative Advantages
  Each XMLType storage model has particular advantages and disadvantages.

# 16.1 Introduction to Choosing an XMLType Storage Model and Indexing Approaches

XMLType is an abstract SQL data type that provides different storage and indexing models to best fit your XML data and your use of it. Because it is an abstract data type, your applications and database queries gain in flexibility: the same interface is available for all XMLType operations.

Different applications use XML data in different ways. Sometimes it is constructed from relational data sources, so it is relatively structured. Sometimes it is used for extraction, transformation, and loading (ETL) operations, in which case it is also quite structured. Sometimes it is used for free-form documents (unstructured or semi-structured) such as books and articles.

Retrieval approaches can also be different for different kinds of data. Data-centric use cases often involve a fixed set of queries, whereas document-centric use cases often involve arbitrary (ad-hoc) queries.

Because there is a broad spectrum of XML usage, there is no one-size-fits-all storage model that offers optimal performance and flexibility for every use case. Oracle XML DB offers two storage models for XMLType, and several indexing methods appropriate to these different storage models. You can tailor performance and functionality to best fit the kind of XML data you have and the ways you use it.

Therefore, one key decision to make is which XMLType storage model to use for which XML data. This chapter helps you choose the best storage option for a given use case.

XMLType tables and columns can be stored in the following ways:

- Binary XML storage This is also referred to as post-parse persistence. It is the default storage model for Oracle XML DB. It is a post-parse, binary format designed specifically for XML data. Binary XML is compact and XML schema-aware. The biggest advantage of Binary XML storage is *flexibility*: you can use it for XML schema-based documents or for documents that are not based on an XML schema. You can use it with an XML schema that allows for high data variability or that evolves considerably or unexpectedly. This storage model also provides efficient partial updating and streamable query evaluation.
- Object-relational storage This is also referred to as structured storage and object-based persistence. This storage model represents an entity-relationship (ER) decomposition of the XML data. It provides the best performance for highly structured data with a known and more or less fixed set of queries. Query performance matches that of relational data, and updates can be performed in place.

#### Note:

Starting with Oracle Database 12c Release 1 (12.1.0.1), the unstructured (CLOB) storage model for XMLType is *deprecated*. Use binary XML storage instead.

If you have exising XMLType data that is stored as CLOB data then consider moving it to binary XML storage format using Oracle GoldenGate. If document fidelity is important for a particular XML document then store a copy of it in a relational CLOB column.

Oracle XML DB supports the following kinds of indexes on XMLType data.

- B-tree functional indexes on object-relational storage
- XML search index on binary XML storage
- XMLIndex with structured and unstructured components on binary XML storage
- B-tree indexes on the secondary tables created automatically for XMLIndex (both structured and unstructured components) on binary XML storage

Different use cases call for different combinations of  $\mathtt{XMLType}$  storage model and indexes.



#### **Related Topics**

Indexes for XMLType Data

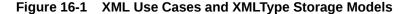
You can create indexes on your XML data, to focus on particular parts of it that you query often and thus improve performance. There are various ways that you can index XMLType data, whether it is XML schema-based or non-schema-based, and regardless of the XMLType storage model you use.

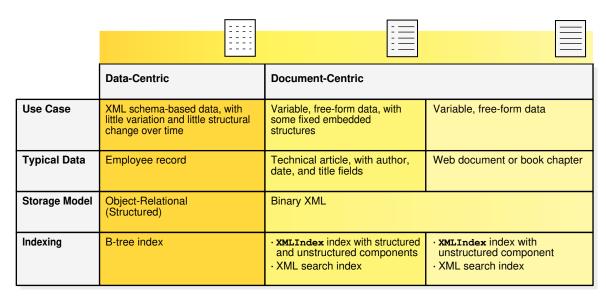
• XMLType Storage Options: Relative Advantages Each XMLType storage model has particular advantages and disadvantages.

# 16.2 XMLType Use Case Spectrum: Data-Centric to Document-Centric

When choosing an XMLType storage model, consider the nature of your XML data and the ways you use it. There is a spectrum of use cases, ranging from most data-centric to most document-centric.

This is illustrated in Figure 16-1, which shows the most data-centric cases at the left and the most document-centric cases at the right.





**Data-centric** data is highly structured, with relatively static and predictable structure, and your applications take advantage of this structure. The data conforms to an XML schema.

**Document-centric** data can be divided into two cases:

The data is generally without structure or is of variable structure. This includes the
case of documents that have both structured and unstructured parts. Document
structure can vary over time (evolution), and the content can be mixed (semistructured), with many elements containing both text nodes and child elements.
Many XML elements can be absent or can appear in different orders. Documents
might or might not conform to an XML schema.



• The data is relatively structured, but your applications do not take advantage of that structure: they treat the data as if it were without structure.

## 16.3 Common Use Cases for XML Data Stored as XMLType

Recommendations are provided for application use cases that correspond to common use cases for XML data stored as XMLType.

If your use case is *not* a common one, so that it is not covered here, then refer to the rest of this chapter for information about special cases.

#### Note:

This section is about the use of XML data that is persisted as XMLType. One common use case for XML data involves the generation of XML data from relational data. That case is not covered here, as it involves relational storage and the generated XML data is not necessarily persisted.

(For cases where generated XML data is persisted as XMLType, see XMLType Use Case: Staged XML Data for ETL.)

- XMLType Use Case: No XML Fragment Updating or Querying
   In this use case there is no requirement to update or query fragments of XML data that is stored in the database.
- XMLType Use Case: Data Integration from Diverse Sources with Different XML Schemas

If your XML data comes from multiple data sources that use different XML schemas then use *binary XML storage*.

- XMLType Use Case: Staged XML Data for ETL In this use case, data is extracted from outside sources, transformed to fit operational needs (typically relational), and then loaded into the database: *extract*, *transform*, *load* (ETL). In particular, transformation distinguishes this use case.
- XMLType Use Case: Semi-Structured XML Data
   In this use case, either your XML data is of variable form or large portions of it are not well defined. There might not be an associated XML schema, or the XML schema might allow for high data variability or evolve considerably or in unexpected ways.
- XMLType Use Case: Business Intelligence Queries
  To enable business-intelligence (BI) queries over XML data, you can use
  SQL/XML function XMLTable to project values contained in the data as columns
  of a virtual table. Then use analytic-function windows, together with SQL ORDER
  BYAND GROUP BY, to operate on columns of the virtual table.
- XMLType Use Case: XML Queries Involving Full-Text Search
  If your application needs to perform full-text searches on XML data then use *binary*XML storage and create XML search indexes that correspond to your queries.



#### **Related Topics**

XMLType Indexing Considerations

For XMLType data stored object-relationally, create B-tree and bitmap indexes just as you would for relational data. Use XMLIndex indexing with XMLType data that is stored as binary XML.

XMLType Storage Options: Relative Advantages
 Each XMLType storage model has particular advantages and disadvantages.

## 16.3.1 XMLType Use Case: No XML Fragment Updating or Querying

In this use case there is no requirement to update or query fragments of XML data that is stored in the database.

You have these options for this use case:

- Store it as XMLType using binary XML storage.
- Store it in a *relational* BLOB or CLOB column, preferably a SecureFiles LOB.

If you store the XML data in a relational LOB column, not as XMLType, Oracle Database does not parse the data and it cannot guarantee its validity. (And you cannot perform XMLType operations on the data.)

## 16.3.2 XMLType Use Case: Data Integration from Diverse Sources with Different XML Schemas

If your XML data comes from multiple data sources that use different XML schemas then use *binary XML storage*.

This use case has three subcases:

- If the XML data contains islands of structured, predictable data, and your queries are known, then use XMLIndex with a *structured component* to index the structured islands (even if the data surrounding these islands is unstructured). A structured index component reflects the queries you use. An RSS news aggregator is an example of such a use case.
- If there are no such structured islands or your queries are unknown ahead of time (ad hoc) then use XMLIndex with an unstructured component.
- If you use queries that involve full-text search then use an XML search index, together with XQuery pragma ora:no schema.

#### **Related Topics**

XMLIndex Structured Component

You create and use the structured component of an XMLIndex index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

XMLIndex Unstructured Component

Unlike a B-tree index, which you define for a specific database column that represents an individual XML element or attribute, or the XMLIndex structured component, which applies to specific, structured document parts, the unstructured component of an XMLIndex index is, by default, very general.



#### Indexing XML Data for Full-Text Queries

When you need full-text search over XML data, Oracle recommends that you store your XMLType data as binary XML and you use XQuery Full Text (XQFT). You use an XML search index for this. This is the topic of this section.

#### Oracle XQuery Extension-Expression Pragmas

The W3C XQuery specification lets an implementation provide implementation-defined extension expressions. An XQuery extension expression is an XQuery expression that is enclosed in braces  $(\{, \})$  and prefixed by an implementation-defined pragma. The Oracle implementation provides several such pragmas.

## 16.3.3 XMLType Use Case: Staged XML Data for ETL

In this use case, data is extracted from outside sources, transformed to fit operational needs (typically relational), and then loaded into the database: *extract*, *transform*, *load* (ETL). In particular, transformation distinguishes this use case.

ETL use cases often integrate data from multiple applications that are maintained or hosted by multiple parties using different software and hardware systems. The data that is extracted is often the responsibility of parties other than those who transform it or use it after transformation.

The XML data involved is typically highly structured and conforms to an XML schema. This use case covers both producing relational data from XML data and generating XML data from relational data.

A subset of ETL use cases involve the need to efficiently *update* the XML data. Updating can involve replacement of an entire XML document or changes to only fragments of a document (partial updating).

Object-relational storage of XMLType data is generally appropriate for this use case.

#### **Related Topics**

#### Relational Views over XML Data

Relational database views over XML data provide conventional, relational access to XML content.

#### Generation of XML Data from Relational Data

Oracle XML DB provides features for generating (constructing) XML data from relational data in the database. There are both SQL/XML standard functions and Oracle-specific functions and packages for generating XML data from relational content.

# 16.3.4 XMLType Use Case: Semi-Structured XML Data

In this use case, either your XML data is of variable form or large portions of it are not well defined. There might not be an associated XML schema, or the XML schema might allow for high data variability or evolve considerably or in unexpected ways.

Binary XML storage of XMLType data is generally appropriate for this use case.

Use structured-component XMLIndex indexing when query paths are known, and use path-subsetted unstructured-component XMLIndex indexing when paths are not known beforehand (ad hoc queries). Use an XML search index for XOuery Full-Text queries.



#### **Related Topics**

#### XMLIndex Structured Component

You create and use the structured component of an  $\mathtt{XMLIndex}$  index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

#### XMLIndex Unstructured Component

Unlike a B-tree index, which you define for a specific database column that represents an individual XML element or attribute, or the XMLIndex structured component, which applies to specific, structured document parts, the unstructured component of an XMLIndex index is, by default, very general.

#### Indexing XML Data for Full-Text Queries

When you need full-text search over XML data, Oracle recommends that you store your XMLType data as binary XML and you use XQuery Full Text (XQFT). You use an XML search index for this. This is the topic of this section.

# 16.3.5 XMLType Use Case: Business Intelligence Queries

To enable business-intelligence (BI) queries over XML data, you can use SQL/XML function XMLTable to project values contained in the data as columns of a virtual table. Then use analytic-function windows, together with SQL ORDER BY and GROUP BY, to operate on columns of the virtual table.

For business-intelligence queries, you will generally do all of the following:

- Store your XMLType data as binary XML.
- Use an XMLIndex index with a structured component.
- Create relational views over the data using SQL/XML function XMLTable, where the views project all columns of interest to the BI application.
- Write your application queries against these relational views.

If the XMLIndex index is created in one-to-one correspondence to these views, Oracle Database automatically translates queries over the views to queries over the relational tables of the structured XMLIndex component, providing relational performance.

When you use analytic-function windows, ORDER BY, or GROUP BY on a column of the virtual table, these operations are translated to windows, ORDER BY, and GROUP BY operations on the corresponding physical columns of the structured-component XMLIndex tables.

#### **Related Topics**

#### XMLIndex Structured Component

You create and use the structured component of an  $\mathtt{XMLIndex}$  index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

#### Relational Views over XML Data

Relational database views over XML data provide conventional, relational access to XML content.



# 16.3.6 XMLType Use Case: XML Queries Involving Full-Text Search

If your application needs to perform full-text searches on XML data then use *binary XML storage* and create XML search indexes that correspond to your queries.

#### **Related Topics**

Indexing XML Data for Full-Text Queries
 When you need full-text search over XML data, Oracle recommends that you store your XMLType data as binary XML and you use XQuery Full Text (XQFT). You use an XML search index for this. This is the topic of this section.

# 16.4 XMLType Storage Model Considerations

For most use cases, Oracle recommends that you use binary XML storage of XMLType. Object-relational storage is appropriate in special cases.

Object-relational storage is not appropriate unless all of the following are true:

- You have an XML schema that rigorously specifies the detailed data format of all XML documents that you intend to store in a given XMLType column or table. Your applications are data-centric.
- You do not expect your XML schema to evolve frequently in ways that do not allow in-place schema evolution.
- Your data is not especially sparse (does not include many elements that are empty or missing).
- You do not necessarily insert and select whole XML documents at a time. Partial updates and selections are common.
- You do not need document fidelity (DOM fidelity is sufficient).

Table 16-1 provides more detail about this. The guidelines it presents for choosing an XMLType storage model are *not* independent: follow them *in the order presented*, row by row, until a requirement in column **If...** is satisfied.

**Table 16-1** XMLType Storage Model Considerations

If	Then	
You need the property of document fidelity, preserving all original whitespace.	Use binary XML storage for database use and XML processing. But also store a copy of the original documents in a CLOB (relational) column.	
	(It is your responsibility to keep the two versions synchronized, if you update the data.)	
2. You rarely need to select or update only a portion of your XML data. Instead, you typically insert and select whole XML documents at a time.	Use binary XML storage.	



Table 16-1 (Cont.) XMLType Storage Model Considerations

If	Then
3. You need to store XMLType instances that conform to different XML schemas in the <i>same</i> XMLType table or column.	Use binary XML storage.
(Oracle does <i>not</i> recommend this practice in general, because it prohibits Oracle XML DB from using the XML schemas to optimize XML queries and other operations.)	
4. You do <i>not</i> have an XML schema for your	Use binary XML storage.
data.	If you think that your data could benefit from XML schema validation, then consider also whether you can generate an XML schema for it using a schema-generation tool.
5. You expect your XML schema to evolve	Use binary XML storage.
frequently or in unexpected ways, and you cannot take advantage of in-place XML schema evolution.	Use PL/SQL procedure DBMS_XMLSCHEMA.copyEvolve to update the XML schema.
In-place evolution is generally permitted only if the changes do not invalidate existing documents and they do not involve changing the storage model. See XML Schema Evolution.	
6. Your XML data is very sparse.	Use binary XML storage.
7. Your XML schema does <i>not</i> make use of constructs such as elements any and choice, which do not provide a detailed specification of the data format.	Use object-relational storage.
(XML schema generators often include such constructs in the generated schemas.)	
8. You can modify your XML schema to remove constructs such as any and choice that prevent a rigorous definition of the structure of your XML data.	Remove such constructs, then use object-relational storage.
9. You cannot remove such constructs.	Use binary XML storage.

# 16.5 XMLType Indexing Considerations

For XMLType data stored object-relationally, create B-tree and bitmap indexes just as you would for relational data. Use XMLIndex indexing with XMLType data that is stored as binary XML.

For general indexing of document-centric XML data, use XMLIndex with an *unstructured component*. This is appropriate for queries that are ad hoc (arbitrary).

For data that contains predictable, fixed parts that you query frequently, use XMLIndex with *structured components* for those parts. An example of this use case is a specification that is generally free-form but that has fixed fields for the author, date, and title.



To handle islands of structure within generally unstructured content, create an XMLIndex index that has both structured and unstructured components. A use case where you might use both components would be to support queries that extract an XML fragment from a document whenever some structured data is present. The structured component of the index would be used for a query WHERE clause condition that checks for the structured data. The unstructured component would be used for the fragment extraction.

Table 16-2 provides simple guidelines for indexing XMLType data that is stored as binary XML. These guidelines are *independent*: you can use a combination of indexing approaches if their **If...** conditions are satisfied.

**Table 16-2 XMLType Indexing Considerations** 

If	Then
Your data contains predictable islands of structured data.	Use XMLIndex, with a structured component for each of the structured islands.
You need to support full-text queries.	Use XML search indexes.
You need to support ad-hoc XML queries involving predicates.	Use XMLIndex, with an unstructured component.

# 16.6 XMLType Storage Options: Relative Advantages

Each XMLType storage model has particular advantages and disadvantages.

Table 16-3 summarizes the advantages and disadvantages of each XMLType storage model. Symbols + and – provide a rough indication of strength and weakness, respectively.

Table 16-3 XMLType Storage Models: Relative Advantages

Quality	Binary XML Storage	Object-Relational Storage
Throughput	(+) High throughput. Fast DOM loading. There is a slight overhead from the binary encoder/decoder.	(-) XML decomposition can result in reduced throughput when ingesting or retrieving the entire content of an XML document.
Indexing support	XMLIndex and XML search indexes.	B-tree, bitmap, and Oracle Text indexes on specific elements or attributes.
Queries	(+) Fast when using XMLIndex. Queries that cannot use an index use streaming XPath evaluation, which can also be fast.	(++) Relational query performance. You can create B-tree indexes on the underlying object-relational columns.
Update operations (DML)	(+) In-place, piecewise update for SecureFiles LOB storage.	(++) Relational update performance. Columns are updated in place.
Data flexibility	(+) Flexibility in the structure of the XML documents that can be stored in an XMLType column or table.	(–) Limited flexibility. Only documents that conform to the XML schema can be stored.
XML schema flexibility	(++) Both XML schema-based and non- schema-based documents can be stored. Documents conforming to any XML schemas that have been registered can be stored in the same XMLType table or column.	(–) Only documents that conform to the same XML schema can be stored in a given XMLType table or column.



Table 16-3 (Cont.) XMLType Storage Models: Relative Advantages

Quality	Binary XML Storage	Object-Relational Storage
Validation upon insert	(++) XML schema-based data can be fully validated when it is inserted, but this takes time.	(+) XML data is partially validated when it is inserted.
Compression and Encryption	(+) Binary XML with SecureFiles LOB storage can be compressed/encrypted.	(++) Each XML element/attribute can be compressed/encrypted individually.



# XML Schema Storage and Query: Basic

XML Schema is a standard for describing the content and structure of XML documents. You can register, update, and delete an XML schema used with Oracle XML DB. You can define storage structures to use for your XML schema-based data and map XML Schema data types to SQL data types.

The XML Schema Recommendation was created by the World Wide Web Consortium (W3C) to describe the content and structure of XML documents. It includes the full capabilities of Document Type Definitions (DTDs) so that existing DTDs can be converted to XML Schema. XML schemas have additional capabilities compared to DTDs.

#### Overview of XML Schema

The W3C XML Schema Recommendation defines a standardized language for specifying the structure, content, and certain semantics of a set of XML documents. An XML schema can be considered as the metadata that describes a class of XML documents.

- Overview of Using XML Schema with Oracle XML DB
   Oracle XML DB supports registering XML schemas, validating documents against
   an XML schema, generating XML schemas from SQL object types, mapping
   from XML Schema to SQL, creating and querying XML Schema-based tables,
   views, and columns, and automatically inserting data when XML Schema-based
   documents are inserted into Oracle XML DB Repository.
- XML Schema Registration with Oracle XML DB
   Before an XML schema can be used by Oracle XML DB, you must register it.
   It can then be used to create XMLType tables and columns and to validate XML documents. If schema registration fails then the database is restored to the state it had prior to the registration attempt.
- Creation of XMLType Tables and Columns Based on XML Schemas
  You can create XMLType tables and columns that are constrained to a global
  element defined by an XML schema. After an XMLType column has been
  constrained to a particular element and a particular schema, it can only contain
  documents that are compliant with the schema definition of that element.
- Ways to Identify XML Schema Instance Documents
  Before an XML document can be inserted into an XML Schema-based XMLType
  table or column, the associated XML schema must be identified. You can do this
  when you create the table or column, or you can use XMLSchema-instance to
  explicitly add the required schema identification to the XML instance document.
- XML Schema Data Types Are Mapped to Oracle XML DB Storage
   Data that conforms to an XML schema uses XML Schema data types. When this
   XML data is stored in Oracle XML DB, its storage data types are derived from the
   XML Schema data types using a default mapping and, optionally, using mapping
   information that you specify using XML schema annotations.

#### See Also:

- XML Schema Storage and Query: Object-Relational Storage for more advanced information about using XML Schema with Oracle XML DB
- XPath Rewrite for Object-Relational Storage for information about the optimization of XPath expressions in Oracle XML DB
- XML Schema Part 0: Primer Second Edition for an introduction to XML Schema

# 17.1 Overview of XML Schema

The W3C XML Schema Recommendation defines a standardized language for specifying the structure, content, and certain semantics of a set of XML documents. An XML schema can be considered as the metadata that describes a class of XML documents.

This documentation refers to an XML Schema instance definition as an **XML schema** (lowercase).

#### XML Schema for Schemas

The W3C Schema working group publishes an XML schema, often referred to as the "Schema for Schemas". This XML schema provides the definition, or vocabulary, of the XML Schema language. All valid XML schemas can be considered to be members of the class defined by this XML schema.

#### XML Schema Features

XML Schema defines 47 scalar data types, for strong typing of elements and attributes. It supports object-oriented inheritance and extension, so you can design an XML schema with complex objects from base data types. It includes constructs for defining and ordering, default values, mandatory content, nesting, repeated sets. and redefines.

#### XML Instance Documents

Documents conforming to an XML schema can be considered as instances of the class defined by that XML schema. A common use of an XML schema is to validate that a given such **instance document**conforms to the rules defined by the XML schema.

#### XML Namespaces and XML Schemas

An XML schema can specify a targetNamespace attribute, whose value is a URL. If omitted, the schema has no target namespace. The target namespace is the namespace for everything defined in the XML schema. The targetNamespace value is typically a URL where the XML schema can be accessed.

#### Overview of Editing XML Schemas

You can author and edit XML schemas anyway you like.



#### See Also:

XML Schema Part 0: Primer for a general description of the XML Schema recommendation

## 17.1.1 XML Schema for Schemas

The W3C Schema working group publishes an XML schema, often referred to as the "Schema for Schemas". This XML schema provides the definition, or vocabulary, of the XML Schema language. All valid XML schemas can be considered to be members of the class defined by this XML schema.

An XML schema is thus an XML document that conforms to the class defined by the XML schema published at https://www.w3.org/2001/XMLSchema.

### 17.1.2 XML Schema Features

XML Schema defines 47 scalar data types, for strong typing of elements and attributes. It supports object-oriented inheritance and extension, so you can design an XML schema with complex objects from base data types. It includes constructs for defining and ordering, default values, mandatory content, nesting, repeated sets, and redefines.

Oracle XML DB supports all of the constructs defined by XML Schema, except for redefines.

# 17.1.3 XML Instance Documents

Documents conforming to an XML schema can be considered as instances of the class defined by that XML schema. A common use of an XML schema is to validate that a given such **instance document**conforms to the rules defined by the XML schema.

## 17.1.4 XML Namespaces and XML Schemas

An XML schema can specify a targetNamespace attribute, whose value is a URL. If omitted, the schema has no target namespace. The target namespace is the namespace for everything defined in the XML schema. The targetNamespace value is typically a URL where the XML schema can be accessed.

An XML instance document must specify the namespace of the root element of the document (same as the target namespace of the XML schema that the instance conforms to) and the location (URL) of the XML schema that defines this root element. This information is specified by attribute xsi:schemaLocation. When the XML schema has no target namespace, use attribute xsi:noNamespaceSchemaLocation to specify the schema URL.

## 17.1.5 Overview of Editing XML Schemas

You can author and edit XML schemas anyway you like.

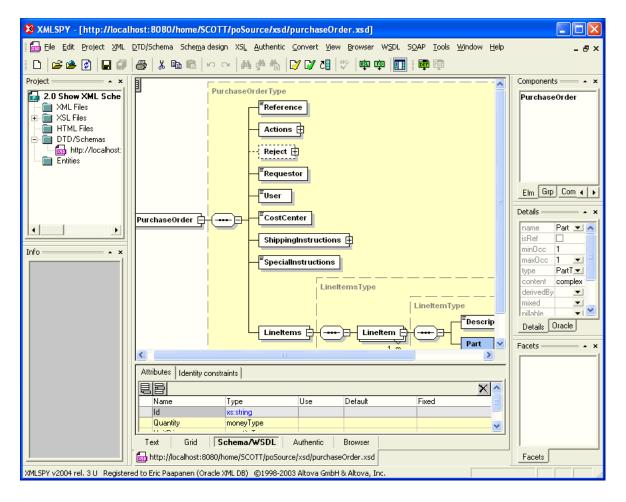


For example, you can use any of the following:

- A simple text editor, such as Emacs or vi
- An XML Schema-aware editor, such as the XML editor included with Oracle JDeveloper
- An explicit XML Schema authoring tool, such as XMLSpy from Altova Corporation

Figure 17-1 shows a purchase-order XML schema being edited using XMLSpy. XMLSpy is a graphical XML tool from Altova Corporation that you can use to create and edit XML schemas and other XML documents. See Altova.com for details.<sup>1</sup>

Figure 17-1 XMLSpy Graphical Representation of a Purchase-Order XML Schema



# 17.2 Overview of Using XML Schema with Oracle XML DB

Oracle XML DB supports registering XML schemas, validating documents against an XML schema, generating XML schemas from SQL object types, mapping from XML Schema to SQL, creating and querying XML Schema-based tables, views, and columns, and automatically inserting data when XML Schema-based documents are inserted into Oracle XML DB Repository.

<sup>1</sup> XMLSpy also supports WebDAV and FTP protocols, so you can use it to directly access and edit content stored in Oracle XML DB Repository.



XML schemas are stored in Oracle XML DB as XMLType instances, just like the XML documents that reference them. You must *register* an XML schema with Oracle XML DB in order to use it with XML data that is stored in the database.

Oracle XML DB takes advantage of the strong typing and other features of XML Schema to process XML database data safely and efficiently.

To be registered with Oracle XML DB, an XML schema must conform to the **root XML Schema**, **XDBSchema.xsd**. This is the XML schema for Oracle XML DB XML schemas. You can access XDBSchema.xsd at Oracle XML DB Repository location /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBSchema.xsd.

Oracle XML DB uses *annotated* XML schemas as metadata. The standard XML Schema definitions are used, along with several Oracle namespace attributes. These attributes determine how XML instance documents get mapped to the database. Because these attributes are in a different namespace from the XML Schema namespace, such annotated XML schemas respect the XML Schema standard.

Oracle XML DB provides XML Schema support for the following tasks:

- Registering W3C-compliant XML schemas, both local and global.
- Validating your XML documents against registered XML schema definitions.
- Generating XML schemas from SQL object types.
- Referencing an XML schema owned by another user.
- Referencing a global XML schema when a local XML schema exists with the same name.
- Generating a database mapping from your XML schemas during XML schema registration. This includes generating SQL object types, collection types, and default tables, and capturing the mapping information using XML schema attributes.
- Specifying a particular SQL data type mapping when there are multiple allowed mappings.
- Creating XMLType tables, views, and columns based on registered XML schemas.
- Manipulating and querying XML schema-based XMLType tables.
- Automatically inserting data into default tables when XML schema-based documents are inserted into Oracle XML DB Repository using protocols (FTP, HTTP(S)/WebDAV) and languages other than SQL.
- Why Use XML Schema with Oracle XML DB?
   Common reasons to use XML Schema include validation, constraint definition, storage specification, and optimization of document insertion, storage, and access.
- Overview of Annotating an XML Schema to Control Naming, Mapping, and Storage

The W3C XML Schema Recommendation lets vendor-specific annotations be added to an XML schema. Oracle XML DB uses annotations to control the mapping between an XML schema and various database features. You can use annotations to specify which tables store XML data. Annotation is especially useful for object-relational storage.



#### DOM Fidelity

DOM fidelity means that all information in an XML document is preserved except whitespace that is insignificant. You can use DOM fidelity to ensure the accuracy and integrity of XML documents stored in Oracle XML DB.

XMLType Methods Related to XML Schema
 The most important XMLType methods for working with XML schemas are: isSchemaBased(), getSchemaURL(), schemaValidate(), isSchemaValid(), isSchemaValidated().

## 17.2.1 Why Use XML Schema with Oracle XML DB?

Common reasons to use XML Schema include validation, constraint definition, storage specification, and optimization of document insertion, storage, and access.

- The most common use of XML Schema is as a mechanism for validating that XML instance documents conform to a given XML schema, that is, verify that your XML data conforms to its intended definition. This definition includes data types, numbers of allowed item occurrences, and allowed lengths of items.
- An XML schema can also be used as a constraint when creating XMLType tables or columns. For example, the table or column can be constrained to store only XML documents that compliant with one of the global elements defined by the XML schema.
- Oracle XML DB also uses XML Schema as a mechanism for defining how the
  contents of an XMLType instance should be stored inside the database. Both binary
  XML and object-relational storage models for XMLType support the use of XML
  Schema. When XMLType data is stored object-relationally, XML Schema is used to
  efficiently map XML Schema data types to SQL data types and object-relational
  tables and columns.
- XML schema information can also improve the efficiency of document insertion when you storing XML Schema-based documents in Oracle XML DB using protocols FTP and HTTP(S).
- When XML instances must be handled without any prior information about them,
   XML schemas can be useful in predicting optimum storage, fidelity, and access.

#### **Related Topics**

XMLType Storage Models

XMLType is an abstract data type that provides different storage models to best fit your data and your use of it. As an abstract data type, your applications and database queries gain in flexibility: the same interface is available for all XMLType operations.

# 17.2.2 Overview of Annotating an XML Schema to Control Naming, Mapping, and Storage

The W3C XML Schema Recommendation lets vendor-specific annotations be added to an XML schema. Oracle XML DB uses annotations to control the mapping between an XML schema and various database features. You can use annotations to specify which tables store XML data. Annotation is especially useful for object-relational storage.

You can use XML schema annotations with Oracle XML DB to do the following:



- Specify which database tables are used to store the XML data.
- Override the default mapping between XML Schema data types and SQL data types, for object-relational storage.
- Name the database objects and attributes that are created to store XML data (for object-relational storage).

Example A-2 shows an annotated purchase-order XML schema. It defines the following two XML namespaces:

- http://www.w3c.org/2001/XMLSchema. This is reserved by W3C for the Schema for Schemas.
- http://xmlns.oracle.com/xdb. This is reserved by Oracle for the Oracle XML DB schema annotations.

Before annotating an XML schema you *must* declare the Oracle XML DB namespace. The Oracle XML DB namespace is http://xmlns.oracle.com/xdb. Example A-2 makes use of the namespace *prefix* xdb to abbreviate the Oracle XML DB namespace.

Example A-2 uses several XML schema annotations, including the following:

- defaultTable annotation in the PurchaseOrder element. This specifies that XML documents, compliant with this XML schema are stored in a database table called purchaseorder.
- SQLType annotation.

The first occurrence of annotation SQLType specifies that the name of the SQL data type generated from complexType element PurchaseOrderType is purchaseorder\_t.

The second occurrence of annotation SQLType specifies that the name of the SQL data type generated from complexType element LineItemType is lineitem\_t.

- SQLCollType annotation. This specifies that the name of the SQL varray type that manages the collection of LineItem elements is lineitem\_v.
- SQLName annotation. This provides an explicit name for each SQL object attribute of purchaseorder t.

Figure 17-2 shows the XMLSpy **Oracle** tab, which facilitates adding Oracle XML DB annotations to an XML schema while working in the graphical editor.



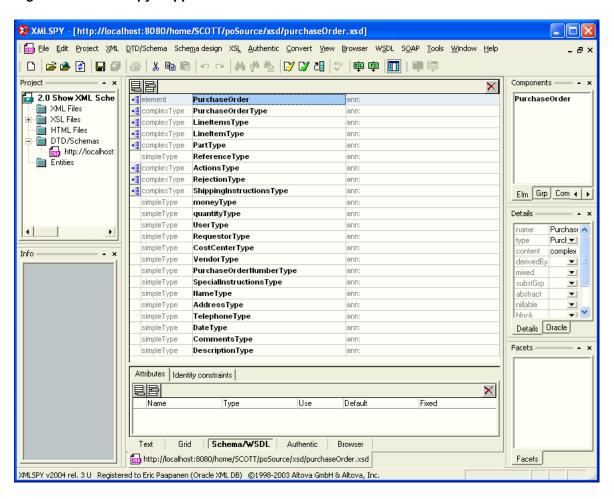


Figure 17-2 XMLSpy Support for Oracle XML DB Schema Annotations

# 17.2.3 DOM Fidelity

DOM fidelity means that all information in an XML document is preserved except whitespace that is insignificant. You can use DOM fidelity to ensure the accuracy and integrity of XML documents stored in Oracle XML DB.

**Document Object Model (DOM) fidelity** is the concept of retaining the structure of a retrieved XML document, compared to the original XML document, for DOM traversals.

With DOM fidelity, XML data retrieved from the database has the same information as before it was inserted into the database, with the single exception of insignificant whitespace. The term "DOM fidelity" is used because this kind of fidelity is particularly important for DOM traversals.

With binary XML storage of XML data, all of the significant information is encoded in the binary XML format, ensuring DOM fidelity.





SYS\_XDBPD\$ and DOM Fidelity for Object-Relational Storage for information about DOM fidelity and object-relational storage of XML data

## 17.2.4 XMLType Methods Related to XML Schema

The most important XMLType methods for working with XML schemas are: isSchemaBased(), getSchemaURL(), schemaValidate(), isSchemaValidated(), and setSchemaValidated().

Table 17-1 XMLType Methods Related to XML Schema

XMLType Method	Description
isSchemaBased()	Returns TRUE if the XMLType instance is based on an XML schema, FALSE otherwise.
getSchemaURL()	The XML schema URL for an XMLType instance.
<pre>schemaValidate() isSchemaValid() isSchemaValidated() setSchemaValidated()</pre>	Validation of an XMLType instance against a registered XML schema: validate, check validation status, or set recorded validation status. See Transformation and Validation of XMLType Data.

# 17.3 XML Schema Registration with Oracle XML DB

Before an XML schema can be used by Oracle XML DB, you must register it. It can then be used to create XMLType tables and columns and to validate XML documents. If schema registration fails then the database is restored to the state it had prior to the registration attempt.

Like all DDL operations, XML schema registration is non-transactional. However, registration is *atomic*, in this sense:

- If registration succeeds then the operation is auto-committed.
- If registration fails then the database is rolled back to the state it had before registration began.

Because XML schema registration potentially involves creating object types and tables, error recovery involves dropping any types and tables thus created. The entire XML schema registration process is guaranteed to be atomic: either it succeeds or the database is restored to its state before the start of registration.

Two items are required to register an XML schema with Oracle XML DB:

- The XML schema document
- A string that can be used as a unique identifier for the XML schema, after it
  is registered with Oracle Database. XML instance documents use this unique
  identifier to identify themselves as members of the class defined by the XML



schema. The identifier is typically in the form of a URL, and is often referred to as the **schema location hint** or the **document location hint**.

#### Note:

The act of registering an XML schema has *no effect* on the status of any instance documents that are *already loaded* into Oracle XML DB Repository and that reference that XML schema.

Such instance documents were treated as non XML-schema-based when they were loaded. They remain such. After schema registration, you must *delete* such documents and *reload* them, in order to obtain XML schema-based documents.

#### XML Schema Registration Actions

As part of registering an XML schema, Oracle XML DB performs several actions that facilitate storing, accessing, and manipulating XML instances that conform to the XML schema.

- Registering an XML Schema with Oracle XML DB
   An example illustrates the use of PL/SQL procedure
   DBMS\_XMLSCHEMA.registerSchema to register an XML schema. The main parameters of this procedure are the schema URL, the schema source document, the character-set ID of the source-document encoding, and options that specify how the schema should be registered.
- SQL Types and Tables Created During XML Schema Registration
   Registration of an XML schema results in the creation of object types and tables.
- Default Tables for Global Elements

By default, tables with system-generated names are created for all global elements. You can specify names to use instead. You can prevent the creation of default tables for particular elements, which can reduce processor time and space used, especially if an XML schema contains many global element definitions.

Database Objects That Depend on Registered XML Schemas
 Several kinds of database object can depend on registered XML schemas: tables, views, other XML schemas, and cursors that reference an XML schema.

#### Local and Global XML Schemas

An XML schema can be registered as local (visible only to its owner, by default) or global (visible to all database users, by default).

#### Fully Qualified XML Schema URLs

Fully qualified XML schema URLs permit explicit reference to particular XML schemas. The name of the database user owning the XML schema is specified as part of the URL. Fully qualified schema URLs belong to the Oracle XML DB namespace.

#### • Deletion of an XML Schema

You can delete a registered XML schema using procedure DBMS\_XMLSCHEMA.deleteSchema.

#### Listing All Registered XML Schemas

An example lists all XML schemas that are registered with Oracle XML DB.



# 17.3.1 XML Schema Registration Actions

As part of registering an XML schema, Oracle XML DB performs several actions that facilitate storing, accessing, and manipulating XML instances that conform to the XML schema.

#### These include:

- Mapping XML Schema data types to Oracle XML DB storage. When XML schema-based data is stored, its storage data types are derived from the XML Schema data types using a default mapping and, optionally, using mapping information that you specify using XML schema annotations. For binary XML storage, XML Schema types are mapped to binary XML encoding types. For object-relational storage, XML schema registration creates the appropriate SQL object types for the object-relational storage of conforming documents.
- Creating default tables. XML schema registration generates default XMLType tables
  for all global elements. You can use XML-schema annotations to control the
  names of the tables, and to provide column-level and table-level storage clauses
  and constraints for use during table creation.

After XML schema registration, documents that reference the XML schema using the XML Schema instance mechanism can be processed automatically by Oracle XML DB. For XML data that is stored object-relationally, XMLType tables and columns can be created that are constrained to the global elements defined by the XML schema.

#### **Related Topics**

- XML Schema Data Types Are Mapped to Oracle XML DB Storage
   Data that conforms to an XML schema uses XML Schema data types. When this
   XML data is stored in Oracle XML DB, its storage data types are derived from the
   XML Schema data types using a default mapping and, optionally, using mapping
   information that you specify using XML schema annotations.
- Default Tables Created during XML Schema Registration
   You can create default tables as part of XML schema registration. Default tables
   are most useful when documents are inserted using APIs and protocols such as
   FTP and HTTP(S), which do not provide any table specification.
- Oracle XML Schema Annotations
   You can annotate XML schemas to influence the objects and tables that are
   generated by the XML schema registration process. You do this by adding Oracle specific attributes to complexType, element, and attribute definitions that are
   declared by the XML schema.

# 17.3.2 Registering an XML Schema with Oracle XML DB

An example illustrates the use of PL/SQL procedure DBMS\_XMLSCHEMA.registerSchema to register an XML schema. The main parameters of this procedure are the schema URL, the schema source document, the character-set ID of the source-document encoding, and options that specify how the schema should be registered.

The main parameters to DBMS\_XMLSCHEMA.registerSchema are as follows:



SCHEMAURL – the XML schema URL. This is a unique identifier for the XML schema
within Oracle XML DB. It is conventionally in the form of a URL, but this is not
a requirement. The XML schema URL is used with Oracle XML DB to identify
instance documents, by making the schema location hint identical to the XML
schema URL. Oracle XML DB never tries to access a Web server identified by the
specified URL.

#### Note:

- You cannot register an XML schema using the same SCHEMAURL as any system-defined XML schema.
- The non-protocol part of the URL must be unique. The protocol part (for example, http or https) is ignored in the test for uniqueness.
- SCHEMADOC The XML schema source document. This is a VARCHAR, CLOB, BLOB, BFILE, XMLType, or URIType value.
- CSID The character-set ID of the source-document encoding, when schemaDoc is a BFILE or BLOB value.
- OPTIONS Options that specify how the XML schema should be registered.
   The most important option is REGISTER\_BINARYXML, which indicates that the XML schema is used for binary XML storage.



Oracle Database PL/SQL Packages and Types Reference

Example 17-1 registers the annotated XML schema of Example A-2.

In Example A-2, the unique identifier for the XML schema is:

http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd

The XML schema document was previously loaded into Oracle XML DB Repository at this path: /source/schemas/poSource/xsd/purchaseOrder.xsd.

During XML schema registration, option SCHEMADOC specifies that PL/SQL constructor XDBURIType is to access the content of the XML schema document, based on its location in the repository. Other options passed to procedure registerSchema specify that the schema in Example A-2 is to be registered as a local XML schema (option LOCAL), and that SQL objects, and that tables are to be generated during the registration process (option GENTABLES).

 $\begin{tabular}{ll} PL/SQL\ procedure\ {\tt DBMS\_XMLSCHEMA.registerSchema}\ performs\ the\ following\ operations: \end{tabular}$ 

- Parses and validates the XML schema.
- Creates a set of entries in Oracle Data Dictionary that describe the XML schema.



- Creates a set of SQL object definitions, based on complexType elements defined in the XML schema.
- Creates an XMLType table for each global element defined by the XML schema.

By default, when an XML schema is registered, Oracle XML DB automatically generates all of the SQL object types and XMLType tables required to manage the instance documents. An XML schema can be registered as global or local.



Oracle Database PL/SQL Packages and Types Reference for information about DBMS\_XMLSCHEMA.registerSchema

#### Example 17-1 Registering an XML Schema Using DBMS XMLSCHEMA.REGISTERSCHEMA

```
BEGIN

DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd',
    SCHEMADOC => XDBURIType('/source/schemas/poSource/xsd/purchaseOrder.xsd').getCLOB(),
    LOCAL => TRUE,
    GENTYPES => TRUE,
    GENTABLES => TRUE);

END;
//
```

#### **Related Topics**

- Local and Global XML Schemas
   An XML schema can be registered as local (visible only to its owner, by default) or global (visible to all database users, by default).
- SQL Types and Tables Created During XML Schema Registration
   Registration of an XML schema results in the creation of object types and tables.

# 17.3.3 SQL Types and Tables Created During XML Schema Registration

Registration of an XML schema results in the creation of object types and tables.

Example 17-2 shows the SQL type definitions that were created during an XML schema registration such as that of Example 17-1. These SQL type definitions include:

- purchaseorder\_t. This type is used to persist the SQL objects generated from a PurchaseOrder element. When an XML document containing a PurchaseOrder element is stored in Oracle XML DB the document is broken up, and the contents of the document are stored as an instance of purchaseorder\_t.
- lineitems\_t, lineitem\_v, and lineitem\_t. These types manage the collection
  of LineItem elements that may be present in a PurchaseOrder document. Type
  lineitems\_t consists of a single attribute lineitem, defined as an instance of
  type lineitem\_v. Type lineitem\_v is defined as a varray of linteitem\_t objects.
  There is one instance of the lineitem\_t object for each LineItem element in the
  document.



#### **Example 17-2 Objects Created During XML Schema Registration**

DESCRIBE purchaseorder_t		
purchaseorder_t is NOT FINAL Name	Null?	Туре
SYS_XDBPD\$		XDB.XDB\$RAW_LIST_T
REFERENCE		VARCHAR2(30 CHAR)
ACTIONS		ACTIONS_T
REJECTION		REJECTION_T
REQUESTOR		VARCHAR2(128 CHAR)
USERID		VARCHAR2(10 CHAR)
COST_CENTER		VARCHAR2(4 CHAR)
SHIPPING_INSTRUCTIONS		SHIPPING_INSTRUCTIONS_T
SPECIAL_INSTRUCTIONS		VARCHAR2(2048 CHAR)
LINEITEMS		LINEITEMS_T
DESCRIBE lineitems_t lineitems t is NOT FINAL		
Name	Null?	Туре
SYS_XDBPD\$		XDB.XDB\$RAW_LIST_T
LINEITEM		LINEITEM_V
DESCRIBE lineitem v		
lineitem v VARRAY(2147483647) OF LINEITER	T N	
LINEITEM T is NOT FINAL	_	
Name	Null?	Type
SYS_XDBPD\$		XDB.XDB\$RAW_LIST_T
ITEMNUMBER		NUMBER(38)
DESCRIPTION		
		VARCHAR2(256 CHAR)

## 17.3.4 Default Tables for Global Elements

By default, tables with system-generated names are created for all global elements. You can specify names to use instead. You can prevent the creation of default tables for particular elements, which can reduce processor time and space used, especially if an XML schema contains many global element definitions.

By default, when an XML schema is registered with the database, Oracle XML DB generates a *default table for each global element* defined by the XML schema.

You can use attribute xdb:defaultTable to specify the name of the default table for a given global element. Each xdb:defaultTable attribute value you provide must be unique among all schemas registered by a given database user. If you do not supply a nonempty default table name for some element, then a unique name is provided automatically.

In practice, however, you do *not* want to create a default table for most global elements. Elements that never serve as the root element for an XML instance document do not need default tables — such tables are never used. Creating default tables for all global elements can lead to significant overhead in processor time and space used, especially if an XML schema contains a large number of global element definitions.

As a general rule, then, you want to prevent the creation of a default table for any global element (or any local element stored out of line) that you are sure will *not* be used as a root element in any document. You can do this in one of the following ways:

- Add the annotation xdb:defaultTable = "" (empty string) to the definition of each global element that will not appear as the root element of an XML instance document. Using this approach, you allow automatic default-table creation, in general, and you prohibit it explicitly where needed, using xdb:defaultTable = "".
- Set parameter GENTABLES to FALSE when registering the XML schema, and then
  manually create the default table for each global element that can legally appear
  as the root element of an instance document. Using this approach, you inhibit
  automatic default-table creation, and you create only the tables that are needed,
  by hand.

# 17.3.5 Database Objects That Depend on Registered XML Schemas

Several kinds of database object can depend on registered XML schemas: tables, views, other XML schemas, and cursors that reference an XML schema.

#### More precisely:

- Tables or views that have an XMLType column that conforms to an element in an XML schema.
- Other XML schemas that include or import a given XML schema as part of their definition.
- Cursors that reference an XML schema. This includes references within functions
  of package DBMS\_XMLGEN. Such cursors are purely transient objects.

## 17.3.6 Local and Global XML Schemas

An XML schema can be registered as local (visible only to its owner, by default) or global (visible to all database users, by default).

When you register an XML schema, PL/SQL package DBMS\_XMLSCHEMA adds a corresponding resource to Oracle XML DB Repository. The XML schema URL determines the path name of the XML schema resource in the repository (and it is associated with parameter SCHEMAURL of PL/SQL procedure DBMS XMLSCHEMA.registerSchema).



In Oracle Enterprise Manager, local and global registered XML schemas are referred to as **private** and **public**, respectively.

#### Local XML Schema

By default, an XML schema is local, meaning that it belongs to you alone, after you register it with Oracle XML DB. A reference to the XML schema document is stored in Oracle XML DB Repository under your user (database schema) name.

#### Global XML Schema

In contrast to local XML schemas, a privileged user can register an XML schema as global by specifying an argument to registration function <code>DBMS\_XMLSCHEMA</code>. are visible to *all* users. They are stored under folder <code>/sys/schemas/PUBLIC/</code> in Oracle XML DB Repository.



#### 17.3.6.1 Local XML Schema

By default, an XML schema is local, meaning that it belongs to you alone, after you register it with Oracle XML DB. A reference to the XML schema document is stored in Oracle XML DB Repository under your user (database schema) name.

Such XML schemas are referred to as **local**. By default, they are usable only by you, the owner. In Oracle XML DB, local XML schema resources are created under folder /sys/schemas/username. The rest of the repository path name is derived from the schema URL.

For example, if the XML schema purchaseOrder.xsd is registered as a local schema by user QUINE, it is given this path name:

/sys/schemas/QUINE/xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd

Database users need appropriate permissions and Access Control Lists (ACLs) to create a resource with a given path name, in order to register the XML schema as a local XML schema. Some ways in which a local XML schema can be registered require one or more of the following privileges:

- ALTER SESSION
- CREATE PROCEDURE
- CREATE SESSION
- CREATE TABLE
- CREATE TRIGGER
- CREATE TYOE

#### Note:

Typically, only the owner of the XML schema can use it to define XMLType tables, columns, or views, validate documents, and so on. However, Oracle XML DB supports fully qualified XML schema URLs. For example: http://xmlns.oracle.com/xdb/schemas/QUINE/xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd. Privileged users can use such an extended URL to specify XML schemas belonging to other users (in this case, user QUINE).

#### Example 17-3 Registering a Local XML Schema

# BEGIN DBMS XMLSCHEMA

```
DBMS_XMLSCHEMA.registerSchema(
   SCHEMAURL => 'http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd',
   SCHEMADOC => bfilename('XMLDIR','purchaseOrder.xsd'),
   LOCAL => TRUE,
   GENTYPES => TRUE,
   GENTABLES => FALSE,
   CSID => nls_charset_id('AL32UTF8'));
```



```
END;
```

#### **Related Topics**

Repository Access Control

Oracle Database provides classic database security such as row-level and column-level secure access by database users. It also provides fine-grained access control for resources in Oracle XML DB Repository. You can create, set, and modify access control lists (ACLs).

### 17.3.6.2 Global XML Schema

In contrast to local XML schemas, a privileged user can register an XML schema as global by specifying an argument to registration function <code>DBMS\_XMLSCHEMA</code>. are visible to *all* users. They are stored under folder <code>/sys/schemas/PUBLIC/</code> in Oracle XML DB Repository.



Access to folder /sys/schemas/PUBLIC is controlled by access control lists (ACLs). By default, this folder is writable only by a database administrator. You need write privileges on this folder to register global XML schemas. Role XDBADMIN provides write access to this folder, assuming that it is protected by the default ACLs. See Repository Access Control.

You can register a local schema with the same URL as an existing global schema. A local schema always shadows (hides) any global schema with the same name (URL).

Example 17-4 illustrates the registration of a global schema.

#### Example 17-4 Registering a Global XML Schema

```
GRANT XDBADMIN TO QUINE;
Grant succeeded.
```

```
CONNECT quine
Enter password: password

Connected.

BEGIN

DBMS_XMLSCHEMA.registerSchema(

SCHEMAURL => 'http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd',

SCHEMADOC => bfilename('XMLDIR','purchaseOrder.xsd'),

LOCAL => FALSE,

GENTYPES => TRUE,

GENTABLES => FALSE,

CSID => nls_charset_id('AL32UTF8'));

END;
```

If this global XML schema is registered by user QUINE, it is given this path name:



/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd

Database users need appropriate permissions (ACL access) to create this resource in order to register the XML schema as global.

# 17.3.7 Fully Qualified XML Schema URLs

Fully qualified XML schema URLs permit explicit reference to particular XML schemas. The name of the database user owning the XML schema is specified as part of the URL. Fully qualified schema URLs belong to the Oracle XML DB namespace.

By default, XML schema URLs are referenced within the scope of the current database user. XML schema URLs are first resolved as the names of *local* XML schemas owned by the current user.

- If there are no such XML schemas, then they are resolved as names of global XML schemas.
- If there are no global XML schemas either, then Oracle XML DB raises an error.

The Oracle XML DB namespace is:

http://xmlns.oracle.com/xdb/schemas/<database-user>/<schemaURL-minus-protocol>

For example, suppose there is a registered global XML schema with the URL http://www.example.com/po.xsd, and user QUINE has a local registered XML schema with the same URL. Another user can reference the schema owned by QUINE as follows using this fully qualified XML Schema URL:

http://xmlns.oracle.com/xdb/schemas/QUINE/www.example.com/po.xsd

The fully qualified URL for the global XML schema is:

http://xmlns.oracle.com/xdb/schemas/PUBLIC/www.example.com/po.xsd

#### **Related Topics**

Local and Global XML Schemas
 An XML schema can be registered as local (visible only to its owner, by default) or global (visible to all database users, by default).

## 17.3.8 Deletion of an XML Schema

You can delete a registered XML schema using procedure DBMS\_XMLSCHEMA.deleteSchema.

This does the following, by default:

- Checks that the current user has the appropriate privileges to delete the resource corresponding to the XML schema within Oracle XML DB Repository. You can control which users can delete which XML schemas, by setting the appropriate ACLs on the XML schema resources.
- Checks whether there are any tables dependent on the XML schema that is to be deleted. If so, raises an error and cancels the deletion. This check is not performed if option delete\_invalidate or delete\_cascade\_force is used. In that case, no error is raised.



- 3. Removes the XML schema document from the Oracle XML DB Repository (folder /sys/schemas).
- 4. Removes the XML schema document from DBA\_XML\_SCHEMAS, unless it was registered for use with binary XML instances and neither delete\_invalidate nor delete\_cascade\_force is used.
- 5. Drops the default table, if either delete\_cascade or delete\_cascade\_force is used. Raises an error if delete\_cascade is specified and there are instances in other tables that are also dependent on the XML schema.

The following values are available for option DELETE\_OPTION of procedure DBMS\_XMLSCHEMA.deleteSchema:

- DELETE\_RESTRICT Raise an error and cancel deletion if dependencies are detected. This is the default behavior.
- DELETE\_INVALIDATE Do not raise an error if dependencies are detected. Instead, mark each of the dependencies as being invalid.
- DELETE\_CASCADE Drop all types and default tables that were generated during XML schema registration. Raise an error if there are instances that depend upon the XML schema that are stored in tables other than the default table. However, do not raise an error for any such instances that are stored in XMLType columns that were created using ANY\_SCHEMA. If the XML schema was registered for use with binary XML, do not remove it from DBA\_XML\_SCHEMAS.
- DELETE\_CASCADE\_FORCE Drop all types and default tables that were generated during XML schema registration. Do not raise an error if there are instances that depend upon the XML schema that are stored in tables other than the default table. Instead, mark each of the dependencies as being invalid. Remove the XML schema from DBA\_XML\_SCHEMAS.

See Also:

Oracle Database PL/SQL Packages and Types Reference

Example 17-5 illustrates the use of DELETE CASCADE FORCE.

If an XML schema was registered for use with binary XML, it is not removed from <code>DBA\_XML\_SCHEMAS</code> when you delete it using option <code>DELETE\_RESTRICT</code> (the default value) or <code>DELETE\_CASCADE</code>. Therefore, although you can no longer use the XML schema to encode new XML instance documents, any existing documents in Oracle XML DB that reference the XML schema can still be decoded using it.

This remains the case until you remove the XML schema from DBA\_XML\_SCHEMAS using DBMS\_XMLSCHEMA.purgeSchema. Oracle recommends that, in general, you use delete\_restrict or delete\_cascade. Instead of using DELETE\_CASCADE\_FORCE, call DBMS\_XMLSCHEMA.purgeSchema when you are sure you no longer need the XML schema.

Procedure purgeSchema removes the XML schema completely from Oracle XML DB. In particular, it removes it from DBA\_XML\_SCHEMAS. Before you use DBMS\_XMLSCHEMA.purgeSchema, be sure that you have transformed all existing XML documents that reference the XML schema to be purged, so they reference a different



XML schema or no XML schema. Otherwise, it will be impossible to decode them after the purge.

# Example 17-5 Deleting an XML Schema with DBMS\_XMLSCHEMA.DELETESCHEMA

```
BEGIN
   DBMS_XMLSCHEMA.deleteSchema(
        SCHEMAURL => 'http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd',
        DELETE_OPTION => DBMS_XMLSCHEMA.DELETE_CASCADE_FORCE);
END;
//
```

# 17.3.9 Listing All Registered XML Schemas

An example lists all XML schemas that are registered with Oracle XML DB.

Example 17-6 shows how to use PL/SQL procedure DBMS\_XMLSCHEMA.registerSchema to obtain a list of all XML schemas registered with Oracle XML DB. You can also examine views USER\_XML\_SCHEMAS, ALL\_XML\_SCHEMAS, USER\_XML\_TABLES, and ALL\_XML\_TABLES.

#### Example 17-6 Data Dictionary Table for Registered Schemas

DESCRIBE DBA\_XML\_SCHEMAS

```
Name
           Null? Type
                 VARCHAR2(30)
               VARCHAR2(700)
SCHEMA_URL
LOCAL
                 VARCHAR2(3)
               XMLTYPE(XMLSchema "http://xmlns.oracle.com/xdb/XDBSchema.xsd"
SCHEMA
                         Element "schema")
               VARCHAR2(4000)
INT_OBJNAME
QUAL_SCHEMA_URL VARCHAR2(767)
HIER TYPE
                VARCHAR2(11)
BINARY
                VARCHAR2(3)
                RAW(16)
SCHEMA ID
HIDDEN
                VARCHAR2(3)
SELECT OWNER, LOCAL, SCHEMA_URL FROM DBA_XML_SCHEMAS;
OWNER
      LOC
             SCHEMA_URL
XDB
       NO
            http://xmlns.oracle.com/xdb/XDBSchema.xsd
       NO
XDB
            http://xmlns.oracle.com/xdb/XDBResource.xsd
       NO http://xmlns.oracle.com/xdb/acl.xsd
XDB
XDB
       NO http://xmlns.oracle.com/xdb/dav.xsd
XDB
       NO
            http://xmlns.oracle.com/xdb/XDBStandard.xsd
XDB
       NO
            http://www.w3.org/2001/xml.xsd
XDB
       NO
            http://xmlns.oracle.com/xdb/stats.xsd
XDB
       NO
            http://xmlns.oracle.com/xdb/xdbconfig.xsd
SCOTT
       YES http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd
```

13 rows selected.

#### DESCRIBE DBA\_XML\_TABLES

Name	Null?	Туре
OWNER		VARCHAR2(30)
TABLE_NAME		VARCHAR2(30)
XMLSCHEMA		VARCHAR2(700)
SCHEMA_OWNER		VARCHAR2(30)
ELEMENT_NAME		VARCHAR2(2000)
STORAGE_TYPE		VARCHAR2(17)
ANYSCHEMA		VARCHAR2(3)
NONSCHEMA		VARCHAR2(3)
		FROM DBA_XML_TABLES = 'http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd';
TABLE_NAME		
PurchaseOrde	r1669_'	ГАВ

1 row selected.

# 17.4 Creation of XMLType Tables and Columns Based on XML Schemas

You can create XMLType tables and columns that are constrained to a global element defined by an XML schema. After an XMLType column has been constrained to a particular element and a particular schema, it can only contain documents that are compliant with the schema definition of that element.

You constrain an XMLType table column to a particular element and XML schema by adding appropriate XMLSCHEMA and ELEMENT clauses to the CREATE TABLE operation.

Figures Figure 17-3 through Figure 17-6 show the syntax for creating an XMLType table.



Oracle Database SQL Language Reference for the complete description of CREATE TABLE, including syntax elements such as object\_properties.

#### Note:

To create an XMLType table in a different database schema from your own, you must have not only privilege CREATE ANY TABLE but also privilege CREATE ANY INDEX. This is because a unique index is created on column <code>OBJECT\_ID</code> when you create the table. Column <code>OBJECT\_ID</code> stores a system-generated object identifier.



Figure 17-3 Creating an XMLType Table – CREATE TABLE Syntax

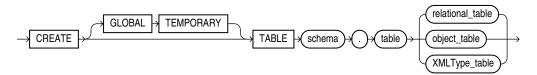


Figure 17-4 Creating an XMLType Table – XMLType\_table Syntax

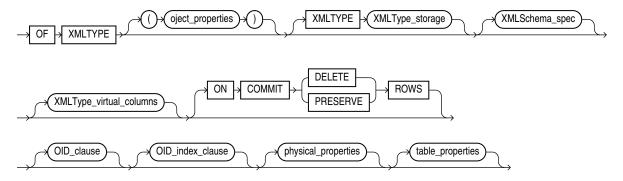


Figure 17-5 Creating an XMLType Table – table\_properties Syntax

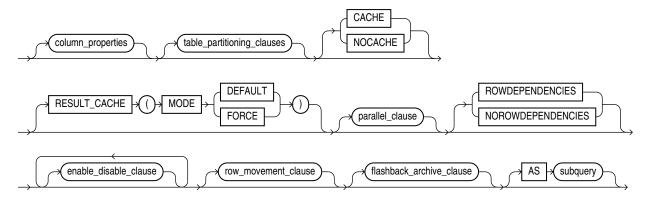
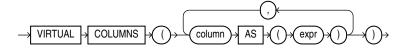


Figure 17-6 Creating an XMLType Table – XMLType\_virtual\_columns Syntax



#### Note:

- Clause XMLType\_virtual\_columns can be used only for XMLType data
  that is stored as binary XML. In particular, if you use it for data that is
  stored object-relationally, and if you use a partitioning clause, then an
  error is raised.
- For XML data, virtual columns are used primarily for partitioning or defining SQL constraints. If your need is to project out specific XML data in order to access it relationally, then consider using SQL/XML function XMLTable or XMLIndex with a structured component.

A subset of the XPointer notation can also be used to provide a single URL that contains the XML schema location and element name. See also Query and Update of XML Data.

Example 17-7 shows two CREATE TABLE statements. The first creates XMLType table purchaseorder\_as\_table. The second creates relational table purchaseorder\_as\_column, which has XMLType column xml\_document. In each table, the XMLType instance is constrained to the PurchaseOrder element that is defined by the XML schema registered with URL http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd.

There are two ways to specify XMLSchema and Element:

- as separate clauses, XMLSchema and Element
- using only the Element clause with an XPointer notation

The data associated with an XMLType table or column that is constrained to an XML schema can be stored in different ways:

- Decomposed and stored object-relationally
- Stored as binary XML, using a single binary-XML column

#### Example 17-7 Creating XML Schema-Based XMLType Tables and Columns

```
CREATE TABLE purchaseorder_as_table OF XMLType

XMLSCHEMA "http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd"

ELEMENT "PurchaseOrder";

CREATE TABLE purchaseorder_as_column (id NUMBER, xml_document XMLType)

XMLTYPE COLUMN xml_document

ELEMENT

"http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd#PurchaseOrder";
```

• Specification of XMLType Storage Options for XML Schema-Based Data You can specify storage options to use when you manually create a table that stores XML instance documents that reference an XML schema. To specify a particular XMLType storage model, use a STORE AS clause in the CREATE TABLE statement.



#### **Related Topics**

• Creating Virtual Columns on XMLType Data Stored as Binary XML You can create virtual columns only for XMLType data that is stored as binary XML. Such columns are useful for partitioning or constraining the data.

#### XMLTABLE SQL/XML Function in Oracle XML DB

You use SQL/XML function XMLTable to decompose the result of an XQuery-expression evaluation into the relational rows and columns of a new, virtual table. You can insert this data into a pre-existing database table, or you can query it using SQL — in a join expression, for example.

#### XMLIndex Structured Component

You create and use the structured component of an XMLIndex index for queries that project fixed, structured islands of XML content, even if the surrounding data is relatively unstructured.

# 17.4.1 Specification of XMLType Storage Options for XML Schema-Based Data

You can specify storage options to use when you manually create a table that stores XML instance documents that reference an XML schema. To specify a particular XMLType storage model, use a STORE AS clause in the CREATE TABLE statement.

Otherwise, the storage model specified during registration of the XML schema is used. If no storage model was specified during registration, then object-relational storage is used.

Besides specifying storage options for XML schema-based data, you can also specify storage options for tables that are created automatically, by using XML schema annotations.

#### Binary XML Storage of XML Schema-Based Data

If you specify STORE AS BINARY\_XML then binary XML storage is used. If you specify an XML schema that the documents must conform to then you can use that schema only to create XMLType tables and columns that are stored as binary XML.

Object-Relational Storage of XML Schema-Based Data

After you register an XML schema you can create an object-relational XMLType table or column for documents that conform to that schema. You can optionally specify object-relational storage options for the table or column.

#### **Related Topics**

#### Oracle XML Schema Annotations

You can annotate XML schemas to influence the objects and tables that are generated by the XML schema registration process. You do this by adding Oracle-specific attributes to <code>complexType</code>, <code>element</code>, and <code>attribute</code> definitions that are declared by the XML schema.

Choice of XMLType Storage and Indexing

Important design choices for your application include what XMLType storage model to use and which indexing approaches to use.



## 17.4.1.1 Binary XML Storage of XML Schema-Based Data

If you specify STORE AS BINARY\_XML then binary XML storage is used. If you specify an XML schema that the documents must conform to then you can use that schema only to create XMLType tables and columns that are stored as binary XML.

You *cannot* use the same XML schema to create XMLType tables and columns that are stored object-relationally.

The converse is also true: If you use object-relational storage for the registered XML schema, then you can use only that XML schema to create XMLType tables and columns that are stored as binary XML.

Binary XML storage offers a great deal of flexibility for XML data, especially concerning the use of XML schemas. Binary XML encodes XML data differently, depending upon whether or not an XML schema is used for the encoding, and it can encode the same data differently using different XML schemas.

When an XML schema is taken into account for encoding binary XML data, the XML Schema data types are mapped to encoded types for storage. Alternatively, you can encode XML data as non-schema-based binary XML, whether or not the data references an XML schema. In that case, any referenced XML schema is ignored, and there is no encoding of XML Schema data types.

When you create an XMLType table or column and you use binary XML storage, you can specify how to encode the column or table to make use of XML schemas. Choose from among these possibilities:

- Encode the column or table data as non-schema-based binary XML. The XML data stored in the column can nevertheless conform to an XML schema, but it need not. Any referenced XML schema is ignored for encoding purposes, and documents are not automatically validated when they are inserted or updated.
  - You can nevertheless explicitly validate an XML schema-based document that is encoded as non-schema-based binary XML. This represents an important use case: situations where you do not want to tie documents too closely to a particular XML schema, because you might change it or delete it.
- Encode the column or table data to conform to a single XML schema. All rows (documents) must conform to the same XML schema. You can nevertheless specify, as an option, that non-schema-based documents can also be stored in the same column.
- Encode the column or table data to conform to whatever XML schema it
  references Each row (document) can reference any XML schema, and that XML
  schema is used to encode that particular XML document. In this case also, you
  can specify, as an option, that non-schema-based documents can also be stored
  in the same column.

You can use multiple *versions* of the same XML schema in this way. Store documents that conform to different versions. Each is encoded according to the XML schema that it references.

You can specify that any XML schema can be used for encoding by using option ALLOW ANYSCHEMA when you create the table.



#### Note:

- If you use option ALLOW ANYSCHEMA, then any XML schema referenced by your instance documents is used only for validation. It is not used at query time. Queries of your data treat it as if it were non XML schemabased data.
- Oracle recommends that you do *not* use option ALLOW ANYSCHEMA if you anticipate using copy-based XML schema evolution (see Copy-Based Schema Evolution). If you use this option, it is impossible to determine which rows (documents) might conform to the XML schema that is evolved. Conforming rows are not transformed during copy-based evolution, and afterward they are not decodable.

You can specify, for tables and columns that use XML schema-based encodings, that they can accept also non-schema-based documents by using option ALLOW NONSCHEMA. In the absence of keyword XMLSCHEMA, encoding is for non-schema-based documents. In the absence of the keywords ALLOW NONSCHEMA but the presence of keyword XMLSCHEMA, encoding is for the single XML schema specified. In the absence of the keywords ALLOW NONSCHEMA but the presence of the keywords ALLOW ANYSCHEMA, encoding is for any XML schema that is referenced.

An error is raised if you try to insert an XML document into an XMLType table or column that does not correspond to the document.

The various possibilities are summarized in Table 17-2.

Table 17-2 CREATE TABLE Encoding Options for Binary XML

Storage Options	Encoding Effect
STORE AS BINARY XML	Encodes all documents using the non-schema-based encoding.
STORE AS BINARY XML	Encodes all documents using an encoding based on the referenced XML schema.
APIDCIIEPA	Trying to insert or update a document that does not conform to the XML schema raises an error.
STORE AS BINARY XML XMLSCHEMA ALLOW NONSCHEMA	Encodes all XML schema-based documents using an encoding based on the referenced XML schema. Encodes all non-schema-based documents using the non-schema-based encoding.
ALLOW NONDCHEFT	Trying to insert or update an XML schema-based document that does not conform to the referenced XML schema raises an error.
STORE AS BINARY XML	Encodes all XML schema-based documents using an encoding based on the XML schema referenced by the document.
ADDON ANTOCHERA	Trying to insert or update a document that does not reference a registered XML schema or that does not conform to the XML schema it references raises an error.



Table 17-2 (Cont.) CREATE TABLE Encoding Options for Binary XML

Storage Options	Encoding Effect
STORE AS BINARY XML ALLOW ANYSCHEMA ALLOW NONSCHEMA	Encodes all XML schema-based documents using an encoding based on the XML schema referenced by the document. Encodes all non-schema-based documents using the non-schema-based encoding.
	Trying to insert or update an XML schema-based document that does not conform to the registered XML schema it references raises an error.

#### Note:

If you use CREATE TABLE with ALLOW NONSCHEMA but not ALLOW ANYSCHEMA, then all documents, even XML schema-based documents, are encoded using the non-schema-based encoding. If you later use ALTER TABLE with ALLOW ANYSCHEMA on the same table, this has no effect on the encoding of documents that were stored prior to the ALTER TABLE operation — all such documents continue to be encoded using the non-schema-based encoding, regardless of whether they reference an XML schema. Only XML schema-based documents that you insert in the table after the ALTER TABLE operation are encoded using XML schema-based encodings.

## 17.4.1.2 Object-Relational Storage of XML Schema-Based Data

After you register an XML schema you can create an object-relational XMLType table or column for documents that conform to that schema. You can optionally specify object-relational storage options for the table or column.

Suppose that you have registered a purchase-order XML schema, identified by URL http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd. You then create an object-relational XMLType table, purchaseorder\_as\_table, to store instances that conform to element PurchaseOrder of the XML schema, as in Example 17-8.

This automatically creates hidden columns that correspond to the database object type to which the PurchaseOrder element has been mapped. In addition, an XMLEXTRA object column is created, to store top-level instance data such as namespace declarations. XMLEXTRA is reserved for internal use.

Suppose that XML schema purchaseOrder.xsd defines element LineItems as a child of element PurchaseOrder, and that LineItems is a collection of LineItem elements.

With object-relational storage, collections are mapped to SQL varray values. An XML **collection** is any element that is defined by the XML schema with  $\max$ Occurs > 1, allowing it to appear multiple times. By default, the entire contents of such a varray is stored as a set of rows in an ordered collection table (OCT).

Example 17-9 creates table purchaseorder\_as\_table differently from Example 17-8. It specifies additional storage options:

- The LineItems collection varray is stored as a LOB, not as a table.
- Tablespace USERS is used for storing element Notes.



The table is compressed for online transaction processing (OLTP).



In releases prior to Oracle Database 11gR2, the default behavior for CREATE TABLE was to store a collection using a varray stored as a LOB, not a varray stored as a table.

#### Note:

When compression is specified for a parent  $\mathtt{XMLType}$  table or column, all descendant  $\mathtt{XMLType}$  ordered collection tables (OCTs) are similarly compressed.

#### See Also:

- Oracle XML Schema Annotations for information about specifying storage options by using XML schema annotations
- Oracle Database SQL Language Reference for information about compression for OLTP

As a convenience, if you need to specify that *all* varrays in an XMLType table or column are to be stored as LOBs, or all are to be stored as tables, then you can use the syntax clause STORE ALL VARRAYS AS, followed by LOBS or TABLES, respectively. This is a convenient alternative to using multiple VARRAY...STORE AS clauses, one for each collection. Example 17-10 illustrates this.

#### See Also:

Oracle Database SQL Language Reference for information about using STORE ALL VARRAYS AS LOBS

# Example 17-8 Creating an Object-Relational XMLType Table with Default Storage

```
CREATE TABLE purchaseorder_as_table OF XMLType
    ELEMENT
    "http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd#PurchaseOrder";
```

# Example 17-9 Specifying Object-Relational Storage Options for XMLType Tables and Columns

```
CREATE TABLE purchaseorder_as_table

OF XMLType (UNIQUE ("XMLDATA"."Reference"),

FOREIGN KEY ("XMLDATA"."User") REFERENCES hr.employees (email))
```



```
ELEMENT
  "http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd#PurchaseOrder"
 VARRAY "XMLDATA". "LineItems". "LineItem" STORE AS LOB lineitem_lob
 LOB ("XMLDATA"."Notes")
    STORE AS (TABLESPACE USERS ENABLE STORAGE IN ROW
              STORAGE(INITIAL 4K NEXT 32K))
   COMPRESS FOR OLTP;
CREATE TABLE purchaseorder_as_column (
  id NUMBER,
 xml_document XMLType,
 UNIQUE (xml_document."XMLDATA"."Reference"),
 FOREIGN KEY (xml_document."XMLDATA"."User") REFERENCES hr.employees (email))
 XMLTYPE COLUMN xml document
 XMLSCHEMA "http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd"
 ELEMENT "PurchaseOrder"
 VARRAY xml_document."XMLDATA"."LineItems"."LineItem" STORE AS LOB lineitem_lob
 LOB (xml_document."XMLDATA"."Notes")
    STORE AS (TABLESPACE USERS ENABLE STORAGE IN ROW
             STORAGE(INITIAL 4K NEXT 32K))
   COMPRESS FOR OLTP;
```

#### Example 17-10 Using STORE ALL VARRAYS AS

```
CREATE TABLE purchaseorder_as_table OF XMLType (UNIQUE ("XMLDATA"."Reference"),
FOREIGN KEY ("XMLDATA"."User") REFERENCES hr.employees (email))
ELEMENT
   "http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd#PurchaseOrder"
STORE ALL VARRAYS AS LOBS;
```

# 17.5 Ways to Identify XML Schema Instance Documents

Before an XML document can be inserted into an XML Schema-based XMLType table or column, the associated XML schema must be identified. You can do this when you create the table or column, or you can use XMLSchema-instance to explicitly add the required schema identification to the XML instance document.

To explicitly identify the XML schema when creating the XMLType table or column, you can pass the name of the XML schema to the XMLType constructor, or you can invoke XMLType method CreateSchemaBasedXML().

The advantage of the XMLSchema-instance mechanism is that it lets the Oracle XML DB protocol servers recognize that an XML document inserted into Oracle XML DB Repository is an instance of a registered XML schema. The content of the instance document is automatically stored in the default table specified by that XML schema.

The XMLSchema-instance mechanism is defined by the W3C XML Schema working group. It is based on adding attributes that identify the target XML schema to the root element of the instance document. These attributes are defined by the XMLSchema-instance namespace.

To identify an instance document as a member of the class defined by a particular XML schema you must declare the XMLSchema-instance namespace by adding a namespace declaration to the root element of the instance document. For example:

```
xmlns:xsi = http://www.w3.org/2001/XMLSchema-instance
```



Once the XMLSchema-instance namespace has been declared and given a namespace prefix, attributes that identify the XML schema can be added to the root element of the instance document. In the preceding example, the namespace prefix for the XMLSchema-instance namespace was defined as xsi. This prefix can then be used when adding the XMLSchema-instance attributes to the root element of the instance document.

Which attributes must be added depends on several factors. There are two possibilities, noNamespaceSchemaLocation and schemaLocation. Depending on the XML schema, one or both of these attributes is required to identify the XML schemas that the instance document is associated with.

- Attributes noNamespaceSchemaLocation and schemaLocation
  If the target XML schema does not declare a target namespace, attribute
  noNamespaceSchemaLocation is used to identify the XML schema. The attribute
  value is the schema location hint. This is the unique identifier that is passed to
  PL/SQL procedure DBMS\_XMLSCHEMA.registerSchema when the XML schema is
  registered with the database.
- XML Schema and Multiple Namespaces
   When an XML schema includes elements defined in multiple namespaces, an entry must occur in the schemaLocation attribute for each of the XML schemas.
   Each entry consists of the namespace declaration and the schema location hint.

# 17.5.1 Attributes noNamespaceSchemaLocation and schemaLocation

If the target XML schema does not declare a target namespace, attribute noNamespaceSchemaLocation is used to identify the XML schema. The attribute value is the schema location hint. This is the unique identifier that is passed to PL/SQL procedure DBMS\_XMLSCHEMA.registerSchema when the XML schema is registered with the database.

For XML schema purchaseOrder.xsd, the correct definition of the root element of the instance document would read as follows:

```
<PurchaseOrder
   xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
   xsi:noNamespaceSchemaLocation=
   "http://localhost:8080/source/schemas/poSource/xsd/
purchaseOrder.xsd">
```

If the target XML schema declares a target namespace, then the schemaLocation attribute is used to identify the XML schema. The value of this attribute is a pair of values separated by a space:

- The value of the target namespace declared in the XML schema
- The schema location hint, the unique identifier passed to procedure DBMS\_XMLSCHEMA.registerSchema when the schema is registered with the database

For example, assume that the PurchaseOrder XML schema includes a target namespace declaration. The root element of the schema would look like this:

<xs:schema targetNamespace="http://demo.oracle.com/xdb/purchaseOrder"
xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>



In this case, the correct form of the root element of the instance document would read as follows:

```
<PurchaseOrder
    xnlns="http://demo.oracle.com/xdb/purchaseOrder"
    xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
    xsi:schemaLocation=
        "http://demo.oracle.com/xdb/purchaseOrder
        http://mdrake-lap:8080/source/schemas/poSource/xsd/
purchaseOrder.xsd">
```

# 17.5.2 XML Schema and Multiple Namespaces

When an XML schema includes elements defined in multiple namespaces, an entry must occur in the schemaLocation attribute for each of the XML schemas. Each entry consists of the namespace declaration and the schema location hint.

The entries are separated from each other by one or more whitespace characters.

If the primary XML schema does not declare a target namespace, then the XML instance document also needs to include a noNamespaceSchemaLocation attribute that provides the *schema location hint* for the primary XML schema.

# 17.6 XML Schema Data Types Are Mapped to Oracle XML DB Storage

Data that conforms to an XML schema uses XML Schema data types. When this XML data is stored in Oracle XML DB, its storage data types are derived from the XML Schema data types using a default mapping and, optionally, using mapping information that you specify using XML schema annotations.

Whenever you do not specify a data type to use for storage, Oracle XML DB uses the default mapping to annotate the XML schema appropriately, during registration. In this way, the registered XML schema has a complete set of data-type annotations.

- For object-relational storage, XML Schema data types are mapped to SQL data types.
- For binary XML storage, XML Schema data types are mapped to Oracle XML DB binary XML encoding types.

Figure 17-7 shows how Oracle XML DB creates XML schema-based XMLType tables using an XML document and a mapping specified in an XML schema. Depending on the storage method specified in the XML schema, an XML instance document is stored either as a binary XML value in a single XMLType column, or using multiple object-relational columns.



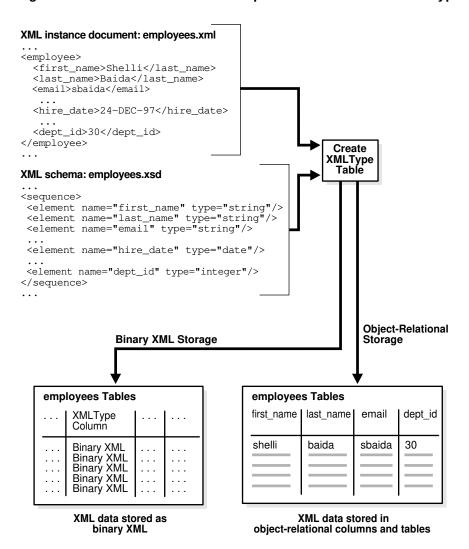


Figure 17-7 How Oracle XML DB Maps XML Schema-Based XMLType Tables

#### **Related Topics**

Use DBMS\_XMLSCHEMA to Map XML Schema Data Types to SQL Data Types
You use PL/SQL package DBMS\_XMLSCHEMA to map data types for XML Schema
attributes and elements to SQL data types.

# XML Schema Storage and Query: Object-Relational Storage

Advanced techniques for XML Schema-based data include using object-relational storage; annotating XML schemas; mapping Schema data types to SQL; using complexType extensions and restrictions; creating, specifying relational constraints on, and partitioning XML Schema-based data, storing XMLType data out of line, working with complex or large schemas, and debugging schema registration.

#### Object-Relational Storage of XML Documents

Object-relational storage of XML documents is based on decomposing the document content into a set of SQL objects. These SQL objects are based on the SQL 1999 Type framework. When an XML schema is registered with Oracle XML DB, the required SQL type definitions are automatically generated from the schema.

#### Oracle XML Schema Annotations

You can annotate XML schemas to influence the objects and tables that are generated by the XML schema registration process. You do this by adding Oracle-specific attributes to <code>complexType</code>, <code>element</code>, and <code>attribute</code> definitions that are declared by the XML schema.

- Use DBMS\_XMLSCHEMA to Map XML Schema Data Types to SQL Data Types
  You use PL/SQL package DBMS\_XMLSCHEMA to map data types for XML Schema
  attributes and elements to SQL data types.
- complexType Extensions and Restrictions in Oracle XML DB
   In XML Schema, complexType values are declared based on complexContent and simpleContent. Oracle XML DB defines various extensions and restrictions to complexType.
- Creating XML Schema-Based XMLType Columns and Tables
   After an XML schema has been registered with Oracle XML DB, you can reference it when you define XMLType tables or columns.
- Overview of Partitioning XMLType Tables and Columns Stored Object-Relationally
  When you partition an object-relational XMLType table or a table with an XMLType
  column that is stored object-relationally and you use list, range, or hash
  partitioning, any ordered collection tables (OCTs) or out-of-line tables within the
  data are automatically partitioned accordingly, by default.
- Specification of Relational Constraints on XMLType Tables and Columns
   For XMLType data stored object-relationally, you can specify typical relational
   constraints for elements and attributes that occur only once in an XML document.

#### Out-Of-Line Storage of XMLType Data

By default, when XMLType data is stored object-relationally a child element is mapped to an embedded SQL object attribute. Sometimes better performance can be obtained by storing some XMLType data out of line. Use XML schema annotation xdb: SOLInline to do this.



- Considerations for Working with Complex or Large XML Schemas
   XML schemas can be complex. Examples of complex schemas include those that
   are recursive and those that contain circular or cyclical references. Working with
   complex or large XML schemas can be challenging and requires taking certain
   considerations into account.
- Debugging XML Schema Registration for XML Data Stored Object-Relationally
   For XML data stored object-relationally, you can monitor the object types and
   tables created during XML schema registration by setting the event 31098 before
   invoking PL/SQL procedure DBMS XMLSCHEMA.registerSchema.

#### See Also:

- XML Schema Storage and Query: Basic for basic information about using XML Schema with Oracle XML DB
- XPath Rewrite for Object-Relational Storage for information about the optimization of XPath expressions in Oracle XML DB
- XML Schema Evolution for information about updating an XML schema after you have registered it with Oracle XML DB
- XML Schema Part 0: Primer Second Edition for an introduction to XML Schema

# 18.1 Object-Relational Storage of XML Documents

Object-relational storage of XML documents is based on decomposing the document content into a set of SQL objects. These SQL objects are based on the SQL 1999 Type framework. When an XML schema is registered with Oracle XML DB, the required SQL type definitions are automatically generated from the schema.

A SQL type definition is generated from each <code>complexType</code> defined by the XML schema. Each element or attribute defined by the <code>complexType</code> becomes a SQL attribute in the corresponding SQL type. Oracle XML DB automatically maps the 47 scalar data types defined by the XML Schema Recommendation to the 19 scalar data types supported by SQL. A varray type is generated for each element and this can occur multiple times.

The generated SQL types allow XML content that is compliant with the XML schema to be decomposed and stored in the database as a set of objects, without any loss of information. When an XML document is ingested, the constructs defined by the XML schema are mapped directly to the equivalent SQL types. This lets Oracle XML DB leverage the full power of Oracle Database when managing XML, and it can lead to significant reductions in the amount of space required to store the document. It can also reduce the amount of memory required to query and update XML content.

How Collections Are Stored for Object-Relational XMLType Storage
 You can store an ordered collection as a varray in an ordered collection table
 (OCT), which can be a heap-based table. You can store the actual data out of line
 by using varray entries that are REFs to the data.



 SQL Types Created during XML Schema Registration for Object-Relational Storage

Use TRUE as the value of parameter GENTYPES when you register an XML schema for use with XML data stored object-relationally (TRUE is the default value). Oracle XML DB then creates the appropriate SQL object types that enable object-relational storage of conforming XML documents.

- Default Tables Created during XML Schema Registration
  You can create default tables as part of XML schema registration. Default tables
  are most useful when documents are inserted using APIs and protocols such as
  FTP and HTTP(S), which do not provide any table specification.
- Do Not Use Internal Constructs Generated during XML Schema Registration
  In general, the SQL constructs (data types, nested tables, and tables associated
  with out-of-line storage) that are automatically generated during XML schema
  registration are *internal* to Oracle XML DB. Oracle recommends that you do *not*use them in your code.
- Generated Names are Case Sensitive
   The names of any SQL tables, objects, and attributes generated by XML schema registration are case sensitive.
- SYS\_XDBPD\$ and DOM Fidelity for Object-Relational Storage
   In order to provide DOM fidelity for XML data that is stored object-relationally,
   Oracle XML DB records all information that cannot be stored in any of the other object attributes as instance-level metadata using the system-defined binary object attribute SYS\_XDBPD\$ (positional descriptor, or PD).

# 18.1.1 How Collections Are Stored for Object-Relational XMLType Storage

You can store an ordered collection as a varray in an ordered collection table (OCT), which can be a heap-based table. You can store the actual data out of line by using varray entries that are REFS to the data.

When you register an XML schema for XMLType data that is stored object-relationally and you set registration parameter GENTABLES to TRUE, default tables are created automatically to store the associated XML instance documents.

Order is preserved among XML collection elements when they are stored. The result is an **ordered collection**.

You can store data in an ordered collection as a **varray in a table**. Each element in the collection is mapped to a SQL object. The collection of SQL objects is stored as a set of rows in a table, called an **ordered collection table** (**OCT**). Oracle XML DB stores a collection as a *heap-based* OCT.

You can also use out-of-line storage for an ordered collection. This corresponds to XML schema annotation SQLInline = "false", and it means that a varray of REFs in the collection table (or the LOB) tracks the collection content, which is stored out of line.

There is no requirement to annotate an XML schema before using it. Oracle XML DB uses a set of default assumptions when processing an XML schema that contains no annotations.



#### **Related Topics**

- Setting Annotation Attribute xdb:SQLInline to false for Out-Of-Line Storage Set XML schema annotation xdb:SQLInline to false to store an XML fragment out of line. The element is mapped to a SQL object type with an embedded REF attribute, which points to another XMLType instance that is stored out of line and that corresponds to the XML fragment.
- Overview of Partitioning XMLType Tables and Columns Stored Object-Relationally
  When you partition an object-relational XMLType table or a table with an XMLType
  column that is stored object-relationally and you use list, range, or hash
  partitioning, any ordered collection tables (OCTs) or out-of-line tables within the
  data are automatically partitioned accordingly, by default.



Object-Relational Storage of XML Documents for information about collection storage when you create XMLType tables and columns manually using object-relational storage

# 18.1.2 SQL Types Created during XML Schema Registration for Object-Relational Storage

Use TRUE as the value of parameter GENTYPES when you register an XML schema for use with XML data stored object-relationally (TRUE is the default value). Oracle XML DB then creates the appropriate SQL object types that enable object-relational storage of conforming XML documents.

By default, all SQL object types are created in the database schema of the user who registers the XML schema. If annotation xdb:defaultSchema is used, then Oracle XML DB attempts to create the object type using the specified database schema. The current user must have the necessary privileges to create these object types.

Example 18-1 shows the SQL object types that are created automatically when XML schema purchaseOrder.xsd is registered with Oracle XML DB.



By default, the names of the SQL object types and attributes are system-generated. This is the case in Example 18-1. If the XML schema does not contain attribute SQLName, then the SQL name is derived from the XML name. You can use XML schema annotations to provide user-defined names (see Oracle XML Schema Annotations for details).





Starting with Oracle Database 12c Release 2 (12.2.0.1), if you register an XML schema for object-relational storage for an *application common user* then you *must* annotate each complex type in the schema with xdb:SQLType, to name the SQL data type. Otherwise, an error is raised.

#### Example 18-1 SQL Object Types for Storing XMLType Tables

DESCRIBE "PurchaseOrderType1668\_T" "PurchaseOrderType1668\_T" is NOT FINAL Null? Type SYS\_XDBPD\$ XDB.XDB\$RAW\_LIST\_T Reference VARCHAR2(30 CHAR) Actions Reject RejectionType1660\_T
Requestor VARCHAR2(128 CHAR)
User VARCHAR2(10 CHAR)
CostCenter VARCHAR2(4 CHAR)
ShippingInstructions ShippingInstructionsTyp1659\_T
SpecialInstructions VARCHAR2(2048 CHAR)
LineItems LineItemsType1666\_T
Notes VARCHAR2(4000 GUAR) ActionsType1661\_T Notes VARCHAR2(4000 CHAR) DESCRIBE "LineItemsType1666\_T" "LineItemsType1666\_T" is NOT FINAL Name Null? Type \_\_\_\_\_ SYS\_XDBPD\$ XDB.XDB\$RAW\_LIST\_T LineItem LineItem1667\_COLL DESCRIBE "LineItem1667\_COLL" "LineItem1667\_COLL" VARRAY(2147483647) OF LineItemType1665\_T "LineItemType1665\_T" is NOT FINAL Name Null? Type \_\_\_\_\_\_ SYS\_XDBPD\$ XDB.XDB\$RAW\_LIST\_T
ItemNumber NUMBER(38)
Description VARCHAR2(256 CHAR)
Part Part Type1664 T PartType1664\_T

# 18.1.3 Default Tables Created during XML Schema Registration

You can create default tables as part of XML schema registration. Default tables are most useful when documents are inserted using APIs and protocols such as FTP and HTTP(S), which do not provide any table specification.

In such cases, the XML instance is inserted into the default table.

Example 18-2 describes the default purchase-order table.

If you provide a value for attribute xdb:defaultTable, then the XMLType table is created with that name. Otherwise it is created with an internally generated name.

Any text specified using attributes xdb:tableProps and xdb:columnProps is appended to the generated CREATE TABLE statement.

#### Example 18-2 Default Table for Global Element PurchaseOrder

# 18.1.4 Do Not Use Internal Constructs Generated during XML Schema Registration

In general, the SQL constructs (data types, nested tables, and tables associated with out-of-line storage) that are automatically generated during XML schema registration are *internal* to Oracle XML DB. Oracle recommends that you do *not* use them in your code.

More precisely, generated SQL data types, nested tables, and tables associated with out-of-line storage are based on specific internal XML schema-to-object type mappings that are subject to change and redefinition by Oracle at any time. In general:

- Do not use any generated SQL data types.
- Do not access or modify any generated nested tables or out-of-line tables.

You can, however, modify the storage options, such as partitioning, of generated tables, and you can create indexes and constraints on generated tables. You can also freely use any XML schema annotations provided by Oracle XML DB, including annotations that name generated constructs.

## 18.1.5 Generated Names are Case Sensitive

The names of any SQL tables, objects, and attributes generated by XML schema registration are case sensitive.

For instance, in Example 18-2, the name of table PurchaseOrder1669\_TAB is derived from the name of element PurchaseOrder, so it too is mixed case. You must therefore refer to this table using a quoted identifier: "PurchaseOrder1669\_TAB". Failure to do so results in an object-not-found error, such as ORA-00942: table or view does not exist.

# 18.1.6 SYS\_XDBPD\$ and DOM Fidelity for Object-Relational Storage

In order to provide DOM fidelity for XML data that is stored object-relationally, Oracle XML DB records all information that cannot be stored in any of the other object attributes as instance-level metadata using the system-defined binary object attribute SYS XDBPD\$ (positional descriptor, or PD).



With object-relational storage of XML data, the elements and attributes declared in an XML schema are mapped to separate attributes of the corresponding SQL object types. However, the following information in XML instance documents is not stored in these object attributes:

- Namespace declarations
- Comments
- Prefix information

In order to provide DOM fidelity for XML data stored object-relationally, Oracle XML DB uses a separate mechanism to keep track of this information: it is recorded as instance-level metadata.

This metadata is tracked at the type level using the system-defined binary object attribute SYS\_XDBPD\$. This object attribute is referred to as the **positional descriptor**, or **PD** for short.

The PD is intended for Oracle XML DB *internal use only*. You should never directly access or manipulate column PD.

The positional descriptor stores all information that cannot be stored in any of the other object attributes. PD information is used to ensure the DOM fidelity of all XML documents stored in Oracle XML DB. Examples of PD information include: ordering information, comments, processing instructions, and namespace prefixes.

If DOM fidelity is not required, you can suppress the use of SYS\_XDBPD\$ by setting attribute xdb:maintainDOM to false in the XML schema, at the type level.

#### Note:

For clarity, object attribute SYS\_XDBPD\$ is omitted in many examples in this book. However, it is always present as a positional descriptor (PD) column in all SQL object types that are generated by the XML schema registration process.

In general, Oracle recommends that you do not suppress the PD attribute, because the extra information, such as comments and processing instructions, could be lost if there is no PD column.

#### **Related Topics**

 You Can Override the SQLType Value in an XML Schema When Declaring Attributes

You can explicitly specify a SQLTYPE value in an XML schema, as an annotation. The SQL data type that you specify is used for XML schema validation, overriding the default SQL data types.

Override of the SQLType Value in an XML Schema When Declaring Elements
 An element based on a complexType is, by default, mapped to a SQL object
 type that contains object attributes corresponding to each of its sub-elements
 and attributes. You can override this mapping by explicitly specifying a value for
 attribute SQLType in the input XML schema.



#### See Also:

DOM Fidelity for information about DOM fidelity and binary XML storage of XML data

## 18.2 Oracle XML Schema Annotations

You can annotate XML schemas to influence the objects and tables that are generated by the XML schema registration process. You do this by adding Oracle-specific attributes to <code>complexType</code>, <code>element</code>, and <code>attribute</code> definitions that are declared by the XML schema.

You can add such annotations manually by editing the XML schema document or, for the most common annotations, by invoking annotation-specific PL/SQL subprograms. See *Oracle Database PL/SQL Packages and Types Reference*, chapter "DBMS\_XMLSCHEMA\_ANNOTATE".

If you edit an XML schema manually using the Altova XMLSpy editor then you can take advantage of the *Oracle* tab in the editor for adding and editing Oracle-specific annotations. See Figure 17-2.

Most XML attributes used by Oracle XML DB belong to the namespace http://xmlns.oracle.com/xdb. XML attributes used for encoding XML data as binary XML belong to the namespace http://xmlns.oracle.com/2004/CSX. To simplify the process of annotating an XML schema, Oracle recommends that you declare namespace prefixes in the root element of the XML schema.

- Common Uses of XML Schema Annotations
  - You can annotate an XML schema to customize the names of object-relational tables, objects, and object attributes or to allow XPath rewrite when XQuery-expression arguments target recursive XML data.
- XML Schema Annotation Example
   A sample XML schema illustrates some of the most important Oracle XML DB annotations.
- Annotating an XML Schema Using DBMS\_XMLSCHEMA\_ANNOTATE
   PL/SQL package DBMS\_XMLSCHEMA\_ANNOTATE provides subprograms to annotate an XML schema. Using these subprograms can often be more convenient and less error prone than manually editing the XML schema.
- Available Oracle XML DB XML Schema Annotations
   The Oracle XML DB annotations that you can specify in element and attribute declarations are described, along with the PL/SQL subprograms in package DBMS XMLSCHEMA ANNOTATE that you can use to manipulate them.
- XML Schema Annotation Guidelines for Object-Relational Storage
   For XMLType data stored object-relationally, careful planning is called for, to
   optimize performance. Similar considerations are in order as for relational data:
   entity-relationship models, indexing, data types, table partitions, and so on. To
   enable XPath rewrite and achieve optimal performance, you implement many such
   design choices using XML schema annotations.



Querying a Registered XML Schema to Obtain Annotations

You can query database views USER\_XML\_SCHEMAS and ALL\_XML\_SCHEMAS to obtain a registered XML schema with all of its annotations. The registered version of an XML schema contains a full set of Oracle XML DB annotations. These annotations were supplied by a user or set by default during XML schema registration.

#### 18.2.1 Common Uses of XML Schema Annotations

You can annotate an XML schema to customize the names of object-relational tables, objects, and object attributes or to allow XPath rewrite when XQuery-expression arguments target recursive XML data.

Common reasons for wanting to annotate an XML schema include the following:

- To ensure that the names of the tables, objects, and object attributes created by PL/SQL procedure DBMS\_XMLSCHEMA.registerSchema for object-relational storage of XMLType data are easy to recognize and compliant with any application-naming standards. Set parameter GENTYPES or GENTABLES to TRUE for this (TRUE is the default value for each of these parameters).
- To prevent the generation of mixed-case names that require the use of quoted identifiers when working directly with SQL.
- To allow XPath rewrite for object-relational storage in the case of documentcorrelated recursive XPath queries. This applies to certain applications of SQL/XML access and query functions whose XQuery-expression argument targets recursive XML data.

The most commonly used XML schema annotations are the following:

- xdb:defaultTable Name of the default table generated for each global element
  when parameter GENTABLES is TRUE. Setting this to the empty string, "", prevents a
  default table from being generated for the element in question.
- xdb: SQLName Name of the SQL object attribute that corresponds to each element or attribute defined in the XML schema.
- xdb: SQLType For complexType definitions, the corresponding object type. For simpleType definitions, SQLType is used to override the default mapping between XML schema data types and SQL data types. A common use of SQLType is to define when unbounded strings should be stored as CLOB values, rather than as VARCHAR(4000) CHAR values (the default). Note: You cannot use data type NCHAR, NVARCHAR2, or NCLOB as the value of a SQLType annotation.

#### Note:

Starting with Oracle Database 12c Release 2 (12.2.0.1), if you register an XML schema for object-relational storage for an *application common user* then you *must* annotate each complex type in the schema with xdb:SQLType, to name the SQL data type. Otherwise, an error is raised.

- xdb:SQLCollType Used to specify the varray type that manages a collection of elements.
- xdb:maintainDOM Used to determine whether or not DOM fidelity should be maintained for a given complexType definition



You need not specify values for any of these attributes. Oracle XML DB provides appropriate values by default during the XML schema registration process. However, if you are using object-relational storage, then Oracle recommends that you specify the names of at least the top-level SQL types, so that you can reference them later.

## 18.2.2 XML Schema Annotation Example

A sample XML schema illustrates some of the most important Oracle XML DB annotations.

The XML schema in Example 18-3 is similar to the one in Example A-2, but it also defines a Notes element and its type, NotesType.

- The schema element includes the declaration of the xdb namespace.
- The definition of global element PurchaseOrder includes a defaultTable annotation that specifies that the name of the default table associated with this element is purchaseorder.
- The definition of global complex type PurchaseOrderType includes a SQLType
  annotation that specifies that the generated SQL object type is named
  purchaseorder\_t. Within the definition of this type, the following annotations are
  used:
  - The definition of element Reference includes a SQLName annotation that specifies that the SQL attribute corresponding to XML element Reference is named reference.
  - The definition of element Actions includes a SQLName annotation that specifies that the SQL attribute corresponding to XML element Actions is named action collection.
  - The definition of element USER includes a SQLName annotation that specifies that the SQL attribute corresponding to XML element User is named email.
  - The definition of element LineItems includes a SQLName annotation that specifies that the SQL attribute corresponding to XML element LineItems is named lineitem collection.
  - The definition of element Notes includes a SQLType annotation that specifies that the data type of the SQL attribute corresponding to XML element Notes is CLOB.
- The definition of global complex type LineItemsType includes a SQLType annotation that specifies that the generated SQL object type is named lineitems\_t. Within the definition of this type, the following annotation is used:
  - The definition of element LineItem includes a SQLName annotation that specifies that the data type of the SQL attribute corresponding to XML element LineItems is named lineitem\_varray, and a SQLCollName annotation that specifies that the SQL object type that manages the collection is named lineitem\_v.
- The definition of global complex type LineItemType includes a SQLType annotation that specifies that generated SQL object type is named lineitem\_t.
- The definition of complex type PartType includes a SQLType annotation that specifies that the SQL object type is named part\_t. It also includes the annotation xdb:maintainDOM = "false", specifying that there is no need for Oracle XML DB to maintain DOM fidelity for elements based on this data type.



Example 18-4 shows some of the tables and objects that are created when the annotated XML schema of Example 18-3 is registered.

The following are results of this XML schema registration:

- A table called purchaseorder was created.
- Types called purchaseorder\_t, lineitems\_t, lineitem\_v, lineitem\_t, and
  part\_t were created. The attributes defined by these types are named according
  to supplied the SQLName annotations.
- The Notes attribute defined by purchaseorder\_t is of data type CLOB.
- Type part\_t does not include a positional descriptor (PD) attribute.
- Ordered collection tables (OCTs) were created to manage the collections of LineItem and Action elements.

#### **Example 18-3 Using Common Schema Annotations**

```
<xs:schema</pre>
 targetNamespace="http://xmlns.oracle.com/xdb/documentation/purchaseOrder"
 xmlns:xs="http://www.w3.org/2001/XMLSchema"
 xmlns:xdb="http://xmlns.oracle.com/xdb"
 xmlns:po="http://xmlns.oracle.com/xdb/documentation/purchaseOrder"
 version="1.0">
 <xs:element name="PurchaseOrder" type="po:PurchaseOrderType"</pre>
              xdb:defaultTable="PURCHASEORDER"/>
  <xs:complexType name="PurchaseOrderType" xdb:SQLType="PURCHASEORDER_T">
    <xs:sequence>
      <xs:element name="Reference" type="po:ReferenceType" minOccurs="1"</pre>
                  xdb:SQLName="REFERENCE"/>
      <xs:element name="Actions" type="po:ActionsType"</pre>
                  xdb:SQLName="ACTION_COLLECTION"/>
      <xs:element name="Reject" type="po:RejectionType" minOccurs="0"/>
      <xs:element name="Requestor" type="po:RequestorType"/>
      <xs:element name="User" type="po:UserType" minOccurs="1"</pre>
                  xdb:SQLName="EMAIL"/>
      <xs:element name="CostCenter" type="po:CostCenterType"/>
      <xs:element name="ShippingInstructions"</pre>
                  type="po:ShippingInstructionsType"/>
      <xs:element name="SpecialInstructions" type="po:SpecialInstructionsType"/>
      <xs:element name="LineItems" type="po:LineItemsType"</pre>
                  xdb:SQLName="LINEITEM COLLECTION"/>
      <xs:element name="Notes" type="po:NotesType" xdb:SQLType="CLOB"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="LineItemsType" xdb:SQLType="LINEITEMS_T">
    <xs:sequence>
      <xs:element name="LineItem" type="po:LineItemType" maxOccurs="unbounded"</pre>
                  xdb:SQLCollType="LINEITEM_V" xdb:SQLName="LINEITEM_VARRAY"/>
  </xs:sequence>
 </xs:complexType>
  <xs:complexType name="LineItemType" xdb:SQLType="LINEITEM_T">
    <xs:sequence>
      <xs:element name="Description" type="po:DescriptionType"/>
      <xs:element name="Part" type="po:PartType"/>
    </xs:sequence>
```



```
<xs:attribute name="ItemNumber" type="xs:integer"/>
  </xs:complexType>
  <xs:complexType name="PartType" xdb:SQLType="PART_T" xdb:maintainDOM="false">
   <xs:attribute name="Id">
     <xs:simpleType>
       <xs:restriction base="xs:string">
         <xs:minLength value="10"/>
         <xs:maxLength value="14"/>
       </xs:restriction>
     </xs:simpleType>
   </xs:attribute>
   <xs:attribute name="Quantity" type="po:moneyType"/>
   <xs:attribute name="UnitPrice" type="po:quantityType"/>
 </xs:complexType>
  <xs:simpleType name="NotesType">
   <xs:restriction base="xs:string">
     <xs:minLength value="1"/>
     <xs:maxLength value="32767"/>
   </xs:restriction>
  </xs:simpleType>
</xs:schema>
Example 18-4 Registering an Annotated XML Schema
BEGIN
 DBMS_XMLSCHEMA.registerSchema(
   SCHEMAURL => 'http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd',
   SCHEMADOC => bfilename('XMLDIR', 'purchaseOrder.Annotated.xsd'),
            => TRUE,
   LOCAL
   GENTYPES => TRUE,
   GENTABLES => TRUE,
   CSID => nls_charset_id('AL32UTF8'));
END;
SELECT table_name, xmlschema, element_name FROM USER_XML_TABLES;
TABLE_NAME
             XMLSCHEMA
                                                  ELEMENT_NAME
______
PURCHASEORDER http://xmlns.oracle.com/xdb/documen PurchaseOrder
             tation/purchaseOrder.xsd
```

1 row selected.

DESCRIBE purchaseorder

```
Name
Null? Type
TABLE of SYS.XMLTYPE(XMLSchema
"http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd"
ELEMENT "PurchaseOrder") STORAGE Object-relational TYPE "PURCHASEORDER_T"

DESCRIBE purchaseorder_t
```



PURCHASEORDER\_T is NOT FINAL

Null? Type

-----

SYS\_XDBPD\$ XDB.XDB\$RAW\_LIST\_T VARCHAR2(30 CHAR) REFERENCE

ACTION\_COLLECTION ACTIONS\_T REJECT REJECTION\_T

VARCHAR2(128 CHAR) REQUESTOR VARCHAR2(10 CHAR) EMAIL COSTCENTER VARCHAR2(4 CHAR)

SHIPPINGINSTRUCTIONS SHIPPING\_INSTRUCTIONS\_T SPECIALINSTRUCTIONS VARCHAR2(2048 CHAR) LINEITEM\_COLLECTION LINEITEMS\_T

Notes CLOB

DESCRIBE lineitems\_t

LINEITEMS\_T is NOT FINAL

Null? Type

SYS\_XDBPD\$ XDB.XDB\$RAW\_LIST\_T

LINEITEM\_VARRAY LINEITEM\_V

DESCRIBE lineitem\_v

LINEITEM\_V VARRAY(2147483647) OF LINEITEM\_T

LINEITEM\_T is NOT FINAL

Name Null? Type

-----

SYS\_XDBPD\$ XDB.XDB\$RAW\_LIST\_T

ITEMNUMBER NUMBER (38)

DESCRIPTION VARCHAR2(256 CHAR)

PART PART\_T

DESCRIBE part\_t

PART\_T is NOT FINAL

Null? Type

\_\_\_\_\_

ID VARCHAR2(14 CHAR) QUANTITY NUMBER (12,2) UNITPRICE NUMBER (8,4)

SELECT table\_name, parent\_table\_column FROM USER\_NESTED\_TABLES WHERE parent\_table\_name = 'purchaseorder';

TABLE\_NAME PARENT\_TABLE\_COLUMN -----

SYS\_NTNOHV+tfSTRaDTA9FETvBJw== "XMLDATA"."LINEITEM\_COLLECTION"."LINEITEM\_VARRAY" "XMLDATA"."ACTION\_COLLECTION"."ACTION\_VARRAY" SYS\_NTV4bNVqQ1S4WdCIvBK5qjZA==

2 rows selected.

# 18.2.3 Annotating an XML Schema Using DBMS\_XMLSCHEMA\_ANNOTATE

PL/SQL package DBMS\_XMLSCHEMA\_ANNOTATE provides subprograms to annotate an XML schema. Using these subprograms can often be more convenient and less error prone than manually editing the XML schema.

In particular, you can use the PL/SQL subprograms in a script, which you can run at any time or multiple times, as needed. This can be especially useful if you are using a large XML schema or a standard or other third-party XML schema that you do not want to modify manually.

There are specific PL/SQL subprograms for each Oracle annotation. For example, you use PL/SQL procedure setDefaultTable to add a xdb:defaultTable annotation, and removeDefaultTable to remove a xdb:defaultTable annotation.

Each annotation subprogram has the following as its parameters:

- The XML schema to be annotated. This parameter is IN OUT.
- The name of the global element where the annotation is to be added or removed.
- The annotation (XML attribute) value.
- A Boolean flag indicating whether any corresponding existing annotation is to be overwritten. By default, it is overwritten.

If the element to be annotated is not a global element then you provide the local element name as an additional parameter. The global and local names together identify the target element. The element with the local name must be a descendent of the element with the global name.

#### If you use SQL\*Plus, you can use PL/SQL procedure

DBMS\_XMLSCHEMA\_ANNOTATE.printWarnings to enable and disable printing of SQL\*Plus warnings during the use of other DBMS\_XMLSCHEMA\_ANNOTATE subprograms. By default, no warnings are printed. An example of a warning is an inability to annotate the XML schema because there is no element with the name you provided to the annotation subprogram.

Example 18-5 uses subprograms in PL/SQL package DBMS\_XMLSCHEMA\_ANNOTATE to produce the annotated XML schema shown in Example 18-3.



Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS\_XMLSCHEMA\_ANNOTATE"

#### Example 18-5 Using DBMS\_XMLSCHEMA\_ANNOTATE

```
CREATE TABLE annotation_tab (id NUMBER, inp XMLType, out XMLType);
INSERT INTO annotation_tab VALUES (1, ... unannotated XML schema...);
DECLARE
   schema XMLType;
BEGIN
   SELECT t.inp INTO schema FROM annotation_tab t WHERE t.id = 1;
```



```
DBMS_XMLSCHEMA_ANNOTATE.setDefaultTable(schema, 'PurchaseOrder', 'PURCHASEORDER');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLType(schema, 'PurchaseOrderType', 'PURCHASEORDER_T');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLName(schema, 'complexType', 'PurchaseOrderType', 'element', 'Reference',
                                        'REFERENCE');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLName(schema, 'complexType', 'PurchaseOrderType', 'element', 'Actions',
                                        'ACTIONS_COLLECTION');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLName(schema, 'complexType', 'PurchaseOrderType', 'element', 'User', 'EMAIL');
DBMS_XMLSCHEMA_ANNOTATE.setSQLName(schema, 'complexType', 'PurchaseOrderType', 'element', 'LineItems',
                                        'LINEITEM_COLLECTION');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLType(schema, 'complexType', 'PurchaseOrderType', 'element', 'Notes', 'CLOB');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLType(schema, 'LineItemsType', 'LINEITEMS_T');
 \texttt{DBMS\_XMLSCHEMA\_ANNOTATE.setSQLCollType}(schema, 'complexType', 'LineItemsType', 'LineItem', 'LineItem_V'); \\
 DBMS_XMLSCHEMA_ANNOTATE.setSQLName(schema, 'complexType', 'LineItemsType', 'element', 'LineItem',
                                        'LINEITEM_VARRAY');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLType(schema, 'LineItemType', 'LINEITEM_T');
 DBMS_XMLSCHEMA_ANNOTATE.setSQLType(schema, 'PartType', 'PART_T');
 DBMS_XMLSCHEMA_ANNOTATE.disableMaintainDom(schema, 'PartType');
UPDATE annotation_tab t SET t.out = schema WHERE t.id = 1;
```

## 18.2.4 Available Oracle XML DB XML Schema Annotations

The Oracle XML DB annotations that you can specify in element and attribute declarations are described, along with the PL/SQL subprograms in package DBMS\_XMLSCHEMA\_ANNOTATE that you can use to manipulate them.

All annotations except those that have the prefix csx are applicable to XML schemas registered for object-relational storage.

The following annotations apply to XML schemas that are registered for binary XML storage:

- xdb:defaultTable
- xdb:tableProps



Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS\_XMLSCHEMA\_ANNOTATE"

Table 18-1 Annotations in Elements

Attribute and PL/SQL	Values	Default	Description
xdb:columnProps	Any column	NULL	Specifies the COLUMN storage clause that is inserted into the default CREATE TABLE statement.
No applicable PL/SQL.	storage clause		It is useful mainly for elements that get mapped to SQL tables, namely top-level element declarations and out-of-line element declarations.



Table 18-1 (Cont.) Annotations in Elements

Attribute and PL/SQL	Values	Default	Description	
xdb:defaultTable	Any table Based on name element name		Specifies the name of the SQL table into which XML instances of this XML schema are stored. This is most useful in cases where the XML data is inserted from APIs and protocols, such as FTP and	
PL/SQL:				
setDefaultTable removeDefaultTable enableDefaultTableCreation disableDefaultTableCreation			HTTP(S), where the table name is not specified. Applicable to object-relational storage and binary XML storage.	
xdb:maintainDOM	true  false	true	If true, then instances of this element are stored so that they retain DOM fidelity on output. This	
PL/SQL:			implies that all comments, processing instructions, namespace declarations, and so on are retained, ir	
enableMaintainDOM disableMaintainDOM			addition to the ordering of elements.  If false, then the output is not guaranteed to have the same DOM action as the input.	
xdb:SQLCollType	Any SQL collection	Name generated	Name of the SQL collection type that corresponds to this XML element. The XML element must be	
PL/SQL:	type	from element name	specified with maxOccurs > 1.	
setSQLCollType removeSQLCollType		name		
xdb:SQLInline PL/SQL:	true  false	true	If true, then this element is stored inline as an embedded object attribute (or as a collection, if maxOccurs > 1).	
setOutOfLine removeOutOfLine			If false, then a REF value is stored (or a collection of REF values, if maxOccurs > 1). This attribute is forced to false in certain situations, such as cyclic references, where SQL does not support inlining.	
xdb:SQLName	Any SQL identifier	Element name	Name of the attribute within the SQL object that maps to this XML element.	
PL/SQL:				
setSQLName removeSQLName				
xdb:SQLType	Any SQL data type <sup>1</sup> ,		Name of the SQL type corresponding to this XML element declaration.	
PL/SQL:	except NCHAR,	from element name		
setSQLType removeSQLType	NVARCHAR 2, and NCLOB			
xdb:tableProps	Any table storage	NULL	Specifies the TABLE storage clause that is appended to the default CREATE TABLE statement.	
PL/SQL:	clause		This is meaningful mainly for global and out-of-line elements. Applicable to object-relational storage	
setTableProps removeTableProps			and binary XML storage.	



See Use DBMS\_XMLSCHEMA to Map XML Schema Data Types to SQL Data Types.

See Also:

Object-Relational Storage of XML Schema-Based Data for information about specifying storage options when manually creating XMLType tables for object-relational storage

Table 18-2 Annotations in Elements Declaring Global complexType Elements

Attribute	Values	Default	Description
xdb:maintainDOM	true false	true	If true, then instances of this element are stored so that they retain DOM
PL/SQL:			fidelity on output. This implies that all comments, processing instructions,
enableMaintainDom disableMaintainDom			namespace declarations, and so on are retained, in addition to the ordering of elements.
			If false, then the output is not guaranteed to have the same DOM action as the input.
xdb:SQLType	Any SQL data type <sup>1</sup> except NCHAR,	Name generated from element name	Name of the SQL type that corresponds to this XML element declaration.
PL/SQL:	NVARCHAR2, and NCLOB		
setSQLType removeSQLType			

<sup>&</sup>lt;sup>1</sup> See Use DBMS\_XMLSCHEMA to Map XML Schema Data Types to SQL Data Types.

# 18.2.5 XML Schema Annotation Guidelines for Object-Relational Storage

For XMLType data stored object-relationally, careful planning is called for, to optimize performance. Similar considerations are in order as for relational data: entity-relationship models, indexing, data types, table partitions, and so on. To enable XPath rewrite and achieve optimal performance, you implement many such design choices using XML schema annotations.

- Avoid Creation of Unnecessary Tables for Unused Top-Level Elements
   Whenever a top-level element in an XML schema is *never* used at the top level in
   any corresponding XML instance, you can avoid the creation of associated tables
   by adding annotation xdb:defaultTable = "" to the element in the XML schema.
   An empty value for this attribute prevents default-table creation.
- Provide Your Own Names for Default Tables
  For tuning purposes, you examine execution plan output for your queries. This refers to the tables that underlie XMLType data stored object-relationally. By default, these tables have system-generated names. Oracle recommends that you provide

your own table names instead, especially for tables that you are sure to be interested in.

#### Turn Off DOM Fidelity If Not Needed

By default, XML schema registration generates tables that maintain DOM fidelity. It is often the case that for data-centric XML data DOM fidelity is not needed. You can improve the performance of storage, queries, and data modification by instead using object-relational tables that do not maintain DOM fidelity.

• Annotate Time-Related Elements with a Timestamp Data Type
If your application needs to work with time-zone indicators, then annotate any
XML schema elements of type xs:time and xs:dateTime with xdb:SQLType =
"TIMESTAMP WITH TIME ZONE". This ensures that values containing time-zone
indicators can be stored, retrieved, and compared.

#### Add Table and Column Properties

If a table or column underlying object-relational XMLType data needs additional properties specified, such as partition, tablespace, or compression, use annotation xdb:tableProps or xdb:columnProps. You can do this to add primary keys or constraints, for example.

Store Large Collections Out of Line

If you have large collections then you might need to use annotations xdb:defaultTable and xdb:SQLInline to specify that collection elements be stored out of line.

#### **Related Topics**

XPath Rewrite for Object-Relational Storage

For  $\mathtt{XMLType}$  data stored object-relationally, queries involving XPath expression arguments to various SQL functions can often be automatically rewritten to queries against the underlying SQL tables, which are highly optimized.

See Also:

**Table 18-1** 

## 18.2.5.1 Avoid Creation of Unnecessary Tables for Unused Top-Level Elements

Whenever a top-level element in an XML schema is *never* used at the top level in any corresponding XML instance, you can avoid the creation of associated tables by adding annotation xdb:defaultTable = "" to the element in the XML schema. An empty value for this attribute prevents default-table creation.

By default, XML schema registration creates a top-level table for each top-level element defined in the schema. Some such elements might be used at top level in XML instances that conform to the schema. For example, elements in an XML schema might be top-level in order to be used as a REF target.

#### You can use PL/SQL procedure

DBMS\_XMLSCHEMA\_ANNOTATE.disableDefaultTableCreation to add an empty xdb:defaultTable attribute to each top-level element that has no xdb:defaultTable attribute.



#### Note:

Any top-level XML schema element that is used as the root element of any instance documents must have a non-empty xdb:defaultTable attribute.

#### See Also:

Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS\_XMLSCHEMA\_ANNOTATE" for information about PL/SQL procedure disableDefaultTableCreation.

#### 18.2.5.2 Provide Your Own Names for Default Tables

For tuning purposes, you examine execution plan output for your queries. This refers to the tables that underlie XMLType data stored object-relationally. By default, these tables have system-generated names. Oracle recommends that you provide your own table names instead, especially for tables that you are sure to be interested in.

You do that using annotation xdb:defaultTable.

#### **Related Topics**

Default Tables Created during XML Schema Registration
 You can create default tables as part of XML schema registration. Default tables
 are most useful when documents are inserted using APIs and protocols such as
 FTP and HTTP(S), which do not provide any table specification.

## 18.2.5.3 Turn Off DOM Fidelity If Not Needed

By default, XML schema registration generates tables that maintain DOM fidelity. It is often the case that for data-centric XML data DOM fidelity is not needed. You can improve the performance of storage, queries, and data modification by instead using object-relational tables that do not maintain DOM fidelity.

You use the annotation xdb:maintainDOM = "false" to do that.

#### **Related Topics**

DOM Fidelity

DOM fidelity means that all information in an XML document is preserved except whitespace that is insignificant. You can use DOM fidelity to ensure the accuracy and integrity of XML documents stored in Oracle XML DB.

# 18.2.5.4 Annotate Time-Related Elements with a Timestamp Data Type

If your application needs to work with time-zone indicators, then annotate any XML schema elements of type xs:time and xs:dateTime with xdb:SQLType = "TIMESTAMP WITH TIME ZONE". This ensures that values containing time-zone indicators can be stored, retrieved, and compared.

### 18.2.5.5 Add Table and Column Properties

If a table or column underlying object-relational XMLType data needs additional properties specified, such as partition, tablespace, or compression, use annotation xdb:tableProps or xdb:columnProps. You can do this to add primary keys or constraints, for example.

For example, to achieve table compression for online transaction processing (OLTP), you would add COMPRESS FOR OLTP using a tableProps attribute.



Example 17-9 for an example of specifying Advanced Row Compression when creating XMLType tables and columns manually

### 18.2.5.6 Store Large Collections Out of Line

If you have large collections then you might need to use annotations xdb:defaultTable and xdb:SQLInline to specify that collection elements be stored out of line.

The maximum number of elements and attributes defined by a <code>complexType</code> is 1000. It is not possible to create a single table that can manage the SQL objects that are generated when an instance of that type is stored. If you have large collections, then you might run up against this limit of 1000 columns for a table.

You can use annotations xdb:defaultTable and xdb:SQLInline to specify that such collection elements be stored out of line. That means that their data is stored in a separate table — only a reference to a row in that table is stored in the main collection table. Use xdb:defaultTable to name the out-of-line table. Annotate each element of a potentially large collection with xdb:SQLInline = "false", to store it out of line.



For each inheritance hierarchy or substitution group in an XML schema, a table is created whose columns cover the content models of that hierarchy or substitution group. This too can cause the 1000-column limit to be reached.

#### **Related Topics**

ORA-01792 and ORA-04031: Issues with Large XML Schemas
 Errors ORA-01792 and ORA-04031 can be raised when you work with large or complex XML schemas. You can encounter them when you register an XML schema or you create a table that is based on a global element defined by an XML schema.



• Setting Annotation Attribute xdb:SQLInline to false for Out-Of-Line Storage Set XML schema annotation xdb:SQLInline to false to store an XML fragment out of line. The element is mapped to a SQL object type with an embedded REF attribute, which points to another XMLType instance that is stored out of line and that corresponds to the XML fragment.

## 18.2.6 Querying a Registered XML Schema to Obtain Annotations

You can query database views USER\_XML\_SCHEMAS and ALL\_XML\_SCHEMAS to obtain a registered XML schema with all of its annotations. The registered version of an XML schema contains a full set of Oracle XML DB annotations. These annotations were supplied by a user or set by default during XML schema registration.

**Example 18-6** illustrates this. It returns the XML schema as an XMLType instance.

As shown in Example 17-3 and Example 17-4, the location of the registered XML schema depends on whether it is local or global. If you want to project specific annotation information to relational columns, you can query RESOURCE\_VIEW. Example 18-7 illustrates this. It obtains the set of global complexType definitions declared by an XML schema for object-relational storage of XMLType data, and the corresponding SQL object types and DOM fidelity values.

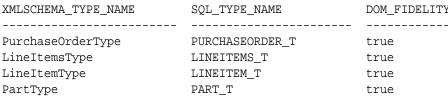
#### Example 18-6 Querying View USER XML SCHEMAS for a Registered XML Schema

```
SELECT SCHEMA FROM USER_XML_SCHEMAS

WHERE SCHEMA_URL = 'http://xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd';
```

#### Example 18-7 Querying Metadata from a Registered XML Schema

```
SELECT ct.xmlschema_type_name, ct.sql_type_name, ct.dom_fidelity
 FROM RESOURCE VIEW,
      XMLTable(
       XMLNAMESPACES (
          'http://xmlns.oracle.com/xdb/XDBResource.xsd' AS "r",
          'http://xmlns.oracle.com/xdb/documentation/purchaseOrder' AS "po",
          'http://www.w3.org/2001/XMLSchema' AS "xs",
          'http://xmlns.oracle.com/xdb' AS "xdb"),
        '/r:Resource/r:Contents/xs:schema/xs:complexType' PASSING RES
        COLUMNS
         xmlschema type name VARCHAR2(30) PATH '@name',
         dom_fidelity
 WHERE
   equals_path(
     RES,
     '/sys/schemas/SCOTT/xmlns.oracle.com/xdb/documentation/purchaseOrder.xsd')
   =1;
XMLSCHEMA_TYPE_NAME
                      SQL_TYPE_NAME
                                              DOM_FIDELITY
```





ActionsType ACTIONS\_T true RejectionType REJECTION\_T true ShippingInstructionsType SHIPPING\_INSTRUCTIONS\_T true

7 rows selected.

You Can Apply Annotations from One XML Schema to Another
 Sometimes you need to apply the annotations from one XML schema to another
 XML schema. A typical use case is applying the annotations from an older version
 of a schema to a new version. You can get and set annotations using PL/SQL
 subprograms getSchemaAnnotations and setSchemaAnnotations, respectively.

## 18.2.6.1 You Can Apply Annotations from One XML Schema to Another

Sometimes you need to apply the annotations from one XML schema to another XML schema. A typical use case is applying the annotations from an older version of a schema to a new version. You can get and set annotations using PL/SQL subprograms getSchemaAnnotations and setSchemaAnnotations, respectively.

PL/SQL function getSchemaAnnotations returns all of the annotations from an XML schema. PL/SQL procedure setSchemaAnnotations sets annotations. These subprograms are in PL/SQL package DBMS\_XMLSCHEMA\_ANNOTATE.

#### See Also:

Oracle Database PL/SQL Packages and Types Reference, chapter "DBMS\_XMLSCHEMA\_ANNOTATE" for information about PL/SQL subprograms getSchemaAnnotations and setSchemaAnnotations.

# 18.3 Use DBMS\_XMLSCHEMA to Map XML Schema Data Types to SQL Data Types

You use PL/SQL package  $\tt DBMS\_XMLSCHEMA$  to map data types for XML Schema attributes and elements to SQL data types.

### Note:

Do *not* directly access the SQL data types that are mapped from XML Schema data types during XML schema registration. These SQL types are part of the implementation of Oracle XML DB. They are not exposed for your use. Oracle reserves the right to change the implementation at any time, including in a product patch. Such a change by Oracle will have no effect on applications that abide by the XML abstraction, but it might impact applications that directly access these data types.

Example of Mapping XML Schema Data Types to SQL
 An example illustrates mapping XML Schema data types to SQL data types.

#### XML Schema Attribute Data Types Mapped to SQL

An XML attribute declaration can specify its XML Schema data type in terms of a primitive type, a local simpleType, a global simpleType, or a reference to a global attribute (ref=".."). The SQL data type and its associated information are derived from the base XML Schema type.

#### XML Schema Element Data Types Mapped to SQL

An XML element declaration can specify its XML Schema data type using a primitive type, a local or global simpleType, a local or global complexType, or a reference to a global element (ref=".."). The SQL data type and its associated information are derived from the base XML Schema type.

- How XML Schema simpleType Is Mapped to SQL
   XML simpleType is mapped to SQL object types in various ways, depending on
   how the simpleType is defined.
- How XML Schema complexType Is Mapped to SQL
   XML complexType is mapped to SQL object types in various ways, depending on
   how the complexType is defined.

# 18.3.1 Example of Mapping XML Schema Data Types to SQL

An example illustrates mapping XML Schema data types to SQL data types.

**Example 18-8** uses attribute SQLType to specify the data-type mapping. It also uses attribute SQLName to specify the object attributes to use for various XML elements and attributes.

#### Example 18-8 Mapping XML Schema Data Types to SQL Data Types Using Attribute SQLType

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
          xmlns:xdb="http://xmlns.oracle.com/xdb"
          version="1.0">
 <xs:element name="PurchaseOrder" type="PurchaseOrderType" xdb:defaultTable="PURCHASEORDER"/>
  <xs:complexType name="PurchaseOrderType" xdb:SQLType="PURCHASEORDER_T">
     <xs:element name="Reference" type="ReferenceType" minOccurs="1" xdb:SQLName="REFERENCE"/>
     <xs:element name="Actions" type="ActionsType" xdb:SQLName="ACTIONS"/>
     <xs:element name="Reject" type="RejectionType" minOccurs="0" xdb:SQLName="REJECTION"/>
      <xs:element name="Requestor" type="RequestorType" xdb:SQLName="REQUESTOR"/>
      <xs:element name="User" type="UserType" minOccurs="1" xdb:SQLName="USERID"/>
     <xs:element name="CostCenter" type="CostCenterType" xdb:SQLName="COST_CENTER"/>
      <xs:element name="ShippingInstructions" type="ShippingInstructionsType"</pre>
                 xdb:SQLName="SHIPPING_INSTRUCTIONS"/>
     <xs:element name="SpecialInstructions" type="SpecialInstructionsType"</pre>
                 xdb:SQLName="SPECIAL_INSTRUCTIONS"/>
     <xs:element name="LineItems" type="LineItemsType" xdb:SQLName="LINEITEMS"/>
     <xs:element name="Notes" type="po:NotesType" xdb:SQLType="CLOB"/>
    </xs:sequence>
 </xs:complexType>
  <xs:complexType name="LineItemsType" xdb:SOLType="LINEITEMS T">
     <xs:element name="LineItem" type="LineItemType" maxOccurs="unbounded"</pre>
                 xdb:SQLName="LINEITEM" xdb:SQLCollType="LINEITEM_V"/>
   </xs:sequence>
 </xs:complexType>
  <xs:complexType name="LineItemType" xdb:SQLType="LINEITEM_T">
    <xs:sequence>
     <xs:element name="Description" type="DescriptionType"</pre>
                 xdb:SQLName="DESCRIPTION"/>
     <xs:element name="Part" type="PartType" xdb:SQLName="PART"/>
   </xs:sequence>
    <xs:attribute name="ItemNumber" type="xs:integer" xdb:SQLName="ITEMNUMBER"</pre>
```



```
xdb:SQLType="NUMBER"/>
 </xs:complexType>
  <xs:complexType name="PartType" xdb:SQLType="PART_T">
   <xs:attribute name="Id" xdb:SQLName="PART_NUMBER" xdb:SQLType="VARCHAR2">
     <xs:simpleType>
       <xs:restriction base="xs:string">
         <xs:minLength value="10"/>
          <xs:maxLength value="14"/>
        </xs:restriction>
     </xs:simpleType>
   </xs:attribute>
   <xs:attribute name="Quantity" type="moneyType" xdb:SQLName="QUANTITY"/>
   <xs:attribute name="UnitPrice" type="quantityType" xdb:SQLName="UNITPRICE"/>
  </xs:complexType>
 <xs:complexType name="ActionsType" xdb:SQLType="ACTIONS_T">
   <xs:sequence>
      <xs:element name="Action" maxOccurs="4" xdb:SQLName="ACTION" xdb:SQLCollType="ACTION_V">
       <xs:complexType xdb:SQLType="ACTION_T">
           <xs:element name="User" type="UserType" xdb:SQLName="ACTIONED_BY"/>
           <xs:element name="Date" type="DateType" minOccurs="0" xdb:SQLName="DATE_ACTIONED"/>
          </xs:sequence>
        </xs:complexType>
     </xs:element>
   </xs:sequence>
  </xs:complexType>
 <xs:complexType name="RejectionType" xdb:SQLType="REJECTION_T">
     <xs:element name="User" type="UserType" minOccurs="0" xdb:SQLName="REJECTED_BY"/>
     <xs:element name="Date" type="DateType" minOccurs="0" xdb:SQLName="DATE_REJECTED"/>
     <xs:element name="Comments" type="CommentsType" minOccurs="0" xdb:SQLName="REASON_REJECTED"/>
   </xs:all>
  </xs:complexType>
 <xs:complexType name="ShippingInstructionsType" xdb:SQLType="SHIPPING_INSTRUCTIONS_T">
   <xs:sequence>
      <xs:element name="name" type="NameType" minOccurs="0" xdb:SQLName="SHIP_TO_NAME"/>
     <xs:element name="address" type="AddressType" minOccurs="0" xdb:SQLName="SHIP_TO_ADDRESS"/>
      <xs:element name="telephone" type="TelephoneType" minOccurs="0" xdb:SQLName="SHIP_TO_PHONE"/>
   </xs:sequence>
 </xs:complexType>
</xs:schema>
```

# 18.3.2 XML Schema Attribute Data Types Mapped to SQL

An XML attribute declaration can specify its XML Schema data type in terms of a primitive type, a local simpleType, a global simpleType, or a reference to a global attribute (ref=".."). The SQL data type and its associated information are derived from the base XML Schema type.

An attribute declaration can specify its XML Schema data type in terms of any of the following:

- Primitive type
- Global simpleType, declared within this XML schema or in an external XML schema
- Reference to global attribute (ref=".."), declared within this XML schema or in an external XML schema
- Local simpleType



In all cases, the SQL data type, any associated information (length, precision), and the memory mapping information are derived from the simpleType on which the attribute is based.

 You Can Override the SQLType Value in an XML Schema When Declaring Attributes

You can explicitly specify a SQLType value in an XML schema, as an annotation. The SQL data type that you specify is used for XML schema validation, overriding the default SQL data types.

# 18.3.2.1 You Can Override the SQLType Value in an XML Schema When Declaring Attributes

You can explicitly specify a SQLType value in an XML schema, as an annotation. The SQL data type that you specify is used for XML schema validation, overriding the default SQL data types.

Only the following specific forms of such SQL data-type overrides are allowed:

- If the default SQL data type is STRING then you can override it with CHAR, VARCHAR, or CLOB.
- If the default SQL data type is RAW then you can override it with RAW or BLOB.

# 18.3.3 XML Schema Element Data Types Mapped to SQL

An XML element declaration can specify its XML Schema data type using a primitive type, a local or global simpleType, a local or global complexType, or a reference to a global element (ref=".."). The SQL data type and its associated information are derived from the base XML Schema type.

An element declaration can specify its XML Schema data type in terms of any of the following:

- Any of the ways for specifying type for an attribute declaration. See XML Schema Attribute Data Types Mapped to SQL.
- Global complexType, specified within this XML schema document or in an external XML schema.
- Reference to a global element (ref="..."), which could itself be within this XML schema document or in an external XML schema.
- Local complexType.
- Override of the SQLType Value in an XML Schema When Declaring Elements
   An element based on a complexType is, by default, mapped to a SQL object
   type that contains object attributes corresponding to each of its sub-elements
   and attributes. You can override this mapping by explicitly specifying a value for
   attribute SQLType in the input XML schema.

# 18.3.3.1 Override of the SQLType Value in an XML Schema When Declaring Elements

An element based on a complexType is, by default, mapped to a SQL object type that contains object attributes corresponding to each of its sub-elements and attributes.



You can override this mapping by explicitly specifying a value for attribute SQLType in the input XML schema.

The following values for SQLType are permitted here:

- VARCHAR2
- RAW
- CLOB
- BLOB

These represent storage of the XML data in a text form in the database.

For example, to override the SQLType from VARCHAR2 to CLOB, declare the xdb namespace using xmlns:xdb="http://xmlns.oracle.com/xdb", and then use xdb:SQLType = "CLOB".

The following special cases are handled:

- If a cycle is detected when processing the complexType values that are used
  to declare elements and the elements declared within the complexType, the
  SQLInline attribute is forced to be false, and the correct SQL mapping is set
  to REF XMLType.
- If maxOccurs > 1, a varray type might be created.
  - If SQLInline = "true", then a varray type is created whose element type is the SQL data type previously determined. Cardinality of the varray is based on the value of attribute maxOccurs. Either you specify the name of the varray type using attribute SQLCollType, or it is derived from the element name.
  - If SQLInline = "false", then the SQL data type is set to XDB.XDB\$XMLTYPE\_REF\_LIST\_T. This is a predefined data type that represents an array of REF values pointing to XMLType instances.
- If the element is a global element, or if SQLInline = "false", then the system creates a default table. Either you specify the name of the default table, or it is derived from the element name.

# 18.3.4 How XML Schema simpleType Is Mapped to SQL

XML simpleType is mapped to SQL object types in various ways, depending on how the simpleType is defined.

Figure 18-1 illustrates one such mapping, XML string type to SQL VARCHAR2 or CLOB.



celement name = "Resume" type = "string">

Employee\_tab of type OBJ\_T

Resume ...

Entire resume
value is stored
in the CLOB

i...

i...

celement name = "Resume" type = "string">

Employee\_tab of type OBJ\_T

CLOB

I...

Entire resume
value is stored
in the CLOB

i...

Figure 18-1 simpleType Mapping: XML Strings to SQL VARCHAR2 or CLOB

Table 18-3 through Table 18-6 present the default mapping of XML Schema simpleType to SQL, as specified in the XML Schema definition.

#### For example:

- An XML Schema *primitive* type is mapped to the closest SQL data type. For example, DECIMAL, POSITIVEINTEGER, and FLOAT are all mapped to SQL NUMBER.
- An XML Schema *enumeration* type is mapped to a SQL object type with a single RAW(n) object attribute. The value of n is determined by the number of possible values in the enumeration declaration.
- An XML Schema *list* or a *union* type is mapped to a SQL string (VARCHAR2 or CLOB) data type.

Table 18-3 XML Schema String Data Types Mapped to SQL

XML Schema String Type	Length or MaxLength Facet	Default SQL Data Type	Compatible SQL Data Type
string	n	$\label{eq:Varchar2(n)} VARCHAR2(n) \ \ \text{if} \ n < 4000, \ \text{else} \ VARCHAR2(4000)$	CHAR, CLOB
string	-	<pre>VARCHAR2(4000) if mapUnboundedStringToLob = "false", CLOB</pre>	CHAR, CLOB

Table 18-4 XML Schema Binary Data Types (hexBinary/base64Binary) Mapped to SQL

XML Schema Binary Type	Length or MaxLength Facet	Default SQL Data Type	Compatible SQL Data Type
hexBinary, base64Binary	n	RAW(n) if n < 2000, else RAW(2000)	RAW, BLOB
hexBinary, base64Binary	-	RAW(2000) if mapUnboundedStringToLob = "false", BLOB	RAW, BLOB



Table 18-5 Default Mapping of Numeric XML Schema Primitive Types to SQL

XML Schema Simple Type	Default SQL Data Type	totalDigits (m), fractionDigits(n) Specified	Compatible SQL Data Types
float	NUMBER	NUMBER(m+n,n)	FLOAT, DOUBLE, BINARY_FLOAT
double	NUMBER	NUMBER(m+n,n)	FLOAT, DOUBLE, BINARY_DOUBLE
decimal	NUMBER	NUMBER(m+n,n)	FLOAT, DOUBLE
integer	NUMBER	NUMBER(m+n,n)	NUMBER
nonNegativeInteger	NUMBER	NUMBER(m+n,n)	NUMBER
positiveInteger	NUMBER	NUMBER(m+n,n)	NUMBER
nonPositiveInteger	NUMBER	NUMBER(m+n,n)	NUMBER
negativeInteger	NUMBER	NUMBER(m+n,n)	NUMBER
long	NUMBER (20)	NUMBER(m+n,n)	NUMBER
unsignedLong	NUMBER (20)	NUMBER(m+n,n)	NUMBER
int	NUMBER(10)	NUMBER(m+n,n)	NUMBER
unsignedInt	NUMBER(10)	NUMBER(m+n,n)	NUMBER
short	NUMBER (5)	NUMBER(m+n,n)	NUMBER
unsignedShort	NUMBER (5)	NUMBER(m+n,n)	NUMBER
byte	NUMBER (3)	NUMBER(m+n,n)	NUMBER
unsignedByte	NUMBER (3)	NUMBER(m+n,n)	NUMBER

Table 18-6 XML Schema Date and Time Data Types Mapped to SQL

XML Schema Date or Time Type	Default SQL Data Type	Compatible SQL Data Types
dateTime	TIMESTAMP	TIMESTAMP WITH TIME ZONE, DATE
time	TIMESTAMP	TIMESTAMP WITH TIME ZONE, DATE
date	DATE	TIMESTAMP WITH TIME ZONE
gDay	DATE	TIMESTAMP WITH TIME ZONE
gMonth	DATE	TIMESTAMP WITH TIME ZONE
gYear	DATE	TIMESTAMP WITH TIME ZONE
gYearMonth	DATE	TIMESTAMP WITH TIME ZONE
gMonthDay	DATE	TIMESTAMP WITH TIME ZONE
duration	VARCHAR2(4000)	none

Table 18-7 Default Mapping of Other XML Schema Primitive and Derived Data Types to SQL

XML Schema Primitive or Derived Type	Default SQL Data Type	Compatible SQL Data Types
boolean	RAW(1)	VARCHAR2
language(string)	VARCHAR2(4000)	CLOB, CHAR
NMTOKEN(string)	VARCHAR2(4000)	CLOB, CHAR
NMTOKENS(string)	VARCHAR2(4000)	CLOB, CHAR



<b>Table 18-7</b>	(Cont.) Default Mapping of Other XML Schema Primitive and Derived Data Types to
SQL	

XML Schema Primitive or Derived Type	Default SQL Data Type	Compatible SQL Data Types
Name(string)	VARCHAR2(4000)	CLOB, CHAR
NCName(string)	VARCHAR2(4000)	CLOB, CHAR
ID	VARCHAR2(4000)	CLOB, CHAR
IDREF	VARCHAR2(4000)	CLOB, CHAR
IDREFS	VARCHAR2(4000)	CLOB, CHAR
ENTITY	VARCHAR2(4000)	CLOB, CHAR
ENTITIES	VARCHAR2(4000)	CLOB, CHAR
NOTATION	VARCHAR2(4000)	CLOB, CHAR
anyURI	VARCHAR2(4000)	CLOB, CHAR
anyType	VARCHAR2(4000)	CLOB, CHAR
anySimpleType	VARCHAR2(4000)	CLOB, CHAR
QName	XDB.XDB\$QNAME	none
normalizedString	VARCHAR2(4000)	none
token	VARCHAR2(4000)	none

 NCHAR, NVARCHAR2, and NCLOB SQLType Values Are Not Supported for SQLType

Oracle XML DB does *not* support NCHAR, NVARCHAR2, and NCLOB as values for attribute SQLType: You cannot specify that an XML element or attribute is to be of type NCHAR, NVARCHAR2, or NCLOB. Also, if you provide your own data type, do not use any of these data types.

- simpleType: How XML Strings Are Mapped to SQL VARCHAR2 Versus CLOB If an XML schema specifies a data type as a string with maxLength less than 4000, it is mapped to a VARCHAR2 object attribute of the specified length. If maxLength is not specified in the schema then the XML Schema data type can only be mapped to a LOB.
- How XML Schema Time Zones Are Mapped to SQL
   If your application needs to work with time-zone indicators, then use attribute SQLTYPE to specify the SQL data type as TIMESTAMP WITH TIME ZONE. This ensures that values containing time-zone indicators can be stored and retrieved correctly.

# 18.3.4.1 NCHAR, NVARCHAR2, and NCLOB SQLType Values Are Not Supported for SQLType

Oracle XML DB does *not* support NCHAR, NVARCHAR2, and NCLOB as values for attribute SQLType: You cannot specify that an XML element or attribute is to be of type NCHAR, NVARCHAR2, or NCLOB. Also, if you provide your own data type, do not use any of these data types.



#### **Related Topics**

Oracle XML DB Restrictions
 The restrictions associated with Oracle XML DB are listed here.

# 18.3.4.2 simpleType: How XML Strings Are Mapped to SQL VARCHAR2 Versus CLOB

If an XML schema specifies a data type as a string with maxLength less than 4000, it is mapped to a VARCHAR2 object attribute of the specified length. If maxLength is not specified in the schema then the XML Schema data type can only be mapped to a LOB.

This is sub-optimal when most of the string values are small and only a small fraction of them are large enough to need a LOB.



## 18.3.4.3 How XML Schema Time Zones Are Mapped to SQL

If your application needs to work with time-zone indicators, then use attribute SQLType to specify the SQL data type as TIMESTAMP WITH TIME ZONE. This ensures that values containing time-zone indicators can be stored and retrieved correctly.

The following XML Schema data types allow for an optional time-zone indicator as part of their literal values:

- xsd:dateTime
- xsd:time
- xsd:date
- xsd:gYear
- xsd:gMonth
- xsd:gDay
- xsd:gYearMonth
- xsd:qMonthDay

By default, XML schema registration maps xsd:dateTime and xsd:time to SQL data type TIMESTAMP, and it maps all other date types to SQL data type DATE.

SQL data types TIMESTAMP and DATE do not permit a time-zone indicator. For this reason, if your application needs time-zone information then you must use attribute SQLType to specify SQL data type TIMESTAMP WITH TIME ZONE. For example:



#### Use Trailing Z to Indicate UTC Time Zone

You can specify an XML Schema time-zone component as z, to indicate UTC time zone. When a value with a trailing z is stored as SQL TIMESTAMP WITH TIME ZONE, the time zone is actually stored as +00:00. The retrieved value contains the trailing +00:00 and not the original z.

#### 18.3.4.3.1 Use Trailing Z to Indicate UTC Time Zone

You can specify an XML Schema time-zone component as z, to indicate UTC time zone. When a value with a trailing z is stored as SQL TIMESTAMP WITH TIME ZONE, the time zone is actually stored as +00:00. The retrieved value contains the trailing +00:00 and not the original z.

For example, if the value in an input XML document is 1973-02-12T13:44:32**z** then the output is 1973-02-12T13:44:32.000000+00:00.

# 18.3.5 How XML Schema complexType Is Mapped to SQL

XML complexType is mapped to SQL object types in various ways, depending on how the complexType is defined.

Using XML Schema, a complexType is mapped to a SQL object type as follows:

- XML attributes declared within the <code>complexType</code> are mapped to SQL object attributes. The <code>simpleType</code> defining an XML attribute determines the SQL data type of the corresponding object attribute.
- XML elements declared within the complexType are also mapped to SQL object attributes. The simpleType or complexType defining an XML element determines the SQL data type of the corresponding object attribute.

If the XML element is declared with attribute maxOccurs > 1 then it is mapped to a SQL collection (object) attribute. The collection is a varray value that is an ordered collections table (OCT).

• Attribute Specification in a complexType XML Schema Declaration When an element is based on a global complexType, attribute SQLType must be specified for the complexType declaration. You can optionally include the same SQLType attribute within the element declaration.

### 18.3.5.1 Attribute Specification in a complexType XML Schema Declaration

When an element is based on a global <code>complexType</code>, attribute <code>SQLType</code> must be specified for the <code>complexType</code> declaration. You can optionally include the same <code>SQLType</code> attribute within the element declaration.

If you do not specify attribute SQLType for the global complexType, Oracle XML DB creates a SQLType attribute with an internally generated name. The elements that



reference this global type *cannot* then have a different value for SQLType. The following code is acceptable:

```
<xs:complexType name="LineItemsType" xdb:SQLType="LINEITEMS_T">
  <xs:sequence>
    <xs:element name="LineItem" type="LineItemType" maxOccurs="unbounded"</pre>
                xdb:SQLName="LINEITEM" xdb:SQLCollType="LINEITEM_V"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="LineItemType" xdb:SQLType="LINEITEM_T">
  <xs:sequence>
    <xs:element name="Description" type="DescriptionType"</pre>
                xdb:SQLName="DESCRIPTION"/>
    <xs:element name="Part" type="PartType" xdb:SQLName="PART"/>
  </xs:sequence>
  <xs:attribute name="ItemNumber" type="xs:integer" xdb:SQLName="ITEMNUMBER"</pre>
                xdb:SQLType="NUMBER"/>
</xs:complexType>
<xs:complexType name="PartType" xdb:SQLType="PART_T">
  <xs:attribute name="Id" xdb:SQLName="PART_NUMBER" xdb:SQLType="VARCHAR2">
    <xs:simpleType>
      <xs:restriction base="xs:string">
        <xs:minLength value="10"/>
        <xs:maxLength value="14"/>
      </xs:restriction>
    </xs:simpleType>
  </xs:attribute>
  <xs:attribute name="Quantity" type="moneyType" xdb:SQLName="QUANTITY"/>
  <xs:attribute name="UnitPrice" type="quantityType" xdb:SQLName="UNITPRICE"/>
</xs:complexType>
```

# 18.4 complexType Extensions and Restrictions in Oracle XML DB

In XML Schema, complexType values are declared based on complexContent and simpleContent. Oracle XML DB defines various extensions and restrictions to complexType.

- simpleContent is declared as an extension of simpleType.
- complexContent is declared as one of the following:
  - Base type
  - complexType extension
  - complexType restriction
- complexType Declarations in XML Schema: Handling Inheritance
   For complexType, Oracle XML DB handles inheritance in an XML schema differently for types that extend and types that restrict other complex types
- How a complexType Based on simpleContent Is Mapped to an Object Type
   A complex type based on a simpleContent declaration is mapped to an
   object type with attributes corresponding to the XML attributes and an extra

SYS\_XDBBODY\$ attribute, which corresponds to the body value. The data type of the body attribute is based on a simpleType that defines the body type.

How any and anyAttribute Declarations Are Mapped to Object Type Attributes
 Oracle XML DB maps the element declaration any and the attribute declaration
 anyAttribute to VARCHAR2 attributes, or optionally to Large Objects (LOBs), in the
 created object type. The object attribute stores the text of the XML fragment that
 matches the any declaration.

# 18.4.1 complexType Declarations in XML Schema: Handling Inheritance

For complexType, Oracle XML DB handles inheritance in an XML schema differently for types that extend and types that restrict other complex types

- For complex types declared to extend other complex types, the SQL type corresponding to the base type is specified as the supertype for the current SQL type. Only the additional attributes and elements declared in the sub-complextype are added as attributes to the sub-object-type.
- For complex types declared to restrict other complex types, the SQL type for the sub-complex type is set to be the same as the SQL type for its base type. This is because SQL does not support restriction of object types through the inheritance mechanism. Any constraints are imposed by the restriction in XML schema.

Example 18-9 shows the registration of an XML schema that defines a base complexType Address and two extensions USAddress and IntlAddress.

#### Note:

Type intladdr\_t is created as a *final* type because the corresponding complexType specifies the "final" attribute. By default, all complexTypes can be extended and restricted by other types, so all SQL object types are created as types that are *not* final.

Example 18-10 shows the registration of an XML schema that defines a base complexType Address and a restricted type LocalAddress that prohibits the specification of country attribute.

Because SQL inheritance does not support a notion of restriction, the SQL data type corresponding to a restricted <code>complexType</code> is a empty subtype of the parent object type. For the XML schema of Example 18-10, Oracle XML DB generates the following SQL types:



# Example 18-9 XML Schema Inheritance: complexContent as an Extension of complexTypes

```
DECLARE
  doc VARCHAR2(3000) :=
    '<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
                xmlns:xdb="http://xmlns.oracle.com/xdb">
       <xs:complexType name="Address" xdb:SQLType="ADDR_T">
         <xs:sequence>
           <xs:element name="street" type="xs:string"/>
           <xs:element name="city" type="xs:string"/>
         </xs:sequence>
       </xs:complexType>
       <xs:complexType name="USAddress" xdb:SQLType="USADDR_T">
         <xs:complexContent>
           <xs:extension base="Address">
             <xs:sequence>
               <xs:element name="zip" type="xs:string"/>
             </xs:sequence>
           </xs:extension>
         </xs:complexContent>
       </xs:complexType>
       <xs:complexType name="IntlAddress" final="#all"</pre>
xdb:SQLType="INTLADDR T">
         <xs:complexContent>
           <xs:extension base="Address">
             <xs:sequence>
               <xs:element name="country" type="xs:string"/>
             </xs:sequence>
           </xs:extension>
         </xs:complexContent>
       </xs:complexType>
     </xs:schema>';
BEGIN
  DBMS XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/PO.xsd',
    SCHAMEDOC => doc);
END;
```

#### Example 18-10 Inheritance in XML Schema: Restrictions in complexTypes



```
<xs:element name="country" type="xs:string" minOccurs="0"</pre>
                       maxOccurs="1"/>
         </xs:sequence>
       </xs:complexType>
       <xs:complexType name="LocalAddress" xdb:SQLType="USADDR_T">
         <xs:complexContent>
           <xs:restriction base="Address">
             <xs:sequence>
               <xs:element name="street" type="xs:string"/>
               <xs:element name="city" type="xs:string"/>
               <xs:element name="zip" type="xs:string"/>
               <xs:element name="country" type="xs:string"</pre>
                            minOccurs="0" maxOccurs="0"/>
             </xs:sequence>
           </xs:restriction>
         </xs:complexContent>
       </xs:complexType>
     </xs:schema>';
BEGIN
 DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/PO.xsd',
    SCHEMADOC => doc);
END;
```

## 18.4.2 How a complexType Based on simpleContent Is Mapped to an Object Type

A complex type based on a simpleContent declaration is mapped to an object type with attributes corresponding to the XML attributes and an extra SYS\_XDBBODY\$ attribute, which corresponds to the body value. The data type of the body attribute is based on a simpleType that defines the body type.

For the XML schema of Example 18-11, Oracle XML DB generates the following type:

```
CREATE TYPE obj_t AS OBJECT(SYS_XDBPD$ XDB.XDB$RAW_LIST_T, SYS_XDBBODY$ VARCHAR2(4000));
```

## **Example 18-11** XML Schema complexType: Mapping complexType to simpleContent



```
BEGIN
  DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/emp.xsd',
    SCHEMADOC => doc);
END;
```

## 18.4.3 How any and anyAttribute Declarations Are Mapped to Object Type Attributes

Oracle XML DB maps the element declaration any and the attribute declaration anyAttribute to VARCHAR2 attributes, or optionally to Large Objects (LOBs), in the created object type. The object attribute stores the text of the XML fragment that matches the any declaration.

- The namespace attribute can be used to restrict the contents so that they belong to a specified namespace.
- The processContents attribute within the any element declaration, indicates the level of validation required for the contents matching the any declaration.



Starting with Oracle Database 12c Release 2 (12.2.0.1), when an XML schema is registered for object-relational XMLType storage by the common user of a multitenant container database (CDB) or by an application common user, you must annotate the complex type with xdb: SQLType to specify the corresponding SQL type to use. Otherwise, an error is raised.

The code in Example 18-12 declares an any element and maps it to the column SYS\_XDBANY\$, in object type obj\_t. It also declares that attribute processContents does not validate contents that match the any declaration.

For the XML schema of Example 18-12, Oracle XML DB generates the following type:

#### Example 18-12 XML Schema: Mapping complexType to any/anyAttribute



## 18.5 Creating XML Schema-Based XMLType Columns and Tables

After an XML schema has been registered with Oracle XML DB, you can reference it when you define XMLType tables or columns.

If you specify no storage model when creating an XMLType table or column for XML Schema-based data then the storage model used is that specified during registration of the referenced XML schema. If no storage model was specified for the XML schema registration, then *object-relational* storage is used.

Example 18-13 shows how to manually create table purchaseorder, the default table for PurchaseOrder elements.

The CREATE TABLE statement of Example 18-13 is equivalent to the CREATE TABLE statement that is generated automatically by Oracle XML DB when you set parameter GENTABLES to TRUE during XML schema registration.

The XML schema referenced Example 18-13 specifies that table purchaseorder is the default table for PurchaseOrder elements. When an XML document compliant with the XML schema is inserted into Oracle XML DB Repository using protocols or PL/SQL, the content of the document is stored as a row in table purchaseorder.

When an XML schema is registered as a *global* schema, you must grant the appropriate access rights on the default table to all other users of the database, before they can work with instance documents that conform to the globally registered XML schema.

Each member of the varray that manages the collection of Action elements is stored in the ordered collection table action\_table. Each member of the varray that manages the collection of LineItem elements is stored as a row in ordered collection table lineitem\_table. The ordered collection tables are heap-based. Because of the PRIMARY KEY specification, they automatically contain pseudocolumn NESTED\_TABLE\_ID and column SYS\_NC\_ARRAY\_INDEX\$, which are required to link them back to the parent column.

XML schema registration automatically generates ordered collection tables (OCTs) for collections. These OCTs are given system-generated names, which can be difficult to work with. You can give them more meaningful names using the SQL statement RENAME TABLE.

The CREATE TABLE statement in Example 18-13 corresponds to a purchase-order document with a single level of nesting: The varray that manages the collection of LineItem elements is ordered collection table lineitem table.

What if you had a different XML schema that had, say, a collection of Shipment elements inside a Shipments element that was, in turn, inside a LineItem element? In that case, you could create the table manually as shown in Example 18-14.

A SQL\*Plus DESCRIBE statement can be used to view information about an XMLType table, as shown in Example 18-15.

The output of the DESCRIBE statement of Example 18-15 shows the following information about table purchaseorder:

- The table is an XMLType table
- The table is constrained to storing PurchaseOrder documents as defined by the PurchaseOrder XML schema
- Rows in this table are stored as a set of objects in the database
- SQL type purchaseorder t is the base object for this table

#### **Example 18-13** Creating an XMLType Table that Conforms to an XML Schema

```
CREATE TABLE purchaseorder OF XMLType

XMLSCHEMA "http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd"

ELEMENT "PurchaseOrder"

VARRAY "XMLDATA"."ACTIONS"."ACTION"

STORE AS TABLE action_table

((PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))

VARRAY "XMLDATA"."LINEITEMS"."LINEITEM"

STORE AS TABLE lineitem_table

((PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)));
```

#### Example 18-14 Creating an XMLType Table for Nested Collections

```
CREATE TABLE purchaseorder OF XMLType

XMLSCHEMA "http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd"

ELEMENT "PurchaseOrder"

VARRAY "XMLDATA"."ACTIONS"."ACTION"

STORE AS TABLE action_table

((PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))

VARRAY "XMLDATA"."LINEITEMS"."LINEITEM"

STORE AS TABLE lineitem_table

((PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))

VARRAY "SHIPMENTS"."SHIPMENT"

STORE AS TABLE shipments_table

((PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)));
```

#### Example 18-15 Using DESCRIBE with an XML Schema-Based XMLType Table



#### **Related Topics**

Local and Global XML Schemas
 An XML schema can be registered as local (visible only to its owner, by default) or global (visible to all database users, by default).

# 18.6 Overview of Partitioning XMLType Tables and Columns Stored Object-Relationally

When you partition an object-relational XMLType table or a table with an XMLType column that is stored object-relationally and you use list, range, or hash partitioning, any ordered collection tables (OCTs) or out-of-line tables within the data are automatically partitioned accordingly, by default.

This **equipartitioning** means that the partitioning of an OCT or an out-of-line table follows the partitioning scheme of its parent (base) table. There is a corresponding child-table partition for each partition of the base table. A child element is stored in the child-table partition that corresponds to the base-table partition of its parent element.

Storage attributes for a base table partition are, by default, also used for the corresponding child-table partitions. You can override these storage attributes for a given child-table partition.

Similarly, by default, the name of an OCT partition is the same as its base (parent) table, but you can override this behavior by specifying the name to use. The name of an out-of-line table partition is always the same as the partition of its parent-table (which could be a base table or an OCT).

#### Note:

- Equipartitioning of XMLType data stored object-relationally is not available in releases prior to Oracle Database 11g Release 1 (11.1).
- Equipartitioning of XMLType data that is stored out of line is not available
  in releases prior to Oracle Database 11g Release 2 (11.2.0.2). Starting
  with that release, out-of-line tables are not shared: You cannot create
  two top-level tables that are based on the same XML schema, if that
  schema specifies an out-of-line table.

You can prevent partitioning of OCTs by specifying the keyword <code>GLOBAL</code> in a <code>CREATE TABLE</code> statement. (Starting with Oracle Database 11g Release 1 (11.1), the default behavior uses keyword <code>LOCAL</code>). For information about converting a non-partitioned collection table to a partitioned collection table, see <code>Oracle Database VLDB</code> and <code>Partitioning Guide</code>.

You can prevent partitioning of out-of-line tables, and thus allow out-of-line sharing, by turning on event 31178 with level 0x200:

ALTER SESSION SET EVENTS '31178 TRACE NAME CONTEXT FOREVER, LEVEL 0x200'



- Examples of Partitioning XMLType Data Stored Object-Relationally
   You can specify partitioning information for an object-relational XMLType base table
   during either the XML schema registration or the table creation. Examples here
   illustrate this.
- Partition Maintenance for XMLType Data Stored Object-Relationally
  You need not define or maintain child-table partitions manually. When you perform
  partition maintenance on the base (parent) table, corresponding maintenance is
  automatically performed on the child tables as well.



Oracle Database SQL Language Reference for information about creating tables with partitions using keywords GLOBAL and LOCAL

### 18.6.1 Examples of Partitioning XMLType Data Stored Object-Relationally

You can specify partitioning information for an object-relational XMLType base table during either the XML schema registration or the table creation. Examples here illustrate this.

- During XML schema registration, using XML Schema annotation xdb:tableProps
- During table creation using CREATE TABLE

Example 18-16 and Example 18-17 illustrate this. These two examples have exactly the same effect. They partition the base purchaseorder table using the Reference element to specify ranges. They equipartition the child table of line items with respect to the base table.

Example 18-16 shows element PurchaseOrder from the purchase-order XML schema, annotated to partition the base table and its child table of line items.

Example 18-17 specifies the same partitioning as in Example 18-16, but it does so during the creation of the base table purchaseorder.

Example 18-16 and Example 18-17 also show how you can specify object storage options for the individual child-table partitions. In this case, the STORAGE clauses specify that extents of size 14M are to be allocated initially for each of the child-table partitions.

#### See Also:

- Example A-2
- Oracle Database Object-Relational Developer's Guide for more information about partitioning object-relational data
- Oracle Database VLDB and Partitioning Guide for more information about partitioning



## **Example 18-16** Specifying Partitioning Information During XML Schema Registration

#### **Example 18-17** Specifying Partitioning Information During Table Creation

```
CREATE TABLE purchaseorder OF XMLType

XMLSCHEMA "http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd"

ELEMENT "PurchaseOrder"

VARRAY "XMLDATA"."LINEITEMS"."LINEITEM" STORE AS TABLE lineitem_table

((PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))

PARTITION BY RANGE (XMLDATA.Reference)

(PARTITION p1 VALUES LESS THAN (1000)

VARRAY "XMLDATA"."LINEITEMS"."LINEITEM" STORE AS TABLE lineitem_p1

(STORAGE (MINEXTENTS 13)),

PARTITION p2 VALUES LESS THAN (2000)

VARRAY "XMLDATA"."LINEITEMS"."LINEITEM" STORE AS TABLE lineitem_p2

(STORAGE (MINEXTENTS 13)));
```

### 18.6.2 Partition Maintenance for XMLType Data Stored Object-Relationally

You need not define or maintain child-table partitions manually. When you perform partition maintenance on the base (parent) table, corresponding maintenance is automatically performed on the child tables as well.

There are a few exceptions to the general rule that you perform partition maintenance only on the base table. In the following cases you perform maintenance on a child table:

- Modify the default physical storage attributes of a collection partition
- Modify the physical storage attributes of a collection partition
- Move a collection partition to a different segment, possibly in a different tablespace
- Rename a collection partition

For example, if you change the tablespace of a base table, that change is not cascaded to its child-table partitions. You must manually use ALTER TABLE MOVE PARTITION on the child-table partitions to change their tablespace.

Other than those exceptional operations, you perform all partition maintenance on the base table only. This includes operations such as adding, dropping, and splitting a partition.



Online partition redefinition is also supported for child tables. You can copy unpartitioned child tables to partitioned child tables during online redefinition of a base table. You typically specify parameter values copy\_indexes => 0 and copy\_constraints => false for PL/SQL procedure DBMS\_REDEFINITION.copy\_table\_dependents, to protect the indexes and constraints of the newly defined child tables.

#### See Also:

- Oracle Database SQL Language Reference for information about SQL statement ALTER TABLE
- Oracle Database PL/SQL Packages and Types Reference for information about online partition redefinition using PL/SQL package DBMS\_REDEFINITION

# 18.7 Specification of Relational Constraints on XMLType Tables and Columns

For XMLType data stored object-relationally, you can specify typical relational constraints for elements and attributes that occur only once in an XML document.

Example 18-18 defines uniqueness and foreign-key constraints on XMLType table purchaseorder in standard database schema OE.

For XMLType data that is stored object-relationally, such as that in table OE.purchaseorder, constraints must be specified in terms of object attributes of the SQL data types that are used to manage the XML content.

Example 18-18 is similar to Example 3-8, which defines a uniqueness constraint on a binary XML table. But in addition, Example 18-18 defines a foreign-key constraint that requires element User of each OE.purchaseorder document to be the e-mail address of an employee that is in table employees of standard database schema HR.

Just as for Example 3-8, the uniqueness constraint reference\_is\_unique of Example 18-18 ensures the uniqueness of element Reference across all documents stored in the table. The foreign key constraint user\_is\_valid ensures that the value of element User corresponds to a value in column email of table HR.employees.

The text node associated with element Reference in the XML document DuplicateReference.xml contains the same value as the corresponding node in XML document PurchaseOrder.xml. Attempting to store both documents in Oracle XML DB thus violates the constraint reference\_is\_unique.

The text node associated with element <code>user</code> in XML document <code>InvalidUser.xml</code> contains the value <code>HACKER</code>. There is no entry in table <code>HR.employees</code> where the value of column <code>email</code> is <code>HACKER</code>. Attempting to store this document in Oracle XML DB violates the foreign-key constraint <code>user\_is\_valid</code>.



#### See Also:

- Enforcing Referential Integrity Using SQL Constraints, and Example 3-8 in particular
- Enforcing XML Data Integrity Using the Database for information about defining contraints for XMLType data stored as binary XML

## **Example 18-18** Integrity Constraints and Triggers for an XMLType Table Stored Object-Relationally

```
ALTER TABLE purchaseorder
 ADD CONSTRAINT reference_is_unique
 UNIQUE (XMLDATA. "REFERENCE");
ALTER TABLE purchaseorder
 ADD CONSTRAINT user_is_valid
 FOREIGN KEY (XMLDATA. "USERID") REFERENCES hr.employees(email);
INSERT INTO purchaseorder
 VALUES (XMLType(bfilename('XMLDIR', 'purchaseOrder.xml'),
                  nls_charset_id('AL32UTF8')));
INSERT INTO purchaseorder
 VALUES (XMLType(bfilename('XMLDIR', 'DuplicateReference.xml'),
                  nls_charset_id('AL32UTF8')));
INSERT INTO purchaseorder
ERROR at line 1:
ORA-00001: unique constraint (QUINE.REFERENCE_IS_UNIQUE) violated
INSERT INTO purchaseorder
 VALUES (XMLType(bfilename('XMLDIR', 'InvalidUser.xml'),
                  nls_charset_id('AL32UTF8')));
INSERT INTO purchaseorder
ERROR at line 1:
ORA-02291: integrity constraint (QUINE.USER_IS_VALID) violated - parent
key not
 found
```

Adding Unique Constraints to the Parent Element of an Attribute

To create constraints on elements that can occur more than once, store the varray as an ordered collection table (OCT). You can then create constraints on the OCT. You might, for example, want to create a unique key based on an attribute of an element that repeats itself (a collection).



#### **Related Topics**

Adding Unique Constraints to the Parent Element of an Attribute
 To create constraints on elements that can occur more than once, store the varray
 as an ordered collection table (OCT). You can then create constraints on the OCT.
 You might, for example, want to create a unique key based on an attribute of an
 element that repeats itself (a collection).

## 18.7.1 Adding Unique Constraints to the Parent Element of an Attribute

To create constraints on elements that can occur more than once, store the varray as an ordered collection table (OCT). You can then create constraints on the OCT. You might, for example, want to create a unique key based on an attribute of an element that repeats itself (a collection).

Example 18-19 shows an XML schema that lets attribute No of element <PhoneNumber> appear more than once. The example shows how you can add a unique constraint to ensure that the same phone number cannot be repeated within a given instance document.

The constraint in this example applies to each collection, and not across all instances. This is achieved by creating a concatenated index with the collection id column. To apply the constraint across all collections of all instance documents, omit the collection id column.



You can create only a *functional* constraint as a unique or foreign key constraint on XMLType data stored as binary XML.

### **Example 18-19** Adding a Unique Constraint to the Parent Element of an Attribute

```
BEGIN DBMS_XMLSCHEMA.registerSchema(
  SCHEMAURL => 'emp.xsd',
  SCHEMADOC => '<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"
                            xmlns:xdb="http://xmlns.oracle.com/xdb">
                   <xs:element name="Employee" xdb:SQLType="EMP_TYPE">
                     <xs:complexType>
                       <xs:sequence>
                         <xs:element name="EmployeeId"</pre>
                                     type="xs:positiveInteger"/>
                         <xs:element name="PhoneNumber" maxOccurs="10"/>
                           <xs:complexType>
                             <xs:attribute name="No" type="xs:integer"/>
                           </xs:complexType>
                         </xs:element>
                       </xs:sequence>
                     </xs:complexType>
                   </xs:element>
                </xs:schema>',
```



```
LOCAL
           => FALSE,
  GENTYPES => FALSE);
END;/
PL/SQL procedure successfully completed.
CREATE TABLE emp_tab OF XMLType
 XMLSCHEMA "emp.xsd" ELEMENT "Employee"
 VARRAY XMLDATA. "PhoneNumber" STORE AS TABLE phone_tab;
Table created.
ALTER TABLE phone_tab ADD UNIQUE (NESTED_TABLE_ID, "No");
Table altered.
INSERT INTO emp tab
 VALUES(XMLType('<Employee>
                    <EmployeeId>1234</EmployeeId>
                    <PhoneNumber No="1234"/>
                    <PhoneNumber No="2345"/>
                  </Employee>').createSchemaBasedXML('emp.xsd'));
1 row created.
INSERT INTO emp_tab
 VALUES(XMLType('<Employee>
                    <EmployeeId>3456</EmployeeId>
                    <PhoneNumber No="4444"/>
                    <PhoneNumber No="4444"/>
                  </Employee>').createSchemaBasedXML('emp.xsd'));
This returns the expected result:
ERROR at line 1:
ORA-00001: unique constraint (SCOTT.SYS_C002136) violated
```

## 18.8 Out-Of-Line Storage of XMLType Data

By default, when XMLType data is stored object-relationally a child element is mapped to an embedded SQL object attribute. Sometimes better performance can be obtained by storing some XMLType data out of line. Use XML schema annotation xdb:SQLInline to do this.

• Setting Annotation Attribute xdb:SQLInline to false for Out-Of-Line Storage Set XML schema annotation xdb:SQLInline to false to store an XML fragment out of line. The element is mapped to a SQL object type with an embedded REF attribute, which points to another XMLType instance that is stored out of line and that corresponds to the XML fragment.

#### Storing Collections in Out-Of-Line Tables

You can store collection items out of line. Instead of a single  $\mbox{\it REF}$  column, the parent element contains a varray of  $\mbox{\it REF}$  values that point to the collection members.

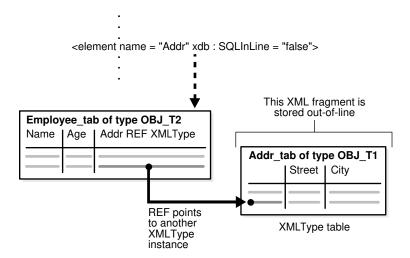
### 18.8.1 Setting Annotation Attribute xdb:SQLInline to false for Out-Of-Line Storage

Set XML schema annotation xdb: SQLInline to false to store an XML fragment out of line. The element is mapped to a SQL object type with an embedded REF attribute, which points to another XMLType instance that is stored out of line and that corresponds to the XML fragment.

By default, a child XML element is mapped to an embedded SQL object attribute when XMLType data is stored object-relationally. However, there are scenarios where out-of-line storage offers better performance. In such cases, set XML schema annotation (attribute) xdb:SQLInline to false, so Oracle XML DB generates a SQL object type with an embedded REF attribute. The REF points to another XMLType instance that is stored out of line and that corresponds to the XML fragment. Default XMLType tables are also created, to store the out-of-line fragments.

Figure 18-2 illustrates the mapping of complexType to SQL for out-of-line storage.

Figure 18-2 Mapping complexType to SQL for Out-Of-Line Storage



#### Note:

Starting with Oracle Database 11g Release 2 (11.2.0.2), you can create only one XMLType table that uses an XML schema that results in an out-of-line table. An error is raised if you try to create a second table that uses the same XML schema.

In Example 18-20, attribute xdb: SQLInline of element Addr has value false. The resulting SQL object type, obj\_t2, has an XMLType column with an embedded REF



object attribute. The REF attribute points to an XMLType instance of SQL object type obj\_t1 in table addr\_tab. Table addr\_tab is stored out of line. It has columns street and city.

When registering this XML schema, Oracle XML DB generates the XMLType tables and types shown in Example 18-21.

Table <code>emp\_tab</code> holds all of the employee information, and it contains an object reference that points to the address information that is stored out of line, in table <code>addr\_tab</code>.

An advantage of this model is that it lets you query the out-of-line table (addr\_tab) directly, to look up address information. Example 18-22 illustrates querying table addr\_tab directly to obtain the distinct city information for all employees.

The disadvantage of this storage model is that, in order to obtain the entire Employee element, you must access an additional table for the address.

#### Example 18-20 Setting SQLInline to False for Out-Of-Line Storage

```
DECLARE
 doc VARCHAR2(3000) :=
    '<schema xmlns="http://www.w3.org/2001/</pre>
XMLSchema"
             targetNamespace="http://www.oracle.com/emp.xsd"
             xmlns:emp="http://www.oracle.com/emp.xsd"
             xmlns:xdb="http://xmlns.oracle.com/xdb">
       <complexType name="EmpType" xdb:SQLType="EMP_T">
         <sequence>
           <element name="Name" type="string"/>
           <element name="Age" type="decimal"/>
           <element name="Addr"
                    xdb:SQLInline="false"
                    xdb:defaultTable="ADDR TAB">
             <complexType xdb:SQLType="ADDR T">
               <sequence>
                 <element name="Street" type="string"/>
                 <element name="City" type="string"/>
               </sequence>
             </complexType>
           </element>
         </sequence>
       </complexType>
       <element name="Employee" type="emp:EmpType"</pre>
                xdb:defaultTable="EMP TAB"/>
     </schema>';
BEGIN
 DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'emp.xsd',
    SCHEMADOC
                     => doc,
   ENABLE HIERARCHY => DBMS XMLSCHEMA.ENABLE HIERARCHY NONE);
END;
```



#### **Example 18-21 Generated XMLType Tables and Types**

```
DESCRIBE emp_tab
                        Null? Type
Name
TABLE of SYS.XMLTYPE(XMLSchema "emp.xsd" Element "Employee") STORAGE Object-relational TYPE "EMP_T"
DESCRIBE addr_tab
                       Null? Type
Name
_____
TABLE of SYS.XMLTYPE(XMLSchema "emp.xsd" Element "Addr") STORAGE Object-relational TYPE "ADDR_T"
DESCRIBE emp_t
emp_t is NOT FINAL
                        Null? Type
Name
SYS_XDBPD$
                                XDB.XDB$RAW_LIST_T
Name
                                VARCHAR2(4000 CHAR)
Age
                                NUMBER
                                REF OF XMLTYPE
Addr
DESCRIBE addr_t
                       Null?
Name
                                Type
SYS_XDBPD$
                                XDB.XDB$RAW_LIST_T
Street
                                VARCHAR2(4000 CHAR)
City
                                VARCHAR2(4000 CHAR)
```

#### Example 18-22 Querying an Out-Of-Line Table

```
INSERT INTO emp_tab
 VALUES
    (XMLType('<x:Employee
                 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                 xmlns:x="http://www.oracle.com/emp.xsd"
                 xsi:schemaLocation="http://www.oracle.com/emp.xsd
emp.xsd">
                <Name>Abe Bee</Name>
                <Age>22</Age>
                <Addr>
                  <Street>A Street</Street>
                  <City>San Francisco</City>
                </Addr>
              </x:Employee>'));
INSERT INTO emp_tab
 VALUES
    (XMLType('<x:Employee
                 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                 xmlns:x="http://www.oracle.com/emp.xsd"
                 xsi:schemaLocation="http://www.oracle.com/emp.xsd
emp.xsd">
                <Name>Cecilia Dee</Name>
                <Age>23</Age>
                <Addr>
                  <Street>C Street</Street>
                  <City>Redwood City</City>
                </Addr>
```

### 18.8.2 Storing Collections in Out-Of-Line Tables

You can store collection items out of line. Instead of a single REF column, the parent element contains a varray of REF values that point to the collection members.

For example, suppose that there is a list of addresses for each employee and that list is mapped to out-of-line storage, as shown in Example 18-23.

During registration of this XML schema, Oracle XML DB generates tables <code>emp\_tab</code> and <code>addr\_tab</code> and types <code>emp\_t</code> and <code>addr\_t</code>, just as in Example 18-20. However, this time, type <code>emp\_t</code> contains a varray of <code>REF</code> values that point to addresses, instead of a single <code>REF</code> attribute, as shown in Example 18-24.

The varray of REF values is stored out of line, in an intermediate table. That is, in addition to creating the tables and types just mentioned, XML schema registration also creates the intermediate table that stores the list of REF values. This table has a system-generated name, but you can rename it. That can be useful, for example, in order to create an index on it.

Example 18-26 shows a query that selects the names of all San Francisco-based employees and the streets in which they live. The example queries the address table on element City, and joins back with the employee table. The explain-plan fragment shown indicates a join between tables emp\_tab\_reflist and emp\_tab.

To improve performance you can create an index on the REF values in the intermediate table, emp\_tab\_reflist. This lets Oracle XML DB query the address table, obtain an object reference (REF) to the relevant row, join it with the intermediate table storing the list of REF values, and join that table back with the employee table.

You can create an index on REF values only if the REF is *scoped* or has a referential constraint. A scoped REF column stores pointers only to objects in a particular table. The REF values in table emp\_tab\_reflist point only to objects in table addr\_tab, so you can create a scope constraint and an index on the REF column, as shown in Example 18-27.

#### Example 18-23 Storing a Collection Out of Line



```
xmlns:emp="http://www.oracle.com/emp.xsd"
             xmlns:xdb="http://xmlns.oracle.com/xdb">
       <complexType name="EmpType" xdb:SQLType="EMP_T">
         <sequence>
           <element name="Name" type="string"/>
           <element name="Age" type="decimal"/>
           <element name="Addr" xdb:SQLInline="false"</pre>
                    maxOccurs="unbounded" xdb:defaultTable="ADDR TAB">
             <complexType xdb:SQLType="ADDR_T">
               <sequence>
                 <element name="Street" type="string"/>
                 <element name="City" type="string"/>
               </sequence>
             </complexType>
           </element>
         </sequence>
       </complexType>
       <element name="Employee" type="emp:EmpType"</pre>
                xdb:defaultTable="EMP TAB"/>
     </schema>';
BEGIN
  DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL
                    => 'emp.xsd',
    SCHEMADOC
                     => doc,
    ENABLE_HIERARCHY => DBMS_XMLSCHEMA.ENABLE_HIERARCHY_NONE);
END;
```

#### Example 18-24 Generated Out-Of-Line Collection Type

#### Example 18-25 Renaming an Intermediate Table of REF Values

```
DECLARE
  gen_name VARCHAR2 (4000);
BEGIN
  SELECT TABLE_NAME INTO gen_name FROM USER_NESTED_TABLES
   WHERE PARENT_TABLE_NAME = 'EMP_TAB';
  EXECUTE IMMEDIATE 'RENAME "' || gen_name || '"TO emp_tab_reflist';
END;
//
DESCRIBE emp_tab_reflist
Name  Null? Type
```



COLUMN\_VALUE REF OF XMLTYPE

#### Example 18-26 XPath Rewrite for an Out-Of-Line Collection

```
SELECT em.name, ad.street

FROM emp_tab,

XMLTable(XMLNAMESPACES ('http://www.oracle.com/emp.xsd' AS "x"),

'/x:Employee' PASSING OBJECT_VALUE

COLUMNS name VARCHAR2(20) PATH 'Name') em,

XMLTable(XMLNAMESPACES ('http://www.oracle.com/emp.xsd' AS "x"),

'/x:Employee/Addr' PASSING OBJECT_VALUE

COLUMNS street VARCHAR2(20) PATH 'Street',

city VARCHAR2(20) PATH 'City') ad

WHERE ad.city = 'San Francisco';
```

NAME	STREET
Abe Bee	A Street
Eve Fong	E Street
George Hu	G Street
Iris Jones	I Street
Karl Luomo	K Street
Marina Namur	M Street
Omar Pinano	0 Street
Quincy Roberts	Q Street

8 rows selected.

	4	TABLE ACCESS FULL	EMP_TAB_REFLIST	32	640	2	(0)   00:00:01	
	5	TABLE ACCESS BY INDEX ROWID	EMP_TAB	1	29	1	(0)   00:00:01	
*	6	INDEX UNIQUE SCAN	SYS_C005567	1		0	(0)   00:00:01	

#### Example 18-27 XPath Rewrite for an Out-Of-Line Collection, with Index on REFs

```
ALTER TABLE emp_tab_reflist ADD SCOPE FOR (COLUMN_VALUE) IS addr_tab; CREATE INDEX reflist_idx ON emp_tab_reflist (COLUMN_VALUE);
```

The explain-plan fragment for the same query as in Example 18-26 shows that index reflist\_idx is picked up.

	4	TABLE ACCESS BY INDEX ROWID EMP_TA	AB_REFLIST   1	20   1	(0)   00:00:01
*	5	INDEX RANGE SCAN REFLIS	T_IDX   1	0	(0)   00:00:01
	6	TABLE ACCESS BY INDEX ROWID   EMP_TA	ΔB		
*	7	INDEX UNIQUE SCAN SYS_CO	005567   1	0	(0)   00:00:01

## 18.9 Considerations for Working with Complex or Large XML Schemas

XML schemas can be complex. Examples of complex schemas include those that are recursive and those that contain circular or cyclical references. Working with complex

or large XML schemas can be challenging and requires taking certain considerations into account.

Circular and Cyclical Dependencies Among XML Schemas

The W3C XML Schema Recommendation lets <code>complexTypes</code> and global elements contain recursive references. This kind of structure allows for instance documents where the element in question can appear an infinite number of times in a recursive hierarchy.

• Support for Recursive Schemas

A REF to a recursive structure in an out-of-line table can make it difficult to rewrite XPath queries, because it is not known at compile time how deep the structure is. To enable XPath rewrite, a DOCID column points back to the root document in any recursive structure.

- XML Fragments Can Be Mapped to Large Objects (LOBs)
  You can specify the SQL data type to use for a complex element as being CLOB or BLOB.
- ORA-01792 and ORA-04031: Issues with Large XML Schemas
   Errors ORA-01792 and ORA-04031 can be raised when you work with large or complex XML schemas. You can encounter them when you register an XML schema or you create a table that is based on a global element defined by an XML schema.
- Considerations for Loading and Retrieving Large Documents with Collections
   Oracle XML DB configuration file xdbconfig.xml has parameters that control the
   amount of memory used by the loading operation: xdbcore-loadableunit-size
   and xdbcore-xobmem-bound.

### 18.9.1 Circular and Cyclical Dependencies Among XML Schemas

The W3C XML Schema Recommendation lets <code>complexTypes</code> and global elements contain recursive references. This kind of structure allows for instance documents where the element in question can appear an infinite number of times in a recursive hierarchy.

For example, a <code>complexType</code> definition can contain an element based on that same <code>complexType</code>, or a global element can contain a reference to itself. In both cases the reference can be direct or indirect.

#### Example 18-28 An XML Schema with Circular Dependency



The XML schema in Example 18-28 includes a circular dependency. The complexType personType consists of a personName attribute and a collection of descendant elements. The descendant element is defined as being of type personType.

- For Circular XML Schema Dependencies Set Parameter GENTABLES to TRUE
   Oracle XML DB supports XML schemas that involve circular schema
   dependencies. It does this by detecting the cycles, breaking them, and storing
   the recursive elements as rows in a separate XMLType table that is created during
   XML schema registration.
- complexType Declarations in XML Schema: Handling Cycles
   SQL object types do not allow cycles. Cycles in an XML schema are broken while
   generating the object types, by introducing a REF attribute where the cycle would
   be completed. Part of the data is stored out of line, but it is retrieved as part of the
   parent XML document.
- Cyclical References Among XML Schemas
   XML schemas can depend on each other in such a way that they cannot be registered one after the other in the usual manner.

## 18.9.1.1 For Circular XML Schema Dependencies Set Parameter GENTABLES to TRUE

Oracle XML DB supports XML schemas that involve circular schema dependencies. It does this by detecting the cycles, breaking them, and storing the recursive elements as rows in a separate XMLType table that is created during XML schema registration.

Consequently, it is important to ensure that parameter GENTABLES is set to TRUE when registering an XML schema that defines this kind of structure. The name of the table used to store the recursive elements can be specified by adding an xdb:defaultTable annotation to the XML schema.

#### 18.9.1.2 complexType Declarations in XML Schema: Handling Cycles

SQL object types do not allow cycles. Cycles in an XML schema are broken while generating the object types, by introducing a REF attribute where the cycle would be completed. Part of the data is stored out of line, but it is retrieved as part of the parent XML document.



Starting with Oracle Database 11g Release 2 (11.2.0.2), you can create only one XMLType table that uses an XML schema that results in an out-of-line table. An error is raised if you try to create a second table that uses the same XML schema.



XML schemas permit cycling between definitions of complex types. Figure 18-3 shows this, where the definition of complex type  $\mathtt{CT1}$  can reference another complex type  $\mathtt{CT2}$ , whereas the definition of  $\mathtt{CT2}$  references the first type  $\mathtt{CT1}$ .

XML schemas permit cycles among definitions of complex types. Example 18-29 creates a cycle of length two:

SQL types do not allow cycles in type definitions. However, they do support **weak cycles**, that is, cycles involving REF (reference) object attributes. Cyclic XML schema definitions are mapped to SQL object types in such a way that cycles are avoided by forcing SQLInline = "false" at the appropriate points. This creates a weak SQL cycle.

For the XML schema of Example 18-29, Oracle XML DB generates the following types:

```
CREATE TYPE ct1 AS OBJECT (SYS_XDBPD$ XDB.XDB$RAW_LIST_T,

"e1" VARCHAR2(4000),

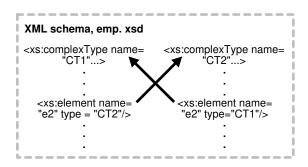
"e2" REF XMLType) NOT FINAL;

CREATE TYPE ct2 AS OBJECT (SYS_XDBPD$ XDB.XDB$RAW_LIST_T,

"e1" VARCHAR2(4000),

"e2" CT1) NOT FINAL;
```

Figure 18-3 Cross Referencing Between Different complexTypes in the Same XML Schema



Another example of a cyclic complex type involves the declaration of the complex type that refers to itself. In Example 18-30, type SectionT does this.

For the XML schema of Example 18-30, Oracle XML DB generates the following types:

```
CREATE TYPE body_coll AS VARRAY(32767) OF VARCHAR2(32767³);

CREATE TYPE section_t AS OBJECT (SYS_XDBPD$ XDB.XDB$RAW_LIST_T,

"title" VARCHAR2(32767³),

"body" BODY_COLL,

"section" XDB.XDB$REF_LIST_T) NOT

FINAL;
```

<sup>&</sup>lt;sup>1</sup> This value of 32767 assumes that the value of initialization parameter MAX\_STRING\_SIZE is EXTENDED. See *Oracle Database SQL Language Reference*.

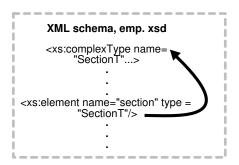




In Example 18-30, object attribute section is declared as a varray of REF references to XMLType instances. Because there can be more than one occurrence of embedded sections, the attribute is a varray. It is a varray of REF references to XMLType instances, to avoid forming a cycle of SQL objects.

Figure 18-4 illustrates schematically how a complexType can reference itself.

Figure 18-4 Self-Referencing Complex Type within an XML Schema



#### Example 18-29 XML Schema: Cycling Between complexTypes

```
DECLARE
 doc VARCHAR2(3000) :=
    '<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
                xmlns:xdb="http://xmlns.oracle.com/xdb">
       <xs:complexType name="CT1" xdb:SQLType="CT1">
         <xs:sequence>
           <xs:element name="e1" type="xs:string"/>
           <xs:element name="e2" type="CT2"/>
         </xs:sequence>
       </xs:complexType>
       <xs:complexType name="CT2" xdb:SQLType="CT2">
         <xs:sequence>
           <xs:element name="e1" type="xs:string"/>
           <xs:element name="e2" type="CT1"/>
         </xs:sequence>
       </xs:complexType>
     </xs:schema>';
BEGIN
 DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/emp.xsd',
    SCHEMADOC => doc);
END;
```



#### Example 18-30 XML Schema: Cycling Between complexTypes, Self-Reference

```
DECLARE
  doc VARCHAR2(3000) :=
    '<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
                xmlns:xdb="http://xmlns.oracle.com/xdb">
       <xs:complexType name="SectionT" xdb:SQLType="SECTION T">
         <xs:sequence>
           <xs:element name="title" type="xs:string"/>
           <xs:choice maxOccurs="unbounded">
             <xs:element name="body" type="xs:string"</pre>
                          xdb:SQLCollType="BODY COLL"/>
             <xs:element name="section" type="SectionT"/>
           </xs:choice>
         </xs:sequence>
       </xs:complexType>
     </xs:schema>';
BEGIN
  DBMS XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/section.xsd',
    SCHEMADOC => doc);
END;
```

#### **Related Topics**

Cyclical References Among XML Schemas
 XML schemas can depend on each other in such a way that they cannot be registered one after the other in the usual manner.

#### 18.9.1.3 Cyclical References Among XML Schemas

XML schemas can depend on each other in such a way that they cannot be registered one after the other in the usual manner.

This is illustrated in Figure 18-5.

In the top half of the illustration, an example of indirect cyclical references between three XML schemas is shown.

In the bottom half of the illustration, an example of cyclical dependencies between two XML schemas is shown. The details of this simpler example are presented first.

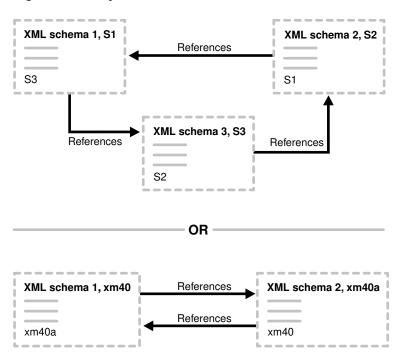


Figure 18-5 Cyclical References Between XML Schemas

An XML schema that includes another XML schema cannot be created if the included XML schema does not exist. The registration of XML schema xm40.xsd in Example 18-31 fails, if xm40a.xsd does not exist.

XML schema xm40.xsd can, however, be created if you specify option FORCE => TRUE, as in Example 18-32:

However, an attempt to use XML schema xm40.xsd, as in Example 18-33, fails.

If you register xm40a.xsd using the FORCE option, as in Example 18-34, then both XML schemas can be used, as shown by the CREATE TABLE statements.

Thus, to register these XML schemas, which depend on each other, you must use the FORCE parameter in DBMS\_XMLSCHEMA.registerSchema for each schema, as follows:

Register xm40.xsd with FORCE mode set to TRUE:

```
DBMS_XMLSCHEMA.registerSchema("xm40.xsd", "<schema ...", ..., FORCE => TRUE)
```

At this point, xm40.xsd cannot be used.

Register xm40a.xsd in FORCE mode set to TRUE:

```
DBMS_XMLSCHEMA.registerSchema("xm40a.xsd", "<schema ...", ..., FORCE => TRUE)
```

The second operation automatically compiles xm40.xsd and makes both XML schemas usable.

#### Example 18-31 An XML Schema that Includes a Non-Existent XML Schema



```
targetNamespace="xm40">
                  <include schemaLocation="xm40a.xsd"/>
                  <!-- Define a global complextype here -->
                  <complexType name="Company">
                    <sequence>
                      <element name="Name" type="string"/>
                      <element name="Address" type="string"/>
                    </sequence>
                  </complexType>
                  <!-- Define a global element depending on included schema -->
                  <element name="Emp" type="my:Employee"/>
                </schema>',
 LOCAL
           => TRUE,
 GENTYPES => TRUE,
 GENTABLES => TRUE);
END;
```

#### Example 18-32 Using the FORCE Option to Register XML Schema xm40.xsd

```
BEGIN DBMS_XMLSCHEMA.registerSchema(
  SCHEMAURL => 'xm40.xsd',
  SCHEMADOC => '<schema xmlns="http://www.w3.org/2001/XMLSchema"
                       xmlns:my="xm40"
                        targetNamespace="xm40">
                  <include schemaLocation="xm40a.xsd"/>
                  <!-- Define a global complextype here -->
                  <complexType name="Company">
                    <sequence>
                      <element name="Name" type="string"/>
                      <element name="Address" type="string"/>
                    </sequence>
                  </complexType>
                  <!-- Define a global element depending on included schema -->
                  <element name="Emp" type="my:Employee"/>
               </schema>',
         => TRUE,
  LOCAL
  GENTYPES => TRUE,
 GENTABLES => TRUE,
 FORCE
        => TRUE);
END;
```

#### Example 18-33 Trying to Create a Table Using a Cyclic XML Schema

CREATE TABLE foo OF XMLType XMLSCHEMA "xm40.xsd" ELEMENT "Emp";

#### Example 18-34 Using the FORCE Option to Register XML Schema xm40a.xsd



### 18.9.2 Support for Recursive Schemas

A REF to a recursive structure in an out-of-line table can make it difficult to rewrite XPath queries, because it is not known at compile time how deep the structure is. To enable XPath rewrite, a DOCID column points back to the root document in any recursive structure.

This enables some XPath queries to use the out-of-line tables directly and join back using this column.

A **document-correlated recursive query** is a query using a SQL function that accepts an XPath or XQuery expression and an XMLType instance, where that XPath or XQuery expression contains '//'. A document-correlated recursive query can be *rewritten* if it can be determined at query compilation time that both of the following conditions are met:

- All fragments of the XMLType instance that are targeted by the XPath or XQuery expression reside in a single out-of-line table.
- No other fragments of the XMLType instance reside in the same out-of-line table.

The rewritten query is a join with the out-of-line table, based on the DOCID column.

Other queries with '//' can also be rewritten. For example, if there are several address elements, all of the same type, in different sections of a schema, and you often query all address elements with '//', not caring about their specific location in the document, rewrite can occur.

During schema registration, an additional <code>DOCID</code> column is generated for out-of-line <code>XMLType</code> tables This column stores the <code>OID</code> (Object Identifier Values) of the document, that is, the root element. This column is automatically populated when data is inserted in the tables. You can export tables containing <code>DOCID</code> columns and import them later.

#### Example 18-35 Recursive XML Schema



#### defaultTable Shared Among Common Out-Of-Line Elements

Out-of-line elements of the same qualified name (namespace and local name) and same type are stored in the same default table. As a special case, you can store the root element of a cyclic element structure out of line in the same table as the sub-elements.

#### Query Rewrite when DOCID is Present

Before processing // XPath expressions, check to find multiple occurrences of the same element. If all occurrences under the // share the same <code>defaultTable</code> then the query can be rewritten against that table, using the <code>DOCID</code>.

#### DOCID Column Creation Disabling

You can disable the creation of column DOCID by specifying an OPTIONS parameter when calling DBMS\_XMLSCHEMA.registerSchema. This disables DOCID creation in all XMLType tables generated during schema registration.

#### 18.9.2.1 default Table Shared Among Common Out-Of-Line Elements

Out-of-line elements of the same qualified name (namespace and local name) and same type are stored in the same default table. As a special case, you can store the root element of a cyclic element structure out of line in the same table as the sub-elements.

Both of the elements sharing the default table must be out-of-line elements, that is, the default table for an out-of-line element cannot be the same as the table for a top-level element. To do this, specify xdb:SQLInline = "false" for both elements and specify an explicit xdb:defaultTable attribute having the same value in both elements.

Example 18-36 shows an XML schema with an out-of-line table that is stored in ABCSECTIONTAB.

Both of the out-of-line AbcSection elements in Example 18-36 share the same default table, ABCSECTIONTAB.

However, Example 18-37 illustrates *invalid* default table sharing: recursive elements (XyZSection) do not share the same out-of-line table.

The following query cannot be rewritten.

```
SELECT XMLQuery('//XyzSection' PASSING OBJECT_VALUE RETURNING CONTENT)
FROM xyzcode;
```



#### Example 18-36 Out-of-line Table

```
<schema targetNamespace="AbcNS" xmlns="http://www.w3.org/2001/XMLSchema"</pre>
           xmlns:abc="AbcNS" xmlns:xdb="http://xmlns.oracle.com/xdb">
  <element name="AbcCode" xdb:defaultTable="ABCCODETAB">
    <complexType>
      <sequence>
        <element ref="abc:AbcSection" xdb:SQLInline="false"/>
      </sequence>
    </complexType>
 </element>
 <element name="AbcSection" xdb:defaultTable="">
    <complexType>
      <sequence>
        <element name="ID" type="integer"/>
        <element name="Contents" type="string"/>
        <element ref="abc:AbcSection" xdb:SOLInline="false"</pre>
                 xdb:defaultTable="ABCSECTIONTAB"/>
      </sequence>
    </complexType>
 </element>
</schema>
```

#### **Example 18-37 Invalid Default Table Sharing**

```
<schema targetNamespace="XyzNS" xmlns="http://www.w3.org/2001/</pre>
XMLSchema"
         xmlns:xyz="XyzNS" xmlns:xdb="http://xmlns.oracle.com/xdb">
   <element name="XyzCode" xdb:defaultTable="XYZCODETAB">
   <complexType>
   <sequence>
      <element name="CodeNumber" type="integer" minOccurs="0"/>
      <element ref="xyz:XyzChapter" xdb:SQLInline="false"/>
      <element ref="xyz:XyzPara" xdb:SQLInline="false" />
   </sequence>
   </complexType>
   </element>
    <element name="XyzChapter" xdb:defaultTable="XYZCHAPTAB">
     <complexType>
     <sequence>
         <element name="Title" type="string"/>
         <element ref="xyz:XyzSection" xdb:SQLInline="false"</pre>
                  xdb:defaultTable="XYZSECTIONTAB"/>
      </sequence>
      </complexType>
    </element>
    <element name="XyzPara" xdb:defaultTable="XYZPARATAB">
     <complexType>
     <sequence>
         <element name="Title" type="string"/>
         <element ref="xyz:XyzSection" xdb:SQLInline="false"</pre>
```



#### xdb:defaultTable="Other\_XYZSECTIONTAB"/>

#### 18.9.2.2 Query Rewrite when DOCID is Present

Before processing // XPath expressions, check to find multiple occurrences of the same element. If all occurrences under the // share the same defaultTable then the query can be rewritten against that table, using the DOCID.

If there are other occurrences of the same element under the root sharing that table, but not under //, then the query cannot be rewritten.

For example, consider this element structure:

```
<Book> contains a <Chapter> and a <Part>. <Part> contains a <Chapter>.
```

Assume that both of the <Chapter> elements are stored out of line and they share the same default table. The query /Book//Chapter can be rewritten to go against the default table for the <Chapter> elements because all of the <Chapter> elements under <Book> share the same default table. Thus, this XPath query is a document-correlated recursive XPath query.

However, a query such as /Book/Part//Chapter cannot be rewritten, even though all the <Chapter> elements under <Part> share the same table, because there is another <Chapter> element under <Book>, which is the document root that also shares that table.

Consider the case where you are extracting //AbcSection with DOCID present, as in the XML schema described in Example 18-36:

```
SELECT XMLQuery('//AbcSection' PASSING OBJECT_VALUE RETURNING CONTENT) FROM abccodetab;
```

Both of the AbcSection elements are stored in the same table, abcsectiontab. The extraction applies to the underlying table, abcsectiontab.

Consider this query when DOCID is present:

In both this case and the previous case, all reachable AbcSection elements are stored in the same out-of-line table. However, the first AbcSection element at /AbcCode/



AbcSection cannot be retrieved by this query. Since the join condition is a DOCID, which cannot distinguish between different positions in the parent document, the correct result cannot be achieved by a direct query on table <code>abcsectiontab</code>. In this case, query rewrite does not occur since it is not a document-correlated recursive XPath. If this top-level <code>AbcSection</code> were not stored out of line with the rest, then the query could be rewritten.

#### 18.9.2.3 DOCID Column Creation Disabling

You can disable the creation of column DOCID by specifying an OPTIONS parameter when calling DBMS\_XMLSCHEMA.registerSchema. This disables DOCID creation in all XMLType tables generated during schema registration.

OPTIONS is an input parameter of data type PLS\_INTEGER. Its default value is 0, meaning that no options are used. To inhibit the generation of column DOCID, set parameter OPTIONS to DBMS\_XMLSCHEMA.REGISTER\_NODOCID (which is 1).



Oracle Database PL/SQL Packages and Types Reference

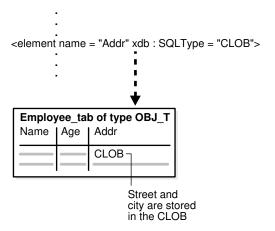
### 18.9.3 XML Fragments Can Be Mapped to Large Objects (LOBs)

You can specify the SQL data type to use for a complex element as being  ${\tt CLOB}$  or  ${\tt BLOB}.$ 

In Figure 18-6, for example, an entire XML fragment is stored in a LOB attribute.

In Example 18-38, the XML schema defines element Addr using the annotation SQLType = "CLOB":

Figure 18-6 Mapping complexType XML Fragments to CLOB Instances





## Example 18-38 Oracle XML DB XML Schema: Mapping complexType XML Fragments to LOBs

```
DECLARE
  doc VARCHAR2(3000) :=
    '<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
             targetNamespace="http://www.oracle.com/emp.xsd"
             xmlns:emp="http://www.oracle.com/emp.xsd"
             xmlns:xdb="http://xmlns.oracle.com/xdb">
       <complexType name="Employee" xdb:SQLType="OBJ_T">
         <sequence>
           <element name="Name" type="string"/>
           <element name="Age" type="decimal"/>
           <element name="Addr" xdb:SQLType="CLOB">
             <complexType >
               <sequence>
                 <element name="Street" type="string"/>
                 <element name="City" type="string"/>
               </sequence>
             </complexType>
           </element>
         </sequence>
       </complexType>
     </schema>';
BEGIN
  DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'http://www.oracle.com/PO.xsd',
    SCHEMADOC => doc);
END;
```

When registering this XML schema, Oracle XML DB generates the following types and XMLType tables:

### 18.9.4 ORA-01792 and ORA-04031: Issues with Large XML Schemas

Errors ORA-01792 and ORA-04031 can be raised when you work with large or complex XML schemas. You can encounter them when you register an XML schema or you create a table that is based on a global element defined by an XML schema.

```
• ORA-01792: maximum number of columns in a table or view is 1000
```

```
    ORA-04031: unable to allocate string bytes of shared memory
("string", "string", "string")
```

These errors are raised when you try to create an XMLType table or column based on a global element and the global element is defined as a complexType that contains a very large number of element and attribute definitions.

They are raised only when creating an XMLType table or column that uses object-relational storage. The table or column is persisted using a SQL type, and each object attribute defined by the SQL type counts as one column in the underlying table. If the SQL type contains object attributes that are based on other SQL types, then the attributes defined by those types also count as columns in the underlying table.



If the total number of object attributes in all of the SQL types exceeds the Oracle Database limit of 1000 columns in a table, then the storage table cannot be created. When the total number of elements and attributes defined by a <code>complexType</code> reaches 1000, it is not possible to create a single table that can manage the SQL objects that are generated when an instance of that type is stored in the database.



#### Tip:

You can use the following query to determine the number of columns for a given XMLType table stored object-relationally:

```
SELECT count(*) FROM USER_TAB_COLS WHERE TABLE_NAME = '<the
table>'
```

where <the table > is the table you want to check.

Error ORA-01792 reports that the 1000-column limit has been exceeded. Error ORA-04031 reports that memory is insufficient during the processing of a large number of element and attribute definitions. To resolve this problem of having too many element and attribute definitions, you must reduce the total number of object attributes in the SQL types that are used to create the storage tables.

There are two ways to achieve this reduction:

- Use a top-down technique, with multiple XMLType tables that manage the XML documents. This reduces the number of SQL attributes in the SQL type hierarchy for a given storage table. As long as none of the tables need to manage more than 1000 object attributes, the problem is resolved.
- Use a bottom-up technique, which reduces the number of SQL attributes in the SQL type hierarchy, *collapsing some elements and attributes* defined by the XML schema so that they are stored as a single CLOB value.

Both techniques rely on annotating the XML schema to define how a particular complexType is stored in the database.

For the top-down technique, annotations <code>SQLInline = "false"</code> and <code>defaultTable</code> force some subelements in the XML document to be stored as rows in a separate <code>XMLType</code> table. Oracle XML DB maintains the relationship between the two tables using a <code>REF</code> of <code>XMLType</code>. Good candidates for this approach are XML schemas that do either of the following:

- Define a choice, where each element within the choice is defined as a complexType
- Define an element based on a complexType that contains a large number of element and attribute definitions

The bottom-up technique involves reducing the total number of attributes in the SQL object types by choosing to store some of the lower-level <code>complexType</code> elements as <code>CLOB</code> values, rather than as objects. This is achieved by annotating the <code>complexType</code> or the usage of the <code>complexType</code> with <code>SQLType = "CLOB"</code>.

Which technique you use depends on the application and the type of queries and updates to be performed against the data.



## 18.9.5 Considerations for Loading and Retrieving Large Documents with Collections

Oracle XML DB configuration file xdbconfig.xml has parameters that control the amount of memory used by the loading operation: xdbcore-loadableunit-size and xdbcore-xobmem-bound.

These let you optimize the loading process, provided the following conditions are met:

- The document is loaded using one of the following:
  - Protocols (FTP, HTTP(S), or DAV)
  - PL/SQL function DBMS\_XDB\_REPOS.createResource
  - A SQL INSERT statement into an XMLType table (but not an XMLType column)
- The document is XML schema-based and contains large collections (elements with maxOccurs set to a large number).
- Collections in the document are stored as OCTs. This is the default behavior.

In the following situations, the optimizations are sometimes suboptimal:

- When there are triggers on the base table.
- When the base table is partitioned.
- When collections are stored out of line (applies only to SQL INSERT).

The basic idea behind this optimization is that it lets the collections be swapped into or out of the memory in bounded sizes. As an illustration of this idea consider the following example conforming to a purchase-order XML schema:

The purchase-order document here contains a collection of 10240 LineItem elements. Creating the entire document in memory and then pushing it out to disk can lead to excessive memory usage and in some instances a load failure due to inadequate system memory.

To avoid that, you can create the documents in finite chunks of memory called **loadable units**.

In the example case, assume that each line item needs 1 KB of memory and that you want to use loadable units of 512 KB each. Each loadable unit then contains 512 line items, and there are approximately 20 such units. If you want the entire memory representation of the document to never exceed 2 MB, then you must ensure that at



any time no more than 4 loadable units are maintained in the memory. You can use an LRU mechanism to swap out the loadable units.

By controlling the size of the loadable unit and the bound on the size of the document you can tune the memory usage and performance of the load or retrieval. Typically a larger loadable unit size translates into a smaller number of disk accesses, but it requires more memory. This is controlled by configuration parameter xdbcore-loadableunit-size, whose default value is 16 KB. You can indicate the amount of memory to be given to a document by setting parameter xdbcore-xobmem-bound, which defaults to 1 MB. The values of these parameters are specified in kilobytes. So, the default value of xdbcore-xobmem-bound is 1024 and that of xdbcore-loadableunit-size is 16. These are soft limits that provide some guidance to the system about how to use the memory optimally.

When a document is loaded using FTP, the pattern in which the loadable units (LU) are created and flushed to the disk is as follows:

```
No LUs
Create LU1[LineItems(LI):1-512]
LU1[LI:1-512], Create LU2[LI:513-1024]
.
.
.
LU1[LI:1-512],...,Create LU4[LI:1517:2028] <- Total memory size = 2M
Swap Out LU1[LI:1-512], LU2[LI:513-1024],...,LU4[LI:1517-2028], Create LU5[LI:2029-2540]
Swap Out LU2[LI:513-1024], LU3, LU4, LU5, Create LU6[LI:2541-2052]
.
.
.
Swap Out LU16, LU17, LU18, LU10, Create LU20[LI:9729-10240]
Flush LU17,LU18,LU19,LU20
```

 Guidelines for Configuration Parameters xdbcore-loadableunit-size and xdbcorexobmem-bound

Use PGA size and trial and error to determine the best values for configuration parameters xdbcore-loadableunit-size and xdbcore-xobmem-bound.

## 18.9.5.1 Guidelines for Configuration Parameters xdbcore-loadableunit-size and xdbcore-xobmem-bound

Use PGA size and trial and error to determine the best values for configuration parameters xdbcore-loadableunit-size and xdbcore-xobmem-bound.

Typically, if you have 1 GB of addressable PG then give about 1/10th of PGA to the document. Set xobcore-xobmem-bound to 1/10 of addressable PGA, which is 100M. During full document retrievals and loads, the value of xdbcore-loadableunit-size should be as close as possible to the value of xobcore-xobmem-bound.

Start by setting xdbcore-loadableunit-size to half the value of xdbcore-xobmembound (50 MB). Then try to load the document.

If you run out of memory then reduce the value of xdbcore-xobmem-bound and set xdbcore-loadableunot-size to half of that value. Continue this way until the documents load successfully.

If the load operation succeeds then try to increase <code>xdbcore-loadableunit-size</code>, to obtain better performance. If <code>xdbcore-loadableunit-size</code> equals <code>xdbcore-xobmem-bound</code>, then try to increase both parameter values for further performance improvements.

# 18.10 Debugging XML Schema Registration for XML Data Stored Object-Relationally

For XML data stored object-relationally, you can monitor the object types and tables created during XML schema registration by setting the event 31098 before invoking PL/SQL procedure DBMS\_XMLSCHEMA.registerSchema.

ALTER SESSION SET EVENTS = '31098 TRACE NAME CONTEXT FOREVER'

Setting this event causes the generation of a log of all of the CREATE TYPE and CREATE TABLE statements. The log is written to the user session trace file, typically found in ORACLE\_BASE/diag/rdbms/ORACLE\_SID/ORACLE\_SID/udump. This trace output can be a useful aid in diagnosing problems during XML schema registration.



19

# XPath Rewrite for Object-Relational Storage

For XMLType data stored object-relationally, queries involving XPath expression arguments to various SQL functions can often be automatically rewritten to queries against the underlying SQL tables, which are highly optimized.

- Overview of XPath Rewrite for Object-Relational Storage
   Oracle XML DB can often optimize queries that use XPath expressions for example, queries involving SQL functions such as XMLQuery, XMLTable, and XMLExists, which take XPath (XQuery) expressions as arguments. The XPath expression is, in effect, evaluated against the XML document without ever constructing the XML document in memory.
- Common XPath Expressions that Are Rewritten
   The most common XPath expressions that are rewritten during XPath rewrite are described.
- XPath Rewrite for Out-Of-Line Tables

  XPath expressions that involve elements stored out of line can be automatically rewritten. The rewritten guery involves a join with the out-of-line table.
- Guidelines for Using Execution Plans to Analyze and Optimize XPath Queries
   Guidelines are presented for using execution plans to analyze query execution
   in order to (a) determine whether XPath rewrite occurs and (b) optimize query
   execution by using secondary indexes. These guidelines apply only to XMLType
   data that is stored object-relationally.

#### **Related Topics**

Performance Tuning for XQuery
 A SQL query that involves XQuery expressions can often be automatically
 rewritten (optimized) in one or more ways. This optimization is referred to as XML
 query rewrite or optimization. When this happens, the XQuery expression is, in
 effect, evaluated directly against the XML document without constructing a DOM in
 memory.

# 19.1 Overview of XPath Rewrite for Object-Relational Storage

Oracle XML DB can often optimize queries that use XPath expressions — for example, queries involving SQL functions such as XMLQuery, XMLTable, and XMLExists, which take XPath (XQuery) expressions as arguments. The XPath expression is, in effect, evaluated against the XML document without ever constructing the XML document in memory.

This optimization is called **XPath rewrite**. It is a proper subset of XML query optimization, which also involves optimization of XQuery expressions, such as FLWOR

expressions, that are not XPath expressions. XPath rewrite also enables indexes, if present on the column, to be used in query evaluation by the Optimizer.

The XPath expressions that can be rewritten by Oracle XML DB are a proper subset of those that are supported by Oracle XML DB. Whenever you can do so without losing functionality, use XPath expressions that can be rewritten.

XPath rewrite can occur in these contexts (or combinations thereof):

- When XMLType data is stored in an object-relational column or table or when an XMLType view is built on relational data.
- When you use an XMLIndex index.

The first case, rewriting queries that use object-relational XML data or XMLType views, is covered here. The XMLType views can be XML schema-based or not. Object-relational storage of XMLType data is always XML schema-based. Examples in this chapter are related to XML schema-based tables.

When XPath rewrite is possible for object-relational XML data, the database optimizer can derive an execution plan based on conventional relational algebra. This in turn means that Oracle XML DB can leverage all of the features of the database and ensure that SQL statements containing XQuery and XPath expressions are executed in a highly performant and efficient manner. There is little overhead with this rewriting, so Oracle XML DB executes XQuery-based and XPath-based queries at near-relational speed.

In certain cases, XPath rewrite is not possible. This typically occurs when there is no SQL equivalent of the XPath expression. In this situation, Oracle XML DB performs a functional evaluation of the XPath expressions, which is generally more costly, especially if the number of documents to be processed is large.

Example 19-1 illustrates XPath rewrite for a simple query that uses an XPath expression.

#### Example 19-1 XPath Rewrite

The  $\mathtt{XMLCast}(\mathtt{XMLQuery}...)$  expression here is rewritten to the underlying relational column that stores the requestor information for the purchase order. The query is rewritten to something like the following:<sup>1</sup>

```
SELECT OBJECT_VALUE FROM purchaseorder p
WHERE CAST (p."XMLDATA"."REQUESTOR" AS VARCHAR2(128)) = 'Sarah J.
Bell';
```

This example uses sample database schema OE and its table purchaseorder. The XML schema for this table is annotated with attribute SQLName to specify SQL object attribute names such as REQUESTOR—see Example A-2. Without such annotations, this example would use p. "XMLDATA". "Requestor", not p. "XMLDATA". "REQUESTOR".



## **Related Topics**

Relational Views over XML Data

Relational database views over XML data provide conventional, relational access to XML content.

XMLType Views

You can create XMLType views over relational and object-relational data.

Indexing XMLType Data Stored Object-Relationally

You can effectively index XMLType data that is stored object-relationally by creating B-tree indexes on the underlying database columns that correspond to XML nodes.

- XMLIndex
- XML Schema Annotation Guidelines for Object-Relational Storage
  For XMLType data stored object-relationally, careful planning is called for, to
  optimize performance. Similar considerations are in order as for relational data:
  entity-relationship models, indexing, data types, table partitions, and so on. To
  enable XPath rewrite and achieve optimal performance, you implement many such
  design choices using XML schema annotations.

## 19.2 Common XPath Expressions that Are Rewritten

The most common XPath expressions that are rewritten during XPath rewrite are described.

Table 19-1 presents the descriptions

Table 19-1 Sample of XPath Expressions that Are Rewritten to Underlying SQL Constructs

Description
Involves traversals over object type attributes only, where the attributes are simple scalar or object types themselves.
Involves traversal of collection expressions. The only axes supported are child and attribute axes. Collection traversal is not supported if the SQL function is used during a CREATE INDEX operation.
Predicates in the XPath are rewritten into SQL predicates.
Indexes are rewritten to access the nth item in a collection.
If the wildcard can be translated to one or more simple XPath expressions, then it is rewritten.
Similar to a wildcard expression. The descendant axis is rewritten if it can be mapped to one or more simple XPath expressions.



Table 19-1 (Cont.) Sample of XPath Expressions that Are Rewritten to Underlying SQL Constructs

XPath Expression for Translation	Description
Descendant axis (XML schema-based data only), with recursion:	The descendant axis is rewritten if both of these conditions holds:
/PurchaseOrder//Part/@Id	<ul> <li>All simple XPath expressions to which this XPath expression expands map to the same out- of-line table.</li> </ul>
	<ul> <li>Any simple XPath expression to which this XPath expression does not expand does not map to that out-of-line table.</li> </ul>
XPath functions	Some XPath functions are rewritten. These functions include not, floor, ceiling, substring, and string-length.



Performance Tuning for XQuery for information about rewrite of XQuery expressions

## 19.3 XPath Rewrite for Out-Of-Line Tables

XPath expressions that involve elements stored out of line can be automatically rewritten. The rewritten query involves a join with the out-of-line table.

Example 19-2 shows such a query. The XQuery expression is rewritten to a SQL EXISTS subquery that queries table  $addr_{tab}$ , joining it with table  $emp_{tab}$  using the object identifier column in  $addr_{tab}$ . The optimizer uses full table scans of tables  $emp_{tab}$  and  $addr_{tab}$ . If there are many entries in the  $addr_{tab}$ , then you can try to make this query more efficient by creating an index on the city, as shown in Example 19-3. An explain-plan fragment for the same query as in Example 19-2 shows that the city index is picked up.

## Note:

When gathering statistics for the optimizer on an XMLType table that is stored object-relationally, Oracle recommends that you gather statistics on *all* of the tables defined by the XML schema, that is, all of the tables in USER\_XML\_TABLES. You can use procedure DBMS\_STATS.gather\_schema\_stats to do this, or use DBMS\_STATS.gather\_table\_stats on each such table. This informs the optimizer about all of the dependent tables that are used to store the XMLType data.



## Example 19-2 XPath Rewrite for an Out-Of-Line Table

```
SELECT XMLCast(XMLQuery('declare namespace x = "http://www.oracle.com/emp.xsd"; (: :)
                         /x:Employee/Name' PASSING OBJECT_VALUE RETURNING CONTENT)
               AS VARCHAR2(20))
  FROM emp tab
  WHERE XMLExists('declare namespace x = "http://www.oracle.com/emp.xsd"; (: :)
                   /x:Employee/Addr[City="San Francisco"]' PASSING OBJECT VALUE);
XMLCAST(XMLQUERY(...
Abe Bee
Eve Fong
George Hu
Iris Jones
Karl Luomo
Marina Namur
Omar Pinano
Quincy Roberts
8 rows selected.
```

#### Example 19-3 Using an Index with an Out-Of-Line Table

```
CREATE INDEX addr_city_idx
ON addr_tab (extractValue(OBJECT_VALUE, '/Addr/City'));

| 2 | TABLE ACCESS BY INDEX ROWID | ADDR_TAB | 1 | 2012 | 1 (0) | 00:00:01 |
|* 3 | INDEX RANGE SCAN | ADDR_CITY_IDX | 1 | 1 (0) | 00:00:01 |
| 4 | TABLE ACCESS FULL | EMP_TAB | 16 | 32464 | 2 (0) | 00:00:01 |
```

# 19.4 Guidelines for Using Execution Plans to Analyze and Optimize XPath Queries

Guidelines are presented for using execution plans to analyze query execution in order to (a) determine whether XPath rewrite occurs and (b) optimize query execution by using secondary indexes. These guidelines apply only to XMLType data that is stored object-relationally.

Use these guidelines together, taking all that apply into consideration.

XPath rewrite for object-relational storage means that a query that selects XML fragments defined by an XPath expression is rewritten to a SQL SELECT statement on the underlying object-relational tables and columns. These underlying tables can include out-of-line tables.

You can use PL/SQL procedure  $\tt DBMS\_XMLSTORAGE\_MANAGE.XPath2TabColMapping$  to find the names of the underlying tables and columns that correspond to a given XPath expression.

Guideline: Look for underlying tables versus XML functions in execution plans
 The execution plan of a query that is rewritten refers to the names of the object-relational tables and columns that underlie the queried XMLType data. These

names can be meaningful to you if they are derived from XML element or attribute names or if XML Schema annotation xdb:defaultTable was used.

 Guideline: Name the object-relational tables, so you recognize them in execution plans

When designing an XML schema, use annotation xdb:defaultTable to name the underlying tables that correspond to elements that you select in queries where performance is important. This lets you easily recognize them in an execution plan, indicating by their presence or absence whether the query has been rewritten.

- Guideline: Create an index on a column targeted by a predicate
   You can sometimes improve the performance of a query that is rewritten to include
   a SQL predicate, by creating an index that applies to the column targeted by the
   predicate.
- Guideline: Create indexes on ordered collection tables
   If a collection is stored as an ordered collection table (OCT) or as an XMLType instance, then you can directly access members of the collection. Each member becomes a table row, so you can access it directly with SQL. You can often improve performance by indexing such collection members.
- Guideline: Use XMLOptimizationCheck to determine why a query is not rewritten
  If a query has not been optimized, you can use system variable
  XMLOptimizationCheck to try to determine why.

#### **Related Topics**

XPath Rewrite for Out-Of-Line Tables XPath expressions that involve elements stored out of line can be automatically rewritten. The rewritten query involves a join with the out-of-line table.



Oracle Database PL/SQL Packages and Types Reference for information about procedure XPath2TabColMapping

# 19.4.1 Guideline: Look for underlying tables versus XML functions in execution plans

The execution plan of a query that is rewritten refers to the names of the object-relational tables and columns that underlie the queried XMLType data. These names can be meaningful to you if they are derived from XML element or attribute names or if XML Schema annotation xdb:defaultTable was used.

Otherwise, these names are system-generated and have no obvious meaning. In particular, they do not reflect the corresponding XML element or attribute names.

Also, some system-generated columns are generally hidden. You do not see them if you use the SQL describe command. They nevertheless show up in execution plans.

The plan of a query that has not been rewritten shows only the base table names, and it typically refers to user-level XML functions, such as XMLExists. Look for this difference to determine whether a query has been optimized. The XML function name



shown in an execution plan is actually the internal name (for example, XMLEXISTS2), which is sometimes slightly different from the user-level name.

Example 19-4 shows the kind of execution plan output that is generated when Oracle XML DB cannot perform XPath rewrite. The plan here is for a query that uses SQL/XML function XMLExists. The corresponding internal function XMLExists2 appears in the plan output, indicating that the query is not rewritten.

In this situation, Oracle XML DB constructs a pre-filtered result set based on any other conditions specified in the query WHERE clause. It then filters the rows in this potential result set to determine which rows belong in the result set. The filtering is performed by *constructing a DOM on each document* and performing a **functional evaluation** using the methods defined by the DOM API to determine whether or not each document is a member of the result set.

#### Example 19-4 Execution Plan Generated When XPath Rewrite Does Not Occur

# 19.4.2 Guideline: Name the object-relational tables, so you recognize them in execution plans

When designing an XML schema, use annotation xdb:defaultTable to name the underlying tables that correspond to elements that you select in queries where performance is important. This lets you easily recognize them in an execution plan, indicating by their presence or absence whether the query has been rewritten.

For collection tables, there is no corresponding XML schema annotation. To give user-friendly names to your collection tables you must first register the XML schema. Then you can use PL/SQL procedure <code>DBMS\_XMLSTORAGE\_MANAGE.renameCollectionTable</code> to rename the tables that were created during registration, which have system-generated names.



Oracle Database PL/SQL Packages and Types Reference for information about procedure renameCollectionTable

## 19.4.3 Guideline: Create an index on a column targeted by a predicate

You can sometimes improve the performance of a query that is rewritten to include a SQL predicate, by creating an index that applies to the column targeted by the predicate.



A query resulting from XPath rewrite sometimes includes a SQL predicate (WHERE clause). This can happen even if the original query does not use an XPath predicate, and it can happen even if the original query does not have a SQL WHERE clause.

When this happens, you can sometimes improve performance by creating an index on the column that is targeted by the SQL predicate, or by creating an index on a function application to that column.

Example 19-1 illustrates XPath rewrite for a query that includes a WHERE clause. Example 19-5 shows the predicate information from an execution plan for this query.

The predicate information indicates that the expression XMLCast (XMLQuery...) is rewritten to an application of SQL function cast to the underlying relational column that stores the requestor information for the purchase order, SYS\_NC0021\$. This column name is system-generated. The execution plan refers to this system-generated name, in spite of the fact that the governing XML schema uses annotation SQLName to name this column REOUESTOR.

Because these two names (user-defined and system-generated) refer to the same column, you can create a B-tree index on this column using either name. Alternatively, you can use the <code>extractValue</code> shortcut to create the index, by specifying an XPath expression that targets the purchase-order requestor data.

You can obtain the names of the underlying table and columns that correspond to a given XPath expression using procedure DBMS\_XMLSTORAGE\_MANAGE.XPath2TabColMapping. Example 19-6 illustrates this for the XPath expression /PurchaseOrder/Requestor used in the WHERE clause of Example 19-1.

If you provide an XPath expression that contains a wildcard or a descendent axis then multiple tables and columns might be selected. In that case procedure XPath2TabColMapping returns multiple <Mapping> elements, one for each table-column pair.

You can then use the table and column names retrieved this way in a CREATE INDEX statement to create an index that corresponds to the XPath expression. Example 19-7 shows three equivalent ways to create a B-tree index on the predicate-targeted column.

However, for this particular query it makes sense to create a function-based index, using a functional expression that matches the one in the rewritten query. Example 19-8 illustrates this.

Example 19-9 shows an execution plan that indicates that the index is picked up.

In the particular case of this query, the original functional expression applies XMLCast to XMLQuery to target a singleton element, Requestor. This is a special case, where you can as a shortcut use such a functional expression directly in the CREATE INDEX statement. That statement is rewritten to create an index on the underlying scalar data. Example 19-10, which targets an XPath expression, thus has the same effect as Example 19-8, which targets the corresponding object-relational column.



## See Also:

Predicate Information (identified by operation id):

- Indexing Non-Repeating Text Nodes or Attribute Values for information about using the shortcut of XMLCast applied to XMLQuery and the extractValue shortcut to index singleton data
- Oracle Database PL/SQL Packages and Types Reference for information about procedure XPath2TabColMapping

## Example 19-5 Analyzing an Execution Plan to Determine a Column to Index

## Example 19-6 Using DBMS XMLSTORAGE MANAGE.XPATH2TABCOLMAPPING

#### Example 19-7 Creating an Index on a Column Targeted by a Predicate

```
CREATE INDEX requestor_index ON purchaseorder ("SYS_NC00021$");

CREATE INDEX requestor_index ON purchaseorder ("XMLDATA"."REQUESTOR");

CREATE INDEX requestor_index ON purchaseorder
  (extractvalue(OBJECT_VALUE, '/PurchaseOrder/Requestor'));
```

## Example 19-8 Creating a Function-Based Index for a Column Targeted by a Predicate

```
CREATE INDEX requestor_index ON purchaseorder
  (cast("XMLDATA"."REQUESTOR" AS VARCHAR2(128)));
```

## Example 19-9 Execution Plan Showing that Index Is Picked Up

Id   Op	eration	Name	Rows	Bytes	Cost (%CPU)	Time



	0	SELECT STATEME	ENT		1	524	2	(0)   00:00:01
*	1	TABLE ACCESS	BY INDEX ROWID	PURCHASEORDER	1	524	2	(0)   00:00:01
*	2	INDEX RANGE	SCAN	REQUESTOR_INDEX	1		1	(0)   00:00:01

------

Predicate Information (identified by operation id):

## Example 19-10 Creating a Function-Based Index for a Column Targeted by a Predicate

## 19.4.4 Guideline: Create indexes on ordered collection tables

If a collection is stored as an ordered collection table (OCT) or as an XMLType instance, then you can directly access members of the collection. Each member becomes a table row, so you can access it directly with SQL. You can often improve performance by indexing such collection members.

You do this by creating a *composite* index on (a) the object attribute that corresponds to the collection XML element or its attribute and (b) pseudocolumn NESTED\_TABLE\_ID.

Example 19-11 shows the execution plan for a query to find the Reference elements in documents that contain an order for part number 717951002372 (Part element with an Id attribute of value 717951002372). The collection of LineItem elements is stored as rows in the ordered collection table lineitem\_table.



Example 19-11 does not use the purchaseorder table from sample database schema OE. It uses a purchaseorder table that uses an ordered collection table (OCT) named lineitem\_table for the collection element LineItem.

The execution plan shows a full scan of ordered collection table <code>lineitem\_table</code>. This could be acceptable if there were only a few hundred documents in the <code>purchaseorder</code> table, but it would be unacceptable if there were thousands or millions of documents in the table.

To improve the performance of such a query, you can create an index that provides direct access to pseudocolumn NESTED\_TABLE\_ID, given the value of attribute Id.

Unfortunately, Oracle XML DB does not allow indexes on collections to be created using XPath expressions directly. To create the index, you must understand the structure of the SQL object that is used to manage the LineItem elements. Given this information, you can create the required index using conventional object-relational SQL.

In this case, element LineItem is stored as an instance of object type lineitem\_t. Element Part is stored as an instance of SQL data type part\_t. XML attribute Id is mapped to object attribute part\_number. Given this information, you can create a composite index on attribute part\_number and pseudocolumn NESTED\_TABLE\_ID, as shown in Example 19-12. This index provides direct access to those purchase-order documents that have LineItem elements that reference the required part.

## Example 19-11 Execution Plan for a Selection of Collection Elements

```
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Reference'

PASSING OBJECT_VALUE AS "p" RETURNING CONTENT)

AS VARCHAR2(4000)) "Reference"

FROM purchaseorder

WHERE XMLExists('$p/PurchaseOrder/LineItems/LineItem/Part[@Id="717951002372"]'

PASSING OBJECT_VALUE AS "p");
```

1	.d	Operation	Name	Rows	Bytes	Cost (	%CPU)  Time
	0	SELECT STATEMENT HASH JOIN RIGHT SEMI		21     21	2352   2352	20 20	(10)   00:00:01   (10)   00:00:01
ĺ	2	JOIN FILTER CREATE	:BF0000	22	880	14	(8)   00:00:01
*	3	TABLE ACCESS FULL	LINEITEM_TABLE	22	880	14	(8)   00:00:01
ĺ	4	JOIN FILTER USE	:BF0000	132	9504	5	(0) 00:00:01
*	5	TABLE ACCESS FULL	PURCHASEORDER	132	9504	5	(0)   00:00:01

#### Example 19-12 Creating an Index for Direct Access to an Ordered Collection Table

```
CREATE INDEX lineitem_part_index ON lineitem_table 1 (1.part.part_number, 1.NESTED_TABLE_ID);
```

# 19.4.5 Guideline: Use XMLOptimizationCheck to determine why a query is not rewritten

If a query has not been optimized, you can use system variable XMLOptimizationCheck to try to determine why.

## **Related Topics**

Diagnosis of XQuery Optimization: XMLOptimizationCheck
 You can examine an execution plan for your SQL code to determine whether
 XQuery optimization occurs or the plan is instead suboptimal.

## XML Schema Evolution

You can use XML schema evolution to update your XML schema after you have registered it with Oracle XML DB.

Oracle XML DB supports the W3C XML Schema recommendation. XML instance documents that conform to an XML schema can be stored and retrieved using SQL and protocols such as FTP, HTTP(S), and WebDAV. In addition to specifying the structure of XML documents, XML schemas determine the mapping between XML and object-relational storage.

#### Overview of XML Schema Evolution

A major challenge for developers using an XML schema with Oracle XML DB is how to deal with changes in the content or structure of XML documents. In some environments, the need for changes may be frequent or extensive, arising from new regulations, internal needs, or external opportunities.

## Copy-Based Schema Evolution

You perform copy-based XML schema evolution using PL/SQL procedure <code>DBMS\_XMLSCHEMA.copyEvolve</code>. This backs up existing instance documents to temporary <code>XMLType</code> tables, drops the old version of the XML schema (which also deletes the associated instance documents), registers the new version, and copies the backed-up instance documents to new <code>XMLType</code> tables.

#### In-Place XML Schema Evolution

In-place XML schema evolution makes changes to an XML schema without requiring that existing data be copied, deleted, and reinserted. In-place evolution is thus much faster than copy-based evolution. However, in-place evolution also has several restrictions that do not apply to copy-based evolution.

## **Related Topics**

• XML Schema Storage and Query: Basic

XML Schema is a standard for describing the content and structure of XML documents. You can register, update, and delete an XML schema used with Oracle XML DB. You can define storage structures to use for your XML schema-based data and map XML Schema data types to SQL data types.

## 20.1 Overview of XML Schema Evolution

A major challenge for developers using an XML schema with Oracle XML DB is how to deal with changes in the content or structure of XML documents. In some environments, the need for changes may be frequent or extensive, arising from new regulations, internal needs, or external opportunities.

For example, you might need to add new elements or attributes to an XML schema definition, modify a data type, or relax or tighten certain minimum and maximum occurrence requirements.

In such cases, you need to "evolve" the XML schema so that new requirements are accommodated, while any existing instance documents (the data) remain valid (or can be made valid), and existing applications can continue to run.

If you do not care about any existing documents, you can of course simply drop the XMLType tables that are dependent on the XML schema, delete the old XML schema, and register the new XML schema at the same URL. In most cases, however, you need to keep the existing documents, possibly transforming them to accommodate the new XML schema.

Oracle XML DB supports two kinds of schema evolution:

- Copy-based schema evolution, in which all instance documents that conform to the schema are copied to a temporary location in the database, the old schema is deleted, the modified schema is registered, and the instance documents are inserted into their new locations from the temporary area
- In-place schema evolution, which does not require copying, deleting, and inserting existing data and thus is much faster than copy-based evolution, but which has restrictions that do not apply to copy-based evolution

In general, in-place evolution is permitted if you are not changing the storage model and if the changes do not invalidate existing documents (that is, if existing documents are conformant with the new schema or can be made conformant with it). A more detailed explanation of restrictions and guidelines is presented in In-Place XML Schema Evolution.

Each approach has its own PL/SQL procedure: DBMS\_XMLSCHEMA.copyEvolve for copybased evolution, DBMS\_XMLSCHEMA.inPlaceEvolve for in-place evolution. This chapter explains the use of each procedure and presents guidelines for using its associated approach to schema evolution.

## 20.2 Copy-Based Schema Evolution

You perform copy-based XML schema evolution using PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolve. This backs up existing instance documents to temporary XMLType tables, drops the old version of the XML schema (which also deletes the associated instance documents), registers the new version, and copies the backed-up instance documents to new XMLType tables.

In case of a problem, the backup copies are restored — see Rollback When Procedure DBMS\_XMLSCHEMA.COPYEVOLVE Raises an Error.

Using procedure <code>copyEvolve</code>, you can evolve your registered XML schema in such a way that existing XML instance documents continue to be valid.

- Scenario for Copy-Based Evolution
   An evolved version of a purchase-order XML schema is shown. It is used in examples that illustrate the use of copy-based XML schema evolution.
- COPYEVOLVE Parameters and Errors
   The parameters of PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolve are described, as are the errors associated with this procedure.
- Limitations of Procedure COPYEVOLVE
   The use of PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolve involves certain limitations.



- Guidelines for Using Procedure COPYEVOLVE
   General guidelines for using PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolveare
   presented, as well as guidelines that are specific to particular contexts.
- Update of Existing XML Instance Documents Using an XSLT Stylesheet
   After you modify a registered XML schema, you must update any existing XML instance documents that use the schema. You do this by applying an XSLT stylesheet to each of the instance documents. The stylesheet represents the difference between the old and new XML schemas.
- Examples of Using Procedure COPYEVOLVE
   Several examples are presented of using PL/SQL
   procedureDBMS\_XMLSCHEMA.copyEvolve to update an XML schema. (Be sure to
   back up all registered XML schemas and XML documents that reference them,
   before using the procedure.)

## 20.2.1 Scenario for Copy-Based Evolution

An evolved version of a purchase-order XML schema is shown. It is used in examples that illustrate the use of copy-based XML schema evolution.

Example 20-1 shows a *partial* listing of a revised version of the purchase-order XML schema of Example A-2. See Example A-3 for the *complete* revised schema listing. Text that is in **bold** here is new or different from that in the original schema.

## Example 20-1 Revised Purchase-Order XML Schema

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
          xmlns:xdb="http://xmlns.oracle.com/xdb"
           version="1.0">
 <xs:element</pre>
   name="PurchaseOrder" type="PurchaseOrderType"
   xdb:defaultTable="PURCHASEORDER"
   xdb:columnProps=
      "CONSTRAINT purchaseorder_pkey PRIMARY KEY (XMLDATA.reference),
       CONSTRAINT valid_email_address FOREIGN KEY (XMLDATA.userid)
        REFERENCES hr.employees (EMAIL)"
   xdb:tableProps=
      "VARRAY XMLDATA.ACTIONS.ACTION STORE AS TABLE ACTION_TABLE
        ((CONSTRAINT action_pkey PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))
       VARRAY XMLDATA.LINEITEMS.LINEITEM STORE AS TABLE LINEITEM_TABLE
        ((constraint LINEITEM_PKEY primary key (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))
       lob (XMLDATA.NOTES) STORE AS (ENABLE STORAGE IN ROW STORAGE(INITIAL 4K NEXT 32K))"/>
 <xs:complexType name="PurchaseOrderType" xdb:SQLType="PURCHASEORDER_T">
    <xs:sequence>
      <xs:element name="Actions" type="ActionsType" xdb:SQLName="ACTIONS"/>
      <xs:element name="Reject" type="RejectionType" minOccurs="0" xdb:SQLName="REJECTION"/>
      <xs:element name="Requestor" type="RequestorType" xdb:SQLName="REQUESTOR"/>
      <xs:element name="User" type="UserType" xdb:SQLName="USERID"/>
     <xs:element name="CostCenter" type="CostCenterType" xdb:SQLName="COST_CENTER"/>
      <xs:element name="BillingAddress" type="AddressType" minOccurs="0"</pre>
                  xdb:SQLName="BILLING_ADDRESS"/>
      <xs:element name="ShippingInstructions" type="ShippingInstructionsType"</pre>
                 xdb:SQLName="SHIPPING_INSTRUCTIONS"/>
      <xs:element name="SpecialInstructions" type="SpecialInstructionsType"</pre>
                 xdb:SQLName="SPECIAL_INSTRUCTIONS"/>
      <xs:element name="LineItems" type="LineItemsType" xdb:SQLName="LINEITEMS"/>
      <xs:element name="Notes" type="NotesType" minOccurs="0" xdb:SQLType="CLOB"</pre>
                 xdb:SOLName="NOTES"/>
   </xs:sequence>
   <xs:attribute name="Reference" type="ReferenceType" use="required" xdb:SQLName="REFERENCE"/>
    <xs:attribute name="DateCreated" type="xs:dateTime" use="required"</pre>
                  xdb:SQLType="TIMESTAMP WITH TIME ZONE"/>
  </xs:complexType>
```



```
<xs:complexType name="LineItemsType" xdb:SQLType="LINEITEMS_T">
   <xs:sequence>
     <xs:element name="LineItem" type="LineItemType" maxOccurs="unbounded" xdb:SQLName="LINEITEM"</pre>
                 xdb:SQLCollType="LINEITEM_V"/>
   </xs:sequence>
 </xs:complexType>
 <xs:complexType name="LineItemType" xdb:SQLType="LINEITEM_T">
   <xs:sequence>
     <xs:element name="Part" type="PartType" xdb:SQLName="PART"/>
     <xs:element name="Quantity" type="quantityType"/>
   </xs:sequence>
   <xs:attribute name="ItemNumber" type="xs:integer" xdb:SQLName="ITEMNUMBER"</pre>
                 xdb:SQLType="NUMBER"/>
  </xs:complexType>
  <xs:complexType name="PartType" xdb:SQLType="PART_T">
   <xs:simpleContent>
     <xs:extension base="UPCCodeType">
       <xs:attribute name="Description" type="DescriptionType" use="required"</pre>
                     xdb:SQLName="DESCRIPTION"/>
       <xs:attribute name="UnitCost" type="moneyType" use="required"/>
     </r></r></r></r/>
   </xs:simpleContent>
 </xs:complexType>
 <xs:simpleType name="ReferenceType">
   <xs:restriction base="xs:string">
     <xs:minLength value="18"/>
     <xs:maxLength value="30"/>
   </xs:restriction>
 </xs:simpleType>
 <xs:complexType name="RejectionType" xdb:SQLType="REJECTION_T">
   <xs:all>
     <xs:element name="User" type="UserType" minOccurs="0" xdb:SQLName="REJECTED_BY"/>
     <xs:element name="Date" type="DateType" minOccurs="0" xdb:SQLName="DATE_REJECTED"/>
     <xs:element name="Comments" type="CommentsType" minOccurs="0" xdb:SQLName="REASON_REJECTED"/>
   </xs:all>
  </xs:complexType>
 <xs:complexType name="ShippingInstructionsType" xdb:SQLType="SHIPPING_INSTRUCTIONS_T">
   <xs:sequence>
     <xs:element name="name" type="NameType" minOccurs="0" xdb:SQLName="SHIP_TO_NAME"/>
     <xs:choice>
       <xs:element name="address" type="AddressType" minOccurs="0"/>
       <xs:element name="fullAddress" type="FullAddressType" minOccurs="0"</pre>
                   xdb:SQLName="SHIP_TO_ADDRESS"/>
     </xs:choice>
     <xs:element name="telephone" type="TelephoneType" minOccurs="0" xdb:SQLName="SHIP_TO_PHONE"/>
   </xs:sequence>
 </xs:complexType>
 <xs:simpleType name="NameType">
   <xs:restriction base="xs:string">
     <xs:minLength value="1"/>
     <xs:maxLength value="20"/>
   </xs:restriction>
 </xs:simpleType>
 <xs:simpleType name="FullAddressType">
   <xs:restriction base="xs:string">
     <xs:minLength value="1"/>
     <xs:maxLength value="256"/>
   </xs:restriction>
 </xs:simpleType>
. . .
```

```
<xs:simpleType name="DescriptionType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="256"/>
  </xs:restriction>
</xs:simpleType>
<xs:complexType name="AddressType" xdb:SQLType="ADDRESS_T">
  <xs:sequence>
    <xs:element name="StreetLine1" type="StreetType"/>
    <xs:element name="StreetLine2" type="StreetType" minOccurs="0"/>
    <xs:element name="City" type="CityType"/>
    <xs:choice>
      <xs:sequence>
        <xs:element name="State" type="StateType"/>
        <xs:element name="ZipCode" type="ZipCodeType"/>
      </xs:sequence>
      <xs:sequence>
        <xs:element name="Province" type="ProvinceType"/>
        <xs:element name="PostCode" type="PostCodeType"/>
      </xs:sequence>
      <xs:sequence>
        <xs:element name="County" type="CountyType"/>
        <xs:element name="Postcode" type="PostCodeType"/>
      </xs:sequence>
    </xs:choice>
    <xs:element name="Country" type="CountryType"/>
  </xs:sequence>
</xs:complexType>
<xs:simpleType name="StreetType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="128"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CityType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="64"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="StateType">
  <xs:restriction base="xs:string">
    <xs:minLength value="2"/>
    <xs:maxLength value="2"/>
    <xs:enumeration value="AK"/>
    <xs:enumeration value="AL"/>
    <xs:enumeration value="AR"/>
. . . -- A value for each US state abbreviation
    <xs:enumeration value="WY"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="ZipCodeType">
  <xs:restriction base="xs:string">
    <xs:pattern value="\d{5}"/>
    <xs:pattern value="\d{5}-\d{4}"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CountryType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="64"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CountyType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="32"/>
```

```
</xs:restriction>
 </xs:simpleType>
  <xs:simpleType name="PostCodeType">
   <xs:restriction base="xs:string">
     <xs:minLength value="1"/>
      <xs:maxLength value="12"/>
   </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="ProvinceType">
   <xs:restriction base="xs:string">
     <xs:minLength value="2"/>
     <xs:maxLength value="2"/>
   </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="NotesType">
   <xs:restriction base="xs:string">
      <xs:maxLength value="32767"/>
   </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="UPCCodeType">
   <xs:restriction base="xs:string">
     <xs:minLength value="11"/>
     <xs:maxLength value="14"/>
     <xs:pattern value="\d{11}"/>
     <xs:pattern value="\d{12}"/>
     <xs:pattern value="\d{13}"/>
     <xs:pattern value="\d{14}"/>
    </xs:restriction>
 </xs:simpleType>
</xs:schema>
```

## 20.2.2 COPYEVOLVE Parameters and Errors

The parameters of PL/SQL procedure  $\tt DBMS\_XMLSCHEMA.copyEvolve$  are described, as are the errors associated with this procedure.

This is the signature of procedure DBMS\_XMLSCHEMA.copyEvolve:

Table 20-1 describes the individual parameters. Table 20-2 describes the errors associated with the procedure.

Table 20-1 Parameters of Procedure DBMS\_XMLSCHEMA.COPYEVOLVE

Parameter	Description
schemaURLs	Varray of URLs of XML schemas to be evolved (varray of VARCHAR2 (4000). This should include the dependent schemas as well. Unless the force parameter is TRUE, the URLs should be in the dependency order, that is, if URL A comes before URL B in the varray, then schema A should not be dependent on schema B but schema B may be dependent on schema A.



Table 20-1 (Cont.) Parameters of Procedure DBMS\_XMLSCHEMA.COPYEVOLVE

Parameter	Description
newSchemas	Varray of new XML schema documents (XMLType instances). Specify this in exactly the same order as the corresponding URLs. If no change is necessary in an XML schema, provide the unchanged schema.
transforms	Varray of XSL documents (XMLType instances) that are applied to XML schema based documents to make them conform to the new schemas. Specify these in exactly the same order as the corresponding URLs. If no transformations are required, this parameter need not be specified.
preserveOldDocs	If this is TRUE, then the temporary tables holding old data are not dropped at the end of schema evolution. See also Guidelines for Using Procedure COPYEVOLVE.
mapTabName	Specifies the name of table that maps old XMLType table or column names to names of corresponding temporary tables.
generateTables	By default this parameter is TRUE. If FALSE then XMLType tables or columns are not generated after registering new XML schemas. If FALSE, preserveOldDocs must be TRUE and mapTabName must not be NULL.
force	If this is TRUE, then errors during the registration of new schemas are ignored. If there are circular dependencies among the schemas, set this flag to TRUE to ensure that each schema is stored even though there may be errors in registration.
schemaOwners	Varray of names of schema owners. Specify these in exactly the same order as the corresponding URLs.
parallelDegree	Specifies the degree of parallelism to be used in a PARALLEL hint during the data-copy stage. If this is 0 (default value), a PARALLEL hint is absent from the data-copy statements.
options	Miscellaneous options. The only option is COPYEVOLVE_BINARY_XML, which means to register the new XML schemas for binary XML data and create the new tables or columns with binary XML as the storage model.

Table 20-2 Errors Associated with Procedure DBMS\_XMLSCHEMA.COPYEVOLVE

Error Number and Message	Cause	Action
30942 XML Schema Evolution error for schema ' <schema_url>' table "<owner_name>.<table_n ame&gt;" column '<column_name>'</column_name></table_n </owner_name></schema_url>	The given XMLType table or column that conforms to the given XML schema had errors during evolution. In the case of a table, the column name is empty. See also the more specific error that follows this.	Based on the schema, table, and column information in this error and the more specific error that follows, take corrective action.



Table 20-2 (Cont.) Errors Associated with Procedure DBMS\_XMLSCHEMA.COPYEVOLVE

Error Number and Message	Cause	Action
30943 XML Schema ' <schema_url>' is dependent on XML schema '<schema_url>'</schema_url></schema_url>	Not all dependent XML schemas were specified or the schemas were not specified in dependency order, that is, if schema S1 is dependent on schema S, S must appear before S1.	Include the previously unspecified schema in the list of schemas or correct the order in which the schemas are specified. Then retry the operation.
30944 Error during rollback for XML schema ' <schema_url>' table "<owner_name>.<table_n ame="">" column '<column_name>'</column_name></table_n></owner_name></schema_url>	The given XMLType table or column that conforms to the given XML schema had errors during a rollback of XML schema evolution. For a table, the column name is empty. See also the more specific error that follows this.	Based on the schema, table, and column information in this error and the more specific error that follows, take corrective action.
<b>30945</b> Could not create mapping table ' <table_name>'</table_name>	A mapping table could not be created during XML schema evolution. See also the more specific error that follows this.	Ensure that a table with the given name does not exist and retry the operation.
<b>30946</b> XML Schema Evolution warning: temporary tables not cleaned up	An error occurred after the schema was evolved while cleaning up temporary tables. The schema evolution was successful.	If you need to remove the temporary tables, use the mapping table to get the temporary table names and drop them.

## 20.2.3 Limitations of Procedure COPYEVOLVE

The use of PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolve involves certain limitations.

- Indexes, triggers, constraints, row-level security (RLS) policies, and other
  metadata related to the XMLType tables that are dependent on the schemas are
  not preserved. These must be re-created after evolution.
- If top-level element names are changed, additional steps are required after copyEvolve finishes executing. See Top-Level Element Name Changes.
- Copy-based evolution cannot be used if there is a table with an object-type column that has an XMLType attribute that is dependent on any of the schemas to be evolved. For example, consider this table:

```
CREATE TYPE t1 AS OBJECT (n NUMBER, x XMLType);
CREATE TABLE tab1 (e NUMBER, o t1) XMLType
COLUMN o.x XMLSchema "s1.xsd" ELEMENT "Employee";
```

This assumes that an XML schema with a top-level element Employee has been registered under URL sl.xsd. It is not possible to evolve this XML schema, because table tab1 with column o with XMLType attribute x is dependent on the

XML schema. Although <code>copyEvolve</code> does not handle <code>XMLType</code> object attributes, it does raise an error in such cases.

## 20.2.4 Guidelines for Using Procedure COPYEVOLVE

General guidelines for using PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolveare presented, as well as guidelines that are specific to particular contexts.

The following general guideline applies to using <code>copyEvolve</code>. The rest of this section describes specific guidelines that can also be appropriate in particular contexts.

1. Turn off the recycle bin, to prevent dropped tables from being copied to it:

```
ALTER SESSION SET RECYCLEBIN=off;
```

 Identify the XML schemas that are dependent on the XML schema that is to be evolved. You can acquire the URLs of the dependent XML schemas using the following query, where schema\_to\_be\_evolved is the schema to be evolved, and owner\_of\_schema\_to\_be\_evolved is its owner (database user).

```
SELECT dxs.SCHEMA_URL, dxs.OWNER

FROM DBA_DEPENDENCIES dd, DBA_XML_SCHEMAS dxs

WHERE dd.REFERENCED_NAME = (SELECT INT_OBJNAME

FROM DBA_XML_SCHEMAS

WHERE SCHEMA_URL = schema_to_be_evolved

AND OWNER =

owner_of_schema_to_be_evolved)

AND dxs.INT OBJNAME = dd.NAME;
```

In many cases, no changes are needed in the dependent XML schemas. But if the dependent XML schemas need to be changed, then you must also prepare new versions of those XML schemas.

- 3. If the existing instance documents do not conform to the new XML schema, then you must provide an XSL stylesheet that, when applied to an instance document, transforms it to conform to the new schema. You must do this for each XML schema identified in Step 2. The transformation must handle documents that conform to all top-level elements in the new XML schema.
- **4.** Call procedure DBMS\_XMLSCHEMA.copyEvolve, specifying the XML schema URLs, new schemas, and transformation stylesheet.
- Top-Level Element Name Changes
  - PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolve assumes that top-level elements have not been dropped in new schemas and that their names have not been changed. If there are such changes then call procedure copyEvolve with parameter generateTables set to FALSE and parameter preserveOldDocs set to TRUE, so that backup document copies are available.
- User-Created Virtual Columns of Tables Other Than Default Tables

  For tables that are not default tables, any virtual columns that you create are
  not re-created during copy-based evolution. If such columns are needed then set
  copyEvolve parameter preserveOldDocs to TRUE, create the tables, and copy the
  old documents after procedure copyEvolve has finished.



 Ensure That the XML Schema and Dependents Are Not Used by Concurrent Sessions

Ensure that the XML schema to be evolved, as well as its dependents, are not used by any concurrent session during the XML schema evolution process.

- Rollback When Procedure DBMS\_XMLSCHEMA.COPYEVOLVE Raises an Error Procedure DBMS\_XMLSCHEMA.copyEvolve either completely succeeds or it raises an error. If it raises an error then it tries to roll back as much of the operation as possible.
- Failed Rollback From Insufficient Privileges
   In certain cases you cannot roll back a copy-based evolution operation. For example, if table creation fails due to reasons not related to the new XML schema, then there is no way to roll back.
- Privileges Needed for XML Schema Evolution
   There are several database privileges that you might need, in order to perform copy-based XML schema evolution.

## 20.2.4.1 Top-Level Element Name Changes

PL/SQL procedure DBMS\_XMLSCHEMA.copyEvolve assumes that top-level elements have not been dropped in new schemas and that their names have not been changed. If there are such changes then call procedure <code>copyEvolve</code> with parameter <code>generateTables</code> set to <code>FALSE</code> and parameter <code>preserveOldDocs</code> set to <code>TRUE</code>, so that backup document copies are available.

With those parameter values, new tables are not generated, and the temporary tables holding the old documents (backup copies) are not dropped at the end of the procedure. You can then store the old documents in whatever form is appropriate and drop the temporary tables. See COPYEVOLVE Parameters and Errors for more details on using these parameters.

## 20.2.4.2 User-Created Virtual Columns of Tables Other Than Default Tables

For tables that are not default tables, any virtual columns that you create are not recreated during copy-based evolution. If such columns are needed then set <code>copyEvolve</code> parameter <code>preserveOldDocs</code> to <code>TRUE</code>, create the tables, and copy the old documents after procedure <code>copyEvolve</code> has finished.

# 20.2.4.3 Ensure That the XML Schema and Dependents Are Not Used by Concurrent Sessions

Ensure that the XML schema to be evolved, as well as its dependents, are not used by any concurrent session during the XML schema evolution process.

If other, concurrent sessions have shared locks on this schema at the beginning of the evolution process, then procedure <code>DBMS\_XMLSCHEMA.copyEvolve</code> waits for these sessions to release the locks so that it can acquire an exclusive lock. However, this lock is released immediately to allow the rest of the process to continue.



# 20.2.4.4 Rollback When Procedure DBMS\_XMLSCHEMA.COPYEVOLVE Raises an Error

Procedure DBMS\_XMLSCHEMA.copyEvolve either completely succeeds or it raises an error. If it raises an error then it tries to roll back as much of the operation as possible.

Evolving an XML schema involves many database DDL statements. When an error occurs, compensating DDL statements are executed to undo the effect of all steps executed to that point. If the old tables or schemas have been dropped, they are re-created, but any table, column, and storage properties and any auxiliary structures (such as indexes, triggers, constraints, and RLS policies) associated with the tables and columns are lost.

## 20.2.4.5 Failed Rollback From Insufficient Privileges

In certain cases you cannot roll back a copy-based evolution operation. For example, if table creation fails due to reasons not related to the new XML schema, then there is no way to roll back.

An example is failure due to insufficient privileges. The temporary tables are not deleted even if preserveOldDocs is FALSE, so the data can be recovered. If the mapTabName parameter is null, the mapping table name is XDB\$MAPTAB followed by a sequence number. The exact table name can be found using a query such as the following:

SELECT TABLE\_NAME FROM USER\_TABLES WHERE TABLE\_NAME LIKE 'XDB\$MAPTAB%';

## 20.2.4.6 Privileges Needed for XML Schema Evolution

There are several database privileges that you might need, in order to perform copybased XML schema evolution.

Copy-based XML schema evolution can involve dropping or creating SQL data types, so you need type-related privileges such as DROP TYPE, CREATE TYPE, and ALTER TYPE. You need privileges to delete and register the XML schemas involved in the evolution. You need all privileges on XMLType tables that conform to the schemas being evolved. For XMLType columns, the ALTER TABLE privilege is needed on corresponding tables. If there are schema-based XMLType tables or columns in other database schemas, you need privileges such as the following:

- CREATE ANY TABLE
- CREATE ANY INDEX
- SELECT ANY TABLE
- READ ANY TABLE
- UPDATE ANY TABLE
- INSERT ANY TABLE
- DELETE ANY TABLE
- DROP ANY TABLE
- ALTER ANY TABLE



#### DROP ANY INDEX

To avoid needing to grant all these privileges to the database- schema owner, Oracle recommends that a database administrator perform the evolution if there are XML schema-based XMLType table or columns belonging to other database schemas.

# 20.2.5 Update of Existing XML Instance Documents Using an XSLT Stylesheet

After you modify a registered XML schema, you must update any existing XML instance documents that use the schema. You do this by applying an XSLT stylesheet to each of the instance documents. The stylesheet represents the difference between the old and new XML schemas.

Example 20-2 shows an XSLT stylesheet, in file evolvePurchaseOrder.xsl, that transforms existing purchase-order documents that use the old XML schema, so they use the new XML schema instead.

#### Example 20-2 evolvePurchaseOrder.xsl: XSLT Stylesheet to Update Instance Documents

```
<?xml version="1.0" encoding="UTF-8"?>
<xsl:stylesheet</pre>
  version="1.0"
  xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <xsl:output method="xml" encoding="UTF-8"/>
  <xsl:template match="/PurchaseOrder">
    <PurchaseOrder>
      <xsl:attribute name="xsi:noNamespaceSchemaLocation">
       http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd
      </xsl:attribute>
      <xsl:for-each select="Reference">
        <xsl:attribute name="Reference">
          <xsl:value-of select="."/>
        </xsl:attribute>
      </xsl:for-each>
      <xsl:variable name="V264 394" select="'2004-01-01T12:00:00.000000-08:00'"/>
      <xsl:attribute name="DateCreated">
        <xsl:value-of select="$V264_394"/>
      </xsl:attribute>
      <xsl:for-each select="Actions">
        <Actions>
          <xsl:for-each select="Action">
            <Action>
              <xsl:for-each select="User">
                  <xsl:value-of select="."/>
                </User>
              </xsl:for-each>
              <xsl:for-each select="Date">
                  <xsl:value-of select="."/>
                </Date>
              </xsl:for-each>
            </Action>
          </xsl:for-each>
        </Actions>
      </xsl:for-each>
      <xsl:for-each select="Reject">
          <xsl:for-each select="User">
            <User>
              <xsl:value-of select="."/>
```



```
</User>
    </xsl:for-each>
    <xsl:for-each select="Date">
        <xsl:value-of select="."/>
      </Date>
    </xsl:for-each>
    <xsl:for-each select="Comments">
      <Comments>
        <xsl:value-of select="."/>
      </Comments>
    </xsl:for-each>
  </Reject>
</xsl:for-each>
<xsl:for-each select="Requestor">
  <Requestor>
    <xsl:value-of select="."/>
  </Requestor>
</xsl:for-each>
<xsl:for-each select="User">
  ser>
    <xsl:value-of select="."/>
  </User>
</xsl:for-each>
<xsl:for-each select="CostCenter">
  <CostCenter>
    <xsl:value-of select="."/>
  </CostCenter>
</xsl:for-each>
<ShippingInstructions>
  <xsl:for-each select="ShippingInstructions">
    <xsl:for-each select="name">
      <name>
        <xsl:value-of select="."/>
      </name>
    </xsl:for-each>
  </xsl:for-each>
  <xsl:for-each select="ShippingInstructions">
    <xsl:for-each select="address">
      <fullAddress>
        <xsl:value-of select="."/>
      </fullAddress>
    </xsl:for-each>
  </xsl:for-each>
  <xsl:for-each select="ShippingInstructions">
    <xsl:for-each select="telephone">
      <telephone>
        <xsl:value-of select="."/>
      </telephone>
    </xsl:for-each>
  </xsl:for-each>
</ShippingInstructions>
<xsl:for-each select="SpecialInstructions">
  <SpecialInstructions>
    <xsl:value-of select="."/>
  </SpecialInstructions>
</xsl:for-each>
<xsl:for-each select="LineItems">
  <LineItems>
    <xsl:for-each select="LineItem">
      <xsl:variable name="V22" select="."/>
      <LineItem>
        <xsl:for-each select="@ItemNumber">
          <xsl:attribute name="ItemNumber">
            <xsl:value-of select="."/>
          </xsl:attribute>
        </xsl:for-each>
        <xsl:for-each select="$V22/Part">
          <xsl:variable name="V24" select="."/>
```



```
<xsl:for-each select="@Id">
                    <xsl:for-each select="$V22/Description">
                      <xsl:attribute name="Description">
                        <xsl:value-of select="."/>
                      </xsl:attribute>
                    </xsl:for-each>
                    <xsl:for-each select="$V24/@UnitPrice">
                      <xsl:attribute name="UnitCost">
                        <xsl:value-of select="."/>
                      </xsl:attribute>
                    </xsl:for-each>
                    <xsl:value-of select="."/>
                  </Part>
                </xsl:for-each>
              </xsl:for-each>
              <xsl:for-each select="$V22/Part">
                <xsl:for-each select="@Ouantity">
                  <Ouantity>
                    <xsl:value-of select="."/>
                  </Ouantity>
                </xsl:for-each>
              </xsl:for-each>
            </LineItem>
          </xsl:for-each>
        </LineItems>
      </xsl:for-each>
    </PurchaseOrder>
  </xsl:template>
</xsl:stylesheet>
```

## 20.2.6 Examples of Using Procedure COPYEVOLVE

Several examples are presented of using PL/SQL procedureDBMS XMLSCHEMA.copyEvolve to update an XML schema. (Be sure to back up all registered XML schemas and XML documents that reference them, before using the procedure.)

Example 20-3 loads a revised XML schema and evolution XSL stylesheet into Oracle XML DB Repository.

Example 20-4 shows how to use procedure DBMS\_XMLSCHEMA.copyEvolve to evolve the XML schema purchaseOrder.xsd to revisedPurchaseOrder.xsd using the XSLT stylesheet evolvePurchaseOrder.xsl.

Procedure DBMS XMLSCHEMA.copyEvolve evolves registered XML schemas in such a way that existing instance documents continue to remain valid.



#### Caution:

Before executing procedure DBMS\_XMLSCHEMA.copyEvolve, always back up all registered XML schemas and all XML documents that conform to them. Procedure copyEvolve deletes all documents that conform to registered XML schemas.

First, procedure copyEvolve copies the data in XML schema-based XMLType tables and columns to temporary tables. It then drops the original tables and columns, and deletes the old XML schemas. After registering the new XML schemas, it

creates XMLType tables and columns and populates them with data (unless parameter GENTABLES is FALSE) but it does not create any auxiliary structures such as indexes, constraints, triggers, and row-level security (RLS) policies. Procedure copyEvolve creates the tables and columns as follows:

- It creates default tables while registering the new schemas.
- It creates tables that are not default tables using a statement of the following form:

```
CREATE TABLE table_name OF XMLType OID 'oid' XMLSCHEMA schema_url ELEMENT element_name
```

where OID is the original OID of the table, before it was dropped.

It adds XMLType columns using a statement of the following form:

```
ALTER TABLE table_name ADD (column_name XMLType) XMLType COLUMN column_name XMLSCHEMA schema_url ELEMENT element_name
```

When a new XML schema is registered, types are generated if the registration of the corresponding old schema had generated types. If an XML schema was global before the evolution, then it is also global after the evolution. Similarly, if an XML schema was local before the evolution, then it is also local (owned by the same user) after the evolution. You have the option to preserve the temporary tables that contain the old documents, by setting parameter preserveOldDocs to TRUE. All temporary tables are created in the database schema of the current user. For XMLType tables, the temporary table has the columns shown in Table 20-3.

Table 20-3 XML Schema Evolution: XMLType Table Temporary Table Columns

Name	Туре	Comment
Data	CLOB	XML document from the old table, in CLOB format.
OID	RAW(16)	OID of the corresponding row in the old table.
ACLOID	RAW(16)	This column is present only if the old table is hierarchy-enabled. ACLOID of corresponding row in old table.
OWNERID	RAW(16)	This column is present only if old table is hierarchy-enabled. OWNERID of corresponding row in old table.

For XMLType columns, the temporary table has the columns shown in Table 20-4.

Table 20-4 XML Schema Evolution: XMLType Column Temporary Table Columns

Name	Туре	Comment
Data	CLOB	XML document from the old column, in CLOB format.
RID	ROWID	ROWID of the corresponding row in the table containing this column.

Procedure <code>copyEvolve</code> stores information about the mapping from the old table or column name to the corresponding temporary table name in a separate table specified by parameter <code>mapTabName</code>. If <code>preserveOldDocs</code> is <code>TRUE</code>, then the <code>mapTabName</code> parameter



must not be  $\mathtt{NULL}$ , and it must not be the name of any existing table in the current database schema. Each row in the mapping table has information about one of the old tables/columns. Table 20-5 shows the mapping table columns.

**Table 20-5 Procedure COPYEVOLVE Mapping Table** 

Column Name	Column Type	Comment
SCHEMA_URL	VARCHAR2(700)	URL of the schema to which this table or column conforms.
SCHEMA_OWNER	VARCHAR2(30)	Owner of the schema.
ELEMENT_NAME	VARCHAR2(256)	Element to which this table or column conforms.
TABLE_NAME	VARCHAR2(65)	Qualified name of the table ( <owner_name>.<table_name>).</table_name></owner_name>
TABLE_OID	RAW(16)	OID of table.
COLUMN_NAME	VARCHAR2(4000)	Name of the column (NULL for XMLType tables).
TEMP_TABNAME	VARCHAR2(30)	Name of temporary table that holds the data for this table or column.

You can avoid generating any tables or columns after registering the new XML schema by setting parameter GENTABLES to FALSE. If GENTABLES is FALSE, parameter PRESERVEOLDDOCS must be TRUE and parameter MAPTABNAME must not be NULL. This ensures that the data in the old tables is not lost. This is useful if you do not want the tables to be created by the procedure, as described in section COPYEVOLVE Parameters and Errors.

By default, it is assumed that all XML schemas are owned by the current user. If this is not true, then you must specify the owner of each XML schema in the schemaOwners parameter.



Oracle Database SQL Language Reference for the complete description of ALTER TABLE

## Example 20-3 Loading Revised XML Schema and XSLT Stylesheet



## Example 20-4 Updating an XML Schema Using DBMS\_XMLSCHEMA.COPYEVOLVE

```
BEGIN
 DBMS_XMLSCHEMA.copyEvolve(
    xdb$string_list_t('http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd'),
    XMLSequenceType(XDBURIType('/source/schemas/poSource/revisedPurchaseOrder.xsd').getXML()),
    XMLSequenceType(XDBURIType('/source/schemas/poSource/evolvePurchaseOrder.xsl').getXML()));
END;
SELECT XMLQuery('$p/PurchaseOrder/LineItems/LineItem[1]'
               PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT) line_item
 FROM purchaseorder po
 WHERE XMLExists('$p/PurchaseOrder[Reference="SBELL-2003030912333601PDT"]'
                  PASSING po.OBJECT_VALUE AS "p");
LINE_ITEM
<LineItem ItemNumber="1">
  <Part Description="A Night to Remember" UnitCost="39.95">715515009058</Part>
  <Quantity>2</Quantity>
</LineItem>
```

The same query would have produced the following result before the schema evolution:

## 20.3 In-Place XML Schema Evolution

In-place XML schema evolution makes changes to an XML schema without requiring that existing data be copied, deleted, and reinserted. In-place evolution is thus much faster than copy-based evolution. However, in-place evolution also has several restrictions that do not apply to copy-based evolution.

You use procedure DBMS\_XMLSCHEMA.inPlaceEvolve to perform in-place evolution. Using this procedure, you identify the changes to be made to an existing XML schema by specifying an XML schema-differences document, and you optionally specify flags to be applied to the evolution process.

In-place evolution constructs a new version of an XML schema by applying changes specified in a diffXML document, validates that new XML schema (against the XML schema for XML schemas), constructs DDL statements to evolve the disk structures used to store the XML instance documents associated with the XML schema, executes these DDL statements, and replaces the old version of the XML schema with the new, in that order. If the new version of the XML schema is not a valid schema, then in-place evolution fails.

#### Restrictions for In-Place XML Schema Evolution

Because in-place XML schema evolution avoids copying data, it does not permit arbitrary changes to an XML schema. The primary restriction on using in-place evolution can be stated generally as a requirement that a given XML schema can be evolved in place in only a backward-compatible way.

# Supported Operations for In-Place XML Schema Evolution Some of the operations that are supported for in-place schema evolution are described. Some of these are not permitted in specific contexts, which are specified.

# • Guidelines for Using In-Place XML Schema Evolution Guidelines that apply to in-place XML-schema evolution are presented. (Be sure to back up your data before performing in-place schema evolution.)

#### inPlaceEvolve Parameters

The parameters of PL/SQL procedure DBMS\_XMLSCHEMA.inPlaceEvolve are described, as are the errors associated with this procedure.

#### The diffXML Parameter Document

The value of parameter diffXML of procedure DBMS\_XMLSCHEMA.inPlaceEvolve is an XMLType instance that specifies the changes to be applied to an XML schema for in-place evolution. This diffXML document contains a sequence of operations that describe the changes between the old XML schema and the new (the intended evolution result).

## 20.3.1 Restrictions for In-Place XML Schema Evolution

Because in-place XML schema evolution avoids copying data, it does not permit arbitrary changes to an XML schema. The primary restriction on using in-place evolution can be stated generally as a requirement that a given XML schema can be evolved in place in only a backward-compatible way.

For the complete list of changes supported by in-place evolution, see Supported Operations for In-Place XML Schema Evolution.

**Backward-compatible** here means that any possible instance document that would validate against a given XML schema must also validate against a later (evolved) version of that XML schema. This applies to *all possible* conforming instance documents, not only to *existing* instance documents For XML data that is stored as binary XML, backward compatibility also means that any XML schema annotations that affect binary XML treatment must not change during evolution.

In addition to this general backward-compatibility restriction, there are some other restrictions for in-place evolution.

#### Backward-Compatibility Restrictions

Restrictions on in-place XML schema evolution are described that ensure backward compatibility of an evolved schema, so that any possible instance documents that satisfy the old XML schema also satisfy the new one.

Other Restrictions on In-Place Evolution

Some restrictions on in-place XML schema evolution are necessary for reasons other than backward compatibility of the evolved XML schema.

## 20.3.1.1 Backward-Compatibility Restrictions

Restrictions on in-place XML schema evolution are described that ensure backward compatibility of an evolved schema, so that any possible instance documents that satisfy the old XML schema also satisfy the new one.

Changes in Data Layout on Disk

Certain changes to an XML schema alter the layout of the associated instance documents on disk, and are therefore not permitted. This situation is more

common when the storage layer is tightly integrated with information derived from the XML schema, as is the case for object-relational storage.

#### Reorder of XML Schema Constructs

You cannot use in-place evolution to reorder XML schema elements in a way that affects the DOM fidelity of XML instance documents. For example, you cannot change the order of elements within a <sequence> element in a complex type definition.

#### Changes from a Collection to a Non-Collection

You cannot use in-place evolution to change a collection to a non-collection. An example would be changing from maxOccurs greater than one to maxOccurs equal to one. In-place evolution thus cannot delete an element from a complex type if the deletion requires that a collection be evolved to a non-collection.

#### Model Changes within a complexType Element

A **model** is a group, choice, sequence, or all element. Within a complexType element you cannot use in-place evolution to either add a new model or replace an existing model with a model of another type (for example, replace a choice element with a sequence element).

## 20.3.1.1.1 Changes in Data Layout on Disk

Certain changes to an XML schema alter the layout of the associated instance documents on disk, and are therefore not permitted. This situation is more common when the storage layer is tightly integrated with information derived from the XML schema, as is the case for object-relational storage.

One such example is an XML schema, registered for object-relational storage mapping, that is evolved by splitting a complex type into two complex types. In Example 20-5, complex type ShippingInstructionsType is split into two complex types, Person-Name and Contact-Info, and the ShippingInstructionsType complex type is deleted.

Even if this XML schema has no associated instance documents, and therefore no data copy is required, a change in the layout of existing tables is required to accommodate future instance documents.

## **Example 20-5** Splitting a Complex Type into Two Complex Types

These code excerpts show the definitions of the original ShippingInstructionsType type and the new Person-Name and Contact-Info types.



## 20.3.1.1.2 Reorder of XML Schema Constructs

You cannot use in-place evolution to reorder XML schema elements in a way that affects the DOM fidelity of XML instance documents. For example, you cannot change the order of elements within a <sequence> element in a complex type definition.

As an example, if a complex type named <code>ShippingInstructionsType</code> requires that its child elements <code>name</code>, <code>address</code>, and <code>telephone</code> be in that order, you cannot use in-place evolution to change the order to <code>name</code>, <code>telephone</code>, and <code>address</code>.

## 20.3.1.1.3 Changes from a Collection to a Non-Collection

You cannot use in-place evolution to change a collection to a non-collection. An example would be changing from maxOccurs greater than one to maxOccurs equal to one. In-place evolution thus cannot delete an element from a complex type if the deletion requires that a collection be evolved to a non-collection.

## 20.3.1.1.4 Model Changes within a complexType Element

A **model** is a group, choice, sequence, or all element. Within a complexType element you cannot use in-place evolution to either add a new model or replace an existing model with a model of another type (for example, replace a choice element with a sequence element).

You can, however, add a global group element, that is, add a group element outside of a complexType element.

## 20.3.1.2 Other Restrictions on In-Place Evolution

Some restrictions on in-place XML schema evolution are necessary for reasons other than backward compatibility of the evolved XML schema.

- Changes to Attributes in Namespace xdb

  Except for attribute xdb:defaultTable, you cannot use in-place evolution to
  modify any attributes in namespace http://xmlns.oracle.com/xdb (which has
  the predefined prefix xdb).
- Changes from a Non-Collection to a Collection
  For object-relational XMLType data, you cannot use in-place evolution to change a
  non-collection object type to a collection object type. An example would be adding
  an element to a complex type if the element is already present in the type (or in a
  type related through inheritance).

## 20.3.1.2.1 Changes to Attributes in Namespace xdb

Except for attribute xdb:defaultTable, you cannot use in-place evolution to modify any attributes in namespace http://xmlns.oracle.com/xdb (which has the predefined prefix xdb).

## 20.3.1.2.2 Changes from a Non-Collection to a Collection

For object-relational XMLType data, you cannot use in-place evolution to change a non-collection object type to a collection object type. An example would be adding an element to a complex type if the element is already present in the type (or in a type related through inheritance).

## 20.3.2 Supported Operations for In-Place XML Schema Evolution

Some of the operations that are supported for in-place schema evolution are described. Some of these are not permitted in specific contexts, which are specified.

Add an optional element to a complex type or group: Always permitted. An
example is the addition of the optional element shipmethod in the following
complex type definition:

Add an optional attribute to a complex type or attribute group: Always permitted.
 An example is the addition of the optional attribute shipbydate in the following complex type definition:

- Convert an element from simple type to complex type with simple content: Supported only if the storage model is binary XML.
- Modify the value attribute of an existing maxLength element: Always permitted. The value can only be increased, not decreased.
- Add an enumeration value: You can add a new enumeration value only to the end
  of an enumeration list.

• Add a global element: Always permitted. An example is the addition of the global element PurchaseOrderComment in the following schema definition:

- Add a global attribute: Always permitted.
- Add or delete a global complex type: Always permitted. An example is the addition
  of the global complex type ComplexAddressType in the following schema definition:

- Add or delete a global simple type: Always permitted.
- Change the minOccurs attribute value: The value of minOccurs can only be decreased.
- Change the maxOccurs attribute value: The value of maxOccurs can only be
  increased, and this is only possible for data stored as binary XML. That is,
  you cannot make any change to the maxOccurs attribute for data stored objectrelationally.
- Add or delete a global group or attributeGroup: Always permitted. An example is the addition of an Instructions group in the following type definition:



- Change the xdb:defaultTable attribute value: Always permitted. Changes are *not* permitted to any other attributes in the xdb namespace.
- Add, modify, or delete a comment or processing instruction: Always permitted.

## 20.3.3 Guidelines for Using In-Place XML Schema Evolution

Guidelines that apply to in-place XML-schema evolution are presented. (Be sure to back up your data before performing in-place schema evolution.)

- Before you perform an in-place XML-schema evolution:
  - Back up all existing data (instance documents) for the XML schema to be evolved.



#### Caution:

Make sure that you back up your data before performing in-place XML schema evolution, in case the result is not what you intended. There is *no rollback* possible after an in-place evolution. If any errors occur during evolution, or if you make a major mistake and need to redo the entire operation, you must be able to go back to the backup copy of your original data.

Perform a dry run using trace only, that is, without actually evolving the XML schema or updating any instance documents, produce a trace of the update operations that would be performed during evolution. To do this, set the flag parameter value to only INPLACE\_TRACE. Do not also use INPLACE\_EVOLVE.

After performing the dry run, examine the trace file, verifying that the listed DDL operations are in fact those that you intend.

After you perform an in-place XML-schema evolution:

If you are accessing the database using a client that caches data, or if you are not sure whether this is the case, then *restart your client*. Otherwise, the pre-evolution version of the XML schema might continue to be used locally, with unpredictable results.



#### See Also:

Oracle Database Administrator's Guide for information about using trace files

## 20.3.4 inPlaceEvolve Parameters

The parameters of PL/SQL procedure DBMS\_XMLSCHEMA.inPlaceEvolve are described, as are the errors associated with this procedure.

This is the signature of procedure DBMS XMLSCHEMA.inPlaceEvolve:



Table 20-6 describes the individual parameters.

Table 20-6 Parameters of Procedure DBMS\_XMLSCHEMA.INPLACEEVOLVE

Parameter	Description
schemaURL	URL of the XML schema to be evolved (VARCHAR2).
diffXML	XML document (XMLType instance) that conforms to the xdiff XML schema, and that specifies the changes to apply and the locations in the XML schema where the changes are to be applied. For information about how to create the document for this parameter, see The diffXML Parameter Document.
flags	A bit mask that controls the behavior of the procedure. You can set the following bit values in this mask independently, summing them to define the overall effect. The default flags value is 1 (bit 1 on, bit 2 off), meaning that in-place evolution is performed and no trace is written.
	<ul> <li>INPLACE_EVOLVE (value 1, meaning that bit 1 is on) – Perform in-place XML schema evolution. Construct a new XML schema and validate it (against the XML schema for XML schemas). Construct the DDL statements needed to evolve the instance-document disk structures. Execute the DDL statements. Replace the old XML schema with the new.</li> <li>INPLACE_TRACE (value 2, meaning that bit 2 is on) – Perform all steps necessary for in-place evolution, except executing the DDL statements and overwriting the old XML schema with the new, then write both the DDL statements and the new XML schema to a trace file.</li> <li>That is, each of the bits constructs the new XML schema, validates it, and determines the steps needed to evolve the disk structures underlying the</li> </ul>
	instance documents. In addition:
	<ul> <li>Bit INPLACE_EVOLVE carries out those evolution steps and replaces the old XML schema with the new.</li> </ul>
	<ul> <li>Bit INPLACE_TRACE saves the evolution steps and the new XML schema in a trace file (it does not carry out the evolution steps).</li> </ul>

Procedure DBMS\_XMLSCHEMA.inPlaceEvolve raises an error in the following cases:

- An XPath expression is invalid, or is syntactically correct but does not target a node in the XML schema.
- The diffxmL document does not conform to the xdiff XML schema.
- The change makes the XML schema invalid or not well formed.
- A generated DDL statement (CREATE TYPE, ALTER TYPE, and so on) causes a problem when it is executed.
- An index object associated with an XMLType table is in an unsafe state, which could be caused by partition management operations.

## 20.3.5 The diffXML Parameter Document

The value of parameter <code>diffxml</code> of procedure <code>DBMS\_XMLSCHEMA.inPlaceEvolve</code> is an <code>XMLType</code> instance that specifies the changes to be applied to an XML schema for in-place evolution. This <code>diffxml</code> document contains a sequence of operations that describe the changes between the old XML schema and the new (the intended evolution result).

The changes specified by the  ${\tt diffxmL}$  document are applied in order.

You must create the XML document to be used for the diffxmL parameter You can do this in any of the following ways:

- The XMLDiff JavaBean (oracle.xml.differ.XMLDiff)
- The xmldiff command-line utility
- SQL function XMLDiff

The diffxml parameter document must conform to the xdiff XML schema.

The rest of this section presents examples of some operations in a document that conforms to the xdiff XML schema.

diffXML Operations and Examples

Operations that can be specified in the diffXML document supplied to procedure DBMS\_XMLSCHEMA.inPlaceEvolve are described. An example XML document that conforms to the xdiff XML schema is shown.

## **Related Topics**

xdiff.xsd: XML Schema for Comparing Schemas for In-Place Evolution
 A full listing is presented of xdiff.xsd, the Oracle XML DB-supplied XML
 schema to which the document specified as the diffXML parameter to procedure
 DBMS\_XMLSCHEMA.inPlaceEvolve must conform.

## See Also:

- Oracle XML Developer's Kit Programmer's Guide for information on using the XMLDiff JavaBean
- Oracle XML Developer's Kit Programmer's Guide for information on command-line utility xmldiff
- Oracle Database SQL Language Reference for information on SQL function XMLDiff

## 20.3.5.1 diffXML Operations and Examples

Operations that can be specified in the diffXML document supplied to procedure DBMS\_XMLSCHEMA.inPlaceEvolve are described. An example XML document that conforms to the xdiff XML schema is shown.

The <append-node> element is used for most of the supported changes, such as adding a new attribute to a complex type or appending a new element to a group.

The <insert-node-before> element specifies that a node of the given type should be inserted before the specified node. The xpath attribute specifies the location of the specified node and the node-type attribute specifies the type of node to be inserted. The node to be inserted is specified by the <content> child element. The <insert-node-before> element is mainly used for inserting comments and processing instructions, and for changing and adding add annotation elements.

The <delete-node> element specifies that the node with the given XPath (specified by the xpath attribute) should be deleted along with all its children. For example, you can use this element to delete comments and annotation elements. You can also use

this element, in conjunction with <append-node> or <insert-node-before>, to make changes to an existing node.

Example 20-6 shows an XML document for the diffXML parameter that specifies the following changes:

- Delete complex type PartType.
- Add complex type PartType with a maximum length of 28.
- Add a comment before element ShippingInstructions.
- Add a required element shipmethod to element ShippingInstructions.

## **Example 20-6 diffXML Parameter Document**

```
<xd:xdiff xmlns="http://www.w3c.org/2001/XMLSchema"</pre>
           xmlns:xd="http://xmlns.oracle.com/xdb/xdiff.xsd"
           xmlns:xsi="http://www.w3c.org/2001/XMLSchema-Instance"
           xsi:schemaLocation="http://xmlns.oracle.com/xdb/xdiff.xsd
           http://xmlns.oracle.com/xdb/xdiff.xsd">
 <xd:delete-node xpath="/schema/complexType[@name=&quote;PartType&quote;]//</pre>
maxLength/>
 <xd:append-node
 parent-xpath = "/schema/complexType[@name=&quote;PartType&quote;]//restriction"
 node-type = "element">
  <xd:content>
    <xs:maxLength value = "28"/>
  </xd:content>
 </xd:append-node>
 <xd:insert-node-before
 xpath="/schema/complexType[@name =&quote;ShippingInstructionsType&quote;]/sequence"
 node-type="comment">
  <xd:content>
    <!-- A type representing instructions for shipping -->
  </xd:content>
 </xd:insert-node-before>
 <xd:append-node
 parent-xpath="/schema/complexType[@name=&quote;ShippingInstructionsType&quote;]/
sequence"
 node-type="element">
  <xd:content>
   <xs:element name = "shipmethod" type = "xs:string" minOccurs = "1"/>
  </xd:content>
 </xd:append-node>
</xd:xdiff>
```



## Part VI

## Oracle XML DB Repository

Oracle XML DB Repository lets you version your data, implement and manage security, and use APIs to access and manipulate repository resources.

## Access to Oracle XML DB Repository Data

There are several ways to access and manipulate data in Oracle XML DB Repository, including using standard protocols such as FTP and HTTP(S)/ WebDAV; Oracle XML DB resource Application Program Interfaces (APIs); and the repository views RESOURCE VIEW and PATH VIEW.

## Configuration of Oracle XML DB Repository

Overall configuration of Oracle XML DB Repository applies to all repository resources. It does not include configuring parameters for handling events or managing XLink and XInclude processing. You use resource configuration files to configure resources.

## Use of XLink and XInclude with Oracle XML DB

You can use XLink and XInclude with resources in Oracle XML DB Repository. But the use of XLink is *deprecated*.

## Repository Access Using RESOURCE VIEW and PATH VIEW

Predefined public views RESOURCE\_VIEW and PATH\_VIEW provide access to Oracle XML DB repository data. You can use Oracle SQL functions under\_path and equals\_path to query resources based on their path names, and functions path and depth to return resource path names and depths.

#### Resource Versions

Oracle XML DB Repository resources can be versioned. A record is kept of all changes to a resource that is under version control.

## PL/SQL Access to Oracle XML DB Repository

PL/SQL packages <code>DBMS\_XDB\_CONFIG</code> and <code>DBMS\_XDB\_REPOS</code> together provide the Oracle XML DB resource application program interface (API) for PL/SQL. You use the former to configure Oracle XML DB and its repository. You use the latter to perform other, non-configuration operations on the repository.

## Repository Access Control

Oracle Database provides classic database security such as row-level and column-level secure access by database users. It also provides fine-grained access control for resources in Oracle XML DB Repository. You can create, set, and modify access control lists (ACLs).

## Repository Access Using Protocols

You can access Oracle XML DB Repository data using protocols FTP and HTTP(S)/WebDAV.

#### User-Defined Repository Metadata

You can create your own metadata to associate with XML data stored in Oracle XML DB Repository.

#### Oracle XML DB Repository Events

You can use Oracle XML DB Repository to store and access data of any kind, in the form of repository resources. You can access repository data from



any application. Sometimes your application needs to perform certain actions whenever a particular repository operation occurs. You can do this using repository events.

## • Guidelines for Oracle XML DB Applications in Java

Design guidelines are presented for writing Oracle XML DB applications in Java. This includes guidelines for writing and configuring Java servlets for Oracle XML DB.

## Data Access Using URIs

You can generate and store URIs in the database and use them to retrieve the database data they target. There are three kinds of URIs you can use this way: DBUris, XDBUris, and HTTPUris.

#### Native Oracle XML DB Web Services

Your applications can access Oracle Database using native Oracle XML DB Web services.



# Access to Oracle XML DB Repository Data

There are several ways to access and manipulate data in Oracle XML DB Repository, including using standard protocols such as FTP and HTTP(S)/WebDAV; Oracle XML DB resource Application Program Interfaces (APIs); and the repository views RESOURCE VIEW and PATH VIEW.

## Overview of Oracle XML DB Repository

Using Oracle XML DB Repository you can store content in the database in hierarchical structures, as opposed to traditional relational database structures. Although the repository can manage any kind of content, it provides specialized capabilities and optimizations related to managing resources with XML content.

## Repository Terminology and Supplied Resources

Oracle XML DB Repository can be thought of as a file system of database objects rather than files. It is a hierarchical set of database objects, across all XML and database schemas, that are mapped to path names.

#### Oracle XML DB Repository Resources

Oracle XML DB Repository resources conform to the Oracle XML DB XML schema XDBResource.xsd. The elements in a resource include those needed to persistently store WebDAV-defined properties, such as creation date, modification date, WebDAV locks, owner, ACL, language, and character set.

#### Navigational or Path Access to Repository Resources

Oracle XML DB Repository folders support the same protocol standards used by many operating systems. This lets a repository folder act like a native folder (directory) in supported operating-system environments.

## Query-Based Access to Repository Resources

PL/SQL package DBMS\_XDB\_REPOS provides subprograms that act on Oracle XML DB Repository resources. This API is based on the public views RESOURCE\_VIEW and PATH\_VIEW, which enable SQL access to repository data through protocols such as FTP and HTTP(S)/WebDAV.

## Servlet Access to Repository Resources

Oracle XML DB implements Java Servlet API, version 2.2.

## Operations on Repository Resources

You can operate on data stored in Oracle XML DB Repository resources using Java, PL/SQL, and Internet protocols. The most common operations are described, along with the required database permissions to use them.

## Accessing the Content of Repository Resources Using SQL

In SQL you can access the content of a document in Oracle XML DB Repository using PL/SQL constructor XDBURITYPE or using RESOURCE\_VIEW and the corresponding resource document.

## Access to the Content of XML Schema-Based Documents

You can access the content of an XML Schema-based document in the same way as for a non-schema-based document: use the corresponding resource document. Or you can access it as a row in the default table that was defined when the XML schema was registered with Oracle XML DB.

- Update of the Content of Repository Documents
   You can update the content of documents stored in Oracle XML DB Repository using Internet protocols or SQL.
- Querying Resources in RESOURCE\_VIEW and PATH\_VIEW
   Examples here illustrate folder-restricted queries of the repository using
   RESOURCE\_VIEW and PATH\_VIEW together with Oracle SQL functions equals\_path
   and under path.
- Oracle XML DB Hierarchical Repository Index
   Oracle XML DB uses a hierarchical index for Oracle XML DB Repository, to
   optimize the performance of path-based and folder-restricted queries of the
   repository. It is implemented as an Oracle domain index.

# 21.1 Overview of Oracle XML DB Repository

Using Oracle XML DB Repository you can store content in the database in hierarchical structures, as opposed to traditional relational database structures. Although the repository can manage any kind of content, it provides specialized capabilities and optimizations related to managing resources with XML content.

Relational databases are traditionally poor at managing hierarchical structures and traversing a path or a URL. Oracle XML DB Repository provides you with a hierarchical organization of XML content in the database. You can query and manage it as if it were organized using files and folders.

The relational table-row-column metaphor is an effective model for managing highly structured data. It can be less effective for managing semi-structured and unstructured data, such as document-oriented XML data.

For example, a book is not easily represented as a set of rows in a table. It might be more natural to represent a book as a hierarchy, book — chapter — section — paragraph, and to represent the hierarchy as a set of folders and subfolders.

A hierarchical repository index speeds up folder and path traversals. Oracle XML DB includes a patented hierarchical index that speeds up folder and path traversals in Oracle XML DB Repository. The hierarchical repository index is transparent to end users, and lets Oracle XML DB perform folder and path traversals at speeds comparable to or faster than conventional file systems.

Figure 21-1 is an example of a hierarchical structure that shows a typical tree of folders and files in Oracle XML DB Repository. The top of the tree shows /, the root folder.



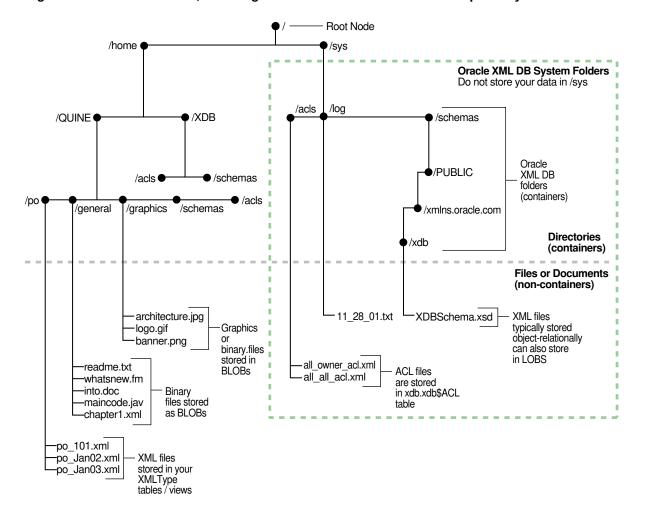


Figure 21-1 A Folder Tree, Showing Hierarchical Structures in the Repository

## **Caution:**

Folder  $/\mathrm{sys}$  is used by Oracle XML DB to maintain system-defined XML schemas, access control lists (ACLs), and so on. Do *not* add or modify any data in folder  $/\mathrm{sys}$ .

Your applications can access content in Oracle XML DB Repository using standard connect-access protocols such as FTP, HTTP(S), and WebDAV, in addition to languages SQL, PL/SQL, Java, and C. Oracle XML DB adds native support to Oracle Database for these protocols, which were designed for document-centric operations. By providing support for these protocols, Oracle XML DB lets Microsoft Windows Explorer, Microsoft Office, and products from vendors such as Altova and Adobe work directly with XML content stored in the repository.

The repository gives you direct access to XML content stored in Oracle Database, as if it were stored in a file system. You can set access control privileges on repository files and folders.

These features are available because the repository is modeled on **WebDAV**, an IETF standard that defines a set of extensions to the HTTP protocol. WebDAV lets an HTTP server act as a file server for a DAV-enabled client. For example, a WebDAV-enabled editor can interact with an HTTP/WebDAV server as if it were a file system.

The WebDAV standard uses the term **resource** to describe a file or a folder. Each resource managed by a WebDAV server is identified by a URL. A resource has not only content but also associated metadata.

The following topics cover how to access data in Oracle XML DB Repository folders using the standard protocols. They discuss APIs that you can use to access the repository object hierarchy using Java, SQL, and PL/SQL.

- Oracle XML DB Provides Name-Level Locking
  - One key advantage of Oracle XML DB Repository is the ability to use SQL for repository operations in the context of a logical transaction. Applications can create long-running transactions that include updates to multiple folders. To provide high levels of concurrency, the repository uses name-level locking rather than folder-level locking
- Two Ways to Access Oracle XML DB Repository Resources
   You can access and manipulate Oracle XML DB Repository resources using SQL
   with special views or by navigating paths using a hierarchical index.
- Database Schema (User Account) XDB and Oracle XML DB Repository
   Database schema (user account) XDB owns XMLType table XDB\$RESOURCE, which
   contains all of the resources (files and folders) in Oracle XML DB Repository. It
   also contains all of the metadata for managing the repository.

## **Related Topics**

- Repository Access Using RESOURCE\_VIEW and PATH\_VIEW
   Predefined public views RESOURCE\_VIEW and PATH\_VIEW provide access to Oracle XML DB repository data. You can use Oracle SQL functions under\_path and equals\_path to query resources based on their path names, and functions path and depth to return resource path names and depths.
- PL/SQL Access to Oracle XML DB Repository
   PL/SQL packages DBMS\_XDB\_CONFIG and DBMS\_XDB\_REPOS together provide the
   Oracle XML DB resource application program interface (API) for PL/SQL. You use the former to configure Oracle XML DB and its repository. You use the latter to perform other, non-configuration operations on the repository.
- Repository Access Control
   Oracle Database provides classic database security such as row-level and
   column-level secure access by database users. It also provides fine-grained
   access control for resources in Oracle XML DB Repository. You can create, set,
   and modify access control lists (ACLs).
- Repository Access Using Protocols
   You can access Oracle XML DB Repository data using protocols FTP and
   HTTP(S)/WebDAV.

## 21.1.1 Oracle XML DB Provides Name-Level Locking

One key advantage of Oracle XML DB Repository is the ability to use SQL for repository operations in the context of a logical transaction. Applications can create long-running transactions that include updates to multiple folders. To provide high

levels of concurrency, the repository uses name-level locking rather than folder-level locking

When using a relational database to maintain hierarchical folder structures, ensuring a high degree of concurrency when adding and removing items in a folder is a challenge. In conventional file systems there is no concept of a transaction. Each operation (add a file, create a subfolder, rename a file, delete a file, and so on) is treated as an atomic transaction. Once the operation has completed the change is immediately available to all other users of the file system.

In this situation, a conventional locking strategy that takes an exclusive lock on each updated folder or directory tree would quickly result in significant concurrency problems. Oracle XML DB solves this by providing for name-level locking rather than folder-level locking. Repository operations such as creating, renaming, moving, or deleting a sub-folder or file do not require that your operation be granted an exclusive write lock on the target folder. The repository manages concurrent folder operations by locking the name within the folder rather than the folder itself. The name and the modification type are put on a queue.

Only when the transaction is committed is the folder locked and its contents modified. Hence Oracle XML DB lets multiple applications perform concurrent updates on the contents of a folder. The queue is also used to manage folder concurrency by preventing two applications from creating objects with the same name.

Queuing folder modifications until commit time also minimizes I/O when a number of changes are made to a single folder in the same transaction. This is useful when several applications generate files quickly in the same directory, for example when generating trace or log files, or when maintaining a spool directory for printing or e-mail delivery.

## Note:

As a consequence of transactional semantics enforced by the database, folders created using SQL statements are *not* visible to other database users until the transaction is committed. *Concurrent* access to Oracle XML DB Repository is controlled by the same mechanism used to control concurrency in Oracle Database. The integration of the repository with Oracle Database provides *strong management options for XML content*.

# 21.1.2 Two Ways to Access Oracle XML DB Repository Resources

You can access and manipulate Oracle XML DB Repository resources using SQL with special views or by navigating paths using a hierarchical index.

- SQL access. This is done using special views that expose resource properties and path names, and map hierarchical access operators onto the Oracle XML DB schema. See Query-Based Access to Repository Resources.
- Navigational or path-based access. This uses a hierarchical index of resources.
   Each resource has one or more unique path names that reflect its location in the hierarchy. You can navigate, using XPath expressions, to any repository resource.

A repository resource can be created as a reference to an existing  $\mathtt{XMLType}$  object in the database. You can navigate to any such database object using XPath. See Navigational or Path Access to Repository Resources.



## See Also:

- Oracle XML DB Repository Access for guidance on selecting an access method
- Table 21-3 for a summary comparison of the access methods

A Uniform Resource Locator (URL) is used to access an Oracle XML DB resource. A URL includes the host name, protocol information, path name, and resource name of the object.

# 21.1.3 Database Schema (User Account) XDB and Oracle XML DB Repository

Database schema (user account) XDB owns XMLType table XDB\$RESOURCE, which contains all of the resources (files and folders) in Oracle XML DB Repository. It also contains all of the *metadata* for managing the repository.

Database schema XDB is created during Oracle XML DB installation. The primary table in this schema is an XMLType table called XDB\$RESOURCE, which contains one row for each resource (file or folder) in Oracle XML DB Repository. Documents in this table are referred to as **resource documents**. The XML schema that defines the structure of an Oracle XML DB resource document is registered under URL "http://xmlns.oracle.com/xdb/XDBResource.xsd.

The tables owned by database schema (user) XDB are *internal*. Oracle recommends the following:

- Create a dedicated tablespace for use only by user XDB, which means also for Oracle XML DB Repository. Ensure that the tablespace is not read-only.
- Do *not* directly manipulate any tables or data owned by user XDB. For example, do not compress or uncompress them.
  - Use only the PL/SQL subprograms and database views provided by Oracle XML DB to carry out operations on any tables or data owned by user XDB.
- Never unlock user XDB, under any circumstance.

#### See Also:

- Package DBMS\_XDB\_ADMIN, for information about creating a dedicated tablespace for user XDB and the repository
- Oracle Database Security Guide



# 21.2 Repository Terminology and Supplied Resources

Oracle XML DB Repository can be thought of as a file system of database objects rather than files. It is a hierarchical set of database objects, across all XML and database schemas, that are mapped to path names.

The repository is a connected, directed, acyclic<sup>1</sup> graph of resources, with a single root node (/). Each resource in the graph has one or more associated path names: the repository supports multiple links to a given resource.

#### Repository Terminology

Some terms that apply to Oracle XML DB Repository include resource, resource name, resource content, folder or directory, path name, path components, link name, access control list (ACL), and XDBBinary element. Some of these terms have common synonyms in other contexts.

Predefined Repository Files and Folders
 Certain files and folders are predefined for Oracle XML DB Repository. You can create additional ones for your own use.

## 21.2.1 Repository Terminology

Some terms that apply to Oracle XML DB Repository include resource, resource name, resource content, folder or directory, path name, path components, link name, access control list (ACL), and XDBBinary element. Some of these terms have common synonyms in other contexts.

resource – Any object or node in the repository hierarchy. A resource is identified
by a Uniform Resource Locator (URL), which includes the path name and
resource name of the object.

## See Also:

- Oracle XML DB Repository: Overview
- Oracle XML DB Repository Resources
- folder A resource that can contain other resources. Sometimes called a directory.
- path name A hierarchical name representing an absolute path to a resource. It is composed of a slash (/) representing the repository root, followed by zero or more path components separated by slashes. A path component cannot be only . or ..., but a period (.) can otherwise be used in a path component. A path component is composed of any characters in the database character set except slash (/), backslash (\), and those characters specified in the Oracle XML DB configuration file, xdbconfig.xml, by configuration parameter / xdbconfig/sysconfig/invalid-pathname-chars.

The graph is established by the hard links that define the repository structure, and cycles are not permitted using hard links. You can, however, introduce cycles using weak links. See Hard Links and Weak Links.



- resource name (or link name) The name of a resource within its parent folder.
   This is the rightmost path component of a path name. Resource names must be unique within their immediately containing folder, and they are case-sensitive.
- resource content The body, or data, of a resource. This is what you get when
  you treat the resource as a file and ask for its content. This is always of type
  XMLType.
- access control list (ACL) An ordered list of rules that specify access privileges for principals (users or roles) to one or more repository resources.



• XDBBinary element – An XML element that contains binary data. It is defined by the Oracle XML DB XML schema. XDBBinary elements are stored in the repository whenever unstructured binary data is uploaded into Oracle XML DB.

Many terms used by Oracle XML DB have common synonyms in other contexts, as shown in Table 21-1.

Table 21-1 Synonyms for Oracle XML DB Repository Terms

Synonym	Repository Term	Usage
collection	folder	WebDAV
directory	folder	operating systems
privilege	privilege	permission
right	privilege	various
WebDAV folder	folder	Web folder
role	group	access control
revision	version	RCS, CVS
file system	repository	operating systems
hierarchy	repository	various
file	resource	operating systems
binding	link	WebDAV

## 21.2.2 Predefined Repository Files and Folders

Certain files and folders are predefined for Oracle XML DB Repository. You can create additional ones for your own use.

These are the predefined Oracle XML DB Repository files and folders:

/dbfs<sup>2</sup>
/public
/sys
/sys/acls

<sup>&</sup>lt;sup>2</sup> Repository folder /dbfs gives you protocol access to your DBFS content. See *Oracle Database SecureFiles and Large Objects Developer's Guide* for information about DBFS.



```
/sys/acls/all_all_acl.xml
/sys/acls/all_owner_acl.xml
/sys/acls/bootstrap_acl.xml
/sys/acls/ro_all_acl.xml
/sys/apps
/sys/asm
/sys/log
/sys/schemas
/sys/schemas/PUBLIC
/sys/schemas/PUBLIC/www.w3.org
/sys/schemas/PUBLIC/www.w3.org/2001
/sys/schemas/PUBLIC/www.w3.org/2001/xml.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResource.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBSchema.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBStandard.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/acl.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/dav.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/log
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/stats.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/xdbconfig.xsd
/xdbconfig.xml
```

# 21.3 Oracle XML DB Repository Resources

Oracle XML DB Repository resources conform to the Oracle XML DB XML schema XDBResource.xsd. The elements in a resource include those needed to persistently store WebDAV-defined properties, such as creation date, modification date, WebDAV locks, owner, ACL, language, and character set.

A resource index has a special element called Contents that contains the contents of the resource.

The XML schema for a resource also defines an **any** element, with maxOccurs attribute unbounded. An any element can contain any element outside of the Oracle XML DB XML namespace. Arbitrary instance-defined properties can be associated with the resource.

## Where Is Repository Data Stored?

Oracle XML DB stores Oracle XML DB Repository data in a set of tables and indexes to which you have access.

## How Documents are Stored in Oracle XML DB Repository

When an XML document that is based on a registered XML schema is loaded into the repository, the document is parsed and decomposed according to the schema into a set of SQL objects; and a corresponding resource document is created to provide repository access for the source XML document.

## Repository Data Access Control

You can control access to the resources in Oracle XML DB Repository by using access control lists (ACLs), which are composed of access control entries (ACEs). An ACE grants or denies a set of privileges to a specific principal.

#### Repository Path-Name Resolution

The data relating a folder to its contents is managed by the Oracle XML DB hierarchical repository index. This provides a fast mechanism for evaluating path names which is similar to the directory mechanisms that are used by operating-system file systems. You need certain privileges to resolve a path name.

## Link Types

Links in Oracle XML DB can be repository links or document links. Repository links can be hard links or weak links. Document links can also be hard links or weak links, when their targets are repository resources.

## **Related Topics**

XDBResource.xsd: XML Schema for Oracle XML DB Resources
 A full listing is presented of the Oracle XML DB-supplied XML schema
 XDBResource.xsd, which is used to represent Oracle XML DB resources.

## 21.3.1 Where Is Repository Data Stored?

Oracle XML DB stores Oracle XML DB Repository data in a set of tables and indexes to which you have access.

If you register an XML schema and request that the tables be generated by Oracle XML DB, then the tables are created in your database schema. You are then able to see or modify them. Other users cannot see your tables unless you grant them permission to do so.

#### Names of Generated Tables

The names of generated tables are assigned by Oracle XML DB. They can be obtained by finding the xdb:defaultTable attribute in your XML schema document (or in the default XML schema document).

- How Object-Relational Storage Is Defined for Repository Resources
   You can define object-relational storage for repository resources by subclassing or by storing data that conforms to a registered XML schema.
- Oracle ASM Virtual Folder

The contents of the Oracle Automatic Storage Management (Oracle ASM) virtual folder, /sys/asm are Oracle ASM files and folders that are managed automatically by Oracle ASM.

## 21.3.1.1 Names of Generated Tables

The names of generated tables are assigned by Oracle XML DB. They can be obtained by finding the xdb:defaultTable attribute in your XML schema document (or in the default XML schema document).

When you register an XML schema, you can alternatively provide your own table name, instead of using the default name supplied by Oracle XML DB. If the table specifies binary XML storage, then a document is encoded in binary XML format before storing it in the table.

#### **Related Topics**

Default Tables Created during XML Schema Registration
 You can create default tables as part of XML schema registration. Default tables
 are most useful when documents are inserted using APIs and protocols such as
 FTP and HTTP(S), which do not provide any table specification.

## 21.3.1.2 How Object-Relational Storage Is Defined for Repository Resources

You can define object-relational storage for repository resources by subclassing or by storing data that conforms to a registered XML schema.

Applications that need to define object-relational storage for repository resources can do so in either of these ways:

- Subclass the Oracle XML DB resource type. Subclassing Oracle XML DB resources requires privileges on the table XDB\$RESOURCE.
- Store data that conforms to a visible, registered XML schema.

## **Related Topics**

XML Schema Storage and Query: Basic
 XML Schema is a standard for describing the content and structure of XML
 documents. You can register, update, and delete an XML schema used with
 Oracle XML DB. You can define storage structures to use for your XML schema based data and map XML Schema data types to SQL data types.

## 21.3.1.3 Oracle ASM Virtual Folder

The contents of the Oracle Automatic Storage Management (Oracle ASM) virtual folder, /sys/asm are Oracle ASM files and folders that are managed automatically by Oracle ASM.

#### **Related Topics**

Access to Oracle ASM Files Using Protocols and Resource APIs – For DBAs
 Oracle Automatic Storage Management (Oracle ASM) organizes database
 files into disk groups for simplified management, database mirroring, and I/O
 balancing. Repository access extends to Oracle ASM files, in the virtual repository
 folder /sys/asm. This access is reserved for database administrators (DBAs). It is
 not intended for developers.



Oracle Automatic Storage Management Administrator's Guide

## 21.3.2 How Documents are Stored in Oracle XML DB Repository

When an XML document that is based on a registered XML schema is loaded into the repository, the document is parsed and decomposed according to the schema into a set of SQL objects; and a corresponding resource document is created to provide repository access for the source XML document.

Oracle XML DB provides special handling for XML documents. The rules for storing the contents of an XML Schema-based XML document are defined by its XML schema. The content of the document is stored in the default table associated with the global element definition.

Oracle XML DB Repository also stores files that do not contain XML data, such as JPEG images or Word documents. The XML schema for each resource defines which elements are allowed, and specifies whether the content of these files is to be stored as BLOB or CLOB instances. The content of a non-schema-based XML document is stored as a CLOB instance in the repository.



There is one resource and one link-properties document for each file or folder in the repository. If there are multiple access paths to a given document then there is a link-properties document for each possible link. Both the resource document and the link-properties are stored as XML documents. All these documents are stored in tables in the repository.

When an XML file is loaded into the repository, the following sequence of events takes place:

- Oracle XML DB examines the root element of the XML document to see
  if it is associated with a known (registered) XML schema. This involves
  looking to see if the document includes a namespace declaration for the
  XMLSchema-instance namespace, and then looking for a schemaLocation or
  noNamespaceSchemaLocation attribute that identifies which XML schema the
  document is associated with.
- If the document is based on a known XML schema, then the metadata for the XML schema is loaded from the XML schema cache.
- The XML document is parsed and decomposed into a set of SQL objects derived from the XML schema.
- 4. The SQL objects created from the XML file are stored in the default table defined when the XML schema was registered with the database.
- 5. A resource document is created for each document processed. This lets the content of the document be accessed using the repository. The resource document for an XML Schema-based XMLType instance includes an XMLRef element. This element contains a REF of XMLType that can be used to locate the row in the default table containing the content associated with the resource.

## 21.3.3 Repository Data Access Control

You can control access to the resources in Oracle XML DB Repository by using access control lists (ACLs), which are composed of access control entries (ACEs). An ACE grants or denies a set of privileges to a specific principal.

A principal can be a database user, a database role, an LDAP user, an LDAP group or the special principal DAV::owner, which refers to the owner of the resource. Each resource in the repository is protected by an ACL. The ACL determines which privileges, such as read-properties and update, a user has on the resource. Each repository operation includes a check of the ACL to determine if the current user is allowed to perform the operation.

By default, a new resource inherits the ACL of its parent folder. But you can set the ACL of a resource using PL/SQL procedure DBMS\_XDB\_REPOS.setACL. For more details on Oracle XML DB resource security, see Repository Access Control.

In the following example, the current user is QUINE. The query gives the number of resources in the folder /public. Assume that there are only two resources in this folder: f1 and f2. Also assume that the ACL on f1 grants the read-properties privilege to QUINE while the ACL on f2 does not grant QUINE any privileges. A user needs the read-properties privilege on a resource for it to be visible to the user. The result of the query is 1, because only f1 is visible to QUINE.

```
SELECT count(*) FROM RESOURCE_VIEW r WHERE under_path(r.res, '/public') = 1;
COUNT(*)
```



\_\_\_\_.

# 21.3.4 Repository Path-Name Resolution

The data relating a folder to its contents is managed by the Oracle XML DB hierarchical repository index. This provides a fast mechanism for evaluating path names which is similar to the directory mechanisms that are used by operating-system file systems. You need certain privileges to resolve a path name.

Resources that are folders have the Container attribute of element Resource set to true.

To resolve a resource name in a folder, the current user must have the following privileges:

- resolve privilege on the folder
- read-properties on the resource in that folder

If the user does not have these privileges, then the user receives an access denied error. Folder listings and other queries do not return a row when the read-properties privilege is denied on its resource.



## **Caution:**

Error handling in path-name resolution differentiates between invalid resource names and resources that are not folders, for compatibility with file systems. Because Oracle XML DB resources are accessible from outside Oracle XML DB Repository (using SQL), denying read access on a folder that contains a resource does *not prevent* read access to that resource.



## See Also:

XDBResource.xsd: XML Schema for Oracle XML DB Resources for the definition of element Resource and its attribute Container

## 21.3.5 Link Types

Links in Oracle XML DB can be repository links or document links. Repository links can be hard links or weak links. Document links can also be hard links or weak links, when their targets are repository resources.

Repository Links and Document Links

In addition to containing resources, a folder resource can contain links to other resources (files or folders). These repository links represent hierarchical repository relationships. By contrast, document links are arbitrary links among XML documents that are not necessarily repository resources.



#### Hard Links and Weak Links

Links that target repository resources can be hard or weak. Hard and weak links have different dependencies with respect to the resources that they target. Hard links cannot target ancestor folders; weak links can. You can query the repository path view, PATH\_VIEW, to determine the type of a repository link.

- Creating a Weak Link with No Knowledge of Folder Hierarchy
  Weak links represent a mapping on top of the repository structure, which is
  determined by hard links. You can create a weak link to a resource using its OID
  rather than its path. You can use weak links to access a resource without having
  access to the folders containing it.
- How and When to Prevent Multiple Hard Links
   You can restrict the creation of hard links, disallowing multiple hard links to folders
   or files (or both). Allowing multiple hard links to file resources, but disallowing
   multiple hard links to folder resources, provides behavior that is similar to that for
   some file systems, including UNIX and Linux.

## 21.3.5.1 Repository Links and Document Links

In addition to containing resources, a folder resource can contain links to other resources (files or folders). These repository links represent hierarchical repository relationships. By contrast, document links are arbitrary links among XML documents that are not necessarily repository resources.

**Repository links** are sometimes called **folder links**. They are not to be confused with **document links**, which correspond to the links provided by the XLink and XInclude standards, and which are also supported by Oracle XML DB (but XLink support is *deprecated*). Repository links are navigational, folder—child links among repository resources. Document links are arbitrary links among documents that are not necessarily repository resources.

Repository links represent repository hierarchical relationships. Document links represent arbitrary relationships whose semantics derives from the applications that use them. Because they represent repository hierarchical relationships, repository links can be navigated using file system-related protocols. This is not true of document links. Because document links can represent arbitrary relationships, they can also represent repository relationships. When document links thus target resources, they can also be hard or weak.



Use of XLink and XInclude with Oracle XML DB for information about document links

## 21.3.5.2 Hard Links and Weak Links

Links that target repository resources can be hard or weak. Hard and weak links have different dependencies with respect to the resources that they target. Hard links cannot target ancestor folders; weak links can. You can query the repository path view, PATH\_VIEW, to determine the type of a repository link.



Both hard links and weak links are references, or pointers, to physical data— (internal) repository resource identifiers. They do not point to symbolic names or paths to other links. Their targets are resolved at the time of link creation. Because they point directly to resource identifiers, hard and weak links cannot dangle: they remain valid even when their targets are renamed or moved. You need the same privileges to create or delete hard and weak links.

The difference between hard and weak links lies in their relationship to target resource deletion. A target resource is dependent on its hard links, in the sense that it cannot be deleted as long as it remains the target of a hard link. Deletion of a hard link also deletes the resource targeted by the link, if the following are both true:

- The resource is not versioned.
- The hard link that was deleted was the last (that is, the only) hard link to the resource.

A weak link has no such hold on a resource: you can delete a resource, even if it is the target of a weak link (as long as it is not the target of a hard link). Because of this, weak links can be used as shortcuts to frequently accessed resources, without impacting deletion of those resources.

There is a dependency in the other direction, however: If you delete a resource that is the target of one or more weak links, then those links are automatically deleted, as well. In this sense, too, weak links cannot dangle. Both hard and weak links provide referential integrity: if a link exists, then so does its target.

Another difference between hard and weak links is this: Hard links to ancestor folders are not permitted, because they introduce cycles. There is no such restriction for weak links: a weak link can target any folder, possibly creating a cycle. It is the set of hard links that define the (acyclic) structure of Oracle XML DB Repository. Weak links represent an additional mapping on top of that basic structure.

You can query the repository path view, PATH\_VIEW, to determine the type of a repository link: the link information contains the link type. XMLType column LINK of PATH\_VIEW contains this information in element LinkType, which is a child of the root element, LINK. Example 21-1 illustrates this. You can also determine the type of a repository link by using the getLink() callback function on an event handler (LinkIn, LinkTo, UnlinkIn, or UnlinkFrom).



Oracle Database PL/SQL Packages and Types Reference for information on PL/SQL function getLink

## Example 21-1 Querying PATH\_VIEW to Determine Link Type



```
DF9856CF2FE0829EE030578CCE0639C5 Weak
```

#### **Related Topics**

- Deleting Repository Resources: Examples
   Examples here illustrate how to delete Oracle XML DB Repository resources and paths.
- Query-Based Access to Repository Resources
   PL/SQL package DBMS\_XDB\_REPOS provides subprograms that act on Oracle
   XML DB Repository resources. This API is based on the public views
   RESOURCE\_VIEW and PATH\_VIEW, which enable SQL access to repository data
   through protocols such as FTP and HTTP(S)/WebDAV.

## 21.3.5.3 Creating a Weak Link with No Knowledge of Folder Hierarchy

Weak links represent a mapping on top of the repository structure, which is determined by hard links. You can create a weak link to a resource using its OID rather than its path. You can use weak links to access a resource without having access to the folders containing it.

Suppose that you want to read a file resource that belongs to one of your colleagues. You cannot create a hard link to that resource, to make it accessible for your use, unless you have the privilege <xdb:resolve> on all of the ancestor folders of that file. Having that privilege would mean that you could see all of your colleague's folder names and the structure of the hierarchy down to the target resource.

However, because weak links essentially represent a mapping on top of the real repository structure, which structure is determined by the set of hard links, you can create a weak link to a resource using just its OID rather than its full, named path (URL). Your colleague can determine the OID path to the file, send you that instead of the named path, and you can create a weak link to the document using that OID path. Example 21-2 and Example 21-3 illustrate this.

Example 21-2 prints the OID path for the file resource /home/QUINE/purchaseOrder.xml. User quine can use this to obtain the OID path to the resource, and then send that path to user curry, who can create a weak link to the resource (Example 21-3).

In Example 21-3, user curry creates a weak link named quinePurchaseOrder.xml in folder /home/CURRY. The target of the link is the OID path that corresponds to the URL /home/QUINE/purchaseOrder.xml. User curry need not be aware of the repository structure that is visible to user quine.

## Example 21-2 Obtaining the OID Path of a Resource

```
DECLARE
  resoid RAW(16);
  oidpath VARCHAR2(100);
BEGIN

SELECT RESID INTO resoid FROM RESOURCE_VIEW
  WHERE equals_path(RES, '/home/QUINE/purchaseOrder.xml') = 1;
  oidpath := DBMS_XDB_REPOS.createOIDPath(resoid);
  DBMS_OUTPUT.put_line(oidpath);
END;
```



## Example 21-3 Creating a Weak Link Using an OID Path

## 21.3.5.4 How and When to Prevent Multiple Hard Links

You can restrict the creation of hard links, disallowing multiple hard links to folders or files (or both). Allowing multiple hard links to file resources, but disallowing multiple hard links to folder resources, provides behavior that is similar to that for some file systems, including UNIX and Linux.

This can simplify application design, by, in effect, ensuring that each file resource has a unique, canonical hard-link path to it. In addition, preventing multiple hard links to a resource can lead to query performance improvements.

You can configure the prevention of multiple hard links using the following Boolean parameters in configuration file xdbconfig.xml. The default value of each parameter is true, meaning that multiple hard links can be created.

- folder-hard-links Prevent the creation of multiple hard links to a folder resource, if false.
- non-folder-hard-links Prevent the creation of multiple hard links to a file resource, if false.

## **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
 which is stored as a resource in Oracle XML DB Repository. As an alternative to
 using Oracle Enterprise Manager to configure Oracle XML DB, you can configure
 it directly using the Oracle XML DB configuration file.

# 21.4 Navigational or Path Access to Repository Resources

Oracle XML DB Repository folders support the same protocol standards used by many operating systems. This lets a repository folder act like a native folder (directory) in supported operating-system environments.

#### For example:

- You can use Windows Explorer to open and access repository files and folders (resources) the same way you access other files and folders in the file system, as shown in Figure 21-2.
- You can access repository data using HTTP(S)/WebDAV from a Web browser, as shown in Figure 21-3 and Figure 21-4.

Figure 21-3 shows a browser visiting URL http://xdbdemo:8080/. The server it is connected to is xdbdemo, and its HTTP port number is 8080.

Figure 21-4 shows a browser using HTTP to visit an XML document (an XSL stylesheet) stored in the database. The URL is http://localhost:8080/home/SCOTT/poSource/xsl/purchaseOrder.xsl.



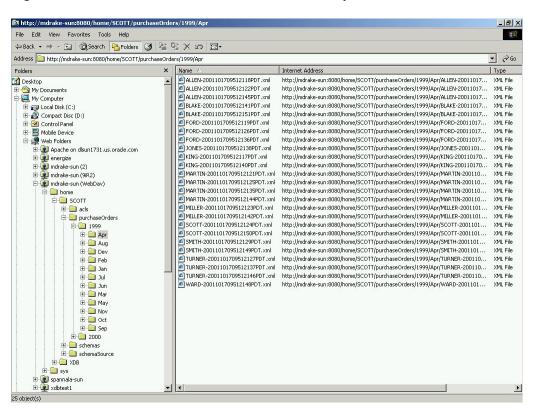
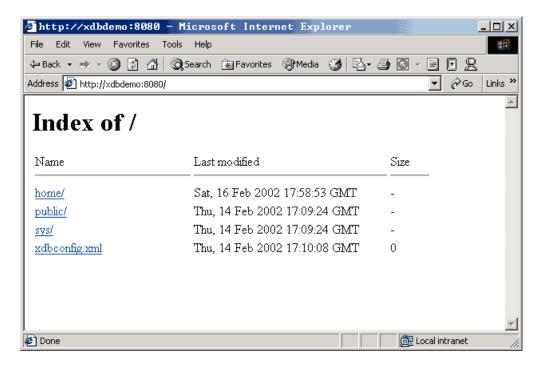


Figure 21-2 Oracle XML DB Folders in Windows Explorer

Figure 21-3 Accessing Repository Data Using HTTP(S)/WebDAV and a Web Browser





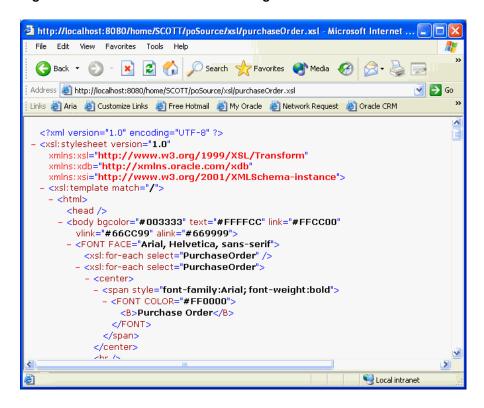


Figure 21-4 Path-Based Access Using HTTP and a URL

- Access to Oracle XML DB Resources Using Internet Protocols
   Oracle Net Services provides one way of accessing database resources. Oracle XML DB support for Internet protocols provides another way of accessing database resources.
- Access to Oracle ASM Files Using Protocols and Resource APIs For DBAs
   Oracle Automatic Storage Management (Oracle ASM) organizes database
   files into disk groups for simplified management, database mirroring, and I/O
   balancing. Repository access extends to Oracle ASM files, in the virtual repository
   folder /sys/asm. This access is reserved for database administrators (DBAs). It is
   not intended for developers.

## 21.4.1 Access to Oracle XML DB Resources Using Internet Protocols

Oracle Net Services provides one way of accessing database resources. Oracle XML DB support for Internet protocols provides another way of accessing database resources.

- Where You Can Use Oracle XML DB Protocol Access
   Oracle Net Services is optimized for record-oriented data. Internet protocols are designed for stream-oriented data, such as binary files or XML text documents.
   Oracle XML DB protocol access is a valuable alternative to Net Services in certain scenarios.
- Overview of Protocol Access to Oracle XML DB
   Protocol access to Oracle XML DB involves connecting, authenticating a user,
   parsing the request, and perhaps invoking a Java servlet.

#### Retrieval of Oracle XML DB Resources

When a protocol indicates that a resource is to be retrieved, the path name to the resource is resolved and the resource is fetched, by streaming it as either XML data or in RAW form.

#### Storage of Oracle XML DB Resources

When a protocol indicates that a resource that is an XML document is to be stored, its associated XML schema, if any, is consulted to determine the default table in which to store the document.

Internet Protocols and XMLType: XMLType Direct Stream Write
 Oracle XML DB supports Internet protocols at the XMLType level by using Java
 XMLType method writeToStream(). This method is implemented natively and
 writes XMLType data directly to the protocol request stream.

## 21.4.1.1 Where You Can Use Oracle XML DB Protocol Access

Oracle Net Services is optimized for record-oriented data. Internet protocols are designed for stream-oriented data, such as binary files or XML text documents. Oracle XML DB protocol access is a valuable alternative to Net Services in certain scenarios.

- Direct database access from file-oriented applications using the database like a file system
- Heterogeneous application server environments that require a uniform data access method (such as XML over HTTP, which is supported by most data servers, including MS SQL Server, Exchange, Notes, many XML databases, stock quote services and news feeds)
- Application server environments that require data in the form of XML text
- Web applications that use client-side XSL to format datagrams that do not need much application processing
- Web applications that use Java servlets that run inside the database
- Web access to XML-oriented stored procedures

## 21.4.1.2 Overview of Protocol Access to Oracle XML DB

Protocol access to Oracle XML DB involves connecting, authenticating a user, parsing the request, and perhaps invoking a Java servlet.

Accessing Oracle XML DB using a protocol proceeds as follows:

- **1.** A connection object is established, and the protocol might read part of the request.
- The protocol decides whether the user is already authenticated and wants to reuse an existing session or the connection must be re-authenticated (the latter is more common).
- 3. An existing session is pulled from the session pool, or else a new one is created.
- 4. If authentication has not been provided, and the request is HTTP get or head, then the session is run as the ANONYMOUS user. If the session has already been authenticated as the ANONYMOUS user, then there is no cost to reuse the existing session. If authentication has been provided, then the database re-authentication routines are used to authenticate the connection.
- 5. The request is parsed.



**6.** (HTTP only) If the requested path name maps to a servlet, then the servlet is invoked using Java Virtual Machine (JVM). The servlet code writes the response to a response stream or asks XMLType instances to do so.

## 21.4.1.3 Retrieval of Oracle XML DB Resources

When a protocol indicates that a resource is to be retrieved, the path name to the resource is resolved and the resource is fetched, by streaming it as either XML data or in RAW form.

Resources being fetched are streamed as XML data, except for those containing element XDBBinary, which is the XML binary data type, which have their contents streamed out in RAW form.

## 21.4.1.4 Storage of Oracle XML DB Resources

When a protocol indicates that a resource that is an XML document is to be stored, its associated XML schema, if any, is consulted to determine the default table in which to store the document.

Oracle XML DB checks the document file name extension for .xml, .xsl, .xsd, and so on. If the document is XML then a pre-parse step is done, whereby enough of the resource is read to determine the XML schemaLocation and namespace of the root element in the document. If a registered schema is located at the schemaLocation URL, and it has a definition for the root element of the current document, then the default table specified for that root element is used to store the contents of the resource.

## 21.4.1.5 Internet Protocols and XMLType: XMLType Direct Stream Write

Oracle XML DB supports Internet protocols at the  $\tt XMLType$  level by using Java  $\tt XMLType$  method  $\tt writeToStream()$ . This method is implemented natively and writes  $\tt XMLType$  data directly to the protocol request stream.

This avoids Java VM execution costs and the overhead of converting database data through Java data types and creating Java objects, resulting in significantly higher performance. Performance is further enhanced if the Java code deals only with XML element trees that are close to the root, and does not traverse too many of the leaf elements, so that relatively few Java objects are created.

## **Related Topics**

Repository Access Using Protocols
 You can access Oracle XML DB Repository data using protocols FTP and
 HTTP(S)/WebDAV.

# 21.4.2 Access to Oracle ASM Files Using Protocols and Resource APIs – For DBAs

Oracle Automatic Storage Management (Oracle ASM) organizes database files into *disk groups* for simplified management, database mirroring, and I/O balancing. Repository access extends to Oracle ASM files, in the *virtual* repository folder /sys/asm. This access is reserved for database administrators (DBAs). It is *not* intended for developers.



A typical use of such access is to copy Oracle ASM files from one database instance to another. For example, a DBA can view folder <code>/sys/asm</code> in a graphical user interface using the WebDAV protocol, and then drag-and-drop a copy of a data-pump dump set from an Oracle ASM disk group to an operating-system file system.

Virtual folder /sys/asm is created by default during Oracle XML DB installation. If the database is not configured to use Oracle ASM, the folder is empty and no operations are permitted on it.

Folder /sys/asm contains folders and subfolders that follow the hierarchy defined by the structure of an Oracle ASM *fully qualified filename*:

- It contains a subfolder for each mounted disk group.
- A disk-group folder contains a subfolder for each database that uses that disk group. In addition, a disk-group folder may contain files and folders corresponding to Oracle ASM aliases created by the administrator.
- A database folder contains file-type folders.
- A file-type folder contains Oracle ASM files, which are binary.

This hierarchy is shown in Figure 21-5, which omits directories created for aliases, for simplicity.

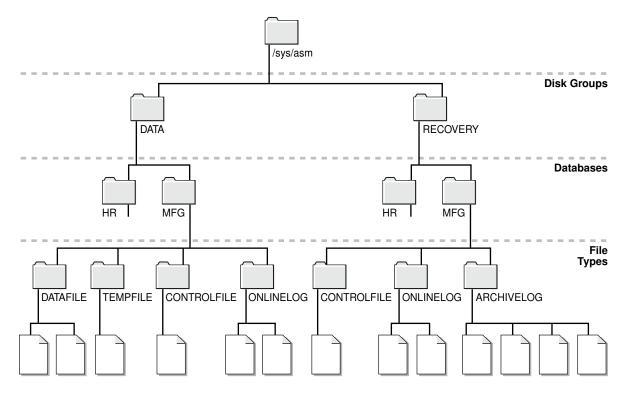


Figure 21-5 Oracle ASM Virtual Folder Hierarchy

The following usage restrictions apply to virtual folder /sys/asm. You cannot:

- query /sys/asm using SQL
- put regular files under /sys/asm (you can put only Oracle ASM files there)



- move (rename) an Oracle ASM file to a different Oracle ASM disk group or to a folder outside Oracle ASM
- create hard links to existing Oracle ASM files or directories

#### In addition:

- You must have the privileges of role DBA to view folder /sys/asm.
- To access /sys/asm using Oracle XML DB protocols, you must log in as a user other than sys.

Again, Oracle ASM virtual-folder operations are intended only for *database administrators*, not developers.

## See Also:

- Using FTP with Oracle ASM Files for an example of using protocol FTP with /sys/asm
- Oracle Automatic Storage Management Administrator's Guide for information about the syntax of a fully qualified Oracle ASM filename and details on the virtual folder structure

# 21.5 Query-Based Access to Repository Resources

PL/SQL package DBMS\_XDB\_REPOS provides subprograms that act on Oracle XML DB Repository resources. This API is based on the public views RESOURCE\_VIEW and PATH\_VIEW, which enable SQL access to repository data through protocols such as FTP and HTTP(S)/WebDAV.

- PATH\_VIEW Has one row for each unique repository path
- RESOURCE\_VIEW Has one row for each resource

Through these views, you can access and update both the metadata and the content of documents stored in the repository. Operations on the views use underlying repository tables such as XDB\$RESOURCE.

Each view contains virtual column RES. You use column RES to access and update resource documents using SQL statements that accept a repository path notation.

View RESOURCE\_VIEW contains column ANY\_PATH. Column ANY\_PATH contains a valid URL that the current user can pass to PL/SQL constructor XDBURIType to access the resource content. If this content is not binary data, then the resource itself also contains the content.

Table 21-2 summarizes the differences between the views.

Table 21-2 Differences Between PATH\_VIEW and RESOURCE\_VIEW

PATH_VIEW	RESOURCE_VIEW
Contains link properties	No link properties
Has one row for each unique path in repository	Has one row for each resource in repository



Rows in these views are of data type XMLType. In the RESOURCE\_VIEW, the single path associated with a resource is arbitrarily chosen from among the possible paths that refer to the resource. Oracle XML DB provides SQL functions, such as under\_path, that let applications search for the resources contained within a particular folder (recursively), obtain the resource depth, and so on.

DML code can be used on the repository views to insert, rename, delete, and update resource properties and contents. Programmatic APIs must be used for other operations, such as creating links to existing resources.

Oracle XML DB supports the concept of **linking**. Linking makes it possible to define multiple paths to a given document. A separate XML document, called the **link-properties document**, maintains metadata properties that are specific to the path, rather than to the resource. Whenever a resource is created, an initial link is also created.

PATH\_VIEW exposes the link-properties documents. There is one entry in PATH\_VIEW for each possible path to a document. Column RES of PATH\_VIEW contains the resource document pointed to by this link. Column PATH contains the path that the link lets you use to access the resource. Column LINK contains the link-properties document (metadata) for this PATH.

## **Related Topics**

- Link Types
  - Links in Oracle XML DB can be repository links or document links. Repository links can be hard links or weak links. Document links can also be hard links or weak links, when their targets are repository resources.
- Repository Access Using RESOURCE\_VIEW and PATH\_VIEW
   Predefined public views RESOURCE\_VIEW and PATH\_VIEW provide access to Oracle
   XML DB repository data. You can use Oracle SQL functions under\_path and equals\_path to query resources based on their path names, and functions path and depth to return resource path names and depths.

## See Also:

- Repository Access Control
- Oracle Database Reference for more information about view PATH VIEW
- Oracle Database Reference for more information about view RESOURCE\_VIEW

# 21.6 Servlet Access to Repository Resources

Oracle XML DB implements Java Servlet API, version 2.2.

Support is limited by these restrictions:

- All servlets must be distributable. They must expect to run in different virtual machines.
- WAR and web.xml files are not supported. Oracle XML DB supports a subset of the XML configurations in this file. An XSLT stylesheet can be applied to the



web.xml to generate servlet definitions. An external tool must be used to create database roles for those defined in the web.xml file.

- JSP (Java Server Pages) support can be installed as a servlet and configured manually.
- HTTPSession and related classes are not supported.
- Only one servlet context (that is, one Web application) is supported.

## **Related Topics**

Guidelines for Oracle XML DB Applications in Java
 Design guidelines are presented for writing Oracle XML DB applications in Java.
 This includes guidelines for writing and configuring Java servlets for Oracle XML DB

# 21.7 Operations on Repository Resources

You can operate on data stored in Oracle XML DB Repository resources using Java, PL/SQL, and Internet protocols. The most common operations are described, along with the required database permissions to use them.

You can access repository data in any of these ways:

- Oracle XML DB resource APIs for Java
- A combination of Oracle XML DB resource views API and Oracle XML DB resource API for PL/SQL
- Internet protocols (HTTP(S)/WebDAV and FTP) and Oracle XML DB protocol server

These access methods can be used equivalently. It does not matter how you add content to the repository or retrieve it from there. For example, you can add content to the repository using SQL or PL/SQL and then retrieve it using an Internet protocol, or the other way around.

Table 21-3 lists common Oracle XML DB Repository operations, and describes how these operations can be accomplished using each of several access methods. The table shows functionality common to the different methods, but not all of the methods are equally suited to any particular task. Unless mentioned otherwise, "resource" in this table can be either a file resource or a folder resource.

Table 21-3 also shows the resource privileges that are required for each operation.



 Table 21-3
 Accessing Oracle XML DB Repository: API Options

Data Access	SQL and PL/SQL	Protocols	Resource Privileges Required
Create resource	<pre>DBMS_XDB_REPOS.createResource(   '/public/T1/testcase.txt',   'ORIGINAL text'); INSERT INTO RESOURCE_VIEW (ANY_PATH, RES) SELECT '/public/T1/copy1.txt', RES   FROM RESOURCE_VIEW WHERE equals_path(RES,</pre>	HTTP:PUT; FTP: PUT	DAV::bind on parent folder
Update resource contents	<pre>UPDATE RESOURCE_VIEW SET RES = XMLQuery(   'declare default element namespace   "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)   copy \$i := \$p1 modify     (for \$j in \$i/Resource/Contents/text       return replace value of node \$j with \$p2)   return \$i'   PASSING RES AS "p1", 'NEW text' AS "p2"   RETURNING CONTENT) WHERE equals_path(RES, '/public/T1/copy1.txt') = 1</pre>	HTTP: PUT;	xdb:write- content on resource
Update resource properties	<pre>UPDATE RESOURCE_VIEW SET RES = XMLQuery(   'declare default element namespace   "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)   copy \$i := \$p1 modify     (for \$j in \$i/Resource/DisplayName       return replace value of node \$j with \$p2)   return \$i'   PASSING RES AS "p1", 'NewName1.txt' AS "p2" RETURNING CONTENT) WHERE equals_path(RES, '/public/T1/copy1.txt') = 1;</pre>	WebDAV: PROPPATCH;	DAV::write- properties on resource
Update resource ACL	<pre>EXEC DBMS_XDB_REPOS.setACL(   '/public/T1/copy1.txt',   '/sys/acls/all_owner_acl.xml');</pre>	not applicable	DAV::write- acl on resource



Table 21-3 (Cont.) Accessing Oracle XML DB Repository: API Options

Data Access	SQL and PL/SQL	Protocols	Resource Privileges Required
Unlink resource (delete if last link)	<pre>EXEC DBMS_XDB_REPOS.deleteResource()  or  DELETE FROM RESOURCE_VIEW   WHERE equals_path(RES, path) &gt; 0</pre>	HTTP: DELETE; FTP: delete	DAV::unbind on parent folder xdb:unlink- from On resource
Forcibly remove all links to resource	<pre>DBMS_XDB_REPOS.deleteResource()  or  DELETE FROM PATH_VIEW WHERE XMLCast(    XMLQuery(     'declare namespace n1=      "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)      //n1:DisplayName'    PASSING RES RETURNING CONTENT) AS VARCHAR2(256)) = 'My resource'</pre>	FTP: quote rm_rf resource	DAV::unbind on all parent folders xdb:unlink- from on resource
Move resource	<pre>UPDATE PATH_VIEW   SET path = '/public/T1/copy2.txt'   WHERE equals_path(RES,</pre>	WebDAV: MOVE; FTP: rename	DAV::unbind on source parent folder DAV::bind on target parent folder xdb:unlink- from and xdb:link-to on resource

Table 21-3 (Cont.) Accessing Oracle XML DB Repository: API Options

Data Access	SQL and PL/SQL	Protocols	Resource Privileges Required
Сору		WebDAV:	Copy to new:
resource	<pre>INSERT INTO PATH_VIEW (path, RES, link) SELECT '/public/T1/copy3.txt', RES, link FROM PATH_VIEW</pre>	COPY;	DAV::bind on target parent folder
	<pre>WHERE equals_path(RES, '/public/T1/copy2.txt')</pre>		DAV::read on resource
			Copy to existing (replacement ):
			DAV::read on resource
			DAV::write- properties and DAV::write- content on existing target resource
Create hard link to existing	<pre>EXEC DBMS_XDB_REPOS.link('/public/T1/copy3.txt',</pre>	not applicable	DAV::bind on parent folder
resource	'myhardlink');		xdb:link-to on resource
Create weak link to existing	<pre>EXEC DBMS_XDB_REPOS.link(   '/public/T1/copy3.txt',</pre>	not applicable	DAV::bind on parent folder
resource	'/public/T1', 'myweaklink', DBMS_XDB_REPOS.LINK_TYPE_WEAK);		xdb:link-to on resource
Change owner of resource	<pre>UPDATE RESOURCE_VIEW SET RES = XMLQuery(   'copy \$i := \$p1 modify      (for \$j in \$i/Resource/Owner      return replace value of node \$j with \$p2)</pre>	not applicable	DAV::take- ownership on resource
	return \$i'  PASSING RES AS "p1", 'U2' AS "p2" RETURNING CONTENT)  WHERE equals_path(RES, '/public/T1/copy3.txt') = 1;		

Table 21-3 (Cont.) Accessing Oracle XML DB Repository: API Options

Data Access	SQL and PL/SQL	Protocols	Resource Privileges Required
Get binary or text representa tion of resource contents	<pre>SELECT XDBURIType(path).getBLOB() FROM DUAL;  SELECT   XMLQuery(    'declare default element namespace     "http://xmlns.oracle.com/xdb/XDBResource.xsd";(: :)     \$r/Resource/Contents'     PASSING RES AS "r" RETURNING CONTENT) FROM RESOURCE_VIEW WHERE equals_path(RES, '/public/T1/copy2.text') = 1;</pre>	HTTP: GET; FTP: get	xdb:read- contents on resource
Get  XMLType representa tion of resource	<pre>SELECT XDBURIType('/public/T1/res.xml').getXML FROM DUAL;</pre>	not applicable	xdb:read- contents on resource
contents	<pre>SELECT   XMLQuery(    'declare default element namespace    "http://xmlns.oracle.com/xdb/XDBResource.xsd";(: :)    \$r/Resource/Contents/*'   PASSING RES AS "r" RETURNING CONTENT) FROM RESOURCE_VIEW WHERE equals_path(RES, '/public/T1/res.xml') = 1;</pre>		
Get resource properties	<pre>SELECT XMLCast(    XMLQuery(     'declare default element namespace     "http://xmlns.oracle.com/xdb/XDBResource.xsd";(: :)     \$r/Resource/LastModifier'    PASSING RES AS "r" RETURNING CONTENT)    AS VARCHAR2(128))FROM RESOURCE_VIEW WHERE equals_path(RES, '/public/T1/res.xml') = 1;</pre>	<pre>WebDAV: PROPFIND (depth = 0);</pre>	xdb:read- properties on resource
List resources in folder	<pre>SELECT PATH FROM PATH_VIEW WHERE under_path(res, '/public/T1') = 1;</pre>	<pre>WebDAV: PROPFIND (depth = 0);</pre>	xdb:read- contents on folder
Create folder	<pre>Call DBMS_XDB_REPOS.createFolder('/public/T2');</pre>	WebDAV: MKCOL; FTP: mkdir	DAV::bind on parent folder

Table 21-3 (Cont.) Accessing Oracle XML DB Repository: API Options

Data Access	SQL and PL/SQL	Protocols	Resource Privileges Required
Unlink empty folder	DBMS_XDB_REPOS.deleteResource('/public/T2')	HTTP: DELETE; FTP: rmdir	DAV::unbind on parent folder xdb:unlink- from on resource
Forcibly delete folder and all links to it	<pre>DBMS_XDB_REPOS.deleteResource(   '/public/T2',   DBMS_XDB.DELETE_RECURSIVE_FORCE);</pre>	not applicable	DAV::unbind on all parent folders xdb:unlink- from on folder resource
Get resource with a row lock	SELECT FROM RESOURCE_VIEW FOR UPDATE;	not applicable	xdb:read- properties and xdb:read- contents On resource
Add WebDAV lock on resource	<pre>EXEC DBMS_XDB_REPOS.LockResource(   '/public/T1/res.xml',   TRUE,   TRUE);</pre>	WebDAV: LOCK; FTP: quote lock	DAV::write- properties on resource
Remove WebDAV lock	<pre>DECLARE  BEGIN     DBMS_XDB_REPOS.GetLockToken('/public/T1/res.xml',</pre>	WebDAV: UNLOCK; FTP: quote unlock	DAV::write- properties and DAV::unlock on resource
Check out file resource	<pre>EXEC DBMS_XDB_VERSION.checkOut(   '/public/T1/res.xml');</pre>	not applicable	DAV::write- properties on resource
Check in file resource	<pre>EXEC DBMS_XDB_VERSION.checkIn(   '/public/T1/res.xml');</pre>	not applicable	DAV::write- properties on resource
Uncheck out file resource	<pre>EXEC DBMS_XDB_VERSION.unCheckOut(   '/public/T1/res.xml');</pre>	not applicable	DAV::write- properties on resource

Table 21-3 (Cont.) Accessing Oracle XML DB Repository: API Options

Data Access	SQL and PL/SQL	Protocols	Resource Privileges Required
Make file resource versioned	<pre>EXEC DBMS_XDB_VERSION.makeVersioned(   '/public/T1/res.xml');</pre>	not applicable	DAV::write- properties on resource
Remove an event handler	DBMS_XEVENT.remove	not applicable	xdb:write- config on resource or parent folder (depending on the context)
Commit changes	COMMIT;	Automatic commit after each request	not applicable
Rollback changes	ROLLBACK;	not applicable	not applicable

In addition to the privileges listed in Table 21-3, privilege xdb:read-properties is required on each resource affected by an operation. Operations that affect the parent folder of a resource, in addition to the resource targeted by the operation, also require privilege xdb:read-properties on that parent folder. For example, deleting a resource affects both the resource to delete and its parent folder, so you need privilege xdb:read-properties on both the resource and its parent folder.

## **Related Topics**

- Repository Access Using RESOURCE\_VIEW and PATH\_VIEW
   Predefined public views RESOURCE\_VIEW and PATH\_VIEW provide access to Oracle
   XML DB repository data. You can use Oracle SQL functions under\_path and equals\_path to query resources based on their path names, and functions path and depth to return resource path names and depths.
- PL/SQL Access to Oracle XML DB Repository
   PL/SQL packages DBMS\_XDB\_CONFIG and DBMS\_XDB\_REPOS together provide the
   Oracle XML DB resource application program interface (API) for PL/SQL. You use
   the former to configure Oracle XML DB and its repository. You use the latter to
   perform other, non-configuration operations on the repository.
- Repository Access Using Protocols
   You can access Oracle XML DB Repository data using protocols FTP and
   HTTP(S)/WebDAV.



## See Also:

- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL package DBMS\_XDB\_REPOS
- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL package DBMS\_XDB\_VERSION
- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL package DBMS\_XEVENT

# 21.8 Accessing the Content of Repository Resources Using SQL

In SQL you can access the content of a document in Oracle XML DB Repository using PL/SQL constructor XDBURIType or using RESOURCE\_VIEW and the corresponding resource document.

The easiest way is to use XDBURITYPE. You pass a URL to this constructor to specify which resource to access. The URL is assumed to start at the root of the repository. Object type XDBURITYPE provides methods getBLOB(), getCLOB(), and getXML(), to access the different kinds of content that can be associated with a resource.

Example 21-4 uses constructor XDBURIType to access the content of a text document.

The content of a document can also be accessed using RESOURCE\_VIEW and the corresponding resource document. Example 21-5 does this to access the content of a text document.

The content of XML documents (XML Schema-based or non-schema-based) can also be accessed this way. Example 21-6 uses an XPath expression that includes nodes from an XML document and nodes from the corresponding resource document to access the contents of a PurchaseOrder document.

In Example 21-6, the namespace prefix, r identifies which nodes in the XPath expression are members of the resource namespace. Namespace prefix r is defined using the XMLNAMESPACES clause of SQL/XML function XMLTable. The namespace declaration is needed here because the purchase-order XML schema does not define a namespace, and it is not possible to apply a namespace prefix to nodes in the PurchaseOrder document.

## See Also:

XQuery and Oracle XML DB for more information about the XMLNAMESPACES clause of XMLTable



# Example 21-4 Accessing a Text Document in the Repository Using XDBURITYPE

## Example 21-5 Accessing Resource Content Using RESOURCE\_VIEW

```
SELECT CONTENT

FROM RESOURCE_VIEW,

XMLTable(XMLNAMESPACES (default 'http://xmlns.oracle.com/xdb/XDBResource.xsd'),

'/Resource/Contents' PASSING RES

COLUMNS content CLOB PATH 'text')

WHERE equals_path(RES, '/home/QUINE/NurseryRhyme.txt') = 1;

CONTENT
------
Mary had a little lamb
Its fleece was white as snow
and everywhere that Mary went
that lamb was sure to go

1 row selected.
```

#### Example 21-6 Accessing XML Documents Using Resource and Namespace Prefixes

# 21.9 Access to the Content of XML Schema-Based Documents

You can access the content of an XML Schema-based document in the same way as for a non-schema-based document: use the corresponding resource document. Or you can access it as a row in the default table that was defined when the XML schema was registered with Oracle XML DB.

In the first case, you can use RESOURCE\_VIEW to query different types of XML Schemabased documents with a single SQL statement.

Accessing Resource Content Using Element XMLRef in Joins
 Element XMLRef in a resource document provides the join key required when a
 SQL statement needs to access or update metadata and content as part of a
 single operation.

## 21.9.1 Accessing Resource Content Using Element XMLRef in Joins

Element XMLRef in a resource document provides the join key required when a SQL statement needs to access or update metadata and content as part of a single operation.

Examples here show queries that access resource content using joins based on the value of element XMLRef.

Example 21-7 locates a row in the defaultTable based on a path in Oracle XML DB Repository. SQL function ref locates the target row in the default table, based on the value of the XMLRef element in the resource document, RES.

Example 21-8 shows how to select fragments from XML documents based on metadata, path, and content. The query returns the value of element Reference for documents under /home/QUINE/PurchaseOrders/2002/Mar that contain orders for part number 715515009058.

In general, when accessing the content of schema-based XML documents, joining RESOURCE\_VIEW or PATH\_VIEW with the default table is more efficient than using RESOURCE\_VIEW or PATH\_VIEW on its own. An explicit join between the resource document and the default table tells Oracle XML DB that the SQL statement works on only one type of XML document. XPath rewrite can thus be used to optimize operations on the default table and the resource.

# Example 21-7 Querying Repository Resource Data Using SQL Function REF and Element XMLRef

```
SELECT des.description
 FROM RESOURCE VIEW rv,
      purchaseorder p.
      XMLTable('$p/PurchaseOrder/LineItems/LineItem' PASSING p.OBJECT_VALUE AS "p"
               COLUMNS description VARCHAR2(256) PATH 'Description') des
 WHERE
   equals_path(rv.RES,
                '/home/QUINE/PurchaseOrders/2002/Mar/SBELL-2002100912333601PDT.xml')
   = 1
   AND ref(p) = XMLCast(XMLQuery('declare default element namespace
                                   "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                                   fn:data(/Resource/XMLRef)'
                                  PASSING rv.RES RETURNING CONTENT)
                         AS REF XMLType);
DES.DESCRIPTION
A Night to Remember
The Unbearable Lightness Of Being
The Wizard of Oz
3 rows selected.
```



## Example 21-8 Selecting XML Document Fragments Based on Metadata, Path, and Content

```
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/Reference'
                        PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
              AS VARCHAR2(30))
 FROM RESOURCE_VIEW rv, purchaseorder po
 WHERE under_path(rv.RES, '/home/QUINE/PurchaseOrders/2002/Mar') = 1
   AND ref(po) =
         XMLCast(
           XMLQuery('declare default element namespace
                      "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                      fn:data(/Resource/XMLRef)'
                     PASSING rv.RES RETURNING CONTENT)
           AS REF XMLType)
   AND XMLExists(
          '$p/PurchaseOrder/LineItems/LineItem/Part[@Id="715515009058"]'
         PASSING po.OBJECT_VALUE AS "p");
XMLCAST(XMLQUERY('$P/PURCHASEO
CJOHNSON-20021009123335851PDT
LSMTTH-2002100912333661PDT
SBELL-2002100912333601PDT
3 rows selected.
```

# 21.10 Update of the Content of Repository Documents

You can update the content of documents stored in Oracle XML DB Repository using Internet protocols or SQL.

- Update of Repository Content Using Internet Protocols
   The most popular content authoring tools support HTTP, FTP, and WebDAV protocols. Given appropriate access permissions, a simple URL targeting a document to update is all you need, to access and edit content stored in Oracle XML DB Repository.
- Update of Repository Content Using SQL
  You can use XQuery Update to update the content of any document stored in
  Oracle XML DB Repository. The content of the document can be modified by
  updating the resource document or by updating the default table that holds the
  content of the document.

# 21.10.1 Update of Repository Content Using Internet Protocols

The most popular content authoring tools support HTTP, FTP, and WebDAV protocols. Given appropriate access permissions, a simple URL targeting a document to update is all you need, to access and edit content stored in Oracle XML DB Repository.

Popular content authoring tools can use HTTP verb get to access the content of a document, given a URL to it, and they can use HTTP verb put to save the updated content.

Figure 21-6 shows how, with the WebDAV support included in Microsoft Word, you can use Microsoft Word to update and edit a document stored in Oracle XML DB Repository.

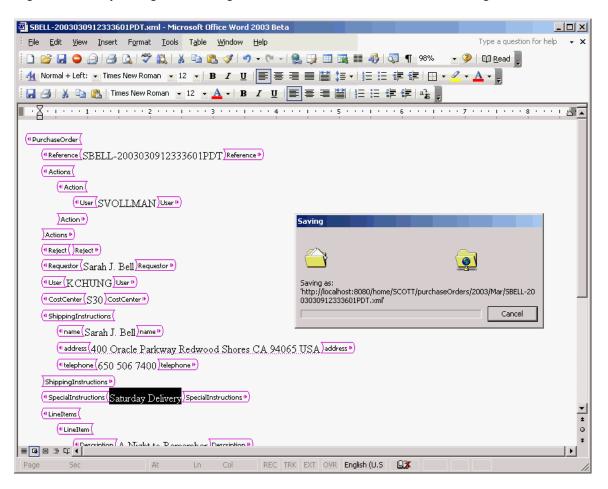


Figure 21-6 Updating and Editing Content Stored in Oracle XML DB Using Microsoft Word

When an editing application such as Microsoft Word updates an XML document that is stored in Oracle XML DB, the database receives an input stream containing the new content of the document. Unfortunately, applications such as Word do not provide Oracle XML DB with any way of identifying which changes have taken place in the document. Partial updates are thus impossible. It is necessary to parse the entire document again, replacing all of the objects derived from the original document with objects derived from the new content.

## 21.10.2 Update of Repository Content Using SQL

You can use XQuery Update to update the content of any document stored in Oracle XML DB Repository. The content of the document can be modified by updating the resource document or by updating the default table that holds the content of the document.

Updating a Document in the Repository by Updating Its Resource Document
You can update the content of a document using a SQL UPDATE statement and
SQL function XMLQuery with XQuery Update. An XQuery expression is passed to
XMLQuery as the target of the update operation.

 Updating an XML Schema-Based Document in the Repository by Updating the Default Table

You can update XML Schema-based documents by performing an update operation directly on the default table that is used to manage the content of the document.

## 21.10.2.1 Updating a Document in the Repository by Updating Its Resource Document

You can update the content of a document using a SQL UPDATE statement and SQL function XMLQuery with XQuery Update. An XQuery expression is passed to XMLQuery as the target of the update operation.

Example 21-9 updates the content of a simple text document. The XQuery expression passed to XMLQuery as the target of the update operation identifies the text node as belonging to element /Resource/Contents/text.

This technique for updating the content of a document by updating the associated resource has the advantage that it can be used to update any kind of document stored in Oracle XML DB Repository.

Example 21-10 updates a node in an XML document by performing a SQL UPDATE operation on the corresponding resource document. Here, XQuery Update is used to change the value of the text node associated with element User.

## Example 21-9 Updating a Text Document Using UPDATE and XQuery Update on the Resource

```
DECLARE
 file
              BFILE;
 contents
              CLOB;
 dest_offset NUMBER := 1;
  src offset NUMBER := 1;
 lang_context NUMBER := 0;
 conv_warning NUMBER := 0;
BEGIN
 file := bfilename('XMLDIR', 'tdadxdb-03-02.txt');
 DBMS_LOB.createTemporary(contents, true, DBMS_LOB.SESSION);
 DBMS_LOB.fileopen(file, DBMS_LOB.file_readonly);
 DBMS_LOB.loadClobfromFile(contents,
                            file,
                            DBMS_LOB.getLength(file),
                            dest_offset,
                            src offset,
                            nls_charset_id('AL32UTF8'),
                            lang_context,
                            conv_warning);
 DBMS LOB.fileclose(file);
 UPDATE RESOURCE_VIEW
    SET RES =
     XMLQuery('declare default element namespace
                  "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                copy $i := $p1 modify
                  (for $j in $i/Resource/Contents/text
                   return replace value of node $j with $p2)
```

#### Example 21-10 Updating an XML Node Using UPDATE and XQuery Update on the Resource

```
UPDATE RESOURCE VIEW
  SET RES =
   XMLQuery('declare namespace r="http://xmlns.oracle.com/xdb/XDBResource.xsd";
              copy $i := $p1 modify
                (for $j in $i/r:Resource/r:Contents/PurchaseOrder/User
                 return replace value of node $j with $p2)
              return $i'
             PASSING RES AS "p1", 'SKING' AS "p2" RETURNING CONTENT)
   WHERE equals_path(res, '/home/QUINE/PurchaseOrders/2002/Mar/
SBELL-2002100912333601PDT.xml')
          = 1;
1 row updated.
SELECT XMLCast(XMLQuery(
                 'declare namespace ns="http://xmlns.oracle.com/xdb/XDBResource.xsd";
(::)
                  $r/ns:Resource/ns:Contents/PurchaseOrder/User/text()'
                 PASSING RES AS "r" RETURNING CONTENT)
               AS VARCHAR2(32))
  FROM RESOURCE VIEW
 WHERE equals_path(RES,
                    '/home/QUINE/PurchaseOrders/2002/Mar/
SBELL-2002100912333601PDT.xml')
        = 1;
XMLCAST(XMLQUERY('DECLARENAMESPA
SKING
1 row selected.
```

## 21.10.2.2 Updating an XML Schema-Based Document in the Repository by Updating the Default Table

You can update XML Schema-based documents by performing an update operation directly on the default table that is used to manage the content of the document.

If the document must be located by a WHERE clause that includes a path or conditions based on metadata, then the SQL UPDATE statement must use a join between the resource and the default table.

In general, when updating the content of XML Schema-based documents, joining the RESOURCE\_VIEW or PATH\_VIEW with the default table is more efficient than using the RESOURCE\_VIEW or PATH\_VIEW on its own. The explicit join between the resource

document and the default table tells Oracle XML DB that the SQL statement works on only one type of XML document. This lets a partial update be used on the default table and resource.

In Example 21-11, XQuery Update is used on the default table, with the target row identified by a path. The row to be updated is identified by a REF. The REF is identified by a repository path using Oracle SQL function equals\_path. This limits the update to the row corresponding to the resource identified by the specified path.

#### Example 21-11 Updating XML Schema-Based Documents in the Repository

```
UPDATE purchaseorder p
 SET p.OBJECT VALUE =
   XMLQuery('copy $i := $p1 modify
                (for $j in $i/PurchaseOrder/User
                return replace value of node $j with $p2)
            PASSING p.OBJECT_VALUE AS "p1", 'SBELL' AS "p2" RETURNING CONTENT)
   WHERE ref(p) =
     (SELECT XMLCast(XMLQuery('declare default element namespace
                                 "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                               fn:data(/Resource/XMLRef)'
                              PASSING rv.RES RETURNING CONTENT) AS REF XMLType)
        FROM RESOURCE_VIEW rv
         WHERE
           equals_path(
            rv.RES,
             '/home/QUINE/PurchaseOrders/2002/Mar/SBELL-2002100912333601PDT.xml')
           = 1);
SELECT XMLCast(XMLQuery('$p/PurchaseOrder/User/text()'
                       PASSING p.OBJECT_VALUE AS "p" RETURNING CONTENT)
              AS VARCHAR2(32))
 FROM purchaseorder p, RESOURCE_VIEW rv
 WHERE ref(p) = XMLCast(XMLQuery('declare default element namespace
                                "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                               fn:data(/Resource/XMLRef)'
                              PASSING rv.RES RETURNING CONTENT)
                      AS REF XMLType)
   AND equals_path(
         rv.RES,
          '/home/QUINE/PurchaseOrders/2002/Mar/SBELL-2002100912333601PDT.xml')
XMLCAST(XMLQUERY('$P/PURCHASEO
SBELL
```

# 21.11 Querying Resources in RESOURCE\_VIEW and PATH\_VIEW

Examples here illustrate folder-restricted queries of the repository using RESOURCE\_VIEW and PATH\_VIEW together with Oracle SQL functions equals\_path and under\_path.

Oracle XML DB provides two Oracle SQL functions, <code>equals\_path</code> and <code>under\_path</code>, that you can use to perform folder-restricted queries. Such queries limit SQL statements that operate on the <code>RESOURCE\_VIEW</code> or <code>PATH\_VIEW</code> to documents that are at a particular location in Oracle XML DB folder hierarchy.



Function equals\_path restricts the statement to a single document identified by the specified path. Function under\_path restricts the statement to those documents that exist beneath a certain point in the hierarchy.

Examples here demonstrate simple folder-restricted queries against resource documents stored in RESOURCE\_VIEW and PATH\_VIEW.

The query in Example 21-12 uses SQL function <code>equals\_path</code> and <code>RESOURCE\_VIEW</code> to access a resource. The resource queried is that which results from the update operation of Example 21-9: the original resource text shown in Example 21-4 and Example 21-5 has been replaced by a different nursery rhyme, "Hickory Dickory Dock..."

As Example 21-12 shows, a resource document is an XML document that captures the set of metadata defined by the DAV standard. The metadata includes information such as CreationDate, Creator, Owner, ModificationDate, and DisplayName. The content of the resource document can be queried and updated just like any other XML document, using SQL/XML access and query functions.

The query in Example 21-13 finds a path to each of the XSL stylesheets stored in Oracle XML DB Repository. It performs a search based on the DisplayName ending in .xsl.

The query in Example 21-14 counts the number of resources (files and folders) under the path <code>/home/QUINE/PurchaseOrders</code>. Using <code>RESOURCE\_VIEW</code> rather than <code>PATH\_VIEW</code> ensures that any resources that are the target of multiple links are only counted once. SQL function <code>under\_path</code> restricts the result set to documents that can be accessed using a path that starts from <code>/home/QUINE/PurchaseOrders</code>.

The query in Example 21-15 lists the contents of the folder identified by path /home/QUINE/PurchaseOrders/2002/Apr. This is effectively a directory listing of the folder.

The query in Example 21-16 lists the set of links contained in the folder identified by the path /home/QUINE/PurchaseOrders/2002/Apr where the DisplayName element in the associated resource starts with S.

The query in Example 21-17 finds a path to each resource in Oracle XML DB Repository that contains a PurchaseOrder document. The documents are identified based on the metadata property SchemaElement that identifies the XML schema URL and global element for schema-based XML data stored in the repository.

### Example 21-12 Accessing Resources Using EQUALS\_PATH and RESOURCE\_VIEW

```
SELECT XMLSerialize(DOCUMENT r.res AS CLOB)
 FROM RESOURCE_VIEW r
 WHERE equals_path(res, '/home/QUINE/NurseryRhyme.txt') = 1;
XMLSERIALIZE (DOCUMENTR.RESASCLOB)
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd"</pre>
         Hidden="false"
         Invalid="false"
         Container="false"
          CustomRslv="false"
         VersionHistory="false"
         StickyRef="true">
  <CreationDate>2005-06-13T13:19:20.566623</CreationDate>
  <ModificationDate>2005-06-13T13:19:22.997831</modificationDate>
  <DisplayName>NurseryRhyme.txt
  <Language>en-US</Language>
  <CharacterSet>UTF-8</CharacterSet>
```



```
<ContentType>text/plain</ContentType>
  <RefCount>1</RefCount>
  <ACL>
    <acl description=
         "Private: All privileges to OWNER only and not accessible to others"
        xmlns="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:dav="DAV:"
        xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
        xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
        http://xmlns.oracle.com/xdb/acl.xsd"
        shared="true">
        <grant>true</grant>
        <principal>dav:owner</principal>
        <privilege>
          <all/>
        </privilege>
      </ace>
    </acl>
  </ACL>
  <Owner>QUINE</Owner>
  <Creator>QUINE</Creator>
  <LastModifier>QUINE</LastModifier>
  <SchemaElement>http://xmlns.oracle.com/xdb/XDBSchema.xsd#text</SchemaElement>
    <text>Hickory Dickory Dock
The Mouse ran up the clock
The clock struck one
The Mouse ran down
Hickory Dickory Dock
   </text>
  </Contents>
</Resource>
1 row selected.
```

## Example 21-13 Determining the Path to XSLT Stylesheets Stored in the Repository

### Example 21-14 Counting Resources Under a Path

```
SELECT count(*)
   FROM RESOURCE_VIEW
   WHERE under_path(RES, '/home/QUINE/PurchaseOrders') = 1;

COUNT(*)
------
145
```



1 row selected.

#### Example 21-15 Listing the Folder Contents in a Path

```
FROM PATH_VIEW
WHERE under_path(RES, '/home/QUINE/PurchaseOrders/2002/Apr') = 1;

PATH

/home/QUINE/PurchaseOrders/2002/Apr/AMCEWEN-20021009123336171PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/AMCEWEN-20021009123336271PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/EABEL-20021009123336251PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/PTUCKER-20021009123336191PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/PTUCKER-20021009123336291PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SBELL-20021009123336331PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SBELL-20021009123336331PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SBELL-20021009123336331PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SKING-20021009123336321PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SMCCAIN-20021009123336341PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SMCCAIN-20021009123336341PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SMCCAIN-20021009123336301PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/SMCCAIN-20021009123336301PDT.xml
/home/QUINE/PurchaseOrders/2002/Apr/VJONES-20021009123336301PDT.xml
```

#### Example 21-16 Listing the Links Contained in a Folder

#### Example 21-17 Finding Paths to Resources that Contain Purchase-Order XML Documents

```
SELECT ANY_PATH

FROM RESOURCE_VIEW

WHERE XMLExists(

'declare namespace ns="http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)

$r/ns:Resource[ns:SchemaElement=

"http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd#PurchaseOrder"]'

PASSING RES AS "r");
```



5 rows selected.

The query returns the following paths, each of which contains a PurchaseOrder document:

## 21.12 Oracle XML DB Hierarchical Repository Index

Oracle XML DB uses a hierarchical index for Oracle XML DB Repository, to optimize the performance of path-based and folder-restricted queries of the repository. It is implemented as an Oracle domain index.

In a conventional relational database, path-based access and folder-restricted queries are implemented using CONNECT BY operations. Such queries are expensive, so path-based access and folder-restricted queries can become inefficient as the number of documents and depth of the folder hierarchy increases.

To address this issue, Oracle XML DB introduces a new index type, the **hierarchical repository index**. This lets the database resolve folder-restricted queries without relying on a CONNECT BY operation. Because of this, Oracle XML DB can execute path-based and folder-restricted queries efficiently. The hierarchical repository index is implemented as an Oracle domain index. This is the same technique used to add Oracle Text indexing support and many other advanced index types to the database.

Example 21-18 shows the execution plan output generated for a folder-restricted query. As shown, the hierarchical repository index XDBHI\_IDX is used to resolve the query.

#### Example 21-18 Execution Plan Output for a Folder-Restricted Query



	0	SELECT STATEMENT		17	3111	34	(6)   00:00:01
	1	NESTED LOOPS		17	3111	34	(6)   00:00:01
	2	NESTED LOOPS		17	2822	34	(6)   00:00:01
ĺ	3	NESTED LOOPS		466	63842	34	(6) 00:00:01
*	4	TABLE ACCESS BY INDEX ROWID	XDB\$RESOURCE	1	135	3	(0)   00:00:01
*	5	DOMAIN INDEX	XDBHI_IDX			ĺ	į į
	6	COLLECTION ITERATOR PICKLER FETCH					
*	7	INDEX UNIQUE SCAN	XDB_PK_H_LINK	1	28	0	(0)   00:00:01
*	8	INDEX UNIQUE SCAN	SYS_C003900	1	17	0	(0) 00:00:01

\_\_\_\_\_\_

## $\label{eq:predicate_predicate} \mbox{ Predicate Information (identified by operation id):}$

-----

- 4 filter(CAST("P"."SYS\_NC00011\$" AS VARCHAR2(100)) LIKE 'S%')
- 5 access("XDB"."UNDER\_PATH"(SYS\_MAKEXML('8758D485E6004793E034080020B242C6',734,"XMLEXTRA","XMLDATA"),'/home/QUINE/PurchaseOrders/2002/Apr',9999)=1)
- 7 access("H"."PARENT\_OID"=SYS\_OP\_ATG(VALUE(KOKBF\$),3,4,2) AND
  - "H"."NAME"=SYS\_OP\_ATG(VALUE(KOKBF\$),2,3,2))
- 8 access("R2"."SYS\_NC\_OID\$"=SYS\_OP\_ATG(VALUE(KOKBF\$),3,4,2))

25 rows selected.



# Configuration of Oracle XML DB Repository

Overall configuration of Oracle XML DB Repository applies to all repository resources. It does not include configuring parameters for handling events or managing XLink and XInclude processing. You use resource configuration files to configure resources.

### Resource Configuration Files

You configure an Oracle XML DB Repository resource for any purpose by associating it with a resource configuration file, which defines configurable parameters for the resource. A resource configuration file is itself a resource in Oracle XML DB Repository.

## Configuring a Resource

Configuring an Oracle XML DB Repository resource involves creating a configuration file, adding that file to the repository as a configuration resource, and mapping the configuration resource to the resources it configures or to the entire repository.

Common Configuration Parameters
 Commonly used configuration parameters are described, that is, elements in a configuration file.

### **Related Topics**

Oracle XML DB Protocol Server Configuration Management
 Oracle XML DB protocol server uses configuration parameters stored in
 file xdbconfig.xml to initialize its startup state and manage session level
 configuration. The session pool size and timeout parameters cannot be changed
 dynamically, that is, you must restart the database in order for these changes to
 take effect.

## Configuration of Repository Events

In a resource configuration file, you use element event-listeners, child of element ResConfig, to configure Oracle XML DB Repository event handling.

- Configuration of Repository Resources for XLink and XInclude
  The resource configuration file that you use as a resource to configure XLink
  (deprecated) and XInclude processing for other resources is described.
- XDBResource.xsd: XML Schema for Oracle XML DB Resources
   A full listing is presented of the Oracle XML DB-supplied XML schema
   XDBResource.xsd, which is used to represent Oracle XML DB resources.
- XDBResConfig.xsd: XML Schema for Resource Configuration
  A full listing is presented of the Oracle XML DB-supplied XML schema used to
  configure repository resources. It is accessible in Oracle XML DB Repository at
  path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd.

## See Also:

Package DBMS\_XDB\_ADMIN, for information about using a dedicated tablespace for the repository

## 22.1 Resource Configuration Files

You configure an Oracle XML DB Repository resource for any purpose by associating it with a resource configuration file, which defines configurable parameters for the resource. A resource configuration file is itself a resource in Oracle XML DB Repository.

A resource configuration file is an XML file that conforms to the XML schema XDBResConfig.xsd, which is accessible in Oracle XML DB Repository at path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd. This XML schema is defined by Oracle XML DB, and you cannot alter it.

You use PL/SQL procedure DBMS\_RESCONFIG.addResConfig to map a resource to the file that configures it. A single resource configuration file can alternatively apply to all resources in the repository. In that case, you use PL/SQL procedure DBMS\_RESCONFIG.addRepositoryResConfig to map it to the repository as a whole.

The same resource configuration file can be used to configure more than one resource, if appropriate. Oracle recommends that you have resources share a configuration file this way whenever the same configuration makes sense. This can improve run-time performance. It also simplifies repository management by letting you update a configuration in a single place and have the change affect multiple resources.

Avoid creating multiple, equivalent resource configuration files, because that can impact performance negatively. If Oracle XML DB detects duplicate resource configuration files, it raises an error.

Typically, you configure a resource for use with a particular application. In order for a resource to be shared by multiple applications, it must be possible for different applications to configure it differently. You do this by creating multiple resource configuration files and mapping them to the same resource. Each resource is thus associated with a list of configurations, a **resource configuration list**. Configurations in a configuration list are processed in the list order.

The repository itself has a list of resource configuration files, for repository-wide configuration, which really means configuration of all resources in the repository. The same configuration file must not be used for both the repository itself and a specific resource. Otherwise, an error is raised. An error is also raised if the same resource configuration file appears more than once in any given resource configuration list.

## Note:

An error is raised if you try to create more than 125 resource configuration files for repository-wide configuration.



The resource configuration list of a new resource is based on the information in the configuration elements of all resource configuration files for the parent folder of the new resource. If there is no such information (no configuration file or no defaultChildConfig elements in the files), then the configuration elements of the repository resource configuration list are used. If that information is also missing, then the new resource has an empty resource configuration list.

You can view the configuration list for a particular resource by extracting element /Resource/RCList from column RES of view RESOURCE\_VIEW, or by using PL/SQL procedure DBMS\_RESCONFIG.getResConfigPath. You can view the configuration list for the repository as a whole by using PL/SQL procedure DBMS\_RESCONFIG.getRepositoryResConfigPath. To modify the repository-wide configuration list, you must be granted role XDBADMIN.

### **Related Topics**

Configuration Elements defaultChildConfig and configuration
 Configuration element defaultChildConfig applies to only folders. It holds
 configuration information to be applied to all child resources in the folder. Element
 defaultChildConfig has one or more configuration child elements, each of
 which defines a possible configuration for resources in the folder.

## 22.2 Configuring a Resource

Configuring an Oracle XML DB Repository resource involves creating a configuration file, adding that file to the repository as a configuration resource, and mapping the configuration resource to the resources it configures or to the entire repository.

Follow these steps to configure an individual resource or the repository as a whole (all resources):

- Create a resource configuration file that defines the configuration. This XML file must conform to XML schema XDBResConfig.xsd.
- 2. Add the resource configuration file to the repository as a resource in its own right: a configuration resource. You can use PL/SQL function DBMS\_XDB\_REPOS.createResource to do this.
- 3. Map this configuration resource to the resources that it configures, or to the repository if it applies to all resources. Use PL/SQL procedure DBMS\_RESCONFIG.addResConfig or DBMS\_RESCONFIG.appendResConfig to map an individual resource. Use DBMS\_RESCONFIG.addRepositoryResConfig to map the repository as a whole.
- 4. Commit.



Before performing any operation that uses a resource configuration file, you must perform a COMMIT operation. Until you do that, an ORA-22881 "dangling REF" error is raised whenever you use the configuration file.



PL/SQL package DBMS\_RESCONFIG provides additional procedures to delete a configuration from a configuration list, obtain a list of paths to configurations in a configuration list, and more.



If you delete a resource configuration file that is referenced by another resource, a dangling REF error is raised whenever an attempt is made to access the configured resource.

#### **Related Topics**

XDBResConfig.xsd: XML Schema for Resource Configuration
 A full listing is presented of the Oracle XML DB-supplied XML schema used to configure repository resources. It is accessible in Oracle XML DB Repository at path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd.

## See Also:

- Example 22-1 for an example of a simple resource configuration file
- Configuration of Repository Events for complete examples of configuring resources
- Oracle Database PL/SQL Packages and Types Reference for information about package DBMS\_RESCONFIG

## 22.3 Common Configuration Parameters

Commonly used configuration parameters are described, that is, elements in a configuration file.

Parameters specific to particular types of configuration are described elsewhere.

- Configuration Element ResConfig
   The top-level element of a resource configuration file is ResConfig. You can use it to disable or enable the resource configuration file.
- Configuration Elements defaultChildConfig and configuration
   Configuration element defaultChildConfig applies to only folders. It holds
   configuration information to be applied to all child resources in the folder. Element
   defaultChildConfig has one or more configuration child elements, each of
   which defines a possible configuration for resources in the folder.
- Configuration Element applicationData
  Element applicationData stores application-specific data. An application typically passes this data to an event handler when the handler is run. You can use any XML content you want inside element applicationData.



## 22.3.1 Configuration Element ResConfig

The top-level element of a resource configuration file is ResConfig. You can use it to disable or enable the resource configuration file.

Besides attributes namespace and schemaLocation, element ResConfig can contain an optional enable attribute. Set the value of attribute enable to false to disable the resource configuration file, so that it has no effect on the resources mapped to it. This can be useful for debugging or disabling an application. The default value of enable, used if the attribute is not present, is true.

## 22.3.2 Configuration Elements defaultChildConfig and configuration

Configuration element defaultChildConfig applies to only folders. It holds configuration information to be applied to all child resources in the folder. Element defaultChildConfig has one or more configuration child elements, each of which defines a possible configuration for resources in the folder.

A configuration element has the following child elements:

pre-condition (optional) – This element specifies a condition that must be met
before the resource configuration identified by the path element (see next) can be
used as the default configuration. If element pre-condition is absent, then the
resource configuration file targeted by path applies to all resources in the folder.
That is, the precondition is treated as true.

A pre-condition element has an optional existsNode child element. An existsNode element has a required XPath child element and an optional namespace child element, both strings. These define an XPath 1.0 expression and a namespace, respectively, that are used to check the existence of a resource. If that resource exists, then the precondition is satisfied, so the resource configuration file identified by path is used as a default resource configuration file for all child resources in the folder. The first component of the XPath element must be Resource.



A complex XPath expression for element XPath can impact performance negatively.

If multiple configuration elements have true preconditions, then each of the resource configuration files identified by their associated path elements applies to all of the resources in the folder.

• path (required) – This element specifies an absolute repository path to a resource configuration file that is to be used as the default configuration for a new resource whenever the precondition specified by element pre-condition is satisfied.

Typically, the value of the path element is a path to the current resource configuration file, that is, the file that contains the path element. Example 22-1 illustrates this, assuming that the resource configuration file is located at path /cm/app\_rc.xml in the repository. In this example, the precondition is that there be a Resource node whose



content is of type xml. When that precondition is met, the resource configuration file in Example 22-1 applies to all resources in same folder as the configuration file ( $/cm/app_rc.xml$ ).

#### **Example 22-1** Resource Configuration File

```
<ResConfig xmlns="http://xmlns.oracle.com/xdb/XDBResConfig.xsd"</pre>
           xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
           xsi:schemaLocation="http://xmlns.oracle.com/xdb/
XDBResConfig.xsd
                                http://xmlns.oracle.com/xdb/
XDBResConfig.xsd">
  <defaultChildConfig>
    <configuration>
      <pre-condition>
        <existsNode>
          <XPath>/Resource[ContentType="xml"]</XPath>
        </existsNode>
      </pre-condition>
      <path>/cm/app_rc.xml<path>
    </configuration>
  </defaultChildConfig>
</ResConfig>
```

## 22.3.3 Configuration Element applicationData

Element applicationData stores application-specific data. An application typically passes this data to an event handler when the handler is run. You can use any XML content you want inside element applicationData.

An event handler uses PL/SQL function DBMS\_XEVENT.getApplicationData or Java function oracle.xdb.XMLType.getApplicationData to access the data in the applicationData of the resource configuration file for the event listener.

Example 22-2 shows an applicationData element for use with an Oracle Spatial and Graph application.

## See Also:

- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL function DBMS\_XEVENT.getApplicationData
- Oracle Database XML Java API Reference, class
   XDBRepositoryEvent for information about Java function
   oracle.xdb.XMLType.getApplicationData
- Example 30-1 for an example of a resource configuration file for event listeners

#### Example 22-2 applicationData Element

```
<applicationData>
  <spatial:data xmlns:spatial="http://oracle/cartridge/spatial.xsd">
```



<spatial:xpos>5</spatial:xpos>
 <spatial:ypos>10</spatial:ypos>
 </spatial:data>
</applicationData>



# Use of XLink and XInclude with Oracle XML DB

You can use XLink and XInclude with resources in Oracle XML DB Repository. But the use of XLink is *deprecated*.

#### Overview of XLink and XInclude

A document-oriented, or **content-management**, application often tracks relationships, between documents, and those relationships are often represented and manipulated as links of various kinds. Such links can affect application behavior in various ways, including affecting the document content and the response to user operations such as mouse clicks.

## Link Types for XLink and XInclude

XLink and XInclude link types are described, as well as the relation between these and Oracle XML DB Repository links. XLink links (*deprecated*) are more general than repository links. XLink links can be simple or extended. Oracle XML DB supports only simple, not extended, XLink links.

## XInclude: Compound Documents

XInclude is the W3C recommendation for the syntax and processing model for merging the infosets of multiple XML documents into a single infoset. Element xi:include is used to include another document, specifying its URI as the value of an href attribute.

## Oracle XML DB Support for XLink

You can configure Oracle XML DB Repository resources so that XLink links are ignored, or so that they are mapped to Oracle XML DB document links. However, the use of XLink with Oracle XML DB Repository is *deprecated*.

#### Oracle XML DB Support for XInclude

Oracle XML DB supports XInclude 1.0 as the standard mechanism for managing compound documents. It does not support attribute xpointer and the inclusion of document fragments, however. Only complete documents can be included (using attribute href).

- Use View DOCUMENT\_LINKS to Examine XLink and XInclude Links
   You can query the read-only public view DOCUMENT\_LINKS to obtain system
   information about document links derived from both XLink (deprecated) and
   XInclude links. The information in this view includes the following columns, for
   each link:
- Configuration of Repository Resources for XLink and XInclude
   The resource configuration file that you use as a resource to configure XLink (deprecated) and XInclude processing for other resources is described.
- Manage XLink and XInclude Links Using DBMS\_XDB\_REPOS.processLinks
   You can use PL/SQL procedure DBMS\_XDB\_REPOS.processLinks to manually
   process all XLink (deprecated) and XInclude links in a single document or in all
   documents of a folder.



## 23.1 Overview of XLink and XInclude

A document-oriented, or **content-management**, application often tracks relationships, between documents, and those relationships are often represented and manipulated as links of various kinds. Such links can affect application behavior in various ways, including affecting the document content and the response to user operations such as mouse clicks.

W3C has two recommendations that are pertinent in this context, for documents that are managed in XML repositories:

- XLink Defines various types of links between resources. These links can model arbitrary relationships between documents. Those documents can reside inside or outside the repository.
- XInclude Defines ways to include the content of multiple XML documents or fragments in a single infoset. This provides for compound documents, which model inclusion relationships. Compound documents are documents that contain other documents. More precisely, they are file resources that include documents or document fragments. The included objects can be file resources in the same repository or documents or fragments outside the repository.

Each of these standards is very general, and it is not limited to modeling relationships between XML documents. There is no requirement that the documents linked using XLink or included in an XML document using XInclude be XML documents.

Using XLink and XInclude to represent document relationships provides flexibility for applications, facilitates reuse of component documents, and enables their fine-grained manipulation (access control, versioning, metadata, and so on). Whereas using XML data structure (an ancestor–descendents hierarchy) to model relationships requires those relationships to be relatively fixed, using XLink and XInclude to model relationships can easily allow for change in those relationships.



For XML schema-based documents to be able to use XLink and XInclude attributes, the XML schema must either explicitly declare those attributes or allow any attributes.

## Note:

The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).



- See Also:XML Linking Language (XLink) Version 1.0
  - XML Inclusions (XInclude) Version 1.0 (Second Edition)

## 23.2 Link Types for XLink and XInclude

XLink and XInclude link types are described, as well as the relation between these and Oracle XML DB Repository links. XLink links (deprecated) are more general than repository links. XLink links can be simple or extended. Oracle XML DB supports only simple, not extended, XLink links.

- XLink and XInclude Links Model Document Relationships XLink (deprecated) and XInclude links model arbitrary relationships among documents. The meaning and behavior of a relationship are determined by the applications that use the link. They are not inherent in the link itself. XLink and XInclude links can be mapped to Oracle XML DB document links.
- XLink Link Types and XInclude Link Types XLink (deprecated) and XInclude can provide links to other documents. In the case of XInclude, attributes href and xpointer are used to specify the target document.

## 23.2.1 XLink and XInclude Links Model Document Relationships

XLink (deprecated) and XInclude links model arbitrary relationships among documents. The meaning and behavior of a relationship are determined by the applications that use the link. They are not inherent in the link itself. XLink and XInclude links can be mapped to Oracle XML DB document links.

When document links target Oracle XML DB Repository resources, they can (according to a configuration option) be hard or weak links. In this, they are similar to repository links in that context. Repository links can be navigated using file systemrelated protocols such as FTP and HTTP. Document links cannot, but they can be navigated using the XPath 2.0 function fn:doc.

#### **Related Topics**

Hard Links and Weak Links

Links that target repository resources can be hard or weak. Hard and weak links have different dependencies with respect to the resources that they target. Hard links cannot target ancestor folders; weak links can. You can guery the repository path view, PATH\_VIEW, to determine the type of a repository link.

## 23.2.2 XLink Link Types and XInclude Link Types

XLink (deprecated) and XInclude can provide links to other documents. In the case of XInclude, attributes href and xpointer are used to specify the target document.

Xlink links can be simple or extended. **Simple** links are unidirectional, from a source to a target. Extended links (sometimes called complex) can model relationships between multiple documents, with different directionalities. Both simple and extended links can include link metadata. XLink links are represented in XML data using



various attributes of the namespace http://www.w3.org/1999/xlink, which has the predefined prefix xlink. Simple links are represented in XML data using attribute type with value simple, that is, xlink:type = "simple". Extended Xlink links are represented using xlink:type = "extended".

**Third-party** extended Xlink links are not contained in any of the documents whose relationships they model. Third-party links can thus be used to relate documents, such as binary files, that, themselves, have no way of representing a link.

The source end of a simple Xlink link (that is, the document containing the link) must be an XML document. The target end of a simple link can be any document. There are no such restrictions for extended links. Example 23-3 shows examples of simple links. The link targets are represented using attribute xlink:href.



The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

## 23.3 XInclude: Compound Documents

XInclude is the W3C recommendation for the syntax and processing model for merging the infosets of multiple XML documents into a single infoset. Element xi:include is used to include another document, specifying its URI as the value of an href attribute.

Element xi:include can be nested, so that an included document can itself include other documents.

(However, an inclusion cycle raises an error in Oracle XML DB. The resources are created, but an error is raised when the inclusions are expanded.)

XInclude thus provides for *compound* documents: repository file resources that include other XML documents or fragments. The included objects can be file resources in the same repository or documents or fragments outside the repository.

A book might be an example of a typical compound document, as managed by a content-management system. Each book includes chapter documents, which can each be managed as separate objects, with their own URLs. A chapter document can have its own metadata and access control, and it can be versioned. A book can include (reference) a specific version of a chapter document. The same chapter document can be included in multiple book documents, for reuse. Because inclusion is modeled using XInclude, content management is simplified. It is easy, for example, to replace one chapter in a book by another.

Example 23-1 illustrates an XML Book element that includes four documents. One of those documents, partl.xml, is also shown. Document partl.xml includes other documents, representing chapters.

These are some additional features of XInclude:

• Inclusion of plain text – You can include unparsed, non-XML text using attribute parse with a value of text: parse = "text".



- Inclusion of XML fragments You can use an xpointer attribute in an xi:include element to specify an XML fragment to include, instead of an entire document.
- Fallback processing In case of error, such as inability to access the URI of an included document, an xi:include syntax error, or an xpointer reference that returns null, XInclude performs the treatment specified by element xi:fallback. This generally specifies an alternative element to be included. The alternative element can itself use xi:include to include other documents.

## Example 23-1 XInclude Used in a Book Document to Include Parts and Chapters

The top-level document representing a book contains element Book.

```
<Book xmlns:xi="http://www.w3.org/2001/XInclude">
    <xi:include href=toc.xml"/>
    <xi:include href=part1.xml"/>
    <xi:include href=part2.xml"/>
    <xi:include href=index.xml"/>
</Book>
```

A major book part, file (resource) part2.xml, contains a Part element, which includes multiple chapter documents.

```
<?xml version="1.0"?>
<Part xmlns:xi="http://www.w3.org/2001/XInclude">
    <xi:include href="chapter5.xml"/>
    <xi:include href="chapter6.xml"/>
    <xi:include href="chapter8.xml"/>
    <xi:include href="chapter9.xml"/>
    </Part>
```

## 23.4 Oracle XML DB Support for XLink

You can configure Oracle XML DB Repository resources so that XLink links are ignored, or so that they are mapped to Oracle XML DB document links. However, the use of XLink with Oracle XML DB Repository is *deprecated*.

Oracle XML DB supports only simple XLink links, not extended XLink links.

When an XML document containing XLink attributes is added to Oracle XML DB Repository, either as resource content or as user-defined resource metadata, special processing can occur, depending on how the repository or individual repository resources are configured. Element XLinkConfig of the resource configuration document, XDBResConfig.xsd, determines this behavior.

If you configure resources so that XLink links are mapped to Oracle XML DB document links, you can specify that the document links are to be hard or weak. Hard and weak document links have the same properties as hard and weak repository links.

The privileges needed to create or update document links are the same as those needed to create or update repository links. Even partially updating a document requires the same privileges needed to delete the entire document and reinsert it. In particular, even if you update just one document link you must have delete and insert privileges for *each* of the documents linked by the document containing the link.

If configuration maps XLink links to document links, then, whenever a document containing XLink links is added to the repository, the XLink information is extracted and stored in a system link table. Link target (destination) locations are replaced by direct paths that are based on the resource OIDs. Configuration can also specify whether OID paths are to be replaced by named paths (URLs) upon document retrieval. Using OID paths instead of named paths generally offers a performance advantage when links are processed, including when resource contents are retrieved.

You can use XLink within resource content, but not within resource metadata.



The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

#### **Related Topics**

- Use View DOCUMENT\_LINKS to Examine XLink and XInclude Links
   You can query the read-only public view DOCUMENT\_LINKS to obtain system
   information about document links derived from both XLink (deprecated) and
   XInclude links. The information in this view includes the following columns, for
   each link:
- User-Defined Repository Metadata
   You can create your own metadata to associate with XML data stored in Oracle XML DB Repository.
- Hard Links and Weak Links
   Links that target repository resources can be hard or weak. Hard and weak links
   have different dependencies with respect to the resources that they target. Hard
   links cannot target ancestor folders; weak links can. You can query the repository
   path view, PATH VIEW, to determine the type of a repository link.
- Configuration of Repository Resources for XLink and XInclude
   The resource configuration file that you use as a resource to configure XLink (deprecated) and XInclude processing for other resources is described.
- XDBResConfig.xsd: XML Schema for Resource Configuration
  A full listing is presented of the Oracle XML DB-supplied XML schema used to
  configure repository resources. It is accessible in Oracle XML DB Repository at
  path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd.

## 23.5 Oracle XML DB Support for XInclude

Oracle XML DB supports XInclude 1.0 as the standard mechanism for managing compound documents. It does not support attribute  ${\tt xpointer}$  and the inclusion of document fragments, however. Only complete documents can be included (using attribute  ${\tt href}$ ).

You can use XInclude to create XML documents that include existing content. You can also configure the implicit decomposition of non-schema-based XML documents, creating a set of repository resources that contain XInclude inclusion references.



The content of included documents must be XML data or plain text (with attribute parse = "text"). You cannot include binary content directly using XInclude, but you can use XLink to link to binary content.

You can use XInclude within resource content, but not within resource metadata.

- Expanding Compound-Document Inclusions
  - You can optionally expand xi:include elements with their targets when you retrieve a compound document from Oracle XML DB Repository.
- Validation of Compound Documents

You validate a compound document the way you would any XML document. However, you can choose to validate it in either form: with xi:include elements as is or after replacing them with their targets.

- Update of a Compound Document
  - You can update a compound document just as you would update any resource. This replaces the resource with a new value.
- Compound Document Versioning, Locking, and Access Control
   The components of a compound document are separate resources. They are versioned and locked independently, and their access is controlled independently.

#### **Related Topics**

Use View DOCUMENT\_LINKS to Examine XLink and XInclude Links
 You can query the read-only public view DOCUMENT\_LINKS to obtain system
 information about document links derived from both XLink (deprecated) and
 XInclude links. The information in this view includes the following columns, for
 each link:

## 23.5.1 Expanding Compound-Document Inclusions

You can optionally expand xi:include elements with their targets when you retrieve a compound document from Oracle XML DB Repository.

When you retrieve a compound document you have a choice:

- Retrieve it as is, with the xi:include elements remaining as such. This is the default behavior.
- Retrieve it after replacing the xi:include elements with their targets, recursively, that is, after expansion of all inclusions. An error is raised if any xi:include element cannot be resolved.

To retrieve the document in expanded form, use PL/SQL constructor XDBURIType, passing a value of '1' or '3' as the second argument (flags). Example 23-2 illustrates this. These are the possible values for the second argument of constructor XDBURIType:

- 1 Expand all XInclude inclusions before returning the result. If any such inclusion cannot be resolved according to the XInclude standard fallback semantics, then raise an error.
- 2 Suppress all errors that might occur during document retrieval. This includes dangling href pointers.
- 3 Same as 1 and 2 together.



Example 23-2 retrieves all documents that are under repository folder public/bookdir, expanding each inclusion:

(The result shown here corresponds to the resource bookfile.xml shown in Example 23-8, together with its included resources, chap1.xml and chap2.xml.)

## See Also:

- Compound Document Versioning, Locking, and Access Control for information about access control during expansion
- Oracle Database PL/SQL Packages and Types Reference for more information about XDBURIType

### **Example 23-2** Expanding Document Inclusions Using XDBURIType

```
SELECT XDBURIType(ANY_PATH, '1').getXML() FROM RESOURCE_VIEW
  WHERE under_path(RES, '/public/bookdir') = 1;
XDBURITYPE(ANY_PATH,'1').GETXML()
<Book>
  <Title>A book</Title>
  <Chapter id="1">
    <Title>Introduction</Title>
    <Body>
      <Para>blah blah</Para>
      <Para>foo bar</Para>
    </Body>
  </Chapter>
  <Chapter id="2">
    <Title>Conclusion</Title>
      <Para>xyz xyz</Para>
      <Para>abc abc</Para>
    </Body>
  </Chapter>
</Book>
<Chapter id="1">
  <Title>Introduction</Title>
    <Para>blah blah</Para>
    <Para>foo bar</Para>
  </Body>
</Chapter>
<Chapter id="2">
  <Title>Conclusion</Title>
  <Body>
    <Para>xyz xyz</Para>
    <Para>abc abc</Para>
  </Body>
</Chapter>
3 rows selected.
```



## 23.5.2 Validation of Compound Documents

You validate a compound document the way you would any XML document. However, you can choose to validate it in either form: with xi:include elements as is or after replacing them with their targets.

You can also choose to use one XML schema to validate the unexpanded form, and another to validate the expanded form. For example, you might use one XML schema to validate without first expanding, in order to set up storage structures, and then use another XML schema to validate the expanded document after it is stored.

## 23.5.3 Update of a Compound Document

You can update a compound document just as you would update any resource. This replaces the resource with a new value.

It thus corresponds to a resource deletion followed by a resource insertion. This means, in particular, that any xi:include elements in the original resource are deleted. Any xi:include elements in the replacement (inserted) document are processed as usual, according to the configuration defined at the time of insertion.

## 23.5.4 Compound Document Versioning, Locking, and Access Control

The components of a compound document are separate resources. They are versioned and locked independently, and their access is controlled independently.

- Document links to version-controlled resources (VCRs) always resolve to the latest version of the target resource, or the selected version within the current workspace. You can, however, explicitly refer to any specific version, by identifying the target resource by its OID-based path.
- Locking a document that contains xi:include elements does not also lock the
  included documents. Locking an included document does not also lock documents
  that include it.
- The access control list (ACL) on each referenced document is checked whenever
  you retrieve a compound document with expansion. This is done using the
  privileges of the current user (invoker's rights). If privileges are insufficient for any
  of the included documents, the expansion is canceled and an error is raised.

### **Related Topics**

- Expanding Compound-Document Inclusions
   You can optionally expand xi:include elements with their targets when you retrieve a compound document from Oracle XML DB Repository.
- Resource Versions
   Oracle XML DB Repository resources can be versioned. A record is kept of all changes to a resource that is under version control.
- Repository Access Control
  Oracle Database provides classic database security such as row-level and column-level secure access by database users. It also provides fine-grained access control for resources in Oracle XML DB Repository. You can create, set, and modify access control lists (ACLs).



# 23.6 Use View DOCUMENT\_LINKS to Examine XLink and XInclude Links

You can query the read-only public view DOCUMENT\_LINKS to obtain system information about document links derived from both XLink (*deprecated*) and XInclude links. The information in this view includes the following columns, for each link:

- SOURCE\_ID The source resource OID. RAW(16).
- TARGET ID The target resource OID. RAW(16).
- TARGET\_PATH Always NULL. Reserved for future use. VARCHAR2 (4000).
- LINK\_TYPE The document link type: Hard or Weak. VARCHAR2(8).
- LINK\_FORM Whether the original link was of form XLink or XInclude. VARCHAR2(8).
- SOURCE\_TYPE Always Resource Content. VARCHAR2(17).

You can obtain information about a resource from this view only if one of the following conditions holds:

- The resource is a link source, and you have the privilege read-contents or readproperties on it.
- The resource is a link target, and you have the privilege read-properties on it.
- Querying DOCUMENT\_LINKS for XLink Information
   If the folder containing a given resource has been configured to map XLink links to document hard links then you can query public view DOCUMENT\_LINKS to obtain system information about the document links.
- Querying DOCUMENT\_LINKS for XInclude Information
  You can query view DOCUMENT\_LINKS to show the document links that are mapped
  from XInclude links.



Oracle Database Reference for more information on public view DOCUMENT LINKS



## 23.6.1 Querying DOCUMENT\_LINKS for XLink Information

If the folder containing a given resource has been configured to map XLink links to document hard links then you can query public view <code>DOCUMENT\_LINKS</code> to obtain system information about the document links.



The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

Example 23-3 shows how XLink links are treated when resources are created, and how to obtain system information about document links from view DOCUMENT\_LINKS. It assumes that the folder containing the resource has been configured to map XLink links to document hard links.



Example 23-5 for an example of configuring a folder to map XLink links to hard links

#### **Example 23-3 Querying Document Links Mapped From XLink Links**

```
DECLARE
  b BOOLEAN;
BEGIN
  b := DBMS_XDB_REPOS.createResource(
         '/public/hardlinkdir/po101.xml',
         '<PurchaseOrder id="101" xmlns:xlink="http://www.w3.org/1999/xlink">
            <Company xlink:type="simple"
                     xlink:href="/public/hardlinkdir/oracle.xml">Oracle Corporation/
Company>
            <Approver xlink:type="simple"</pre>
                      xlink:href="/public/hardlinkdir/quine.xml">Willard Quine</Approver>
          </PurchaseOrder>');
  b := DBMS_XDB_REPOS.createResource(
         '/public/hardlinkdir/po102.xml',
         '<PurchaseOrder id="102" xmlns:xlink="http://www.w3.org/1999/xlink">
            <Company xlink:type="simple"
                     xlink:href="/public/hardlinkdir/oracle.xml">Oracle Corporation</
Company>
            <Approver xlink:type="simple"</pre>
                      xlink:href="/public/hardlinkdir/curry.xml">Haskell Curry</Approver>
            <ReferencePO xlink:type="simple"
                         xlink:href="/public/hardlinkdir/po101.xml"/>
          </PurchaseOrder>');
END;
```

SELECT r1.ANY\_PATH source, r2.ANY\_PATH target, d1.LINK\_TYPE,

ORACLE'

```
dl.LINK_FORM
  FROM DOCUMENT_LINKS dl, RESOURCE_VIEW r1, RESOURCE_VIEW r2
  WHERE dl.SOURCE_ID = r1.RESID and dl.TARGET_ID = r2.RESID;
```

SOURCE	TARGET	LINK_TYPE	LINK_FORM
/public/hardlinkdir/po101.xml	/public/hardlinkdir/oracle.xml	Hard	XLink
/public/hardlinkdir/po101.xml	/public/hardlinkdir/quine.xml	Hard	XLink
/public/hardlinkdir/po102.xml	/public/hardlinkdir/oracle.xml	Hard	XLink
/public/hardlinkdir/po102.xml	/public/hardlinkdir/curry.xml	Hard	XLink
/public/hardlinkdir/po102.xml	/public/hardlinkdir/po101.xml	Hard	XLink

## 23.6.2 Querying DOCUMENT\_LINKS for XInclude Information

You can query view <code>DOCUMENT\_LINKS</code> to show the document links that are mapped from XInclude links.

Example 23-4 queries view DOCUMENT\_LINKS to show all document links.

#### **Example 23-4 Querying Document Links Mapped From XInclude Links**

```
DECLARE
 ret BOOLEAN;
BEGIN
 ret := DBMS_XDB_REPOS.createResource(
          '/public/hardlinkdir/book.xml',
          '<Book xmlns:xi="http://www.w3.org/2001/XInclude">
             <xi:include href="/public/hardlinkdir/toc.xml"/>
             <xi:include href="/public/hardlinkdir/part1.xml"/>
             <xi:include href="/public/hardlinkdir/part2.xml"/>
             <xi:include href="/public/hardlinkdir/index.xml"/>
           </Book>');
END;
SELECT r1.ANY_PATH source, r2.ANY_PATH target, d1.LINK_TYPE, d1.LINK_FORM
 FROM DOCUMENT_LINKS dl, RESOURCE_VIEW rl, RESOURCE_VIEW r2
 WHERE dl.SOURCE_ID = rl.RESID and dl.TARGET_ID = r2.RESID;
                            TARGET
                                                         LINK_TYPE LINK_FORM
                            -----
                                                         -----
/public/hardlinkdir/book.xml /public/hardlinkdir/toc.xml Hard
                                                                   XInclude
/public/hardlinkdir/book.xml /public/hardlinkdir/part1.xml Hard
                                                                   XInclude
                                                                  XInclude
/public/hardlinkdir/book.xml /public/hardlinkdir/part2.xml Hard
/public/hardlinkdir/book.xml /public/hardlinkdir/index.xml Hard
                                                                  XInclude
```

# 23.7 Configuration of Repository Resources for XLink and XInclude

The resource configuration file that you use as a resource to configure XLink (*deprecated*) and XInclude processing for other resources is described.

You configure XLink and XInclude treatment for Oracle XML DB Repository resources as you would configure any other treatment of repository resources: using a resource configuration file. See Configuring a Resource for an example.

A resource configuration file is an XML file that conforms to the XML schema XDBResConfig.xsd, which is accessible in Oracle XML DB Repository at path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd.

You use elements XLinkConfig and XIncludeConfig, children of element ResConfig, to configure XLink and XInclude treatment, respectively. If one of these elements is absent, then there is no treatment of the corresponding type of links.

Both XLinkConfig and XIncludeConfig can have attribute UnresolvedLink and child elements LinkType and PathFormat. Element XIncludeConfig can also have child element ConflictRule. If the LinkType element content is None, however, then there must be no PathFormat or ConflictRule element.

You cannot define any preconditions for XLinkConfig or XIncludeConfig. During repository resource creation, the ResConfig element of the parent folder determines the treatment of XLink and XInclude links for the new resource. If the parent folder has no ResConfig element, then the repository-wide configuration applies.

Any change to the resource configuration file applies only to documents that are created or updated after the configuration-file change. To process links in existing documents, use PL/SQL procedure <code>DBMS\_XDB\_REPOS.processLinks</code>, after specifying the appropriate resource configuration parameters.



The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

- Configure the Treatment of Unresolved Links: Attribute UnresolvedLink
   A LinkConfig element can have an UnresolvedLink attribute with a value of Error
   (default value) or Skip. This determines what happens if an XLink (deprecated)
   or XInclude link cannot be resolved at the time of document insertion into the
   repository (resource creation).
- Configure the Type of Document Links to Create: Element LinkType
   You use the LinkType element of a resource configuration file to specify the type
   of document link to be created whenever an XLink (deprecated) or XInclude link
   is encountered when a document is stored in Oracle XML DB Repository. The
   LinkType element has these possible values (element content):
- Configure the Path Format for Retrieval: Element PathFormat
  You use the PathFormat element of a resource configuration file to specify
  the path format to be used when retrieving documents with xlink:href or
  xi:include:href attributes.
- Configure Conflict-Resolution for XInclude: Element ConflictRule
  You use the ConflictRule element of a resource configuration file to specify the
  conflict-resolution rules to use if the path computed for a component document
  is already present in Oracle XML DB Repository. The ConflictRule element has
  these possible values (element content):



 Configure the Decomposition of Documents Using XInclude: Element SectionConfig

You use element <code>SectionConfig</code> of a resource configuration file to specify how non-schema-based XML documents are to be decomposed when they are added to Oracle XML DB Repository to create a set of resources that contain XInclude inclusion references.

XLink and XInclude Configuration Examples
 Examples show how XLink (deprecated) and XInclude are to be related to Oracle
 XML DB Repository resources and links.

## **Related Topics**

- Manage XLink and XInclude Links Using DBMS\_XDB\_REPOS.processLinks
   You can use PL/SQL procedure DBMS\_XDB\_REPOS.processLinks to manually
   process all XLink (deprecated) and XInclude links in a single document or in all
   documents of a folder.
- Configuration of Oracle XML DB Repository
   Overall configuration of Oracle XML DB Repository applies to all repository
   resources. It does not include configuring parameters for handling events or
   managing XLink and XInclude processing. You use resource configuration files
   to configure resources.

## 23.7.1 Configure the Treatment of Unresolved Links: Attribute UnresolvedLink

A LinkConfig element can have an UnresolvedLink attribute with a value of Error (default value) or Skip. This determines what happens if an XLink (deprecated) or XInclude link cannot be resolved at the time of document insertion into the repository (resource creation).

Error means raise an error and roll back the current operation. Skip means skip any treatment of the XLink or XInclude link. Skipping treatment creates the resource with no corresponding document links, and sets the resource's HasUnresolvedLinks attribute to true, to indicate that the resource has unresolved links.

Using Skip as the value of attribute UnresolvedLink can be especially useful when you create a resource that contains a cycle of weak links, which would otherwise lead to unresolved-link errors during resource creation. After the resource and all of its linked resources have been created, you can use PL/SQL procedure DBMS\_XDB\_REPOS.processLinks to process the skipped links. If all XLink and XInclude links have been resolved by this procedure, then attribute HasUnresolvedLinks is set to false.

Resource attribute HasUnresolvedLinks is also set to true for a resource that has a weak link to a resource that has been deleted. Deleting a resource thus effectively also deletes any weak links pointing to that resource. In particular, whenever the last hard link to a resource is deleted, the resource is itself deleted, and all resources that point to the deleted resource with a weak link have attribute HasUnresolvedLinks set to true.



## Note:

The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

#### **Related Topics**

- Hard Links and Weak Links
  - Links that target repository resources can be hard or weak. Hard and weak links have different dependencies with respect to the resources that they target. Hard links cannot target ancestor folders; weak links can. You can query the repository path view, PATH VIEW, to determine the type of a repository link.
- Manage XLink and XInclude Links Using DBMS\_XDB\_REPOS.processLinks
   You can use PL/SQL procedure DBMS\_XDB\_REPOS.processLinks to manually
   process all XLink (deprecated) and XInclude links in a single document or in all
   documents of a folder.

## 23.7.2 Configure the Type of Document Links to Create: Element LinkType

You use the LinkType element of a resource configuration file to specify the type of document link to be created whenever an XLink (*deprecated*) or XInclude link is encountered when a document is stored in Oracle XML DB Repository. The LinkType element has these possible values (element content):

- None (default) Ignore XLink or XInclude links: create no corresponding document links.
- Hard Map XLink or XInclude links to hard document links in repository documents.
- Weak Map XLink or XInclude links to weak document links in repository documents.

#### Note:

The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

## See Also:

- Example 23-5
- Example 23-6



## 23.7.3 Configure the Path Format for Retrieval: Element PathFormat

You use the PathFormat element of a resource configuration file to specify the path format to be used when retrieving documents with xlink:href or xi:include:href attributes.

The PathFormat element has these possible values (element content) for hard and weak document links:

- OID (default) Map XLink or XInclude href paths to OID-based paths in repository documents that is, use OIDs directly.
- Named Map XLink or XInclude href paths to named paths (URLs) in repository documents. The path is computed from the internal OID when the document is retrieved, so retrieval can be slower than in the case of using OID paths directly.



The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

## See Also:

- Example 23-5
- Example 23-6

## 23.7.4 Configure Conflict-Resolution for XInclude: Element ConflictRule

You use the <code>ConflictRule</code> element of a resource configuration file to specify the conflict-resolution rules to use if the path computed for a component document is already present in Oracle XML DB Repository. The <code>ConflictRule</code> element has these possible values (element content):

- Error (default) Raise an error.
- Overwrite Update the document targeted by the existing repository path, replacing it with the document to be included. If the existing document is a version-controlled resource, then it must already be checked out, unless it is autoversioned. Otherwise, an error is raised.
- Syspath Change the path to the included document to a new, system-defined path.



### **Related Topics**

Resource Versions

Oracle XML DB Repository resources can be versioned. A record is kept of all changes to a resource that is under version control.

## 23.7.5 Configure the Decomposition of Documents Using XInclude: Element SectionConfig

You use element <code>SectionConfig</code> of a resource configuration file to specify how non-schema-based XML documents are to be decomposed when they are added to Oracle XML DB Repository to create a set of resources that contain XInclude inclusion references.

You use simple XPath expressions in the resource configuration file to identify which parts of a document to map to separate resources, and which resources to map them to.

Element SectionConfig contains one or more Section elements, each of which contains the following child elements:

- sectionPath Simple XPath 1.0 expression that identifies a section root. This must use only child and descendant axes, and it must not use wildcards.
- documentPath (optional) Simple XPath 1.0 expression that is evaluated to identify the resources to be created from decomposing the document according to sectionPath. The XPath expression must use only child, descendant, and attribute axes.
- namespace (optional) Namespace in effect for sectionPath and documentPath.

Element Section also has a type attribute that specifies the type of section to be created. Value <code>Document</code> means create a document. The default value, <code>None</code>, means do not create anything. Using <code>None</code> is equivalent to removing the <code>SectionConfig</code> element. You can thus set the <code>type</code> attribute to <code>None</code> to disable a <code>SectionConfig</code> element temporarily, without removing it, and then set it back to <code>Document</code> to enable it again.

If an element in the document being added to the repository matches more than one sectionPath value, only the first such expression (in document order) is used.

If no documentPath element is present, then the resource created has a system-defined name, and is put into the folder specified for the original document.

## See Also:

- Example 23-7
- Example 23-8



## 23.7.6 XLink and XInclude Configuration Examples

Examples show how XLink (*deprecated*) and XInclude are to be related to Oracle XML DB Repository resources and links.

Example 23-5 shows a configuration-file section that configures XInclude treatment, mapping XInclude attributes to Oracle XML DB Repository hard document links. Repository paths in retrieved resources are configured to be based on resource OIDs.

Example 23-6 shows an XLinkConfig section that maps XLink links to weak document links in the repository. In this case, retrieval of a document uses named paths (URLs).

Example 23-7 shows a SectionConfig section that specifies that each Chapter element in an input document is to become a separate repository file, when the input document is added to Oracle XML DB Repository. The repository path for the resulting file is specified using configuration element documentPath, and this path is relative to the location of the resource configuration file of Example 23-6.

The XPath expression here uses XPath function concat to concatenate the following strings to produce the resulting repository path to use:

- chap (prefix) chap.
- The value of attribute id of element Chapter in the input document.
- .xml as a file extension.

For example, a repository path of chap27.xml would result from an input document with a Chapter element that has an id attribute with value 27:

```
<Chapter id="27"> ... </Chapter>
```

If the configuration document of Example 23-6 and the book document that contains the XInclude elements are in repository folder /public/bookdir, then the individual chapter files generated from XInclude decomposition are in files /public/bookdir/ chapN.xml, where the values of N are the values of the id attributes of Chapter elements.

The book document that is added to the repository is derived from the input book document. The embedded Chapter elements in the input book document are replaced by xi:include elements that reference the generated chapter documents — Example 23-8 illustrates this.



The use of XLink with Oracle XML DB Repository is *deprecated*, starting with Oracle Database 12c Release 2 (12.2.0.1).

## Example 23-5 Mapping XInclude Links to Hard Document Links, with OID Retrieval

<ResConfig>



#### Example 23-6 Mapping XLInk Links to Weak Links, with Named-Path Retrieval

### **Example 23-7 Configuring XInclude Document Decomposition**

### **Example 23-8 Repository Document, Showing Generated xi:include Elements**

#### **Related Topics**

Configuration of Oracle XML DB Repository
Overall configuration of Oracle XML DB Repository applies to all repository
resources. It does not include configuring parameters for handling events or
managing XLink and XInclude processing. You use resource configuration files
to configure resources.



- XDBResConfig.xsd: XML Schema for Resource Configuration
   A full listing is presented of the Oracle XML DB-supplied XML schema used to configure repository resources. It is accessible in Oracle XML DB Repository at path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd.
- Configure the Decomposition of Documents Using XInclude: Element SectionConfig

You use element <code>SectionConfig</code> of a resource configuration file to specify how non-schema-based XML documents are to be decomposed when they are added to Oracle XML DB Repository to create a set of resources that contain XInclude inclusion references.

# 23.8 Manage XLink and XInclude Links Using DBMS XDB REPOS.processLinks

You can use PL/SQL procedure DBMS\_XDB\_REPOS.processLinks to manually process all XLink (*deprecated*) and XInclude links in a single document or in all documents of a folder.

Pass RECURSIVE as the mode argument to this procedure, if you want to process all hard-linked subfolders recursively. All XLink and XInclude links are processed according to the corresponding configuration parameters. If any of the links within a resource cannot be resolved, the resource's <code>HasUnresolvedLinks</code> attribute is set to true, to indicate that the resource has unresolved links. The default value of attribute <code>HasUnresolvedLinks</code> is false.

#### **Related Topics**

Configure the Treatment of Unresolved Links: Attribute UnresolvedLink
 A LinkConfig element can have an UnresolvedLink attribute with a value of Error
 (default value) or Skip. This determines what happens if an XLink (deprecated)
 or XInclude link cannot be resolved at the time of document insertion into the
 repository (resource creation).



# Repository Access Using RESOURCE VIEW and PATH VIEW

Predefined public views RESOURCE\_VIEW and PATH\_VIEW provide access to Oracle XML DB repository data. You can use Oracle SQL functions under\_path and equals\_path to query resources based on their path names, and functions path and depth to return resource path names and depths.

- Overview of Oracle XML DB RESOURCE\_VIEW and PATH\_VIEW
   Public views RESOURCE\_VIEW and PATH\_VIEW provide a mechanism for using SQL
   to access data stored in Oracle XML DB Repository. You can use these views
   to access data stored in the repository using Internet protocols such as FTP and
   WebDAV or using application program interfaces (APIs).
- Oracle SQL Functions That Use RESOURCE\_VIEW and PATH\_VIEW
   Oracle SQL functions used with public views RESOURCE\_VIEW and PATH\_VIEW
   include under path, equals path, path, and depth.
- Accessing Repository Data Paths, Resources and Links: Examples
   Examples here illustrate how to access Oracle XML DB Repository paths, resources, and link properties.
- Deleting Repository Resources: Examples
   Examples here illustrate how to delete Oracle XML DB Repository resources and paths.
- Updating Repository Resources: Examples
   Examples here illustrate how to update Oracle XML DB Repository resources and paths.
- Working with Multiple Oracle XML DB Resources
   To perform an operation on multiple Oracle XML DB resources, or to find one or more Oracle XML DB resources that meet a certain set of criteria, use SQL with RESOURCE VIEW and PATH VIEW.
- Performance Guidelines for Oracle XML DB Repository Operations
   Guidelines are presented for improving the performance of repository operations
   such as resource creation and querying.
- Searching for Resources Using Oracle Text
   Table XDB\$RESOURCE in database schema XDB stores the metadata and content of repository resources. You can search for resources that contain a specific keyword by using Oracle SQL function contains with RESOURCE VIEW OF PATH VIEW.



### See Also:

- Oracle Database Reference for more information about view PATH\_VIEW
- Oracle Database Reference for more information about view RESOURCE\_VIEW

## 24.1 Overview of Oracle XML DB RESOURCE\_VIEW and PATH VIEW

Public views RESOURCE\_VIEW and PATH\_VIEW provide a mechanism for using SQL to access data stored in Oracle XML DB Repository. You can use these views to access data stored in the repository using Internet protocols such as FTP and WebDAV or using application program interfaces (APIs).

#### Figure 24-1 illustrates this.

RESOURCE\_VIEW consists of a resource, itself an XMLType instance, that contains the name of the resource, its ACLs, and its properties, static or extensible.

- If the content of a resource is XML data stored somewhere in an XMLType table or view then the RESOURCE\_VIEW points to the XMLType row that stores the content.
- If the content of a resource is not XML data then the RESOURCE\_VIEW stores the
  content as a LOB.

### Note:

As of Oracle Database Release 11.2.0.1.0, repository content stored in line as a LOB uses SecureFiles LOB storage. Prior to that, it used BasicFiles LOB storage.

Parent-child relationships between folders are maintained and traversed efficiently using the hierarchical repository index. Text indexes are available to search the properties of a resource, and internal B-tree indexes over names and ACLs speed up access to these attributes of the resource XMLType data.

RESOURCE\_VIEW and PATH\_VIEW, along with PL/SQL package DBMS\_XDB\_REPOS, provide all query-based access to Oracle XML DB and DML functionality that is available through the API.

The base table for RESOURCE\_VIEW is XDB.XDB\$RESOURCE. Access this table only using RESOURCE VIEW or PL/SQL package DBMS XDB REPOS.



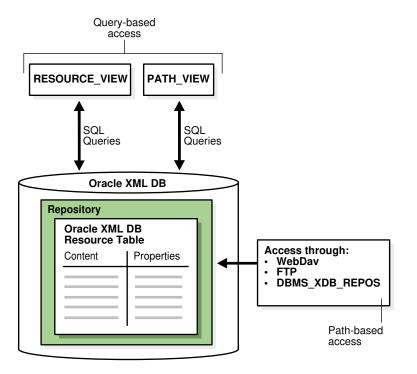


Figure 24-1 Accessing Repository Resources Using RESOURCE\_VIEW and PATH VIEW

Figure 24-2 illustrates the structure of RESOURCE\_VIEW and PATH\_VIEW.

Figure 24-2 RESOURCE\_VIEW and PATH\_VIEW Structure

RESOURCE_VIEW Columns			PATH_VIEW Columns			
Resource as   P an XMLType	Path	Resource OID	Path	Resource as an XMLType	Link as XMLType	Resource OID
' '				•		



Neither RESOURCE\_VIEW nor PATH\_VIEW contains the *root* folder (/) resource. All other repository resources are included.

A path in the RESOURCE\_VIEW is an arbitrary one of the paths that can be used to access the given resource. Oracle SQL function under\_path lets applications search for resources that are contained (recursively) within a particular folder, get the resource depth, and so on. Each row in the PATH\_VIEW and RESOURCE\_VIEW columns is of data type XMLType. DML on repository views can be used to insert, rename, delete, and update resource properties and contents. Programmatic APIs must be used for some operations, such as creating links to existing resources.



Paths in the ANY\_PATH column of the RESOURCE\_VIEW and the PATH column in the PATH VIEW are absolute paths: they start at the root.



Test resource paths for equality using Oracle SQL function equals\_path: equals\_path('/my/path') = 1. Do *not* test ANY\_PATH for equality against an absolute path: ANY\_PATH = '/my/path'.

Paths returned by the path function are *relative* paths under the path name specified by function under\_path. For example, if there are two resources referenced by path names /a/b/c and /a/d, respectively, then a path expression that retrieves paths under folder /a returns relative paths b/c and d.

When there are multiple hard links to the same resource, only paths under the path name specified by function  $under\_path$  are returned. If /a/b/c, /a/b/d, and /a/e are all links to the same resource, then a query on PATH\_VIEW that retrieves all of the paths under /a/b returns only /a/b/c and /a/b/d, not /a/e.

- RESOURCE\_VIEW Definition and Structure
   Public view RESOURCE\_VIEW contains one row for each resource in Oracle XML DB
   Repository (except for the root folder resource).
- PATH\_VIEW Definition and Structure
   Public view PATH\_VIEW contains one row for each unique path that accesses a
   resource in Oracle XML DB Repository (except for the root folder resource). Each
   resource can have multiple paths, also called links.
- The Difference Between RESOURCE\_VIEW and PATH\_VIEW

  PATH\_VIEW includes all the path names to a particular resource. RESOURCE\_VIEW includes one of the possible path names to the resource. PATH\_VIEW also includes the link properties. For better performance, use RESOURCE\_VIEW, not PATH\_VIEW, whenever possible.
- Operations You Can Perform Using UNDER\_PATH and EQUALS\_PATH
   You can use Oracle SQL functions under\_path and equals\_path to get a resource
   or its OID; create, delete, or update a resource; or list a directory that corresponds
   to a path name.

#### **Related Topics**

Overview of How To Use Oracle XML DB
 An overview of the various ways of using Oracle XML DB is presented.

### 24.1.1 RESOURCE\_VIEW Definition and Structure

Public view RESOURCE\_VIEW contains one row for each resource in Oracle XML DB Repository (except for the root folder resource).

Table 24-1 describes the structure of RESOURCE\_VIEW.



Table 24-1 Structure of RESOURCE\_VIEW

Column	Data Type	Description
RES	XMLType	A resource in the repository (except for the root folder resource)
ANY_PATH	VARCHAR2	An (absolute) path to the resource
RESID	RAW	Resource OID, which is a unique handle to the resource

### 24.1.2 PATH\_VIEW Definition and Structure

Public view PATH\_VIEW contains one row for each unique path that accesses a resource in Oracle XML DB Repository (except for the root folder resource). Each resource can have multiple paths, also called **links**.

Table 24-2 describes the structure of PATH VIEW.

Table 24-2 Structure of PATH\_VIEW

Column	Data Type	Description
PATH	VARCHAR2	An (absolute) path to repository resource RES
RES	XMLType	The resource referred to by column PATH
LINK	XMLType	Link property
RESID	RAW	Resource OID

### 24.1.3 The Difference Between RESOURCE\_VIEW and PATH\_VIEW

PATH\_VIEW includes *all* the path names to a particular resource. RESOURCE\_VIEW includes *one* of the possible path names to the resource. PATH\_VIEW also includes the link properties. For better performance, use RESOURCE\_VIEW, not PATH\_VIEW, whenever possible.

Figure 24-3 illustrates this difference.

Because many Internet applications need only one URL to access a resource, RESOURCE\_VIEW is widely applicable.

PATH\_VIEW contains both *link* properties and resource properties, whereas the RESOURCE\_VIEW contains only resource properties.

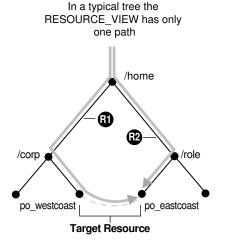
Because it handles the information for multiple paths, PATH\_VIEW access can be slower. If you use RESOURCE\_VIEWthen the database can take advantage of the fact that only one path is needed; the index can do less work to determine all the possible paths.



### Note:

When using RESOURCE\_VIEW, if you specify a path using function under\_path or equals\_path, the function finds the resource regardless of whether or not the specified path is the arbitrary one chosen to normally appear with that resource using RESOURCE VIEW.

Figure 24-3 RESOURCE\_VIEW and PATH\_VIEW Explained



With PATH\_VIEW, to access the target resource node:You can create a link. This provides two access paths R1 or R2 to the target node, for faster access.

**RESOURCE\_VIEW Example:** select path(1) from RESOURCE\_VIEW where under\_path(res, '/sys',1); displays one path to the resource: /home/corp/po\_westcoast

PATH\_VIEW Example: select path from PATH\_VIEW; displays all pathnames to the resource: /home/corp/po\_westcoast /home/role/po\_eastcoast

### 24.1.4 Operations You Can Perform Using UNDER PATH and **EQUALS PATH**

You can use Oracle SQL functions under path and equals path to get a resource or its OID; create, delete, or update a resource; or list a directory that corresponds to a path name.

- Given a path name, you can:
  - Get a resource or its OID
  - List the directory given by the path name
  - Create a resource
  - Delete a resource
  - Update a resource
- Given a condition that uses under path or other SQL functions, you can:
  - Update resources
  - Delete resources



#### Get resources or their OID

# 24.2 Oracle SQL Functions That Use RESOURCE\_VIEW and PATH\_VIEW

Oracle SQL functions used with public views RESOURCE\_VIEW and PATH\_VIEW include under\_path, equals\_path, path, and depth.

### UNDER PATH SQL Function

Oracle SQL function under\_path uses the hierarchical index of Oracle XML DB Repository to return the paths to all hard links under a particular path. This index is designed to speed access when traversing a path (the most common usage).

### EQUALS\_PATH SQL Function

You use Oracle SQL function equals\_path to find a resource that has a given path name. It is functionally equivalent to under path with a depth restriction of zero.

#### PATH SQL Function

Oracle SQL function path returns the relative path name of the resource under a given pathname argument to function under\_path or equal\_path.

### DEPTH SQL Function

Oracle SQL function depth returns the folder depth of the resource under the specified starting path.

### 24.2.1 UNDER\_PATH SQL Function

Oracle SQL function under\_path uses the hierarchical index of Oracle XML DB Repository to return the paths to all hard links under a particular path. This index is designed to speed access when traversing a path (the most common usage).

If the other parts of a query predicate are very selective, however, then a functional implementation of under\_path can be chosen that walks back up the repository. This can be more efficient, because fewer links must be traversed. Figure 24-4 shows the under\_path syntax.

Figure 24-4 UNDER\_PATH Syntax

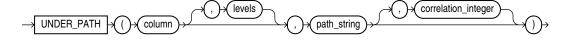


Table 24-3 details the signature of Oracle SQL function under path.



Table 24-3 UNDER\_PATH SQL Function Signature

Syntax	Description
<pre>under_path(resource_column, pathname);</pre>	Determines whether a resource is under a specified path.  Parameters:
	<ul> <li>resource_column -The column name or column alias of the RESOURCE column in the PATH_VIEW or RESOURCE_VIEW.</li> </ul>
	<ul> <li>pathname – The path name to resolve.</li> </ul>
<pre>under_path(resource_column,   depth, pathname);</pre>	Determines whether a resource is under a specified path, with a depth argument to restrict the number of levels to search.
	Parameters:
	<ul> <li>resource_column – The column name or column alias of the RESOURCE column in the PATH_VIEW or RESOURCE_VIEW.</li> </ul>
	<ul> <li>depth – The maximum depth to search. A nonnegative integer.</li> </ul>
	• pathname – The path name to resolve.
<pre>under_path(resource_column, pathname, correlation);</pre>	Determines if a resource is under a specified path, with a correlation argument for related SQL functions.
patimame, corretation,	Parameters:
	<ul> <li>resource_column - The column name or column alias of the RESOURCE column in the PATH_VIEW or RESOURCE_VIEW.</li> </ul>
	• pathname – The path name to resolve.
	<ul> <li>correlation – An integer that can be used to correlate under_path with related SQL functions (path and depth).</li> </ul>
<pre>under_path(resource_column,   depth, pathname,   correlation);</pre>	Determines if a resource is under a specified path with a depth argument to restrict the number of levels to search, and with a correlation argument for related SQL functions.  Parameters:
	<ul> <li>resource_column – The column name or column alias of the RESOURCE column in the PATH_VIEW or RESOURCE VIEW.</li> </ul>
	<ul> <li>depth – The maximum depth to search. A nonnegative integer.</li> </ul>
	• pathname – The path name to resolve.
	<ul> <li>correlation – An integer that can be used to correlate under_path with related SQL functions (path and depth).</li> </ul>
	For a resource to be returned, only one of the accessible paths to the resource must be under the <i>pathname</i> argument. If no such path is under argument <i>pathname</i> then a NULL value is returned.

a NULL value is returned.



### Note:

Function under\_path does not follow weak links, because such traversal could lead to cycles. A weak-link argument to under\_path is resolved correctly, but weak links are not followed when traversing resources under that path.

### 24.2.2 EQUALS\_PATH SQL Function

You use Oracle SQL function equals\_path to find a resource that has a given path name. It is functionally equivalent to under\_path with a depth restriction of zero.

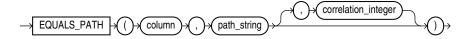
equals\_path(resource\_column, pathname);

#### where:

- resource\_column is the column name or column alias of the RESOURCE column in PATH\_VIEW or RESOURCE\_VIEW.
- pathname is the (absolute) path name to resolve. This can contain components that are hard or weak resource links.

Figure 24-5 illustrates the complete equals\_path syntax.

#### Figure 24-5 EQUALS PATH Syntax



### Note:

- Test resource paths for equality using Oracle SQL function equals\_path: equals\_path('/my/path') = 1. Do not test ANY\_PATH for equality against an absolute path: ANY\_PATH = '/my/path'.
- Use bind variables, instead of hard-coded strings, with equals path.

### 24.2.3 PATH SQL Function

Oracle SQL function path returns the relative path name of the resource under a given pathname argument to function under\_path or equal\_path.

The path column in the RESOURCE\_VIEW always contains the absolute path of the resource. The syntax of function path is:

path(correlation);



#### where:

 correlation is an integer that can be used to correlate path with under\_path or equals\_path.

Figure 24-6 illustrates the syntax for function path.

### Figure 24-6 PATH Syntax



### 24.2.4 DEPTH SQL Function

Oracle SQL function depth returns the folder depth of the resource under the specified starting path.

```
depth(correlation);
```

#### where:

correlation is an integer that can be used to correlate depth with path with under\_path or equals\_path.

# 24.3 Accessing Repository Data Paths, Resources and Links: Examples

Examples here illustrate how to access Oracle XML DB Repository paths, resources, and link properties.

The first few examples use resources specified by the following paths:

/a/b/c /a/b/c/d /a/e/c /a/e/c/d

Example 24-1 uses Oracle SQL function path to retrieve the *relative* paths under path /a/b.

Example 24-2 uses ANY\_PATH to retrieve the absolute paths under path /a/b.

Example 24-3 is the same as Example 24-2, except that the test is *not*-equals (!=) instead of equals (=). The query in Example 24-3 finds *all paths in the repository* that are *not* under path /a/b.

Example 24-4 shows the relative paths that are under repository folders a/b and /a/e, respectively. The expression path(1) represents the paths that are under folder a/b, since it uses the same correlation number, 1, as the expression  $under_path(RES, '/a/b', 1)$ , which specifies folder a/b. Similarly for path(2) and folder /a/e. Expression  $any_path$  returns the corresponding absolute paths.



### Example 24-1 Determining Paths Under a Path: Relative

```
SELECT path(1) FROM RESOURCE_VIEW WHERE under_path(RES, '/a/b', 1) = 1;

PATH(1)
-----
c
c/d
2 rows selected.
```

### Example 24-2 Determining Paths Under a Path: Absolute

```
SELECT ANY_PATH FROM RESOURCE_VIEW WHERE under_path(RES, '/a/b') = 1;

ANY_PATH
-------
/a/b/c
/a/b/c/d

2 rows selected.
```

### Example 24-3 Determining Paths Not Under a Path

```
SELECT ANY_PATH FROM RESOURCE_VIEW WHERE under_path(RES, '/a/b') != 1
```

```
ANY_PATH
-----
/a
/a/b
/a/e
/a/e/c
/a/e/c/d
/home
/home/OE
/home/OE/PurchaseOrders
/home/OE/PurchaseOrders/2002
/home/OE/PurchaseOrders/2002/Apr
/home/OE/PurchaseOrders/2002/Apr/AMCEWEN-20021009123336171PDT.xml
/home/OE/PurchaseOrders/2002/Apr/AMCEWEN-20021009123336271PDT.xml
/home/OE/PurchaseOrders/2002/Apr/EABEL-20021009123336251PDT.xml
/public
/sys
/sys/acls
/sys/acls/all_all_acl.xml
/sys/acls/all_owner_acl.xml
/sys/acls/bootstrap_acl.xml
/sys/acls/ro_all_acl.xml
/sys/apps
/sys/apps/plsql
/sys/apps/plsql/xs
/sys/apps/plsql/xs/netaclrc.xml
/sys/apps/plsql/xs/netaclsc.xml
```



### **Example 24-4 Determining Paths Using Multiple Correlations**

### Example 24-5 Relative Path Names for Three Levels of Resources

```
SELECT path(1) FROM RESOURCE_VIEW WHERE under_path(RES, 3, '/sys', 1) =
1:
```

This produces a result similar to the following.

```
PATH(1)
_____
acls
acls/all_all_acl.xml
acls/all_owner_acl.xml
acls/bootstrap_acl.xml
acls/ro_all_acl.xml
apps
apps/plsql
apps/plsql/xs
databaseSummary.xml
log
schemas
schemas/OE
schemas/OE/localhost:8080
schemas/PUBLIC
schemas/PUBLIC/www.w3.org
schemas/PUBLIC/xmlns.oracle.com
93 rows selected.
```



### Example 24-6 Extracting Resource Metadata Using UNDER\_PATH

### This produces a result similar to the following:

```
ANY_PATH
XMLQUERY('DECLARENAMESPACENS="HTTP://XMLNS.ORACLE.COM/XDB/
XDBRESOURCE.XSD";
______
/sys/acls
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd">
 <CreationDate>2008-06-25T13:17:45.164662
 <ModificationDate>2008-06-25T13:17:47.865163</modificationDate>
 <DisplayName>acls</DisplayName>
 <Language>en-US</Language>
 <CharacterSet>UTF-8</CharacterSet>
 <ContentType>application/octet-stream</ContentType>
 <RefCount>1</RefCount>
</Resource>
/sys/acls/all_all_acl.xml
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd">
 <CreationDate>2008-06-25T13:17:47.759806/CreationDate>
 <ModificationDate>2008-06-25T13:17:47.759806</modificationDate>
 <DisplayName>all_all_acl.xml</DisplayName>
 <Language>en-US</Language>
 <CharacterSet>UTF-8</CharacterSet>
 <ContentType>text/xml</ContentType>
 <RefCount>1</RefCount>
</Resource>
41 rows selected.
```

### **Example 24-7 Using Functions PATH and DEPTH with PATH\_VIEW**

```
SELECT path(1) path, depth(1) depth FROM PATH_VIEW
WHERE under path(RES, 3, '/sys', 1) = 1;
```

### This produces a result similar to the following:

PATH	DEPTH
acls	1
acls/all_all_acl.xml	2
acls/all_owner_acl.xml	2



```
acls/bootstrap_acl.xml
                                             2
                                             2
acls/ro_all_acl.xml
                                             1
apps
apps/plsql
                                             2
apps/plsql/xs
                                             3
databaseSummary.xml
                                             1
                                             1
log
schemas
                                             1
schemas/OE
                                             2
schemas/OE/localhost:8080
                                             3
                                             2
schemas/PUBLIC
                                             3
schemas/PUBLIC/www.w3.org
                                             3
schemas/PUBLIC/xmlns.oracle.com
```

### Example 24-8 Extracting Link and Resource Information from PATH\_VIEW

```
SELECT PATH,
      XMLCast(XMLQuery(
                 'declare namespace ns =
                           "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                  $1/ns:LINK/ns:Name' PASSING LINK AS "1" RETURNING CONTENT)
               AS VARCHAR2(256)),
       XMLCast(XMLQuery(
                 'declare namespace ns =
                           "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                  $1/ns:LINK/ns:ParentName' PASSING LINK AS "1" RETURNING CONTENT)
               AS VARCHAR2(256)),
      XMLCast(XMLQuery(
                 'declare namespace ns =
                           "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                  $1/ns:LINK/ns:ChildName' PASSING LINK AS "1" RETURNING CONTENT)
               AS VARCHAR2(256)),
       XMLCast(XMLQuery(
                 'declare namespace ns =
                           "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                  $r/ns:Resource/ns:DisplayName
                    PASSING RES AS "r" RETURNING CONTENT)
               AS VARCHAR2(128))
 FROM PATH_VIEW WHERE PATH LIKE '/sys%';
```

#### This produces a result similar to the following:

```
/sys/schemas/PUBLIC/www.w3.org/1999/xlink.xsd
xlink.xsd
/sys/schemas/PUBLIC/www.w3.org/1999/xlink
xlink
/sys/schemas/PUBLIC/www.w3.org/1999/csx.xlink.xsd
csx.xlink.xsd
...
118 rows selected.
```



### Example 24-9 All Repository Paths to a Certain Depth Under a Path

```
SELECT path(1) FROM PATH_VIEW WHERE under_path(RES, 3, '/sys', 1) > 0;
```

This produces a result similar to the following:

```
PATH(1)
-----
acls
acls/all all acl.xml
acls/all_owner_acl.xml
acls/bootstrap acl.xml
acls/ro_all_acl.xml
apps
apps/plsql
apps/plsql/xs
databaseSummary.xml
log
principals
principals/groups
principals/users
schemas
schemas/PUBLIC
schemas/PUBLIC/www.opengis.net
schemas/PUBLIC/www.w3.org
schemas/PUBLIC/xmlns.oracle.com
workspaces
. . .
43 rows selected.
```

### Example 24-10 Locating a Repository Path Using EQUALS\_PATH

```
SELECT ANY_PATH FROM RESOURCE_VIEW WHERE equals_path(RES, '/sys') > 0;

ANY_PATH
------/sys

1 row selected.
```

### Example 24-11 Retrieve RESID of a Given Resource



```
AS VARCHAR2(128)) = 'example';
```

This produces a result similar to the following:

### Example 24-12 Obtaining the Path Name of a Resource from its RESID

```
DECLARE
 resid example RAW(16);
 path
               VARCHAR2(4000);
BEGIN
  SELECT RESID INTO resid_example FROM RESOURCE_VIEW
   WHERE XMLCast(XMLQuery(
                    'declare namespace ns =
                       "http://xmlns.oracle.com/xdb/XDBResource.xsd";
(::)
                     $r/ns:Resource/ns:DisplayName'
                    PASSING RES AS "r" RETURNING CONTENT)
                  AS VARCHAR2(128))
          = 'example';
  SELECT ANY PATH INTO path FROM RESOURCE VIEW WHERE RESID =
resid_example;
  DBMS_OUTPUT.put_line('The path is: ' || path);
END;
The path is: /public/example
PL/SQL procedure successfully completed.
```

#### Example 24-13 Folders Under a Given Path

This produces a result like the following:

```
ANY_PATH
-----
/sys/acls
/sys/apps
```



```
/sys/log
/sys/schemas
4 rows selected.
```

### Example 24-14 Joining RESOURCE\_VIEW with an XMLType Table

```
SELECT ANY_PATH, XMLQuery('$p/PurchaseOrder/LineItems'
                          PASSING po.OBJECT_VALUE AS "p" RETURNING CONTENT)
 FROM purchaseorder po, RESOURCE_VIEW rv
 WHERE ref(po)
       = XMLCast(XMLQuery('declare default element namespace
                            "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                            fn:data(/Resource/XMLRef)'
                          PASSING rv.RES RETURNING CONTENT)
                  AS REF XMLType)
   AND ROWNUM < 2;
ANY_PATH
XMLQUERY('$P/PURCHASEORDER/LINEITEMS'PASSINGPO.OBJECT_VALUEAS"P"RET
/home/OE/PurchaseOrders/2002/Apr/AMCEWEN-20021009123336171PDT.xml
<LineItems>
 <LineItem ItemNumber="1">
   <Description>Salesman/Description>
   <Part Id="37429158920" UnitPrice="39.95" Quantity="2"/>
 </LineItem>
  <LineItem ItemNumber="2">
   <Description>Big Deal on Madonna Street/Description>
   <Part Id="37429155424" UnitPrice="29.95" Quantity="1"/>
 <LineItem ItemNumber="3">
   <Description>Hearts and Minds/Description>
   <Part Id="37429166321" UnitPrice="39.95" Quantity="1"/>
 </LineItem>
 <LineItem ItemNumber="23">
   <Description>Great Expectations/Description>
   <Part Id="37429128022" UnitPrice="39.95" Quantity="4"/>
 </LineItem>
</LineItems>
1 row selected.
```

### 24.4 Deleting Repository Resources: Examples

Examples here illustrate how to delete Oracle XML DB Repository resources and paths.

If you delete only *leaf* resources, then you can use <code>DELETE FROM RESOURCE\_VIEW</code>, as in <code>Example 24-15</code>.

For multiple links to the same resource, deleting from RESOURCE\_VIEW deletes the resource together with *all* of its links. Deleting from PATH\_VIEW deletes only the link with the specified path.

Example 24-16 illustrates this.

### Example 24-15 Deleting Resources

```
DELETE FROM RESOURCE_VIEW WHERE equals_path(RES, '/public/myfile') = 1';
```

### **Example 24-16 Deleting Links to Resources**

```
Suppose that '/home/myfile1' is a link to '/public/myfile':

CALL DBMS XDB REPOS.link('/public/myfile', '/home', 'myfile1');
```

The following SQL DML statement deletes everything in Oracle XML DB Repository that is found at path /home/myfile1 – both the link and the resource:

```
DELETE FROM RESOURCE_VIEW WHERE equals_path(RES, '/home/myfile1') = 1;
```

The following DML statement deletes only the link with path /home/file1:

```
DELETE FROM PATH_VIEW WHERE equals_path(RES, '/home/file1') = 1;
```

#### Deleting Nonempty Folder Resources

The DELETE DML operator is not allowed on a nonempty folder. If you try to delete a nonempty folder, you must first delete its contents and then delete the resulting empty folder. This rule must be applied recursively to any folders contained in the target folder.

### 24.4.1 Deleting Nonempty Folder Resources

The DELETE DML operator is not allowed on a nonempty folder. If you try to delete a nonempty folder, you must first delete its contents and then delete the resulting empty folder. This rule must be applied recursively to any folders contained in the target folder.

However, the order of the paths returned from a WHERE clause is not guaranteed, and the DELETE operator does not allow an ORDER BY clause in its table-expression subclause. You *cannot* do the following:

Example 24-17 illustrates how to delete a nonempty folder: folder example is deleted, along with its subfolder example1.



As always, take care to avoid deadlocks with concurrent transactions when operating on multiple rows.



### Example 24-17 Deleting a Nonempty Folder

```
SELECT PATH FROM PATH VIEW WHERE under path(RES, '/home/US1') = 1;
PATH
______
/home/US1/example
/home/US1/example/example1
2 rows selected.
DECLARE
 CURSOR cl IS
   SELECT ANY PATH p FROM RESOURCE VIEW
     WHERE under_path(RES, '/home/US1', 1) = 1
       AND XMLExists('declare namespace ns =
                      "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                      $r/ns:Resource[ns:Owner="US1"]'
                     PASSING RES AS "r")
     ORDER BY depth(1) DESC;
 del_stmt VARCHAR2(500) :=
    'DELETE FROM RESOURCE_VIEW WHERE equals_path(RES, :1)=1';
BEGIN
 FOR r1 IN c1 LOOP
   EXECUTE IMMEDIATE del stmt USING r1.p;
 END LOOP;
END;
PL/SQL procedure successfully completed.
SELECT PATH FROM PATH_VIEW WHERE under_path(RES, '/home/US1') = 1;
```

no rows selected

### 24.5 Updating Repository Resources: Examples

Examples here illustrate how to update Oracle XML DB Repository resources and paths.

**Example 24-18 changes the resource at path** /test/HR/example/paper.



User-Defined Repository Metadata for additional examples of updating resource metadata

By default, the <code>DisplayName</code> element content, <code>paper</code>, was the same text as the last location step of the resource path, <code>/test/HR/example/paper</code>. This is only the default value, however. The <code>DisplayName</code> is independent of the resource path, so updating it does not change the path.

Element DisplayName is defined by the WebDAV standard, and it is recognized by WebDAV applications. Applications, such as an FTP client, that are not WebDAV-based do not recognize the DisplayName of a resource. An FTP client lists the resource as paper (using FTP command ls, for example) even after the UPDATE operation.

Example 24-19 changes the path for the resource from /test/HR/example/paper to /test/myexample. It is analogous to using the UNIX or Linux command mv /test/HR/example/paper /test/myexample.



Table 21-3 for additional examples that use SQL functions that apply to RESOURCE VIEW and PATH VIEW

### Example 24-18 Updating a Resource

This is the complete resource before the update operation:

```
SELECT XMLSerialize(DOCUMENT r.RES AS CLOB)
 FROM RESOURCE_VIEW r WHERE equals_path(r.RES, '/test/HR/example/paper') = 1;
XMI_SERIALIZE(DOCUMENTR.RESASCLOB)
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd" Hidden="false" Inv</pre>
alid="false" Container="false" CustomRslv="false" VersionHistory="false" StickyR
ef="true">
  <CreationDate>2005-04-29T16:30:01.588835</CreationDate>
  <ModificationDate>2005-04-29T16:30:01.588835</ModificationDate>
  <DisplayName>paper</DisplayName>
  <Language>en-US</Language>
  <CharacterSet>UTF-8</CharacterSet>
  <ContentType>application/octet-stream</ContentType>
  <RefCount>1</RefCount>
  <ACL>
   <acl description="Public:All privileges to PUBLIC" xmlns="http://xmlns.oracl
e.com/xdb/acl.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:sch
emaLocation="http://xmlns.oracle.com/xdb/acl.xsd
//xmlns.oracle.com/xdb/acl.xsd">
       <principal>PUBLIC</principal>
        <grant>true</grant>
       <privilege>
         <all/>
       </privilege>
      </ace>
    </acl>
  </ACL>
  <Owner>TESTUSER1
  <Creator>TESTUSER1</Creator>
  <LastModifier>TESTUSER1</LastModifier>
  <SchemaElement>http://xmlns.oracle.com/xdb/XDBSchema.xsd#binary</SchemaElement>
  <Contents>
    <binary>4F7261636C65206F7220554E4958/binary>
 </Contents>
</Resource>
1 row selected
```

All of the XML elements shown here are resource *metadata* elements, with the exception of Contents, which contains the resource *content*.

This UPDATE statement updates (only) the DisplayName metadata element.



```
return $i'
            PASSING r.RES AS "p1", 'My New Paper' AS "p2"
            RETURNING CONTENT)
   WHERE equals_path(r.RES, '/test/HR/example/paper') = 1;
1 row updated.
SELECT XMLSerialize(DOCUMENT r.RES AS CLOB)
 FROM RESOURCE_VIEW r WHERE equals_path(r.RES, '/test/HR/example/paper') = 1;
XMLSERIALIZE(DOCUMENTR.RESASCLOB)
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd" Hidden="false" Inv</pre>
alid="false" Container="false" CustomRslv="false" VersionHistory="false" StickyR
 <CreationDate>2005-04-29T16:30:01.588835/CreationDate>
 <ModificationDate>2005-04-29T16:30:01.883838</modificationDate>
 <DisplayName>My New Paper
 <Language>en-US</Language>
 <Contents>
   <binary>4F7261636C65206F7220554E4958/binary>
</Resource>
1 row selected.
```

### Example 24-19 Updating a Path in the PATH\_VIEW

```
SELECT ANY_PATH FROM RESOURCE_VIEW WHERE under_path(RES, '/test') = 1;
ANY_PATH
-------
/test/HR
/test/HR/example
/test/HR/example/paper

3 rows selected.

UPDATE PATH_VIEW
    SET PATH = '/test/myexample' WHERE PATH = '/test/HR/example/paper';
ANY_PATH
------
/test/HR
/test/HR/example
/test/HR/example
/test/myexample
3 rows selected.
```

### 24.6 Working with Multiple Oracle XML DB Resources

To perform an operation on multiple Oracle XML DB resources, or to find one or more Oracle XML DB resources that meet a certain set of criteria, use SQL with RESOURCE\_VIEW and PATH\_VIEW.

For example, you can perform the following operations:

- Update resources based on attributes see Example 24-20
- Finding resources inside a folder see Example 24-21
- Copy a set of Oracle XML DB resources see Example 24-22

The SQL DML statement in Example 24-22 copies all of the resources in folder public to folder newlocation. It is analogous to the UNIX or Linux command cp /public/\* / newlocation. Target folder newlocation must exist before the copy.

### Example 24-20 Updating Resources Based on Attributes

```
UPDATE RESOURCE_VIEW
 SET RES =
   XMLQuery('copy $i := $p1 modify
                (for $j in $i/Resource/DisplayName
                 return replace value of node $j with $p2)
               return $i'
              PASSING RES AS "p1", 'My New Paper' AS "p2"
              RETURNING CONTENT)
  WHERE XMLCast(XMLQuery('declare namespace ns =
                          "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                          $r/ns:Resource/ns:DisplayName'
                         PASSING RES AS "r" RETURNING CONTENT)
                AS VARCHAR2(128))
        = 'My Paper';
1 row updated.
SELECT ANY_PATH FROM RESOURCE_VIEW
  WHERE XMLCast(XMLQuery('declare namespace ns =
                            "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                          $r/ns:Resource/ns:DisplayName'
                         PASSING RES AS "r" RETURNING CONTENT)
                AS VARCHAR2(128))
          = 'My New Paper';
ANY PATH
_____
/test/myexample
1 row selected.
```

### Example 24-21 Finding Resources Inside a Folder

```
SELECT ANY_PATH FROM RESOURCE_VIEW

WHERE under_path(resource, '/sys/schemas/PUBLIC/xmlns.oracle.com/xdb') = 1;

ANY_PATH

/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResource.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBSchema.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBStandard.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/acl.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/dav.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/log
```



```
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/log/xdblog.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/stats.xsd
/sys/schemas/PUBLIC/xmlns.oracle.com/xdb/xdbconfig.xsd
12 rows selected.
```

### **Example 24-22 Copying Resources**

```
SELECT PATH FROM PATH_VIEW WHERE under_path(RES, '/test') = 1;
PATH
/test/HR
/test/HR/example
/test/myexample
3 rows selected.
INSERT INTO PATH VIEW
 SELECT '/newlocation/' | path(1), RES, LINK, NULL FROM PATH_VIEW
    WHERE under_path(RES, '/test', 1) = 1
   ORDER BY depth(1);
3 rows created.
SELECT PATH FROM PATH_VIEW WHERE under_path(RES, '/newlocation') = 1;
PATH
/newlocation/HR
/newlocation/HR/example
/newlocation/myexample
3 rows selected.
```

## 24.7 Performance Guidelines for Oracle XML DB Repository Operations

Guidelines are presented for improving the performance of repository operations such as resource creation and querying.

Folders that contain a large number of resources can negatively affect concurrency, particularly when many resources are created or deleted. As a rule of thumb, do not have folders that contain more than 10,000 resources. This empirical limit is based on the database block size and the average filename length.

If you create resources in bulk, perform a COMMIT operation at least every 1,000 resources. Performance can be negatively impacted if you commit very often or you commit less often than every 1,000 resource creations.

When creating a file resource that is an XML Schema-based document for which the XML schema is known, specify the XML schema URL as a parameter to PL/SQL



function  $\tt DBMS\_XDB\_REPOS.createResource$ . This saves preparsing the document to determine the XML schema.

Oracle XML DB uses configuration file xdbconfig.xml for configuring the system and protocol environment. This file includes an element parameter, resource-view-cachesize, that defines the size in dynamic memory of the RESOURCE\_VIEW cache. The default value is 1048576.

The performance of some queries on RESOURCE\_VIEW and PATH\_VIEW can be improved by tuning resource-view-cache-size. In general, the bigger the cache size, the faster the query. The default resource-view-cache-size is appropriate for most cases, but you may want to enlarge your resource-view-cache-size element when querying a sizable RESOURCE\_VIEW.

The default limits for the following elements are soft limits. The system automatically adapts when these limits are exceeded.

- xdbcore-loadableunit-size This element indicates the maximum size to which
  a loadable unit (partition) can grow in Kilobytes. When a partition is read into
  memory or a partition is built while consuming a new document, the partition is
  built until it reaches the maximum size. The default value is 16 KB.
- xdbcore-xobmem-bound This element indicates the maximum memory in kilobytes that a document is allowed to occupy. The default value is 1024 KB. Once the document exceeds this number, some loadable units (partitions) are swapped out.

### **Related Topics**

 Administration of Oracle XML DB Administration of Oracle XML DB includes installing, upgrading, and configuring it.



Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL function DBMS\_XDB\_REPOS.createResource

### 24.8 Searching for Resources Using Oracle Text

Table XDB\$RESOURCE in database schema XDB stores the metadata and content of repository resources. You can search for resources that contain a specific keyword by using Oracle SQL function contains with RESOURCE\_VIEW or PATH\_VIEW.

To evaluate such queries, you must first create a context index on the XDB\$RESOURCE table. Depending on the type of documents stored in Oracle XML DB, choose one of the following options for creating your context index:

If Oracle XML DB contains only XML documents, that is, no binary data, then a regular Context Index can be created on the XDB\$RESOURCE table. This is the case for Example 24-24.

CREATE INDEX xdb\$resource\_ctx\_i ON XDB.XDB\$RESOURCE(OBJECT\_VALUE)
INDEXTYPE IS CTXSYS.CONTEXT;



### Example 24-23 Find All Resources Containing "Paper"

## Example 24-24 Find All Resources Containing "Paper" that are Under a Specified Path

```
SELECT ANY_PATH FROM RESOURCE_VIEW
   WHERE contains(RES, 'Paper') > 0 AND under_path(RES, '/test') > 0;
ANY_PATH
--------------/test/myexample
1 row selected.
```

### **Related Topics**

- Query and Update of XML Data
   There are many ways for applications to query and update XML data that is in Oracle Database, both XML schema-based and non-schema-based.
- PL/SQL APIs for XMLType: References
   The PL/SQL Application Programming Interfaces (APIs) for XMLType are described.



### Resource Versions

Oracle XML DB Repository resources can be versioned. A record is kept of all changes to a resource that is under version control.

## Overview of Oracle XML DB Repository Resource Versioning You can create and manage different versions of a repository resource. A record is kept of all changes to a resource that is under version control. When you update

kept of all changes to a resource that is under version control. When you update a version-controlled resource, the pre-update contents are stored as a separate resource version – a snapshot for the historical record.

## Overview of PL/SQL Package DBMS\_XDB\_VERSION You use PL/SQL package DBMS\_XDB\_VERSION to work with Oracle XML DB Repository resource versions.

### Resource Versions and Resource IDs

A resource object ID, or **resource ID**, is a unique, constant, system-generated identifier for a resource. Each resource has a resource ID. This includes *version resources*, which are system-generated resources that do not have any path names. A resource ID is sometimes called a **RESID**.

#### Resource Versions and ACLs

A version resource is immutable. It is a snapshot of resource content and metadata, plus a resource ID, and both snapshot and ID are static. Likewise, the access control list (ACL) of a version resource cannot be changed.

### Resource Versioning Examples

Examples here create a version-controlled resource; retrieve the content of a resource using its resource ID; check out a version-controlled resource (for all users); update the content of a resource; check in a resource; retrieve the content and metadata of different versions of a resource; and cancel a resource check-out.

## 25.1 Overview of Oracle XML DB Repository Resource Versioning

You can create and manage different versions of a repository resource. A record is kept of all changes to a resource that is under version control. When you update a version-controlled resource, the pre-update contents are stored as a separate resource version – a snapshot for the historical record.

Versioning features include the following:

- Version control for a resource.
  - You can turn version control on or off for an Oracle XML DB Repository resource.
- Updating a version-controlled resource.
  - When Oracle XML DB updates a version-controlled resource, it creates a new version of the resource. This new version is not deleted from the database when you delete the version-controlled resource.
- Accessing a version-controlled resource.



You can access a version-controlled resource the same way you access any other resource.

Accessing a resource version.

To access a particular version of a resource, you use the resource ID of that version. The resource ID can be obtained from the resource version history or from the version-controlled resource itself. See Resource Versions and Resource IDs.

Table 25-1 lists some terms used in this chapter.

Table 25-1 Oracle XML DB Versioning Terms

Term	Description
Versionable resource	A resource that can be put under version control. All Oracle XML DB resources except folders and ACLs are versionable.
Version-controlled resource	A resource that is under version control.
Version resource	A particular version of a version-controlled resource. A version resource is itself a resource. It is system-generated, and it has no associated path name. It is read-only (it cannot be updated or deleted).
checkOut, checkIn, unCheckOut	Operations for managing version-controlled resources. You must use <code>checkOut</code> before you can modify a version-controlled resource. Use <code>checkIn</code> to make your changes permanent. Use <code>unCheckOut</code> to cancel your changes. (Use <code>COMMIT</code> after each of these operations.)

### Note:

Oracle XML DB supports version control only for Oracle XML DB resources. It does *not* support version control for user-defined tables or data in Oracle Database.

Oracle does *not* guarantee preservation of the resource ID of a version across check-in and check-out. Everything except the resource ID of the latest version is preserved.

Oracle XML DB supports versioning of XML resources that are not XML schema-based. It also supports versioning of XML schema-based resources and resources that contain XML schema-based metadata, but only if the underlying tables have *no* associated triggers or constraints.

If hierarchy is enabled for a table, then the table has a trigger. This includes tables that are created as part of XML schema registration, for which the default behavior is to enable hierarchy.

Be aware also that if you query one of the tables underlying a resource, the query can return data from multiple versions of the resource. This is because the data for the different resource versions is stored in the same underlying table, using different rows.



## 25.2 Overview of PL/SQL Package DBMS\_XDB\_VERSION

You use PL/SQL package <code>DBMS\_XDB\_VERSION</code> to work with Oracle XML DB Repository resource versions.

Table 25-2 summarizes the main DBMS\_XDB\_VERSION subprograms.

Table 25-2 PL/SQL Functions and Procedures in Package DBMS\_XDB\_VERSION

Function or Procedure	Description
makeVersioned(pathname	Turn a resource with the given path name into a version controlled resource.
VARCHAR2) RETURN DBMS_XDB_VERSION.RESID_T YPE;	If two or more path names refer to the same resource, then the resource is copied, and argument path name is bound with the copy. The new resource is put under version control. All other path names continue to refer to the original resource.
	The argument is the path name of the resource to be put under version control.
	Returns the resource ID of the first version resource of the version-controlled resource.
	This is not an auto-commit SQL operation. An error is raised of you call makeVersioned for a folder, version resource, or ACL, or if the target resource does not exist. Note: No error or warning is raised if you call makeVersioned for a version-controlled resource.
<pre>checkOut(pathname VARCHAR2);</pre>	Check out a version-controlled resource. You cannot update or delete a version-controlled resource until you check it out. Check-out is for all users: any user can modify a resource that has been checked out.
	The argument is the path name of the version-controlled resource to be checked out. This is not an auto-commit SQL operation. If two users check out the same version-controlled resource at the same time, then one user must roll back. As a precaution, commit after checking out and before updating a resource. An error is raised if the target resource is not under version control, does not exist, or is already checked out.
checkIn (pathname	Check in a version-controlled resource that has been checked out.
VARCHAR2) RETURN	pathname - Path name of the checked-out resource.
DBMS_XDB_VERSION.RESID_T	Returns the resource id of the newly created version.
YPE;	This is not an auto-commit SQL operation. You need not use the same path name that was used for check-out. However, the check-in path name and the check-out path name must reference the same resource, or else results are unpredictable.
	If the resource has been renamed, then the new name must be used when checking it in. An error is raised if the path name refers to no resource.
unCheckOut(pathname	Check in a checked-out resource.
VARCHAR2) RETURN	The argument is the path name of the checked-out resource.
DBMS_XDB_VERSION.RESID_' YPE;	Returns the resource id of the version before the resource was checked out. This is not an auto-commit SQL operation. You need not use the same path name that was used for check-out. However, the unCheckOut path name and the check-out path name must reference the same resource, or else results are unpredictable.
	If the resource has been renamed, then the new name must be used for unCheckOut. An error is raised if the path name refers to no resource.



Table 25-2 (Cont.) PL/SQL Functions and Procedures in Package DBMS\_XDB\_VERSION

Function or Procedure	Description
getPredecessors(pathname VARCHAR2) RETURN	Given a path name that references a version resource or a version-controlled resource, return the predecessors of the resource.
<pre>RESID_LIST_TYPE; getPredsByRESID(resid</pre>	Retrieving predecessors by resource ID, using function <code>getPredsByRESID</code> is more efficient than by path name, using function <code>getPredecessors</code> .
DBMS_XDB_VERSION.RESID_T YPE) RETURN RESID_LIST_TYPE;	The list of predecessors returned has only one element (the parent): Oracle XML DB does not support version branching.
getSuccessors(pathname VARCHAR2) RETURN	Given a version resource or a version-controlled resource, return the successors of the resource.
RESID_LIST_TYPE; getSuccsByRESID(resid	Retrieving successors by resource ID, using function <code>getSuccsByRESID</code> is more efficient than by path name, using function <code>getSuccessors</code> .
DBMS_XDB_VERSION.RESID_T YPE) RETURN RESID_LIST_TYPE;	The list of successors returned has only one element (the parent): Oracle XML DB does not support version branching.
getResourceByRESID(resid DBMS_XDB_VERSION.RESID_T YPE) RETURN XMLType;	Given a resource ID, return the resource as an XMLType instance.

### 25.3 Resource Versions and Resource IDs

A resource object ID, or **resource ID**, is a unique, constant, system-generated identifier for a resource. Each resource has a resource ID. This includes *version resources*, which are system-generated resources that do not have any path names. A resource ID is sometimes called a **RESID**.

You use PL/SQL package <code>DBMS\_XDB\_VERSION</code> to put a resource under version-control and manage different versions of it. Some of the <code>DBMS\_XDB\_VERSION</code> routines accept the path name of a version-controlled resource as argument and return the resource ID of the relevant version resource.

For example, you use function <code>DBMS\_XDB\_VERSION.makeVersioned</code> to put a resource under version control, that is, to turn it into a version-controlled resource. It accepts as argument a repository path to the resource.

You need not use the same path name for a given version-controlled resource when you perform various versioning operations on it, but the path names you use must all refer to the same resource.

Whenever a path name is passed as an argument representing a version-controlled resource, it is the latest (that is, the current) version of the resource that is used. A path name always stands for the latest version. The only way you can refer to a version other than the current version is to use its resource ID.

The resource ID of a given version is constant. Remember that a version is itself a resource, and the resource ID of a resource never changes.

Each time you check in a version-controlled resource, Oracle XML DB creates a new version resource. A **version resource** is a snapshot of a resource (its content and metadata) together with a resource ID. The collection of version resources for a given



version-controlled resource constitutes a historical sequence of previous versions, the **version series** or history of the resource.

When you check in a version-controlled resource that has resource ID  $\mathbf{R}$ , Oracle XML DB creates a new resource ID,  $\mathbf{P}$ , which refers to a snapshot of the resource (both content and metadata), as it was before it was last checked out. The snapshot was made before check-out, but the associated version resource (and its resource ID  $\mathbf{P}$ ) are created at check-in time. Together, the new resource ID  $\mathbf{P}$  and the snapshot it refers to thus represent the *previous*, not the current, version of the resource. Resource ID  $\mathbf{R}$  continues to refer to the current version.

Put another way, when you check in a version-controlled resource, a version resource is created that represents the previous state of the version-controlled resource. Like any new resource, this new version resource is allocated a new resource ID (*P*).

You can think about making a version resource (check-in) the way you think about making a backup copy of a file: Just as you give a new name to the backup file, so the previous-version snapshot of a resource is given a new resource ID. The current resource retains the original resource ID, just as your working file keeps its original name.

What this means is that when you check in a resource, in order to "create a new version", what's really new is the version resource (resource ID  $\boldsymbol{P}$  and the snapshot it references) that represents the *old* (previous) version. The newest, or latest, version of the resource ( $\boldsymbol{R}$ ) is really just the current version. Remember: new version resource = old (previous) version of the resource content and metadata.

Resource ID  $\boldsymbol{R}$  refers to the *current* version of the version-controlled resource throughout its lifetime, from the moment it was put under version control until it is deleted. You can always access the latest version of a resource using its original resource ID.

When you need to refer to a previous version of a resource, you must use its resource ID to reference it. You cannot use a path name. You can use function <code>DBMS\_XDB\_VERSION.getPredsByRESID</code> to obtain the resource ID of the previous version of a given resource.



If you *delete* a resource, then any subsequent reference to it, whether by resource ID or path name, raises an error (typically ORA-31001: Invalid resource handle or path name). You *cannot* access any version of a version-controlled resource that has been deleted.

### 25.4 Resource Versions and ACLs

A version resource is immutable. It is a snapshot of resource content and metadata, plus a resource ID, and both snapshot and ID are static. Likewise, the access control list (ACL) of a version resource cannot be changed.

You can modify the ACL of a version-controlled resource that you have checked out. When you check it in, the modified ACL continues to be associated with the current (latest) version of the resource, and the previous version, that is, the newly created version resource, is associated with the ACL before it was modified. That is,



the previous version is associated with the previous ACL, and the current version is associated with the updated ACL.

What is important to keep in mind is this:

- Different versions of a resource can have different ACLs associated with them.
- You can modify the ACL associated with the current version after you check out the resource.
- Check-in associates the ACL as it was before check-out with the newly created version resource, that is, with the previous version of the resource.
- The ACL associated with a given version remains the same.

### 25.5 Resource Versioning Examples

Examples here create a version-controlled resource; retrieve the content of a resource using its resource ID; check out a version-controlled resource (for all users); update the content of a resource; check in a resource; retrieve the content and metadata of different versions of a resource; and cancel a resource check-out.

- Putting a resource under version control Example 25-2
- Retrieving the content of the resource using its resource ID Example 25-3
- Checking out a version-controlled resource (for all users) Example 25-4
- Updating the content of a resource Example 25-5
- Checking in a resource Example 25-6
- Retrieving the content and metadata of different versions of a resource Example 25-7, Example 25-8, Example 25-9
- Canceling a resource check-out Example 25-10

Example 25-3 creates an Oracle XML DB Repository resource at repository path / public/t1.txt. The resource has as content the text Mary had a little lamb. The example uses SQL\*Plus command VARIABLE to declare bind variables targetPath, current\_RESID, and previous\_RESID, which are used in other examples in this section.

The new resource is *not* version-controlled. Example 25-2 uses PL/SQL function DBMS\_XDB\_VERSION.makeVersioned to put it under version control. This function returns the resource ID of the first version resource for the version-controlled resource. The function does not auto-commit. You must explicitly use COMMIT.

Example 25-2 also copies the resource ID of the new version resource to bind variable current\_RESID. Example 25-3 shows how to use PL/SQL constructor XDBUritype together with PL/SQL function createOIDPath to retrieve the resource content by referencing the resource ID.

Example 25-4 checks out the version-controlled resource (and commits), so that it can be modified. Any user can modify a resource that has been checked out.

Example 25-5 updates the content of the checked-out resource. Before the (LOB) content can be updated, you must lock the resource. The example uses a dummy update of the resource display name (a scalar attribute) to do this.



Example 25-5 retrieves the LOB content using the LOB locator, which is element / ns:Resource/ns:XMLLob. It empties the existing content and adds new content using PL/SQL procedures trim and append in package DBMS\_LOB. It commits the content change.



Oracle Database SecureFiles and Large Objects Developer's Guide for information about updating a LOB

At this point, the content has been modified, but this change has not been recorded in the version series. Example 25-6 checks in the resource and commits the check-in.

PL/SQL function checkIn returns the resource ID of the current version, which is the same as current\_RESID. Example 25-6 passes this value to PL/SQL function getPredsByRESID. This function returns the list of resource IDs for the (immediate) predecessors of its argument resource.¹ Example 25-6 assigns the first (and only) element of this list to bind variable previous RESID.

At this point, the value of <code>current\_RESID</code> is the resource ID of the current version, and the value of <code>previous\_RESID</code> is the resource ID of the previous version.

You can retrieve the content or metadata of a resource using any of the following methods:

- PL/SQL constructor XDBURITYPE, together with PL/SQL function
   DBMS\_XDB\_REPOS.createOIDPath Retrieve content. See Example 25-3 and
   Example 25-7.
- PL/SQL function DBMS\_XDB\_VERSION.getContentsCLOBByRESID Retrieve content.
   See Example 25-8.
- PL/SQL function DBMS\_XDB\_VERSION.getResourceByRESID Retrieve metadata.
   See Example 25-9.

You can use constructor XDBURIType with function createOIDPath to access resource content using protocols. For example, you could have Oracle XML DB serve up various versions of a graphic image file resource for a Web page, setting the HREF for the HTML IMAGE tag to a value returned by createOIDPath.

Example 25-7 through Example 25-9 use these different methods to retrieve the two versions of the resource addressed by bind variables <code>current\_RESID</code> and <code>previous\_RESID</code> after check-in.

You can cancel a check-out using PL/SQL function <code>DBMS\_XDB\_VERSION.unCheckOut.</code> Example 25-10 illustrates this.

#### Example 25-1 Creating a Repository Resource

targetPath	VARCHAR2(700
current_RESID	VARCHAR2(32)
previous_RESID	VARCHAR2(32)
	current_RESID

DECLARE

<sup>&</sup>lt;sup>1</sup> In Oracle XML DB, a version resource always has a *single* predecessor, that is, a single version that immediately precedes it. The WebDAV standard provides for the possibility of multiple predecessors.



```
res BOOLEAN;
BEGIN
   :targetPath := '/public/t1.txt';
IF (DBMS_XDB_REPOS.existsResource(:targetPath))
     THEN DBMS_XDB_REPOS.deleteResource(:targetPath);
END IF;
res := DBMS_XDB_REPOS.createResource(:targetPath, 'Mary had a little lamb');
END;
//
```

### Example 25-2 Creating a Version-Controlled Resource

```
DECLARE
  resid DBMS_XDB_VERSION.RESID_TYPE;
BEGIN
  resid := DBMS_XDB_VERSION.makeVersioned(:targetPath);
  :current_RESID := resid;
  COMMIT;
END;
//
```

### Example 25-3 Retrieving Resource Content by Referencing the Resource ID

### Example 25-4 Checking Out a Version-Controlled Resource

```
BEGIN
   DBMS_XDB_VERSION.checkOut(:targetPath);
   COMMIT;
END;
/
```

#### **Example 25-5 Updating Resource Content**

```
DECLARE
 content.
               BLOB;
 newContentBlob BLOB;
 newContentClob CLOB;
 source_offset INTEGER := 1;
 target_offset INTEGER := 1;
               INTEGER;
 lang_context INTEGER := 0;
  -- Lock the resource using a dummy update.
UPDATE RESOURCE_VIEW
 SET RES =
   XMLQuery('copy $i := $p1 modify
                (for $j in $i/Resource/DisplayName
                return replace value of node $j with $p2)
               return $i'
               PASSING
                RES AS "p1",
                XMLCast(XMLQuery('declare namespace ns =
                                     "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
```

```
$r/ns:Resource/ns:DisplayName/text()'
                                  PASSING RES AS "r" RETURNING CONTENT)
                         AS VARCHAR2(128)) AS "p2"
               RETURNING CONTENT)
    WHERE equals_path(res, :targetPath) = 1;
  -- Get the LOB locator.
 SELECT XMLCast(XMLQuery('declare namespace ns =
                           "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                           $r/ns:Resource/ns:XMLLob'
                          PASSING RES AS "r" RETURNING CONTENT)
                 AS BLOB)
    INTO content FROM RESOURCE_VIEW
    WHERE equals_path(RES, :targetPath) = 1;
  -- Update the LOB.
 newContentClob := 'Hickory dickory dock, the mouse ran up the clock';
 DBMS_LOB.createTemporary(newContentBlob, false, DBMS_LOB.CALL);
 DBMS_LOB.convertToBlob(newContentBlob, newContentClob,
                         DBMS_LOB.getLength(newContentClob),
                         source_offset, target_offset,
                         nls_charset_id('AL32UTF8'), lang_context, warning);
 DBMS_LOB.open(content, DBMS_LOB.lob_readwrite);
 DBMS_LOB.trim(content, 0);
 DBMS_LOB.append(content, newContentBlob);
 DBMS_LOB.close(content);
 DBMS_LOB.freeTemporary(newContentBlob);
 DBMS_LOB.freeTemporary(newContentClob);
 COMMIT;
END;
```

#### Example 25-6 Checking In a Version-Controlled Resource

```
DECLARE
  resid DBMS_XDB_VERSION.RESID_TYPE;
BEGIN
  resid := DBMS_XDB_VERSION.checkIn(:targetPath);
  :previous_RESID := DBMS_XDB_VERSION.getPredsByRESID(resid)(1);
  COMMIT;
END;
//
```

### Example 25-7 Retrieving Resource Version Content Using XDBURITYPE and CREATEOIDPATH

### **Example 25-8 Retrieving Resource Version Content Using GETCONTENTSCLOBBYRESID**

```
SELECT DBMS_XDB_VERSION.getContentsCLOBByRESID(:current_RESID) FROM DUAL;

DBMS_XDB_VERSION.GETCONTENTSCLOBBYRESID(:CURRENT_RESID)

Mary had a little lamb

1 row selected.

SELECT DBMS_XDB_VERSION.getContentsCLOBByRESID(:previous_RESID) FROM DUAL;

DBMS_XDB_VERSION.GETCONTENTSCLOBBYRESID(:PREVIOUS_RESID)

Hickory dickory dock, the mouse ran up the clock

1 row selected.
```

### Example 25-9 Retrieving Resource Version Metadata Using GETRESOURCEBYRESID

```
SELECT XMLSerialize(DOCUMENT DBMS_XDB_VERSION.getResourceByRESID(:current_RESID)
                    AS CLOB INDENT SIZE = 2)
 FROM DUAL;
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd" Hidden="false"</pre>
          Invalid="false" VersionID="2" ActivityID="0" Container="false"
          CustomRslv="false" VersionHistory="false" StickyRef="true">
  <CreationDate>2009-05-06T12:33:34.012133/CreationDate>
  <ModificationDate>2009-05-06T12:33:34.280199/ModificationDate>
  <DisplayName>t1.txt</DisplayName>
  <Language>en-US</Language>
  <CharacterSet>UTF-8</CharacterSet>
  <ContentType>text/plain</ContentType>
  <RefCount>1</RefCount>
  <ACL>
    <acl description="Public:All privileges to PUBLIC"
         xmlns="http://xmlns.oracle.com/xdb/acl.xsd"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                             http://xmlns.oracle.com/xdb/acl.xsd"
         shared="true">
      <ace>
        <grant>true</grant>
        <principal>PUBLIC</principal>
        <privilege>
         <all/>
        </privilege>
      </ace>
    </acl>
  </ACL>
  <Owner>HR</Owner>
  <Creator>HR</Creator>
  <LastModifier>HR</LastModifier>
  <SchemaElement>http://xmlns.oracle.com/xdb/XDBSchema.xsd#text</SchemaElement>
  <Contents>
    <text>Mary had a little lamb</text>
  </Contents>
  <VCRUID>69454F2EF12E3375E040578C8A1764B5</VCRUID>
  <Parents>69454F2EF12F3375E040578C8A1764B5/Parents>
</Resource>
```

```
1 row selected.
SELECT XMLSerialize(DOCUMENT DBMS_XDB_VERSION.getResourceByRESID(:previous_RESID)
                   AS CLOB INDENT SIZE = 2)
 FROM DUAL;
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd" Hidden="false"</pre>
         Invalid="false" VersionID="1" Container="false" CustomRslv="false"
         VersionHistory="false" StickyRef="true">
  <CreationDate>2009-05-06T12:33:34.012133
  <ModificationDate>2009-05-06T12:33:34.012133//ModificationDate>
  <DisplayName>t1.txt</DisplayName>
  <Language>en-US</Language>
  <CharacterSet>UTF-8</CharacterSet>
  <ContentType>text/plain</ContentType>
  <RefCount>0</RefCount>
  <ACL>
    <acl description="Public:All privileges to PUBLIC"
        xmlns="http://xmlns.oracle.com/xdb/acl.xsd"
         xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
         xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                            http://xmlns.oracle.com/xdb/acl.xsd"
         shared="true">
      <ace>
        <grant>true
        <principal>PUBLIC</principal>
        <privilege>
         <all/>
       </privilege>
      </ace>
    </acl>
  </ACL>
  <Owner>HR</Owner>
  <Creator>HR</Creator>
  <LastModifier>HR</LastModifier>
  <SchemaElement>http://xmlns.oracle.com/xdb/XDBSchema.xsd#text</SchemaElement>
  <Contents>
   <text>Hickory dickory dock, the mouse ran up the clock</text>
  </Contents>
  <VCRUID>69454F2EF12E3375E040578C8A1764B5//CRUID>
</Resource>
1 row selected.
```

### Example 25-10 Canceling a Check-Out Using UNCHECKOUT

```
DECLARE
  resid DBMS_XDB_VERSION.RESID_TYPE;
BEGIN
  resid := DBMS_XDB_VERSION.unCheckOut(:targetPath);
END;
/
```

# PL/SQL Access to Oracle XML DB Repository

PL/SQL packages DBMS\_XDB\_CONFIG and DBMS\_XDB\_REPOS together provide the Oracle XML DB resource application program interface (API) for PL/SQL. You use the former to configure Oracle XML DB and its repository. You use the latter to perform other, non-configuration operations on the repository.

- DBMS\_XDB\_REPOS: Access and Manage Repository Resources
   You use PL/SQL package DBMS\_XDB\_REPOS to access and manage resources in
   Oracle XML DB Repository. It includes methods for managing resource security
   based on access control lists (ACLs). An ACL is a list of access control entries
   (ACEs) that determines which principals (users and roles) have access to which
   resources.
- DBMS\_XDB\_REPOS: ACL-Based Security Management
   PL/SQL package DBMS\_XDB\_REPOS provides Oracle XML DB ACL-based security management functions and procedures.
- DBMS\_XDB\_CONFIG: Configuration Management
   PL/SQL package DBMS\_XDB\_CONFIG provides Oracle XML DB configuration management functions and procedures.

#### **Related Topics**

- PL/SQL APIs for XMLType: References
   The PL/SQL Application Programming Interfaces (APIs) for XMLType are described.
- Package DBMS\_XDB\_ADMIN
   PL/SQL package DBMS\_XDB\_ADMIN has subprograms for managing and configuring
   Oracle XML DB and Oracle XML DB Repository.

# 26.1 DBMS\_XDB\_REPOS: Access and Manage Repository Resources

You use PL/SQL package DBMS\_XDB\_REPOS to access and manage resources in Oracle XML DB Repository. It includes methods for managing resource security based on access control lists (ACLs). An ACL is a list of access control entries (ACEs) that determines which principals (users and roles) have access to which resources.

See Also:

Oracle Database PL/SQL Packages and Types Reference

Table 26-1 describes the functions and procedures in package DBMS XDB REPOS.

Table 26-1 DBMS\_XDB\_REPOS Resource Access and Management Subprograms

Function/Procedure	Description
addResource	Insert a new file resource into the repository hierarchy, with the given string as its contents.
appendResourceMetadata	Add user-defined metadata to a resource.
createFolder	Create a new folder resource.
createOIDPath	Create a virtual path to a resource, based on its object identifier (OID).
createResource	Create a new file resource.
deleteResource	Delete a resource from the repository.
deleteResourceMetadata	Delete specific user-defined metadata from a resource.
existsResource	Indicate whether or not a resource exists, given its absolute path.
getContentBLOB	Return the contents of a resource as a BLOB instance.
getContentVARCHAR2	Return the contents of a resource as a VARCHAR2 value.
getContentXMLRef	Return the contents of a resource as a reference to an XMLType instance.
getContentXMLType	Return the contents of a resource as an XMLType instance.
getLockToken	Return a resource lock token for the current user, given a path to the resource.
getRes0ID	Return the object identifier (OID) of a resource, given its absolute path.
getResource	Return the instance of class <code>DBMS_XDBRESOURCE.XDBResource</code> that is located at a given path in the repository.
getXDB_tablespace	Return the current tablespace of database schema (user account) XDB.
hasBLOBContent	Return TRUE if a given resource has BLOB content.
hasCharContent	Return TRUE if a given resource has character content.
hasXMLContent	Return TRUE if a given resource has XMLType content.
hasXMLReference	Return TRUE if a given resource has a reference to XMLType content.
isFolder	Return TRUE if a given resource is a folder.
link	Create a link to an existing resource.
lockResource	Obtain a WebDAV-style lock on a resource, given a path to the resource.
processLinks	Process all XLink (deprecated) and XInclude links in a document or folder.
purgeResourceMetadata	Delete all user-defined metadata from a given resource.
refreshContentSize	Recompute the content size of a given resource.
renameResource	Rename a given resource.
touchResource	Change the last-modified time to the current time.
unlockResource	Unlock a resource, given its lock token and path.
updateResourceMetadata	Modify user-defined resource metadata.

Example 26-1 uses package DBMS\_XDB\_REPOS to manage repository resources. It creates the following:

- A folder, mydocs, under folder /public
- Two file resources, emp\_selby.xml and emp\_david.xml
- Two links to the file resources person\_selby.xml and person\_david.xml



It then deletes each of the newly created resources and links. The folder contents are deleted before the folder itself.



User-Defined Repository Metadata for examples using appendResourceMetadata and deleteResourceMetadata

#### Example 26-1 Managing Resources Using DBMS\_XDB\_REPOS

```
DECLARE
 retb BOOLEAN;
BEGIN
 retb := DBMS_XDB_REPOS.createfolder('/public/mydocs');
 retb := DBMS_XDB_REPOS.createresource('/public/mydocs/emp_selby.xml',
                                         '<emp_name>selby</emp_name>');
 retb := DBMS_XDB_REPOS.createresource('/public/mydocs/emp_david.xml',
                                         '<emp_name>david</emp_name>');
END;
PL/SQL procedure successfully completed.
CALL DBMS_XDB_REPOS.link('/public/mydocs/emp_selby.xml',
                         '/public/mydocs',
                         'person_selby.xml');
Call completed.
CALL DBMS_XDB_REPOS.link('/public/mydocs/emp_david.xml',
                         '/public/mydocs',
                         'person_david.xml');
Call completed.
CALL DBMS_XDB_REPOS.deleteresource('/public/mydocs/emp_selby.xml');
Call completed.
CALL DBMS_XDB_REPOS.deleteresource('/public/mydocs/person_selby.xml');
Call completed.
CALL DBMS_XDB_REPOS.deleteresource('/public/mydocs/emp_david.xml');
Call completed.
CALL DBMS_XDB_REPOS.deleteresource('/public/mydocs/person_david.xml');
Call completed.
CALL DBMS_XDB_REPOS.deleteresource('/public/mydocs');
Call completed.
```

# 26.2 DBMS\_XDB\_REPOS: ACL-Based Security Management

PL/SQL package DBMS\_XDB\_REPOS provides Oracle XML DB ACL-based security management functions and procedures.

Table 26-2 DBMS\_XDB\_REPOS: Security Management Subprograms

Function/Procedure	Description
ACLCheckPrivileges	Check the access privileges granted to the current user by an ACL.
changeOwner	Change the owner of a given resource to a given user.
changePrivileges	Add an ACE to a resource ACL.
checkPrivileges	Check the access privileges granted to the current user for a resource.
getACLDocument	Return the ACL document that protects a resource, given the path name of the resource.
getPrivileges	Return all privileges granted to the current user for a resource.
setACL	Set the ACL for a resource.



Oracle Database PL/SQL Packages and Types Reference

In Example 26-2, database user HR creates two resources: a folder, /public/mydocs, with a file in it, emp\_selby.xml. Procedure getACLDocument is called on the file resource, showing that the <pri>reprincipal> user for the document is PUBLIC.

In Example 26-3, the system manager connects and uses procedure <code>setACL</code> to give the owner (database schema <code>HR</code>) all privileges on the file resource created in Example 26-2. Procedure <code>getACLDocument</code> then shows that the <code><principal></code> user is <code>dav:owner</code>, the owner (<code>HR</code>).

In Example 26-4, user HR connects and uses function changePrivileges to add a new access control entry (ACE) to the ACL, which gives all privileges on resource emp\_selby.xml to user oe. Procedure getACLDocument shows that the new ACE was added to the ACL.

In Example 26-5, user oe connects and calls <code>DBMS\_XDB\_REPOS.getPrivileges</code>, which shows all of the privileges granted to user oe on resource <code>emp\_selby.xml</code>.

#### Example 26-2 Using DBMS\_XDB\_REPOS.GETACLDOCUMENT



```
DBMS_XDB_REPOS.getACLDocument('/public/mydocs/emp_selby.xml')
                    AS CLOB)
  FROM DUAL;
XMLSERIALIZE(DOCUMENTDBMS_XDB_REPOS.GETACLDOCUMENT('/PUBLIC/MYDOCS/EMP_SELBY.XML
<acl description="Public:All privileges to PUBLIC" xmlns="http://xmlns.oracle.co</pre>
m/xdb/acl.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaL
ocation="http://xmlns.oracle.com/xdb/acl.xsd
lns.oracle.com/xdb/acl.xsd" shared="true">
    <grant>true</grant>
    <principal>PUBLIC</principal>
    <privilege>
     <all/>
    </privilege>
  </ace>
</acl>
1 row selected.
```

#### Example 26-3 Using DBMS\_XDB\_REPOS.SETACL

```
CONNECT SYSTEM
Enter password: password
Connected.
-- Give all privileges to owner, HR.
CALL DBMS_XDB_REPOS.setACL('/public/mydocs/emp_selby.xml',
                           '/sys/acls/all_owner_acl.xml');
Call completed.
COMMIT;
Commit complete.
SELECT XMLSerialize(DOCUMENT
                    DBMS_XDB_REPOS.getACLDocument('/public/mydocs/emp_selby.xml')
                    AS CLOB)
  FROM DUAL;
XMLSERIALIZE(DOCUMENTDBMS_XDB_REPOS.GETACLDOCUMENT('/PUBLIC/MYDOCS/EMP_SELBY.XML
<acl description="Private:All privileges to OWNER only and not accessible to oth</pre>
ers" xmlns="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:dav="DAV:" xmlns:xsi="htt
p://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle.
com/xdb/acl.xsd http://xmlns.oracle.com/xdb/acl.xsd" shared="true">
    <grant>true</grant>
    <principal>dav:owner</principal>
    <privilege>
      <all/>
    </privilege>
  </ace>
</acl>
1 row selected.
```

#### Example 26-4 Using DBMS\_XDB\_REPOS.CHANGEPRIVILEGES

```
CONNECT hr
Enter password: password
Connected.
SET SERVEROUTPUT ON
```



```
-- Add an ACE giving privileges to user OE
DECLARE
          PLS_INTEGER;
 r
 ace
          XMLType;
 ace_data VARCHAR2(2000);
BEGIN
 ace_data := '<ace xmlns="http://xmlns.oracle.com/xdb/acl.xsd"</pre>
                    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                    xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                                        http://xmlns.oracle.com/xdb/acl.xsd
                                        DAV:http://xmlns.oracle.com/xdb/dav.xsd">
                 <principal>OE</principal>
                 <grant>true
                 <privilege><all/></privilege>
               </ace>';
 ace := XMLType.createXML(ace_data);
 r := DBMS_XDB_REPOS.changePrivileges('/public/mydocs/emp_selby.xml', ace);
END;
PL/SQL procedure successfully completed.
COMMIT;
SELECT XMLSerialize(DOCUMENT
                    DBMS_XDB_REPOS.getACLDocument('/public/mydocs/emp_selby.xml')
                    AS CLOB)
 FROM DUAL;
XMLSERIALIZE(DOCUMENTDBMS XDB REPOS.GETACLDOCUMENT('/PUBLIC/MYDOCS/EMP SELBY.XML
<acl description="Private:All privileges to OWNER only and not accessible to oth</pre>
ers" xmlns="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:dav="DAV:" xmlns:xsi="htt
p://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle.
com/xdb/acl.xsd
                                          http://xmlns.oracle.com/xdb/acl.xsd" s
hared="false">
 <ace>
   <grant>true</grant>
   <principal>dav:owner</principal>
   <privilege>
     <all/>
   </privilege>
  </ace>
  <ace>
   <grant>true</grant>
   <principal>OE</principal>
   vilege>
     <al1/>
   </privilege>
 </ace>
</acl>
1 row selected.
```

#### Example 26-5 Using DBMS\_XDB\_REPOS.GETPRIVILEGES



```
<privilege xmlns="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:xsi="http://www.w3.</pre>
org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl
.xsd http://xmlns.oracle.com/xdb/acl.xsd DAV: http://xmlns.oracle.com/xdb/dav.xs
d" xmlns:xdbacl="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:dav="DAV:">
 <read-properties/>
 <read-contents/>
 <write-config/>
 k/>
 <unlink/>
 <read-acl/>
 <write-acl-ref/>
 <update-acl/>
 <resolve/>
 k-to/>
 <unlink-from/>
 <dav:lock/>
 <dav:unlock/>
 <dav:write-properties/>
 <dav:write-content/>
 <dav:execute/>
 <dav:take-ownership/>
 <dav:read-current-user-privilege-set/>
</privilege>
```

1 row selected.

# 26.3 DBMS\_XDB\_CONFIG: Configuration Management

PL/SQL package DBMS\_XDB\_CONFIG provides Oracle XML DB configuration management functions and procedures.



Oracle recommends that you use the subprograms of PL/SQL package  $\mbox{DBMS\_XDB\_CONFIG}$  to set or change FTP or HTTP port numbers. Do *not* set ports by directly editing configuration file  $\mbox{xdbconfig.xml}$ 

Table 26-3 DBMS\_XDB\_CONFIG: Configuration Management Subprograms

Subprogram	Description
addHTTPExpireMapping	Add a mapping of a URL pattern to an expiration date to table XDB\$CONFIG. The mapping controls the Expire headers for URLs that match the pattern.
addMIMEMapping	Add a MIME mapping to table XDB\$CONFIG.
addSchemaLocMapping	Add a schema-location mapping to table XDB\$CONFIG.
addServlet	Add a servlet to table XDB\$CONFIG.
addServletMapping	Add a servlet mapping to table XDB\$CONFIG.
addServletSecRole	Add a security role reference to a servlet.
addXMLExtension	Add an XML extension to table XDB\$CONFIG.
cfg_get	Return the configuration information for the current session.
cfg_refresh	Refresh the session configuration information using the current Oracle XML DB configuration file, xdbconfig.xml.



Table 26-3 (Cont.) DBMS\_XDB\_CONFIG: Configuration Management Subprograms

Subprogram	Description
cfg_update	Update the Oracle XML DB configuration information. This writes the configuration file, xdbconfig.xml.
deleteHTTPExpireMapping	Delete <i>all</i> mappings of a given URL pattern to an expiration date from table XDB\$CONFIG.
deleteMIMEMapping	Delete a MIME mapping from table XDB\$CONFIG.
deleteSchemaLocMapping	Delete a schema-location mapping from table XDB\$CONFIG.
deleteServlet	Delete a servlet from table XDB\$CONFIG.
deleteServletMapping	Delete a servlet mapping from table XDB\$CONFIG.
deleteServletSecRole	Delete a security role reference from a servlet.
deleteXMLExtension	Delete an XML extension from table XDB\$CONFIG.
enableDigestAuthentication	Enable digest authentication.
getFTPPort	Return the current FTP port number.
getHTTPConfigRealm	Return the HTTP configuration realm.
getHTTPPort, getHTTPSPort	Return the current HTTP(S) port number.
getListenerEndPoint	Return the parameters of a listener end point for the HTTP server.
GetRemoteHTTPPort, GetRemoteHTTPSPort	Return the number of the current remote HTTP(S) port.
setFTPPort	Set the Oracle XML DB FTP port to the specified port number.
setHTTPConfigRealm	Set the HTTP configuration realm.
setHTTPPort, setHTTPSPort	Set the Oracle XML DB HTTP(S) port to the specified port number.
setListenerEndPoint	Set the parameters of a listener end point for the HTTP server.
setListenerLocalAccess	Either (a) restrict all listener end points to listen on only the localhost interface or (b) allow all listener end points to listen on both localhost and non-localhost interfaces.
SetRemoteHTTPPort, SetRemoteHTTPSPort	Define the port number of a remote HTTP or HTTPS port, respectively. A remote port number is stored similarly to a non-remote HTTP(S) port, excepthey it is not specified in the configuration file, xdbconfig.xml file or in the configuration XML schema, xdbconfig.xsd.
usedPort	Return the ports used by other pluggable databases (PDBs) in the same multitenant container database (CDB). The return value is an XMLType instance that lists each PDB by id number and its associated ports by type and number.



Oracle Database PL/SQL Packages and Types Reference, Chapter "DBMS\_XDB\_CONFIG"

Example 26-6 uses function  $cfg\_get$  to retrieve the Oracle XML DB configuration file, xdbconfig.xml.



Example 26-7 illustrates the use of procedure cfg\_update. The current configuration is retrieved as an XMLType instance and modified. It is then rewritten using cfg\_update.

#### Example 26-6 Using DBMS\_XDB\_CONFIG.CFG\_GET

```
CONNECT SYSTEM
Enter password: password
Connected.
SELECT DBMS_XDB_CONFIG.cfg_get() FROM DUAL;
DBMS_XDB_CONFIG.CFG_GET()
<xdbconfig xmlns="http://xmlns.oracle.com/xdb/xdbconfig.xsd" xmlns:xsi="http://w</pre>
ww.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle.com/x
db/xdbconfig.xsd
                                                     http://xmlns.oracle.com/xdb
/xdbconfig.xsd">
  <sysconfig>
    <acl-max-age>19</acl-max-age>
    <acl-cache-size>32</acl-cache-size>
    <invalid-pathname-chars/>
    <case-sensitive>true</case-sensitive>
    <call-timeout>6000</call-timeout>
    <max-link-queue>65536</max-link-queue>
    <max-session-use>100</max-session-use>
    <persistent-sessions>false</persistent-sessions>
    <default-lock-timeout>3600</default-lock-timeout>
    <xdbcore-logfile-path>/sys/log/xdblog.xml</xdbcore-logfile-path>
    <xdbcore-log-level>0</xdbcore-log-level>
    <resource-view-cache-size>1048576</resource-view-cache-size>
    config>
      <common>
        . . .
      </common>
      <ftpconfig>
        . . .
      </ftpconfig>
      <httpconfig>
        <http-port>0</http-port>
        <http-listener>local_listener/http-listener>
        <http-protocol>tcp</http-protocol>
        <max-http-headers>64</max-http-headers>
        <max-header-size>16384</max-header-size>
        <max-request-body>200000000/max-request-body>
        <session-timeout>6000</session-timeout>
        <server-name>XDB HTTP Server
        <le><logfile-path>/sys/log/httplog.xml</logfile-path>
        <log-level>0</log-level>
        <servlet-realm>Basic realm=&quot;XDB&quot;</servlet-realm>
        <webappconfig>
        </webappconfig>
        <authentication>
        </authentication>
    </protocolconfig>
    <xdbcore-xobmem-bound>1024</xdbcore-xobmem-bound>
    <xdbcore-loadableunit-size>16</xdbcore-loadableunit-size>
    <acl-evaluation-method>ace-order</acl-evaluation-method>
  </sysconfig>
```



```
</xdbconfig>
```

#### Example 26-7 Using DBMS\_XDB\_CONFIG.CFG\_UPDATE

```
DECLARE
  configxml
               SYS.XMLType;
  configxml2 SYS.XMLType;
  -- Get the current configuration
  configxml := DBMS_XDB_CONFIG.cfg_get();
  -- Modify the configuration
SELECT XMLQuery(
  'declare default element namespace
   "http://xmlns.oracle.com/xdb/xdbconfig.xsd"; (: :)
   copy $i := $p1 modify
     (for $j in $i/xdbconfig/sysconfig/protocolconfig/httpconfig/http-port
     return replace value of node $j with $p2)
     return $i'
    PASSING CONFIGXML AS "p1", '8000' AS "p2" RETURNING CONTENT)
  INTO configxml2 FROM DUAL;
  -- Update the configuration to use the modified version
 DBMS_XDB_CONFIG.cfg_update(configxml2);
END;
PL/SQL procedure successfully completed.
SELECT DBMS XDB CONFIG.cfg get() FROM DUAL;
DBMS_XDB_CONFIG.CFG_GET()
<xdbconfig xmlns="http://xmlns.oracle.com/xdb/xdbconfig.xsd" xmlns:xsi="http://w</pre>
ww.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle.com/x
db/xdbconfig.xsd http://xmlns.oracle.com/xdb/xdbconfig.xsd">
  <sysconfig>
    <acl-max-age>15</acl-max-age>
    <acl-cache-size>32</acl-cache-size>
    <invalid-pathname-chars/>
    <case-sensitive>true</case-sensitive>
    <call-timeout>6000</call-timeout>
    <max-link-queue>65536</max-link-queue>
    <max-session-use>100</max-session-use>
    <persistent-sessions>false</persistent-sessions>
    <default-lock-timeout>3600</default-lock-timeout>
    <xdbcore-logfile-path>/sys/log/xdblog.xml</xdbcore-logfile-path>
    <resource-view-cache-size>1048576</resource-view-cache-size>
    colconfig>
      <common>
      . . .
      </common>
      <ftpconfig>
      </ftpconfig>
      <httpconfig>
        <http-port>8000</http-port>
      </httpconfig>
```



1 row selected.



# Repository Access Control

Oracle Database provides classic database security such as row-level and column-level secure access by database users. It also provides fine-grained access control for resources in Oracle XML DB Repository. You can create, set, and modify access control lists (ACLs).

#### Access Control Concepts

Access control terms and concepts are explained. Each of the access-control entity — user, role, principal, privilege, access control list (ACL), and access control entry (ACE) — is implemented declaratively as an XML document or fragment.

#### Database Privileges for Repository Operations

The database privileges needed for common operations on resources in Oracle XML DB Repository are described.

#### Privileges

The privileges provided with Oracle Database include the standard WebDAV privileges as well as Oracle-specific privileges.

#### ACLs and ACEs

ACLs are used to protect resources, which in the case of Oracle Database are resources (files and folders) in Oracle XML DB Repository. ACLs are composed of ACEs.

#### Overview of Working with Access Control Lists (ACLs)

Oracle Database access control lists (ACLs) are themselves (file) resources in Oracle XML DB Repository, so all of the access methods that operate on repository resources also apply to ACLs. In addition, there are several APIs specific to ACLs in PL/SQL package DBMS\_XDB\_REPOS.

#### ACL Caching

Since ACLs are checked for each access to the data they protect, the performance of the ACL check operation is critical to the performance of such data, including Oracle XML DB Repository resources. In Oracle XML DB, the required performance for this repository operation is achieved by employing several caches.

#### Repository Resources and Database Table Security

A uniform security mechanism for accessing REF-based repository resources is provided by enabling hierarchy on the tables used to store them. When ACL-based security is not needed for particular resources, you can optimize their access by using PL/SQL procedure DBMS\_XDBZ.disable\_hierarchy to turn off ACL checking.

#### Integration Of Oracle XML DB with LDAP

You can allow Lightweight Directory Access Protocol (LDAP) users to use the features of Oracle XML DB, including ACLs.

#### See Also:

- Repository Access Using Protocols for more information about WebDAV
- Administration of Oracle XML DB for information about configuring and administering resource security
- PL/SQL APIs for XMLType: References for information about the PL/SQL APIs you can use to manage resource security

## 27.1 Access Control Concepts

Access control terms and concepts are explained. Each of the access-control entity — user, role, principal, privilege, access control list (ACL), and access control entry (ACE) — is implemented declaratively as an XML document or fragment.

Secure authorization requires defining which users, applications, or functions can have access to which data, to perform which kinds of operations. There are thus three dimensions: (1) *which users* can (2) perform *which operations* (3) on *which data*. We speak of (1) principals, (2) privileges, and (3) objects, corresponding to these three dimensions, respectively. Principals are users or roles.

Principals and privileges (dimensions 1 and 2) are related in a declarative way by defining *access control lists*. These are then related to the third dimension, data, in various ways, either declaratively or procedurally. For example, you can protect an Oracle XML DB Repository resource or table data by using PL/SQL procedure DBMS\_XDB\_REPOS.setACL to set its controlling ACL.

#### Authentication and Authorization

The term **authentication** refers to verifying the *identity* of something (for example, a user, device, or application). The term **authorization** refers to verifying whether something that has been authenticated is allowed to access something else (for example, a database table or WebDAV resource).

#### Principal: A User or Role

In the context of fine-grained database access control, a principal is ultimately a set of one or more people or applications that access information in the database. A principal can be a database user or role, or an LDAP user or group.

#### Privilege: A Permission

A **privilege** is a particular right or permission that can be granted or denied to a principal. A privilege is aggregate or atomic. An aggregate privilege includes other privileges; an atomic privilege does not.

#### Access Control Entry (ACE)

An access control entry (ACE) is an XML element (ace) that is an entry in an access control list (ACL). An ACE either grants or denies access to some repository resource or other database data by a particular principal.

#### Access Control List (ACL)

An access control list (ACL) is a list of access control entries (ACEs). By default, order in the list is relevant.



### 27.1.1 Authentication and Authorization

The term **authentication** refers to verifying the *identity* of something (for example, a user, device, or application). The term **authorization** refers to verifying whether something that has been authenticated is allowed to *access* something else (for example, a database table or WebDAV resource).

Principals of various kinds can be authorized to access Oracle XML DB Repository resources. Application-specific principals can be authenticated.

#### **Related Topics**

Principal: A User or Role

In the context of fine-grained database access control, a principal is ultimately a set of one or more people or applications that access information in the database. A principal can be a database user or role, or an LDAP user or group.

## 27.1.2 Principal: A User or Role

In the context of fine-grained database access control, a principal is ultimately a set of one or more people or applications that access information in the database. A principal can be a database user or role, or an LDAP user or group.

A **principal** is a user or a role. A **user** can be any person or application that accesses information in the database. A **role** is composed of users and possibly other roles, but this recursion cannot be circular. Ultimately, each role, and thus each principal, corresponds to a *set of users*.

A user is represented for access control purposes by an XML fragment with element user. A role is represented by a fragment with element role.

Oracle Database supports the following as principals:

- Database users and database roles. A database user is also sometimes referred
  to as a database schema or a user account. When a person or application logs
  onto the database, it uses a database user (schema) and password. A database
  role corresponds to a set of database privileges that can be granted to database
  users, applications, or other database roles.
- LDAP users and groups of LDAP users. For details about using LDAP principals see Integration Of Oracle XML DB with LDAP.

When a term such as "user" or "role" is used here without qualification, it applies to all types of user or role. When it is important to distinguish the type, the qualifier "database" or "LDAP" is used.

- Database Roles and ACLs Map Privileges to Users
   A database role maps privileges to users. In the context of fine-grained access control, an ACL maps privileges to users, and a role is just a set of users.
- Principal DAV::owner

You can use principal DAV::owner to refer to the owner of a given repository resource. The owner of a resource is one of the properties of the resource. You can use principal DAV::owner to facilitate ACL sharing among principals, because the owner of a resource often has special rights.



### 27.1.2.1 Database Roles and ACLs Map Privileges to Users

A database role maps privileges to users. In the context of fine-grained access control, an ACL maps privileges to users, and a role is just a set of users.

A database role is *granted* privileges, just as a database user can be granted privileges. A database role serves as an intermediary for mapping database privileges to database users (and applications): a role is granted privileges, and the role is then granted to users (giving them the privileges).

The line between a group of users and a group of privileges that are granted to those users is blurred a bit in the concept of database role: the role can serve to group the privileges that are mapped to the users and to group the users to which the privileges are mapped. The mapping is done by defining the role and granting it to users, and traditional database terminology considers the role to be the same thing as the set of privileges that are granted to it.

In the context of fine-grained access control, a different mechanism, an *access control list* (ACL), is used as the intermediary that maps privileges to users. A role is simply a set of users. In this context, the act of associating privileges with users and with roles is not a database grant. It is a declarative ACL entry, together with a run-time evaluation of ACLs and resolution of ACL conflicts.

Please keep this terminology difference in mind, to avoid confusion. As a means of mapping privileges to users, a database role combines some of the functionality that in an access-control context is divided into (1) principals, (2) privileges, and (3) ACLs. In access control terminology, roles are classified with users as principals. In traditional database terminology, roles are instead classified as sets of privileges.

### 27.1.2.2 Principal DAV::owner

You can use principal DAV::owner to refer to the owner of a given repository resource. The owner of a resource is one of the properties of the resource. You can use principal DAV::owner to facilitate ACL sharing among principals, because the owner of a resource often has special rights.

### 27.1.3 Privilege: A Permission

A **privilege** is a particular right or permission that can be granted or denied to a principal. A privilege is aggregate or atomic. An aggregate privilege includes other privileges; an atomic privilege does not.

- Aggregate privilege A privilege that includes other privileges.
- Atomic privilege A privilege that does not include other privileges. It cannot be subdivided.

Aggregate privileges simplify usability when the number of privileges becomes large, and they promote interoperability between ACL clients.

Aggregate privileges retain their identity: they are not decomposed into the corresponding atomic (leaf) privileges. In WebDAV terms, Oracle Database aggregate privileges are not abstract. This implies that an aggregate privilege acts as a set of pointers to its component privileges, rather than a copy of those components. Thus, an aggregate privilege is always up to date, even if the definition of a component changes.



The set of privileges granted to a principal controls whether that principal can perform a given operation on the data that it protects. For example, if the principal (database user)  ${\tt HR}$  wants to perform the  ${\tt read}$  operation on a given resource, then read privileges must be granted to principal  ${\tt HR}$  prior to the read operation.

#### **Related Topics**

Privileges

The privileges provided with Oracle Database include the standard WebDAV privileges as well as Oracle-specific privileges.

## 27.1.4 Access Control Entry (ACE)

An access control entry (ACE) is an XML element (ace) that is an entry in an access control list (ACL). An ACE either grants or denies access to some repository resource or other database data by a particular principal.

The ACE does not, itself, specify which data to protect. That is done outside the ACE and the ACL, by associating the ACL with target data. One way to make that association is by using PL/SQL procedure <code>DBMS\_XDB\_REPOS.setACL</code>.

An Oracle XML DB ACE either grants or denies privileges for a principal. An ace element has the following:

- Operation grant: either true (to grant) or false (to deny) access.
- Either a valid principal (element principal) or a completed list of principals (element invert).
- Privileges: A set of privileges to be granted or denied for a particular principal (element privilege).
- Principal format (optional): The format of the principal. An LDAP distinguished name (DN), a short name (database user/role or LDAP nickname), or an LDAP globally unique identifier (GUID). The default value is short name. If the principal name matches both a database user and an LDAP nickname, it is assumed to refer to the LDAP nickname.
- Collection (optional): A BOOLEAN attribute that specifies whether the principal is a collection of users (LDAP group or database role) or a single user (LDAP user or database user).

Example 27-1 shows a simple ACE that grants privilege DAV::all to principal DAV::owner. It thus grants all privileges to the owner of the resource to which its ACL applies.

#### Example 27-1 Simple Access Control Entry (ACE) that Grants a Privilege



#### **Related Topics**

ACL and ACE Evaluation

Privileges are checked before a principal is allowed to access a repository resource that is protected by ACLs. This check is done by evaluating the protecting ACLs for that principal, in order. For each such ACL, the ACEs in it that apply to the principal are examined, in order.

## 27.1.5 Access Control List (ACL)

An access control list (ACL) is a list of access control entries (ACEs). By default, order in the list is relevant.

Example 27-2 shows a simple ACL that contains only the ACE of Example 27-1.

#### Example 27-2 Simple Access Control List (ACL) that Grants a Privilege

#### **Related Topics**

ACL and ACE Evaluation

Privileges are checked before a principal is allowed to access a repository resource that is protected by ACLs. This check is done by evaluating the protecting ACLs for that principal, in order. For each such ACL, the ACEs in it that apply to the principal are examined, in order.

# 27.2 Database Privileges for Repository Operations

The database privileges needed for common operations on resources in Oracle XML DB Repository are described.

Table 27-1 shows the database privileges required for some common operations on resources in Oracle XML DB Repository. In addition to the privileges listed in column **Privileges Required** you must have the resolve privilege for the folder containing the resource and for all of its parent folders, up to the root folder.

Table 27-1 Database Privileges Needed for Operations on Oracle XML DB Resources

Operation	Description	Privileges Required
CREATE	Create a new resource in folder F	update and link on folder F
DELETE	Delete resource R from folder F	update and unlink-from on $\boldsymbol{R},$ update and unlink on folder $\boldsymbol{F}$
UPDATE	Update the contents or properties of resources R	update on R
GET	An FTP or HTTP(S) retrieval of resource R	read-properties, read-contents <b>on</b> R
SET_ACL	Set the ACL of a resource R	DAV::write-acl on R
LIST	List the resources in folder F	read-properties on folder F, read- properties on resources in folder F. Only those resources on which the user has read- properties privilege are listed.



#### See Also:

Upgrade or Downgrade of an Existing Oracle XML DB Installation for information about treatment of database access privileges when upgrading

## 27.3 Privileges

The privileges provided with Oracle Database include the standard WebDAV privileges as well as Oracle-specific privileges.

The standard WebDAV privileges use the WebDAV namespace DAV: 1. The Oracle-specific privileges use the Oracle XML DB ACL namespace, http:// xmlns.oracle.com/xdb/acl.xsd, which has the predefined prefix xdb.

#### **Atomic Privileges**

An atomic privilege does not include other privileges. The available atomic privileges for repository operations are described, and their database counterparts are listed.

#### **Aggregate Privileges**

An Aggregate privilege contains other privileges, atomic or aggregate. The available aggregate privileges for repository operations are listed together with their component atomic privileges.

<sup>1</sup> Note the colon (:) as part of the namespace name. DAV: is the namespace itself, *not* a prefix. A prefix commonly used for namespace DAV: is day, but this is only conventional. day is not a predefined prefix for Oracle XML DB.



#### See Also:

RFC 3744: "Web Distributed Authoring and Versioning (WebDAV) Access Control Protocol", IETF Network Working Group Request For Comments #3744, May 2004

## 27.3.1 Atomic Privileges

An atomic privilege does not include other privileges. The available atomic privileges for repository operations are described, and their database counterparts are listed.

Table 27-2 Atomic Privileges

Atomic Privilege	Description	Database Counterpart
DAV::lock	Lock a resource using WebDAV locks.	UPDATE
DAV::read-current-user- privilege-set	Access the DAV::current-user-privilege-set property of a resource.	N/A
DAV::take-ownership	Take ownership of a resource.	N/A
DAV::unlock	Unlock a resource locked using a WebDAV lock.	UPDATE
DAV::write-content	Modify the content of a resource.	UPDATE
DAV::write-properties	Modify the properties of a resource. Lock or unlock a resource. Modifiable properties include Author, DisplayName, Language, CharacterSet, ContentType, SBResExtra, Owner, OwnerID, CreationDate, Modification Date, ACL, ACLOID, Lock, and Locktoken.	UPDATE
xdb:link	Allow creation of links from a resource.	INSERT
xdb:link-to	Allow creation of links to a resource.	N/A
xdb:read-acl	Read the ACL of a resource.	SELECT
xdb:read-contents	Read the contents of a resource.	SELECT
xdb:read-properties	Read the properties of a resource.	SELECT
xdb:resolve	Traverse a folder (for folders only).	SELECT
xdb:unlink	Allow deletion of links from a resource.	DELETE
xdb:unlink-from	Allow deletion of links to a resource.	N/A
xdb:update-acl	Change the contents of the resource ACL.	UPDATE
xdb:write-acl-ref	Change the ACLOID of a resource.	UPDATE

## 27.3.2 Aggregate Privileges

An Aggregate privilege contains other privileges, atomic or aggregate. The available aggregate privileges for repository operations are listed together with their component atomic privileges.

**Table 27-3 Aggregate Privileges** 

Aggregate Privilege	Component Atomic Privileges
DAV::all	All atomic DAV privileges.
xdb:all	All atomic DAV privileges plus xdb:link-to.
DAV::bind	xdb:link
DAV::unbind	xdb:unlink
DAV::read	xdb:read-properties, xdb:read-contents, xdb:resolve
DAV::read-acl	xdb:read-acl
DAV::write	DAV::write-content, DAV::write-properties, xdb:link, xdb:unlink, xdb:unlink-from
DAV::write-acl	xdb:write-acl-ref,xdb:update-acl
DAV::update	DAV::write-content, DAV::write-properties
xdb:update	DAV::write-properties, DAV::write-content

### 27.4 ACLs and ACEs

ACLs are used to protect resources, which in the case of Oracle Database are resources (files and folders) in Oracle XML DB Repository. ACLs are composed of ACEs.

An access control list (ACL) is a standard security mechanism that is used in some languages, such as Java, and some operating systems, such as Microsoft Windows. ACLs are also a part of the WebDAV standard.

Repository resources can be accessed using WebDAV, and their protecting ACLs act as WebDAV ACLs. Each repository resource is protected by some ACL. ACLs that protect a resource are enforced no matter how the resource is accessed, whether by WebDAV, SQL, or any other way.

When a new resource is created in Oracle XML DB Repository, by default the ACL on its parent folder is used to protect the resource. After the resource is created, a new ACL can be set on it.

ACLs in Oracle Database are XML documents that are validated against the Oracle Database ACL XML schema, which is located in Oracle XML DB Repository at /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/acl.xsd. ACLs are themselves stored and managed as resources in the repository.

Before a principal performs an operation on ACL-protected data, the user privileges for the protected data are checked. The set of privileges checked depends on the operation to be performed.

Aggregate privileges are composed of other privileges. When an ACL is stored, the aggregate privileges it refers to act as sets of pointers to their component privileges.

All ACLs are stored in table XDB\$ACL, which is owned by database schema (user account) XDB. This is an XML schema-based XMLType table. Each row in this table (and therefore each ACL) has a system-generated object identifier (OID) that can be accessed as a column named OBJECT\_ID.



Each Oracle XML DB Repository resource has a property named ACLOID. The ACLOID stores the OID of the ACL that protects the resource. An ACL is itself a resource, and the XMLRef property of an ACL, for example, /sys/acls/all\_all\_acl.xml, is a REF to the row in table XDB\$ACL that contains the content of the ACL. These two properties form the link between table XDB\$RESOURCE, which stores Oracle XML DB resources, and table XDB\$ACL.

#### See Also:

- acl.xsd: XML Schema for ACLs for the ACL XML schema
- Oracle Database Security Guide

#### System ACLs

The system ACLs, which are predefined and supplied with Oracle Database, are described.

#### ACL and ACE Evaluation

Privileges are checked before a principal is allowed to access a repository resource that is protected by ACLs. This check is done by evaluating the protecting ACLs for that principal, in order. For each such ACL, the ACEs in it that apply to the principal are examined, in order.

#### ACL Validation

When an ACL is created, it is validated against the XML schema for ACLs, and some correctness tests are run, such as ensuring that start and end dates for ACEs are in chronological order. There is no complete check at ACL creation time of relations among ACLs.

#### Element invert: Complement the Principals in an ACE

It is sometimes more convenient to define a set of principals by complementing another set of principals — that is the purpose of ACE element <code>invert</code>. Instead of listing each of the principals that you want to include, wrap the list of principals that you want to exclude with element <code>invert</code>.

### 27.4.1 System ACLs

The system ACLs, which are predefined and supplied with Oracle Database, are described.

Some ACLs are predefined and supplied with Oracle Database. They are referred to as **system ACLs**.

There is only one ACL that is self-protected, that is, protected by its own contents. It is the **bootstrap ACL**, a system ACL that is located in Oracle XML DB Repository at /sys/acls/bootstrap\_acl.xml. The bootstrap ACL grants READ privilege to all users. It also grants FULL ACCESS to database roles XDBADMIN (the Oracle XML DB administrator) and DBA. Database role XDBADMIN is particularly useful for users who must register global XML schemas.

Other system ACLs include the following. Each is protected by the bootstrap ACL.

all\_all\_acl.xml – Grants all privileges to all users.

- all\_owner\_acl.xml Grants all privileges to the owner of the resource.
- ro all acl.xml Grants read privileges to all users.

System ACLs use the file-naming convention <privilege>\_<users>\_acl.xml, where <privilege> represents the privilege granted, and <users> represents the users that are granted access to the resource. When you define your own ACLs, you can use any names you like.

#### **Related Topics**

Local and Global XML Schemas
 An XML schema can be registered as local (visible only to its owner, by default) or global (visible to all database users, by default).

#### 27.4.2 ACL and ACE Evaluation

Privileges are checked before a principal is allowed to access a repository resource that is protected by ACLs. This check is done by evaluating the protecting ACLs for that principal, in order. For each such ACL, the ACEs in it that apply to the principal are examined, in order.

If one ACE grants a certain privilege to the current user and another ACE denies that privilege to the user, then a conflict arises. There are two possible ways to manage conflicts among ACEs for the same principal.

- The default behavior, termed ace-order, is to use only the first ACE that occurs
  for a given principal. Additional ACEs for that principal have no effect. In this case,
  ACE order is relevant.
- You can, however, configure the database to use an alternate behavior, denytrumps-grant. In this case, any ACE with child deny for a given principal denies permission to that principal, whether or not there are other ACEs for that principal that have a grant child. In this case, deny always takes precedence over grant, and ACE order is irrelevant.

You can configure ACL evaluation behavior by setting configuration parameter aclevaluation-method, in configuration file xdbconfig.xml, to either ace-order or denytrumps-grant. The default configuration file specifies ace-method, but the default value for element acl-evaluation-method, used when no method is given, is denytrumps-grant.

#### Note:

In releases prior to Oracle Database 11g Release 1, only one ACL evaluation behavior was available: deny-trumps-grant (though it was not specified in the configuration file).

The change to use ace-order as the default behavior has important consequences for upgrading and downgrading between database versions. See Upgrade or Downgrade of an Existing Oracle XML DB Installation.



### 27.4.3 ACL Validation

When an ACL is created, it is validated against the XML schema for ACLs, and some correctness tests are run, such as ensuring that start and end dates for ACEs are in chronological order. There is no complete check at ACL creation time of relations among ACLs.

Such a complete check of ACL correctness is called **ACL validity** checking, but it is not to be confused with its XML *schema* validity. For an ACL to be valid (as an ACL), it must also be XML schema-valid, but the converse does not hold.

A full ACL validity check is made at run time, whenever an ACL is evaluated to check whether a principal has the proper privileges for some operation. If this check finds that the ACL is invalid, then all privileges that the ACL would grant are *denied* to the specified principals.

### 27.4.4 Element invert: Complement the Principals in an ACE

It is sometimes more convenient to define a set of principals by complementing another set of principals — that is the purpose of ACE element <code>invert</code>. Instead of listing each of the principals that you want to include, wrap the list of principals that you want to exclude with element <code>invert</code>.

In Example 27-3, the first ACE denies privilege privilege1 to all principals except IntranetUsers. Because (by default) ACEs are considered in the order they appear, all subsequent ACEs are overridden by the first ACE, so principal NonIntraNetUser is denied privilege privilege1 in spite of the explicit grant.

#### **Example 27-3** Complementing a Set of Principals with Element invert

```
<acl description="invert ACL"
    xmlns="http://xmlns.oracle.com/xdb/acl.xsd"
    xmlns:dav="DAV:"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                        http://xmlns.oracle.com/xdb/acl.xsd">
 <extends-from type="simple" href="/sys/acls/parent acl.xml"/>
 <ace>
   <qrant>false
   <invert><principal>dav:owner</principal></invert>
   <privilege><read-contents/></privilege>
 </ace>
 <ace>
   <grant>true
   <principal>GERONIMO</principal>
   <privilege><read-contents/></privilege>
 </ace>
</acl>
```

# 27.5 Overview of Working with Access Control Lists (ACLs)

Oracle Database access control lists (ACLs) are themselves (file) resources in Oracle XML DB Repository, so all of the access methods that operate on repository resources

also apply to ACLs. In addition, there are several APIs specific to ACLs in PL/SQL package  $\tt DBMS\_XDB\_REPOS$ .

Those ACL procedures and functions let you use PL/SQL to access Oracle XML DB security mechanisms, check user privileges based on a particular ACL, and list the set of privileges the current user has for a particular ACL and resource.

- Creating an ACL Using DBMS\_XDB\_REPOS.CREATERESOURCE
   An example illustrates using DBMS\_XDB\_REPOS.createResource to create an ACL.
- Retrieving an ACL Document, Given its Repository Path
   An example shows how to retrieve an ACL document, given its location in Oracle XML DB Repository.
- Setting the ACL of a Resource
   An example uses PL/SQL procedure DBMS\_XDB\_REPOS.setACL to set the ACL of a resource.
- Deleting an ACL
  An example uses PL/SQL procedure DBMS\_XDB\_REPOS.deleteResource to delete
  an ACL.
- Updating an ACL
   You can update an ACL using any of the standard ways of updating resources.
   In particular, since an ACL is an XML document, you can use Oracle SQL/XML
   function XMLQuery with XQuery Update to manipulate ACLs. You must COMMIT after
   making any ACL changes.
- Retrieving the ACL Document that Protects a Given Resource
   An example illustrates how to use PL/SQL function
   DBMS\_XDB\_REPOS.getACLDocument to retrieve the ACL document that protects a given resource.
- Retrieving Privileges Granted to the Current User for a Particular Resource
   An example illustrates how to use PL/SQL function
   DBMS\_XDB\_REPOS.getPrivileges to retrieve privileges granted to the current user.
- Checking Whether the Current User Has Privileges on a Resource
   An example illustrates how to use PL/SQL function
   DBMS\_XDB\_REPOS.checkPrivileges to check whether the current user has a given set of privileges on a resource. The function returns a nonzero value if the user has the privileges.
- Checking Whether a User Has Privileges Using the ACL and Resource Owner Function DBMS\_XDB\_REPOS.ACLCheckPrivileges is typically used by applications that must perform ACL evaluation on their own, before allowing a user to perform an operation.
- Retrieving the Path of the ACL that Protects a Given Resource
   An example uses a RESOURCE\_VIEW query to retrieve the path of the ACL that protects a given resource. The query uses the fact that the XMLRef and ACLOID elements of a resource form the link between an ACL and a resource.
- Retrieving the Paths of All Resources Protected by a Given ACL
   An example retrieves the paths of all resources protected by a given ACL.

#### **Related Topics**

Administration of Oracle XML DB
 Administration of Oracle XML DB includes installing, upgrading, and configuring it.



# 27.5.1 Creating an ACL Using DBMS\_XDB\_REPOS.CREATERESOURCE

An example illustrates using DBMS\_XDB\_REPOS.createResource to create an ACL.

Example 27-4 creates an ACL as file resource /TESTUSER/acl1.xml. If applied to a resource, this ACL grants all privileges to the owner of the resource.



Before performing any operation that uses an ACL file resource that was created during the current transaction, you must perform a COMMIT operation. Until you do that, an ORA-22881 "dangling REF" error is raised whenever you use the ACL file.

#### Example 27-4 Creating an ACL Using CREATERESOURCE

```
DECLARE
  b BOOLEAN;
BEGIN
  b := DBMS_XDB_REPOS.createFolder('/TESTUSER');
  b := DBMS_XDB_REPOS.createResource(
         '/TESTUSER/acl1.xml',
         '<acl description="myacl"
               xmlns="http://xmlns.oracle.com/xdb/acl.xsd"
               xmlns:dav="DAV:"
               xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
               xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                                   http://xmlns.oracle.com/xdb/acl.xsd">
            <ace>
              <grant>true
              <principal>dav:owner</principal>
              <privilege>
                <dav:all/>
              </privilege>
            </ace>
          </acl>',
         'http://xmlns.oracle.com/xdb/acl.xsd',
         'acl');
END;
```

## 27.5.2 Retrieving an ACL Document, Given its Repository Path

An example shows how to retrieve an ACL document, given its location in Oracle XML DB Repository.

#### Example 27-5 Retrieving an ACL Document, Given its Repository Path

```
SELECT a.OBJECT_VALUE FROM RESOURCE_VIEW rv, XDB.XDB$ACL a
  WHERE ref(a)
        = XMLCast(XMLQuery('declare default element namespace
                            "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                            fn:data(/Resource/XMLRef)'
                           PASSING rv.RES RETURNING CONTENT)
                  AS REF XMLType)
    AND equals_path(rv.RES, '/TESTUSER/acl1.xml') = 1;
OBJECT_VALUE
<acl description="myacl" xmlns="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:dav="</pre>
DAV: " xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="
http://xmlns.oracle.com/xdb/acl.xsd
lns.oracle.com/xdb/acl.xsd" shared="true">
  <ace>
    <grant>true</grant>
    <principal>dav:owner</principal>
    <privilege>
      <dav:all/>
    </privilege>
  </ace>
</acl>
```

## 27.5.3 Setting the ACL of a Resource

An example uses PL/SQL procedure <code>DBMS\_XDB\_REPOS.setACL</code> to set the ACL of a resource.

Example 27-6 creates resource /TESTUSER/pol.xml and sets its ACL to /TESTUSER/acll.xml using PL/SQL procedure DBMS\_XDB\_REPOS.setACL.

#### **Example 27-6** Setting the ACL of a Resource

## 27.5.4 Deleting an ACL

An example uses PL/SQL procedure DBMS\_XDB\_REPOS.deleteResource to delete an ACL.

Example 27-7 deletes the ACL created in Example 27-4.

If a resource is being protected by an ACL that you want to delete, change the ACL of that resource before deleting the ACL.

#### Example 27-7 Deleting an ACL

```
CALL DBMS XDB REPOS REPOS.deleteResource('/TESTUSER/acl1.xml');
```

## 27.5.5 Updating an ACL

You can update an ACL using any of the standard ways of updating resources. In particular, since an ACL is an XML document, you can use Oracle SQL/XML function XMLQuery with XQuery Update to manipulate ACLs. You must COMMIT after making any ACL changes.

Oracle XML DB ACLs are *cached*, for fast evaluation. When a transaction that updates an ACL is committed, the modified ACL is picked up by existing database sessions, after the timeout specified in the Oracle XML DB configuration file, xdbconfig.xml. The XPath location for this timeout parameter is /xdbconfig/sysconfig/acl-max-age. The value is expressed in seconds. Sessions initiated after the ACL is modified use the new ACL without any delay.

If an ACL resource is updated with non-ACL content, the same rules apply as for deletion. Thus, if any resource is being protected by an ACL that is being updated, you must first change the ACL.



Updating XML Data for information about the Oracle SQL functions used here to update XML data

You can use FTP or WebDAV to update an ACL. For more details on how to use these protocols, see Repository Access Using Protocols. You can update an ACL or an access control entry (ACE) using RESOURCE VIEW.

Example 27-8 uses SQL/XML function XMLQuery together with XQuery Update to update the ACL /TESTUSER/acll.xml by replacing it entirely. The effect is to replace the principal value DAV::owner by TESTUSER, because the rest of the replacement ACL is the same as it was before.

Example 27-9 uses XQuery Update to append an ACE to an existing ACL. The ACE gives privileges read-properties and read-contents to user HR.

Example 27-10 uses XQuery Update to delete an ACE from an ACL. The first ACE is deleted.

#### Example 27-8 Updating (Replacing) an Access Control List

```
UPDATE RESOURCE_VIEW r
SET r.RES =
    XMLQuery(
    'declare namespace r="http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
    declare namespace a="http://xmlns.oracle.com/xdb/acl.xsd"; (: :)
    copy $i := $p1 modify
        (for $j in $i/r:Resource/r:Contents/a:acl
        return replace node $j with $p2)
    return $i'
    PASSING r.RES AS "p1",
```



#### Example 27-9 Appending ACEs to an Access Control List

```
UPDATE RESOURCE_VIEW r
 SET r.RES =
   XMLQuery('declare namespace r="http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
              declare namespace a="http://xmlns.oracle.com/xdb/acl.xsd"; (: :)
              copy $i := $p1 modify
                (for $j in $i/r:Resource/r:Contents/a:acl
                 return insert nodes $p2 as last into $j)
              return $i'
             PASSING r.RES AS "p1",
                     XMLType('<ace xmlns="http://xmlns.oracle.com/xdb/acl.xsd">
                                 <grant>true</grant>
                                 <principal>HR</principal>
                                 <privilege>
                                   <read-properties/>
                                   <read-contents/>
                                 </privilege>
                              </ace>') as "p2"
            RETURNING CONTENT)
 WHERE equals_path(r.RES, '/TESTUSER/acl1.xml') = 1;
```

#### Example 27-10 Deleting an ACE from an Access Control List

## 27.5.6 Retrieving the ACL Document that Protects a Given Resource

An example illustrates how to use PL/SQL function DBMS\_XDB\_REPOS.getACLDocument to retrieve the ACL document that protects a given resource.

```
See Also:
Example 26-2
```



#### Example 27-11 Retrieving the ACL Document for a Resource

```
SELECT XMLSerialize(DOCUMENT DBMS_XDB_REPOS.getACLDocument('/TESTUSER/pol.xml')
 FROM DUAL;
XMLSERIALIZE(DOCUMENTDBMS_XDB_REPOS.GETACLDOCUMENT('/TESTUSER/PO1.XML')ASCLOB)
______
<acl description="myacl" xmlns="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:dav="</pre>
DAV: " xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:schemaLocation="
http://xmlns.oracle.com/xdb/acl.xsd
mlns.oracle.com/xdb/acl.xsd">
   <grant>true</grant>
   <principal>TESTUSER</principal>
   <privilege>
     <dav:all/>
   </privilege>
 </ace>
  <ace xmlns="http://xmlns.oracle.com/xdb/acl.xsd">
   <grant>true</grant>
   <principal>HR</principal>
   <privilege>
     <read-properties/>
     <read-contents/>
   </privilege>
  </ace>
</acl>
1 row selected.
```

# 27.5.7 Retrieving Privileges Granted to the Current User for a Particular Resource

An example illustrates how to use PL/SQL function DBMS\_XDB\_REPOS.getPrivileges to retrieve privileges granted to the current user.

#### Example 27-12 Retrieving Privileges Granted to the Current User for a Particular Resource

```
SELECT XMLSerialize(DOCUMENT DBMS_XDB_REPOS.getPrivileges('/TESTUSER/pol.xml')
                  AS CLOB)
 FROM DUAL;
XMLSERIALIZE(DOCUMENTDBMS_XDB_REPOS.GETPRIVILEGES('/TESTUSER/PO1.XML')ASCLOB)
_____
<privilege xmlns="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:xsi="http://www.w3.</pre>
org/2001/XMLSchema-instance" xsi:schemaLocation="http://xmlns.oracle.com/xdb/acl
.xsd http://xmlns.oracle.com/xdb/acl.xsd DAV: http://xmlns.oracle.com/xdb/dav.xs
d" xmlns:xdbacl="http://xmlns.oracle.com/xdb/acl.xsd" xmlns:dav="DAV:">
 <read-acl/>
 <day:execute/>
 <read-contents/>
 <update-acl/>
 <day:write-content/>
 <dav:read-current-user-privilege-set/>
 k-to/>
 <resolve/>
 <dav:lock/>
 <unlink-from/>
 <write-config/>
 <dav:write-properties/>
 <day:unlock/>
 k/>
```



```
<write-acl-ref/>
<read-properties/>
<dav:take-ownership/>
<unlink/>
</privilege>
```

# 27.5.8 Checking Whether the Current User Has Privileges on a Resource

An example illustrates how to use PL/SQL function DBMS\_XDB\_REPOS.checkPrivileges to check whether the current user has a given set of privileges on a resource. The function returns a nonzero value if the user has the privileges.

Example 27-13 checks to see if the access privileges read-contents and read-properties have been granted to the current user on resource /TESTUSER/pol.xml. The positive-integer return value shows that they have.

#### Example 27-13 Checking If a User Has a Certain Privileges on a Resource

# 27.5.9 Checking Whether a User Has Privileges Using the ACL and Resource Owner

Function DBMS\_XDB\_REPOS.ACLCheckPrivileges is typically used by applications that must perform ACL evaluation on their own, before allowing a user to perform an operation.

Example 27-14 checks whether the ACL /TESTUSER/acl1.xml grants the privileges read-contents and read-properties to the current user, sh. The second argument, TESTUSER, is the user that is substituted for DAV::owner in the ACL when checking. Since user sh does *not* match any of the users granted the specified privileges, the return value is zero.

#### Example 27-14 Checking User Privileges Using ACLCheckPrivileges

```
CONNECT sh
Enter password: connect
```



1 row selected.

1 row selected.

## 27.5.10 Retrieving the Path of the ACL that Protects a Given Resource

An example uses a RESOURCE\_VIEW query to retrieve the path of the ACL that protects a given resource. The query uses the fact that the XMLRef and ACLOID elements of a resource form the link between an ACL and a resource.

Example 27-15 retrieves the path to an ACL, given a resource protected by the ACL. The ACLOID of a protected resource (r) stores the OID of the ACL resource (a) that protects it. The REF of the ACL resource is the same as that of the object identified by the protected-resource ACLOID.

The REF of the resource ACLOID can be obtained using Oracle SQL function make\_ref, which returns a REF to an object-table row with a given OID.

In Example 27-15, make\_ref returns a REF to the row of table XDB\$ACL whose OID is the <code>/Resource/ACLOID</code> for the resource <code>/TESTUSER/pol.xml</code>. The inner query returns the <code>ACLOID</code> of the resource. The outer query returns the path to the corresponding ACL.

#### Example 27-15 Retrieving the Path of the ACL that Protects a Given Resource

```
SELECT rv1.ANY_PATH
 FROM RESOURCE_VIEW rv1
 WHERE
   XMLCast(XMLQuery('declare default element namespace
                      "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                      fn:data(/Resource/XMLRef)'
                     PASSING rv1.RES RETURNING CONTENT)
           AS REF XMLType)
   = make_ref(XDB.XDB$ACL,
               (SELECT XMLCast(XMLQuery('declare default element namespace
                                         "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
                                         fn:data(/Resource/ACLOID)'
                                        PASSING rv2.RES RETURNING CONTENT)
                               AS REF XMLType)
                  FROM RESOURCE_VIEW rv2
                  WHERE equals_path(rv2.RES, '/TESTUSER/po1.xml') = 1));
ANY_PATH
/TESTUSER/acl1.xml
```

# 27.5.11 Retrieving the Paths of All Resources Protected by a Given ACL

An example retrieves the paths of all resources protected by a given ACL.

Example 27-16 retrieves the paths to the resources whose ACLOID REF matches the REF of the ACL resource whose path is /TESTUSER/acl1.xml. Function make\_ref returns the resource ACLOID REF.

The inner query retrieves the REF of the specified ACL. The outer query selects the paths of the resources whose ACLOID REF matches the REF of the specified ACL.

#### Example 27-16 Retrieving the Paths of All Resources Protected by a Given ACL

```
SELECT rv1.ANY_PATH
 FROM RESOURCE_VIEW rv1
 WHERE make ref(XDB.XDB$ACL,
                 XMLCast(XMLQuery('declare default element namespace
                                   "http://xmlns.oracle.com/xdb/XDBResource.xsd";
(::)
                                   fn:data(/Resource/ACLOID)'
                                  PASSING rv1.RES RETURNING CONTENT)
                         AS REF XMLType))
        = (SELECT XMLCast(XMLQuery('declare default element namespace
                                    "http://xmlns.oracle.com/xdb/XDBResource.xsd";
(::)
                                    fn:data(/Resource/XMLRef)'
                                   PASSING rv2.RES RETURNING CONTENT)
                          AS REF XMLType)
             FROM RESOURCE_VIEW rv2
             WHERE equals_path(rv2.RES, '/TESTUSER/acl1.xml') = 1);
ANY_PATH
/TESTUSER/pol.xml
1 row selected.
```

# 27.6 ACL Caching

Since ACLs are checked for each access to the data they protect, the performance of the ACL check operation is critical to the performance of such data, including Oracle XML DB Repository resources. In Oracle XML DB, the required performance for this repository operation is achieved by employing several caches.

ACLs are saved in a cache that is shared by all sessions in the database instance. When an ACL is updated, its entry in the cache is invalidated, together with all objects dependent on it. The next time the ACL is used, a new copy of it is brought into the cache. Oracle recommends that you share ACLs among resources as much as possible.

There is a session-specific cache of privileges granted to a given user by a given ACL. The entries in this cache have a time out (in seconds) specified by the element <acl-

max-age> in the Oracle XML DB configuration file (xdbconfig.xml). For maximum performance, set this timeout as large as possible. But there is a trade-off here: the greater the timeout, the longer it takes for current sessions to pick up an updated ACL.

Oracle XML DB also maintains caches to improve performance when using ACLs that have LDAP principals (LDAP groups or users). The goal of these caches is to minimize network communication with the LDAP server. One is a shared cache that maps LDAP GUIDs to the corresponding LDAP nicknames and Distinguished Names (DNs). This is used when an ACL document is being displayed (or converted to CLOB or VARCHAR2 values from an XMLType instance). To purge this cache, use procedure DBMS\_XDBZ.purgeLDAPCache. The other cache is session-specific and maps LDAP groups to their members (nested membership). Whenever Oracle XML DB encounters an LDAP group for the first time (in a session) it gets the nested membership of that group from the LDAP server. Hence it is best to use groups with as few members and levels of nesting as possible.

## 27.7 Repository Resources and Database Table Security

A uniform security mechanism for accessing REF-based repository resources is provided by enabling hierarchy on the tables used to store them. When ACL-based security is not needed for particular resources, you can optimize their access by using PL/SQL procedure DBMS\_XDBZ.disable\_hierarchy to turn off ACL checking.

Resources in Oracle XML DB Repository are of two types:

- LOB-based (the content is stored in a LOB which is part of the resource). Access
  is determined only by the ACL that protects the resource.
- REF-based (the content is XML data and is stored in a database table). Users
  must have the appropriate privilege for the underlying table or view where the XML
  content is stored in addition to ACL permissions for the resource.

Since the content of a REF-based resource can be stored in a table, it is possible to access this data directly using SQL queries on the table. A **uniform** access control mechanism is one where the privileges needed for access are independent of the method of access (for example, FTP, HTTP, or SQL). To provide a uniform security mechanism using ACLs, the underlying table must first be **hierarchy-enabled**, before resources that reference the rows in the table are inserted into Oracle XML DB.

The default tables produced by XML schema registration are hierarchy-enabled. Enabling hierarchy is the default behavior when you register an XML schema with Oracle XML DB. You can also enable hierarchy after registration, using procedure DBMS\_XDBZ.enable\_hierarchy.

Enabling hierarchy on a resource table does the following:

- Adds two hidden columns to store the ACLOID and the OWNER of the resources that reference the rows in the table.
- Adds a row-level security (RLS) policy to the table, which checks the ACL whenever a SELECT, UPDATE, or DELETE operation is executed on the table.
- Creates a database trigger, called the path-index trigger, that ensures that the
  last-modified information for a resource is updated whenever the corresponding
  row is updated in the XMLType table where the content is stored.



#### See Also:

- Oracle Database PL/SQL Packages and Types Reference for information about procedure DBMS\_XMLSCHEMA.registerSchema
- Oracle Database PL/SQL Packages and Types Reference for information about procedure DBMS\_XDBZ.enable\_hierarchy

In any given table, it is possible that only some of the objects are mapped to Oracle XML DB resources. Only those objects that are mapped undergo ACL checking, but *all* of the objects have table-level security.



You cannot hide data in XMLType tables from other users if *out-of-line* storage of is used. Out-of-line data is *not* protected by ACL security.

Optimization: Do not enforce ACL-based security if you do not need it
 ACL-based security provides control of access to XML content document-by document, rather than just table-by-table. When you call PL/SQL procedure
 DBMS\_XMLSCHEMA.register\_chema, the tables it creates have ACL-based security
 enabled, by default.

# 27.7.1 Optimization: Do not enforce ACL-based security if you do not need it

ACL-based security provides control of access to XML content document-by-document, rather than just table-by-table. When you call PL/SQL procedure DBMS\_XMLSCHEMA.register\_chema, the tables it creates have ACL-based security enabled, by default.

One effect of this is that when the XML content of such a table is accessed using a SQL statement, a call to <code>sys\_checkACL</code> is automatically added to the query <code>WHERE</code> clause, to ensure that the ACL security that was defined is enforced at the SQL level.

Enforcing ACL-based security adds overhead to the SQL query, however. If ACL-based security is *not* required, then use procedure <code>DBMS\_XDBZ.disable\_hierarchy</code> to turn *off* ACL checking.

When ACL-based security is enabled for an XMLType table, the execution plan output for a query of that table contains a filter similar to the following:



In this example, the filter checks that the user performing the SQL query has readcontents privilege on each of the documents to be accessed.

After calling DBMS\_XDBZ.disable\_hierarchy, an execution plan of the same query does not show SYS\_CHECKACL in the filter.



Oracle Database PL/SQL Packages and Types Reference for information about procedure DBMS\_XDBZ.disable\_hierarchy

## 27.8 Integration Of Oracle XML DB with LDAP

You can allow Lightweight Directory Access Protocol (LDAP) users to use the features of Oracle XML DB, including ACLs.

The typical scenario is a single, shared database schema (user), to which multiple LDAP users are mapped. This mapping is maintained in the Oracle Internet Directory. End users can log into the database using their LDAP user name and password. They are then automatically mapped to the corresponding shared database schema. (Users can log in using SQL or any of the supported Oracle XML DB protocols.) The implicit ACL resolution is based on the current LDAP user and the corresponding LDAP group membership information.

Before you can use LDAP users and groups (also known as LDAP roles) as principals in Oracle XML DB ACLs, the following prerequisites must be satisfied:

- An Oracle Internet Directory must be set up, and the database must be registered with it.
- SSL authentication must be set up between the database and the Oracle Internet Directory.
- A database user must be created that corresponds to the shared database schema.
- The LDAP users must be created and mapped in the Oracle Internet Directory to the shared database schema.
- The LDAP groups must be created and their members must be specified.
- ACLs must be defined for the LDAP groups and users, and they must be used to protect the repository resources to be accessed by the LDAP users.

#### See Also:

- Oracle Database Security Guide for information about setting up SSL authentication
- Oracle Database Enterprise User Security Administrator's Guide for information about using shared database schemas for enterprise (LDAP) users



Example 27-17 shows an ACL for an LDAP user. Element <pri>contains the full distinguished name of the LDAP user — in this case,
cn=user1,ou=Americas,o=oracle,l=redwoodshores,st=CA,c=US.

Example 27-18 shows an ACL for an LDAP group. Element <principal> contains the full distinguished name of the LDAP group.

#### Example 27-17 ACL Referencing an LDAP User

#### Example 27-18 ACL Referencing an LDAP Group



# Repository Access Using Protocols

You can access Oracle XML DB Repository data using protocols FTP and HTTP(S)/ WebDAV.

- Overview of Oracle XML DB Protocol Server
  - Oracle XML DB also provides the Oracle XML DB *protocol server*. This supports standard Internet protocols, FTP, WebDAV, and HTTP(S), for accessing its hierarchical repository or file system. HTTPS provides *secure* access to Oracle XML DB Repository.
- Oracle XML DB Protocol Server Configuration Management
   Oracle XML DB protocol server uses configuration parameters stored in
   file xdbconfig.xml to initialize its startup state and manage session level
   configuration. The session pool size and timeout parameters cannot be changed
   dynamically, that is, you must restart the database in order for these changes to
   take effect.
- FTP and the Oracle XML DB Protocol Server
  File Transfer Protocol (FTP), specified in RFC959, is one of the oldest and most popular protocols. It provides access to heterogeneous file systems in a uniform manner. You can use FTP to access and update data stored in Oracle XML DB Repository.
- HTTP(S) and Oracle XML DB Protocol Server
   Oracle XML DB implements HyperText Transfer Protocol (HTTP), HTTP 1.1 as defined in the RFC2616 specification.
- WebDAV and Oracle XML DB
   Web Distributed Authoring and Versioning (WebDAV) is an IETF standard protocol
   that Oracle XML DB uses to provide users with a file-system interface to Oracle
   XML Repository over the Internet. The most popular way of accessing a WebDAV
   server folder is through WebFolders using Microsoft Windows.

# 28.1 Overview of Oracle XML DB Protocol Server

Oracle XML DB also provides the Oracle XML DB *protocol server*. This supports standard Internet protocols, FTP, WebDAV, and HTTP(S), for accessing its hierarchical repository or file system. HTTPS provides *secure* access to Oracle XML DB Repository.

These protocols can provide direct access to Oracle XML DB for many users without having to install additional software. The user names and passwords to be used with the protocols are the same as those for SQL\*Plus. Enterprise users are also supported. Database administrators can use these protocols and resource APIs such as DBMS\_XDB\_REPOS to access Oracle Automatic Storage Management (Oracle ASM) files and folders in the repository virtual folder /sys/asm.

As described in Getting Started with Oracle XML DB and Access to Oracle XML DB Repository Data, Oracle XML DB Repository provides a hierarchical data repository in the database, designed for XML. Oracle XML DB Repository maps path names (or

URLs) onto database objects of XMLType and provides management facilities for these objects.

See Also:

Access to Oracle XML DB Repository Data for more information about accessing repository information, and restrictions on that access

#### Note:

- When accessing virtual folder /sys/asm using Oracle XML DB protocols, you must log in with the privileges of role DBA but as a user other than sys.
- Oracle XML DB protocols are not supported on EBCDIC platforms.

#### Session Pooling

Oracle XML DB protocol server maintains a shared pool of sessions. Each protocol connection is associated with one session from this pool. After a connection is closed the session is put back into the shared pool and can be used to serve later connections.

# 28.1.1 Session Pooling

Oracle XML DB protocol server maintains a shared pool of sessions. Each protocol connection is associated with one session from this pool. After a connection is closed the session is put back into the shared pool and can be used to serve later connections.

Session pooling improves performance of HTTP(S) by avoiding the cost of re-creating session states, especially when using HTTP 1.0, which creates new connections for each request. For example, a couple of small files can be retrieved by an existing HTTP/1.1 connection in the time necessary to create a database session. You can tune the number of sessions in the pool by setting session-pool-size in the Oracle XML DB configuration file, xdbconfig.xml, or disable it by setting pool size to zero.

Session pooling can affect users writing *Java servlets*, because other users can see session state initialized by another request for a different user. Hence, servlet writers should only use session memory, such as Java static variables, to hold data for the entire application rather than for a particular user. State for each user must be stored in the database or in a lookup table, rather than assuming that a session only exists for a single user.

Figure 28-1 illustrates the Oracle XML DB protocol server components and how they are used to access files in Oracle XML DB Repository and other data. Only the relevant components of the repository are shown



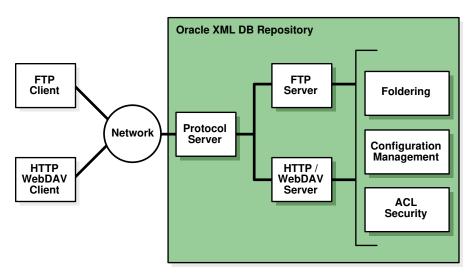


Figure 28-1 Oracle XML DB Architecture: Protocol Server

#### **Related Topics**

Guidelines for Oracle XML DB Applications in Java
 Design guidelines are presented for writing Oracle XML DB applications in Java.
 This includes guidelines for writing and configuring Java servlets for Oracle XML DB.

# 28.2 Oracle XML DB Protocol Server Configuration Management

Oracle XML DB protocol server uses configuration parameters stored in file xdbconfig.xml to initialize its startup state and manage session level configuration. The session pool size and timeout parameters cannot be changed dynamically, that is, you must restart the database in order for these changes to take effect.

- Protocol Server Configuration Parameters
   The Oracle XML DB protocol configuration parameters are described. They include those common to all protocols, those specific to FTP, and those specific to HTTP(S)/WebDAV.
- Configuring Secure HTTP (HTTPS)
   To enable the repository to use secure HTTP connections (HTTPS), a
   database administrator (DBA) must configure the database accordingly: configure
   parameters http2-port and http2-protocol, enable the HTTP Listener to use
   SSL, and enable launching of the TCPS Dispatcher. The DBA must then stop and
   restart the database and the listener.
- Using Listener Status to Check Port Configuration
   You can use the TNS Listener command, lsnrctl status, to verify that HTTP(S)
   and FTP support has been enabled. An example illustrates this.
- Configuring Protocol Port Parameters after Database Consolidation
   In a multitenant container database (CDB), protocol server port numbers
   distinguish the plugged-in pluggable databases (PDBs): each such database must



have unique port numbers. A database administrator (DBA) must ensure that each port number used by a PDB is unique.

- Configuration and Management of Authentication Mechanisms for HTTP
  You configure the authentication mechanisms to allow for HTTP access to Oracle
  XML DB Repository by setting element authentication, a child of element
  httpconfig, in configuration file xdbconfig.xml.
- Oracle XML DB Repository and File-System Resources
   IETF protocol specifications, RFC 959 (FTP), RFC 2616 (HTTP), and RFC 2518
   (WebDAV) implicitly assume an abstract, hierarchical file system on the server
   side. This is mapped to Oracle XML DB Repository. The repository provides name
   resolution, ACL-based security, and an ability to store and retrieve any content.
- Protocol Server Handles XML Schema-Based or Non-Schema-Based XML Documents

Oracle XML DB protocol server always checks whether a document being inserted is based on an XML schema that is registered with Oracle XML DB Repository. If it is, then the XMLType storage model to use is determined by that XML schema. If it is not, then the document is stored as a BLOB.

Event-Based Logging

You can log the requests received and responses sent by a protocol server by setting event number 31098 to level 2.

Auditing of HTTP and FTP Protocols
 You can use SQL statement CREATE AUDIT POLICY to audit Oracle XML DB HTTP
 and FTP protocol messages.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
 which is stored as a resource in Oracle XML DB Repository. As an alternative to
 using Oracle Enterprise Manager to configure Oracle XML DB, you can configure
 it directly using the Oracle XML DB configuration file.

# 28.2.1 Protocol Server Configuration Parameters

The Oracle XML DB protocol configuration parameters are described. They include those common to all protocols, those specific to FTP, and those specific to HTTP(S)/ WebDAV.

Table 28-1 shows the parameters common to all protocols. All of their parameter names, except those starting with /xdbconfig, are relative to the following XPath in the Oracle XML DB configuration schema:

/xdbconfig/sysconfig/protocolconfig/common

• FTP-specific parameters – Table 28-2 shows the FTP-specific parameters. These are relative to the following XPath in the Oracle XML DB configuration schema:

/xdbconfig/sysconfig/protocolconfig/ftpconfig

 HTTP(S)/WebDAV specific parameters, except servlet-related parameters – Table 28-3 shows the HTTP(S)/WebDAV-specific parameters. These parameters are relative to the following XPath in the Oracle XML DB configuration schema:

/xdbconfig/sysconfig/protocolconfig/httpconfig



For examples of the usage of these parameters, see the configuration file, xdbconfig.xml.

**Table 28-1 Common Protocol Configuration Parameters** 

Parameter	Description
extension-mappings/mime-mappings	Specifies the mapping of file extensions to mime types. When a resource is stored in Oracle XML DB Repository, and its mime type is not specified, this list of mappings is used to set its mime type.
extension-mappings/lang-mappings	Specifies the mapping of file extensions to languages. When a resource is stored in Oracle XML DB Repository, and its language is not specified, this list of mappings is used to set its language.
extension-mappings/encoding-mappings	Specifies the mapping of file extensions to encodings. When a resource is stored in Oracle XML DB Repository, and its encoding is not specified, this list of mappings is used to set its encoding.
xml-extensions	Specifies the list of filename extensions that are treated as XML content by Oracle XML DB.
session-pool-size	Maximum number of sessions that are kept in the protocol server session pool
/xdbconfig/sysconfig/call-timeout	If a connection is idle for this time (in hundredths of a second), then the shared server serving the connection is freed up to serve other connections.
session-timeout	Time (in hundredths of a second) after which a session (and consequently the corresponding connection) is terminated by the protocol server if the connection has been idle for that time. This parameter is used only if the specific protocol session timeout is not present in the configuration
schemaLocation-mappings	Specifies the default schema location for a given namespace. This is used if the instance XML document does not contain an explicit xsi:schemaLocation attribute.
/xdbconfig/sysconfig/default-lock-timeout	Time period after which a WebDAV lock on a resource becomes invalid. This could be overridden by a timeout specified by the client that locks the resource.



Table 28-2 Configuration Parameters Specific to FTP

Parameter	Description
buffer-size	Size of the buffer, in bytes, used to read data from the network during an FTP put operation. Set buffersize to larger values for higher put performance. There is a trade-off between put performance and memory usage. The value can be from 1024 to 1048496, inclusive. The default value is 8192.
ftp-port	Port on which FTP server listens. By default, this is 0, which means that FTP is <i>disabled</i> . FTP is disabled by default because the FTP specification requires that passwords be transmitted in clear text, which can present a security hazard. To enable FTP, set this parameter to the FTP port to use, such as 2100.
ftp-protocol	Protocol over which the FTP server runs. By default, this is tcp.
ftp-welcome-message	A user-defined welcome message that is displayed whenever an FTP client connects to the server. If this parameter is empty or missing, then the following default welcome message is displayed: "Unauthorized use of this FTP server is prohibited and may be subject to civil and criminal prosecution."
host-name	Name used to access the host system. The value can be an IP address or a name that is mapped to an IP address using host naming (e.g., in file /etc/hosts on Linux) — see Oracle Database Net Services Reference. By default, the IP address returned by the operating system is used.
session-timeout	Time (in hundredths of a second) after which an FTP connection is terminated by the protocol server if the connection has been idle for that time.

Table 28-3 Configuration Parameters Specific to HTTP(S)/WebDAV (Except Servlet)

Parameter	Description
http-port	Port on which the HTTP(S)/WebDAV server listens, using protocol http-protocol. By default, this is 0, which means that HTTP is disabled. If this parameter is empty ( <http-port></http-port> ), then the default value of 0 applies. An empty parameter is not recommended.
	This parameter <i>must</i> be present, whether or not it is empty. Otherwise, validation of xdbconfig.xml against XML schema xdbconfig.xsd fails. The value must be different from the value of http2-port. Otherwise, an error is raised.



Table 28-3 (Cont.) Configuration Parameters Specific to HTTP(S)/WebDAV (Except Servlet)

Parameter	Description
http2-port	Port on which the HTTP(S)/WebDAV server listens, using protocol http2-protocol.
	This parameter is <i>optional</i> , but, if present, then http2-protocol must also be present. Otherwise, an error is raised. The value must be different from the value of http-port. Otherwise, an error is raised. An empty parameter ( <http2-port></http2-port> ) also raises an error.
http-protocol	Protocol over which the HTTP(S)/WebDAV server runs on port http-port. Must be either TCP or TCPS.
	This parameter <i>must</i> be present. Otherwise, validation of xdbconfig.xml against XML schema xdbconfig.xsd fails. An empty parameter ( <a href="http-protocol/">http-protocol/</a> ) also raises an error.
http2-protocol	Protocol over which the HTTP(S)/WebDAV server runs on port http2-port. Must be either TCP or TCPS. If this parameter is empty ( <http2-protocol></http2-protocol> ), then the default value of TCP applies. (An empty parameter is <i>not</i> recommended.)
	This parameter is <i>optional</i> , but, if present, then http2-port must also be present. Otherwise, an error is raised.
session-timeout	Time (in hundredths of a second) after which an HTTP(S) session (and consequently the corresponding connection) is terminated by the protocol server if the connection has been idle for that time.
max-header-size	Maximum size (in bytes) of an HTTP(S) header
max-request-body	Maximum size (in bytes) of an HTTP(S) request body
webappconfig/welcome-file-list	List of filenames that are considered welcome files. When an HTTP(S) get request for a container is received, the server first checks if there is a resource in the container with any of these names. If so, then the contents of that file are sent, instead of a list of resources in the container.
default-url-charset	The character set in which an HTTP(S) protocol server assumes incoming URL is encoded when it is not encoded in UTF-8 or the Content-Type field Charset parameter of the request.



Table 28-3 (Cont.) Configuration Parameters Specific to HTTP(S)/WebDAV (Except Servlet)

Parameter	Description
allow-repository-anonymous-access	Indication of whether or not anonymous HTTP access to Oracle XML DB Repository data is allowed using an unlocked ANONYMOUS user account. The default value is false, meaning that unauthenticated access to repository data is blocked. See Anonymous Access to Oracle XML DB Repository Using HTTP.
authentication	The HTTP authentication mechanisms allowed. See Configuration and Management of Authentication Mechanisms for HTTP
expire	HTTP header that specifies the expiration date and time for a URL. See Control of URL Expiration Time.



Oracle recommends that you use the subprograms of PL/SQL package DBMS\_XDB\_CONFIG to set or change FTP or HTTP port numbers. Do *not* set ports by directly editing configuration file xdbconfig.xml

#### **Related Topics**

xdbconfig.xsd: XML Schema for Configuring Oracle XML DB
 A full listing is presented of file xdbconfig.xsd, which contains the XML schema used to configure Oracle XML DB.

#### See Also:

- Administration of Oracle XML DB for more information about the configuration file xdbconfig.xml
- Configuration of Mappings from Default Namespace to Schema Location for more information about the schemaLocation-mappings parameter
- Configuration of XML File Extensions for more information about the xml-extensions parameter

# 28.2.2 Configuring Secure HTTP (HTTPS)

To enable the repository to use *secure* HTTP connections (HTTPS), a database administrator (DBA) must configure the database accordingly: configure parameters <a href="http2-port and http2-protocol">http2-port and http2-protocol</a>, enable the HTTP Listener to use SSL, and enable



launching of the TCPS Dispatcher. The DBA must then stop and restart the database and the listener.

#### Enabling the HTTP Listener to Use SSL

To configure the HTTP Listener for SSL, a database administrator (DBA) must create a wallet for the server and import a certificate; specify the wallet location to the server; disable client authentication; add an SSL\_DH\_anon cipher suite to SSL\_CIPHER\_SUITES; and create a listening end point that uses TCP/IP with SSL.

#### Enabling TCPS Dispatcher

To enable launching of a TCPS dispatcher during database startup, a database administrator (DBA) must edit the database pfile.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml

Oracle XML DB is managed internally through a configuration file, xdbconfig.xml, which is stored as a resource in Oracle XML DB Repository. As an alternative to using Oracle Enterprise Manager to configure Oracle XML DB, you can configure it directly using the Oracle XML DB configuration file.

## 28.2.2.1 Enabling the HTTP Listener to Use SSL

To configure the HTTP Listener for SSL, a database administrator (DBA) must create a wallet for the server and import a certificate; specify the wallet location to the server; disable client authentication; add an SSL\_DH\_anon cipher suite to SSL\_CIPHER\_SUITES; and create a listening end point that uses TCP/IP with SSL.

More precisely, a DBA must carry out the following steps to configure the HTTP Listener for SSL.

- 1. Create a wallet for the server and import a certificate Use Oracle Wallet Manager to do the following:
  - a. Create a wallet for the server.
  - b. If a valid certificate with distinguished name (DN) of the server is not available, create a certificate request and submit it to a certificate authority. Obtain a valid certificate from the authority.
  - **c.** Import a valid certificate with the distinguished name (DN) of the server into the server.
  - **d.** Save the new wallet in *obfuscated* form, so that it can be opened without a password.

#### See Also:

Oracle Database Enterprise User Security Administrator's Guide for information about how to create a wallet

- 2. Specify the wallet location to the server Use Oracle Net Manager to do this. Ensure that the configuration is saved to disk. This step updates files sqlnet.ora and listener.ora.
- 3. Disable client authentication at the server, since most Web clients do not have certificates. Use Oracle Net Manager to do this. This step updates file sqlnet.ora.



- **4.** Add an SSL\_DH\_anon cipher suite to SSL\_CIPHER\_SUITES Use any of these:
  - SSL\_DH\_anon\_WITH\_3DES\_EDE\_CBC\_SHA
  - SSL\_DH\_anon\_WITH\_RC4\_128\_MD5
  - SSL\_DH\_anon\_WITH\_DES\_CBC\_SHA

This step updates file sqlnet.ora.

5. Create a listening end point that uses TCP/IP with SSL – Use Oracle Net Manager to do this. This step updates file listener.ora.



Oracle Database Security Guide for detailed information regarding steps 1 through 5

## 28.2.2.2 Enabling TCPS Dispatcher

To enable launching of a TCPS dispatcher during database startup, a database administrator (DBA) must edit the database pfile.

The following line must be added to the pfile, where SID is the SID of the database:

```
dispatchers=(protocol=tcps)(service=SIDxdb)
```

The database pfile location depends on your operating system, as follows:

- MS Windows PARENT/admin/orcl/pfile, where PARENT is the parent folder of folder ORACLE\_HOME
- UNIX, Linux \$ORACLE HOME/admin/\$ORACLE SID/pfile

# 28.2.3 Using Listener Status to Check Port Configuration

You can use the TNS Listener command, lsnrctl status, to verify that HTTP(S) and FTP support has been enabled. An example illustrates this.

C:\oracle\product\11.1.0\db\_1\network\admin\listener.ora

#### Example 28-1 Listener Status with FTP and HTTP(S) Protocol Support Enabled



Listener Parameter File

```
Listener Log File
                               c:\oracle\diag\tnslsnr\quine-pc\listener\alert\log.xml
Listening Endpoints Summary...
(DESCRIPTION=(ADDRESS=(PROTOCOL=ipc)(PIPENAME=\\.\pipe\EXTPROC1521ipc)))
(DESCRIPTION=(ADDRESS=(PROTOCOL=tcp)(HOST=quine-pc.example.com)(PORT=1521)))
(DESCRIPTION=(ADDRESS=(PROTOCOL=tcp)(HOST=quine-pc.example.com)
             (PORT=21))(Presentation=FTP)(Session=RAW))
(DESCRIPTION=(ADDRESS=(PROTOCOL=tcp)(HOST=quine-pc.example.com)
             (PORT=443))(Presentation=HTTP)(Session=RAW))
Services Summary...
Service "orcl.example.com" has 1 instance(s).
 Instance "orcl", status READY, has 1 handler(s) for this service...
Service "orclXDB.example.com" has 1 instance(s).
 Instance "orcl", status READY, has 1 handler(s) for this service...
Service "orcl_XPT.example.com" has 1 instance(s).
 Instance "orcl", status READY, has 1 handler(s) for this service...
The command completed successfully
```

# 28.2.4 Configuring Protocol Port Parameters after Database Consolidation

In a multitenant container database (CDB), protocol server port numbers distinguish the plugged-in pluggable databases (PDBs): each such database must have unique port numbers. A database administrator (DBA) must ensure that each port number used by a PDB is unique.

To avoid port conflicts and to resolve any port conflicts that might result from consolidation, a database administrator must proceed as follows:

- 1. Use PL/SQL function DBMS\_XDB\_CONFIG.usedPort to obtain the port numbers used by the other PDBs in the same CDB.
- Use PL/SQL subprogram DBMS\_XDB\_CONFIG.setFTPPort or DBMS\_XDB\_CONFIG.setHTTPPort, as needed, to change each port number that conflicts so that it is unique.



After a PDB plug-in or clone operation, and until Oracle XML DB has been fully initialized, the port parameter settings in the configuration file, xdbconfig.xml, might be *undefined*. Oracle recommends that you use DBMS\_XDB\_CONFIG subprogram getFTPPort, getHTTPPort, getHTTPSPort, setFTPPort, setHTTPPort, or setHTTPSPort to obtain or modify a port value.

# 28.2.5 Configuration and Management of Authentication Mechanisms for HTTP

You configure the authentication mechanisms to allow for HTTP access to Oracle XML DB Repository by setting element authentication, a child of element httpconfig, in configuration file xdbconfig.xml.

Starting with 12c Release 1 (12.1.0.1), Oracle Database supports not only basic authentication but also digest access authentication.

User credentials are case-sensitive. In particular, to be authenticated, a user name must exactly match the name as it was created (which by default is all uppercase).

*Digest access authentication*, also known as **digest authentication** provides encryption of user credentials (name, password, etc.) without the overhead of complete data encryption.

#### Note:

By default, when a user is created digest authentication is *not* enabled for the new user. To enable digest authentication when creating a user, use clause DIGEST ENABLE with SQL statement CREATE USER, specifying the password for the user.

You can also enable digest authentication for an existing user. To do that, use <code>DIGEST ENABLE</code> with SQL statement <code>ALTER USER</code>. This initializes the user password for digest authentication, but it does not directly enable digest authentication. Digest authentication is enabled for the user when the user next logs in with the password.

You can configure the authentication mechanism to use by setting element authentication, a child of element httpconfig, in configuration file xdbconfig.xml. Element authentication is optional. If absent then only basic authentication is used.

Element authentication has two possible child elements:

- Element allow-mechanism specifies an allowed mechanism: basic, digest, or custom. Use a separate allow-mechanism element to specify each mechanism you want to allow.
- Element digest-auth is optional. It specifies information for a digest mechanism.
   Its child element nonce-timeout specifies the number of seconds that a given nonce remains valid. The default value is 300 seconds.

The default value is used if there is an allow-mechanism that specifies digest but there is no digest-auth element. A digest-auth element is ignored if there is no allow-mechanism that specifies digest.

HTTP requests are accepted for each allow-mechanism specified. Authentication challenges are presented in the order of the specified allow-mechanism types. For example, if both digest and basic are present, in that order, then a digest challenge is presented before a basic challenge. Oracle recommends that you *always* put a stronger authentication before a weaker one. (Digest authentication is stronger than basic authentication.)

#### Nonces for Digest Authentication

With digest authentication, the server generates a nonce whenever it issues an unauthorized response. Clients include the nonce in requests to the server. The server checks nonces received from the client to see if it needs to refuse the client authentication. A client can authenticate the server the same way.



- Upgrade or Downgrade of an Existing Oracle XML DB Installation for installation, upgrade, and downgrade considerations
- HTTP Authentication: Basic and Digest Access Authentication, IETF RFC2617
- Oracle Database SQL Language Referencexxx for information about SQL statement CREATE USER
- Oracle Database SQL Language Referencexxx for information about SQL statement ALTER USER

# 28.2.5.1 Nonces for Digest Authentication

With digest authentication, the server generates a nonce whenever it issues an unauthorized response. Clients include the nonce in requests to the server. The server checks nonces received from the client to see if it needs to refuse the client authentication. A client can authenticate the server the same way.

A **nonce** is a unique string that the server generates each time it issues an HTTP 401 (unauthorized) response. Clients include the nonce in subsequent requests that they issue to the server. The server checks the nonce it receives from the client. If incorrect or if the nonce-timeout period has expired, the server can immediately refuse to authenticate.

(A client can use the same mechanism to authenticate the server: it can generate its own nonce. Both client and server can use this client nonce to help prevent particular plain-text attacks.)

A new nonce is created each time the server sends a digest challenge to a client. A nonce is based on a **nonce key**. The initial nonce key is generated randomly when you install or upgrade the database.

If you use digest authentication then Oracle also recommends that you create a new nonce key periodically, to ensure the integrity of the key. You use PL/SQL procedure DBMS\_XDB\_ADMIN.createNonceKey to do this.

# 28.2.6 Oracle XML DB Repository and File-System Resources

IETF protocol specifications, RFC 959 (FTP), RFC 2616 (HTTP), and RFC 2518 (WebDAV) implicitly assume an abstract, hierarchical file system on the server side. This is mapped to Oracle XML DB Repository. The repository provides name resolution, ACL-based security, and an ability to store and retrieve any content.

The repository can store binary data input through FTP and XML schema-based documents.



- FTP Protocol Specification, IETF RFC959
- HTTP Protocol Specification, IETF RFC2616
- WebDAV Protocol Specification, RFC2518

# 28.2.7 Protocol Server Handles XML Schema-Based or Non-Schema-Based XML Documents

Oracle XML DB protocol server always checks whether a document being inserted is based on an XML schema that is registered with Oracle XML DB Repository. If it is, then the XMLType storage model to use is determined by that XML schema. If it is not, then the document is stored as a BLOB.

# 28.2.8 Event-Based Logging

You can log the requests received and responses sent by a protocol server by setting event number 31098 to level 2.

To set this event, add the following line to your init.ora file and restart the database:

event="31098 trace name context forever, level 2"

# 28.2.9 Auditing of HTTP and FTP Protocols

You can use SQL statement CREATE AUDIT POLICY to audit Oracle XML DB HTTP and FTP protocol messages.

You can audit all or failed HTTP messages, 401 AUTH HTTP return-code messages, and all or failed FTP messages. Columns with name prefix PROTOCOL\_ of data dictionary view UNIFIED\_AUDIT\_TRAIL capture the audit result.

Be aware that a unified audit policy for HTTP and FTP protocols can affect performance.

See Also:

Oracle Database Security Guide

# 28.3 FTP and the Oracle XML DB Protocol Server

File Transfer Protocol (FTP), specified in RFC959, is one of the oldest and most popular protocols. It provides access to heterogeneous file systems in a uniform manner. You can use FTP to access and update data stored in Oracle XML DB Repository.



#### Oracle XML DB Protocol Server: FTP Features

File Transfer Protocol (FTP) is implemented by dedicated clients at the operating system level, file-system explorer clients, and browsers. FTP is typically session-oriented: a user session is created through an explicit logon, a number of files or directories are downloaded and browsed, and then the connection is closed.

### 28.3.1 Oracle XML DB Protocol Server: FTP Features

File Transfer Protocol (FTP) is implemented by dedicated clients at the operating system level, file-system explorer clients, and browsers. FTP is typically session-oriented: a user session is created through an explicit logon, a number of files or directories are downloaded and browsed, and then the connection is closed.

The transfer of command messages and the return of status happens on a single connection. However, a new connection is opened between the client and the server for data transfer. With HTTP(S), by contrast, commands and data are transferred using a single connection.

#### Note:

For security reasons, FTP is *disabled*, by default, for Oracle Database. This is because the IETF FTP protocol specification requires that passwords be transmitted in clear text. Disabling is done by configuring the FTP server port as zero (0). To enable FTP, set the ftp-port parameter to the FTP port to use, such as 2100.

#### FTP Features That Are Not Supported

FTP features that are not supported by Oracle XML DB include record-oriented files and operations append, allocate, account, and abort.

#### Supported FTP Client Methods

Oracle XML DB supports several FTP client methods for access to Oracle XML DB Repository.

#### • FTP Quote Methods

Oracle Database supports several FTP quote methods, which provide information directly to Oracle XML DB.

#### Uploading Content to Oracle XML DB Repository Using FTP

An example shows the commands issued and the output generated when a standard command line FTP tool loads documents into Oracle XML DB Repository:

#### Using FTP with Oracle ASM Files

Oracle Automatic Storage Management (Oracle ASM) organizes database files into disk groups for simplified management and added benefits such as database mirroring and I/O balancing. You can use protocols and resource APIs to access Oracle ASM files in repository *virtual folder* /sys/asm. All files in /sys/asm are binary.

Using FTP on the Standard Port Instead of the Oracle XML DB Default Port
You can use the Oracle XML DB configuration file, xdbconfig.xml, to configure
FTP to listen on any port. By default, FTP listens on a non-standard, unprotected
port.



#### Using IPv6 IP Addresses with FTP

Starting with 11g Release 2 (11.2), Oracle Database supports the use of Internet Protocol Version 6, IPv6 (in addition to Internet Protocol Version 4).

#### FTP Server Session Management

Oracle XML DB protocol server provides session management for FTP. After a short wait for a new command, FTP returns to the protocol layer and the shared server is freed up to serve other connections.

- Handling Error 421. Modifying the Default Timeout Value of an FTP Session
  If you are frequently disconnected from the server and you must reconnect and
  traverse the entire directory before performing the next operation, then you might
  need to modify the default timeout value for FTP sessions. If the session is idle for
  more than this period, it is disconnected.
- FTP Client Failure in Passive Mode
  Do not use FTP in passive mode to connect remotely to a server that has
  HOSTNAME configured in listener.ora as localhost or 127.0.0.1.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml

Oracle XML DB is managed internally through a configuration file, xdbconfig.xml, which is stored as a resource in Oracle XML DB Repository. As an alternative to using Oracle Enterprise Manager to configure Oracle XML DB, you can configure it directly using the Oracle XML DB configuration file.

See Also:

FTP Protocol Specification, IETF RFC 959

# 28.3.1.1 FTP Features That Are Not Supported

FTP features that are not supported by Oracle XML DB include record-oriented files and operations append, allocate, account, and abort.

Oracle XML DB implements FTP, as defined by RFC 959, with the *exception* of the following optional features:

- Record-oriented files, for example, only the FILE structure of the STRU method is supported. This is the most widely used structure for transfer of files. It is also the default specified by the specification. Structure mount is not supported.
- Append.
- Allocate. This pre-allocates space before file transfer.
- Account. This uses the insecure Telnet protocol.
- Abort.

# 28.3.1.2 Supported FTP Client Methods

Oracle XML DB supports several FTP client methods for access to Oracle XML DB Repository.

cdup – change working directory to parent directory

- cwd change working directory
- dele delete file (not directory)
- list, nlst list files in working directory
- mkd create directory
- noop do nothing (but timeout counter on connection is reset)
- pasv, port establish a TCP data connection
- pwd get working directory
- quit close connection and quit FTP session
- retr retrieve data using an established connection
- rmd remove directory
- rnfr, rnto rename file (two-step process: from file, to file)
- stor store data using an established connection
- syst get system version
- type change data type: ascii or image binary types only
- user, pass user login

- FTP Quote Methods for supported FTP quote methods
- Using FTP with Oracle ASM Files for an example of using FTP method proxy

# 28.3.1.3 FTP Quote Methods

Oracle Database supports several FTP quote methods, which provide information directly to Oracle XML DB.

• rm\_r - Remove file or folder < resource\_name >. If a folder, recursively remove all files and folders contained in < resource name >.

```
quote rm_r <resource_name>
```

rm\_f - Forcibly remove a resource.

```
quote rm_f <resource_name>
```

 rm\_rf - Combines rm\_r and rm\_f: Forcibly and recursively removes files and folders.

```
quote rm_rf <resource_name>
```



set\_nls\_locale – Specify the character-set encoding (<charset\_name>) to be used for file and directory names in FTP methods (including names in method responses).

```
quote set_nls_locale {<charset_name> | NULL}
```

Only IANA character-set names can be specified for *<charset\_name>*. If nls locale is set to NULL or is not set, then the database character set is used.

• set\_charset - Specify the character set of the data to be sent to the server.

```
quote set_charset {<charset_name> | NULL}
```

The set\_charset method applies to only *text* files, not binary files, as determined by the file-extension mapping to MIME types that is defined in configuration file xdbconfig.xml.

If the parameter provided to set\_charset is <charset\_name> (not NULL), then it specifies the character set of the data.

If the parameter provided to set\_charset is NULL, or if no set\_charset command is given, then the *MIME type* of the data determines the character set for the data.

- If the MIME type is not text/xml), then the data is not assumed to be XML.
   The database character set is used.
- If the MIME type is text/xml, then the data represents an XML document.
   If a byte order mark<sup>1</sup> (BOM) is present in the XML document, then it determines the character set of the data.

If there is *no* BOM, then:

- \* If there is an *encoding declaration* in the XML document, then it determines the character set of the data.
- \* If there is *no* encoding declaration, then the UTF-8 character set is used.

# 28.3.1.4 Uploading Content to Oracle XML DB Repository Using FTP

An example shows the commands issued and the output generated when a standard command line FTP tool loads documents into Oracle XML DB Repository:

The key point demonstrated by Figure 28-3 and Example 28-2 is that neither Windows Explorer nor an FTP tool is aware that it is working with Oracle XML DB. Since such tools and Oracle XML DB both support open Internet protocols they work with each other out of the box.

Any tool that understands the WebDAV or FTP protocol can be used to create content managed by Oracle XML DB Repository. No additional software needs to be installed on the client or the mid-tier.

When the contents of folders are viewed using a tool such as Windows Explorer or FTP, the lengths of any XML Schema-based documents contained in the folder are shown as zero (0) bytes. This was designed as such for two reasons:

BOM is a Unicode-standard signature that indicates the order of the stream of bytes that follows it.



- It is not clear what the size of a document should be. Is it the size of the CLOB
  instance generated by printing the document, or the number of bytes required to
  store the objects used to persist the document inside the database?
- Regardless of which definition is chosen, calculating and maintaining this information is costly.

#### Example 28-2 Uploading Content to the Repository Using FTP

```
$ ftp mdrake-sun 2100
Connected to mdrake-sun.
220 mdrake-sun FTP Server (Oracle XML DB/Oracle Database 10g Enterprise
Release 10.1.0.1.0 - Beta) ready.
Name (mdrake-sun:oracle10): OUINE
331 Password required for QUINE
Password: password
230 QUINE logged in
ftp> cd /source/schemas
250 CWD Command successful
ftp> mkdir PurchaseOrders
257 MKD Command successful
ftp> cd PurchaseOrders
250 CWD Command successful
ftp> mkdir 2002
257 MKD Command successful
ftp> cd 2002
250 CWD Command successful
ftp> mkdir "Apr"
257 MKD Command successful
ftp> put "Apr/AMCEWEN-20021009123336171PDT.xml"
"Apr/AMCEWEN-20021009123336171PDT.xml"
200 PORT Command successful
150 ASCII Data Connection
226 ASCII Transfer Complete
local: Apr/AMCEWEN-20021009123336171PDT.xml remote:
Apr/AMCEWEN-20021009123336171PDT.xml
4718 bytes sent in 0.0017 seconds (2683.41 Kbytes/s)
ftp> put "Apr/AMCEWEN-20021009123336271PDT.xml"
"Apr/AMCEWEN-20021009123336271PDT.xml"
200 PORT Command successful
150 ASCII Data Connection
226 ASCII Transfer Complete
local: Apr/AMCEWEN-20021009123336271PDT.xml remote:
Apr/AMCEWEN-20021009123336271PDT.xml
4800 bytes sent in 0.0014 seconds (3357.81 Kbytes/s)
. . . . .
ftp> cd "Apr"
250 CWD Command successful
ftp> ls -l
200 PORT Command successful
150 ASCII Data Connection
-rw-r--r1 QUINE oracle 0 JUN 24 15:41 AMCEWEN-20021009123336171PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 AMCEWEN-20021009123336271PDT.xml
-rw-r--r1 QUINE oracle 0 JUN 24 15:41 EABEL-20021009123336251PDT.xml
-rw-r--r1 QUINE oracle 0 JUN 24 15:41 PTUCKER-20021009123336191PDT.xml
```



```
-rw-r--rl QUINE oracle 0 JUN 24 15:41 PTUCKER-20021009123336291PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 SBELL-20021009123336231PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 SBELL-20021009123336331PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 SKING-20021009123336321PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 SMCCAIN-20021009123336321PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 SMCCAIN-20021009123336341PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 SMCCAIN-20021009123336341PDT.xml
-rw-r--rl QUINE oracle 0 JUN 24 15:41 VJONES-20021009123336301PDT.xml
226 ASCII Transfer Complete
remote: -l
959 bytes received in 0.0027 seconds (349.45 Kbytes/s)
ftp> cd ".."
250 CWD Command successful
....
ftp> quit
221 QUIT Goodbye.
$
```

## 28.3.1.5 Using FTP with Oracle ASM Files

Oracle Automatic Storage Management (Oracle ASM) organizes database files into disk groups for simplified management and added benefits such as database mirroring and I/O balancing. You can use protocols and resource APIs to access Oracle ASM files in repository *virtual folder* /sys/asm. All files in /sys/asm are binary.

Typical uses are listing, copying, moving, creating, and deleting Oracle ASM files and folders. Example 28-3 is an example of navigating the Oracle ASM virtual folder and listing the files in a subfolder.

The structure of the Oracle ASM virtual folder, /sys/asm, is described in Access to Oracle XML DB Repository Data. In Example 28-3, the disk groups are DATA and RECOVERY; the database name is MFG; and the directories created for aliases are dbs and tmp. This example navigates to a subfolder, lists its files, and copies a file to the local file system.

In Example 28-3, after connecting to and logging onto database <code>myhost</code> (first four lines), FTP methods <code>cd</code> and <code>ls</code> are used to navigate and list folders, respectively. When in folder <code>/sys/asm/DATA/dbs</code>, FTP command <code>get</code> is used to copy files <code>t\_db1.f</code> and <code>t\_ax1.f</code> to the current folder of the local file system. Then, FTP command <code>put</code> is used to copy file <code>my\_db2.f</code> from the local file system to folder <code>/sys/asm/DATA/dbs</code>.

Database administrators can copy Oracle Automatic Storage Management (Oracle ASM) files from *one database server to another* or between the database and a local file system. Example 28-4 shows copying between two databases. For this, the proxy FTP client method can be used, if available. The proxy method provides a *direct* connection to two different remote FTP servers.

Example 28-4 copies an Oracle ASM file from one database to another. Terms with the suffix 1 correspond to database server1. Terms with the suffix 2 correspond to database server2. Depending on your FTP client, the passwords you type might be echoed on your screen. Take the necessary precautions so that others do not see these passwords.

#### In Example 28-4:

 Line 1 opens an FTP control connection to the Oracle XML DB FTP server, server1.



- Lines 2–4 log the database administrator onto server1 as USERNAME1.
- Line 5 navigates to /sys/asm/DATAFILE/MFG/DATAFILE on server1.
- Line 6 opens an FTP control connection to the second database server, server2.
   At this point, the FTP command proxy? could be issued to see the available FTP commands on the secondary connection. (This is not shown.)
- Lines 7–9 log the database administrator onto server2 as USERNAME2.
- Line 10 navigates to /sys/asm/DATAFILE/MFG/DATAFILE on server2.
- Line 11 copies Oracle ASM file dbs2.f from server2 to Oracle ASM file tmp1.f on server1.
- Line 12 copies Oracle ASM file dbs1.f from server1 to Oracle ASM file tmp2.f on server2.

#### **Example 28-3 Navigating Oracle ASM Folders**

```
ftp> open myhost 7777
ftp> user system
Password required for SYSTEM
Password: password
ftp> cd /sys/asm
ftp> ls
DATA
RECOVERY
ftp> cd DATA
ftp> ls
dbs
MFG
ftp> cd dbs
ftp> ls
t_dbl.f
t_axl.f
ftp> binary
ftp> get t_dbl.f, t_axl.f
ftp> put my_db2.f
```

# Example 28-4 Transferring Oracle ASM Files Between Databases with FTP proxy Method

```
1 ftp> open server1 port1
2 ftp> user username1
3 Password required for USERNAME1
4 Password: password-for-username1
5 ftp> cd /sys/asm/DATAFILE/MFG/DATAFILE
6 ftp> proxy open server2 port2
7 ftp> proxy user username2
8 Password required for USERNAME2
9 Password: password-for-username2
10 ftp> proxy cd /sys/asm/DATAFILE/MFG/DATAFILE
11 ftp> proxy put dbs2.f tmp1.f
12 ftp> proxy get dbs1.f tmp2.f
```



# 28.3.1.6 Using FTP on the Standard Port Instead of the Oracle XML DB Default Port

You can use the Oracle XML DB configuration file, xdbconfig.xml, to configure FTP to listen on any port. By default, FTP listens on a non-standard, unprotected port.

To use FTP on the standard port, 21, your database administrator must do the following:

 (UNIX only) Use this shell command to ensure that the owner and group of executable file tnslsnr are root:

```
% chown root:root $ORACLE HOME/bin/tnslsnr
```

2. (UNIX only) Add the following entry to the listener file, listener.ora, where hostname is your host name:

```
(DESCRIPTION =
  (ADDRESS = (PROTOCOL = TCP) (HOST = hostname) (PORT = 21))
  (PROTOCOL_STACK = (PRESENTATION = FTP) (SESSION = RAW)))
```

3. (UNIX only) Use shell command id to determine the user\_id and group\_id that were used to install Oracle Database. oracle\_installation\_user is the name of the user who installed the database.

```
% id oracle_installation_user
uid=user_id(oracle_installation_user) gid=group_id(dba)
```

4. (UNIX only) Stop, then restart the listener, using the following shell commands, where user\_id and group\_id are the UNIX user and group identifiers obtained in step 3.

```
% lsnrctl stop
% tnslsnr LISTENER -user user_id -group group_id &
```

Use the ampersand (&), to execute the second command in the background. Do not use  $lsnrctl\ start$  to start the listener.

 Use PL/SQL procedure DBMS\_XDB\_CONFIG.setFTPPort with SYS as SYSDBA to set the FTP port number to 21 in the Oracle XML DB configuration file, xdbconfig.xml.

```
SQL> exec DBMS_XDB_CONFIG.setFTPPort(21);
```

6. Force the database to reregister with the listener, using this SQL statement:

```
SOL> ALTER SYSTEM REGISTER;
```

7. Check that the listener is correctly configured, using this shell command:

```
% lsnrctl status
```



- Oracle Database Net Services Reference for information about listener parameters and file listener.ora
- Oracle Database Net Services Reference, section "Port Number Limitations" for information about running on privileged ports

## 28.3.1.7 Using IPv6 IP Addresses with FTP

Starting with 11g Release 2 (11.2), Oracle Database supports the use of Internet Protocol Version 6, IPv6 (in addition to Internet Protocol Version 4).

Example 28-5 shows how to make an FTP connection with the IPv6 address 2001::0db8:ffff:ffff.

See Also:

Oracle Database Net Services Reference for information about IPv6

#### Example 28-5 FTP Connection Using IPv6

```
ftp> open 2001::0db8:ffff:ffff:ffff 1521
Connected to 2001::0db8:ffff:ffff:ffff.
220- xmlhost.example.com
Unauthorized use of this FTP server is prohibited and may be subject to civil and criminal prosecution.
220- xmlhost.example.com FTP server (Oracle XML DB/Oracle Database) ready.
User (2001::0db8:ffff:ffff:ffff:(none)): username
331 pass required for USERNAME
Password: password-for-username
230 USERNAME logged in
ftp>
```

# 28.3.1.8 FTP Server Session Management

Oracle XML DB protocol server provides session management for FTP. After a short wait for a new command, FTP returns to the protocol layer and the shared server is freed up to serve other connections.

The duration of this short wait is configurable by changing parameter <code>call-timeout</code> in the Oracle XML DB configuration file. For high traffic sites, <code>call-timeout</code> should be shorter, so that more connections can be served. When new data arrives on the connection, the FTP server is re-invoked with fresh data. So, the long running nature of FTP does not affect the number of connections which can be made to the protocol server.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
 which is stored as a resource in Oracle XML DB Repository. As an alternative to

using Oracle Enterprise Manager to configure Oracle XML DB, you can configure it directly using the Oracle XML DB configuration file.

# 28.3.1.9 Handling Error 421. Modifying the Default Timeout Value of an FTP Session

If you are frequently disconnected from the server and you must reconnect and traverse the entire directory before performing the next operation, then you might need to modify the default timeout value for FTP sessions. If the session is idle for more than this period, it is disconnected.

You can increase the timeout value (default = 6000 centiseconds) by modifying the configuration document as follows and then restarting the database:

#### Example 28-6 Modifying the Default Timeout Value of an FTP Session

#### 28.3.1.10 FTP Client Failure in Passive Mode

Do not use FTP in *passive mode* to connect remotely to a server that has HOSTNAME configured in listener.ora as localhost or 127.0.0.1.

If the HOSTNAME specified in server file listener.ora is localhost or 127.0.0.1, then the server is configured for *local use only*. If you try to connect remotely to the server using FTP in passive mode, the FTP client fails. This is because the server passes IP address 127.0.0.1 (derived from HOSTNAME) to the client, which makes the client try to connect to itself, not to the server.

# 28.4 HTTP(S) and Oracle XML DB Protocol Server

Oracle XML DB implements HyperText Transfer Protocol (HTTP), HTTP 1.1 as defined in the RFC2616 specification.

Oracle XML DB Protocol Server: HTTP(S) Features
 The Oracle XML DB HTTP(S) component of the Oracle XML DB protocol server implements the IETF RFC2616 specification with the exception of a few optional features.

# 28.4.1 Oracle XML DB Protocol Server: HTTP(S) Features

The Oracle XML DB HTTP(S) component of the Oracle XML DB protocol server implements the IETF RFC2616 specification with the *exception* of a few optional features.

These are the optional HTTP(S) features that are not supported:

- gzip and compress transfer encodings
- byte-range headers
- The TRACE method (used for proxy error debugging)
- Cache-control directives (these require you to specify expiration dates for content, and are not generally used)
- TE, Trailer, Vary & Warning headers
- Weak entity tags
- Web common log format
- Multi-homed Web server

#### Supported HTTP(S) Client Methods

Oracle XML DB supports several HTTP(S) client methods for access to Oracle XML DB Repository.

- Using HTTP(S) on a Standard Port Instead of an Oracle XML DB Default Port
  You can use the Oracle XML DB configuration file, xdbconfig.xml, to configure
  HTTP(S) to listen on any port. By default, HTTP(S) listens on a non-standard,
  unprotected port.
- Use of IPv6 IP Addresses with HTTP(S)

Starting with 11g Release 2 (11.2), Oracle Database supports the use of Internet Protocol Version 6, IPv6 (in addition to Internet Protocol Version 4). IPv6 addresses in URLs are enclosed in brackets ([]).

HTTPS: Support for Secure HTTP

If properly configured, you can access Oracle XML DB Repository in a *secure* fashion, using HTTPS.

Control of URL Expiration Time

Optional configuration parameter expire specifies an HTTP Expires header. This header acts as a directive to the HTTP client, to specify the expiration date and time for a URL.

Anonymous Access to Oracle XML DB Repository Using HTTP

Optional configuration parameter allow-repository-anonymous-access controls whether or not anonymous HTTP access to Oracle XML DB Repository data is allowed using an unlocked ANONYMOUS user account. The default value is false, meaning that unauthenticated access to repository data is *blocked*.

Use of Java Servlets with HTTP(S)

Oracle XML DB supports the use of Java servlets. Each must each be registered with a unique name in the Oracle XML DB configuration file, along with parameters to customize its action. It should be compiled and loaded into the database. The servlet name must be associated with a pattern.

Embedded PL/SQL Gateway

You can use the embedded PL/SQL gateway to implement a Web application entirely in PL/SQL. It runs in the Oracle XML DB HTTP listener.

Transmission of Multibyte Data From a Client

When a client sends multibyte data in a URL, RFC 2718 specifies that the client should use the %HH format, where HH is the hexadecimal notation of the byte value in UTF-8 encoding.



Characters That Are Not ASCII in URLs

Convert non-ASCII characters that appear in URLs passed to an HTTP server to  $\tt UTF-8$  and escape them using the  $\tt %HH$  format, where  $\tt HH$  is the hexadecimal notation of the byte value.

Character Sets for HTTP(S)
 You can control the character sets used for data that is transferred using HTTP(S).

See Also:

HTTP 1.1 Protocol Specification, IETF RFC 2616

# 28.4.1.1 Supported HTTP(S) Client Methods

Oracle XML DB supports several HTTP(S) client methods for access to Oracle XML DB Repository.

- OPTIONS get information about available communication options
- GET get document/data (including headers)
- HEAD get headers only, without document body
- PUT store data in resource
- DELETE delete resource

The semantics of these HTTP(S) methods are in accordance with WebDAV. Servlets and Web services may support additional HTTP(S) methods, such as POST.

See Also:

WebDAV Client Methods Supported by Oracle XML DB for supported HTTP(S) client methods involving WebDAV

# 28.4.1.2 Using HTTP(S) on a Standard Port Instead of an Oracle XML DB Default Port

You can use the Oracle XML DB configuration file, xdbconfig.xml, to configure HTTP(S) to listen on any port. By default, HTTP(S) listens on a non-standard, unprotected port.

To use HTTP or HTTPS on a standard port (80 for HTTP, 443 for HTTPS), your database administrator must do the following:

- (UNIX only) Use this shell command to ensure that the owner and group of executable file tnslsnr are root:
  - % chown root:root \$ORACLE\_HOME/bin/tnslsnr
- 2. (UNIX only) Add the following entry to the listener file, listener.ora, where hostname is your host name, and port number is 80 for HTTP or 443 for HTTPS:

```
(DESCRIPTION =
  (ADDRESS = (PROTOCOL = TCP) (HOST = hostname) (PORT = port_number))
  (PROTOCOL STACK = (PRESENTATION = HTTP) (SESSION = RAW)))
```

3. (UNIX only) Use shell command id to determine the user\_id and group\_id that were used to install Oracle Database. oracle\_installation\_user is the name of the user who installed the database.

```
% id oracle_installation_user
uid=user_id(oracle_installation_user) gid=group_id(dba)
```

 (UNIX only) Stop, then restart the listener, using the following shell commands, where user\_id and group\_id are the UNIX user and group identifiers obtained in step 3.

```
% lsnrctl stop
% tnslsnr LISTENER -user user_id -group group_id &
```

Use the ampersand (&), to execute the second command in the background. Do not use lsnrctl start to start the listener.

5. Use PL/SQL procedure DBMS\_XDB\_CONFIG.setHTTPPort with SYS as SYSDBA to set the HTTP(S) port number to port\_number in the Oracle XML DB configuration file xdbconfig.xml, where port\_number is 80 for HTTP or 443 for HTTPS:

```
SQL> exec DBMS_XDB_CONFIG.setHTTPPort(port_number);
```

**6.** Force the database to reregister with the listener, using this SQL statement:

```
SQL> ALTER SYSTEM REGISTER;
```

7. Check that the listener is correctly configured:

```
% lsnrctl status
```

#### See Also:

- Oracle Database Net Services Reference for information about listener parameters and file listener.ora
- Oracle Database Net Services Reference, section "Port Number Limitations" for information about running on privileged ports

# 28.4.1.3 Use of IPv6 IP Addresses with HTTP(S)

Starting with 11g Release 2 (11.2), Oracle Database supports the use of Internet Protocol Version 6, IPv6 (in addition to Internet Protocol Version 4). IPv6 addresses in URLs are enclosed in brackets ([]).

Here is an example:

```
http://[2001::0db8:ffff:ffff:ffff]:8080/
```



Oracle Database Net Services Administrator's Guide for information about IPv6

## 28.4.1.4 HTTPS: Support for Secure HTTP

If properly configured, you can access Oracle XML DB Repository in a *secure* fashion, using HTTPS.

See Configuring Secure HTTP (HTTPS) for configuration information.

### Note:

Oracle recommends that you use *digest* authentication for WebDAV access to Oracle XML DB Repository. Digest authentication is supported starting with Oracle Database 12c Release 1 (12.1.0.1). If your database is installed on Microsoft Windows and you cannot use digest authentication then see WebDAV and Microsoft Windows for information about configuring *basic* authentication.

## 28.4.1.5 Control of URL Expiration Time

Optional configuration parameter expire specifies an HTTP Expires header. This header acts as a directive to the HTTP client, to specify the expiration date and time for a URL.

If cached, the document targeted by a URL can be fetched from the client cache rather than from the server, until this expiration time has passed. After that time, the cache copy is out-of-date and a new copy must be obtained from the source (server).

The Oracle XML DB syntax for the Expires header, which is used in the expire configuration element, is a subset of the so-called alternate syntax defined for the ExpiresDefault directive of the Apache module mod\_expires.

These are the Oracle XML DB restrictions to the ExpiresDefault syntax:

- You cannot use access as the <base>. Only now and modification are allowed.
- The <type> values must appear in order of decreasing time period. For example, year must appear before, not after, month, since a year is a longer time period than a month.
- You can use at most one occurrence of each of the different <type> values. For
  example, you cannot have multiple year entries or multiple day entries.



Alternate Interval Syntax for the alternate syntax for mod\_expires directive ExpiresDefault

## 28.4.1.6 Anonymous Access to Oracle XML DB Repository Using HTTP

Optional configuration parameter allow-repository-anonymous-access controls whether or not anonymous HTTP access to Oracle XML DB Repository data is allowed using an unlocked ANONYMOUS user account. The default value is false, meaning that unauthenticated access to repository data is *blocked*.

To allow anonymous HTTP access to the repository, you must set this parameter to true, and unlock the ANONYMOUS user account.



#### **Caution:**

There is an inherent *security risk* associated with allowing anonymous access to the repository.

Parameter allow-repository-anonymous-access does *not* control anonymous access to the repository using *servlets*. Each servlet has its own security-role-ref parameter value to control its access.



#### Note:

If user account Anonymous is *locked* for a multitenant container database (CDB) then locking or unlocking Anonymous for a pluggable database (PDB) plugged into that CDB has *no* effect on access by Anonymous to the PDB.

#### See Also:

- Table 28-3 for information about parameter allow-repositoryanonymous-access
- Configuration of Oracle XML DB Using xdbconfig.xml for information about configuring Oracle XML DB parameters
- Configuration of Oracle XML DB Servlets for information about parameter security-role-ref



## 28.4.1.7 Use of Java Servlets with HTTP(S)

Oracle XML DB supports the use of Java servlets. Each must each be registered with a unique name in the Oracle XML DB configuration file, along with parameters to customize its action. It should be compiled and loaded into the database. The servlet name must be associated with a pattern.

The pattern can be an extension such as \*.jsp or a path name such as /a/b/c or /sys/\*, as described in Java servlet application program interface (API) version 2.2.

While processing an HTTP(S) request, the path name for the request is matched against the registered patterns. If there is a match then the protocol server invokes the corresponding servlet with the appropriate initialization parameters. The Java Virtual Machine (JVM) is started, and it invokes a Java method to initialize the servlet, create response and request objects, pass these on to the servlet, and run the servlet.

#### **Related Topics**

Guidelines for Oracle XML DB Applications in Java
 Design guidelines are presented for writing Oracle XML DB applications in Java.
 This includes guidelines for writing and configuring Java servlets for Oracle XML DB.

## 28.4.1.8 Embedded PL/SQL Gateway

You can use the embedded PL/SQL gateway to implement a Web application entirely in PL/SQL. It runs in the Oracle XML DB HTTP listener.

With the embedded PL/SQL gateway, a Web browser sends an HTTP(S) request in the form of a URL that identifies a stored procedure and provides it with parameter values. The gateway translates the URL, calls the stored procedure with the parameter values, and returns output (typically HTML) to the Web-browser client.

Using the embedded PL/SQL gateway simplifies installation, configuration, and administration of PL/SQL based Web applications. The embedded gateway uses the Oracle XML DB protocol server, not Oracle HTTP Server. Its configuration is defined by the Oracle XML DB configuration file, xdbconfig.xml. However, the recommended way to configure the embedded gateway is to use the procedures in PL/SQL package DBMS\_EPG, not to edit file xdbconfig.xml.

#### Note:

If you are currently using *mod\_plsql*, which is a plug-in of *Oracle HTTP Server* that lets you invoke PL/SQL stored procedures using HTTP(S), Oracle recommends that you migrate to using the embedded PL/SQL gateway instead.



- Oracle Database Development Guide for information about configuring and using the embedded PL/SQL gateway
- Administration of Oracle XML DB for information on the configuration definition of the embedded gateway in xdbconfig.xml

## 28.4.1.9 Transmission of Multibyte Data From a Client

When a client sends multibyte data in a URL, RFC 2718 specifies that the client should use the \$HH format, where HH is the hexadecimal notation of the byte value in UTF-8 encoding.

The following are URL examples that can be sent to Oracle XML DB in an HTTP(S) or WebDAV context:

```
http://urltest/xyz%E3%81%82%E3%82%A2
http://%E3%81%82%E3%82%A2
http://%E3%81%82%E3%82%A2/abc%E3%81%86%E3%83%8F.xml
```

Oracle XML DB processes the requested URL, any URLs within an IF header, any URLs within the DESTINATION header, and any URLs in the REFERRED header that contains multibyte data.

The default-url-charset configuration parameter can be used to accept requests from some clients that use other, nonconforming, forms of URL, with characters that are not ASCII. If a request with such characters fails, try setting this value to the native character set of the client environment. The character set used in such URL fields must be specified with an IANA charset name.

default-url-charset controls the encoding for nonconforming URLs. It is not required to be set unless a nonconforming client that does not send the Content-Type charset is used.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
 which is stored as a resource in Oracle XML DB Repository. As an alternative to
 using Oracle Enterprise Manager to configure Oracle XML DB, you can configure
 it directly using the Oracle XML DB configuration file.



RFC 2616, HTTP 1.1 Protocol Specification, HTTP Protocol Specification, IETF RFC2616



#### 28.4.1.10 Characters That Are Not ASCII in URLs

Convert non-ASCII characters that appear in URLs passed to an HTTP server to  $\tt UTF-8$  and escape them using the  $\tt %HH$  format, where  $\tt HH$  is the hexadecimal notation of the byte value.

For flexibility, the Oracle XML DB protocol server interprets the incoming URLs by testing whether it is encoded in one of the following character sets, in the order presented here:

- UTF-8
- Charset parameter of the Content-Type field of the request, if specified
- Character set, if specified, in the default-url-charset configuration parameter
- Character set of the database

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
 which is stored as a resource in Oracle XML DB Repository. As an alternative to
 using Oracle Enterprise Manager to configure Oracle XML DB, you can configure
 it directly using the Oracle XML DB configuration file.

## 28.4.1.11 Character Sets for HTTP(S)

You can control the character sets used for data that is transferred using HTTP(S).

- HTTP(S) Request Character Set
   The character set of an HTTP(S) request body is determined using a straightforward but somewhat complex algorithm.
- HTTP(S) Response Character Set
   The response generated by the Oracle XML DB HTTP server is in a character set specified in the Accept-Charset field of the request.

# 28.4.1.11.1 HTTP(S) Request Character Set

The character set of an HTTP(S) request body is determined using a straightforward but somewhat complex algorithm.

- The Content-Type header is evaluated. If the Content-Type header specifies a charset value, the specified charset is used.
- The MIME type of the document is evaluated as follows:
  - a. If the MIME type is "\*/xml" then the character set is determined as follows:
    - i. If neither a BOM nor an encoding declaration is present then UTF-8 is used.
    - ii. If a BOM is present then UTF-16 is used.
    - iii. If an encoding declaration is present then the specified encoding is used.
  - b. If the MIME type is text then ISO8859-1 is used.



c. If the MIME type is neither "\*/xml" nor text then the database character set is used.

There is a difference between HTTP(S) and SQL or FTP. For text documents, the default is ISO8859-1, as specified by the IETF.org *RFC 2616: HTTP 1.1 Protocol Specification*.

### 28.4.1.11.2 HTTP(S) Response Character Set

The response generated by the Oracle XML DB HTTP server is in a character set specified in the Accept-Charset field of the request.

Accept-Charset can specify a list of character sets. Based on the q-value, Oracle XML DB chooses one of them that does not require conversion. This might not necessarily be the character set with the highest q-value. If Oracle XML DB cannot find one that does not require conversion, then the conversion used is based on the highest q-value.

# 28.5 WebDAV and Oracle XML DB

Web Distributed Authoring and Versioning (WebDAV) is an IETF standard protocol that Oracle XML DB uses to provide users with a file-system interface to Oracle XML Repository over the Internet. The most popular way of accessing a WebDAV server folder is through WebFolders using Microsoft Windows.

WebDAV is an extension to the HTTP 1.1 protocol that lets an HTTP server act as a file server. It lets clients perform remote Web content authoring through a coherent set of methods, headers, request body formats and response body formats. For example, a DAV-enabled editor can interact with an HTTP/WebDAV server as if it were a file system. WebDAV provides operations to store and retrieve resources, create and list contents of resource collections, lock resources for concurrent access in a coordinated manner, and to set and retrieve resource properties.

- Oracle XML DB WebDAV Features
  - Oracle XML DB supports the foldering and access-control features of WebDAV. Foldering is specified by RFC2518.
- WebDAV and Microsoft Windows
  - For Microsoft Windows (XP with *Service Pack 2* SP2 or later Windows system), use digest authentication for WebDAV access to Oracle XML DB Repository, if possible. If not, you must make appropriate modifications to the Windows XP Registry in order to use basic authentication.
- Creating a WebFolder in Microsoft Windows For Use With Oracle XML DB Repository
  - Create a WebFolder in Windows 2000 and use it with Oracle XML DB Repository.

### 28.5.1 Oracle XML DB WebDAV Features

Oracle XML DB supports the foldering and access-control features of WebDAV. Foldering is specified by RFC2518.

WebDAV is a set of extensions to the HTTP(S) protocol that allow you to share, edit, and manage your files on remote Web servers.



- WebDAV Features That Are Not Supported by Oracle XML DB
   Oracle XML DB supports specification RFC2518, with the exception of a few
   features. For methods COPY, MOVE and DELETE it also supports the binding of
   resources as described in specification RFC5842.
- WebDAV Client Methods Supported by Oracle XML DB
   Oracle XML DB supports several HTTP(S)/WebDAV client methods for access to
   Oracle XML DB Repository.

RFC 2518: WebDAV Protocol Specification, *WebDAV Protocol Specification*, IETE RFC2518

## 28.5.1.1 WebDAV Features That Are Not Supported by Oracle XML DB

Oracle XML DB supports specification RFC2518, with the *exception* of a few features. For methods COPY, MOVE and DELETE it also supports the binding of resources as described in specification RFC5842.

These are the WebDAV features from RFC2518 that Oracle XML DB does not support:

- Using the name of a write-locked null resource (a lock-null resource) as a folder name is not supported, because it is represented as a zero-length resource in the file system. This is an optional feature.
- For method LOCK, you cannot specify infinity for the depth, to simultaneously lock
  a resource and all of its descendents. This feature is not optional, but it is not
  supported by Oracle XML DB.

In addition, for methods COPY, MOVE and DELETE Oracle XML DB supports the binding of resources as described in section 2 of RFC5842, "Binding Extensions to Web Distributed Authoring and Versioning (WebDAV)". A binding is a mapping of a URI to a resource, for a given folder.

#### See Also:

- WebDAV Protocol Specification, IETF RFC2518, Section 7.4 for information about lock-null resources
- WebDAV Protocol Specification, IETF RFC2518, Section 9.2 for information about depth-infinity locks
- Binding Extensions to Web Distributed Authoring and Versioning (WebDAV), IETF RFC5842, Section 2 for information about resource bindings



## 28.5.1.2 WebDAV Client Methods Supported by Oracle XML DB

Oracle XML DB supports several HTTP(S)/WebDAV client methods for access to Oracle XML DB Repository.

- PROPFIND (WebDAV-specific) get properties for a resource
- PROPPATCH (WebDAV-specific) set or remove resource properties
- LOCK (WebDAV-specific) lock a resource (create or refresh a lock)
- UNLOCK (WebDAV-specific) unlock a resource (remove a lock)
- COPY (WebDAV-specific) copy a resource
- MOVE (WebDAV-specific) move a resource
- MKCOL (WebDAV-specific) create a folder resource (collection)

#### **Related Topics**

Privileges

The privileges provided with Oracle Database include the standard WebDAV privileges as well as Oracle-specific privileges.

Adding Metadata Using WebDAV PROPPATCH
 An alternative to using procedure DBMS\_XDB\_REPOS.appendResourceMetadata to add resource metadata is to use WebDAV method PROPPATCH.



Supported HTTP(S) Client Methods for additional supported HTTP(S) client methods

## 28.5.2 WebDAV and Microsoft Windows

For Microsoft Windows (XP with *Service Pack 2* SP2 or later Windows system), use digest authentication for WebDAV access to Oracle XML DB Repository, if possible. If not, you must make appropriate modifications to the Windows XP Registry in order to use basic authentication.

Oracle Database supports digest authentication, starting with Oracle Database 12c Release 1 (12.1.0.1).

If you must use basic authentication then set Windows Registry key BasicAuthLevel to the value 1 or 2. Value 1 means use Secure Sockets Layer (SSL), which Oracle recommends. Value 2 means do not use SSL.

#### **Related Topics**

Configuring Secure HTTP (HTTPS)

To enable the repository to use *secure* HTTP connections (HTTPS), a database administrator (DBA) must configure the database accordingly: configure parameters http2-port and http2-protocol, enable the HTTP Listener to use



SSL, and enable launching of the TCPS Dispatcher. The DBA must then stop and restart the database and the listener.

See Also:

WebDAV Redirector Registry Settings

# 28.5.3 Creating a WebFolder in Microsoft Windows For Use With Oracle XML DB Repository

Create a WebFolder in Windows 2000 and use it with Oracle XML DB Repository.

Create a WebFolder in Windows 2000:

- 1. Start > My Network Places.
- 2. Double-click Add Network Place.
- 3. Click Next.
- **4.** Type the location of the folder, for example:

http://Oracle\_server\_name:HTTP\_port\_number

See Figure 28-2.

- 5. Click Next.
- 6. Enter any name to identify this WebFolder
- 7. Click Finish.

You can access Oracle XML DB Repository the same way you access any Windows folder.



Welcome to the Add Network
Place Wizard

This wizard helps you add a link to a Network Place where
you can store your documents. A Network Place can be a
shared folder, a Web folder on the Internet, or an FTP
site.

Type the location of the Network Place:

http://server1:8080

Here are some examples.

To continue, click Next.

Cancel

Figure 28-2 Creating a WebFolder in Microsoft Windows

 Use of WebDAV with Windows Explorer to Copy Files into Oracle XML DB Repository

You can use Windows Explorer to insert a folder from a local hard drive into Oracle Database.

# 28.5.3.1 Use of WebDAV with Windows Explorer to Copy Files into Oracle XML DB Repository

You can use Windows Explorer to insert a folder from a local hard drive into Oracle Database.

Figure 28-3 illustrates this.

Windows Explorer includes support for the WebDAV protocol. WebDAV extends the HTTP standard, adding additional verbs that allow an HTTP server to act as a file server.

When a Windows Explorer copy operation or FTP input command is used to transfer a number of documents into Oracle XML DB Repository, each put or post command is treated as a separate atomic operation. This ensures that the client does not get confused if one of the file transfers fails. It also means that changes made to a document through a protocol are visible to other users as soon as the request has been processed.

C:\oracle\demo\10.1.0.0.0\basicDemo\LOCAL\sampleData File Edit View Favorites Tools Help Address C:\oracle\demo\10.1.0.0.0\basicDemo\LOCAL\sampleData Go 🔁 🔽 \* File and Folder Tasks 2002 2003 (2) Other Places Invalid PurchaseOrders on localhost C LOCAL My Documents My Computer My Network Places Copying file 4 (\$) Details sampleData File Folder Copying SBELL-20030509123336362PDT.xml to http://localhost:8080/hor Date Modified: Yesterday, November 21, 2003, 4:42 PM ..... Cancel

Figure 28-3 Copying Files into Oracle XML DB Repository



# User-Defined Repository Metadata

You can create your own metadata to associate with XML data stored in Oracle XML DB Repository.

#### Overview of Metadata and XML

Data that you use is often associated with additional information that is not part of the content. To process it in different ways, you can use such **metadata** to group or classify data.

#### Using XML Schemas to Define Resource Metadata

Before you can add user metadata to photo resources, you must define the structure of such metadata using XML Schema. An XML schema is created and registered for each kind (technique, category) of photo resource metadata.

#### Addition, Modification, and Deletion of Resource Metadata

You can add, update, and delete user-defined resource metadata using PL/SQL procedures in package DBMS\_XDB\_REPOS, SQL DML statements INSERT, UPDATE, and DELETE, or WebDAV protocol method PROPPATCH.

#### Querying XML Schema-Based Resource Metadata

You can use metadata column RESID when querying resource metadata, to join the metadata with the associated data.

#### XML Image Metadata from Binary Image Metadata

Digital cameras include image metadata as part of the image files they produce.

#### Adding Non-Schema-Based Resource Metadata

You store user-defined resource metadata that is *not* XML Schema-based as a CLOB instance under the Resource element of the associated resource.

#### PL/SQL Procedures Affecting Resource Metadata

You can use PL/SQL procedures DBMS\_XMLSCHEMA.registerSchema, DBMS\_XDBZ.enable\_hierarchy, DBMS\_XDBZ.disable\_hierarchy, DBMS\_XDBZ.is\_hierarchy\_enabled, DBMS\_XDB\_REPOS.appendResourceMetadata, DBMS\_XDB\_REPOS.deleteResourceMetadata, DBMS\_XDB\_REPOS.purgeResourceMetadata, and DBMS\_XDB\_REPOS.updateResourceMetadata to perform resource metadata operations.

# 29.1 Overview of Metadata and XML

Data that you use is often associated with additional information that is not part of the content. To process it in different ways, you can use such **metadata** to group or classify data.

For example, you might have a collection of digital photographs, and you might associate metadata with each picture, such as information about the photographic characteristics (color composition, focal length) or context (location, kind of subject: landscape, people).

An Oracle XML DB repository **resource** is an XML document that contains both metadata and data. The data is the contents of element Contents. All other elements in the resource contain metadata. The data of a resource can be XML, but it need not be.

You can associate resources in the Oracle XML DB repository with metadata that you define. In addition to such *user-defined metadata*, each repository resource also has associated metadata that Oracle XML DB creates automatically and uses (transparently) to manage the resource. Such *system-defined metadata* includes properties such as the owner and creation date of each resource.

Except for system-defined metadata, you decide which resource information should be treated as data and which should be treated as metadata. For a photo resource, supplemental information about the photo is normally not considered to be part of the photo data, which is a binary image. For text, however, you sometimes have a choice of whether to include particular information in the resource contents (data) or keep it separate and associate it with the contents as metadata — that choice is often influenced by the applications that use or produce the data.

- Kinds of Metadata Uses of the Term
  - The term "metadata" is used in the context of XML in various ways, including XML Schema definitions, XML tags, and Oracle XML DB Repository resource information that supplements the resource content.
- User-Defined Resource Metadata
   User-defined resource metadata is itself represented as XML: it is XML data that is associated with other XML data, describing it or providing supplementary, related information.
- Scenario: Metadata for a Photo Collection
   A scenario used to illustrate the use of schema-b

A scenario used to illustrate the use of schema-based resource metadata uses metadata associated with photographic image files that are stored in repository resources. You can create any number of different kinds of metadata to be associated with the same resource.

### 29.1.1 Kinds of Metadata – Uses of the Term

The term "metadata" is used in the context of XML in various ways, including XML Schema definitions, XML tags, and Oracle XML DB Repository resource information that supplements the resource content.

In addition to resource metadata (system-defined and user-defined), the term "metadata" is sometimes used to refer to the following:

- An XML schema is metadata that describes a class of XML documents.
- An XML tag (element or attribute name) is metadata that is used to label and organize the element content or attribute value.

You can associate metadata with an XML document that is the content of a repository resource in any of these ways:

 You can add additional XML elements containing the metadata information to the resource *contents*. For example, you could wrap digital image data in an XML document that also includes elements describing the photo. In this case, the data and its metadata are associated by being in the contents of the same resource. It is up to applications to separate the two and relate them correctly.



- You can add metadata information for a particular resource to the repository as the
  contents of a separate resource. In this case, it is up to applications to treat this
  resource as metadata and associate it with the data.
- You can add metadata information for a resource as repository resource metadata.
   In this case, Oracle XML DB recognizes the metadata as such. Applications can discover this metadata by querying the repository for it. They need not be informed separately of its existence and its association with the data.

#### **Related Topics**

Oracle XML DB Repository Resources
 Oracle XML DB Repository resources conform to the Oracle XML DB XML
 schema XDBResource.xsd. The elements in a resource include those needed to
 persistently store WebDAV-defined properties, such as creation date, modification
 date, WebDAV locks, owner, ACL, language, and character set.

### 29.1.2 User-Defined Resource Metadata

*User-defined resource metadata* is itself *represented as XML*: it is XML data that is associated with other XML data, describing it or providing supplementary, related information.

User-defined metadata for resources can be either XML schema-based or not:

- Resource metadata that is schema-based is stored in separate (out-of-line) tables.
   These are related to the resource table by the resource OID, which is stored in the hidden object column RESID of the metadata tables.
- Resource metadata that is not schema-based is stored as part of the resource document in the resource table, XDB.XDB\$RESOURCE.

You can take advantage of schema-based metadata, in particular, to perform efficient queries and DML operations on resources. In this chapter, you learn how to perform the following tasks involving schema-based resource metadata:

- Create and register an XML schema that defines the metadata for a particular kind of resource.
- Add metadata to a repository resource, and update (modify) such metadata.
- Query resource metadata to find associated content.
- Delete specific metadata associated with a resource and purge all metadata associated with a resource.

In addition, you learn how to add non-schema-based metadata to a resource.

You can generally use user-defined resource metadata just as you would use resource data. In particular, versioning and access control management apply.

Typical uses of resource metadata include workflow applications, enforcing user rights management, tracking resource ownership, and controlling resource validity dates.

### 29.1.3 Scenario: Metadata for a Photo Collection

A scenario used to illustrate the use of schema-based resource metadata uses metadata associated with photographic image files that are stored in repository resources. You can create any number of different kinds of metadata to be associated with the same resource.



For image files, examples create metadata for information about both 1) the technical aspects of a photo and 2) the photo subject or the uses to which a photo might be put. These two kinds of associated metadata are used to query photo resources.

# 29.2 Using XML Schemas to Define Resource Metadata

Before you can add user metadata to photo resources, you must define the structure of such metadata using XML Schema. An XML schema is created and registered for each kind (technique, category) of photo resource metadata.



Scenario: Metadata for a Photo Collection for general information about the example user-defined metadata scenario

The XML schema in Example 29-1 defines metadata used to describe the technical aspects of a photo image file. It uses PL/SQL procedure DBMS\_XMLSCHEMA.registerSchema to register the XML schema. To identify this schema as defining repository resource *metadata*, it uses ENABLE\_HIERARCHY\_RESMETADATA as the value for parameter enableHierarchy. Resource contents (data) are defined by using value ENABLE HIERARCHY CONTENTS (the default value), instead.

The properties defined in Example 29-1 are the image height, width, color depth, title, and brief description.

The XML schema in Example 29-2 defines metadata used to categorize a photo image file: to describe its content or possible uses. This simple example defines a single, general property for classification, named Category.

Notice that there is nothing in the XML schema definitions of metadata that restrict that information to being associated with any particular kind of data. You are free to associate any type of metadata with any type of resource. And multiple types of metadata can be associated with the same resource.

Notice, too, that the XML schema does not, by itself, define its associated data as being metadata — it is the schema *registration* that makes this characterization, through enableHierarchy value ENABLE\_HIERARCHY\_RESMETADATA. If the same schema were registered instead with enableHierarchy value ENABLE\_HIERARCHY\_CONTENTS (the default value), then it would define not metadata for resources, but resource *contents* with the same information. The same XML schema cannot be registered more than once under the same name.

#### Note:

By default, user metadata is stored object-relationally if it is XML schema-based and as a CLOB instance if non XML schema-based. You can store either as binary XML instead, by setting the OPTIONS parameter for XML schema registration to REGISTER\_BINARYXML.



#### Example 29-1 Registering an XML Schema for Technical Photo Information

```
BEGIN
 DBMS_XMLSCHEMA.registerSchema(
               => 'imagetechnique.xsd',
   SCHEMAURL
                  => '<xsd:schema targetNamespace="inamespace"
    SCHEMADOC
                                     xmlns:xsd="http://www.w3.org/2001/XMLSchema"
                                     xmlns:xdb="http://xmlns.oracle.com/xdb"
                                     xmlns="inamespace">
                          <xsd:element name="ImgTechMetadata"</pre>
                                        xdb:defaultTable="IMGTECHMETADATATABLE">
                             <xsd:complexType>
                              <xsd:sequence>
                                 <xsd:element name="Height"</pre>
                                                                 type="xsd:float"/>
                                 <xsd:element name="Width"</pre>
                                                                 type="xsd:float"/>
                                 <xsd:element name="ColorDepth" type="xsd:integer"/>
                                 <xsd:element name="Title"</pre>
                                                                 type="xsd:string"/>
                                 <xsd:element name="Description" type="xsd:string"/>
                               </xsd:sequence>
                             </xsd:complexType>
                          </xsd:element>
                        </xsd:schema>',
    enableHierarchy => DBMS_XMLSCHEMA.ENABLE_HIERARCHY_RESMETADATA);
END;
```

#### **Example 29-2 Registering an XML Schema for Photo Categorization**

```
BEGIN
  DBMS_XMLSCHEMA.registerSchema(
    SCHEMAURL => 'imagecategories.xsd',
    SCHEMADOC
                    => '<xsd:schema targetNamespace="cnamespace"
                                     xmlns:xsd="http://www.w3.org/2001/XMLSchema"
                                     xmlns:xdb="http://xmlns.oracle.com/xdb"
                                     xmlns="cnamespace">
                           <xsd:element name="ImgCatMetadata"</pre>
                                        xdb:defaultTable="IMGCATMETADATATABLE">
                             <xsd:complexType>
                               <xsd:sequence>
                                 <xsd:element name="Categories"</pre>
                                              type="CategoriesType"/>
                               </xsd:sequence>
                             </xsd:complexType>
                           </xsd:element>
                           <xsd:complexType name="CategoriesType">
                             <xsd:sequence>
                               <xsd:element name="Category" type="xsd:string"</pre>
                                            maxOccurs="unbounded"/>
                             </xsd:sequence>
                           </xsd:complexType>
                         </xsd:schema>',
    enableHierarchy => DBMS_XMLSCHEMA.ENABLE_HIERARCHY_RESMETADATA);
END;
```



# 29.3 Addition, Modification, and Deletion of Resource Metadata

You can add, update, and delete user-defined resource metadata using PL/SQL procedures in package DBMS\_XDB\_REPOS, SQL DML statements INSERT, UPDATE, and DELETE, or WebDAV protocol method PROPPATCH.

You can add, update, and delete user-defined resource metadata in any of the following ways:

- Use PL/SQL procedures in package DBMS\_XDB\_REPOS:
  - appendResourceMetadata add metadata to a resource
  - updateResourceMetadata modify resource metadata
  - deleteResourceMetadata delete specific metadata from a resource
  - purgeResourceMetadata delete all metadata from a resource
- Use SQL DML statements INSERT, UPDATE, and DELETE to update the resource directly
- Use WebDAV protocol method PROPPATCH

You use SQL DM statements and WebDAV method PROPPATCH to update or delete metadata in the same way as you add metadata. If you supply a complete Resource element for one of these operations, then keep in mind that each resource metadata property must be a child (not just a descendant) of element Resource—if you want multiple metadata elements of the same kind, you must collect them as children of a single parent metadata element. The order among such top-level user-defined resource metadata properties is unimportant and is not necessarily maintained by Oracle XML DB.

The separate PL/SQL procedures in package DBMS\_XDB\_REPOS are similar in their use. Each can be used with either XML schema-based or non-schema-based metadata. Some forms (signatures) of some of the procedures apply only to schema-based metadata. Procedures appendResourceMetadata and deleteResourceMetadata are illustrated here with examples.

#### Adding Metadata Using APPENDRESOURCEMETADATA

You can use procedure <code>DBMS\_XDB\_REPOS.appendResourceMetadata</code> to add user-defined metadata to resources.

#### Deleting Metadata Using DELETERESOURCEMETADATA

You can use procedure DBMS\_XDB\_REPOS.deleteResourceMetadata to delete specific metadata associated with a resource. To delete *all* of the metadata associated with a resource, you can use procedure DBMS\_XDB\_REPOS.purgeResourceMetadata.

#### Adding Metadata Using SQL DML

An alternative to using procedure <code>DBMS\_XDB\_REPOS.appendResourceMetadata</code> to add, update, or delete resource metadata is to update the <code>RESOURCE\_VIEW</code> directly using <code>DML</code> statements <code>INSERT</code> and <code>UPDATE</code>.



Adding Metadata Using WebDAV PROPPATCH

An alternative to using procedure DBMS\_XDB\_REPOS.appendResourceMetadata to add resource metadata is to use WebDAV method PROPPATCH.



Oracle Database PL/SQL Packages and Types Reference for information about the procedures in PL/SQL package DBMS\_XDB\_REPOS

# 29.3.1 Adding Metadata Using APPENDRESOURCEMETADATA

You can use procedure <code>DBMS\_XDB\_REPOS.appendResourceMetadata</code> to add user-defined metadata to resources.

Example 29-3 creates a photo resource and adds XML schema-based metadata of type ImgTechMetadata to it, recording the technical information about the photo.

Example 29-4 adds metadata of type ImgTechMetadata to the same resource as Example 29-3, placing the photo in several user-defined content categories.

#### Example 29-3 Add Metadata to a Resource – Technical Photo Information

```
DECLARE
 returnbool BOOLEAN;
BEGIN
 returnbool := DBMS_XDB_REPOS.createResource(
                  '/public/horse_with_pig.jpg',
                  bfilename('MYDIR', 'horse_with_pig.jpg'));
  DBMS_XDB_REPOS.appendResourceMetadata(
    '/public/horse_with_pig.jpg',
   XMLType('<i:ImgTechMetadata</pre>
                 xmlns:i="inamespace"
                 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                 xsi:schemaLocation="inamespace imagetechnique.xsd">
               <Height>1024</Height>
               <Width>768</Width>
               <ColorDepth>24</ColorDepth>
               <Title>Pig Riding Horse</Title>
               <Description>Picture of a pig riding a horse on the beach,
taken outside hotel window.</Description>
             </i:ImgTechMetadata>'));
END;
```

#### Example 29-4 Add Metadata to a Resource – Photo Content Categories



```
<Category>2005</Category>
               </Categories>
             </c:ImgCatMetadata>'));
END;
PL/SQL procedure successfully completed.
SELECT * FROM imgcatmetadatatable;
SYS_NC_ROWINFO$
<c:ImgCatMetadata xmlns:c="cnamespace" xmlns:xsi="http://www.w3.org/2001/XMLSche
ma-instance" xsi:schemaLocation="cnamespace imagecategories.xsd">
  <Categories>
    <Category>Vacation</Category>
    <Category>Animals</Category>
    <Category>Humor</Category>
    <Category>2005</Category>
  </Categories>
</c:ImgCatMetadata>
1 row selected.
```

### 29.3.2 Deleting Metadata Using DELETERESOURCEMETADATA

You can use procedure <code>DBMS\_XDB\_REPOS.deleteResourceMetadata</code> to delete specific metadata associated with a resource. To delete <code>all</code> of the metadata associated with a resource, you can use procedure <code>DBMS\_XDB\_REPOS.purgeResourceMetadata</code>.

Example 29-5 deletes the category metadata that was added to the photo resource in Example 29-4. By default, both the resource link (REF) to the metadata and the metadata table identified by that link are deleted. An optional parameter can be used to specify that only the link is to be deleted. The metadata table is then left as is but becomes unrelated to the resource. In this example, the default behavior is used.

#### Example 29-5 Delete Specific Metadata from a Resource



### 29.3.3 Adding Metadata Using SQL DML

An alternative to using procedure <code>DBMS\_XDB\_REPOS</code>.appendResourceMetadata to add, update, or delete resource metadata is to update the <code>RESOURCE\_VIEW</code> directly using <code>DML</code> statements <code>INSERT</code> and <code>UPDATE</code>.

Adding resource metadata in this way is illustrated by Example 29-6. It shows how to accomplish the same thing as Example 29-3 by inserting the metadata directly into RESOURCE\_VIEW using SQL statement UPDATE. Other SQL DML statements may be used similarly.

#### Example 29-6 Adding Metadata to a Resource Using DML with RESOURCE\_VIEW

```
UPDATE RESOURCE_VIEW
  SET RES =
    XMLQuery('declare namespace r = "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
              declare namespace c = "cnamespace"; (: :)
              copy $tmp := . modify insert node
               <c:ImgCatMetadata
                   xmlns:c="cnamespace"
                   xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                   xsi:schemaLocation="cnamespace imagecategories.xsd">
                 <Categories>
                   <Category>Vacation</Category>
                   <Category>Animals</Category>
                   <Category>Humor</Category>
                   <Category>2005</Category>
                 </Categories>
               </c:ImgCatMetadata>
              into $tmp/r:Resource
              return $tmp'
             PASSING RES
             RETURNING CONTENT)
    WHERE equals_path(RES, '/public/horse_with_pig.jpg') = 1;
SELECT * FROM imgcatmetadatatable;
SYS_NC_ROWINFO$
<c:ImgCatMetadata xmlns:c="cnamespace" xmlns:xsi="http://www.w3.org/2001/XMLSche
ma-instance" xsi:schemaLocation="cnamespace imagecategories.xsd">
  <Categories>
    <Category>Vacation</Category>
    <Category>Animals</Category>
    <Category>Humor</Category>
    <Category>2005</Category>
  </Categories>
</c:ImgCatMetadata>
1 row selected.
```

The following query extracts the inserted metadata using RESOURCE\_VIEW, rather than directly using metadata table imgcatmetadatatable. (The result is shown here pretty-printed, for clarity.)



### 29.3.4 Adding Metadata Using WebDAV PROPPATCH

An alternative to using procedure DBMS\_XDB\_REPOS.appendResourceMetadata to add resource metadata is to use WebDAV method PROPPATCH.

This is illustrated in Example 29-7. You can update and delete metadata similarly.

Example 29-7 shows how to accomplish the same thing as Example 29-4 by inserting the metadata using WebDAV method PROPPATCH. Using appropriate tools, your application creates such a PROPPATCH WebDAV request and sends it to the WebDAV server for processing.

To update user-defined metadata, you proceed in the same way. To *delete* user-defined metadata, the WebDAV request is similar, but it has D:remove in place of D:set.

#### Example 29-7 Adding Metadata Using WebDAV PROPPATCH

```
PROPPATCH /public/horse_with_pig.jpg HTTP/1.1
Host: www.example.com
Content-Type: text/xml; charset="utf-8"
Content-Length: 609
Authorization: Basic dGRhZHhkYl9tZXRhOnRkYWR4ZGJfbWV0YQ==
Connection: close
<?xml version="1.0" encoding="utf-8" ?>
<D:propertyupdate xmlns:D="DAV:" xmlns:Z="http://www.w3.com/standards/z39.50/">
  <D:set>
    <D:prop>
      <c:ImgCatMetadata
          xmlns:c="cnamespace"
          xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
          xsi:schemaLocation="cnamespace imagecategories.xsd">
        <Categories>
          <Category>Vacation</Category>
          <Category>Animals</Category>
          <Category>Humor</Category>
          <Category>2005</Category>
        </Categories>
      </c:ImgCatMetadata>
```

```
</D:prop>
</D:set>
</D:propertyupdate>
```

# 29.4 Querying XML Schema-Based Resource Metadata

You can use metadata column RESID when querying resource metadata, to join the metadata with the associated data.

When you register an XML schema using the <code>enableHierarchy</code> value <code>ENABLE\_HIERARCHY\_RESMETADATA</code>, an additional column, <code>RESID</code>, is added automatically to the <code>XMLType</code> tables used to store the metadata. This column stores the object identifier (OID) of the resource associated with the metadata. You can use column <code>RESID</code> when querying metadata, to join the metadata with the associated data.

You can guery metadata in these ways:

• Query RESOURCE\_VIEW for the metadata. For example:

 Query the XML schema-based table for the user-defined metadata directly, and join this metadata back to the resource table, identifying which resource to select. Use column RESID of the metadata table to do this. For example:



Oracle recommends querying for user-defined metadata directly, for performance reasons. Direct queries of the RESOURCE\_VIEW alone *cannot be optimized* using XPath rewrite, because there is no way to determine whether or not target elements like Category are stored in the CLOB value or in an out-of-line table.

To improve performance further, create an index on each metadata column you intend to query.

Example 29-8 queries both kinds of photo resource metadata, retrieving the paths to the resources that are categorized as vacation photos and have the title "Pig Riding Horse".

#### Example 29-8 Query XML Schema-Based Resource Metadata

```
SELECT ANY_PATH
 FROM RESOURCE_VIEW rs, imgcatmetadatatable ct, imgtechmetadatatable tt
 WHERE XMLExists(
          'declare namespace r
            = "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
           declare namespace c
             = "cnamespace"; (: :)
           /r:Resource/c:ImgCatMetadata/Categories/Category[text()="Vacation"]'
         PASSING RES)
   AND XMLExists(
          'declare namespace r
             = "http://xmlns.oracle.com/xdb/XDBResource.xsd"; (: :)
          declare namespace i
            = "inamespace"; (: :)
           /r:Resource/i:ImgTechMetadata/Title[text()="Pig Riding Horse"]'
         PASSING RES)
   AND rs.RESID = ct.RESID
   AND rs.RESID = tt.RESID;
ANY PATH
/public/horse_with_pig.jpg
1 row selected.
```

# 29.5 XML Image Metadata from Binary Image Metadata

Digital cameras include image metadata as part of the image files they produce.

- EXIF Exchangeable Image File Format
- IPTC-NAA IIM International Press Telecommunications Council-Newspaper Association of America Information Interchange Model
- XMP Extensible Metadata Platform

EXIF is the metadata standard for digital still cameras. EXIF metadata is stored in TIFF and JPEG image files. IPTC and XMP metadata is commonly embedded in image files by desktop image-processing software.



# 29.6 Adding Non-Schema-Based Resource Metadata

You store user-defined resource metadata that is *not* XML Schema-based as a CLOB instance under the Resource element of the associated resource.

The default XML schema for a resource has a top-level element any (declared with maxOccurs= "unbounded"), which admits any valid XML data as part of the resource document in the resource table, XDB.XDB\$RESOURCE.

The following skeleton shows the structure and position of non-schema-based resource metadata:

You can set and access non-schema-based resource metadata belonging to namespaces other than XDBResource.xsd by using any of the means described previously for accessing XML schema-based resource metadata.

Example 29-9 illustrates this for the case of SQL DML operations, adding user-defined metadata directly to the <RESOURCE> document. It shows how to add non-schemabased metadata to a resource using SQL DML.

#### Example 29-9 Add Non-Schema-Based Metadata to a Resource

```
DECLARE
 res BOOLEAN;
BEGIN
 res := DBMS_XDB_REPOS.createResource(
           '/public/NurseryRhyme.txt',
           bfilename('MYDIR', 'tdadxdb-xdb_repos_meta-011.txt'),
           nls_charset_id('AL32UTF8'));
  UPDATE RESOURCE_VIEW SET RES =
  XMLQuery('declare namespace r = "http://xmlns.oracle.com/xdb/XDBResource.xsd";
(::)
             declare namespace n = "nurserynamespace"; (: :)
             copy $tmp := . modify insert node
              <n:NurseryMetadata>
                <Author>Mother Goose</Author>
              </n:NurseryMetadata>
             into $tmp/r:Resource
             return $tmp'
            PASSING RES
```



```
RETURNING CONTENT)
  WHERE equals_path(RES, '/public/NurseryRhyme.txt') = 1;
END;
PL/SQL procedure successfully completed.
SELECT XMLSerialize(DOCUMENT rs.RES AS CLOB) FROM RESOURCE_VIEW rs
 WHERE equals_path(RES, '/public/NurseryRhyme.txt') = 1;
XMLSERIALIZE (DOCUMENTRS.RESASCLOB)
______
<Resource xmlns="http://xmlns.oracle.com/xdb/XDBResource.xsd" Hidden="false" Inv</pre>
alid="false" Container="false" CustomRslv="false" VersionHistory="false" StickyR
ef="true">
  <CreationDate>2005-05-24T13:51:48.043234
  <ModificationDate>2005-05-24T13:51:48.290144</modificationDate>
 <DisplayName>NurseryRhyme.txt</DisplayName>
  <Language>en-US</Language>
  <CharacterSet>UTF-8</CharacterSet>
 <ContentType>text/plain</ContentType>
 <RefCount>1</RefCount>
  <ACL>
    <acl description="Public:All privileges to PUBLIC" xmlns="http://xmlns.oracl</pre>
e.com/xdb/acl.xsd" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xsi:sch
emaLocation="http://xmlns.oracle.com/xdb/acl.xsd
                                                                          http:
//xmlns.oracle.com/xdb/acl.xsd" shared="true">
      <ace>
        <principal>PUBLIC</principal>
        <grant>true
        <privilege>
         <all/>
        </privilege>
      </ace>
    </acl>
  </ACL>
 <Owner>TDADXDB_META
 <Creator>TDADXDB_META
 <LastModifier>TDADXDB_META</LastModifier>
 <SchemaElement>http://xmlns.oracle.com/xdb/XDBSchema.xsd#text</SchemaElement>
 <Contents>
    <text>Mary had a little lamb
Its fleece was white as snow
and everywhere that Mary went
that lamb was sure to go
</text>
 </Contents>
 <n:NurseryMetadata xmlns:n="nurserynamespace">
    <Author xmlns="">Mother Goose</Author>
 </n:NurseryMetadata>
</Resource>
1 row selected.
```

# 29.7 PL/SQL Procedures Affecting Resource Metadata

You can use PL/SQL procedures DBMS\_XMLSCHEMA.registerSchema,
DBMS\_XDBZ.enable\_hierarchy, DBMS\_XDBZ.disable\_hierarchy,
DBMS\_XDBZ.is\_hierarchy\_enabled, DBMS\_XDB\_REPOS.appendResourceMetadata,
DBMS\_XDB\_REPOS.deleteResourceMetadata,
DBMS\_XDB\_REPOS.purgeResourceMetadata, and
DBMS\_XDB\_REPOS.updateResourceMetadata to perform resource metadata operations.

- DBMS\_XMLSCHEMA.registerSchema Register an XML schema. Parameter ENABLEHIERARCHY affects resource metadata.
- DBMS\_XDBZ.enable\_hierarchy Enable repository support for an XMLType table or view. Use parameter HIERARCHY\_TYPE with a value of DBMS\_XDBZ.ENABLE\_HIERARCHY\_RESMETADATA to enable resource metadata. This adds column RESID to track the resource associated with the metadata.
- DBMS\_XDBZ.disable\_hierarchy Disable all repository support for an XMLType table or view.
- DBMS\_XDBZ.is\_hierarchy\_enabled Tests, using parameter HIERARCHY\_TYPE, whether the specified type of hierarchy is currently enabled for the specified XMLType table or view. Value DBMS\_XDBZ.IS\_ENABLED\_RESMETADATA for HIERARCHY\_TYPE tests whether resource metadata is enabled.
- DBMS\_XDB\_REPOS.appendResourceMetadata Add metadata to a resource.
- DBMS\_XDB\_REPOS.deleteResourceMetadata Delete specified metadata from a resource.
- DBMS\_XDB\_REPOS.purgeResourceMetadata Delete all user-defined metadata from a resource. For schema-based resources, optional parameter DELETE\_OPTION can be used to specify whether or not to delete the metadata information, in addition to unlinking it.
- DBMS\_XDB\_REPOS.updateResourceMetadata Update the metadata for a resource.

#### See Also:

Oracle Database PL/SQL Packages and Types Reference for detailed information about these PL/SQL procedures



30

# Oracle XML DB Repository Events

You can use Oracle XML DB Repository to store and access data of any kind, in the form of repository resources. You can access repository data from any application. Sometimes your application needs to perform certain actions whenever a particular repository operation occurs. You can do this using repository events.

#### Overview of Repository Events

Your application can perform specific actions when events associated with repository operations occur. For example, you might want to perform a move-to-wastebasket or another backup action whenever a resource is deleted.

#### Possible Repository Events

Repository operations are associated with predefined events. Except for a rendering operation, events come in pre and post pairs.

#### Repository Operations and Events

The same repository event can occur with different Oracle XML DB Repository operations, and a given repository operation can produce more than one repository event.

#### Repository Event Handler Considerations

Some considerations are listed for defining handlers for Oracle XML DB Repository events.

#### Configuration of Repository Events

In a resource configuration file, you use element event-listeners, child of element ResConfig, to configure Oracle XML DB Repository event handling.

#### **Related Topics**

#### Configuration of Oracle XML DB Repository

Overall configuration of Oracle XML DB Repository applies to all repository resources. It does not include configuring parameters for handling events or managing XLink and XInclude processing. You use resource configuration files to configure resources.

# 30.1 Overview of Repository Events

Your application can perform specific actions when events associated with repository operations occur. For example, you might want to perform a move-to-wastebasket or another backup action whenever a resource is deleted.

Repository resource operations include creating, deleting, locking, unlocking, rendering, linking, unlinking, placing under version control, checking in, checking out, unchecking out (reverting a checked out version), opening, and updating.

#### Repository Events: Use Cases

Examples of cases where you can use repository events include moving a resource to a wastebasket and categorizing a resource based on its MIME type.

#### Repository Events and Database Triggers

You cannot use a database trigger to let your application react to repository operations. A given repository operation can consist of multiple database operations on multiple underlying, internal tables. Because these underlying tables are internal to Oracle XML DB, you cannot easily map them to specific repository operations.

#### Repository Event Listeners and Event Handlers

Each repository operation is associated with events. Your application can configure event listeners for particular resources or the entire repository. A listener can be restricted by a node-existence precondition. A listener is a set of PL/SQL or Java handlers, each of which processes a single event.

#### Repository Event Configuration

Repository event configuration involves defining resource configuration files and defining the order in which they are processed. The files define event listeners. Such configuration applies to events on individual resources and events for the repository as a whole.

### 30.1.1 Repository Events: Use Cases

Examples of cases where you can use repository events include moving a resource to a wastebasket and categorizing a resource based on its MIME type.

- Wastebasket You can use an UnLink pre-event handler to effectively move a resource to a wastebasket instead of deleting it. Create a link in a wastebasket folder before removing the original link. The link in the wastebasket ensures that the resource is not removed. When you subsequently undelete a resource from the waste basket, the original link can be created again and the wastebasket link removed. The wastebasket link name can be different from the name of the link being removed because a resource at a certain path could be unlinked more than once from that path. The wastebasket would then have multiple links corresponding to that path, with different link properties and possibly pointing to different resources.
- Categorization An application might categorize the resources it manages based on MIME type or other properties. It might keep track of GIF, text, and XML files by maintaining links to them from repository folders /my-app/gif, /my-app/txt, and /my-app/xml. Three post-event handlers could be used here: LinkIn, UnlinkIn, and Update. The LinkIn post-event handler would examine the resource and create a link in the appropriate category folder, if not already present. The UnlinkIn post-event handler would remove the link from the category folder. The Update post-event handler would effectively move the resource from one category folder to another if its category changes.

### 30.1.2 Repository Events and Database Triggers

You cannot use a database trigger to let your application react to repository operations. A given repository operation can consist of multiple database operations on multiple underlying, internal tables. Because these underlying tables are internal to Oracle XML DB, you cannot easily map them to specific repository operations.

For example, internal table XDB\$H\_INDEX might be updated by either a database update operation, if an ACL is changed, or a link operation. Even in cases where you might be able to accomplish the same thing using database triggers, you would



not want to do that: A repository event is a higher-level abstraction than would be a set of database triggers on the underlying tables.

When a repository event occurs, information associated with the operation, such as the resource path used, can be passed to the corresponding event handler. Such information is not readily available using database triggers.

Repository events and database triggers can both be applied to XML data. You can use triggers on XMLType tables, for instance. However, if an XMLType table is also a repository table (hierarchy-enabled), then do not duplicate in an event handler any trigger code that applies to the table. Otherwise, that code is executed twice.

# 30.1.3 Repository Event Listeners and Event Handlers

Each repository operation is associated with events. Your application can configure event listeners for particular resources or the entire repository. A listener can be restricted by a node-existence precondition. A listener is a set of PL/SQL or Java handlers, each of which processes a single event.

A repository **event listener** is a Java class or a PL/SQL package or object type. It comprises a set of PL/SQL procedures or Java methods, each of which is called an **event handler**.

You associate a repository event listener with a resource by mapping a **resource configuration file** to the resource. You use PL/SQL package DBMS\_RESCONFIG to manipulate resource configuration files, including associating them with the resources they configure. In particular, PL/SQL function DBMS\_RESCONFIG.getListeners lists all event listeners for a given resource.

# 30.1.4 Repository Event Configuration

Repository event configuration involves defining resource configuration files and defining the order in which they are processed. The files define event listeners. Such configuration applies to events on individual resources and events for the repository as a whole.

A given resource can be configured by multiple resource configuration files. These are stored in a **resource configuration list**, and they are processed in list order. Events for the repository as a whole can also be configured by multiple resource configuration files. Similarly, the repository also has a resource configuration list. Event handling that is configured for the repository as a whole takes effect before any resource-specific event handling. All applicable repository-wide events are processed before any resource-specific events.

A given resource configuration file can define multiple event listeners for the resources it configures, and each event listener can define multiple event handlers.

#### **Related Topics**

Configuration of Repository Events
 In a resource configuration file, you use element event-listeners, child of element ResConfig, to configure Oracle XML DB Repository event handling.



See Also:

Resource Configuration Files for general information about resource configuration and resource configuration lists

# 30.2 Possible Repository Events

Repository operations are associated with predefined events. Except for a rendering operation, events come in pre and post pairs.

A rendering operation is associated with a single repository event. Except for rendering, all repository operations are associated with one or more *pairs* of events.

For example, a resource creation is associated with three pairs of events, with the events occurring in this order:

- Pre-creation event
- 2. Post-creation event
- 3. Pre-link-in event
- 4. Pre-link-to event
- 5. Post-link-to event
- 6. Post-link-in event

Table 30-1 lists the events associated with each repository operation. Their order is indicated in the handler columns.

Table 30-1 Predefined Repository Events

Repository Event Description P Type	Pre Handler Execution	Post Handler Execution
	N/A	N/A



Table 30-1 (Cont.) Predefined Repository Events

Repository Event Type	Description	Pre Handler Execution	Post Handler Execution
Create	Occurs when a resource is created. The pre and post handlers executed are those defined on the folder of the new resource.	After pre-parsing, after validating the parent resource ACL and locks, and before assigning default values to undefined properties.	After inserting the resource into the system resource table.
Delete	Occurs when the resource and its contents are removed from disk, that is, when the resource REF count is zero (0).	After validating the resource ACL and locks and before removing the resource from disk.	After removing the resource and its contents from disk and after touching the parent folder to update its last modifier and modification time.
Update	Occurs when a resource is updated on disk.	After validating the resource ACL and locks and before updating the last modifier and modification time.	After writing the resource to disk.
Lock	Occurs during a lock-resource operation.	After validating the resource ACL and locks and before creating the new lock on the resource.	After creating the new lock.
Unlock	Occurs during an unlock-resource operation.	After validating the resource ACL and delete token.	After removing the lock.
LinkIn	Occurs before a LinkTo event during a link operation. The event target is the folder in which the link is created. Always accompanied by a LinkTo event.	After validating the resource ACL and locks and before creating the link.	After executing LinkTo post handler.
LinkTo	Occurs after a LinkIn event during a link operation. The event target is the resource that is the link destination.	After executing LinkIn pre handler and before creating the link.	After creating the link and after updating the last modifier and modification time of the parent folder.
UnLinkIn	Occurs before an UnlinkFrom event during an unlink operation. Always accompanied by an UnlinkFrom event.	After validating the resource ACL and locks and before removing the link.	After executing the UnlinkFrom post handler.
UnlinkFrom	Occurs after an UnlinkIn event during an unlink operation.	After executing the UnlinkIn pre handler.	After removing the link.
CheckIn	Occurs during check-in of a resource.	After validating the resource ACL and locks and after verifying that the resource is version-controlled and has been checked out.	After checking in the resource.



Table 30-1 (Cont.) Predefined Repository Events

Repository Event Type	Description	Pre Handler Execution	Post Handler Execution
CheckOut	Occurs during check-out of a resource.	After validating the resource ACL and locks and after verifying that the resource is version-controlled and is not already checked out.	After checking out the resource.
UncheckOut	Occurs during uncheck-out of a resource.	Before removing the record that the resource is checked out.	After unchecking out the resource.
VersionControl  Occurs when a version history is created for a resource.  Note: You can call  DBMS_XDB_VERSION.MakeVersioned( ) multiple times, but the version history is created only at the first call.  Subsequent calls have no effect, so no VersionControl event occurs.	Before creating the version history for the resource.	After creating the first version of the resource.	
	) multiple times, but the version history is created only at the first call.  Subsequent calls have no effect, so no		

For simplicity, the documentation generally treats both members of a repository event pair together, referring, for example, to the LinkIn event type as shorthand for the prelink-in and post-link-in event types. For the same reason, the event-type names used here are derived from the Java interface XDBRepositoryEventListener by dropping the prefixes handlePre and handlePost.



Oracle Database PL/SQL Packages and Types Reference for the PL/SQL repository event types

# 30.3 Repository Operations and Events

The same repository event can occur with different Oracle XML DB Repository operations, and a given repository operation can produce more than one repository event.

Table 30-2 lists the events that are associated with each repository operation. See Table 30-1 for the event order when multiple repository events occur for the same operations.

Table 30-2 Oracle XML DB Repository Operations and Events

Operation	Repository Events Occurring
Get binary representation of resource contents by path name	Render
Get XML representation of resource contents by path name	Render



Table 30-2 (Cont.) Oracle XML DB Repository Operations and Events

Operation	Repository Events Occurring
Create or update a resource	If the resource already exists: Create, LinkIn, LinkTo
	If resource doe not yet exist (HTTP and FTP only): Update
Create a folder	Create, LinkIn, LinkTo
Create a link to an existing resource	LinkIn on the folder containing the link target, LinkTo on the target resource to be linked
Unlink a file resource or an empty folder resource. (Decrement RefCount, and if it becomes zero then delete the resource from disk.)	UnlinkIn, UnlinkFrom, and, if RefCount is zero, Delete
Forcibly delete a folder and its contents	Recursively produce events for unlinking a resource. Folder child resources are deleted recursively, then the folder is deleted.
Forcibly remove all links to a resource	Produce unlinking events for each link removed.
Update the contents, properties, or ACL of a resource by path name	Update
Put a depth-zero WebDAV lock on a resource	Lock
Remove a depth-zero WebDAV lock from a resource	Lock
Rename (move) a resource	LinkIn and LinkTo on the new location, UnlinkIn and UnlinkFrom on the old location
Copy a resource	Create, LinkIn, and LinkTo on the new location
Check out a resource	CheckOut
Check in a resource	CheckIn
Place a resource under version control	VersionControl
Uncheck out a resource	UncheckOut

All operations listed in Table 30-2 are atomic, except for these:

- Forced deletion of a folder and its contents
- Update of resource properties by path name using HTTP (only)
- Copy of a folder using HTTP (only)



Table 21-3 for information on accessing resources using APIs and protocols

# 30.4 Repository Event Handler Considerations

Some considerations are listed for defining handlers for Oracle XML DB Repository events.



- In any handler: Do not use COMMIT, ROLLBACK, or data definition language (DDL) statements in a handler. Do not call PL/SQL functions or procedures, such as DBMS\_XMLSCHEMA.registerSchema, that behave similarly to DDL statements. In a Render handler: Do not use data manipulation language (DML) statements.
  - To work around these restrictions, a handler can use such statements inside an autonomous transaction, but it must ensure that lock conflicts cannot arise.
- In a Render handler, do not close an output stream. (You can append to a stream.)
- Do not use modifier methods from class XDBResource in a handler, unless it is a Pre-Create or Pre-Update handler. Do not use method XDBResource.save() in any handler.
- Oracle recommends that you develop only safe repository event handlers. In particular:
  - Write only resource properties that are in namespaces owned by your application, never in the xdb namespace.
  - Do not delete a resource while it is being created.
- A repository event handler is passed an XDBRepositoryEvent object, which exists
  only during the current SQL statement or protocol operation. You can use PL/SQL
  procedures and Java methods on this object to obtain information about the
  resource, the event, and the associated event handlers.
- When an event handler performs operations that cause other repository events to occur, those cascading events occur immediately. They are not queued to occur after the handlers for the current event are finished. Each event thus occurs in the context of its corresponding operation.
- Repository event handlers are called synchronously. They are executed in the process, session, and transaction context of the corresponding operation. However, handlers can use Oracle Database Advanced Queuing (AQ) to queue repository events that are then handled asynchronously by some other process.
- Because a repository event handler is executed in the transaction context of
  its corresponding operation, any locks acquired by that operation, or by other
  operations run previously in the transaction, are still active. An event handler
  must not start a separate session or transaction that tries to acquire such a lock.
  Otherwise, the handler hangs.
- Repository event handlers are called in the order that they appear in a resource configuration file. If preconditions are defined for a resource configuration, then only those handlers are called for which the precondition is satisfied.
- Although handlers are called in the order they are defined in a configuration file, avoid letting your code depend upon this. If the user who is current when a handler is invoked has privilege write-config, then the handler invocation order could be changed inside an executing handler.
- The entire list of handlers applicable to a given repository event occurrence is determined before any of the handlers is invoked. This means, in particular, that the precondition for each handler is evaluated before any handlers are invoked.
- The following considerations apply to error handling for repository events:
  - A pre-operation event handler is never invoked if access checks for the operation fail.



- All handlers for a given event are checked before any of them are called. If any of them is not usable (for example, no longer exists), then *none* of them are called.
- If an error is raised during event handling, then other, subsequent event handlers are not invoked for the same SQL statement or protocol operation.
   The current statement or operation is canceled and all of its changes are rolled back.
- The following considerations apply to resource security for repository events:
  - An event handler can have invoker's rights or definer rights. You specify the execution rights of a PL/SQL package when you create the package. You specify the execution rights of Java classes when you load them into the database using the loadjava utility. If you specify invoker's rights, but a given handler is not configured for invoker's rights, then an insufficient-privilege error is raised.
  - Within an event handler, the current user privileges, whether obtained by invoker or definer rights, are determined in detail for a given resource by its ACL. These privileges determine what the handler can do with the resource. For example, if the current user has privileges read-properties and readcontents for a particular resource, then an event handler can read that resource.
- The following considerations apply to repository events for linking and unlinking:
  - After creating a link to a resource, if you want any resource configuration files of the parent folder to also apply to the linked resource, then use procedure DBMS\_RESCONFIG.appendResConfig to add the configuration files to the linked resource. You can invoke this procedure from a Post-LinkTo event handler for the linked resource.
  - After unlinking a resource, if you want to remove any such resource configuration files added when linking, then use procedure DBMS\_RESCONFIG.deleteResConfig to remove them from the unlinked resource. You can invoke this procedure from a Post-UnlinkFrom event handler for the unlinked resource.
- Do not define handlers for events on folder /sys/schemas or on resources under this folder. Events do not occur for any such resources, so such event handlers are ignored. This implies that XML schema operations that affect the repository (registration, deletion, and so on) do not produce events.

#### See Also:

- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL functions and procedures for manipulating repository events
- Oracle Database XML Java API Reference, classes

  XDBRepositoryEvent and XDBEvent for information about Java methods
  for manipulating repository events
- Configuration of Repository Events for information about defining repository event handlers with invoker's rights



# 30.5 Configuration of Repository Events

In a resource configuration file, you use element event-listeners, child of element ResConfig, to configure Oracle XML DB Repository event handling.

You configure event treatment for Oracle XML DB Repository resources as you would configure any other treatment of repository resources — see "Configuring a Resource".

By default, repository events are enabled, but you can disable them by setting parameter XML\_DB\_EVENTS to DISABLE. To disable repository events at the session level, use the following SOL\*Plus command. You must have role XDBADMIN to do this.

```
ALTER SESSION SET XML_DB_EVENTS = DISABLE;
```

To disable repository events at the system level, use the following SQL\*Plus command, and then restart your database. Repository events are disabled for subsequent sessions. You must have privilege ALTER SYSTEM to do this.

```
ALTER SYSTEM SET XML_DB_EVENTS = DISABLE;
```

To enable repository events again, set the value of XML\_DB\_EVENTS to ENABLE.

A resource configuration file is an XML file that conforms to the XML schema XDBResConfig.xsd, which is accessible in Oracle XML DB Repository at path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd. You use element event-listeners, child of element ResConfig, to configure repository event handling.

#### Configuration Element event-listeners

Each resource configuration file can have one event-listeners element, as a child of element ResConfig. This configures all event handling for the target resource. If the resource configuration file applies to the entire repository, not to a particular resource, then it defines event handling for all resources in the repository.

#### Configuration Element listener

Element listener is a child of element event-listeners, and it configures an individual repository event listener.

#### Repository Events Configuration Examples

Examples of configuring repository events are presented. Resource configuration files define Java and PL/SQL event listeners, with and without preconditions, respectively. An example categorizes resources according to MIME type. It includes PL/SQL code to create the resource configuration file. Examples implement listeners in Java and PL/SQL.



Configuration of Oracle XML DB Repository for general information about configuring repository resources



### 30.5.1 Configuration Element event-listeners

Each resource configuration file can have one event-listeners element, as a child of element ResConfig. This configures all event handling for the target resource. If the resource configuration file applies to the entire repository, not to a particular resource, then it defines event handling for all resources in the repository.

Element event-listeners has the following optional attributes:

 set-invoker – Set this to true to if the resource configuration defines one or more repository event handlers to have invoker's rights. The default value is false, meaning that definer rights are used.

To define an invoker-rights repository event handler, you must have database role XDB\_SET\_INVOKER. This role is granted to DBA, but not to XDBADMIN. Role XDB\_SET\_INVOKER is checked only when a resource configuration file is created or updated. Only attribute set-invoker, not role XDB\_SET\_INVOKER, is checked at run time to ensure sufficient privilege.



Repository Event Handler Considerations for information about insufficient-privilege errors

- default-schema The default schema value, used for listeners for which no schema element is defined.
- default-language —The default language value, used for listeners for which no language element is defined.

Element event-listeners has a sequence of listener elements as children. These configure individual repository event listeners. The listeners are processed at run time in the order of the listener elements.

# 30.5.2 Configuration Element listener

Element listener is a child of element event-listeners, and it configures an individual repository event listener.

Each listener element has the following child elements. All of these are optional except source, and they can appear in any order (their order is irrelevant).

- description Description of the listener.
- schema Database schema for the Java or PL/SQL implementation of the repository event handlers. If neither this nor default-schema is defined, then an error is raised.
- source (required) Name of the Java class, PL/SQL package, or object type that
  provides the handler methods. Java class names must be qualified with a package
  name. Use an empty source element to indicate that the repository event handlers
  are standalone PL/SQL stored procedures.
- language Implementation language of the listener class (Java) or package (PL/SQL). If neither this nor default-language is defined, then an error is raised.



- pre-condition Precondition to be met for any repository event handlers in this listener to be executed. This is identical to the pre-condition child of general resource configuration element configuration – see Configuration Elements defaultChildConfig and configuration.
- events Sequence of unique repository event type names: Render, Pre-Create, and so on. Only handlers for repository events of these types are enabled for the listener. See Possible Repository Events for the list of possible repository event types. If element events is not present, then handlers of repository events of all types are enabled for the listener, which can be wasteful. Provide element events to eliminate handler invocations for insignificant repository events.

# 30.5.3 Repository Events Configuration Examples

Examples of configuring repository events are presented. Resource configuration files define Java and PL/SQL event listeners, with and without preconditions, respectively. An example categorizes resources according to MIME type. It includes PL/SQL code to create the resource configuration file. Examples implement listeners in Java and PL/SQL.

Example 30-1 shows the content of a resource configuration file that defines two event listeners. Each listener defines handlers for repository events of types Post-LinkIn, Post-UnlinkIn, and Post-Update. It defines preconditions, the default language (Java), and the default database schema.

The implementation of the handlers of the first listener is in Java class oracle.cm.quota defined in database schema CM. These handlers are invoked only for events on resources of ContentType image/gif.

The implementation of the handlers of the second listener is in Java class oracle.ifs.quota defined in database schema IFS (the default schema for this resource configuration file). These handlers are invoked only for events on resources of type ifs-file in namespace http://foo.xsd.



Configuration Elements defaultChildConfig and configuration for a description of elements defaultChildConfig and applicationData

As a simple end-to-end illustration, suppose that an application needs to categorize the resources in folder /public/res-app according to their MIME types. It creates links to resources in folders /public/app/XML-TXT, /public/app/IMG, and /public/app/ FOLDER, depending on whether the resource MIME type is text/xml, image/gif, or application/octet-stream, respectively. This is illustrated in Example 30-2, Example 30-3, and Example 30-5.

Example 30-2 shows the PL/SQL code to create the configuration file for this categorization illustration. It defines a single listener that handles events of types Pre-UnlinkIn and Post-LinkIn. It explicitly defines the language (PL/SQL) and database schema. No preconditions are defined.

Example 30-3 shows the PL/SQL code that implements the event handlers that are configured in Example 30-2. The Post-LinkIn event handler creates a link to the



eventObject resource in one of the folders /public/app/XML-TXT, /public/app/IMG, and /public/app/FOLDER, depending on the resource MIME type. The Pre-UnlinkIn event handler deletes the links that are created by the Post-LinkIn event handler.

#### See Also:

- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL package DBMS XDBRESOURCE
- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL package DBMS\_XEVENT
- Oracle Database PL/SQL Packages and Types Reference for information about PL/SQL package DBMS XDB REPOS

A Java example would be configured the same as in Example 30-2, with the exception of these two lines, which would replace the elements with the same names in Example 30-2:

```
<source>category</source>
<language>Java</language>
```

Example 30-4 shows the Java code that implements the event handlers. The logic is identical to that in Example 30-3.

Example 30-5 demonstrates the invocation of the event handlers that are implemented in Example 30-3 or Example 30-4.

# Example 30-1 Resource Configuration File for Java Event Listeners with Preconditions

```
<ResConfig xmlns="http://xmlns.oracle.com/xdb/XDBResConfig.xsd"</pre>
           xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
           xsi:schemaLocation="http://xmlns.oracle.com/xdb/XDBResConfig.xsd
                               http://xmlns.oracle.com/xdb/XDBResConfig.xsd">
  <event-listeners default-language="Java" default-schema="IFS">
    stener>
      <description>Category application</description>
      <schema>CM</schema>
      <source>oracle.cm.category</source>
      <events>
        <Post-LinkIn/>
        <Post-UnlinkIn/>
        <Post-Update/>
      </events>
      <pre-condition>
        <existsNode>
          <XPath>/Resource[ContentType="image/gif"]</XPath>
        </existsNode>
      </pre-condition>
    </listener>
    stener>
      <description>Check quota</description>
      <source>oracle.ifs.quota</source>
      <events>
        <Post-LinkIn/>
        <Post-UnlinkIn/>
```



```
<Post-Update/>
      </events>
      <pre-condition>
        <existsNode>
          <XPath>r:/Resource/[ns:type="ifs-file"]</XPath>
          <namespace>xmlns:r="http://xmlns.oracle.com/xdb/XDBResource.xsd"
                     xmlns:ns="http://foo.xsd"
          </namespace>
        </existsNode>
      </pre-condition>
    </listener>
 </event-listeners>
 <defaultChildConfig>
    <configuration>
      <path>/sys/xdb/resconfig/user_rc.xml</path>
    </configuration>
 </defaultChildConfig>
 <applicationData>
    <foo:data xmlns:foo="http://foo.xsd">
      <foo:item1>1234</foo:item1>
    </foo:data>
 </applicationData>
</ResConfig>
```

#### Example 30-2 Resource Configuration File for PL/SQL Event Listeners with No Preconditions

```
DECLARE
 b BOOLEAN := FALSE;
BEGIN
 b := DBMS_XDB_REPOS.createFolder('/public/resconfig');
 b := DBMS_XDB_REPOS.createResource(
         '/public/resconfig/appcatg-rcl.xml',
         '<ResConfig xmlns="http://xmlns.oracle.com/xdb/XDBResConfig.xsd"</pre>
                     xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                     xsi:schemaLocation="http://xmlns.oracle.com/xdb/XDBResConfig.xsd
                                          http://xmlns.oracle.com/xdb/XDBResConfig.xsd">
            <event-listeners>
              <listener>
                <description>Category application</description>
                <schema>APPCATGUSER1</schema>
                <source>APPCATG_EVT_PKG1</source>
                <language>PL/SQL</language>
                <events>
                  <Pre-UnlinkIn/>
                  <Post-LinkIn/>
                </events>
              </listener>
            </event-listeners>
            <defaultChildConfig>
              <configuration>
                <path>/public/resconfig/appcatg-rc1.xml</path>
              </configuration>
            </defaultChildConfig>
          </ResConfig>',
         'http://xmlns.oracle.com/xdb/XDBResConfig.xsd',
         'ResConfig');
END;
BEGIN
  DBMS_RESCONFIG.appendResConfig('/public/res-app',
                                  '/public/resconfig/appcatg-rc1.xml',
```

```
DBMS_RESCONFIG.APPEND_RECURSIVE);
END;
/
```

#### Example 30-3 PL/SQL Code Implementing Event Listeners

```
CREATE OR REPLACE PACKAGE appeatg_evt_pkg1 AS
 PROCEDURE handlePreUnlinkIn (eventObject DBMS_XEVENT.XDBRepositoryEvent);
 PROCEDURE handlePostLinkIn (eventObject DBMS XEVENT.XDBRepositoryEvent);
END;
CREATE OR REPLACE PACKAGE BODY appcatg_evt_pkg1 AS
 PROCEDURE handlePreUnlinkIn (eventObject DBMS_XEVENT.XDBRepositoryEvent) AS
     XDBResourceObj DBMS_XDBRESOURCE.XDBResource;
     ResDisplayName VARCHAR2(100);
              VARCHAR2(1000);
     ResPath
     ResOwner
                   VARCHAR2(1000);
     ResDeletedBy VARCHAR2(1000);
     XDBPathobj DBMS_XEVENT.XDBPath;
     XDBEventobj DBMS_XEVENT.XDBEvent;
                  VARCHAR2(1000);
     SeqChar
     LinkName
                  VARCHAR2(10000);
     ResType
                  VARCHAR2(100);
     LinkFolder VARCHAR2(100);
    BEGIN
     XDBResourceObj := DBMS_XEVENT.getResource(eventObject);
     ResDisplayName := DBMS_XDBRESOURCE.getDisplayName(XDBResourceObj);
     ResOwner := DBMS XDBRESOURCE.getOwner(XDBResourceObj);
     XDBPathobj := DBMS_XEVENT.getPath(eventObject);
                  := DBMS_XEVENT.getName(XDBPathObj);
     ResPath
     XDBEventobj := DBMS_XEVENT.getXDBEvent(eventObject);
     ResDeletedBy := DBMS_XEVENT.getCurrentUser(XDBEventobj);
     REGIN
       SELECT XMLCast(
                XMLOuery(
                  'declare namespace ns = "http://xmlns.oracle.com/xdb/XDBResource.xsd";
                   /ns:Resource/ns:ContentType'
                  PASSING r.RES RETURNING CONTENT) AS VARCHAR2(100))
         INTO ResType
         FROM PATH_VIEW r WHERE r.PATH=ResPath;
         EXCEPTION WHEN OTHERS THEN NULL;
     END;
     IF ResType = 'text/xml' THEN LinkFolder := '/public/app/XML-TXT/';
     END IF;
     IF ResType = 'image/gif' THEN LinkFolder := '/public/app/IMG/';
     END IF;
     IF ResType = 'application/octet-stream' THEN LinkFolder := '/public/app/FOLDER/';
     DBMS_XDB_REPOS.deleteResource(LinkFolder || ResDisplayName);
 END;
 PROCEDURE handlePostLinkIn (eventObject DBMS_XEVENT.XDBRepositoryEvent) AS
     XDBResourceObj DBMS_XDBRESOURCE.XDBResource;
     ResDisplayName VARCHAR2(100);
     ResPath VARCHAR2(1000);
     ResOwner
                   VARCHAR2(1000);
     ResDeletedBy VARCHAR2(1000);
```



```
XDBPathobj
                  DBMS_XEVENT.XDBPath;
     XDBEventobj DBMS_XEVENT.XDBEvent;
     SeqChar
                  VARCHAR2(1000);
     LinkName
                  VARCHAR2(10000);
                  VARCHAR2(100);
     ResType
     LinkFolder
                  VARCHAR2(100);
   BEGIN
     XDBResourceObj := DBMS_XEVENT.getResource(eventObject);
     ResDisplayName := DBMS_XDBRESOURCE.getDisplayName(XDBResourceObj);
     ResOwner
                 := DBMS_XDBRESOURCE.getOwner(XDBResourceObj);
     XDBPathobj
                := DBMS_XEVENT.getName(XDBPathObj);
:= DBMS_XEVENT.getName(XDBPathObj);
                   := DBMS_XEVENT.getPath(eventObject);
     ResPath
                    := DBMS_XEVENT.getXDBEvent(eventObject);
     XDBEventobj
     ResDeletedBy := DBMS_XEVENT.getCurrentUser(XDBEventobj);
     SELECT XMLCast(
              XMLOuery(
                'declare namespace ns = "http://xmlns.oracle.com/xdb/XDBResource.xsd";
                 /ns:Resource/ns:ContentType'
                PASSING r.RES RETURNING CONTENT) AS VARCHAR2(100))
       INTO ResType
      FROM PATH_VIEW r WHERE r.PATH=ResPath;
     IF ResType = 'text/xml' THEN LinkFolder := '/public/app/XML-TXT';
     IF ResType = 'image/gif' THEN LinkFolder := '/public/app/IMG';
     END IF;
     IF ResType = 'application/octet-stream' THEN LinkFolder := '/public/app/FOLDER';
     END IF;
     DBMS_XDB_REPOS.link(ResPath, LinkFolder, ResDisplayName);
   END;
END;
```

#### **Example 30-4** Java Code Implementing Event Listeners

```
import oracle.xdb.event.*;
import oracle.xdb.spi.*;
import java.sql.*;
import java.io.*;
import java.net.*;
import oracle.jdbc.*;
import oracle.sql.*;
import oracle.xdb.XMLType;
import oracle.xdb.dom.*;
public class category
extends oracle.xdb.event.XDBBasicEventListener
  public Connection connectToDB() throws java.sql.SQLException
    try
     String strUrl="jdbc:oracle:kprb:";
      String strUname="appcatguser1";
      String strPwd="appcatquser1 ";
      Connection conn=null;
      OraclePreparedStatement stmt=null;
      DriverManager.registerDriver(new oracle.jdbc.OracleDriver());
      conn = DriverManager.getConnection(strUrl, strUname, strPwd);
      return conn;
    catch(Exception e1)
```

```
System.out.println("Exception in connectToDB java function");
    System.out.println("e1:" + e1.toString());
    return null;
  }
public void handlePostLinkIn (XDBRepositoryEvent eventObject)
  XDBPath objXDBPath = null;
  String strPathName="";
  objXDBPath = eventObject.getPath();
  strPathName = objXDBPath.getName();
  XDBResource objXDBResource1;
  objXDBResource1 = eventObject.getResource();
  String textResDisplayName = objXDBResource1.getDisplayName();
  String resType = objXDBResource1.getContentType();
  String linkFolder="";
  System.out.println("resType" + resType+"sumit");
  System.out.println("strPathName:" + strPathName);
  System.out.println("textResDisplayName:" + textResDisplayName);
  if (resType.equals("text/xml")) linkFolder = "/public/app/XML-TXT/";
  else if (resType.equals("image/gif")) linkFolder = "/public/app/IMG/";
  else if (resType.equals("application/octet-stream"))
          linkFolder = "/public/app/FOLDER/";
  System.out.println("linkFolder:" + linkFolder);
  try
    Connection con1 = connectToDB();
    OraclePreparedStatement stmt=null;
    stmt = (OraclePreparedStatement)con1.prepareStatement(
             "CALL DBMS_XDB_REPOS.link(?,?,?)");
    stmt.setString(1,strPathName);
    stmt.setString(2,linkFolder);
    stmt.setString(3,textResDisplayName);
    stmt.execute();
    stmt.close();
    con1.close();
  catch(java.sql.SQLException ej1)
    System.out.println("ej1:" + ej1.toString());
/* Make sure the link is not in the category folders.
   Then check the target resource's mime type and create a link
   in the appropriate category folder. */
public void handlePreUnlinkIn (XDBRepositoryEvent eventObject)
  XDBPath objXDBPath = null;
  String strPathName="";
  objXDBPath = eventObject.getPath();
  strPathName = objXDBPath.getName();
  XDBResource objXDBResource1;
  objXDBResource1 = eventObject.getResource();
  String textResDisplayName = objXDBResource1.getDisplayName();
  String resType = objXDBResource1.getContentType();
  String linkFolder="";
  if (resType.equals("text/xml")) linkFolder = "/public/app/XML-TXT/";
  else if (resType.equals("image/gif")) linkFolder = "/public/app/IMG/";
  else if (resType.equals("application/octet-stream"))
```

#### **Example 30-5** Invoking Event Handlers

```
DECLARE
 ret BOOLEAN;
BEGIN
 ret := DBMS_XDB_REPOS.createResource('/public/res-app/res1.xml',
                                       '<name>TestForEventType-1</name>');
END;
DECLARE
 b BOOLEAN := FALSE;
 dummy_data CLOB := 'AAA';
 b := DBMS_XDB_REPOS.createResource('/public/res-app/res2.gif', dummy_data);
END;
DECLARE
 b BOOLEAN := FALSE;
 dummy_data CLOB := 'AAA';
BEGIN
  b := DBMS_XDB_REPOS.createFolder('/public/res-app/res-appfolder1');
SELECT PATH FROM PATH_VIEW WHERE PATH LIKE '/public/app/%' ORDER BY PATH;
PATH
/public/app/FOLDER
/public/app/FOLDER/res-appfolder1
/public/app/IMG
/public/app/IMG/res2.gif
/public/app/XML-TXT
/public/app/XML-TXT/res1.xml
6 rows selected.
-- Delete the /res-app resources. The /app resources are deleted also.
EXEC DBMS_XDB_REPOS.deleteResource('/public/res-app/res2.gif');
EXEC DBMS_XDB_REPOS.deleteResource('/public/res-app/res1.xml');
EXEC DBMS_XDB_REPOS.deleteResource('/public/res-app/res-appfolder1');
SELECT PATH FROM PATH_VIEW WHERE PATH LIKE '/public/app/%' ORDER BY PATH;
```

#### PATH

/public/app/FOLDER /public/app/IMG /public/app/XML-TXT

3 rows selected.



# Guidelines for Oracle XML DB Applications in Java

Design guidelines are presented for writing Oracle XML DB applications in Java. This includes guidelines for writing and configuring Java servlets for Oracle XML DB.

- Overview of Oracle XML DB Java Applications
   You can use Java code either in a client or an application server, using the OCI driver for JDBC, or in the Java Virtual Machine (JVM).
- HTTP(S): Access Java Servlets or Directly Access XMLType Resources
   If a downstream client needs to work with XML in its textual representation then
   using HTTP(S) to either access Java servlets or directly access XMLType resources
   performs the best, especially if the XML node tree is not being manipulated much
   by the Java program.
- Use JDBC XMLType Support to Access Many XMLType Object Elements
   If a downstream client is an application that programmatically accesses many or
   most of the elements of an XMLType instance using Java, then use JDBC XMLType
   support for best performance. It is often easier to debug Java programs outside of
   the database server, as well.
- Use Servlets to Manipulate and Write Out Data Quickly as XML
   Oracle XML DB servlets are best used for applications that want to get into the
   database, manipulate the data, and write it out quickly as XML, not to format
   HTML pages for end-users.
- Oracle XML DB Java Servlet Support Restrictions
   The Oracle XML DB protocol server supports FTP, HTTP 1.1, WebDAV, and Java Servlets. It supports Java Servlet version 2.2, with a few exceptions.
- Oracle XML DB Servlets
  Oracle XML DB servlets are configured using file xdbconfig.xml in Oracle
  XML DB Repository. Many of the XML elements in this file are the same as those
  defined by the Java Servlet 2.2 specification portion of Java 2 Enterprise Edition
  (J2EE), and they have the same semantics.
- HTTP Request Processing for Oracle XML DB Servlets
   Oracle XML DB processing of an HTTP request is described.
- Session Pool and Oracle XML DB Servlets
   Oracle Database uses one Java virtual machine (VM) for each database session.
   A session that is reused from the session pool retains any state that is left over in the Java VM (Java static variables) from the last time that session was used.
- Native XML Stream Support
   Java node class DOM has Oracle-specific method write(), which provides native
   XML stream support.
- Oracle XML DB Servlet APIs
   The APIs supported by Oracle XML DB servlets are described. They are defined by the Java Servlet 2.2 specification.

#### Oracle XML DB Servlet Example

Examples show the definition of a simple Oracle XML DB servlet that prints the content of a file resource, and how to register and map that servlet.

# 31.1 Overview of Oracle XML DB Java Applications

You can use Java code either in a client or an application server, using the OCI driver for JDBC, or in the Java Virtual Machine (JVM).

Because Java runs in the database in the context of the database server process, the ways you can deploy and run Java code are restricted to the following:

- You can run Java code as a stored procedure invoked from SQL or PL/SQL.
- You can run a Java servlet.

Stored procedures are easier to integrate with SQL and PL/SQL code. They require Oracle Net Services as the protocol to access Oracle Database.

Servlets work better as the top-level entry point into Oracle Database, and require using HTTP(S) as the protocol to access Oracle Database.

All Oracle XML DB application program interfaces (APIs) for Java are available to applications running both in the server and outside the database.

These APIs include:

- JDBC support for XMLType
- XMLType class
- Java DOM implementation

# 31.2 HTTP(S): Access Java Servlets or Directly Access XMLType Resources

If a downstream client needs to work with XML in its textual representation then using HTTP(S) to either access Java servlets or directly access XMLType resources performs the best, especially if the XML node tree is not being manipulated much by the Java program.

The Java implementation in the server can natively move data from the database to the network without converting character data through UCS-2 Unicode (which is required by Java strings). In many cases data is copied directly from the database buffer cache to the HTTP(S) connection. There is no need to convert data from the buffer cache into the SQL serialization format used by Oracle Net Services, then move it to the JDBC client, and then convert to XML. Loading on demand and the LRU cache for XMLType are most effective inside the database server.

# 31.3 Use JDBC XMLType Support to Access Many XMLType Object Elements

If a downstream client is an application that programmatically accesses many or most of the elements of an  ${\tt XMLType}$  instance using Java, then use JDBC  ${\tt XMLType}$ 



support for best performance. It is often easier to debug Java programs outside of the database server, as well.

# 31.4 Use Servlets to Manipulate and Write Out Data Quickly as XML

Oracle XML DB servlets are best used for applications that want to get into the database, manipulate the data, and write it out quickly as XML, not to format HTML pages for end-users.

Servlets are intended for writing HTTP stored procedures in Java that can be accessed using HTTP(S). If you need to develop an entire Internet application then deploy your application servlet in Oracle Fusion Middleware and have the servlet access data in the database using either JDBC or APIs such as <code>java.net.\*</code>.

# 31.5 Oracle XML DB Java Servlet Support Restrictions

The Oracle XML DB protocol server supports FTP, HTTP 1.1, WebDAV, and Java Servlets. It supports Java Servlet version 2.2, with a few exceptions.

Support for Java Servlet version 2.2. has these restrictions:

- The servlet WAR file (web.xml) is not supported in its entirety. Some web.xml
  configuration parameters must be handled manually. For example, creating roles
  must be done using the SQL CREATE ROLE command.
- RequestDispatcher and associated methods are not supported.
- Method HTTPServletRequest.getCookies() is not supported.
- Only one ServletContext (and one web-app) is currently supported.
- Stateful servlets (and thus the HttpSession class methods) are not supported.
   Servlets must maintain state in the database itself.

# 31.6 Configuration of Oracle XML DB Servlets

Oracle XML DB servlets are configured using file xdbconfig.xml in Oracle XML DB Repository. Many of the XML elements in this file are the same as those defined by the Java Servlet 2.2 specification portion of Java 2 Enterprise Edition (J2EE), and they have the same semantics.

Table 31-1 lists the XML elements defined for the servlet deployment descriptor by the Java Servlet specification, along with extension elements supported by Oracle XML DB.

Table 31-1 XML Elements Defined for Servlet Deployment Descriptors

XML Element Name	Defined By	Supported?	Description	Comment
auth-method	Java	no	Specifies an HTTP authentication method required for access	N/A
charset	Oracle	yes	Specifies an IANA character set name	For example: ISO8859, UTF-8



Table 31-1 (Cont.) XML Elements Defined for Servlet Deployment Descriptors

XML Element Name	Defined By	Supported?	Description	Comment
charset-mapping	Oracle	yes	Specifies a mapping between a filename extension and a charset	N/A
context-param	Java	no	Specifies a parameter for a Web application	Not yet supported
description	Java	yes	A string for describing a servlet or Web application	Supported for servlets
display-name	Java	yes	A string to display with a servlet or Web application	Supported for servlets
distributable	Java	no	Indicates whether or not this servlet can function if all instances are not running in the same Java virtual machine	All servlets running in Oracle Database <i>must</i> be distributable.
errnum	Oracle	yes	Oracle error number	See Oracle Database Error Messages Reference
error-code	Java	yes	HTTP(S) error code	Defined by RFC 2616
error-page	Java	yes	Defines a URL to redirect to if an error is encountered.	Can be specified through an HTTP(S) error, an uncaught Java exception, or through an uncaught Oracle error message
exception-type	Java	yes	Classname of a Java exception mapped to an error page	N/A
extension	Java	yes	A filename extension used to associate with MIME types, character sets, and so on.	N/A
facility	Oracle	yes	Oracle facility code for mapping error pages	For example: ORA, PLS, and so on.
form-error-page	Java	no	Error page for form login attempts	Not yet supported
form-login-config	Java	no	Config spec for form-based login	Not yet supported
form-login-page	Java	no	URL for the form-based login page	Not yet supported
icon	Java	Yes	URL of icon to associate with a servlet	Supported for servlets
init-param	Java	Yes	Initialization parameter for a servlet	N/A
jsp-file	Java	No	Java Server Page file to use for a servlet	Not supported
lang	Oracle	Yes	IANA language name	For example: en-US
lang-mapping	Oracle	Yes	Specifies a mapping between a filename extension and language content	N/A
large-icon	Java	Yes	Large sized icon for icon display	N/A
load-on-startup	Java	Yes	Specifies if a servlet is to be loaded on startup	N/A



Table 31-1 (Cont.) XML Elements Defined for Servlet Deployment Descriptors

XML Element Name	Defined By	Supported?	Description	Comment
location	Java	Yes	Specifies the URL for an error page	Can be a local path name or HTTP(S) URL
login-config	Java	No	Specifies a method for authentication	Not supported
mime-mapping	Java	Yes	Specifies a mapping between filename extension and the MIME type of the content	N/A
mime-type	Java	Yes	MIME type name for resource content	For example: text/xml or application/octet-stream
OracleError	Oracle	Yes	Specifies an Oracle error to associate with an error page	N/A
param-name	Java	Yes	Name of a parameter for a Servlet or ServletContext	Supported for servlets
param-value	Java	Yes	Value of a parameter	N/A
realm-name	Java	No	HTTP(S) realm used for authentication	Not supported
role-link	Java	Yes	Specifies a role a particular user must have for accessing a servlet	Refers to a database role name. Make sure to capitalize by default!
role-name	Java	Yes	A servlet name for a role	Just another name to call the database role. Used by the Servlet APIs
security-role	Java	No	Defines a role for a servlet to use	Not supported. You must manually create roles using the SQL CREATE ROLE
security-role-ref	Java	Yes	A reference between a servlet and a role	N/A
servlet	Java	Yes	Configuration information for a servlet	N/A
servlet-class	Java	Yes	Specifies the classname for the Java servlet	N/A
servlet-language	Oracle	Yes	Specifies the programming language in which the servlet is written.	Either Java, C, or PL/ SQL. Currently, only Java is supported for customer-defined servlets.
servlet-mapping	Java	Yes	Specifies a filename pattern with which to associate the servlet	All of the mappings defined by Java are supported
servlet-name	Java	Yes	String name for a servlet	Used by servlet APIs

Table 31-1 (Cont.) XML Elements Defined for Servlet Deployment Descriptors

XML Element Name	Defined By	Supported?	Description	Comment
servlet-schema	Oracle	Yes	The Oracle Schema in which the Java class is loaded. If not specified, then the schema is searched using the default resolver specification.	If this is not specified, then the servlet must be loaded into the SYS schema to ensure that everyone can access it, or the default Java class resolver must be altered. The servlet schema is capitalized unless the value is enclosed in double quotation marks.
session-config	Java	No	Configuration information for an HTTPSession	HTTPSession is not supported
session-timeout	Java	No	Timeout for an HTTP(S) session	HTTPSession is not supported
small-icon	Java	Yes	Small icon to associate with a servlet	N/A
taglib	Java	No	JSP tag library	JSPs currently not supported
taglib-uri	Java	No	URI for JSP tag library description file relative to file web.xml	JSPs currently not supported
taglib-location	Java	No	Path name relative to the root of the Web application where the tag library is stored	JSPs currently not supported
url-pattern	Java	Yes	URL pattern to associate with a servlet	See Section 10 of Java Servlet 2.2 spec
web-app	Java	No	Configuration for a Web application	Only one Web application is currently supported
welcome-file	Java	Yes	Specifies a welcome-file name	N/A
welcome-file-list	Java	Yes	Defines a list of files to display when a folder is referenced through an HTTP GET request	Example: index.html



#### Note:

- The following parameters defined for the web.xml file by Java are usable only by J2EE-compliant Enterprise Java Bean containers, and are not required for Java Servlet containers that do not support a full J2EE environment: env-entry, env-entry-name, env-entry-value, enventry-type, ejb-ref, ejb-ref-type, home, remote, ejb-link, resource-ref, res-ref-name, res-type, res-auth.
- The following elements are used to define access control for resources: security-constraint, web-resource-collection, web-resource-name, http-method, user-data-constraint, transport-guarantee, auth-constrain. Oracle XML DB provides this functionality through access control lists (ACLs). An ACL is a list of access control entries (ACEs) that determines which principals have access to a given resource or resources. A future release will support using a web.xml file to generate ACLs.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
 which is stored as a resource in Oracle XML DB Repository. As an alternative to
 using Oracle Enterprise Manager to configure Oracle XML DB, you can configure
 it directly using the Oracle XML DB configuration file.

# 31.7 HTTP Request Processing for Oracle XML DB Servlets

Oracle XML DB processing of an HTTP request is described.

HTTP request handling proceeds as follows:

- 1. If a connection has not yet been established, then Oracle Listener hands the connection to a shared server dispatcher.
- 2. When a new HTTP request arrives, the dispatcher wakes up a shared server.
- 3. The HTTP headers are parsed into appropriate structures.
- 4. The shared server attempts to allocate a database session from the Oracle XML DB session pool, if available, but otherwise creates a new session.
- 5. A new database call and a new database transaction are started.
- 6. If HTTP(S) has included authentication headers, then the session is authenticated as that database user (just as if the user logged into SQL\*Plus). If no authentication information is included, and the request is GET or HEAD, then Oracle XML DB attempts to authenticate the session as the ANONYMOUS user. If that database user account is locked, then no unauthenticated access is allowed.
- 7. The URL in the HTTP request is matched against the servlets in the xdbconfig.xml file, as specified by the Java Servlet 2.2 specification.
- 8. The Oracle XML DB Servlet container is invoked in the Java VM inside Oracle. If the specified servlet has not been initialized yet, then the servlet is initialized.



- **9.** The Servlet reads input from the ServletInputStream, and writes output to the ServletOutputStream, and returns from method service().
- **10.** If no uncaught Oracle error occurred, then the session is put back into the session pool.

#### **Related Topics**

Repository Access Using Protocols
 You can access Oracle XML DB Repository data using protocols FTP and
 HTTP(S)/WebDAV.

## 31.8 Session Pool and Oracle XML DB Servlets

Oracle Database uses one Java virtual machine (VM) for each database session. A session that is reused from the session pool retains any state that is left over in the Java VM (Java static variables) from the last time that session was used.

This can be useful in caching Java state that is not user-specific, such as metadata, but do not store secure user data in Java static memory. This could turn into a security hole inadvertently introduced by your application if you are not careful.

# 31.9 Native XML Stream Support

Java node class DOM has Oracle-specific method write(), which provides native XML stream support.

Java method write() takes the following arguments and returns void:

- java.io.OutputStream stream: A Java stream for writing the XML text.
- String charEncoding: The character encoding for writing the XML text. If NULL, then
  the database character set is used.
- Short indent The number of characters to indent nested XML elements.

Method write() has a shortcut implementation if the stream is the ServletOutputStream provided inside the database. The contents of the Node are written as XML data in native code directly to the output socket. This bypasses any conversions into and out of Java objects or Unicode (required for Java strings), and provides very high performance.

## 31.10 Oracle XML DB Servlet APIs

The APIs supported by Oracle XML DB servlets are described. They are defined by the Java Servlet 2.2 specification.

The Javadoc for this is available at http://download.oracle.com/javaee/1.2.1/api/index.html.

Table 31-2 lists Java Servlet 2.2 methods that are not implemented. They result in run-time exceptions.



Table 31-2 Java Servlet 2.2 Methods that Are Not Implemented

Interface	Methods Not Implemented
HttpServletRequest	<pre>getSession(), isRequestedSessionIdValid()</pre>
HttpSession	all
HttpSessionBindingListener	all

# 31.11 Oracle XML DB Servlet Example

Examples show the definition of a simple Oracle XML DB servlet that prints the content of a file resource, and how to register and map that servlet.

The servlet shown in Example 31-1 prints the content of file resource /public/test/fool.text.

To install the servlet, you compile it, then load it into Oracle Database:

```
% loadjava -grant public -u quine/curry -r test.class
```

Finally, register and map the servlet, associating it with a URL, as shown in Example 31-2.

#### Example 31-1 An Oracle XML DB Servlet

```
import javax.servlet.http.*;
import javax.servlet.*;
import java.util.*;
import java.io.*;
import java.util.*;
import java.io.IOException;
import java.io.OutputStreamWriter;
import java.io.Reader;
import java.io.Writer;
import java.sql.DriverManager;
import java.sql.SQLException;
import oracle.jdbc.OracleConnection;
import oracle.jdbc.OracleDriver;
import oracle.jdbc.OraclePreparedStatement;
import oracle.jdbc.OracleResultSet;
import oracle.sql.CLOB;
import oracle.xdb.XMLType;
import oracle.xdb.spi.XDBResource;
public class test extends HttpServlet {
  public void doGet(HttpServletRequest request, HttpServletResponse response)
    throws ServletException,
           IOException {
      try {
          \ensuremath{//} Get the database connection for the current HTTP session
          DriverManager.registerDriver(new oracle.jdbc.OracleDriver());
          OracleDriver ora = new OracleDriver();
          OracleConnection databaseConnection =
            (OracleConnection) ora.defaultConnection();
```

```
String statementText =
      "SELECT XDBURIType('/public/test/fool.txt').getClob() FROM DUAL";
   OraclePreparedStatement statement =
      (OraclePreparedStatement)
     databaseConnection.prepareStatement(statementText);
    OracleResultSet resultSet = null;
   CLOB content = null;
    // Execute the statement
    resultSet = (OracleResultSet) statement.executeQuery();
    while (resultSet.next())
      {// The statement returns a CLOB.
      \ensuremath{//} Copy content of CLOB to server's output stream.
      content = resultSet.getCLOB(1);
      Reader reader = content.getCharacterStream();
      Writer writer =
        new OutputStreamWriter(response.getOutputStream());
      int n;
      char[] buffer = new char[CLOB.MAX_CHUNK_SIZE];
      while (-1 != (n = reader.read(buffer)))
        { bytesSent = bytesSent + n;
          writer.write(buffer, 0, n); }
      writer.flush();
      if (content.isOpen()) { content.close(); }}
    resultSet.close();
    statement.close();
   databaseConnection.close();
   response.getOutputStream().write('\n'); }
  catch (SQLException sql)
    { throw new ServletException(sql); }}
catch (ServletException se )
  { se.printStackTrace(); }
finally
  { System.out.flush(); }}}
```

#### Example 31-2 Registering and Mapping an Oracle XML DB Servlet



# **Data Access Using URIs**

You can generate and store URIs in the database and use them to retrieve the database data they target. There are three kinds of URIs you can use this way: DBUris, XDBUris, and HTTPUris.

#### Overview of Oracle XML DB URI Features

You can use a URI as an indirection mechanism to access database data, and you can use a URI that targets database data to produce XML documents.

#### URIs and URLs

In developing Web-based XML applications, you often refer to data located on a network using **Uniform Resource Identifiers**, or **URI**s. A **URL**, or **Uniform Resource Locator**, is a URI that accesses an object using an Internet protocol.

#### URIType and its Subtypes

You can represent paths of various kinds as database objects. These provide unified access to data stored inside and outside the server, and they can be used to map URIs in XML documents to database columns, letting documents reference data stored in relational columns and expose it externally.

#### Accessing Data Using URIType Instances

To use instances of URITYPE subtypes for indirection, you store such instances in the database and then query to retrieve the targeted data with a PL/SQL method such as getCLOB().

#### XDBUris: Pointers to Repository Resources

XDBURIType is a subtype of URIType that exposes resources in Oracle XML DB Repository using URIs. Instances of object type XDBURIType are called **XDBUris**.

#### DBUris: Pointers to Database Data

A DBUri is a URI that targets database data. As for all instances of <code>URIType</code> subtypes, a DBUri provides indirect access to data. <code>DBURIType</code> also lets you address database data using XPath and construct XML documents containing database data that is targeted by a DBUri that reflects the database structure.

#### Create New Subtypes of URIType Using Package URIFACTORY

You can define your own subtypes of URITYPE that correspond to particular protocols. You can use PL/SQL package URIFACTORY to obtain the URI of a URITYPE instance, escape characters in a URI string or remove such escaping, and register or unregister a type name for handling a given URL.

#### SYS DBURIGEN SQL Function

You can create a DBUri by providing an XPath expression to constructor <code>DBURIType</code> or to appropriate <code>URIFACTORY PL/SQL</code> methods. With Oracle SQL function <code>sys\_DburiGen</code>, you can alternatively create a DBUri using an XPath that is composed from database columns and their values.

#### DBUriServlet

You can retrieve repository resources using the Oracle XML DB HTTP server. Oracle Database also includes a servlet, **DBUriServlet**, that makes any kind of database data available through HTTP(S) URLs. The data can be returned as plain text, HTML, or XML.



## 32.1 Overview of Oracle XML DB URI Features

You can use a URI as an indirection mechanism to access database data, and you can use a URI that targets database data to produce XML documents.

- Using paths as an indirection mechanism You can store a path in the database and then access its target indirectly by referring to the path. The paths in question are various kinds of Uniform Resource Identifier (URI).
- Using paths that target database data to produce XML documents One kind of URI that you can use for indirection in particular, a DBUri, provides a convenient XPath notation for addressing database data. You can use a DBUri to construct an XML document that contains database data and whose structure reflects the database structure.

# 32.2 URIs and URLs

In developing Web-based XML applications, you often refer to data located on a network using **Uniform Resource Identifiers**, or **URI**s. A **URL**, or **Uniform Resource Locator**, is a URI that accesses an object using an Internet protocol.

A URI has two parts, separated by a number sign (#):

- A URL part, that identifies a document.
- A fragment part, that identifies a fragment within the document. The notation for the fragment depends on the document type. For HTML documents, it is an anchor name. For XML documents, it is an XPath expression.

These are typical URIs:

- For HTML http://www.example.com/document1#some\_anchor, where some anchor is a named anchor in the HTML document.
- For XML http://www.example.com/xml\_doc#/po/cust/custname, where:
  - http://www.example.com/xml\_doc identifies the location of the XML document.
  - /po/cust/custname identifies a fragment within the document. This portion is defined by the W3C XPointer recommendation.

#### See Also:

- Web Services Activity Statement for an explanation of HTTP(S) URL notation
- XML Path Language (XPath)
- XML Pointer Language (XPointer)
- XML and MIME Media-Types



# 32.3 URIType and its Subtypes

You can represent paths of various kinds as database objects. These provide unified access to data stored inside and outside the server, and they can be used to map URIs in XML documents to database columns, letting documents reference data stored in relational columns and expose it externally.

The available path object types are HTTPURITYPE, DBURITYPE, and XDBURITYPE, all of which are derived from abstract object type URITYPE.

• HTTPURIType – An object of this type is called an HTTPUri and represents a URL that begins with http://. With HTTPURIType, you can create objects that represent links to remote Web pages (or files) and retrieve those Web pages by calling object methods. Applications using HTTPUriType must have the proper access privileges. HTTPUriType implements the Hyper Text Transfer Protocol (HTTP(S)) for accessing remote Web pages. HTTPURIType uses package UTL\_HTTP to fetch data, so session settings and access control for this package can also be used to influence HTTP fetches.

#### ✓ See Also:

Oracle Database Security Guide for information about managing finegrained access to external network services

• DBURITYPE – An object of this type is called a **DBUri** and represents a URI that targets database data – a table, one or more rows, or a single column. With DBURITYPE, you can create objects that represent links to *database data*, and retrieve such data as *XML* by calling object methods. A DBUri uses a simple form of XPath expression as its URI syntax – for example, the following XPath expression is a DBUri reference to the row of table HR.employees where column first\_name has value Jack:

/HR/EMPLOYEES/ROW[FIRST\_NAME="Jack"]

• XDBURITYPE — An object of this type is called an XDBUri, and represents a URI that targets a resource in Oracle XML DB Repository. With PL/SQL constructor XDBURITYPE you can create objects that represent links to repository resources. You can then retrieve all or part of any resource by calling methods on those objects. The URI syntax for an XDBUri is a repository resource address, optionally followed by an XPath expression. For example, /public/hr/doc1.xml#/purchaseOrder/lineItem is an XDBUri reference to the lineItem child element of the root element purchaseOrder in repository file doc1.xml in folder /public/hr.

Each of these object types is derived from an *abstract* object type, URITYPE. As an abstract type, it has *no* instances (objects). Only its subtypes have instances.

Type URIType provides the following features:

Unified access to data stored inside and outside the server. Because you can use
 URITYPE values to store pointers to HTTP(S) and DBUris, you can create queries
 and indexes without worrying about where the data resides.



Mapping of URIs in XML Documents to Database Columns. When an XML document is broken up and stored in object-relational tables and columns, any URIs contained in the document are mapped to database columns of the appropriate URIType subtype.

You can reference data stored in relational columns and expose it to the external world using URIs. Oracle Database provides a standard servlet, DBUriServlet, that interprets DBUris. It also provides PL/SQL package UTL\_HTTP and Java class java.net.URL, which you can use to fetch URL references.

URIType columns can be indexed natively in Oracle Database using Oracle Text – no special data store is needed.

#### Overview of DBUris and XDBUris

Important uses of DBUris and XDBUris include referencing XSLT stylesheets from Web pages, referencing data in database tables or in repository folders without using SQL, and improving performance by bypassing the Web server.

URIType PL/SQL Methods

Abstract object type URIType includes PL/SQL methods that can be used with each of its subtypes. Each of these methods can be overridden by any of the subtypes.

#### **Related Topics**

HTTPURIType PL/SQL Method GETCONTENTTYPE()

HTTPURIType PL/SQL method getContentType() returns the MIME information for its targeted document. You can use this information to decide whether to retrieve the document as a BLOB instance or a CLOB instance.

DBUris: Pointers to Database Data

A DBUri is a URI that targets *database data*. As for all instances of <code>URIType</code> subtypes, a DBUri provides indirect access to data. <code>DBURIType</code> also lets you address database data using XPath and construct XML documents containing database data that is targeted by a DBUri that reflects the database structure.

- XDBUris: Pointers to Repository Resources
   XDBURIType is a subtype of URIType that exposes resources in Oracle XML DB
   Repository using URIs. Instances of object type XDBURIType are called XDBUris.
- Indexes for XMLType Data

You can create indexes on your XML data, to focus on particular parts of it that you query often and thus improve performance. There are various ways that you can index XMLType data, whether it is XML schema-based or non-schema-based, and regardless of the XMLType storage model you use.



Create New Subtypes of URIType Using Package URIFACTORY for information about defining new URIType subtypes



### 32.3.1 Overview of DBUris and XDBUris

Important uses of DBUris and XDBUris include referencing XSLT stylesheets from Web pages, referencing data in database tables or in repository folders without using SQL, and improving performance by bypassing the Web server.

- You can reference XSLT stylesheets from within database-generated Web pages. PL/SQL package DBMS\_METADATA uses DBUris to reference XSLT stylesheets. An XDBUri can be used to reference XSLT stylesheets stored in Oracle XML DB Repository.
- You can reference HTML text, images and other data stored in the database.
   URLs can be used to point to data stored in database tables or in repository folders.
- You can improve performance by bypassing the Web server. Replace a global URL in your XML document with a reference to the database, and use a servlet, a DBUri, or an XDBUri to retrieve the targeted content. Using a DBUri or an XDBUri generally provides better performance than using a servlet, because you interact directly with the database rather than through a Web server.
- With a DBUri, you can access an XML document in the database without using SQL.
- Whenever a repository resource is stored in a database table to which you have access, you can use either an XDBUri or a DBUri to access its content.



Oracle Database PL/SQL Packages and Types Reference, "DBMS\_METADATA package"

# 32.3.2 URIType PL/SQL Methods

Abstract object type URIType includes PL/SQL methods that can be used with each of its subtypes. Each of these methods can be overridden by any of the subtypes.

Table 32-1 lists the URIType PL/SQL methods. In addition, each of the subtypes has a constructor with the same name as the subtype.

Table 32-1 URIType PL/SQL Methods

URIType Method	Description
getURL()	Returns the URL of the URIType instance.
300012()	Use this method instead of referencing a URL directly. URIType subtypes override this method to provide the correct URL. For example, HTTPURIType stores a URL without prefix http://. Method getURL() then prepends the prefix and returns the entire URL.
<pre>getExternalURL()</pre>	Similar to $\mathtt{getURL}()$ , but $\mathtt{getExternalURL}()$ escapes characters in the URL, to conform with the URL specification. For example, spaces are converted to the escaped value $\$20$ .



Table 32-1 (Cont.) URIType PL/SQL Methods

URIType Method	Description
<pre>getContentType()</pre>	Returns the MIME content type for the URI.
Second Tree()	HTTPUri: To return the content type, the URL is followed and the MIME header examined.
	<code>DBUri:</code> The returned content type is either text/plain (for a scalar value) or text/xml (otherwise).
	<i>XDBUri:</i> The value of the ContentType metadata property of the repository resource is returned.
getCLOB()	Returns the target of the URI as a ${\tt CLOB}$ instance. The database character set is used for encoding the data.
	<code>DBUri: XML</code> data is returned (unless node-test <code>text()</code> is used, in which case the targeted data is returned as is). When a <code>BLOB</code> column is targeted, the binary data in the column is $translated$ as $tra$
getBLOB()	Returns the target of the URI as a BLOB value. No character conversion is performed, and the character encoding is that of the URI target. This method can also be used to fetch binary data.
	DBUri: When applied to a DBUri that targets a BLOB column, getBLOB() returns the binary data translated as hexadecimal character data. When applied to a DBUri that targets non-binary data, the data is returned in the database character set.
getXML()	Returns the target of the URI as an XMLType instance. Using this, an application that performs operations other than $getCLOB()$ and $getBLOB()$ can use XMLType methods to do those operations. This throws an exception if the URI does not target a well-formed XML document.
createURI()	Constructs an instance of one of the URIType subtypes.

#### HTTPURIType PL/SQL Method GETCONTENTTYPE()

HTTPURIType PL/SQL method getContentType() returns the MIME information for its targeted document. You can use this information to decide whether to retrieve the document as a BLOB instance or a CLOB instance.

#### DBURIType PL/SQL Method GETCONTENTTYPE()

PL/SQL method getContentType() returns the MIME information for a URL. If a DBUri targets a scalar value, then the MIME content type returned is text/plain. Otherwise, the type returned is text/xml.

#### DBURIType PL/SQL Method GETCLOB()

When PL/SQL method getCLOB() is applied to a DBUri, the targeted data is returned as XML data, using the targeted column or table name as an XML element name. If the target XPath uses node-test text() then the data is returned as text without an enclosing XML tag.

#### • DBURIType PL/SQL Method GETBLOB()

When applied to a DBUri that targets a BLOB column, PL/SQL method <code>getBLOB()</code> returns the binary data *translated as hexadecimal character data*. When applied to a DBUri that targets *non*-binary data, method <code>getBLOB()</code> returns the data (as a <code>BLOB value)</code> in the database character set.





Oracle Database PL/SQL Packages and Types Reference

## 32.3.2.1 HTTPURIType PL/SQL Method GETCONTENTTYPE()

HTTPURITYPE PL/SQL method getContentType() returns the MIME information for its targeted document. You can use this information to decide whether to retrieve the document as a BLOB instance or a CLOB instance.

For example, you might treat a Web page with a MIME type of x/jpeg as a BLOB instance, and one with a MIME type of text/plain or text/html as a CLOB instance.

Example 32-1 tests the HTTP content type to determine whether to retrieve data as a CLOB or BLOB instance. The content-type data is the HTTP header, for HTTPURIType, or the metadata of the database column, for DBURIType.

#### Example 32-1 Using HTTPURIType PL/SQL Method GETCONTENTTYPE()

```
DECLARE
 httpuri HTTPURIType;
 v CLOB;
 x BLOB;
BEGIN
 httpuri := HTTPURIType('http://www.oracle.com/index.html');
 DBMS_OUTPUT.put_line(httpuri.getContentType());
 IF httpuri.getContentType() = 'text/html'
 THEN
     y := httpuri.getCLOB();
 END IF;
 IF httpuri.getContentType() = 'application-x/bin'
     x := httpuri.getBLOB();
 END IF;
END;
text/html
```

## 32.3.2.2 DBURIType PL/SQL Method GETCONTENTTYPE()

PL/SQL method getContentType() returns the MIME information for a URL. If a DBUri targets a scalar value, then the MIME content type returned is text/plain. Otherwise, the type returned is text/xml.

```
CREATE TABLE dbtab (a VARCHAR2(20), b BLOB);
```

DBUris corresponding to the following XPath expressions have content type text/xml, because each targets a complete column of XML data.

- /HR/DBTAB/ROW/A
- /HR/DBTAB/ROW/B



DBUris corresponding to the following XPath expressions have content type text/plain, because each targets a scalar value.

- /HR/DBTAB/ROW/A/text()
- /HR/DBTAB/ROW/B/text()

### 32.3.2.3 DBURIType PL/SQL Method GETCLOB()

When PL/SQL method getCLOB() is applied to a DBUri, the targeted data is returned as XML data, using the targeted column or table name as an XML element name. If the target XPath uses node-test text() then the data is returned as text without an enclosing XML tag.

In both cases, the returned data is in the database character set.

For example: If applied to a DBUri with XPath /HR/DBTAB/ROW/A/text(), where A is a non-binary column, the data in column A is returned as is. Without XPath node-test text(), the result is the data wrapped in XML:

```
$$ \A>...data_in\_column\_A...</A></ROW></DBTAB></HR>
```

When applied to a DBUri that targets a *binary* (BLOB) column, the binary data in the column is *translated* as *hexadecimal character data*.

For example: If applied to a DBUri with XPath /HR/DBTAB/ROW/B/text(), where B is a BLOB column, the targeted binary data is translated to hexadecimal character data and returned. Without XPath node-test text(), the result is the translated data wrapped in XML:

```
<HR><DBTAB><ROW><B>...data translated to hex...</POSTAB></HR>
```

### 32.3.2.4 DBURIType PL/SQL Method GETBLOB()

When applied to a DBUri that targets a BLOB column, PL/SQL method <code>getBLOB()</code> returns the binary data *translated* as hexadecimal character data. When applied to a DBUri that targets non-binary data, method <code>getBLOB()</code> returns the data (as a BLOB value) in the database character set.

For example, consider table dbtab:

```
CREATE TABLE dbtab (a VARCHAR2(20), b BLOB);
```

When getBLOB() is applied to a DBUri corresponding to XPath expression /HR/ DBTAB/ROW/B, it returns a BLOB value containing an XML document with root element B whose content is the hexadecimal-character translation of the binary data of column B.

When getBLOB() is applied to a DBUri corresponding to XPath expression /HR/DBTAB/ROW/B/text(), it returns a BLOB value containing only the hexadecimal-character translation of the binary data of column B.

When getBLOB() is applied to a DBUri corresponding to XPath expression /HR/DBTAB/ROW/A/text(), which targets *non*-binary data, it returns a BLOB value containing the data of column A, in the database character set.



# 32.4 Accessing Data Using URIType Instances

To use instances of URITYPE subtypes for indirection, you store such instances in the database and then query to retrieve the targeted data with a PL/SQL method such as getCLOB().

You can create database columns using URIType or any of its subtypes, or you can store just the text of each URI as a string and then create the needed URIType instances on demand, when the URIs are accessed. You can store objects of different URIType subtypes in the same URIType database column.

You can also define your own object types that inherit from the URITYPE subtypes. Deriving new types lets you use custom techniques to retrieve, transform, or filter data.

Example 32-2 stores an HTTPUri and a DBUri (instances of URIType subtypes HTTPURIType and DBURIType) in the same database column of type URIType. A query retrieves the data addressed by each of the URIs. The first URI is a Web-page URL. The second URI references data in table employees of standard database schema HR. (For brevity, only the beginning of the Web page is shown.)

To use <code>URITYPE PL/SQL</code> method <code>createURI()</code>, you must know the particular <code>URITYPE</code> subtype to use. <code>PL/SQL</code> method <code>getURI()</code> of package <code>URIFACTORY</code> lets you instead use the flexibility of late binding, determining the particular type information at run time.

PL/SQL factory method <code>URIFACTORY.getURI()</code> takes as argument a URI string. It returns a <code>URIType</code> instance of the appropriate subtype (<code>HTTPURIType</code>, <code>DBURIType</code>, or <code>XDBURIType</code>), based on the form of the URI string:

- If the URI starts with http://, then getURI() creates and returns an HTTPUri.
- If the URI starts with either /oradb/ or /dburi/, then getURI() creates and returns a DBUri.
- Otherwise, geturi() creates and returns an XDBUri.

Example 32-3 is similar to Example 32-2, but it uses two different ways to obtain documents targeted by URIs:

- PL/SQL method SYS.URIFACTORY.getURI() with absolute URIs:
  - an HTTPUri that targets HTTP address http://www.oracle.com
  - a DBUri that targets database address /oradb/HR/EMPLOYEES/ ROW[EMPLOYEE ID=200]
- Constructor SYS.HTTPURIType() with a *relative* URL (no http://). The same HTTPUri is used as for the absolute URI: the Oracle home page.

In Example 32-3, the URI strings passed to <code>getURI()</code> are hard-coded, but they could just as easily be string values that are obtained by an application at run time.

#### Example 32-2 Creating and Querying a URI Column

```
CREATE TABLE uri_tab (url URIType);
Table created.

INSERT INTO uri_tab VALUES (HTTPURIType.createURI('http://www.oracle.com'));
1 row created.

INSERT INTO uri_tab VALUES (DBURIType.createURI(
```



```
'/HR/EMPLOYEES/ROW[FIRST_NAME="Jack"]'));
1 row created.
SELECT e.url.getCLOB() FROM uri_tab e;
E.URL.GETCLOB()
<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.0 Transitional//EN">
<head>
. . .
<?xml version="1.0"?>
 <ROW>
  <EMPLOYEE_ID>177</EMPLOYEE_ID>
  <FIRST NAME>Jack</FIRST NAME>
  <LAST_NAME>Livingston</LAST_NAME>
  <EMAIL>JLIVINGS</EMAIL>
  <PHONE_NUMBER>011.44.1644.429264/PHONE_NUMBER>
  <hr/><hrey="https://example.com/like_date">HIRE_DATE>23-APR-06</hrey-date>
  <JOB_ID>SA_REP</JOB_ID>
  <SALARY>8400</SALARY>
  <COMMISSION_PCT>.2</COMMISSION_PCT>
  <MANAGER_ID>149</MANAGER_ID>
  <DEPARTMENT_ID>80</DEPARTMENT_ID>
 </ROW>
2 rows selected.
```

#### Example 32-3 Using Different Kinds of URI, Created in Different Ways

```
CREATE TABLE uri_tab (docUrl SYS.URIType, docName VARCHAR2(200));
Table created.
-- Insert an HTTPUri with absolute URL into SYS.URIType using URIFACTORY.
-- The target is Oracle home page.
INSERT INTO uri_tab VALUES
  (SYS.URIFACTORY.getURI('http://www.oracle.com'), 'AbsURL');
1 row created.
-- Insert an HTTPUri with relative URL using constructor SYS.HTTPURIType.
-- Note the absence of prefix http://. The target is the same.
INSERT INTO uri_tab VALUES (SYS.HTTPURIType('www.oracle.com'), 'RelurL');
1 row created.
-- Insert a DBUri that targets employee data from table HR.employees.
INSERT INTO uri_tab VALUES
  (SYS.URIFACTORY.getURI('/oradb/HR/EMPLOYEES/ROW[EMPLOYEE_ID=200]'), 'Emp200');
1 row created.
-- Extract all of the documents.
SELECT e.docUrl.getCLOB(), docName FROM uri_tab e;
E.DOCURL.GETCLOB()
DOCNAME
<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.0 Transitional//EN">
<html>
<head>
AbsURL
<!DOCTYPE HTML PUBLIC "-//W3C//DTD HTML 4.01 Transitional//EN">
<ht.ml>
<head>
RelURL
```

```
<?xml version="1.0"?>
 <ROW>
  <EMPLOYEE ID>200</EMPLOYEE ID>
  <FIRST NAME>Jennifer</FIRST NAME>
  <LAST_NAME>Whalen</LAST_NAME>
  <EMAIL>JWHALEN</EMAIL>
  <PHONE_NUMBER>515.123.4444</PHONE_NUMBER>
  <hr/><hr/>IRE_DATE>17-SEP-03</hr>/HIRE_DATE>
  <JOB ID>AD ASST</JOB ID>
  <SALARY>4400</SALARY>
  <MANAGER_ID>101</MANAGER_ID>
  <DEPARTMENT_ID>10/DEPARTMENT_ID>
 </ROW>
Emp200
3 rows selected.
-- In PL/SQL
CREATE OR REPLACE FUNCTION returnclob
 RETURN CLOB
 IS a SYS.URIType;
BEGIN
  SELECT docUrl INTO a FROM uri_Tab WHERE docName LIKE 'Emp200%';
 RETURN a.getCLOB;
END;
Function created.
SELECT returnclob() FROM DUAL;
RETURNCLOB()
<?xml version="1.0"?>
  <EMPLOYEE_ID>200</EMPLOYEE_ID>
  <FIRST NAME>Jennifer</FIRST NAME>
  <LAST_NAME>Whalen</LAST_NAME>
  <EMAIL>JWHALEN</EMAIL>
  <PHONE_NUMBER>515.123.4444/phone_NUMBER>
  <hr/><hr/>IRE_DATE>17-SEP-03</hr>/HIRE_DATE>
  <JOB_ID>AD_ASST</JOB_ID>
  <SALARY>4400</SALARY>
  <MANAGER_ID>101</MANAGER_ID>
  <DEPARTMENT_ID>10/DEPARTMENT_ID>
 </ROW>
```

#### **Related Topics**

- Create New Subtypes of URIType Using Package URIFACTORY
   You can define your own subtypes of URIType that correspond to particular
   protocols. You can use PL/SQL package URIFACTORY to obtain the URI of a
   URIType instance, escape characters in a URI string or remove such escaping,
   and register or unregister a type name for handling a given URL.
- YSL Transformation and Oracle XML DB
  You can apply XSL transformations to XML Schema-based documents using the built-in Oracle XML DB XSLT processor. In-database XML-specific optimizations can significantly reduce the memory required, eliminate the overhead associated with parsing, and reduce network traffic.

1 row selected.

# 32.5 XDBUris: Pointers to Repository Resources

XDBURIType is a subtype of URIType that exposes resources in Oracle XML DB Repository using URIs. Instances of object type XDBURIType are called **XDBUris**.

#### XDBUri URI Syntax

The URL portion of an XDBUri URI is the hierarchical address of the targeted repository resource – it is a *repository* path (*not* an XPath expression). An optional fragment portion of the URI, after the number-sign (#), uses XPath syntax to target parts of an XML document.

#### Using XDBUri: Examples

XDBUri examples here use URIs in a table to access a repository resource and, together with PL/SQL method getXML, to guery and retrieve XML documents.

## 32.5.1 XDBUri URI Syntax

The URL portion of an XDBUri URI is the hierarchical address of the targeted repository resource – it is a *repository* path (*not* an XPath expression). An optional fragment portion of the URI, after the number-sign (#), uses XPath syntax to target parts of an XML document.

The optional fragment portion of the URI is appropriate only if the targeted resource is an *XML* document, in which case the fragment portion targets one or more its parts. If the targeted resource is not an XML document, then omit the fragment and number-sign.

The following are examples of XDBUri URIs:

- /public/hr/image27.jpg
- /public/hr/doc1.xml#/PurchaseOrder/LineItem

#### Based on the form of these URIs:

- /public/hr is a folder resource in Oracle XML DB Repository.
- image27.jpg and doc1.xml are resources in folder /public/hr.
- Resource doc1.xml is a file resource, and it contains an XML document.
- The XPath expression /PurchaseOrder/LineItem refers to the LineItem child element in element PurchaseOrder of XML document doc1.xml.

You can create an XDBUri using PL/SQL method getURI() of package URIFACTORY.

XDBURIType is the *default* URIType used when generating instances using URIFACTORY PL/SQL method getURI(), unless the URI has one of the recognized prefixes http://, /dburi, or /oradb.

For example, if resource <code>doc1.xml</code> is present in repository folder <code>/public/hr</code>, then the following query returns an XDBUri that targets that resource.

```
SELECT SYS.URIFACTORY.getURI('/public/hr/doc1.xml') FROM DUAL;
```

It is the lack of a special prefix that determines that the object type is XDBURITYPE, not any particular resource file extension or the presence of # followed by an XPath



expression. Even if the resource were named foo.bar instead of doc1.xml, the returned URIType instance would still be an XDBUri.

# 32.5.2 Using XDBUri: Examples

XDBUri examples here use URIs in a table to access a repository resource and, together with PL/SQL method getXML, to query and retrieve XML documents.

Example 32-4 creates an XDBUri, inserts values into a purchase-order table, and then selects all of the purchase orders. Because there is no special prefix used in the URI passed to <code>URIFACTORY.getURI()</code>, the created <code>URIType</code> instance is an XDBUri.

Because PL/SQL method <code>getXML()</code> returns an <code>XMLType</code> instance, you can use it with SQL/XML functions such as <code>XMLQuery</code>. The query in Example 32-5 illustrates this. The query retrieves all purchase orders numbered 999.

#### Example 32-4 Access a Repository Resource by URI Using an XDBUri

```
DECLARE
res BOOLEAN;
postring VARCHAR2(100):= '<?xml version="1.0"?>
<ROW>
<PO>999</PO>
</ROW>';
BEGIN
res:=DBMS_XDB_REPOS.createFolder('/public/orders/');
res:=DBMS_XDB_REPOS.createResource('/public/orders/pol.xml', postring);
PL/SQL procedure successfully completed.
CREATE TABLE uri_tab (pourl SYS.URIType, poName VARCHAR2(1000));
Table created.
-- Create an abstract type column so any type of URI can be used
-- Insert an absolute URL into poUrl.
-- The factory will create an XDBURIType because there is no prefix.
-- Here, pol.xml is an XML file that is stored in /public/orders/
-- of the XML repository.
INSERT INTO uri_tab VALUES
  (URIFACTORY.getURI('/public/orders/pol.xml'), 'SomePurchaseOrder');
1 row created.
-- Get all the purchase orders
SELECT e.poUrl.getCLOB(), poName FROM uri_tab e;
E.POURL.GETCLOB()
______
PONAME
<?xml version="1.0"?>
<ROW>
<PO>999</PO>
</ROW>
SomePurchaseOrder
```



```
1 row selected.
-- Using PL/SQL, you can access table uri_tab as follows:
CREATE OR REPLACE FUNCTION returnclob
 RETURN CLOB
 IS a URIType;
BEGIN
  -- Get absolute URL for purchase order named like 'Some%'
  SELECT pourl INTO a FROM uri_tab WHERE poName LIKE 'Some%';
  RETURN a.getCLOB();
END;
Function created.
SELECT returnclob() FROM DUAL;
RETURNCLOB()
______
<?xml version="1.0"?>
<ROW>
<PO>999</PO>
</ROW>
1 row selected.
```

#### Example 32-5 Using PL/SQL Method GETXML with XMLCAST and XMLQUERY

# 32.6 DBUris: Pointers to Database Data

A DBUri is a URI that targets *database data*. As for all instances of URIType subtypes, a DBUri provides indirect access to data. DBURIType also lets you address database data using XPath and construct XML documents containing database data that is targeted by a DBUri that reflects the database structure.

 Address database data using XPath notation. This, in effect, lets you visualize and access the database as if it were XML data. For example, a DBUri can use an expression such as  $\protect\operatorname{\figst_NAME="Jack"}\protect\figst\protect\figs\protect\pro$ 

 Construct an XML document that contains database data targeted by a DBUri and whose structure reflects the database structure.

For example: A DBUri with XPath /HR/DBTAB/ROW/A can be used to construct an XML document that wraps the data of column A in XML elements that reflect the database structure and are named accordingly:

```
<HR><DBTAB><ROW><A>...data_in_column_A...</A></ROW></DBTAB></HR>
```

A DBUri does not reference a global location as does an HTTPUri. You can, however, also access objects addressed by a DBUri in a global manner, by appending the DBUri to an HTTPUri that identifies a servlet that handles DBUris – see DBUriServlet.

#### View the Database as XML Data

Using DBURITYPE, you can have what amounts to *XML* views of the portions of the database to which you have access, presented *in the form of XML data*. When visualized this way, the database data is effectively wrapped in XML elements, resulting in one or more XML documents.

#### DBUri URI Syntax

An XPath expression is a path into XML data that addresses one or more nodes. A DBUri exploits virtual XML visualization of the database to use a *simple form* of XPath expression as a URI to address database data. This is so, whether or not the data is XML.

#### DBUris are Scoped to a Database and Session

A DBUri is scoped to a given database session, so the same DBUri can give different results in the same query, depending on the session context (which user is connected and what privileges the user has).

#### Using DBUris —Examples

A DBUri can identify a table, a row, a column in a row, or an attribute of an object column. Examples here show how to target different object types.

## 32.6.1 View the Database as XML Data

Using DBURITYPE, you can have what amounts to *XML* views of the portions of the database to which you have access, presented *in the form of XML data*. When visualized this way, the database data is effectively wrapped in XML elements, resulting in one or more XML documents.

You can access only those database schemas to which you have been granted access privileges. This portion of the database is, in effect, your own view of the database. This applies to all kinds database data, not just data that is stored as XML.

Such "XML views" are not database views, in the technical sense of the term. "View" here means only an abstract perspective that can be useful for understanding <code>DBURIType</code>. You can think of <code>DBURIType</code> as providing a way to visualize and access the database as if it were XML data.

However, DBURIType does not just provide an exercise in visualization and an additional means to access database data. Each "XML view" can be realized as an XML document – that is, you can use DBURIType to generate XML documents using database data.

All of this is another way of saying that DBURIType lets you use XPath notation to 1) address and access any database data to which you have access and 2) construct XML representations of that data.

Figure 32-1 illustrates the relation between a relational table, HR.employees, a corresponding XML view of a portion of that table, and the corresponding DBUri URI (a simple XPath expression). In this case, the portion of the data exposed as XML is the row where employee\_id is 200. The URI can be used to access the data and construct an XML document that reflects the "XML view".

DBUri: /oradb/HR/EMPLOYEES/ROW[EMPLOYEE\_ID=200]/LAST\_NAME **Database** <HR> hr.employees <EMPLOYEES> <ROW> employee\_id | last\_name <EMPLOYEE ID> 200 Whalen 200 XMI Livingston Visualization </EMPLOYEE ID> <LAST NAME> Whalen </LAST\_NAME> </ROW> </EMPLOYEES> </HR> **Relational Data XML Document** 

Figure 32-1 A DBUri Corresponds to an XML Visualization of Relational Data

The XML elements in the "XML view" and the steps in the URI XPath expression both reflect the database table and column names. Note the use of **ROW** to indicate a row in the database table – both in the "XML view" and in the URI XPath expression.

Note also that the XPath expression contains a root-element step, oradb. This is used to indicate that the URI corresponds to a DBUri, not an HTTPUri or an XDBUri. Whenever this correspondence is understood from context, this XPath step can be skipped. For example, if it is known that the path in question is a path to database data, the following URIs are equivalent:

- /oradb/HR/EMPLOYEES/ROW[EMPLOYEE\_ID=200]/LAST\_NAME
- /HR/EMPLOYEES/ROW[EMPLOYEE ID=200]/LAST NAME

Whenever the URI context is not clear, however, you must use the prefix /oradb to distinguish a URI as corresponding to a DBUri. In particular, you must supply the prefix to URIFACTORY PL/SQL methods and to DBUriServlet.

#### **Related Topics**

Create New Subtypes of URIType Using Package URIFACTORY
 You can define your own subtypes of URIType that correspond to particular
 protocols. You can use PL/SQL package URIFACTORY to obtain the URI of a
 URIType instance, escape characters in a URI string or remove such escaping,
 and register or unregister a type name for handling a given URL.



#### DBUriServlet

You can retrieve repository resources using the Oracle XML DB HTTP server. Oracle Database also includes a servlet, **DBUriServlet**, that makes any kind of database data available through HTTP(S) URLs. The data can be returned as plain text, HTML, or XML.



Generation of XML Data from Relational Data for other ways to generate XML from database data

## 32.6.2 DBUri URI Syntax

An XPath expression is a path into XML data that addresses one or more nodes. A DBUri exploits virtual XML visualization of the database to use a *simple form* of XPath expression as a URI to address database data. This is so, whether or not the data is XML.

Thus, for DBURIType, Oracle Database supports only a subset of the full XPath or XPointer syntax. There are no syntax restrictions for XDBUri XPath expressions. There is also an exception in the DBUri case: data in XMLType tables. For an XMLType table, the simple XPath form is used to address the table itself within the database. Then, to address particular XML data in the table, the remainder of the XPath expression can use the full XPath syntax. This exception applies only to XMLType tables, not to XMLType columns.

In any case, unlike an XDBUri, a DBUri URI does not use a number-sign (#) to separate the URL portion of a URI from a fragment (XPath) portion. DBURIType does not use URI fragments. Instead, the entire URI is treated as a (simple) XPath expression.

You can create DBUris to any database data to which you have access. XPath expressions such as the following are allowed:

- /database\_schema/table
- /database\_schema/table/ROW[predicate\_expression]/column
- /database\_schema/table/ROW[predicate\_expression]/object\_column/ attribute
- /database\_schema/XMLType\_table/ROW/XPath\_expression

In the last case, <code>XMLType\_table</code> is an <code>XMLType</code> table, and <code>XPath\_expression</code> is any XPath expression. For tables that are not <code>XMLType</code>, a DBUri XPath expression must end at a column (it cannot address specific data inside a column). This restriction includes <code>XMLType</code> columns, <code>LOB</code> columns, and <code>VARCHAR2</code> columns that contain XML data.

A DBUri XPath expression can do any of the following:

Target an entire table.

For example, /HR/EMPLOYEES targets table employees of database schema HR.



 Include XPath predicates at any step in the path, except the database schema and table steps.

For example, /HR/EMPLOYEES/ROW[EMPLOYEE\_ID=200]/EMAIL targets column email of table HR.employees, where employee\_id is 200.

• Use the text() XPath node test on data with scalar content. This is the *only* node test that can be used, and it cannot be used with the table or row step.

The following can be used in DBUri (XPath) predicate expressions:

- Boolean operators and, or, and not
- Relational operators <, >, <=, !=, >=, =, mod, div, \* (multiply)

A DBUri XPath expression *must* do all of the following:

- Use only the *child* XPath axis other axes, such as parent, are not allowed.
- Either specify a database schema or specify PUBLIC to resolve the table name without a specific schema.
- Specify a database view or table name.
- Include a ROW step, if a database column is targeted.
- Identify a *single* data value, which can be an object-type instance or a collection.
- Result in well-formed XML when it is used to generate XML data using database data.

An example of a DBUri that does *not* result in well-formed XML is  $/HR/EMPLOYEES/ROW/LAST_NAME$ . It returns more than one  $<LAST_NAME>$  element fragment, with no single root element.

- Use none of the following:
  - \* (wildcard)
  - (self)
  - .. (parent)
  - // (descendant or self)
  - XPath functions, such as count

A DBUri XPath expression can optionally be prefixed by /oradb or /dburi (the two are equivalent) to distinguish it. This prefix is case-insensitive. However, the rest of the DBUri XPath expression is *case-sensitive*, as are XPath expressions generally. Thus, for example, to specify table HR.employees as a DBUri XPath expression, you must use HR/EMPLOYEES, not hr/employees (or a mixed-case combination), because table and column names are uppercase, by default.



XML Path Language (XPath) on XPath notation



## 32.6.3 DBUris are Scoped to a Database and Session

A DBUri is scoped to a given database session, so the same DBUri can give different results in the same query, depending on the session context (which user is connected and what privileges the user has).

The content of the XML "views" you have of the database, and hence of the XML documents that you can construct, reflects the permissions you have for accessing particular database data at a given time.

To complicate things a bit, there is also an XML element PUBLIC, under which database data is accessible without any database-schema qualification. This is a convenience feature, but it can also lead to some confusion if you forget that the XML views of the database for a given user depend on the specific access the user has to the database at a given time.

XML element PUBLIC corresponds to the use of a *public synonym*. For example, when queried by user quine, the following query tries to match table foo under database schema quine, but if no such table exists, it tries to match a public synonym named foo.

SELECT \* FROM foo;

In the same way, XML element PUBLIC contains all of the database data visible to a given user and all of the data visible to that user through public synonyms. So, the same DBUri URI /PUBLIC/FOO can resolve to quine.foo when user quine is connected, and resolve to curry.foo when user curry is connected.

## 32.6.4 Using DBUris —Examples

A DBUri can identify a table, a row, a column in a row, or an attribute of an object column. Examples here show how to target different object types.

#### Targeting a Table Using a DBUri

An example uses a DBUri that targets a complete table. An XML document is returned that corresponds to the table contents. The top-level XML element is named for the table. The values of each row are enclosed in a ROW element.

#### Targeting a Row in a Table Using a DBUri

An example uses a DBUri that targets a single table row. The XPath predicate expression identifies the single table row that corresponds to employee number 200. The result is an XML document with ROW as the top-level element.

#### Targeting a Column Using a DBUri

You can target a given column, a given attribute of an object column, or an object column whose attributes have given values. Examples illustrate these possibilities.

#### Retrieving the Text Value of a Column Using a DBUri

In many cases, it can be useful to retrieve only the text values of a column and not the enclosing tags. For example, if XSLT stylesheets are stored in a CLOB column, you can retrieve the document text without having any enclosing column-name tags. An example illustrates this.



#### Targeting a Collection Using a DBUri

You can target a database collection, such as an ordered collection table (OCT). You must, however, target the entire collection – you cannot target individual members.

### 32.6.4.1 Targeting a Table Using a DBUri

An example uses a DBUri that targets a complete table. An XML document is returned that corresponds to the table contents. The top-level XML element is named for the table. The values of each row are enclosed in a ROW element.

This is shown in Example 32-6. You target a complete database table using this syntax:

/database\_schema/table

#### Example 32-6 Targeting a Complete Table Using a DBUri

```
CREATE TABLE uri_tab (url URIType);
Table created.
INSERT INTO uri tab VALUES
       (DBURIType.createURI('/HR/EMPLOYEES'));
1 row created.
SELECT e.url.getCLOB() FROM uri_tab e;
E.URL.GETCLOB()
_____
<?xml version="1.0"?>
<EMPLOYEES>
 <ROW>
  <EMPLOYEE ID>100</EMPLOYEE ID>
  <FIRST_NAME>Steven/FIRST_NAME>
  <LAST_NAME>King</LAST_NAME>
  <EMAIL>SKING</EMAIL>
  <PHONE NUMBER>515.123.4567</PHONE NUMBER>
  <hr/><hr/>HIRE DATE>17-JUN-03</hr>
  <JOB_ID>AD_PRES</JOB_ID>
  <SALARY>24000</SALARY>
  <DEPARTMENT_ID>90</DEPARTMENT_ID>
 </ROW>
 <ROW>
  <EMPLOYEE ID>101</EMPLOYEE ID>
  <FIRST_NAME>Neena/FIRST_NAME>
  <LAST_NAME>Kochhar</LAST_NAME>
  <EMAIL>NKOCHHAR</EMAIL>
  <PHONE NUMBER>515.123.4568</PHONE NUMBER>
  <hr/><hr/>HIRE DATE>21-SEP-05</hr>
  <JOB_ID>AD_VP</JOB_ID>
  <SALARY>17000</SALARY>
  <MANAGER_ID>100</MANAGER_ID>
  <DEPARTMENT_ID>90</DEPARTMENT_ID>
 </ROW>
```



1 row selected.

. . .

### 32.6.4.2 Targeting a Row in a Table Using a DBUri

An example uses a DBUri that targets a single table row. The XPath predicate expression identifies the single table row that corresponds to employee number 200. The result is an XML document with ROW as the top-level element.

This is shown in Example 32-7. You target one or more specific rows of a table using this syntax:

/database\_schema/table/ROW[predicate\_expression]

#### Example 32-7 Targeting a Particular Row in a Table Using a DBUri

```
CREATE TABLE uri_tab (url URIType);
Table created.
INSERT INTO uri tab VALUES
      (DBURIType.createURI('/HR/EMPLOYEES/ROW[EMPLOYEE_ID=200]'));
1 row created.
SELECT e.url.getCLOB() FROM uri_tab e;
E.URL.GETCLOB()
______
<?xml version="1.0"?>
 <EMPLOYEE_ID>200</EMPLOYEE_ID>
 <FIRST_NAME>Jennifer/FIRST_NAME>
 <LAST_NAME>Whalen</LAST_NAME>
 <EMAIL>JWHALEN</EMAIL>
  <PHONE_NUMBER>515.123.4444/phone_NUMBER>
 <hr/><hr/>IRE_DATE>17-SEP-03</hr>
 <JOB_ID>AD_ASST</JOB_ID>
 <SALARY>4400</SALARY>
 <MANAGER_ID>101</MANAGER_ID>
 <DEPARTMENT_ID>10</DEPARTMENT_ID>
 </ROW>
1 row selected.
```

## 32.6.4.3 Targeting a Column Using a DBUri

You can target a given column, a given attribute of an object column, or an object column whose attributes have given values. Examples illustrate these possibilities.

You can target a specific column, using this syntax:

/database\_schema/table/ROW[predicate\_expression]/column

You can target a specific attribute of an object column, using this syntax:

```
/database_schema/table/ROW[predicate_expression]/object_column/attribute
```

You can target a specific object column whose attributes have specific values, using this syntax:

```
/database_schema/table/ROW[predicate_expression_with_attributes]/
object column
```

Example 32-8 uses a DBUri that targets column last\_name for the same employee as in Example 32-7. The top-level XML element is named for the targeted column.

Example 32-9 uses a DBUri that targets a CUST\_ADDRESS object column containing city and postal code attributes with certain values. The top-level XML element is named for the column, and it contains child elements for each of the object attributes.

#### Example 32-8 Targeting a Specific Column Using a DBUri

# Example 32-9 Targeting an Object Column with Specific Attribute Values Using a DBUri



```
<CUST_ADDRESS>
  <STREET_ADDRESS>33 Fulton St</STREET_ADDRESS>
  <POSTAL_CODE>12601</POSTAL_CODE>
  <CITY>Poughkeepsie</CITY>
  <STATE_PROVINCE>NY</STATE_PROVINCE>
  <COUNTRY_ID>US</COUNTRY_ID>
  </CUST_ADDRESS>

1 row selected.
```

The DBUri here identifies the object that has a CITY attribute with Poughkeepsie as value and a POSTAL\_CODE attribute with 12601 as value.

## 32.6.4.4 Retrieving the Text Value of a Column Using a DBUri

In many cases, it can be useful to retrieve only the text values of a column and not the enclosing tags. For example, if XSLT stylesheets are stored in a CLOB column, you can retrieve the document text without having any enclosing column-name tags. An example illustrates this.

You can use the text() XPath node test for this. It specifies that you want only the text value of the node. Use the following syntax:

```
/oradb/database_schema/table/ROW[predicate_expression]/column/text()
```

Example 32-10 retrieves the text value of the employee last\_name column for employee number 200, without the XML tags.

#### Example 32-10 Retrieve Only the Text Value of a Node Using a DBUri



### 32.6.4.5 Targeting a Collection Using a DBUri

You can target a database collection, such as an ordered collection table (OCT). You must, however, target the entire collection – you cannot target individual members.

When a collection is targeted, the XML document produced by the DBUri contains each collection member as an XML element, with all such elements enclosed in a element named for the *type* of the collection.

Example 32-11 uses a DBUri that targets a collection of numbers. The top-level XML element is named for the collection, and its children are named for the collection *type* (NUMBER).

#### Example 32-11 Targeting a Collection Using a DBUri

```
CREATE TYPE num_collection AS VARRAY(10) OF NUMBER;
Type created.
CREATE TABLE orders (item VARCHAR2(10), quantities num_collection);
Table created.
INSERT INTO orders VALUES ('boxes', num_collection(3, 7, 4, 9));
1 row created.
SELECT * FROM orders;
ITEM
QUANTITIES
boxes
NUM_COLLECTION(3, 7, 4, 9)
1 row selected.
SELECT DBURIType('/HR/ORDERS/ROW[ITEM="boxes"]/QUANTITIES').getCLOB()
FROM DUAL;
DBURITYPE('/HR/ORDERS/ROW[ITEM="BOXES"]/QUANTITIES').GETCLOB()
<?xml version="1.0"?>
 <OUANTITIES>
  <NUMBER>3</NUMBER>
  <NUMBER>7</NUMBER>
  <NUMBER>4</NUMBER>
  <NUMBER>9</NUMBER>
 </QUANTITIES>
1 row selected.
```



# 32.7 Create New Subtypes of URIType Using Package URIFACTORY

You can define your own subtypes of <code>URIType</code> that correspond to particular protocols. You can use PL/SQL package <code>URIFACTORY</code> to obtain the URI of a <code>URIType</code> instance, escape characters in a URI string or remove such escaping, and register or unregister a type name for handling a given URL.

Additional PL/SQL methods are listed in Table 32-2.

Table 32-2 URIFACTORY PL/SQL Methods

PL/SQL Method	Description
getURI()	Returns the URI of the URIType instance.
escapeURI()	Escapes the URI string by replacing characters that are not permitted in URIs by their equivalent escape sequence.
unescapeURI()	Removes escaping from a given URI.
registerURLHandler()	Registers a particular type name for handling a particular URL. This is called by getURI() to generate an instance of the type.
	A Boolean argument can be used to indicate that the prefix must be stripped off before calling the appropriate type constructor.
unregisterURLHandler()	Unregisters a URL handler.

Of particular note is that you can use package URIFACTORY to define new subtypes of type URITYPE. You can then use those subtypes to provide specialized processing of URIs. In particular, you can define URITYPE subtypes that correspond to particular protocols — URIFACTORY then recognizes and processes instances of those subtypes accordingly.

Defining new types and creating database columns specific to the new types has these advantages:

- It provides an implicit constraint on the columns to contain only instances of those types. This can be useful for implementing specialized indexes on a column for specific protocols. For a DBUri, for instance, you can implement specialized indexes that fetch data directly from disk blocks, rather than executing SQL queries.
- You can have different constraints on different columns, based on the type. For a
  HTTPUri, for instance, you can define proxy and firewall constraints on a column,
  so that any access through the HTTP uses the proxy server.
- Registering New URIType Subtypes with Package URIFACTORY
   To provide specialized processing of URIs, you define and register a new URIType subtype.



# 32.7.1 Registering New URIType Subtypes with Package URIFACTORY

To provide specialized processing of URIs, you define and register a new URIType subtype.

- 1. Create the new type using SQL statement CREATE TYPE. The type must implement PL/SQL method createURI().
- 2. Optionally override the default methods, to perform specialized processing when retrieving data or to transform the XML data before displaying it.
- 3. Choose a new URI prefix, to identify URIs that use this specialized processing.
- 4. Register the new prefix using PL/SQL method registerURLHandler(), so that package URIFACTORY can create an instance of your new subtype when it receives a URI starting with the new prefix you defined.

After the new subtype is defined, a URI with the new prefix is recognized by URIFACTORY methods, and you can create and use instances of the new type.

For example, suppose that you define a new protocol prefix, ecom://, and define a subtype of uritype to handle it. Perhaps the new subtype implements some special logic for PL/SQL method getCLOB(), or perhaps it makes some changes to XML tags or data in method getXML(). After you register prefix ecom:// with urifactory, a call to geturi() generates an instance of the new uritype subtype for a URI with that prefix.

Example 32-12 creates a new type, ECOMURIType, to handle a new protocol, ecom://. The example stores three different kinds of URIs in a single table: an HTTPUri, a DBUri, and an instance of the new type, ECOMURIType. To run this example, you would need to define each of the ECOMURIType member functions.

#### Example 32-12 URIFACTORY: Registering the ECOM Protocol

```
CREATE TABLE url tab (urlcol varchar2(80));
Table created.
-- Insert an HTTP URL reference
INSERT INTO url_tab VALUES ('http://www.oracle.com/');
1 row created.
-- Insert a DBUri
INSERT INTO url_tab VALUES ('/oradb/HR/EMPLOYEES/
ROW[FIRST_NAME="Jack"]');
1 row created.
-- Create a new type to handle a new protocol called ecom://
-- This is just an example template. For this to run, the
implementations
-- of these functions must be specified.
CREATE OR REPLACE TYPE ECOMURITYPE UNDER SYS.URITYPE (
  OVERRIDING MEMBER FUNCTION getCLOB RETURN CLOB,
  OVERRIDING MEMBER FUNCTION getBLOB RETURN BLOB,
  OVERRIDING MEMBER FUNCTION getExternalURL RETURN VARCHAR2,
  OVERRIDING MEMBER FUNCTION getURI RETURN VARCHAR2,
```



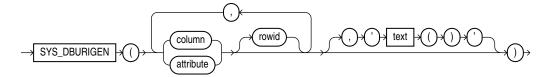
```
-- Must have this for registering with the URL handler
 STATIC FUNCTION createURI(url IN VARCHAR2) RETURN ECOMURIType);
-- Register a new handler for the ecom:// prefixes
BEGIN
  -- The handler type name is ECOMURIType; schema is HR
  -- Ignore the prefix case, so that URIFACTORY creates the same subtype
  -- for URIs beginning with ECOM://, ecom://, eCom://, and so on.
  -- Strip the prefix before calling PL/SQL method createURI(),
  -- so that the string 'ecom://' is not stored inside the
  -- ECOMURIType object. It is added back automatically when
  -- you call ECOMURIType.getURI().
 URIFACTORY.registerURLHandler (prefix => 'ecom://',
                                 schemaname => 'HR',
                                 typename => 'ECOMURITYPE',
                                 ignoreprefixcase => TRUE,
                                 stripprefix => TRUE);
END;
PL/SQL procedure successfully completed.
-- Insert this new type of URI into the table
INSERT INTO url_tab VALUES ('ECOM://company1/company2=22/comp');
1 row created.
-- Use the factory to generate an instance of the appropriate
-- subtype for each URI in the table.
-- You would need to define the member functions for this to work:
SELECT urifactory.getURI(urlcol) FROM url_tab;
-- This would generate:
HTTPURIType('www.oracle.com'); -- an HTTPUri
DBURIType('/oradb/HR/EMPLOYEES/ROW[FIRST_NAME="Jack"]', null); -- a
ECOMURIType('company1/company2=22/comp'); -- an ECOMURIType instance
```

# 32.8 SYS\_DBURIGEN SQL Function

You can create a DBUri by providing an XPath expression to constructor <code>DBURIType</code> or to appropriate <code>URIFACTORY</code> PL/SQL methods. With Oracle SQL function <code>sys\_DburiGen</code>, you can alternatively create a DBUri using an XPath that is composed from database columns and their values.

Oracle SQL function <code>sys\_DburiGen</code> takes as its argument one or more database columns or attributes, and optionally a rowid, and generates a DBUri that targets a particular column or row object. Function <code>sys\_DburiGen</code> takes an additional parameter that indicates whether the text value of the node is needed. See <a href="Figure 32-2">Figure 32-2</a>.

Figure 32-2 SYS\_DBURIGEN Syntax



All columns or attributes referenced must reside in the same table. They must each reference a unique value. If you specify multiple columns, then the initial columns identify the row, and the last column identifies the column within that row. If you do not specify a database schema, then the table name is interpreted as a public synonym.



Oracle Database SQL Language Reference

Example 32-13 uses Oracle SQL function sys\_DburiGen to generate a DBUri that targets column email of table HR.employees where employee\_id is 206:

#### Example 32-13 SYS\_DBURIGEN: Generating a DBUri that Targets a Column

1 row selected.

- Rules for Passing Columns or Object Attributes to SYS\_DBURIGEN
   A column or attribute passed to Oracle SQL function sys\_DburiGen must obey
   certain rules.
- Using SQL Function SYS\_DBURIGEN: Examples
   Examples are presented that use SQL function sys\_DburiGen to insert database references, return partial results from a large column, and return URLs to inserted objects.

# 32.8.1 Rules for Passing Columns or Object Attributes to SYS\_DBURIGEN

A column or attribute passed to Oracle SQL function  $sys\_DburiGen$  must obey certain rules.

- Same table: All columns referenced in function sys\_DburiGen must come from the same table or view.
- Unique mapping: The column or object attribute must be uniquely mappable back
  to the table or view from which it came. The only virtual columns allowed are those
  produced with value or ref. The column can come from a subquery with a SQL

TABLE collection expression, that is, TABLE(...), or from an inline view (as long as the inline view does not rename the columns).

See *Oracle Database SQL Language Reference* for information about the SQL TABLE collection expression.

- Key columns: Either the rowid or a set of key columns must be specified. The list of key columns is not required to be declared as a unique or primary key, as long as the columns uniquely identify a particular row in the result.
- PUBLIC element: If the table or view targeted by the rowid or key columns does not specify a database schema, then the PUBLIC keyword is used. When a DBUri is accessed, the table name resolves to the same table, synonym, or database view that was visible by that name when the DBUri was created.
- Optional text() argument: By default, DBURIType constructs an XML document. Use text() as the third argument to sys\_DburiGen to create a DBUri that targets a text node (no XML elements). For example:

```
SELECT sys_DburiGen(employee_id, last_name, 'text()') FROM hr.employees,
   WHERE employee_id=200;
```

This constructs a DBUri with the following URI:

```
/HR/EMPLOYEES/ROW[EMPLOYEE_ID=200]/LAST_NAME/text()
```

• Single-column argument: If there is a single-column argument, then the column is used as both the key column to identify the row and the referenced column.

The query in Example 32-14 uses <code>employee\_id</code> as both the key column and the referenced column. It generates a DBUri that targets the row with <code>employee\_id</code> 200.

#### Example 32-14 Passing Columns with Single Arguments to SYS DBURIGEN

```
SELECT sys_DburiGen(employee_id) FROM employees
  WHERE employee_id=200;

SYS_DBURIGEN(EMPLOYEE_ID)(URL, SPARE)
------
DBURITYPE('/PUBLIC/EMPLOYEES/ROW[EMPLOYEE_ID=''200'']/EMPLOYEE_ID', NULL)

1 row selected.
```

# 32.8.2 Using SQL Function SYS\_DBURIGEN: Examples

Examples are presented that use SQL function <code>sys\_DburiGen</code> to insert database references, return partial results from a large column, and return URLs to inserted objects.

- Inserting Database References Using SYS\_\_DBURIGEN
   You can use SQL function sys\_DburiGen to insert DBUris that reference specific database data. An example illustrates this.
- Returning Partial Results Using SYS\_\_DBURIGEN
   When selecting data from a large column, you might sometimes want to retrieve only a portion of the result, and create a URL that provides access to the full column.
- Returning URLs to Inserted Objects Using SYS\_DBURIGEN
   You can use Oracle SQL function sys\_DburiGen in the RETURNING clause of DML statements to retrieve the URL of an object as it is inserted.

## 32.8.2.1 Inserting Database References Using SYS DBURIGEN

You can use SQL function <code>sys\_DburiGen</code> to insert DBUris that reference specific database data. An example illustrates this.

#### Example 32-15 Inserting Database References Using SYS\_DBURIGEN

```
CREATE TABLE doc_list_tab (docno NUMBER PRIMARY KEY, doc_ref SYS.DBURIType);
Table created.
-- Insert a DBUri that targets the row with employee_id=177
INSERT INTO doc_list_tab VALUES(1001, (SELECT sys_DburiGen(rowid, employee_id)
                                      FROM employees WHERE employee_id=177));
1 row created.
-- Insert a DBUri that targets the last_name column of table employees
INSERT INTO doc_list_tab VALUES(1002,
                              (SELECT sys_DburiGen(employee_id, last_name)
                                FROM employees WHERE employee id=177));
1 row created.
SELECT * FROM doc_list_tab;
   DOCNO
DOC_REF(URL, SPARE)
______
     1001
DBURITYPE('/PUBLIC/EMPLOYEES/ROW[ROWID=''AAAQCcAAFAAAABSABN'']/EMPLOYEE_ID', NULL)
DBURITYPE('/PUBLIC/EMPLOYEES/ROW[EMPLOYEE_ID=''177'']/LAST_NAME', NULL)
2 rows selected.
```

# 32.8.2.2 Returning Partial Results Using SYS\_\_DBURIGEN

When selecting data from a large column, you might sometimes want to retrieve only a portion of the result, and create a URL that provides access to the full column.

For example, consider the case of a travel story website. If travel stories are stored in a table and users search for a set of relevant stories, you do not want to list each entire story in the search-result page. Instead, you might show just the first 20 characters of each story, to represent the gist, and then return a URL to the full story. This can be done as follows:

Example 32-16 creates the travel story table.

Example 32-17 creates a function that returns only the first 20 characters from the story.

Example 32-18 creates a view that selects only the first twenty characters from the travel story, and returns a DBUri to the story column.

#### **Example 32-16** Creating the Travel Story Table

```
CREATE TABLE travel_story (story_name VARCHAR2(100), story CLOB);
Table created.

INSERT INTO travel_story
```



```
VALUES ('Egypt', 'This is the story of my time in Egypt....'); 1 row created.
```

#### Example 32-17 A Function that Returns the First 20 Characters

```
CREATE OR REPLACE FUNCTION charfunc(clobval IN CLOB) RETURN VARCHAR2 IS
  res VARCHAR2(20);
  amount NUMBER := 20;
BEGIN
   DBMS_LOB.read(clobval, amount, 1, res);
  RETURN res;
END;
/
Function created.
```

#### Example 32-18 Creating a Travel View for Use with SYS\_DBURIGEN

# 32.8.2.3 Returning URLs to Inserted Objects Using SYS\_DBURIGEN

You can use Oracle SQL function sys\_DburiGen in the RETURNING clause of DML statements to retrieve the URL of an object as it is inserted.

In Example 32-19, whenever a document is inserted into table clob\_tab, its URL is inserted into table uri\_tab. This is done using Oracle SQL function sys\_DburiGen in the RETURNING clause of the INSERT statement.

#### Example 32-19 Retrieving a URL Using SYS DBURIGEN in RETURNING Clause

```
CREATE TABLE clob_tab (docid NUMBER, doc CLOB);
Table created.

CREATE TABLE uri_tab (docs SYS.DBURIType);
Table created.
```



1 row selected.

In PL/SQL, specify the storage of the URL of the inserted document as part of the insertion operation, using the RETURNING clause and EXECUTE IMMEDIATE:

# 32.9 DBUriServlet

You can retrieve repository resources using the Oracle XML DB HTTP server. Oracle Database also includes a servlet, **DBUriServlet**, that makes any kind of database data available through HTTP(S) URLs. The data can be returned as plain text, HTML, or XML.

A Web client or application can access such data without using SQL or a specialized database API. You can retrieve the data by linking to it on a Web page or by requesting it through HTTP-aware APIs of Java, PL/SQL, and Perl. You can display or process the data using an application such as a Web browser or an XML-aware spreadsheet. DBUriServlet can generate content that is XML data or not, and it can transform the result using XSLT stylesheets.

You make database data Web-accessible by using a URI that is composed of a servlet address (URL) plus a DBUri URI that specifies which database data to retrieve. This is the syntax, where http://server:port is the URL of the servlet (server and port), and /oradb/database\_schema/table is the DBUri URI (any DBUri URI can be used):

```
http://server:port/oradb/database_schema/table
```

When using XPath notation in a URL for the servlet, you might need to escape certain characters. You can use URIType PL/SQL method getExternalURL() to do this.

You can either use <code>DBUriServlet</code>, which is pre-installed as part of Oracle XML DB, or write your own servlet that runs on a servlet engine. The servlet reads the URI portion of the invoking URL, creates a DBUri using that URI, calls <code>URIType PL/SQL</code> methods to retrieve the data, and returns the values in a form such as a Web page, an XML document, or a plain-text document.

The MIME type to use is specified to the servlet through the URI:

- By default, the servlet produces MIME types text/xml and text/plain. If the DBUri path ends in text(), then text/plain is used. Otherwise, an XML document is generated with MIME type text/xml.
- You can override the default MIME type, setting it to binary/x-jpeg or some other value, by using the contenttype argument to the servlet.



Guidelines for Oracle XML DB Applications in Java, for information about Oracle XML DB servlets

Table 32-3 describes each of the optional URL parameters you can pass to DBUriServlet to customize its output.

Table 32-3 DBUriServlet: Optional Arguments

Argument	Description
rowsettag	Changes the default root tag name for the XML document. For example:
_	http://server:8080/oradb/HR/EMPLOYEES?rowsettag=OracleEmployees
contenttyp e	Specifies the MIME type of the generated document. For example:
	http://server:8080/oradb/HR/EMPLOYEES?contenttype=text/plain
transform	Passes a URL to <code>URIFACTORY</code> , which retrieves the XSLT stylesheet at that location. This stylesheet is then applied to the XML document being returned by the servlet. For example:
	http://server:8080/oradb/HR/EMPLOYEES?transform= /oradb/QUINE/XSLS/DOC/text()&contenttype=text/html <sup>1</sup>

<sup>1</sup> This URL is split across two lines for the purpose of documentation.

#### Overriding the MIME Type Using a URL

You can override MIME content type by using a URL that passes a different MIME type to the servlet as the contenttype parameter.

#### Customizing DBUriServlet

To customize DBUriServlet you modify the Oracle XML DB configuration file, xdbconfig.xml.

#### Using Roles for DBUriServlet Security

Servlet security is handled by Oracle Database using roles. When users log in to the servlet, they use their database user name and password. The servlet checks to ensure that the user logging has one of the roles specified in the configuration file using parameter security-role-ref).

#### Configuring Package URIFACTORY to Handle DBUris

To improve efficiency, you can teach URIFACTORY that a URI of a given form represents database access and so should be realized as a DBUri, not an HTTPUri. You do this by registering a handler for the URI as a prefix, specifying DBURIType as the type of instance to generate.



Table or View Access from a Web Browser Using DBUri Servlet
 Oracle XML DB includes the DBUri servlet, which lets you access the content of
 any table or view directly from a web browser. It uses DBURITYPE to generate a
 simple XML document from the table contents. The servlet is C language-based
 and installed in the Oracle XML DB HTTP server.

# 32.9.1 Overriding the MIME Type Using a URL

You can override MIME content type by using a URL that passes a different MIME type to the servlet as the contenttype parameter.

To retrieve column <code>employee\_id</code> of table <code>employee</code>, you can use a URL such as one of the following, where computer <code>server.oracle.com</code> is running Oracle Database with a Web service listening to requests on port 8080. Step <code>oradb</code> is the virtual path that maps to the servlet.

http://server.oracle.com:8080/oradb/QUINE/A/ROW[B=200]/C/text()

Produces a content type of text/plain

http://server.oracle.com:8080/oradb/QUINE/A/ROW[B=200]/C

Produces a content type of text/xml

To override the content type, you can use a URL that passes text/html to the servlet as the contenttype parameter:

 http://server.oracle.com:8080/oradb/QUINE/A/ROW[B=200]/C? contenttype=text/html

Produces a content type of text/html

# 32.9.2 Customizing DBUriServlet

To customize DBUriServlet you modify the Oracle XML DB configuration file, xdbconfig.xml.

You can edit the Oracle XML DB configuration file, xdbconfig.xml, using database schema (user account) XDB with WebDAV, FTP, Oracle Enterprise Manager, or PL/SQL. To update the file using FTP or WebDAV, download the document, edit it, and save it back into the database. PL/SQL package DBMS\_XDB\_CONFIG provides a particularly convenient way to access the file, and it provides subprograms that perform specific configuration modifications. For example, you can use DBMS\_XDB\_CONFIG.deleteservletmapping to remove a servlet mapping.

DBUriServlet is installed at /oradb/\*, which is the address specified in the servlet-pattern tag of xdbconfig.xml. The asterisk (\*) is necessary to indicate that any path following oradb is to be mapped to the same servlet. oradb is published as the virtual path. You can change the path that is used to access the servlet.

In Example 32-20, the configuration file is modified to install DBUriServlet under / dburi/\*. (The long XPath expression has been split here for documentation purposes. It actually needs to be on a single line.)



Security parameters, the servlet display-name, and the description can also be customized in configuration file xdbconfig.xml. The servlet can be removed by deleting its servlet-pattern. This can also be done using XQuery Update to update the servlet-mapping element to NULL.



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#### Example 32-20 Changing the Installation Location of DBUriServlet

```
DECLARE
  doc XMLType;
 doc2 XMLType;
  doc := DBMS_XDB_CONFIG.cfg_get();
  SELECT XMLQuery('declare default element namespace
                   "http://xmlns.oracle.com/xdb/xdbconfig.xsd";
                   copy $i := $doc modify
                   for $j in
i/x si/xdbconfig/sysconfig/protocolconfig/httpconfig/webappconfig/servletconfig/servlet-mappings ^1
/servlet-mapping[servlet-name="DBUriServlet"]/servlet-pattern
                   return replace value of node $j with $i/dburi/*
                  return $i'
                  PASSING DBMS_XDB_CONFIG.cfg_get() AS "doc"
                 RETURNING CONTENT) INTO doc2 FROM DUAL;
 DBMS_XDB_CONFIG.cfg_update(doc2);
  COMMIT;
END:
```

#### **Related Topics**

- Guidelines for Oracle XML DB Applications in Java
   Design guidelines are presented for writing Oracle XML DB applications in Java.
   This includes guidelines for writing and configuring Java servlets for Oracle XML DB.
- Administration of Oracle XML DB
   Administration of Oracle XML DB includes installing, upgrading, and configuring it.
- Oracle XML DB Configuration API
  You can access the Oracle XML DB configuration file, xdbconfig.xml, the same
  way you access any other XML schema-based resource. You can use FTP,
  HTTP(S), WebDAV, Oracle Enterprise Manager, or any of the resource and
  Document Object Model (DOM) APIs for Java, PL/SQL, or C (OCI).

# 32.9.3 Using Roles for DBUriServlet Security

Servlet security is handled by Oracle Database using roles. When users log in to the servlet, they use their database user name and password. The servlet checks to

<sup>&</sup>lt;sup>1</sup> This XQuery expression is split across two lines only for the purpose of documentation.



ensure that the user logging has one of the roles specified in the configuration file using parameter security-role-ref).

By default, the servlet is available to role authenticatedUser, and any user who logs into the servlet with a valid database password has this role.

The role parameter can be changed to restrict access to any specific database roles. To change from the default authenticatedUser role to a role that you have created, you modify the Oracle XML DB configuration file.

Example 32-21 changes the default role authenticated User to role servlet-users (which you must have created).

#### Example 32-21 Restricting Servlet Access to a Database Role

(The URLs in this XQuery expression are split across multiple lines only for the purpose of documentation.)

```
DECLARE
 doc XMLType;
 doc2 XMLType;
 doc3 XMLType;
BEGIN
 doc := DBMS_XDB_CONFIG.cfg_get();
   XMLOuery('copy $i := $p1 modify
              (for $j in $i/xdbconfig/sysconfig/protocolconfig/httpconfig/webappconfig/servletconfig/servlet-list/
servlet[servlet-name="DBUriServlet"]/security-role-ref/role-name
               return replace value of node $j with $p2)
            PASSING DOC AS "p1", 'servlet-users' AS "p2" RETURNING CONTENT)
   INTO doc2 FROM DUAL;
 SELECT XMLQuery('copy $i := $p1 modify
                    (for $j in $i/xdbconfig/sysconfig/protocolconfig/httpconfig/webappconfig/servletconfig/
servlet-list/servlet[servlet-name="DBUriServlet"]/security-role-ref/role-link
                    return replace value of node $j with $p2)
                  return $i'
                 PASSING DOC2 AS "p1", 'servlet-users' AS "p2" RETURNING CONTENT)
   INTO doc3 FROM DUAL;
 DBMS_XDB_CONFIG.cfg_update(doc3);
END;
```

# 32.9.4 Configuring Package URIFACTORY to Handle DBUris

To improve efficiency, you can teach URIFACTORY that a URI of a given form represents database access and so should be realized as a DBUri, not an HTTPUri. You do this by registering a handler for the URI as a prefix, specifying DBURITYPE as the type of instance to generate.

A URL such as http://server/servlets/oradb is handled by DBUriServlet (or by a custom servlet). When a URL such as this is stored as a URIType instance, it is generally desirable to use subtype DBURIType, since this URI targets database data.

However, if a URIType instance is created using the methods of PL/SQL package URIFACTORY then, by default, the subtype used is httpuritype, not dburitype. This is because URIFACTORY looks only at the URI prefix, sees http://, and assumes that the URI targets a Web page. This results in unnecessary layers of communication and perhaps extra character conversions.

To teach URIFACTORY that URIs of the given form represent database accesses and so should be realized as DBUris, not HTTPUris, you register a handler for the URIs as a prefix, specifying DBURIType as the type of instance to generate.

**Example 32-22 effectively tells URIFACTORY that any URI string starting with http://**<code>server/servlets/oradb</code> corresponds to a database access.

After you execute this code, all <code>getURI()</code> calls in the same session automatically create DBUris for any URI strings with prefix <code>http://server/servlets/oradb</code>.



Oracle Database PL/SQL Packages and Types Referencefor information about URIFACTORY functions

#### Example 32-22 Registering a Handler for a DBUri Prefix

# 32.9.5 Table or View Access from a Web Browser Using DBUri Servlet

Oracle XML DB includes the DBUri servlet, which lets you access the content of any table or view directly from a web browser. It uses <code>DBURIType</code> to generate a simple XML document from the table contents. The servlet is C language-based and installed in the Oracle XML DB HTTP server.

By default, the servlet is installed under the virtual directory /oradb.

The URL passed to the DBUri Servlet is an extension of the URL passed to the DBURIType. The URL is extended with the address and port number of the Oracle XML DB HTTP server and the virtual root that directs HTTP(S) requests to the DBUri servlet. The default configuration for this is /oradb.

The URL http://localhost:8080/oradb/HR/DEPARTMENTS would thus return an XML document containing the contents of the DEPARTMENTS table in the HR database schema. This assumes that the Oracle XML DB HTTP server is running on port 8080, the virtual root for the DBUri servlet is /oradb, and that the user making the request has access to the HR database schema.

<code>DBUri</code> servlet accepts parameters that allow you to specify the name of the <code>ROW</code> tag and MIME-type of the document that is returned to the client.

Content in XMLType table or view can also be accessed through the DBUri servlet. When the URL passed to the DBUri servlet references an XMLType table or XMLType view the URL can be extended with an XPath expression that can determine which documents in the table or row are returned. The XPath expression appended to the URL can reference any node in the document.

XML generated by DBUri servlet can be transformed using the XSLT processor built into Oracle XML DB. This lets XML that is generated by DBUri servlet be presented in a more legible format such as HTML.

XSLT stylesheet processing is initiated by specifying a transform parameter as part of the URL passed to DBUri servlet. The stylesheet is specified using a URI that references the location of the stylesheet within database. The URI can either be a



DBURIType value that identifies a XMLType column in a table or view, or a path to a document stored in Oracle XML DB Repository. The stylesheet is applied directly to the generated XML before it is returned to the client. When using DBUri servlet for XSLT processing, it is good practice to use the contenttype parameter to explicitly specify the MIME type of the generated output.

If the XML document being transformed is stored as an XML schema-based XMLType instance, then Oracle XML DB can reduce the overhead associated with XSL transformation by leveraging the capabilities of the lazily loaded virtual DOM.

The root of the URL is /oradb, so the URL is passed to the DBUri servlet that accesses the purchaseorder table in the SCOTT database schema, rather than as a resource in Oracle XML DB Repository. The URL includes an XPath expression that restricts the result set to those documents where node /PurchaseOrder/Reference/text() contains the value specified in the predicate. The contenttype parameter sets the MIME type of the generated document to text/xml.

#### **Related Topics**

#### DBUriServlet

You can retrieve repository resources using the Oracle XML DB HTTP server. Oracle Database also includes a servlet, **DBUriServlet**, that makes any kind of database data available through HTTP(S) URLs. The data can be returned as plain text, HTML, or XML.



# Native Oracle XML DB Web Services

Your applications can access Oracle Database using native Oracle XML DB Web services.

- Overview of Native Oracle XML DB Web Services
  - Web services provide a standard way for applications to exchange information over the Internet and access services that implement business logic. Your applications can access Oracle Database using native Oracle XML DB Web services.
- Configuring and Enabling Web Services for Oracle XML DB
   To make Web services available, you must have the Oracle XML DB HTTP server up and running, and you must explicitly add Web service configuration. Then, to allow specific users to use Web services, you must grant them appropriate roles.
- Query Oracle XML DB Using a Web Service The Oracle XML DB Web service for database queries is located at URL http:// host:port/orawsv, where host and port are the database host and HTTP(S) port. It has an associated WSDL that specifies the formats of the incoming and outgoing documents using XML Schema. This WSDL is located at URL http://host:port/ orawsv?wsdl.
- Access to PL/SQL Stored Procedures Using a Web Service
   The Oracle XML DB Web service for accessing PL/SQL stored functions
   and procedures is located at URL http://host:port/orawsv/dbschema/package/
   fn\_or\_proc or, for a function or procedure that is standalone (not in a package),
   http://host:port/orawsv/dbschema/fn\_or\_proc.

# 33.1 Overview of Native Oracle XML DB Web Services

Web services provide a standard way for applications to exchange information over the Internet and access services that implement business logic. Your applications can access Oracle Database using native Oracle XML DB Web services.

One available service lets you issue SQL and XQuery queries and receive results as XML data. Another service provides access to all PL/SQL stored functions and procedures.

You can customize the input and output document formats when you use the latter service. If you do that then the WSDL is automatically generated by the native database Web services engine.

SOAP 1.1 is the version supported by Oracle XML DB. Applications use HTTP method POST to submit SOAP requests to native Oracle XML DB Web services. You can configure the locations of all native Oracle XML DB Web services and WSDL documents using the Oracle XML DB configuration file, xdbconfig.xml. You can also configure security settings for the Web services using the same configuration file.

You can use the Accept-Charsets field of the input HTTP header to specify the character set of Web-service responses. If this header field is omitted, then responses

are in the database character set. The language of the input document and any error responses is the locale language of the database.

Error handling for native Oracle XML DB Web services uses the SOAP framework for faults.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
 which is stored as a resource in Oracle XML DB Repository. As an alternative to
 using Oracle Enterprise Manager to configure Oracle XML DB, you can configure
 it directly using the Oracle XML DB configuration file.

#### See Also:

- Web Services Activity for more information about Web services
- Simple Object Access Protocol (SOAP) 1.1
- Web Services Description Language (WSDL) 1.1 for information about the Web Services Description Language (WSDL)
- Fault Scenarios for information about SOAP fault handling

# 33.2 Configuring and Enabling Web Services for Oracle XML DB

To make Web services available, you must have the Oracle XML DB HTTP server up and running, and you must explicitly add Web service configuration. Then, to allow specific users to use Web services, you must grant them appropriate roles.

- Configure Web services see "Configuring Web Services for Oracle XML DB".
- Enable Web services for specific users, by granting them appropriate roles Enabling Web Services for a Specific User.

For security reasons, Oracle XML DB is not preconfigured with native Web services enabled.

- Configuring Web Services for Oracle XML DB

  To make Web services available for Oracle XML

  To make Web services av
  - To make Web services available for Oracle XML DB, configure the servlet by logging on as user SYS and adding the servlet configuration to your Oracle XML DB configuration file, xdbconfig.xml. Then use procedures in PL/SQL package DBMS\_XDB\_CONFIG to add the servlet that is named by the servlet configuration.
- Enabling Web Services for a Specific User
   To enable Web services for a specific user, log on as user SYS and grant role
   XDB\_WEBSERVICES to the user. This role enables Web services over HTTPS. This role is required to be able to use Web services.



#### **Related Topics**

HTTP(S) and Oracle XML DB Protocol Server
 Oracle XML DB implements HyperText Transfer Protocol (HTTP), HTTP 1.1 as
 defined in the RFC2616 specification.

# 33.2.1 Configuring Web Services for Oracle XML DB

To make Web services available for Oracle XML DB, configure the servlet by logging on as user SYS and adding the servlet configuration to your Oracle XML DB configuration file, xdbconfig.xml. Then use procedures in PL/SQL package DBMS\_XDB\_CONFIG to add the servlet that is named by the servlet configuration.

The servlet configuration to add is shown as the query output of Example 33-2.

Example 33-1 shows how to use procedures in PL/SQL package DBMS\_XDB\_CONFIG to add the servlet. Example 33-2 shows how to verify that the servlet was added correctly.

#### Example 33-1 Adding a Web Services Configuration Servlet

```
DECLARE
 SERVLET_NAME VARCHAR2(32) := 'orawsv';
BEGIN
  DBMS_XDB_CONFIG.deleteServletMapping(SERVLET_NAME);
  DBMS_XDB_CONFIG.deleteServlet(SERVLET_NAME);
  DBMS_XDB_CONFIG.addServlet(
           => SERVLET_NAME,
   LANGUAGE => 'C',
   DISPNAME => 'Oracle Query Web Service',
   DESCRIPT => 'Servlet for issuing queries as a Web Service',
   SCHEMA => 'XDB');
 DBMS_XDB_CONFIG.addServletSecRole(SERVNAME => SERVLET_NAME,
                                    ROLENAME => 'XDB_WEBSERVICES',
                                    ROLELINK => 'XDB_WEBSERVICES');
  DBMS_XDB_CONFIG.addServletMapping(PATTERN => '/orawsv/*',
                                   NAME
                                          => SERVLET NAME);
END;
```

#### Example 33-2 Verifying Addition of Web Services Configuration Servlet

```
XQUERY declare default element namespace "http://xmlns.oracle.com/xdb/xdbconfig.xsd"; (: :)
      (: This path is split over two lines for documentation purposes only.
         The path should actually be a single long line. :)
      for $doc in fn:doc("/xdbconfiq.xml")/xdbconfiq/sysconfiq/protocolconfiq/httpconfiq/
       webappconfig/servletconfig/servlet-list/servlet[servlet-name='orawsv']
      return $doc
Result Sequence
______
<servlet xmlns="http://xmlns.oracle.com/xdb/xdbconfig.xsd">
 <servlet-name>orawsv</servlet-name>
 <servlet-language>C</servlet-language>
 <display-name>Oracle Query Web Service</display-name>
 <description>Servlet for issuing queries as a Web Service</description>
 <servlet-schema>XDB</servlet-schema>
  <security-role-ref>
   <description/>
```



```
<role-name>XDB_WEBSERVICES</role-name>
    <role-link>XDB_WEBSERVICES</role-link>
    </security-role-ref>
</servlet>
```

1 item(s) selected.

#### **Related Topics**

Configuration of Oracle XML DB Using xdbconfig.xml
 Oracle XML DB is managed internally through a configuration file, xdbconfig.xml, which is stored as a resource in Oracle XML DB Repository. As an alternative to using Oracle Enterprise Manager to configure Oracle XML DB, you can configure it directly using the Oracle XML DB configuration file.

# 33.2.2 Enabling Web Services for a Specific User

To enable Web services for a specific user, log on as user SYS and grant role XDB\_WEBSERVICES to the user. This role enables Web services over HTTPS. This role is required to be able to use Web services.

User SYS can, in addition, grant one or both of the following roles to the user:

- XDB\_WEBSERVICES\_OVER\_HTTP Enable use of Web services over HTTP (not just HTTPS).
- XDB\_WEBSERVICES\_WITH\_PUBLIC Enable access, using Web services, to database objects that are accessible to PUBLIC.

If a user is not granted XDB\_WEBSERVICES\_WITH\_PUBLIC, then the user has access, using Web services, to all database objects (regardless of owner) that would normally be available to the user, *except* for PUBLIC objects. To make PUBLIC objects accessible to a user through Web services, SYS must grant role XDB\_WEBSERVICES\_WITH\_PUBLIC to the user. With this role, a user can access any PUBLIC objects that would normally be available to the user if logged on to the database.

# 33.3 Query Oracle XML DB Using a Web Service

The Oracle XML DB Web service for database queries is located at URL http://host:port/orawsv, where host and port are the database host and HTTP(S) port. It has an associated WSDL that specifies the formats of the incoming and outgoing documents using XML Schema. This WSDL is located at URL http://host:port/orawsv?wsdl.

Your application sends database queries to the Web service as XML documents that conform to the XML schema listed in Example 33-3.

This XML schema is contained in the WSDL document. The important parts of incoming query documents are as follows:

- query\_text The text of your query. Attribute type specifies the type of your query: either SOL or XOUERY.
- bind A scalar bind-variable value. Attribute name names the variable.
- bindXML An XMLType bind-variable value.
- null handling How NULL values returned by the guery are to be treated:



- DROP\_NULLS Put nothing in the output (no element). This is the default behavior.
- NULL\_ATTR Use an empty element for NULL-value output. Use attribute xsi:nil = "true" in the element.
- EMPTY\_TAG Use an empty element for NULL-value output, without a nil attribute.
- max\_rows The maximum number of rows to output for the query. By default, all rows are returned.
- skip\_rows The number of query output rows to skip, before including rows in the data returned in the SOAP message. You can use this in connection with max\_rows to provide paginated output. The default value is zero (0).
- pretty\_print Whether the output document should be formatted for pretty-printing. The default value is true, meaning that the document is pretty-printed.
  When the value is false, no pretty-printing is done, and output rows are not broken with newline characters.
- indentation\_width The number of characters to indent nested elements that start a new line. The default value is one (1).
- rowset\_tag Name of the root element of the output document.
- row\_tag Name of the element whose value is a single row of query output.
- item\_tags\_for\_coll Whether to generate collection elements with name collection\_name\_item, where collection\_name is the name of the collection.

These elements have the same meanings as corresponding parameters of procedures in PL/SQL package DBMS\_XMLGEN.

Example 33-4 and Example 33-5 show the input and output of a simple SQL query.

In Example 33-4, the query text is enclosed in <![CDATA[...]]>. Although not strictly necessary in this example, it is appropriate to do this generally, because queries often contain characters such as < and >. Element bind is used to bind a value (8300) to the bind variable named e. Element pretty\_print turns off pretty-printing of the output.

#### Example 33-3 XML Schema for Database Queries To Be Processed by Web Service

```
<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
       xmlns:xdb="http://xmlns.oracle.com/xdb"
        targetNamespace="http://xmlns.oracle.com/orawsv">
 <element name="guery">
    <complexType>
      <sequence>
        <element name="query_text">
          <complexType>
            <simpleContent>
              <extension base="string">
                <attribute name="type">
                  <simpleType>
                    <restriction base="NMTOKEN">
                      <enumeration value="SOL"/>
                      <enumeration value="XQUERY"/>
                    </restriction>
                  </simpleType>
                </attribute>
              </extension>
            </simpleContent>
          </complexType>
        </element>
```



```
<choice max0ccurs="unbounded">
          <element name="bind">
            <complexType>
              <simpleContent>
                <extension base="string">
                  <attribute name="name" type="string"/>
                </extension>
              </simpleContent>
            </complexType>
          </element>
          <element name="bindXML" type="any"/>
        </choice>
        <element name="null_handling" minOccurs="0">
          <simpleType>
            <restriction base="NMTOKEN">
              <enumeration value="DROP_NULLS"/>
              <enumeration value="NULL_ATTR"/>
             <enumeration value="EMPTY_TAG"/>
            </restriction>
          </simpleType>
        </element>
        <element name="max_rows" type="positiveInteger" minOccurs="0"/>
        <element name="skip_rows" type="positiveInteger" minOccurs="0"/>
        <element name="pretty_print" type="boolean" minOccurs="0"/>
        <element name="indentation_width" type="positiveInteger" minOccurs="0"/>
        <element name="rowset_tag" type="string" minOccurs="0"/>
       <element name="row_tag" type="string" min0ccurs="0"/>
        <element name="item_tags_for_coll" type="boolean" minOccurs="0"/>
      </sequence>
    </complexType>
  </element>
</schema>
```

#### Example 33-4 Input XML Document for SQL Query Using Query Web Service

#### Example 33-5 Output XML Document for SQL Query Using Query Web Service

```
<?xml version="1.0"?>
<soap:Envelope xmlns:soap="http://www.w3.org/2002/06/soap-envelope">
<soap:Body>
<ROWSET><ROW><EMPLOYEE_ID>206</EMPLOYEE_ID><FIRST_NAME>William</FIRST_NAME><LAST_NAME>G
ietz</LAST_NAME><EMAIL>WGIETZ</EMAIL><PHONE_NUMBER>515.123.8181</PHONE_NUMBER><HIRE_DATE>07-JUN-
94</HIRE_DATE><JOB_ID>AC_ACCOUNT</JOB_ID><SALARY>8300</SALARY><MANAGER_ID>205</MANAGER_ID
><DEPARTMENT_ID>110</DEPARTMENT_ID></ROW></ROWSET>
</soap:Body>
</soap:Envelope>
```



# 33.4 Access to PL/SQL Stored Procedures Using a Web Service

The Oracle XML DB Web service for accessing PL/SQL stored functions and procedures is located at URL http://host:port/orawsv/dbschema/package/fn\_or\_proc or, for a function or procedure that is standalone (not in a package), http://host:port/orawsv/dbschema/fn\_or\_proc.

Here, *host* and *port* are the database host and HTTP(S) port, *fn\_or\_proc* is the stored function or procedure name, *package* is its package, and *dbschema* is the database schema owning that package.

The input XML document must contain the inputs needed by the function or procedure. The output XML document contains the return value and the values of all  $\mathtt{OUT}$  variables.

The names of the XML elements in the input and output documents correspond to the variable names of the function or procedure. The generated WSDL document shows you the exact XML element names. This is the naming convention used:

- The XML element introducing the input to a PL/SQL function is named function-nameInput, where function-name is the name of the function (uppercase).
- The XML elements introducing input parameters for the function are named param-name-param-type-io-mode, where param-name is the name of the parameter (uppercase), param-type is its SQL data type, and io-mode is its input-output mode, as follows:
  - IN IN mode
  - out out mode
  - INOUT IN OUT mode
- The XML element introducing the output from a PL/SQL function is named Sreturn-type-function-nameOutput, where return-type is the SQL data type of the return value (uppercase), and function-name is the name of the function (uppercase).
- The XML elements introducing output parameters for the function are named the same as the output parameters themselves (uppercase). The element introducing the return value is named RETURN.

The return value of a function is in the RETURN element of the output document, which is always the first element in the document. This return-value position disambiguates it from any OUT parameter that might be named "RETURN".

Each stored function or procedure is associated with a separate, dynamic Web service that has its own, generated WSDL document. This WSDL document is located at URL <a href="http://host:port/orawsv/dbschema/package/fn\_or\_proc?wsdl">http://host:port/orawsv/dbschema/fn\_or\_proc?wsdl</a>. In addition, you can optionally generate a single WSDL document to be used for all stored functions and procedures in a given package. The URL for that WSDL document is <a href="http://host:port/orawsv/dbschema/package?wsdl">http://host:port/orawsv/dbschema/package?wsdl</a>.



Data types in the incoming and outgoing XML documents are mapped to SQL data types for use by the stored function or procedure, according to Table 33-1. These are the only data types that are supported.

Table 33-1 Web Service Mapping Between XML and Oracle Database Data Types

Oracle Database Data Type	XML Schema Data Type
CHAR, VARCHAR2, VARCHAR	xsd:string
DATE - Dates must be in the database format.	xsd:date
TIMESTAMP, TIMESTAMP WITH TIMEZONE, TIMESTAMP WITH LOCAL TIMEZONE	xsd:dateTime
INTERVAL YEAR TO MONTH, INTERVAL DAY TO SECOND	xsd:duration
NUMBER, BINARY_DOUBLE, BINARY_FLOAT	xsd:double
INT, INTEGER, SMALLINT, PLS_INTEGER, BINARY_INTEGER	xsd:integer
RAW, BLOB, REF	xsd:hexBinary
PL/SQL BOOLEAN	xsd:boolean
Object types	complexType
XMLType	<pre>empty complexType</pre>

An object type is represented in XML as a complex-type element named the same as the object type. The object attributes are represented as children of this element.

Using a PL/SQL Function with a Web Service: Example
 Examples present a PL/SQL function and its access using a Web service. The
 function takes as input a department ID and name. It returns the salary total for
 the department. It also returns, as in-out and output parameters, respectively, the
 department name and the number of employees in the department.

# 33.4.1 Using a PL/SQL Function with a Web Service: Example

Examples present a PL/SQL function and its access using a Web service. The function takes as input a department ID and name. It returns the salary total for the department. It also returns, as in-out and output parameters, respectively, the department name and the number of employees in the department.

The default value of the department ID is 20. In this simple example, the input value of the in-out parameter dept\_name is not actually used. It is ignored, and the correct name is returned.

Example 33-6 shows the function definition. Example 33-7 shows the WSDL document that is created automatically from this function definition. Example 33-8 shows an input document that invokes the stored function. Example 33-9 shows the resulting output document.

#### Example 33-6 Definition of PL/SQL Function Used for Web-Service Access

```
CREATE OR REPLACE PACKAGE salary_calculator AUTHID CURRENT_USER AS

FUNCTION TotalDepartmentSalary (dept_id IN NUMBER DEFAULT 20,

dept_name IN OUT VARCHAR2,

nummembers OUT NUMBER)

RETURN NUMBER;

END salary_calculator;
```



```
CREATE OR REPLACE PACKAGE BODY salary_calculator AS
 FUNCTION TotalDepartmentSalary (dept_id
                                             IN
                                                    NUMBER DEFAULT 20,
                                  dept_name IN OUT VARCHAR2,
                                  nummembers OUT
                                                    NUMBER)
    RETURN NUMBER IS
      sum_sal NUMBER;
     BEGIN
        SELECT SUM(salary) INTO sum_sal FROM employees
         WHERE department_id = dept_id;
        SELECT department_name INTO dept_name FROM departments
          WHERE department_name = dept_name;
        SELECT count(*) INTO nummembers FROM employees
         WHERE department_id = dept_id;
   RETURN sum_sal;
 END;
END;
```

#### Example 33-7 WSDL Document Corresponding to a Stored PL/SQL Function

```
<definitions name="SALARY CALCULATOR"</pre>
             targetNamespace="http://xmlns.oracle.com/orawsv/HR/SALARY CALCULATOR"
             xmlns="http://schemas.xmlsoap.org/wsdl/"
             xmlns:tns="http://xmlns.oracle.com/orawsv/HR/SALARY_CALCULATOR"
             xmlns:xsd="http://www.w3.org/2001/XMLSchema"
             xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/">
  <types>
    <xsd:schema targetNamespace="http://xmlns.oracle.com/orawsv/HR/SALARY_CALCULATOR"</pre>
                elementFormDefault="qualified">
      <xsd:element name="SNUMBER-TOTALDEPARTMENTSALARYInput">
        <xsd:complexType>
          <xsd:sequence>
            <xsd:element name="NUMMEMBERS-NUMBER-OUT">
              <xsd:complexType/>
            </xsd:element>
            <xsd:element name="DEPT_NAME-VARCHAR2-INOUT" type="xsd:string"/>
            <xsd:element name="DEPT_ID-NUMBER-IN" type="xsd:double"/>
          </xsd:sequence>
        </xsd:complexType>
      </xsd:element>
      <xsd:element name="TOTALDEPARTMENTSALARYOutput">
        <xsd:complexType>
          <xsd:sequence>
            <xsd:element name="RETURN" type="xsd:double"/>
            <xsd:element name="NUMMEMBERS" type="xsd:double"/>
            <xsd:element name="DEPT_NAME" type="xsd:string"/>
          </xsd:sequence>
        </xsd:complexType>
      </xsd:element>
    </xsd:schema>
  </types>
  <message name="TOTALDEPARTMENTSALARYInputMessage">
    <part name="parameters" element="tns:SNUMBER-TOTALDEPARTMENTSALARYInput"/>
```



```
</message>
  <message name="TOTALDEPARTMENTSALARYOutputMessage">
    <part name="parameters" element="tns:TOTALDEPARTMENTSALARYOutput"/>
  </message>
  <portType name="SALARY_CALCULATORPortType">
    <operation name="TOTALDEPARTMENTSALARY">
      <input message="tns:TOTALDEPARTMENTSALARYInputMessage"/>
      <output message="tns:TOTALDEPARTMENTSALARYOutputMessage"/>
    </operation>
  </portType>
 <binding name="SALARY_CALCULATORBinding" type="tns:SALARY_CALCULATORPortType">
    <soap:binding style="document" transport="http://schemas.xmlsoap.org/soap/http"/>
    <operation name="TOTALDEPARTMENTSALARY">
      <soap:operation soapAction="TOTALDEPARTMENTSALARY"/>
      <input>
        <soap:body parts="parameters" use="literal"/>
      </input>
      <output>
        <soap:body parts="parameters" use="literal"/>
      </output>
    </operation>
 </binding>
  <service name="SALARY CALCULATORService">
    <documentation>Oracle Web Service</documentation>
    <port name="SALARY_CALCULATORPort" binding="tns:SALARY_CALCULATORBinding">
      <soap:address location="https://example:8088/orawsv/HR/SALARY_CALCULATOR"/>
     </port>
  </service>
</definitions>
```

#### Example 33-8 Input XML Document for PL/SQL Query Using Web Service

```
<?xml version="1.0" ?><soap:Envelope
xmlns:soap="http://www.w3.org/2002/06/soap-
envelope"><soap:Body><SNUMBER-
TOTALDEPARTMENTSALARYinput
xmlns="http://xmlns.oracle.com/orawsv/HR/SALARY_CALCULATOR/
TOTALDEPARTMENTSALARY">
<DEPT_ID-NUMBER-IN>30</DEPT_ID-NUMBER-IN><DEPT_NAME-VARCHAR2-INOUT>Purchasing
</DEPT_NAME-VARCHAR2-INOUT><NUMMEMBERS-NUMBER-OUT/></SNUMBER-TOTALDEPARTMENTSALARYinput></soap:Body></soap:Envelope>
```

#### Example 33-9 Output XML Document for PL/SQL Query Using Web Service

</TOTALDEPARTMENTSALARYOutput> </soap:Body> </soap:Envelope>



# Part VII

# Oracle Tools that Support Oracle XML DB

You can use various Oracle tools with Oracle XML DB. These include tools for managing Oracle XML DB, loading XML data, and exchanging XML data.

- Administration of Oracle XML DB Administration of Oracle XML DB includes installing, upgrading, and configuring it.
- How to Load XML Data
   The main way to load XML data into Oracle XML DB is to use SQL\*Loader.
- Export and Import of Oracle XML DB Data
  You can use Oracle Data Pump to export and import XMLType tables for use with
  Oracle XML DB.
- XML Data Exchange Using Oracle Database Advanced Queuing
   You can exchange XML data using Oracle Database Advanced Queuing (AQ),
   which provides database-integrated message-queuing. AQ enables and manages
   asynchronous communication between applications, using messages. It supports
   point-to-point and publish/subscribe communication models.



# Administration of Oracle XML DB

Administration of Oracle XML DB includes installing, upgrading, and configuring it.

- Upgrade or Downgrade of an Existing Oracle XML DB Installation
   Various considerations apply to all upgrades of Oracle Database from a release prior to Oracle Database 12c Release 1 (12.1.0.1).
- Administration of Oracle XML DB Using Oracle Enterprise Manager
   You can use Oracle Enterprise Manager to easily perform Oracle XML DB
   administration tasks. This includes configuring Oracle XML DB; creating,
   searching, editing, and deleting XML schemas, XMLType tables and views, and
   Oracle XML DB Repository resources and their access control lists (ACLs);
   registering XML schemas; and creating function-based indexes.
- Configuration of Oracle XML DB Using xdbconfig.xml
   Oracle XML DB is managed internally through a configuration file, xdbconfig.xml,
   which is stored as a resource in Oracle XML DB Repository. As an alternative to
   using Oracle Enterprise Manager to configure Oracle XML DB, you can configure
   it directly using the Oracle XML DB configuration file.
- Oracle XML DB and Database Consolidation
   Each pluggable database has its own Oracle XML DB Repository, and its own Oracle XML DB configuration file, xdbconfig.xml.
- Package DBMS\_XDB\_ADMIN
   PL/SQL package DBMS\_XDB\_ADMIN has subprograms for managing and configuring
   Oracle XML DB and Oracle XML DB Repository.

#### **Related Topics**

Configuration of Repository Resources for XLink and XInclude
 The resource configuration file that you use as a resource to configure XLink (deprecated) and XInclude processing for other resources is described.

# 34.1 Upgrade or Downgrade of an Existing Oracle XML DB Installation

Various considerations apply to all upgrades of Oracle Database from a release prior to Oracle Database 12c Release 1 (12.1.0.1).

- Run script catproc.sql, as always.
- Replication of hierarchy-enabled tables is not supported for any replication method, including rolling upgrade.
- If supplemental logging is turned on then these operations are not supported:
  - Use of the APPEND hint for INSERT
  - SQL\*Loader direct-path insertion of XMLType data



- If you use rolling upgrade and any of the following operations are invoked on the primary database, then an unsupported operation error is raised on the standby database:
  - DBMS\_XDB\_ADMIN all operations
  - DBMS\_XMLSCHEMA.copyEvolve
  - DBMS\_XMLSCHEMA.compileSchema
  - DBMS\_XMLINDEX.dropparameter
  - DBMS\_XMLINDEX.modifyparameter
  - DBMS XMLINDEX.registerparameter
- ACL security: In releases prior to Oracle Database 11g Release 1, conflicts among ACEs for the same principal and same privilege were resolved by giving priority to any ACE that had child deny, whether or not preceding ACEs had child grant. That is, ACE order did not matter. In Oracle Database 11g and later this denytrumps-grant behavior is still available, but it is not the default behavior.
- Prior to Oracle Database 12c Release 1 (12.1.0.1), basic access authentication was the only available HTTP authentication mechanism. Starting with 12c Release 1, digest access authentication is available.
- Authentication Considerations for Database Installation, Upgrade and Downgrade Various authentication considerations apply to database installation, upgrades and downgrades.
- Automatic Installation of Oracle XML DB
   Oracle XML DB is automatically installed during an upgrade. Ensure that the compatibility level is at least 12.1.0.1 if you intend to use Oracle XML DB.
- Validation of ACL Documents and Configuration File
   During upgrade, existing ACL documents and the Oracle XML DB configuration
   file are validated against their XML schemas. If validation fails then you need to
   take certain measures.

### See Also:

- Oracle Data Guard Concepts and Administration for information about performing a rolling upgrade
- ACL and ACE Evaluation for information about conflicts among ACEs

# 34.1.1 Authentication Considerations for Database Installation, Upgrade and Downgrade

Various authentication considerations apply to database installation, upgrades and downgrades.



- Authentication Considerations for a Database Installation
   In a default database installation, digest authentication is enabled, and basic authentication is disallowed. Digest verifiers are automatically generated for all users.
- Authentication Considerations for a Database Upgrade
   After an upgrade from a release prior to Oracle Database 12c Release 1
   (12.1.0.1), digest authentication is appended to the list of allowed authentication mechanisms. But basic authentication remains the current authentication method if it was enabled before the upgrade. This is for backward compatibility only.
- Authentication Considerations for a Database Downgrade
   If you downgrade to a release where digest authentication was not supported, digest authentication is disabled and made unavailable as an authentication choice.

#### **Related Topics**

Configuration and Management of Authentication Mechanisms for HTTP
 You configure the authentication mechanisms to allow for HTTP access to Oracle
 XML DB Repository by setting element authentication, a child of element
 httpconfig, in configuration file xdbconfig.xml.

## 34.1.1.1 Authentication Considerations for a Database Installation

In a default database installation, digest authentication is enabled, and basic authentication is disallowed. Digest verifiers are automatically generated for *all* users.

### 34.1.1.2 Authentication Considerations for a Database Upgrade

After an upgrade from a release prior to Oracle Database 12c Release 1 (12.1.0.1), digest authentication is appended to the list of allowed authentication mechanisms. But basic authentication remains the current authentication method if it was enabled before the upgrade. This is for backward compatibility *only*.

Oracle recommends that your database administrator *disable basic authentication* as *soon as possible* after upgrading. The reason that basic authentication remains in effect after such an upgrade is to allow users to change their passwords using a Web browser that does not support digest authentication.

For such an upgrade, digest verifiers are computed for all *new* users and for all previously existing *users whose passwords changed* during the upgrade. Other users do *not* have digest verifiers.

After an upgrade, a DBA can use database view DBA\_DIGEST\_VERIFIERS to check which users have digest verifiers and take appropriate action, as follows:

- 1. Configure *basic* authentication as the *first* allowed authentication mechanism in the Oracle XML DB configuration file, xdbconfig.xml. This ensures that basic authentication can be used for HTTP access.
- Expire all passwords for those users who do not have digest verifiers. This query returns those users:

```
SELECT USERNAME FROM DBA_DIGEST_VERIFIERS
WHERE HAS_DIGEST_VERIFIERS = 'NO' AND DIGEST_TYPE is NULL;
```



3. After the passwords for all such users have been changed, configure xdbconfig.xml to re-enable digest as the first or (preferably) the only allowed authentication mechanism.

## 34.1.1.3 Authentication Considerations for a Database Downgrade

If you downgrade to a release where digest authentication was not supported, digest authentication is disabled and made unavailable as an authentication choice.

All digest verifiers are *erased* during a downgrade. This means, in particular, that if a downgrade is followed by an upgrade then users who were able to authenticate prior to the downgrade are denied digest authentication after the downgrade and the subsequent upgrade.

## 34.1.2 Automatic Installation of Oracle XML DB

Oracle XML DB is automatically installed during an upgrade. Ensure that the compatibility level is at least 12.1.0.1 if you intend to use Oracle XML DB.

If Oracle XML DB is not already installed in your database prior to an upgrade to Oracle Database 12c Release 1 (12.1.0.1) or later, then it is automatically installed in tablespace SYSAUX during the upgrade.

If Oracle XML DB has thus been automatically installed, and if you want to use Oracle XML DB, then, after the upgrade operation, you must set the database compatibility to at least 12.1.0.1. If the compatibility is less than 12.1.0.1 then an error is raised when you try to use Oracle XML DB.

If Oracle XML DB was automatically installed during an upgrade and the current compatibility level is less than 12.1.0.1, then Oracle DB is automatically uninstalled during any downgrade to a prior release.

# 34.1.3 Validation of ACL Documents and Configuration File

During upgrade, existing ACL documents and the Oracle XML DB configuration file are validated against their XML schemas. If validation fails then you need to take certain measures.

Access control list (ACL) documents are stored in table XDB\$ACL. The Oracle XML DB configuration file, xdbconfig.xml, is stored in table XDB\$CONFIG. Starting with Oracle Database 12c Release 1 (12.1.0.1), these tables use the post-parse (binary XML) storage model. This implies that ACL documents and the configuration file are fully validated against their respective XML schemas. Validation takes place during upgrade, using your existing ACL documents and configuration file and the corresponding existing XML schemas.

If an ACL document fails to validate during upgrade, then the document is moved to table XDB\$INVALID\_ACL.

If validation of configuration file xdbconfig.xml fails during upgrade, then the file is saved in table XDB\$INVALID\_CONFIG, the default configuration file replaces it in table XDB\$CONFIG, and the XDB component of the database is marked invalid. You must then start the database in normal mode and fix the XDB component, before trying to use the database.



To fix the XDB component, you can fix the invalid files to make them valid, and then call PL/SQL procedure RecoverUpgrade. After validating, this procedure moves the fixed files to tables XDB\$ACL and XDB\$CONFIG, and marks the XDB component valid.

As an option, you can call procedure <code>RecoverUpgrade</code> with parameter <code>use\_default</code> set to <code>TRUE</code>, to abandon any invalid files. In this case, any valid files are moved to tables <code>XDB\$ACL</code> and <code>XDB\$CONFIG</code>, and any remaining invalid files are deleted. Default files are used in place of any invalid files. For ACLs, the default ACL document is used. For the configuration file, the default <code>xdbconfig.xml</code> is used (in which ACE order matters).



#### **Caution:**

Use a TRUE value for parameter use\_default only if you are certain that you no longer need the old ACL files or configuration file that are invalid. These files are deleted.

# 34.2 Administration of Oracle XML DB Using Oracle Enterprise Manager

You can use Oracle Enterprise Manager to easily perform Oracle XML DB administration tasks. This includes configuring Oracle XML DB; creating, searching, editing, and deleting XML schemas, XMLType tables and views, and Oracle XML DB Repository *resources* and their *access control lists* (ACLs); registering XML schemas; and creating function-based indexes.

See the online help available with Oracle Enterprise Manager for information about using Enterprise Manager to perform the following tasks:

- Configure Oracle XML DB. View or edit parameters for the Oracle XML DB configuration file, xdbconfig.xml.
- Search, create, edit, undelete Oracle XML DB Repository resources and their associated access control lists (ACLs).
- Search, create, edit, and delete XMLType tables and views.
- Search, create, register, and delete XML schemas.

### See Also:

- Configuration of Oracle XML DB Using xdbconfig.xml for information about configuring Oracle XML DB without using Oracle Enterprise Manager
- Oracle XML DB Repository for information about creating and managing resources without using Oracle Enterprise Manager
- XML Schema Storage and Query: Basic for information about manipulating XML schemas without using Oracle Enterprise Manager



# 34.3 Configuration of Oracle XML DB Using xdbconfig.xml

Oracle XML DB is managed internally through a configuration file, xdbconfig.xml, which is stored as a resource in Oracle XML DB Repository. As an alternative to using Oracle Enterprise Manager to configure Oracle XML DB, you can configure it directly using the Oracle XML DB configuration file.

The configuration file can be modified at run time. Updating the configuration file creates a new version of this repository resource. At the start of each session, the current version of the configuration file is bound to that session. The session uses this configuration-file version for its duration, unless you make an explicit call to refresh the session to the latest version.

#### Oracle XML DB Configuration File, xdbconfig.xml

The configuration of Oracle XML DB is defined and stored in an Oracle XML DB Repository resource, xdbconfig.xml, which conforms to the Oracle XML DB configuration XML schema, http://xmlns.oracle.com/xdb/xdbconfig.xsd. To configure or reconfigure Oracle XML DB, update the configuration file, xdbconfig.xml. You need administrator privileges to access file xdbconfig.xml.

# 34.3.1 Oracle XML DB Configuration File, xdbconfig.xml

The configuration of Oracle XML DB is defined and stored in an Oracle XML DB Repository resource, xdbconfig.xml, which conforms to the Oracle XML DB configuration XML schema, http://xmlns.oracle.com/xdb/xdbconfig.xsd. To configure or reconfigure Oracle XML DB, update the configuration file, xdbconfig.xml. You need administrator privileges to access file xdbconfig.xml.

The structure of the configuration file is described in the following sections.

#### Element xdbconfig (Top-Level)

Element xdbconfig is the top-level element of the Oracle XML DB configuration file.

#### Element sysconfig (Child of xdbconfig)

Element sysconfig is a child of xdbconfig.

#### • Element userconfig (Child of xdbconfig)

Element userconfig is a child of xdbconfig. It contains any parameters that you may want to add.

#### Element protocolconfig (Child of sysconfig)

Element protocolconfig is a child of sysconfig.

#### Element httpconfig (Child of protocolconfig)

Element httpconfig, which is a child of protocolconfig, is described.

#### Element servlet (Descendant of httpconfig)

Element servlet is a descendent of element httpconfig. It is used to configure servlets, including Java servlets and embedded PL/SQL gateway servlets.

#### Oracle XML DB Configuration File Example

A sample Oracle XML DB configuration file is presented.

#### Oracle XML DB Configuration API

You can access the Oracle XML DB configuration file, xdbconfig.xml, the same way you access any other XML schema-based resource. You can use FTP,



HTTP(S), WebDAV, Oracle Enterprise Manager, or any of the resource and Document Object Model (DOM) APIs for Java, PL/SQL, or C (OCI).

- Configuration of Mappings from Default Namespace to Schema Location
   Oracle XML DB provides a mechanism to configure default XML schema location
   mappings.
- Configuration of XML File Extensions

Oracle XML DB Repository treats certain files as XML documents, based on their file extensions. When such files are inserted into the repository, Oracle XML DB pre-parses them to identify the XML schema location (or uses the default mapping if present) and inserts the document into the appropriate default table.



xdbconfig.xsd: XML Schema for Configuring Oracle XML DB for a complete listing of the Oracle XML DB configuration XML schema

# 34.3.1.1 Element xdbconfig (Top-Level)

Element xdbconfig is the top-level element of the Oracle XML DB configuration file.

Its structure is as follows:

Element sysconfig defines system-specific, built-in parameters. Element userconfig lets you store new custom parameters.

## 34.3.1.2 Element sysconfig (Child of xdbconfig)

Element sysconfig is a child of xdbconfig.

Its structure is as follows:

```
<sysconfig>
    general parameters
    <protocolconfig> ... </protocolconfig>
</sysconfig>
```

Element sysconfig includes as content several general parameters that apply to all of Oracle XML DB, such as the maximum age of an access control list (ACL). Child element protocolconfig contains protocol-specific parameters.





Element case-sensitive, child of element sysconfig, has no effect on the case-sensitivity of XQuery or full-text search. Otherwise, it affects the behavior of all of Oracle XML DB.

## 34.3.1.3 Element userconfig (Child of xdbconfig)

Element userconfig is a child of xdbconfig. It contains any parameters that you may want to add.

# 34.3.1.4 Element protocolconfig (Child of sysconfig)

Element protocolconfig is a child of sysconfig.

Its structure is as follows:

```
<protocolconfig>
  <common> ... </common>
  <ftpconfig> ... </ftpconfig>
  <httpconfig> ... </httpconfig> </protocolconfig>
```

Under element common, Oracle Database stores parameters that apply to all protocols, such as MIME-type information. Parameters that are specific to protocols FTP and HTTP(S) are in elements ftpconfig and httpconfig, respectively.

#### **Related Topics**

Repository Access Using Protocols
 You can access Oracle XML DB Repository data using protocols FTP and
 HTTP(S)/WebDAV.



Table 28-1, Table 28-2, and Table 28-3, for a list of protocol configuration parameters

## 34.3.1.5 Element httpconfig (Child of protocolconfig)

Element httpconfig, which is a child of protocolconfig, is described.

This is the structure of httpconfig:

```
<httpconfig>
...
<webappconfig>
...
<servletconfig>
...
<servlet-list>
```



Element httpconfig has the following child elements, in addition to others:

webappconfig – used to configure Web-based applications. This includes Web
application-specific parameters, such as icon name, display name for the
application, and a list of servlets.

Element servletconfig is a child of webappconfig that is used to define servlets. It has child element servlet-list, which has child element servlet (see Element servlet (Descendant of httpconfig)).

• plsql – used to define global configuration parameters when configuring the embedded PL/SQL gateway. Each global parameter is defined with a child element of plsql. The element name is the same as the global parameter name. The element content is the same as the parameter value.

The recommended way to configure the embedded PL/SQL gateway is to use the procedures in PL/SQL package DBMS\_EPG, *not* to edit file xdbconfig.xml.

#### **Related Topics**

Repository Access Using Protocols
 You can access Oracle XML DB Repository data using protocols FTP and
 HTTP(S)/WebDAV.

### See Also:

- Table 28-1, Table 28-2, and Table 28-3, for a list of protocol configuration parameters
- Oracle Database Development Guide, for complete information about configuring and using the embedded PL/SQL gateway
- Oracle Database PL/SQL Packages and Types Reference, for information about package DBMS\_EPG

## 34.3.1.6 Element servlet (Descendant of httpconfig)

Element servlet is a descendent of element httpconfig. It is used to configure servlets, including Java servlets and embedded PL/SQL gateway servlets.

See Element httpconfig (Child of protocolconfig).

<sup>&</sup>lt;sup>1</sup> There are two different plsql elements that are used to configure the embedded PL/SQL gateway. One, a child of httpconfig, defines *global parameters*. The other, a child of servlet, defines *DAD attributes*.



#### Note:

The following servlets are preconfigured in file xdbconfig.xml. Do not delete them.

- ORSServlet
- EMExpressServlet

An optional element plsql, child of servlet<sup>1</sup>, configures the embedded PL/SQL gateway servlet. However, the *recommended* way to configure the embedded gateway is to use the procedures in PL/SQL package DBMS\_EPG, *not* to edit file xdbconfig.xml.

Element plsql has a child element for each embedded PL/SQL DAD attribute<sup>2</sup> that is needed to configure the embedded gateway. All such children are optional. The element name is the same as the DAD attribute name. The element content is the same as the DAD-attribute value.

#### See Also:

- Guidelines for Oracle XML DB Applications in Java for information about configuring Java servlets
- Oracle Database Development Guide, for complete information about configuring and using the embedded PL/SQL gateway
- Oracle Application Express App Builder User's Guide, for information about Oracle Application Express
- Oracle Database PL/SQL Packages and Types Reference, for information about package DBMS\_EPG

## 34.3.1.7 Oracle XML DB Configuration File Example

A sample Oracle XML DB configuration file is presented.

#### **Example 34-1** Oracle XML DB Configuration File

<sup>&</sup>lt;sup>2</sup> DAD is an abbreviation for Database Access Descriptor. DAD attributes are parameters that define such a descriptor.

```
<default-lock-timeout>3600</default-lock-timeout>
<xdbcore-logfile-path>/sys/log/xdblog.xml</xdbcore-logfile-path>
<xdbcore-log-level>0</xdbcore-log-level>
<re>ource-view-cache-size>1048576</resource-view-cache-size>
cprotocolconfig>
    <common>
       <extension-mappings>
          <mime-mappings>
            <mime-mapping>
              <extension>au</extension>
              <mime-type>audio/basic
            </mime-mapping>
            <mime-mapping>
              <extension>avi</extension>
              <mime-type>video/x-msvideo</mime-type>
            </mime-mapping>
            <mime-mapping>
              <extension>bin</extension>
              <mime-type>application/octet-stream</mime-type>
            </mime-mapping>
          </mime-mappings>
          <lang-mappings>
            <lang-mapping>
              <extension>en</extension>
              <lang>english</lang>
            </lang-mapping>
          </lang-mappings>
          <charset-mappings>
          </charset-mappings>
          <encoding-mappings>
            <encoding-mapping>
              <extension>gzip</extension>
              <encoding>zip file</encoding>
            </encoding-mapping>
            <encoding-mapping>
              <extension>tar</extension>
              <encoding>tar file</encoding>
            </encoding-mapping>
          </encoding-mappings>
       </extension-mappings>
       <session-pool-size>50</session-pool-size>
       <session-timeout>6000</session-timeout>
    </common>
    <ftpconfig>
      <ftp-port>2100</ftp-port>
      <ftp-listener>local_listener</ftp-listener>
      <ftp-protocol>tcp</ftp-protocol>
      <logfile-path>/sys/log/ftplog.xml</logfile-path>
      <log-level>0</log-level>
      <session-timeout>6000</session-timeout>
      <buffer-size>8192</buffer-size>
    </ftpconfig>
    <httpconfiq>
      <http-port>8080</http-port>
      <http-listener>local_listener/http-listener>
      <http-protocol>tcp</http-protocol>
      <max-http-headers>64</max-http-headers>
      <session-timeout>6000</session-timeout>
      <server-name>XDB HTTP Server</server-name>
      <max-header-size>16384</max-header-size>
      <max-request-body>200000000</max-request-body>
      <le><logfile-path>/sys/log/httplog.xml</logfile-path>
```

```
<log-level>0</log-level>
            <servlet-realm>Basic realm="XDB"</servlet-realm>
            <webappconfig>
              <welcome-file-list>
                <welcome-file>index.html</welcome-file>
                <welcome-file>index.htm</welcome-file>
              </welcome-file-list>
              <error-pages>
              </error-pages>
              <servlet.config>
                <servlet-mappings>
                  <servlet-mapping>
                    <servlet-pattern>/oradb/*</servlet-pattern>
                    <servlet-name>DBURIServlet/servlet-name>
                  </servlet-mapping>
                </servlet-mappings>
                <servlet-list>
                  <servlet>
                    <servlet-name>DBURIServlet/servlet-name>
                    <display-name>DBURI</display-name>
                    <servlet-language>C</servlet-language>
                    <description>Servlet for accessing DBURIs</description>
                    <security-role-ref>
                      <role-name>authenticatedUser</role-name>
                      <role-link>authenticatedUser</role-link>
                    </security-role-ref>
                  </servlet>
                </servlet-list>
              </servletconfig>
            </webappconfig>
          </httpconfig>
      </protocolconfig>
    <xdbcore-xobmem-bound>1024</xdbcore-xobmem-bound>
   <xdbcore-loadableunit-size>16</xdbcore-loadableunit-size>
   </sysconfig>
</xdbconfig>
```

# 34.3.1.8 Oracle XML DB Configuration API

You can access the Oracle XML DB configuration file, xdbconfig.xml, the same way you access any other XML schema-based resource. You can use FTP, HTTP(S), WebDAV, Oracle Enterprise Manager, or any of the resource and Document Object Model (DOM) APIs for Java, PL/SQL, or C (OCI).

For convenience, you can use PL/SQL package <code>DBMS\_XDB\_CONFIG</code> package for configuration access. It exposes the following functions and procedures:

- cfg\_get Returns the configuration information for the current session.
- cfg\_refresh Refreshes the session configuration information using the current configuration file. Typical uses of cfg\_refresh include the following:
  - You have modified the configuration and now want the session to pick up the latest version of the configuration information.
  - It has been a long running session, the configuration has been modified by a concurrent session, and you want the current session to pick up the latest version of the configuration information.
- cfg\_update Updates the configuration information, writing the configuration file.
   A COMMIT is performed.

Example 34-2 updates parameters ftp-port and http-port in the configuration file.

If you have many parameters to update, then it can be easier to use FTP, HTTP(S), or Oracle Enterprise Manager to update the configuration.

See Also:

Oracle Database PL/SQL Packages and Types Reference

#### Example 34-2 Updating the Configuration File Using CFG\_UPDATE and CFG\_GET

```
DECLARE
 v_cfg XMLType;
BEGIN
  SELECT XMLQuery('declare default element namespace
                   "http://xmlns.oracle.com/xdb/xdbconfig.xsd";
                   copy $i := $cfg
                   modify for $j in $i/xdbconfig
                   return (replace value of node $j/descendant::ftp-port with
                             "2121".
                           replace value of node $j/descendant::http-port with
                             "19090")
                   return $i'
                  PASSING DBMS_XDB_CONFIG.cfg_get() AS "cfg"
                  RETURNING CONTENT)
    INTO v_cfg FROM DUAL;
  DBMS_XDB_CONFIG.cfg_update(v_cfg);
END;
```

#### **Related Topics**

DBMS\_XDB\_CONFIG: Configuration Management
PL/SQL package DBMS\_XDB\_CONFIG provides Oracle XML DB configuration
management functions and procedures.

## 34.3.1.9 Configuration of Mappings from Default Namespace to Schema Location

Oracle XML DB provides a mechanism to configure default XML schema location mappings.

Oracle XML DB identifies XML Schema-based XMLType instances by preparsing input XML documents. If the appropriate xsi:schemaLocation or xsi:noNamespaceSchemaLocation attribute is found, then the specified schema location URL is used to consult the registered schema.

If the appropriate xsi: attribute is not found, the XML document is considered to be non-schema-based. Oracle XML DB provides a mechanism to configure default schema location mappings. If the appropriate xsi: attribute is not specified in the XML document, the default schema location mappings is used.

Element schemaLocation-mappings of the Oracle XML DB configuration XML schema, xdbconfig.xsd, can be used to specify the mapping between (namespace, element) pairs and the default schema location. If the element value is empty, the mapping applies to all global elements in the specified namespace. If the namespace value is empty, it corresponds to the null namespace.



The definition of the schemalocation-mappings element is as follows:

The schema location used depends on mappings in the Oracle XML DB configuration file for the namespace used and the root document element. For example, assume that the document does not have the appropriate xsi: attribute to indicate the schema location. Consider a document root element R in namespace N. The algorithm for identifying the default schema location is as follows:

- **1.** If the Oracle XML DB configuration file has a mapping for *N* and *R*, the corresponding schema location is used.
- 2. If the configuration file has a mapping for *N*, but not *R*, the schema location for *N* is used.
- If the document root R does not have any namespace, the schema location for R is used.

For example, suppose that your Oracle XML DB configuration file includes the following mapping:

```
<schemaLocation-mappings>
         <schemaLocation-mapping>
            <namespace>http://www.oracle.com/example</namespace>
            <element>root</element>
            <schemaURL>http://www.oracle.com/example/sch.xsd</schemaURL>
         </schemaLocation-mapping>
         <schemaLocation-mapping>
            <namespace>http://www.oracle.com/example2</namespace>
            <element></element>
            <schemaURL>http://www.oracle.com/example2/sch.xsd
schemaURL>
         </schemaLocation-mapping>
         <schemaLocation-mapping>
           <namespace></namespace>
           <element>specialRoot</element>
           <schemaURL>http://www.oracle.com/example3/sch.xsd</schemaURL>
         </schemaLocation-mapping>
      </schemaLocation-mappings>
```

The following schema locations are used:

Root element = root

- Namespace = http://www.oracle.com/example
- Schema URL = http://www.oracle.com/example/sch.xsd

This mapping is used when the instance document specifies:

```
<root xmlns="http://www.oracle.com/example">
```

- Root element = null (any global element in the namespace)
  - Namespace = http://www.oracle.com/example2
  - Schema URL = http://www.oracle.com/example2/sch.xsd

This mapping is used when the instance document specifies:

```
<root xmlns="http://www.oracle.example2">
```

- Root element = specialRoot
  - Namespace = null (i.e null namespace)
  - Schema URL = http://www.oracle.com/example3/sch.xsd

This mapping is used when the instance document specifies:

```
<specialRoot>
```

#### Note:

This functionality is available only on the server side, that is, when XML is parsed on the server. If XML is parsed on the client side, the appropriate xsi: attribute is still required.

### 34.3.1.10 Configuration of XML File Extensions

Oracle XML DB Repository treats certain files as XML documents, based on their file extensions. When such files are inserted into the repository, Oracle XML DB pre-parses them to identify the XML schema location (or uses the default mapping if present) and inserts the document into the appropriate default table.

By default, the following extensions are considered as XML file extensions: xml, xsd, xsl, xlt. In addition, Oracle XML DB provides a mechanism for applications to specify other file extensions as XML file extensions. The xml-extensions element is defined in the configuration schema, http://xmlns.oracle.com/xdb/xdbconfig.xsd, as follows:



For example, the following fragment from the Oracle XML DB configuration file, xdbconfig.xml, specifies that files with extensions vsd, vml, and svgl should be treated as XML files:

```
<xml-extensions>
  <extension>vsd</extension>
  <extension>vml</extension>
  <extension>svgl</extension>
</xml-extensions>
```

## 34.4 Oracle XML DB and Database Consolidation

Each pluggable database has its own Oracle XML DB Repository, and its own Oracle XML DB configuration file, xdbconfig.xml.

A multitenant container database (CDB) consists of zero or more pluggable databases (PDBs), a root, and a seed PDB (a template for creating PDBs). A given PDB can be associated with only one CDB at a time. A PDB appears to users and applications as a separate database. Your applications always interact with a single PDB at a time. Queries and dictionary views are local to a PDB. Each PDB has its own Oracle XML DB Repository, and its own Oracle XML DB configuration file, xdbconfig.xml.

The root of a CDB (CDB\$ROOT) contains no user data. It does, however, have its own configuration file, xdbconfig.xml.

The root configuration file for a CDB has only certain parameters, and those parameters are used only from the root configuration file. If any of those parameters are also present in a configuration file of a PDB that is part of a CDB, they are ignored in favor of the corresponding parameters in the root configuration file.

These are the configuration parameters that are used from the root (and ignored from any PDBs):

- acl-cache-size
- acl-max-age
- resource-view-cache-size
- xdbcore-loadableunit-size
- xdbcore-xobmem-bound

Parameters xdbcore-loadableunit-size and xdbcore-xobmem-bound are process-specific. The others are SGA configuration parameters.

Database schema (user account) XDB is a common user, which means that it can connect to, and perform operations within, both the root and any PDBs.



Oracle recommends that you never unlock database schema XDB, under any circumstances.

#### See Also:

- Oracle Multitenant Administrator's Guide and Oracle Multitenant Administrator's Guide for information about database consolidation and PDBs
- Performance Guidelines for Oracle XML DB Repository Operations for information about configuration parameters resource-view-cache-size, xdbcore-loadableunit-size, and xdbcore-xobmem-bound
- Considerations for Loading and Retrieving Large Documents with Collections for information about configuration parameters xdbcore-loadableunit-size and xdbcore-xobmem-bound
- ACL Caching for information about configuration parameter acl-max-age

## 34.5 Package DBMS\_XDB\_ADMIN

PL/SQL package DBMS\_XDB\_ADMIN has subprograms for managing and configuring Oracle XML DB and Oracle XML DB Repository.

Table 34-1 describes these subprograms.

Table 34-1 DBMS\_XDB\_ADMIN Management Procedures

Function/Procedure	Description
moveXDB_tablespace	Move database schema (user account) XDB to the specified tablespace.
rebuildHierarchicalIndex	Rebuild the hierarchical repository index. This can be needed from time to time, in particular after invoking moveXDB_tablespace.



Prior to Oracle Database 11g Release 2 (11.2.0.3), these procedures belonged to PL/SQL package DBMS\_XDB. These two procedures in package DBMS\_XDB are *deprecated* as of release 11.2.0.3.



#### Note:

The tablespace containing Oracle XML DB Repository *must not* be read-only, because that would adversely affect XML operations.

By default, Oracle XML DB Repository resides in tablespace SYSAUX, which is used for other things as well. Oracle recommends instead that you create a dedicated tablespace for use only by the repository.

Use procedure <code>DBMS\_XDB\_ADMIN.moveXDB\_tablespace</code> to move database schema <code>XDB</code> to that tablespace dedicated to the repository. Ensure that the tablespace is not read-only.

#### ✓ See Also:

Oracle Database PL/SQL Packages and Types Reference



## How to Load XML Data

The main way to load XML data into Oracle XML DB is to use SQL\*Loader.

- Overview of Loading XMLType Data Into Oracle Database
   You can load XMLType data with SQL\*Loader, using either the conventional method
   or the direct-path method, regardless of how it is stored (object-relational or binary
   XML storage).
- Load XMLType Data Using SQL\*Loader
  SQL\*Loader treats XMLType columns and tables like object-relational columns
  and tables. All methods for loading LOB data from the primary datafile or from
  a LOBFILE value apply also to loading XMLType columns and tables when the
  XMLType data is stored as a LOB.

#### **Related Topics**

Overview of How To Use Oracle XML DB
 An overview of the various ways of using Oracle XML DB is presented.

## 35.1 Overview of Loading XMLType Data Into Oracle Database

You can load XMLType data with SQL\*Loader, using either the conventional method or the direct-path method, regardless of how it is stored (object-relational or binary XML storage).

Starting with Oracle9i release 1 (9.0.1), the Export-Import utility and SQL\*Loader support XMLType as a column type. Starting with Oracle Database 10g, SQL\*Loader also supports loading XMLType tables.

#### Note:

For *object-relational storage* of XML data, if the data involves *inheritance* (extension or restriction) of XML Schema types, then SQL\*Loader does *not* support direct-path loading.

That is, if an XML schema contains a <code>complexType</code> element that extends or restricts another <code>complexType</code> element (the base type), then this results in some SQL types being defined in terms of other SQL types. In this case, direct-path loading is not supported for object-relational storage.

Oracle XML DB Repository information is *not* exported when user data is exported. Neither the resources nor any information are exported.

#### **Related Topics**

Export and Import of Oracle XML DB Data
 You can use Oracle Data Pump to export and import XMLType tables for use with
 Oracle XML DB.



Oracle Database Utilities

- Export and Import of Oracle XML DB Data
- Oracle Database Utilities

## 35.2 Load XMLType Data Using SQL\*Loader

SQL\*Loader treats XMLType columns and tables like object-relational columns and tables. All methods for loading LOB data from the primary datafile or from a LOBFILE value apply also to loading XMLType columns and tables when the XMLType data is stored as a LOB.



Oracle Database Utilities

#### Note:

You cannot specify a SQL string for LOB fields. This is true even if you specify LOBFILE\_spec.

XMLType data can be present in a control file or in a LOB file. In the former case, the LOB file name is present in the control file.

Because XMLType data can be quite large, SQL\*Loader can load LOB data from either a primary datafile (in line with the rest of the data) or from LOB files, independent of how the data is stored (the underlying storage can, for example, still be object-relational).

- Load XMLType LOB Data Using SQL\*Loader
   To load internal LOBs, Binary Large Objects (BLOBs), Character Large Objects (CLOBs), and National Character Large Object (NCLOBs), or XMLType columns and tables from a primary datafile, use standard SQL\*Loader formats.
- Load XMLType Data Directly from a Control File Using SQL\*Loader
   You can load XMLType data directly from a control file. SQL\*Loader treats XMLType
   data like any scalar type.



Loading Large XML Documents Using SQL\*Loader
 You can use SQL\*Loader to load large amounts of XML data into Oracle
 Database.

## 35.2.1 Load XMLType LOB Data Using SQL\*Loader

To load internal LOBs, Binary Large Objects (BLOBs), Character Large Objects (CLOBs), and National Character Large Object (NCLOBs), or XMLType columns and tables from a primary datafile, use standard SQL\*Loader formats.

- Predetermined size fields
- Delimited fields
- Length-value pair fields

These formats are described in the following sections and in more detail in *Oracle Database Utilities* 

- Load LOB Data Using Predetermined Size Fields
   Predetermined size fields constitute a very fast and conceptually simple SQL\*Loader format for loading LOBs.
- Load LOB Data Using Delimited Fields
   The delimited fields format handles LOBs of different sizes within the same column (datafile field). However, this added flexibility can affect performance, because SQL\*Loader must scan through the data, looking for the delimiter string.
- Load XML Columns Containing LOB Data from LOBFILES
   LOB data can be lengthy enough that it makes sense to load it from a LOBFILE instead of from a primary datafile.
- Specify LOBFILES
  You can specify LOBFILEs either statically (you specify the name of the file) or
  dynamically (you use a FILLER field as the source of the filename).

### 35.2.1.1 Load LOB Data Using Predetermined Size Fields

Predetermined size fields constitute a very fast and conceptually simple SQL\*Loader format for loading LOBs.



Because the LOBs you are loading might not be of equal size, you can use whitespace to pad the LOB data to make the LOBs all of equal length within a particular data field.

## 35.2.1.2 Load LOB Data Using Delimited Fields

The delimited fields format handles LOBs of different sizes within the same column (datafile field). However, this added flexibility can affect performance, because SQL\*Loader must scan through the data, looking for the delimiter string.

As with single-character delimiters, when you specify string delimiters, you should consider the character set of the datafile. When the character set of the datafile is

different than that of the control file, you can specify the delimiters in hexadecimal (that is, hexadecimal string). If the delimiters are specified in hexadecimal notation, then the specification must consist of characters that are valid in the character set of the input datafile. In contrast, if hexadecimal specification is not used, then the delimiter specification is considered to be in the client (that is, the control file) character set. In this case, the delimiter is converted into the datafile character set before SQL\*Loader searches for the delimiter in the datafile.

### 35.2.1.3 Load XML Columns Containing LOB Data from LOBFILEs

LOB data can be lengthy enough that it makes sense to load it from a LOBFILE instead of from a primary datafile.

In LOBFILEs, LOB data instances are still considered to be in fields (predetermined size, delimited, length-value), but these fields are not organized into records (the concept of a record does not exist within LOBFILEs). Therefore, the processing overhead of dealing with records is avoided. This type of organization of data is ideal for LOB loading.

There is no requirement that a LOB from a LOBFILE fit in memory. SQL\*Loader reads LOBFILEs in 64 KB chunks.

In LOBFILEs the data can be in any of the following types of fields, any of which can be used to load XML columns:

- A single LOB field into which the entire contents of a file can be read
- Predetermined size fields (fixed-length fields)
- Delimited fields (that is, TERMINATED BY or ENCLOSED BY)

The clause PRESERVE BLANKS is not applicable to fields read from a LOBFILE.

Length-value pair fields (variable-length fields).

To load data from this type of field, use the VARRAY, VARCHAR, or VARCHAR2 SQL\*Loader data types.

#### 35.2.1.4 Specify LOBFILEs

You can specify LOBFILEs either statically (you specify the name of the file) or dynamically (you use a FILLER field as the source of the filename).

In either case, when the EOF of a LOBFILE is reached, the file is closed and additional attempts to read data from that file produce results equivalent to reading data from an empty field.

You should not specify the same LOBFILE as the source of two different fields. If you do so, then typically, the two fields read the data independently.

## 35.2.2 Load XMLType Data Directly from a Control File Using SQL\*Loader

You can load XMLType data directly from a control file. SQL\*Loader treats XMLType data like any scalar type.



For example, consider a table containing a NUMBER column followed by an XMLType column that is stored object-relationally. The control file used for this table can contain the value of the NUMBER column followed by the value of the XMLType instance.

SQL\*Loader accommodates XMLType instances that are very large. You also have the option to load such data from a LOB file.

## 35.2.3 Loading Large XML Documents Using SQL\*Loader

You can use SQL\*Loader to load large amounts of XML data into Oracle Database.

- List in a data file, say filelist.dat, the locations of the XML documents to be loaded.
- 2. Create a control file, say load\_data.ctl, with commands that process the files listed in the data file.
- 3. Invoke the SQL\*Loader shell command, sqlldr, passing it the name of the control file.

This is illustrated in Example 35-1, Example 35-2, and Example 35-3. File filelist.dat lists XML files that contain purchase orders for the year 2002.

If your application uses indexes or constraints then processing of these can impact loading performance. You can temporarily disable this processing using PL/SQL subprograms disableIndexesAndConstraints and enableIndexesAndConstraints in package DBMS XMLSTORAGE MANAGE.

#### See Also:

- Oracle Database Utilities for information about shell command sqlldr
- Oracle Database PL/SQL Packages and Types Reference for information about DBMS\_XMLSTORAGE\_MANAGE subprograms disableIndexesAndConstraints and enableIndexesAndConstraints

#### Example 35-1 Data File filelist.dat: List of XML Files to Load

2002/Jan/AMCEWEN-20021009123335370PDT.xm2002/Jan/AWALSH-2002100912333570PDT.xml 2002/Jan/CJOHNSON-20021009123335170PDT.xml 2002/Jan/LSMITH-20021009123335500PDT.xml 2002/Jan/PTUCKER-20021009123335430PDT.xml 2002/Jan/SBELL-20021009123335280PDT.xml 2002/Jan/SKING-20021009123335560PDT.xml 2002/Jan/SMCCAIN-20021009123335470PDT.xml 2002/Jan/TFOX-20021009123335520PDT.xml 2002/Jan/VJONES-20021009123335350PDT.xml 2002/Jan/WSMITH-20021009123335450PDT.xml 2002/Feb/AMCEWEN-20021009123335600PDT.xml 2002/Feb/AMCEWEN-20021009123335701PDT.xml 2002/Feb/DAUSTIN-20021009123335811PDT.xml 2002/Feb/EABEL-20021009123335791PDT.xml 2002/Feb/PTUCKER-20021009123335721PDT.xml 2002/Feb/PTUCKER-20021009123335821PDT.xml 2002/Feb/SBELL-20021009123335771PDT.xml



```
2002/Feb/SMCCAIN-20021009123335681PDT.xml
2002/Feb/WSMITH-20021009123335650PDT.xml
2002/Feb/WSMITH-20021009123335741PDT.xml
2002/Feb/WSMITH-20021009123335751PDT.xml
```

## Example 35-2 Control File load\_datra.ctl, for Loading Purchase-Order XML Documents

```
load data
infile 'filelist.dat'
append
into table PURCHASEORDER
xmltype(XMLDATA)
(
  filename filler char(120),
  XMLDATA lobfile(filename) terminated by eof
)
```

#### Example 35-3 Loading XML Data Using Shell Command sqlldr

```
sqlldr load_data.ctl
```

For direct-path loading, use this instead:

```
sqlldr load_data.ctl direct=y
```

#### **Related Topics**

- Overview of How To Use Oracle XML DB
   An overview of the various ways of using Oracle XML DB is presented.
- Loading Large XML Files Using SQL\*Loader
   You can use SQL\*Loader to load large amounts of XML data into Oracle
   Database.



36

## Export and Import of Oracle XML DB Data

You can use Oracle Data Pump to export and import XMLType tables for use with Oracle XML DB.



You can use the older export and import utilities  $\exp$  and imp to migrate data to database releases that are prior to Oracle Database 11g. However, these older utilities do not support using XMLType data that is stored as binary XML.

- Overview of Exporting and Importing XMLType Tables
   Oracle XML DB supports export and import of XMLType tables and columns that store XML data, whether it is XML schema-based or not.
- Export/Import Limitations for Oracle XML DB Repository
   When you export or import tables that store data for Oracle XML DB Repository
   resources that are based on a registered XML schema, only that XML data is
   exported. The repository structure is lost during export, so that when these tables
   are imported they are not hierarchy-enabled.
- Export/Import Syntax and Examples
   Guidelines and examples are presented for using commands expdp and impdp with XMLType data.

## 36.1 Overview of Exporting and Importing XMLType Tables

Oracle XML DB supports export and import of XMLType tables and columns that store XML data, whether it is XML schema-based or not.

Oracle Data Pump enables high-speed movement of data and metadata from one database to another. There are two modes for using Oracle Data Pump: transportable tablespaces mode and non-transportable tablespaces mode.

For the transportable tablespaces mode there is this restriction regarding XMLType data: you cannot change the XMLType storage model.

As with other database objects, XML data is exported in the character set of the exporting server. During import, the data is converted to the character set of the importing server.

Oracle Data Pump has two command-line clients, expdp and impdp, that invoke Data Pump Export utility and Data Pump Import utility, respectively. The expdp and impdp clients use procedures provided in PL/SQL package DBMS\_DATAPUMP to execute export and import commands, passing the parameters entered at the command-line. These parameters enable the exporting and importing of data and metadata for a complete database or subsets of a database.

The Data Pump Export and Import utilities (invoked with commands <code>expdp</code> and <code>impdp</code>, respectively) have a similar look and feel to the original Export (<code>exp</code>) and Import (<code>imp</code>) utilities, but they are completely separate.

Data Pump Export utility (invoked with expdp) unloads data and metadata into a set of operating system files called a *dump file set*. The dump file set can be imported only by the Data Pump Import utility (invoked using impdp).

Oracle XML DB supports export and import of XMLType tables and columns that store XML data, whether it is XML schema-based or not. If a table is XML schema-based, then it depends on the XML schema used to define its data. This XML schema can also have dependencies on SQL object types that are used to store the data, in the case of object-relational storage.

Therefore, exporting a user who has XML schema-based XMLType tables also exports the following:

- SQL objects types (if object-relational storage was used)
- XML schemas
- XML tables

You can export and import this data regardless of the XMLType storage format (object-relational or binary XML). However, Oracle Data Pump exports and imports XML data as text or binary XML data only. The underlying tables and columns used for object-relational storage of XMLType are thus not exported. Instead, they are converted to binary form and then exported as self-describing binary XML data.



Oracle Data Pump for Oracle Database 11g Release 1 (11.1) does not support the export of XML schemas, XML schema-based XMLType columns, or binary XML data to database releases prior to 11.1.

Regardless of the XMLType storage model, the format of the dump file is either text or self-describing binary XML with a token map preamble. By default, self-describing binary XML is used.

Since XMLType data is exported and imported as XML data, the source and target databases can use different XMLType storage models for that data. You can export data from a database that stores XMLType data one way and import it into a database that stores XMLType data a different way.

#### Note:

Do not use option table\_exists\_action=append to import more than once from the same dump file into an XMLType table, regardless of the XMLType storage model used. Doing so raises a unique-constraint violation error because rows in XMLType tables are always exported and imported using a unique object identifier.

See Oracle Database Utilities for information about table exists action.



# 36.2 Export/Import Limitations for Oracle XML DB Repository

When you export or import tables that store data for Oracle XML DB Repository resources that are based on a registered XML schema, only that XML data is exported. The repository structure is lost during export, so that when these tables are imported they are not hierarchy-enabled.

You can export and import the XMLType tables that store the XML data for Oracle XML DB Repository resources that are based on a registered XML schema.

However, only the XML data is exported. The repository structure is lost during export. Relationships in the folder hierarchy, row-level security (RLS) policies, and path-index triggers are not exported for hierarchy-enabled tables. When these tables are imported, they are not hierarchy-enabled.

## 36.3 Export/Import Syntax and Examples

Guidelines and examples are presented for using commands expdp and impdp with XMLType data.

The examples presented here use the command-line commands <code>expdp</code> and <code>impdp</code>. After submitting such a command with a user name and command parameters, you are prompted for a password. The examples here do not show this prompting.

Export and import using Oracle Data Pump is described fully in *Oracle Database Utilities*.

- Performing a Table-Mode Export /Import
   Examples are presented of performing a table-mode export and a table-mode import, to and from a dump file, respectively.
- Performing a Schema-Mode Export/Import
   Examples here perform schema-mode exporting and importing. When performing a Schema mode export, if you have role EXP\_FULL\_DATABASE, then you can export a database schema, the database schema definition, and the system grants and privileges of that database schema.

### 36.3.1 Performing a Table-Mode Export /Import

Examples are presented of performing a table-mode export and a table-mode import, to and from a dump file, respectively.

An XMLType table has a dependency on the XML schema that was used to define it. Similarly, that XML schema has dependencies on the SQL object types that were created or specified for it. Importing an XMLType table requires the existence of the corresponding XML schema and SQL object types.

When a TABLE mode export is used, only the table related metadata and data are exported. To be able to import this data successfully, you must ensure that the relevant XML schema and object types have been created.

The examples here assume that you are using a database with the following features:



- A database with schema user 23
- A table user23.tab41 with an XMLType column stored as binary XML
- A directory object dpump\_dir, for which READ and WRITE privileges have been granted to the user running expdp or impdp

Example 36-1 shows a table-mode export, specified using the TABLES parameter. It exports table tab41 to dump file tab41.dmp.



In table mode, if you do not specify a schema prefix in the <code>expdp</code> command then the schema of the exporter is used by default.

Example 36-2 shows a table-mode import. It imports table tab41 from dump file tab41.dmp.

If a table named tab41 already exists at the time of the import then specifying table\_exists\_action = append causes rows to be appended to that table. Whenever you use parameter value append the data is loaded into new space; existing space is never reused. For this reason you might need to compress your data after the load operation.



Oracle Database Utilities, for more information about Oracle Data Pump and its command-line clients, expdp and impdp

#### Example 36-1 Exporting XMLType Data in TABLE Mode

expdp system directory=dpump\_dir dumpfile=tab41.dmp tables=user23.tab41

#### Example 36-2 Importing XMLType Data in TABLE Mode

impdp system tables=user23.tab41 directory=dpump\_dir dumpfile=tab41.dmp
table\_exists\_action=append

## 36.3.2 Performing a Schema-Mode Export/Import

Examples here perform schema-mode exporting and importing. When performing a Schema mode export, if you have role EXP\_FULL\_DATABASE, then you can export a database schema, the database schema definition, and the system grants and privileges of that database schema.

The examples here assume that you are using a database with the following features:

User x4a has created a table po2.



• User x4a has a registered XML schema, ipo, which created two ordered collection tables item oct2 and sitem nt2.

User x4a creates table po2 as shown in Example 36-3.

Table po2 is then populated and exported, as shown in Example 36-4.

Example 36-4 exports all of the following:

- All data types that were generated during registration of XML schema ipo.
- XML schema ipo.
- Table po2 and the ordered collection tables item\_oct2 and sitem\_nt2, which were generated during registration of XML schema ipo.
- All data in all of those tables.

Example 36-5 imports all of the data in x4a.dmp to another database, in which the user x4a already exists.

Example 36-6 does the same thing as Example 36-5, but it also remaps the database schema from user x4a to user quine.

Example 36-6 imports all of the data in x4a.dmp (exported from the database schema of user x4a) into database schema quine. To remap the database schema, user x4a must have been granted role IMP\_FULL\_DATABASE on the local database and role EXP\_FULL\_DATABASE on the source database. REMAP\_SCHEMA loads all of the objects from the source schema into the target schema.



If you import an XML schema into the same database that it was exported from, and if that XML schema is still registered with Oracle XML DB at the time of importing, do not use remap\_schema unless you also specify impdp parameter transform=oid:n. See *Oracle Database Utilities* for information about parameter transform.

#### Example 36-3 Creating Table po2

```
CREATE TABLE po2 (po XMLType)

XMLTYPE COLUMN po

XMLSCHEMA "ipo.xsd"

ELEMENT "purchaseOrder"

VARRAY po.XMLDATA."items"."item"

STORE AS TABLE item_oct2 ((PRIMARY KEY(NESTED_TABLE_ID,

SYS_NC_ARRAY_INDEX$)))

NESTED TABLE po.XMLDATA."shippedItems"."item" STORE AS sitem_nt2;
```

#### Example 36-4 Exporting XMLType Data in SCHEMA Mode

expdp x4a directory=tkxm\_xmldir dumpfile=x4a.dmp

#### Example 36-5 Importing XMLType Data in SCHEMA Mode

impdp x4a directory=tkxm\_xmldir dumpfile=x4a.dmp



#### Example 36-6 Importing XMLType Data in SCHEMA Mode, Remapping Schema

impdp x4a directory=tkxm\_xmldir dumpfile=x4a.dmp remap\_schema=x4a:quine



# XML Data Exchange Using Oracle Database Advanced Queuing

You can exchange XML data using Oracle Database Advanced Queuing (AQ), which provides database-integrated message-queuing. AQ enables and manages asynchronous communication between applications, using messages. It supports point-to-point and publish/subscribe communication models.

Integration of message queuing with Oracle Database brings the integrity, reliability, recoverability, scalability, performance, and security features of Oracle Database to message queuing. It also facilitates the extraction of intelligence from message flows.

#### XML and Oracle Database Advanced Queuing

Oracle Database Advanced Queuing (AQ) supports native XML messages. AQ operations can be defined using the XML-based Internet-Data-Access-Presentation (iDAP) format. iDAP is an extensible message invocation protocol. It is built on Internet standards, using HTTP(S) and e-mail protocols as the transport mechanism. XML is the data representation language for iDAP.

#### Oracle Database Advanced Queuing

Oracle Database Advanced Queuing (AQ) lets you share data and events in a queue. It can propagate information within a database or from one database to another, routing information to specified destinations. It provides functionality and flexibility for capturing and managing events, and for sharing events with other databases and applications.

#### XMLType Attributes in Object Types

You can create queues that use Oracle object types containing  $\mathtt{XMLType}$  attributes. These queues can be used to transmit and store messages that are XML documents.

#### Internet Data Access Presentation (iDAP): SOAP for AQ

You can access Oracle Database Advanced Queuing (AQ) over the Internet using Simple Object Access Protocol (SOAP). Internet Data Access Presentation (iDAP) is the SOAP specification for AQ operations. iDAP defines XML message structure for a SOAP request body.

#### iDAP Architecture

Oracle Database Advanced Queuing (AQ) operations that use HTTP(S) require an iDAP HTTP client, a Web server, and an Oracle server.

Guidelines for Using XML and Oracle Database Advanced Queuing
 Guidelines are presented for using XML data with Oracle Database Advanced Oueuing.

## 37.1 XML and Oracle Database Advanced Queuing

Oracle Database Advanced Queuing (AQ) supports native XML messages. AQ operations can be defined using the XML-based Internet-Data-Access-Presentation (iDAP) format. iDAP is an extensible message invocation protocol. It is built on Internet

standards, using HTTP(S) and e-mail protocols as the transport mechanism. XML is the data representation language for iDAP.

- Oracle Database Advanced Queuing and XML Message Payloads
   XML messages can be passed asynchronously among applications using Oracle
   Database Advanced Queuing (AQ).
- Advantages of Using Oracle Database Advanced Queuing
   Oracle Database Advanced Queuing (AQ) provides flexibility in configuring
   communication between applications. It makes an integrated solution easy to
   manage, easy to configure, and easy to modify, to meet changing business needs.
   It enables applications to cooperate, coordinate, and synchronize, to carry out
   complex business transactions.

## 37.1.1 Oracle Database Advanced Queuing and XML Message Payloads

XML messages can be passed asynchronously among applications using Oracle Database Advanced Queuing (AQ).

Figure 37-1 shows an Oracle database using AQ to communicate with three applications. The message payload is XML data. The general tasks performed by AQ in this scenario are:

- Message flow using subscription rules
- Message management
- Extraction of business intelligence from messages
- Message transformation

Use cases of passing XML messages asynchronously among applications using AQ:

- Intra-business. Typical examples include sales order fulfillment and supply-chain management.
- Inter-business. Multiple integration hubs can communicate over the Internet.
   Examples include travel reservations, coordination between manufacturers and suppliers, transfer of funds between banks, and insurance claims settlements.

Oracle uses this approach in its enterprise application integration products. XML messages are sent from applications to an Oracle AQ hub. The hub serves as a message server for any application that wants the message. Through this hub-and-spoke architecture, XML messages can be communicated asynchronously to multiple loosely coupled applications.

Figure 37-1 shows XML payload messages transported using AQ in the following ways:

- A Web-based application uses an AQ operation over an HTTP(S) connection using iDAP
- An application uses AQ to propagate an XML message over a Net\* connection
- An application uses AQ to propagate an Internet or XML message directly to the database using HTTP(S) or SMTP

Figure 37-1 also shows that AQ clients can access data using OCI, Java, or PL/SQL.



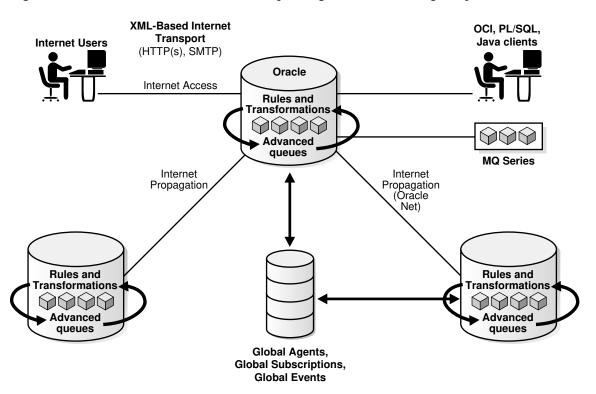


Figure 37-1 Oracle Database Advanced Queuing and XML Message Payloads

## 37.1.2 Advantages of Using Oracle Database Advanced Queuing

Oracle Database Advanced Queuing (AQ) provides flexibility in configuring communication between applications. It makes an integrated solution easy to manage, easy to configure, and easy to modify, to meet changing business needs. It enables applications to cooperate, coordinate, and synchronize, to carry out complex business transactions.

Message management provided by AQ manages the flow of messages between different applications. AQ can also retain messages for auditing and tracking purposes, and for extracting business intelligence.

AQ provides SQL views to access messages. You can use these views to analyze trends.

## 37.2 Oracle Database Advanced Queuing

Oracle Database Advanced Queuing (AQ) lets you share data and events in a queue. It can propagate information within a database or from one database to another, routing information to specified destinations. It provides functionality and flexibility for capturing and managing events, and for sharing events with other databases and applications.

AQ lets you break the cycle of trading off one solution for another. You can build and operate distributed enterprises and applications, data warehouses, and high availability solutions.

You can use AQ to do all of the following:

- Capture changes at a database. You can configure a background capture process
  to capture changes made to tables, database schemas, or the entire database. A
  capture process captures changes from the redo log and formats each captured
  change into a logical change record (LCR). The database where changes are
  generated in the redo log is called the source database.
- Enqueue events into a queue. Two types of events may be staged in a queue:
   LCRs and user messages. A capture process enqueues LCR events into a queue
   that you specify. The queue can then share the LCR events within the same
   database or with other databases. You can also enqueue user events explicitly
   with a user application. These explicitly enqueued events can be LCRs or user
   messages.
- Propagate events from one queue to another. These queues may be in the same database or in different databases.
- Dequeue events. A background apply process can dequeue events. You can also dequeue events explicitly with a user application.
- Apply events at a database. You can configure an apply process to apply all of the
  events in a queue or only the events that you specify. You can also configure an
  apply process to call your own PL/SQL subprograms to process events.

The database where LCR events are applied and other types of events are processed is called the destination database. In some configurations, the source database and the destination database may be the same.

Message Queuing
 Oracle Database Advanced Queuing (AQ) lets your applications enqueue,
 propagate, and dequeue messages.

## 37.2.1 Message Queuing

Oracle Database Advanced Queuing (AQ) lets your applications enqueue, propagate, and dequeue messages.

AQ stages messages of type SYS. AnyData. Messages of almost any type can be wrapped in a SYS. AnyData wrapper and staged in SYS. AnyData queues. AQ supports all of the standard features of message queuing systems, including multi-consumer queues, publishing and subscribing, content-based routing, internet propagation, transformations, and gateways to other messaging subsystems.

## 37.3 XMLType Attributes in Object Types

You can create queues that use Oracle object types containing  $\mathtt{XMLType}$  attributes. These queues can be used to transmit and store messages that are XML documents.

Using XMLType, you can do the following:

- Store any type of message in a queue
- Store documents internally as CLOB instances
- Store more than one type of payload in a queue
- Query XMLType columns using SQL/XML functions such as XMLExists
- Specify the operators in subscriber rules or dequeue selectors



# 37.4 Internet Data Access Presentation (iDAP): SOAP for AQ

You can access Oracle Database Advanced Queuing (AQ) over the Internet using Simple Object Access Protocol (SOAP). Internet Data Access Presentation (iDAP) is the SOAP specification for AQ operations. iDAP defines XML message structure for a SOAP request body.

An iDAP-structured message is transmitted over the Internet using transport protocols such as HTTP(S) and SMTP.

iDAP uses the text/xml content type to specify the body of the SOAP request. XML provides the presentation for iDAP request and response messages, as follows:

- All request and response tags are scoped in the SOAP namespace.
- AQ operations are scoped in the iDAP namespace.
- The sender includes namespaces in iDAP elements and attributes in the SOAP body.
- The receiver processes iDAP messages that have correct namespaces. For the requests with incorrect namespaces, the receiver returns an invalid request error.
- The SOAP namespace has this value: http://schemas.xmlsoap.org/soap/envelope/
- The iDAP namespace has this value: http://ns.oracle.com/AQ/schemas/access

✓ See Also:

Oracle Database Advanced Queuing User's Guide

### 37.5 iDAP Architecture

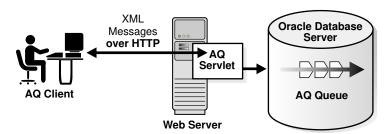
Oracle Database Advanced Queuing (AQ) operations that use HTTP(S) require an iDAP HTTP client, a Web server, and an Oracle server.

Figure 37-2 shows the relationships among these components.

- A client program sends XML messages that conform to iDAP format, to the AQ Servlet. This can be any HTTP client, such as a Web browser.
- The Web server or ServletRunner hosts the AQ servlet that can interpret the incoming XML messages, for example, Apache/Jserv or Tomcat.
- Oracle AQ servlet connects to Oracle Database to perform queue operations.



Figure 37-2 iDAP Architecture for Performing AQ Operations Using HTTP(S)



#### XMLType Queue Payloads

You can create queues with payloads that contain XMLType attributes. These can be used for transmitting and storing messages that contain XML documents.

## 37.5.1 XMLType Queue Payloads

You can create queues with payloads that contain XMLType attributes. These can be used for transmitting and storing messages that contain XML documents.

By defining Oracle objects with XMLType attributes, you can do the following:

- Store more than one type of XML document in the same queue. The documents are stored internally as CLOB instances.
- Selectively dequeue messages with XMLType attributes using SQL/XML functions such as XMLExists and XMLQuery.
- Define transformations to convert Oracle objects to XMLType.
- Define rule-based subscribers that query message content using SQL/XML functions such as XMLExists and XMLQuery.

In the application sketched in the examples here, assume that an overseas shipping site represents an order using SYS.XMLType. An order-entry site represents an order as an Oracle object, ORDER\_TYP.

Example 37-1 creates the queue table and queue for overseas shipping.

Because the representation of orders at the overseas shipping site is different from the representation of orders at the order-entry site, messages need to be transformed before sending them from the order-entry site to the overseas shipping site. Example 37-2 creates the transformation, and Example 37-3 applies it.

For more information about defining transformations that convert the type used by the order entry application to the type used by overseas shipping, see *Oracle Database Advanced Queuing User's Guide*.

Example 37-4 shows how an application that processes orders for customers in another country, in this case Canada, can dequeue messages.

#### Example 37-1 Creating a Queue Table and Queue

```
BEGIN

DBMS_AQADM.create_queue_table(
   queue_table => 'OS_orders_pr_mqtab',
   comment => 'Overseas Shipping MultiConsumer Orders queue table',
   multiple_consumers => TRUE,
```



#### Example 37-2 Creating a Transformation to Convert Message Data to XML

```
CREATE OR REPLACE FUNCTION convert_to_order_xml(input_order ORDER_TYP)
 RETURN XMLType AS
   new_order XMLType;
BEGIN
 SELECT XMLElement("Row", input_order) INTO new_order FROM DUAL;
 RETURN new_order;
END convert_to_order_xml;
BEGIN
 SYS.DBMS_TRANSFORM.create_transformation(
   schema => 'OE',
                    'OE2XML',
   name =>
   from_schema => 'OE',
   from_type =>
                'ORDER_TYP',
   to_schema =>
                    'SYS',
                    'XMLTYPE',
   to_type =>
   transformation => 'convert_to_order_xml(source.user_data)');
END;
```

#### Example 37-3 Applying a Transformation before Sending Messages Overseas

```
-- Add a rule-based subscriber for overseas shipping to the booked-orders
-- queues with transformation.
DECLARE
  subscriber SYS.AQ$_AGENT;
BEGIN
   subscriber := SYS.AQ$_AGENT('Overseas_Shipping',
                               'OS.OS_bookedorders_que',
                               NULL);
   DBMS_AQADM.add_subscriber(
     queue_name
                   => 'OS_bookedorders_que',
     subscriber
                   => subscriber,
     rule
                   => 'XMLSerialize(CONTENT XMLQuery(''//orderregion''' ||
                       'PASSING tab.user_data RETURNING CONTENT)' |
                       'AS VARCHAR2(1000)) = ''INTERNATIONAL''',
     transformation => 'OE.OE2XML');
END;
```

#### Example 37-4 XMLType and AQ: Dequeuing Messages

```
-- Create procedure to enqueue into single-consumer queues.

CREATE OR REPLACE PROCEDURE get_canada_orders AS

deq_msgid RAW(16);

dopt DBMS_AQ.dequeue_options_t;

mprop DBMS_AQ.message_properties_t;

deq_order_data SYS.XMLType;
```



```
deq_order_data_text CLOB;
  no_messages
                       EXCEPTION;
  PRAGMA EXCEPTION_INIT (no_messages, -25228);
  new orders
                       BOOLEAN := TRUE;
BEGIN
  dopt.wait := 1;
   -- Specify dequeue condition to select orders for Canada.
  dopt.deq_condition := 'XMLSerialize(CONTENT ' | |
                        'XMLQuery(''/ORDER_TYP/CUSTOMER/COUNTRY/text()''' |
                        ' PASSING tab.user_data RETURNING CONTENT)' ||
                        ' AS VARCHAR2(1000))=''CANADA''';
   dopt.consumer_name := 'Overseas_Shipping';
  WHILE (new_orders) LOOP
     BEGIN
      DBMS_AQ.dequeue(queue_name => 'OS.OS_bookedorders_que',
                      dequeue_options => dopt,
                      message_properties => mprop,
                      payload => deq_order_data,
                      msgid
                                       => deq_msgid);
      COMMIT;
      SELECT XMLSerialize(DOCUMENT deq_order_data AS CLOB)
        INTO deq_order_data_text FROM DUAL;
      DBMS_OUTPUT.put_line('Order for Canada - Order: ' || deq_order_data_text);
     EXCEPTION
       WHEN no_messages THEN
        DBMS_OUTPUT.put_line (' ---- NO MORE ORDERS ---- ');
        new_orders := FALSE;
    END;
  END LOOP;
END;
```

# 37.6 Guidelines for Using XML and Oracle Database Advanced Queuing

Guidelines are presented for using XML data with Oracle Database Advanced Queuing.

Store AQ XML Messages with Many PDFs as One Record

You can exchange XML documents between businesses using Oracle Database Advanced Queuing (AQ), where each message includes an XML header, an XML attachment (XML data stream), DTDs, and PDF files. The data can be stored in a database table, such as a queue table.

- Add New Recipients After Messages Are Enqueued You can use a queue table to support message assignments.
- Enqueue and Dequeue XML Messages
   Oracle Database Advanced Queuing (AQ) supports enqueuing and dequeuing
   objects. The objects can have an attribute of type XMLType that contains an XML
   document, in addition to having metadata attributes.
- Parse Messages with XML Content from AQ Queues
   You can parse messages with XML content from an Oracle Database Advanced
   Queuing (AQ) queue and then update tables and fields in an Operational Data
   Store (ODS).

Prevent the Listener from Stopping Until an XML Document Is Processed
 After receiving a message, you can submit a job using PL/SQL package DBMS\_JOB.
 The job is invoked asynchronously in a different database session. This can
 prevent messages accumulating in the queue because the listener must wait until
 a received XML message is processed.

#### HTTPS with AQ

You can use Oracle Database Advanced Queuing (AQ) Internet access to send XML messages to suppliers using HTTPS and receive a response. You can enqueue and dequeue XML messages over HTTP(S) securely and transactionally.

# • Store XML in Oracle AQ Message Payloads You can store XML data in Oracle Database Advanced Queuing (AQ) message payloads natively other than having an ADT as the payload with SYS.XMLType as part of the ADT. You can create queues with payloads and attributes as XMLType.

# iDAP and SOAP iDAP is the SOAP specification for Oracle Database Advanced Queuing (AQ) operations. SOAP defines a generic mechanism to invoke a service. iDAP defines these mechanisms to perform AQ operations.

## 37.6.1 Store AQ XML Messages with Many PDFs as One Record

You can exchange XML documents between businesses using Oracle Database Advanced Queuing (AQ), where each message includes an XML header, an XML attachment (XML data stream), DTDs, and PDF files. The data can be stored in a database table, such as a queue table.

You can enqueue the messages into Oracle queue tables as one record or piece. Or you can enqueue the messages as multiple records, for example, one record for XML data streams as CLOB type, one record for PDF files as RAW type, and so on. You can also then dequeue the messages.

You can achieve this in the following ways:

- By defining an object type with (CLOB, RAW,...) attributes, and storing it as a single message.
- By using the AQ message grouping feature and storing it in multiple messages.
   Here the message properties are associated with a group. To use the message grouping feature, all messages must be the same payload type.

To specify the payload, first create an object type, for example:

CREATE TYPE mypayload\_type as OBJECT (xmlDataStream CLOB, dtd CLOB, pdf BLOB);

Then store it as a single message.

## 37.6.2 Add New Recipients After Messages Are Enqueued

You can use a gueue table to support message assignments.

For example, when other businesses send messages to a specific company, they do not know who should be assigned to process the messages, but they know the messages are for department Human Resources (HR). Hence all messages go to the HR supervisor. At this point, the message is enqueued in the gueue table. The HR



supervisor is the only recipient of this message, and the entire HR staff have been predefined as subscribers for this queue.

You cannot change the recipient list after a message is enqueued. If you do not specify a recipient list then subscribers can subscribe to the queue and dequeue the message. Here, new recipients must be subscribers to the queue. Otherwise, you must dequeue the message and enqueue it again with new recipients.

## 37.6.3 Enqueue and Dequeue XML Messages

Oracle Database Advanced Queuing (AQ) supports enqueuing and dequeuing objects. The objects can have an attribute of type XMLType that contains an XML document, in addition to having metadata attributes.

Refer to *Oracle Database Advanced Queuing User's Guide* for specific details and more examples.

## 37.6.4 Parse Messages with XML Content from AQ Queues

You can parse messages with XML content from an Oracle Database Advanced Queuing (AQ) queue and then update tables and fields in an Operational Data Store (ODS).

You can use Oracle XML Parser for Java and Java Stored Procedures together with AQ to obtain metadata such as AQ enqueue or dequeue times and JMS header information, based on queries that target certain XML data. You can combine this with using Oracle Text XML search.

## 37.6.5 Prevent the Listener from Stopping Until an XML Document Is Processed

After receiving a message, you can submit a job using PL/SQL package DBMS\_JOB. The job is invoked asynchronously in a different database session. This can prevent messages accumulating in the queue because the listener must wait until a received XML message is processed.

When receiving XML messages from clients you might need to process them as soon as they arrive. But each XML document might take several seconds to process. For PL/SQL, one procedure starts the listener, dequeues the message, and calls another procedure to process the XML document. The listener could be held up until the XML document is processed, and messages would accumulate in the queue.

After receiving a message, you can instead submit a job using PL/SQL package DBMS\_JOB. The job is invoked asynchronously in a different database session.

You can register a PL/SQL callback, which is invoked asynchronously when a message shows up in a queue. PL/SQL callbacks are part of the Oracle Database Advanced Queuing notification framework.

### 37.6.6 HTTPS with AQ

You can use Oracle Database Advanced Queuing (AQ) Internet access to send XML messages to suppliers using HTTPS and receive a response. You can enqueue and dequeue XML messages over HTTP(S) securely and transactionally.





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## 37.6.7 Store XML in Oracle AQ Message Payloads

You can store XML data in Oracle Database Advanced Queuing (AQ) message payloads natively other than having an ADT as the payload with SYS.XMLType as part of the ADT. You can create queues with payloads and attributes as XMLType.

#### 37.6.8 iDAP and SOAP

iDAP is the SOAP specification for Oracle Database Advanced Queuing (AQ) operations. SOAP defines a generic mechanism to invoke a service. iDAP defines these mechanisms to perform AQ operations.

iDAP has the following key properties not defined by SOAP:

- Transactional behavior. You can perform AQ operations in a transactional manner. A transaction can span multiple iDAP requests.
- Security. iDAP operations can be carried out only by authorized and authenticated users.



## Part VIII

## **Appendixes**

Appendixes here provide background material for Oracle XML DB.

- Oracle-Supplied XML Schemas and Examples
   Full listings are provided here for the Oracle XML DB-supplied XML schemas, purchase-order XML schemas and an XSLT stylesheet used in various examples, and C-language (OCI) examples for loading XML content into Oracle XML DB and initializing and terminating an XML context.
- Oracle XML DB Restrictions
   The restrictions associated with Oracle XML DB are listed here.

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# Oracle-Supplied XML Schemas and Examples

Full listings are provided here for the Oracle XML DB-supplied XML schemas, purchase-order XML schemas and an XSLT stylesheet used in various examples, and C-language (OCI) examples for loading XML content into Oracle XML DB and initializing and terminating an XML context.

- XDBResource.xsd: XML Schema for Oracle XML DB Resources
   A full listing is presented of the Oracle XML DB-supplied XML schema
   XDBResource.xsd, which is used to represent Oracle XML DB resources.
- XDBResConfig.xsd: XML Schema for Resource Configuration
   A full listing is presented of the Oracle XML DB-supplied XML schema used to configure repository resources. It is accessible in Oracle XML DB Repository at path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd.
- acl.xsd: XML Schema for ACLs
   The Oracle Database-supplied XML schema used to represent access control lists (ACLs) is presented.
- xdbconfig.xsd: XML Schema for Configuring Oracle XML DB
   A full listing is presented of file xdbconfig.xsd, which contains the XML schema used to configure Oracle XML DB.
- xdiff.xsd: XML Schema for Comparing Schemas for In-Place Evolution
   A full listing is presented of xdiff.xsd, the Oracle XML DB-supplied XML
   schema to which the document specified as the diffXML parameter to procedure
   DBMS\_XMLSCHEMA.inPlaceEvolve must conform.
- Purchase-Order XML Schemas
   The full listings of purchase-order XML schemas that are used in various examples are presented.
- XSLT Stylesheet Example, PurchaseOrder.xsl
   The full listing is presented of XSLT stylesheet PurchaseOrder.xsl, which is used in various examples.
- Loading XML Data Using C (OCI)
   A full listing of a C program that inserts XML data into an XMLType table is presented.
- Initializing and Terminating an XML Context (OCI)

  An example shows how to use OCI functions OCIXmlDbInitXmlCtx() and

  OCIXmlDbFreeXmlCtx() to initialize and terminate an XML context. It constructs
  an XML document using the C DOM API and saves it to the database.

## A.1 XDBResource.xsd: XML Schema for Oracle XML DB Resources

A full listing is presented of the Oracle XML DB-supplied XML schema XDBResource.xsd, which is used to represent Oracle XML DB resources.

#### XDBResource.xsd

```
<schema xdb:schemaURL="http://xmlns.oracle.com/xdb/XDBResource.xsd"</pre>
        targetNamespace="http://xmlns.oracle.com/xdb/XDBResource.xsd" version="1.0"
        xdb:numProps="73" elementFormDefault="qualified" xdb:flags="23"
        xdb:mapStringToNCHAR="false" xdb:mapUnboundedStringToLob="false"
        xdb:storeVarrayAsTable="false" xdb:schemaOwner="XDB"
        xmlns="http://www.w3.org/2001/XMLSchema" xmlns:xdb="http://xmlns.oracle.com/
xdb"
        xmlns:xdbres="http://xmlns.oracle.com/xdb/XDBResource.xsd">
  <simpleType name="OracleUserName">
    <restriction base="string">
      <minLength value="1" fixed="false"/>
      <maxLength value="4000" fixed="false"/>
    </restriction>
  </simpleType>
  <simpleType name="ResMetaStr">
    <restriction base="string">
      <minLength value="1" fixed="false"/>
      <maxLength value="128" fixed="false"/>
    </restriction>
  </simpleType>
  <simpleType name="SchElemType">
    <restriction base="string">
      <minLength value="1" fixed="false"/>
      <maxLength value="4000" fixed="false"/>
    </restriction>
  </simpleType>
  <simpleType name="GUID">
    <restriction base="hexBinary">
      <minLength value="8" fixed="false"/>
      <maxLength value="32" fixed="false"/>
    </restriction>
  </simpleType>
  <simpleType name="LocksRaw">
    <restriction base="hexBinary">
      <minLength value="0" fixed="false"/>
      <maxLength value="2000" fixed="false"/>
    </restriction>
  </simpleType>
  <simpleType name="lockModeType">
    <restriction base="string">
      <enumeration value="exclusive" fixed="false"/>
      <enumeration value="shared" fixed="false"/>
    </restriction>
```



```
</simpleType>
  <simpleType name="lockTypeType">
    <restriction base="string">
      <enumeration value="read-write" fixed="false"/>
      <enumeration value="write" fixed="false"/>
      <enumeration value="read" fixed="false"/>
    </restriction>
  </simpleType>
  <simpleType name="lockDepthType">
    <restriction base="string">
      <enumeration value="0" fixed="false"/>
      <enumeration value="infinity" fixed="false"/>
    </restriction>
  </simpleType>
  <complexType name="lockType" abstract="false" mixed="false">
    <sequence minOccurs="1" maxOccurs="1">
      <element xdb:propNumber="768" name="LockOwner" type="string" xdb:memType="1"</pre>
               xdb:system="false" xdb:mutable="true" xdb:JavaType="String"
               xdb:global="false" nillable="false" abstract="false"
xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="769" name="Mode" type="xdb:lockModeType"</pre>
xdb:memType="1"
               xdb:system="false" xdb:mutable="true" xdb:JavaType="String"
               xdb:global="false" nillable="false" abstract="false"
xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="770" name="Type" type="xdb:lockTypeType"</pre>
xdb:memType="1"
               xdb:system="false" xdb:mutable="true" xdb:JavaType="String"
               xdb:global="false" nillable="false" abstract="false"
xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="771" name="Depth" type="xdb:lockDepthType"</pre>
xdb:memType="1"
               xdb:system="false" xdb:mutable="true" xdb:JavaType="String"
               xdb:global="false" nillable="false" abstract="false"
xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="772" name="Expiry" type="dateTime" xdb:memType="180"</pre>
               xdb:system="false" xdb:mutable="true" xdb:JavaType="TimeStamp"
               xdb:global="false" nillable="false" abstract="false"
xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
      <choice minOccurs="0" maxOccurs="unbounded">
```

```
<element xdb:propNumber="773" name="Token" type="string" xdb:memType="1"</pre>
                xdb:system="false" xdb:mutable="true" xdb:JavaType="String"
                xdb:global="false" nillable="false" abstract="false"
xdb:SOLInline="true"
                xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
                xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
       <element xdb:propNumber="774" name="NodeId" type="string" xdb:memType="1"</pre>
                xdb:system="false" xdb:mutable="true" xdb:JavaType="String"
                xdb:global="false" nillable="false" abstract="false"
xdb:SOLInline="true"
                xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
                xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
      </choice>
    </sequence>
  </complexType>
  <complexType name="locksType" abstract="false" mixed="false">
    <sequence minOccurs="1" maxOccurs="1">
      <element xdb:propNumber="767" name="Lock" type="xdb:lockType" xdb:memType="258"</pre>
               xdb:system="false" xdb:mutable="true" xdb:JavaType="XMLType"
               xdb:global="false" nillable="false" abstract="false"
xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" minOccurs="0" maxOccurs="2147483647"/>
    </sequence>
  </complexType>
  <complexType name="ResContentsType" abstract="false" mixed="false">
    <sequence minOccurs="1" maxOccurs="1">
      <any xdb:propNumber="736" name="ContentsAny" xdb:memType="258"</pre>
xdb:system="false"
           xdb:mutable="false" xdb:JavaType="XMLType" minOccurs="0" maxOccurs="1"/>
    </sequence>
  </complexType>
  <complexType name="ResAclType" abstract="false" mixed="false">
    <sequence minOccurs="1" maxOccurs="1">
      <any xdb:propNumber="737" name="ACLAny" xdb:memType="258" xdb:system="false"</pre>
           xdb:mutable="false" xdb:JavaType="XMLType" minOccurs="0" maxOccurs="1"/>
    </sequence>
  </complexType>
  <complexType name="AttrCopyType" abstract="false" mixed="false">
    <sequence minOccurs="1" maxOccurs="1">
      <any xdb:propNumber="748" name="AttrCopyAny" xdb:memType="258"</pre>
xdb:system="false"
           xdb:mutable="false" xdb:JavaType="XMLType" minOccurs="0"
maxOccurs="65535"/>
    </sequence>
  </complexType>
  <complexType name="RCListType" abstract="false" mixed="false">
    <sequence minOccurs="1" maxOccurs="1">
      <element xdb:propNumber="755" name="OID" type="hexBinary" xdb:memByteLength="22"</pre>
               xdb:memType="23" xdb:system="false" xdb:mutable="false"
xdb:SQLName="OID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:SQLCollType="XDB$OID_LIST_T" xdb:SQLCollSchema="XDB"
```

```
xdb:hidden="false"
               nillable="false" abstract="false" xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
               maxOccurs="65535"/>
    </sequence>
  </complexType>
  <complexType name="ResourceType" abstract="false" mixed="false">
    <sequence minOccurs="1" maxOccurs="1">
      <element xdb:propNumber="709" name="CreationDate" type="dateTime"</pre>
xdb:memType="180"
               xdb:system="false" xdb:mutable="false" xdb:SQLName="CREATIONDATE"
               xdb:SQLType="TIMESTAMP" xdb:JavaType="TimeStamp" xdb:global="false"
               nillable="false" abstract="false" xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="710" name="ModificationDate" type="dateTime"</pre>
               xdb:memType="180" xdb:system="false" xdb:mutable="false"
               xdb:SQLName="MODIFICATIONDATE" xdb:SQLType="TIMESTAMP"
               xdb:JavaType="TimeStamp" xdb:global="false" nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="true" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="1" minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="711" name="Author" type="xdb:ResMetaStr"</pre>
xdb:memType="1"
               xdb:system="false" xdb:mutable="false" xdb:SQLName="AUTHOR"
               xdb:SQLType="VARCHAR2" xdb:JavaType="String" xdb:global="false"
               nillable="false" abstract="false" xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
      <element xdb:propNumber="712" name="DisplayName" type="xdb:ResMetaStr"</pre>
               xdb:memType="1" xdb:system="false" xdb:mutable="false"
               xdb:SQLName="DISPNAME" xdb:SQLType="VARCHAR2" xdb:JavaType="String"
               xdb:global="false" nillable="false" abstract="false"
xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="713" name="Comment" type="xdb:ResMetaStr"</pre>
xdb:memType="1"
               xdb:system="false" xdb:mutable="false" xdb:SQLName="RESCOMMENT"
               xdb:SQLType="VARCHAR2" xdb:JavaType="String" xdb:global="false"
               nillable="false" abstract="false" xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="714" name="Language" type="xdb:ResMetaStr"</pre>
xdb:memType="1"
               xdb:system="false" xdb:mutable="false" xdb:SOLName="LANGUAGE"
               xdb:SQLType="VARCHAR2" xdb:JavaType="String" default="en"
               xdb:global="false" nillable="false" abstract="false"
xdb:SQLInline="true"
```

```
xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="715" name="CharacterSet" type="xdb:ResMetaStr"</pre>
               xdb:memType="1" xdb:system="false" xdb:mutable="false"
               xdb:SQLName="CHARSET" xdb:SQLType="VARCHAR2" xdb:JavaType="String"
               xdb:global="false" nillable="false" abstract="false"
xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
      <element xdb:propNumber="716" name="ContentType" type="xdb:ResMetaStr"</pre>
               xdb:memType="1" xdb:system="false" xdb:mutable="false"
               xdb:SQLName="CONTYPE" xdb:SQLType="VARCHAR2" xdb:JavaType="String"
               xdb:global="false" nillable="false" abstract="false"
xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
      <element xdb:propNumber="717" name="RefCount" type="nonNegativeInteger"</pre>
               xdb:memByteLength="4" xdb:memType="68" xdb:system="false"
               xdb:mutable="true" xdb:SQLName="REFCOUNT" xdb:SQLType="RAW"
               xdb:JavaType="long" xdb:global="false" nillable="false"
abstract="false"
               xdb:SOLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="718" name="LockBuf" type="xdb:LocksRaw"</pre>
xdb:memType="23"
               xdb:system="false" xdb:mutable="true" xdb:SQLName="LOCKS"
xdb:SQLType="RAW"
               xdb:JavaType="byteArray" xdb:global="false" nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="1" minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="732" name="ACL" type="xdb:ResAclType" xdb:memType="258"</pre>
               xdb:system="false" xdb:mutable="false" xdb:JavaType="XMLType"
               xdb:global="false" xdb:hidden="false" xdb:transient="generated"
               xdb:baseProp="false" nillable="false" abstract="false"
xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB"
xdb:JavaClassname="oracle.xdb.ResAclTypeBean"
               xdb:beanClassname="oracle.xdb.ResAclTypeBean" xdb:numCols="0"
minOccurs="0"
               maxOccurs="1"/>
      <element xdb:propNumber="719" name="ACLOID" type="hexBinary" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="false" xdb:SQLName="ACLOID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SOLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="720" name="Owner" type="xdb:OracleUserName"</pre>
```

```
xdb:memType="1"
               xdb:system="false" xdb:mutable="false" xdb:JavaType="String"
               xdb:global="false" xdb:hidden="false" xdb:transient="generated"
               xdb:baseProp="false" nillable="false" abstract="false"
xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="0" minOccurs="0"
maxOccurs="1"/>
      <element xdb:propNumber="721" name="OwnerID" type="xdb:GUID" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="false" xdb:SQLName="OWNERID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="722" name="Creator" type="xdb:OracleUserName"</pre>
               xdb:memType="1" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="String" xdb:global="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false" nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="0" minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="723" name="CreatorID" type="xdb:GUID" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="false" xdb:SQLName="CREATORID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="724" name="LastModifier" type="xdb:OracleUserName"</pre>
               xdb:memType="1" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="String" xdb:global="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false" nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="0" minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="725" name="LastModifierID" type="xdb:GUID"</pre>
xdb:memType="23"
               xdb:system="false" xdb:mutable="false" xdb:SOLName="LASTMODIFIERID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="726" name="SchemaElement" type="xdb:SchElemType"</pre>
               xdb:memType="1" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="String" xdb:global="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false" nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="0" minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="727" name="ElNum" type="nonNegativeInteger"</pre>
```

```
xdb:memByteLength="4" xdb:memType="3" xdb:system="false"
               xdb:mutable="false" xdb:SQLName="ELNUM" xdb:SQLType="INTEGER"
               xdb:JavaType="long" xdb:global="false" xdb:hidden="true"
               xdb:baseProp="true" nillable="false" abstract="false"
xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="728" name="SchOID" type="hexBinary" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="false" xdb:SQLName="SCHOID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="733" name="Contents" type="xdb:ResContentsType"</pre>
               xdb:memType="258" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="XMLType" xdb:global="false" xdb:hidden="false"
               xdb:transient="manifested" xdb:baseProp="false" nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:JavaClassname="oracle.xdb.ResContentsTypeBean"
               xdb:beanClassname="oracle.xdb.ResContentsTypeBean" xdb:numCols="0"
               minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="729" name="XMLRef" type="REF" xdb:memType="110"</pre>
               xdb:system="false" xdb:mutable="true" xdb:SQLName="XMLREF"
               xdb:SQLType="REF" xdb:JavaType="Reference" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="false" nillable="false"
abstract="false"
               xdb:SOLInline="true" xdb:JavaInline="false" xdb:MemInline="false"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="0"
               minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="730" name="XMLLob" type="hexBinary" xdb:memType="113"</pre>
               xdb:system="false" xdb:mutable="true" xdb:SQLName="XMLLOB"
               xdb:SQLType="BLOB" xdb:JavaType="String" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="false" nillable="false"
abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="false"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="0"
               minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="731" name="Flags" type="nonNegativeInteger"</pre>
               xdb:memByteLength="4" xdb:memType="3" xdb:system="false"
xdb:mutable="true"
               xdb:SQLName="FLAGS" xdb:SQLType="RAW" xdb:JavaType="long"
               xdb:global="false" xdb:hidden="true" xdb:baseProp="true"
nillable="false"
               abstract="false" xdb:SOLInline="true" xdb:JavaInline="false"
               xdb:MemInline="true" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="0" minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="740" name="VCRUID" type="xdb:GUID" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="false" xdb:SQLName="VCRUID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               nillable="false" abstract="false" xdb:SQLInline="true"
```

```
xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="1"
maxOccurs="1"/>
      <element xdb:propNumber="741" name="Parents" type="hexBinary" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="false" xdb:SQLName="PARENTS"
               xdb:SQLType="RAW" xdb:JavaType="Reference" xdb:global="false"
               xdb:SQLCollType="XDB$PREDECESSOR_LIST_T" xdb:SQLCollSchema="XDB"
               nillable="false" abstract="false" xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="0" minOccurs="0"
               maxOccurs="1000"/>
      <element xdb:propNumber="745" name="SBResExtra" type="REF" xdb:memType="110"</pre>
               xdb:system="false" xdb:mutable="true" xdb:SQLName="SBRESEXTRA"
               xdb:SQLType="REF" xdb:JavaType="Reference" xdb:global="false"
               xdb:SQLCollType="XDB$XMLTYPE_REF_LIST_T" xdb:SQLCollSchema="XDB"
               xdb:hidden="true" xdb:baseProp="false" nillable="false"
abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="false"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="0"
               minOccurs="0" maxOccurs="2147483647"/>
      <element xdb:propNumber="746" name="Snapshot" type="hexBinary" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="true" xdb:SQLName="SNAPSHOT"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SOLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="0"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="747" name="AttrCopy" type="xdb:AttrCopyType"</pre>
               xdb:memType="258" xdb:system="false" xdb:mutable="true"
               xdb:SOLName="ATTRCOPY" xdb:SOLType="BLOB" xdb:JavaType="XMLType"
               xdb:global="false" xdb:hidden="true" xdb:baseProp="true"
nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="0" minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="749" name="CtsCopy" type="hexBinary" xdb:memType="113"</pre>
               xdb:system="false" xdb:mutable="true" xdb:SQLName="CTSCOPY"
               xdb:SQLType="BLOB" xdb:JavaType="String" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="false" nillable="false"
abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="false"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="750" name="NodeNum" type="hexBinary" xdb:memType="23"</pre>
               xdb:system="false" xdb:mutable="true" xdb:SQLName="NODENUM"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SOLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="0"
               minOccurs="1" maxOccurs="1"/>
      <element xdb:propNumber="751" name="ContentSize" type="integer"</pre>
               xdb:memByteLength="8" xdb:memType="3" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="long" xdb:global="false"
               xdb:hidden="true" xdb:transient="generated" xdb:baseProp="false"
```

```
nillable="false" abstract="false" xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="0" minOccurs="0"
maxOccurs="1"/>
      <element xdb:propNumber="752" name="SizeOnDisk" type="nonNegativeInteger"</pre>
               xdb:memByteLength="8" xdb:memType="3" xdb:system="false"
               xdb:mutable="false" xdb:SQLName="SIZEONDISK" xdb:SQLType="INTEGER"
               xdb:JavaType="long" xdb:global="false" xdb:hidden="true"
               xdb:baseProp="true" nillable="false" abstract="false"
xdb:SOLInline="true"
               xdb:JavaInline="false" xdb:MemInline="true" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="0" minOccurs="0"
maxOccurs="1"/>
      <element xdb:propNumber="754" name="RCList" type="xdb:RCListType"</pre>
xdb:memType="258"
               xdb:system="false" xdb:mutable="false" xdb:SQLName="RCLIST"
               xdb:SQLType="XDB$RCLIST_T" xdb:SQLSchema="XDB" xdb:JavaType="XMLType"
               xdb:global="true" xdb:hidden="true" xdb:baseProp="true"
nillable="false"
               abstract="false" xdb:SQLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false" xdb:defaultTable="00"
               xdb:defaultTableSchema="XDB" xdb:JavaClassname="oracle.xdb.RCListBean"
               xdb:beanClassname="oracle.xdb.RCListBean" xdb:numCols="1" minOccurs="0"
               maxOccurs="1"/>
      <element xdb:propNumber="762" name="Branch" type="string" xdb:memType="1"</pre>
               xdb:system="false" xdb:mutable="false" xdb:SQLName="BRANCH"
               xdb:SQLType="VARCHAR2" xdb:JavaType="String" xdb:global="false"
               xdb:hidden="false" xdb:transient="generated" xdb:baseProp="false"
               nillable="false" abstract="false" xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
      <element xdb:propNumber="763" name="CheckedOutBy" type="xdb:OracleUserName"</pre>
               xdb:memType="1" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="String" xdb:global="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false" nillable="false"
               abstract="false" xdb:SOLInline="true" xdb:JavaInline="false"
               xdb:MemInline="false" xdb:maintainDOM="false"
xdb:defaultTableSchema="XDB"
               xdb:numCols="0" minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="764" name="CheckedOutByID" type="xdb:GUID"</pre>
xdb:memType="23"
               xdb:system="false" xdb:mutable="false" xdb:SQLName="CHECKEDOUTBYID"
               xdb:SQLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="true" xdb:baseProp="true" nillable="false" abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
               xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="765" name="BaseVersion" type="hexBinary"</pre>
xdb:memType="23"
               xdb:system="false" xdb:mutable="false" xdb:SQLName="BASEVERSION"
               xdb:SOLType="RAW" xdb:JavaType="byteArray" xdb:global="false"
               xdb:hidden="false" xdb:baseProp="false" nillable="false"
abstract="false"
               xdb:SQLInline="true" xdb:JavaInline="false" xdb:MemInline="true"
```

```
xdb:maintainDOM="false" xdb:defaultTableSchema="XDB" xdb:numCols="1"
               minOccurs="0" maxOccurs="1"/>
      <element xdb:propNumber="766" name="Locks" type="xdb:locksType"</pre>
xdb:memType="258"
               xdb:system="false" xdb:mutable="true" xdb:JavaType="XMLType"
               xdb:global="false" xdb:hidden="true" xdb:transient="generated"
               nillable="false" abstract="false" xdb:SQLInline="true"
               xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
               xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0"
maxOccurs="1"/>
      <any xdb:propNumber="735" name="ResExtra" xdb:memType="258" xdb:system="false"</pre>
           xdb:mutable="false" xdb:SQLName="RESEXTRA" xdb:SQLType="CLOB"
           xdb:JavaType="XMLType" namespace="##other" minOccurs="0"
maxOccurs="65535"/>
    </sequence>
    <attribute xdb:propNumber="705" name="Hidden" type="boolean" xdb:memByteLength="1"</pre>
               xdb:memType="252" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="boolean" default="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="706" name="Invalid" type="boolean"</pre>
xdb:memByteLength="1"
               xdb:memType="252" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="boolean" default="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="707" name="VersionID" type="integer"</pre>
xdb:memByteLength="4"
               xdb:memType="3" xdb:system="false" xdb:mutable="false"
               xdb:SQLName="VERSIONID" xdb:SQLType="INTEGER" xdb:JavaType="long"/>
    <attribute xdb:propNumber="708" name="ActivityID" type="integer"</pre>
xdb:memByteLength="4"
               xdb:memType="3" xdb:system="false" xdb:mutable="false"
               xdb:SQLName="ACTIVITYID" xdb:SQLType="INTEGER" xdb:JavaType="long"/>
    <attribute xdb:propNumber="738" name="Container" type="boolean"</pre>
xdb:memByteLength="1"
               xdb:memType="252" xdb:system="false" xdb:mutable="true"
               xdb:JavaType="boolean" default="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="739" name="CustomRslv" type="boolean"</pre>
xdb:memByteLength="1"
               xdb:memType="252" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="boolean" default="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="742" name="VersionHistory" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="false" xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="743" name="StickyRef" type="boolean"</pre>
xdb:memByteLength="1"
               xdb:memType="252" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="boolean" default="false" xdb:hidden="false"
               xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="744" name="HierSchmResource" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="true" xdb:transient="generated" xdb:baseProp="false"/>
```

```
<attribute xdb:propNumber="753" name="SizeAccurate" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="true" xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="756" name="IsVersionable" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="true" xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="757" name="IsCheckedOut" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="true" xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="758" name="IsVersion" type="boolean"</pre>
xdb:memByteLength="1"
               xdb:memType="252" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="boolean" default="false" xdb:hidden="true"
               xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="759" name="IsVCR" type="boolean" xdb:memByteLength="1"</pre>
               xdb:memType="252" xdb:system="false" xdb:mutable="false"
               xdb:JavaType="boolean" default="false" xdb:hidden="true"
               xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="760" name="IsVersionHistory" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="true" xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="761" name="IsWorkspace" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="true" xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="776" name="HasUnresolvedLinks" type="boolean"</pre>
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="false" xdb:JavaType="boolean" default="false"
               xdb:hidden="false" xdb:transient="generated" xdb:baseProp="false"/>
    <attribute xdb:propNumber="777" name="IsXMLIndexed" type="boolean"
               xdb:memByteLength="1" xdb:memType="252" xdb:system="false"
               xdb:mutable="true" xdb:JavaType="boolean" default="false"
xdb:hidden="true"
               xdb:transient="generated" xdb:baseProp="false"/>
  </complexType>
  <element xdb:propNumber="734" name="Resource" type="xdb:ResourceType"</pre>
xdb:memType="258"
           xdb:system="false" xdb:mutable="false" xdb:SQLName="RESOURCE"
           xdb:SQLType="XDB$RESOURCE_T" xdb:SQLSchema="XDB" xdb:JavaType="XMLType"
           xdb:global="true" nillable="false" abstract="false" xdb:SQLInline="false"
           xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
           xdb:defaultTable="XDB$RESOURCE" xdb:defaultTableSchema="XDB"
           xdb:JavaClassname="oracle.xdb.ResourceBean"
           xdb:beanClassname="oracle.xdb.ResourceBean" xdb:numCols="33" minOccurs="1"
           maxOccurs="1"/>
  <element xdb:propNumber="775" name="Locks" type="xdb:locksType" xdb:memType="258"</pre>
           xdb:system="false" xdb:mutable="true" xdb:JavaType="XMLType"
xdb:global="false"
           xdb:hidden="false" nillable="false" abstract="false" xdb:SOLInline="true"
           xdb:JavaInline="false" xdb:MemInline="false" xdb:maintainDOM="false"
```

xdb:defaultTableSchema="XDB" xdb:numCols="1" minOccurs="0" maxOccurs="1"/>
</schema>

# A.2 XDBResConfig.xsd: XML Schema for Resource Configuration

A full listing is presented of the Oracle XML DB-supplied XML schema used to configure repository resources. It is accessible in Oracle XML DB Repository at path /sys/schemas/PUBLIC/xmlns.oracle.com/xdb/XDBResConfig.xsd.

### XDBResConfig.xsd

```
<schema xmlns="http://www.w3.org/2001/XMLSchema"</pre>
        targetNamespace="http://xmlns.oracle.com/xdb/XDBResConfig.xsd"
        xmlns:xdb="http://xmlns.oracle.com/xdb"
        xmlns:rescfq="http://xmlns.oracle.com/xdb/XDBResConfiq.xsd"
        elementFormDefault="qualified" xdb:schemaOwner="XDB" version="1.0">
  <annotation>
    <documentation>
      This XML schema declares the schema of an XDB resource configuration,
      which includes default ACL, event listeners and user configuration.
      It lists all XDB repository events that will be supported.
      Future extension can be added to support user-defined events and
      XML events.
    </documentation>
  </annotation>
  <simpleType name="language">
    <restriction base="string">
      <enumeration value="Java"/>
      <enumeration value="C"/>
      <enumeration value="PL/SQL"/>
    </restriction>
  </simpleType>
  <complexType name="existsNode">
    <all>
      <element name="XPath" type="string" minOccurs="1" maxOccurs="1"/>
      <element name="namespace" type="string" minOccurs="0" maxOccurs="1"/>
    </all>
  </complexType>
  <!-- listener pre-condition element -->
  <complexType name="condition">
    <all>
      <element name="existsNode" type="rescfg:existsNode" minOccurs="0"</pre>
maxOccurs="1"/>
    </all>
  </complexType>
  <complexType name="events">
      <element name="Render" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-Create" type="string" min0ccurs="0" max0ccurs="1"/>
      <element name="Post-Create" type="string" minOccurs="0" maxOccurs="1"/>
```



```
<element name="Pre-Delete" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-Delete" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-Update" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-Update" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-Lock" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-Lock" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-Unlock" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-Unlock" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-LinkIn" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-LinkIn" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-LinkTo" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-LinkTo" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-UnlinkIn" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-UnlinkIn" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-UnlinkFrom" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-UnlinkFrom" type="string" minOccurs="0"/ maxOccurs="1">
      <element name="Pre-CheckIn" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-CheckIn" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-CheckOut" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-CheckOut" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-UncheckOut" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-UncheckOut" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-VersionControl" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-VersionControl" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-Open" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Post-Open" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="Pre-InconsistentUpdate" type="string" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="Post-InconsistentUpdate" type="string" minOccurs="0"</pre>
maxOccurs="1"/>
    </all>
  </complexType>
  <!-- event listener element -->
  <complexType name="event-listener">
      <element name="description" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="schema" type="string" minOccurs="0" maxOccurs="1"/>
      <element name="source" type="string" minOccurs="1" maxOccurs="1"/>
      <element name="language" type="rescfg:language" minOccurs="0" maxOccurs="1"/>
      <element name="pre-condition" type="rescfg:condition" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="events" type="rescfg:events" minOccurs="0" maxOccurs="1"/>
    </all>
  </complexType>
  <complexType name="event-listeners">
      <element name="listener" type="rescfg:event-listener" minOccurs="1"</pre>
maxOccurs="unbounded"/>
    </sequence>
    <attribute name="default-schema" type="string" xdb:baseProp="true"</pre>
use="optional"/>
    <attribute name="default-language" type="rescfg:language" xdb:baseProp="true"</pre>
use="optional"/>
    <attribute name="set-invoker" type="boolean" xdb:baseProp="true" default="false"/>
  </complexType>
```

```
<complexType name="defaultPath">
    <all>
      <element name="pre-condition" type="rescfg:condition" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="path" type="string" minOccurs="0" maxOccurs="1"</pre>
xdb:transient="generated"/>
      <element name="resolvedpath" type="string" minOccurs="1" maxOccurs="1"</pre>
               xdb:baseProp="true" xdb:hidden="true"/>
      <element name="oid" type="hexBinary"minOccurs="1" maxOccurs="1"</pre>
               xdb:baseProp="true" xdb:hidden="true"/>
    </all>
  </complexType>
  <complexType name="defaultACL">
    <sequence>
      <element name="ACL" type="rescfg:defaultPath" minOccurs="1"</pre>
maxOccurs="unbounded"/>
    </sequence>
  </complexType>
  <complexType name="defaultConfig">
    <sequence>
      <element name="configuration" type="rescfg:defaultPath"</pre>
               minOccurs="1" maxOccurs="unbounded"/>
    </sequence>
  </complexType>
  <simpleType name="link-type">
    <restriction base="string">
      <enumeration value="None"/>
      <enumeration value="Hard"/>
      <enumeration value="Weak"/>
      <enumeration value="Symbolic"/>
    </restriction>
  </simpleType>
  <simpleType name="path-format">
    <restriction base="string">
      <enumeration value="OID"/>
      <enumeration value="Named"/>
    </restriction>
  </simpleType>
  <simpleType name="link-metadata">
    <restriction base="string">
      <enumeration value="None"/>
      <enumeration value="Attributes"/>
      <enumeration value="All"/>
    </restriction>
  </simpleType>
  <simpleType name="unresolved-link">
    <restriction base="string">
      <enumeration value="Error"/>
      <enumeration value="SymLink"/>
      <enumeration value="Skip"/>
    </restriction>
  </simpleType>
  <simpleType name="conflict-rule">
    <restriction base="string">
      <enumeration value="Error"/>
```



```
<enumeration value="Overwrite"/>
      <enumeration value="Syspath"/>
    </restriction>
  </simpleType>
  <simpleType name="section-type">
    <restriction base="string">
      <enumeration value="None"/>
      <enumeration value="Fragment"/>
      <enumeration value="Document"/>
    </restriction>
  </simpleType>
  <!-- XLinkConfig complex type -->
  <complexType name="xlink-config">
    <sequence>
      <element name="LinkType" type="rescfg:link-type"/>
      <element name="PathFormat" type="rescfg:path-format" minOccurs="0"</pre>
default="OID"/>
      <element name="LinkMetadata" type="rescfg:link-metadata" minOccurs="0"</pre>
default="None"/>
      <element name="pre-condition" type="rescfg:condition" minOccurs="0</pre>
"maxOccurs="1"/>
    </sequence>
    <attribute name="UnresolvedLink" type="rescfg:unresolved-link" default="Error"/>
  </complexType>
  <!-- XIncludeConfig element -->
  <complexType name="xinclude-config">
    <sequence>
      <element name="LinkType" type="rescfg:link-type"/>
      <element name="PathFormat" type="rescfg:path-format" minOccurs="0"</pre>
default="OID"/>
      <element name="ConflictRule" type="rescfg:conflict-rule" minOccurs="0"</pre>
default="Error"/>
    </sequence>
    <attribute name="UnresolvedLink" type="rescfg:unresolved-link" default="Error"/>
  </complexType>
  <!-- SectionConfig element -->
  <complexType name="section-config">
    <sequence>
      <element name="Section" maxOccurs="unbounded">
        <complexType>
          <sequence>
            <element name="sectionPath" type="string"/>
            <element name="documentPath" type="string" minOccurs="0"/>
            <element name="namespace" type="string" minOccurs="0"/>
          </sequence>
          <attribute name="type" type="rescfg:section-type" default="None"/>
        </complexType>
      </element>
    </sequence>
  </complexType>
  <!-- ContentFormat element -->
  <simpleType name="content-format">
    <restriction base="string">
      <enumeration value="text"/>
      <enumeration value="binary"/>
```

```
</restriction>
  </simpleType>
  <!-- resource configuration element -->
  <complexType name="ResConfig">
    <all>
      <element name="defaultChildConfig" type="rescfg:defaultConfig" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="defaultChildACL" type="rescfg:defaultACL" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="event-listeners" type="rescfg:event-listeners" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="XLinkConfig" type="rescfg:xlink-config" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="XIncludeConfig" type="rescfg:xinclude-config" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="SectionConfig" type="rescfg:section-config" minOccurs="0"</pre>
maxOccurs="1"/>
      <element name="ContentFormat" type="rescfg:content-format" minOccurs="0"</pre>
maxOccurs="1"/>
      <!-- application data -->
      <element name="applicationData" minOccurs="0" maxOccurs="1">
        <complexType>
          <sequence>
            <any namespace="##other" maxOccurs="unbounded" processContents="lax"/>
          </sequence>
        </complexType>
      </element>
    </all>
    <attribute name="enable" type="boolean" xdb:baseProp="true" default="true"/>
    <attribute name="copy-on-inconsistent-update" type="boolean" use="optional"/>
  </complexType>
  <element name="ResConfig" type="rescfg:ResConfig" xdb:defaultTable="XDB$RESCONFIG"/>
</schema>
```

# A.3 acl.xsd: XML Schema for ACLs

The Oracle Database-supplied XML schema used to represent access control lists (ACLs) is presented.

#### acl.xsd

```
Note: The elements and attributes marked "hidden" are for
         internal use only.
    </documentation>
    <appinfo>
      <xdb:systemPrivileges>
        <xdbacl:all>
          <xdbacl:read-properties/>
          <xdbacl:read-contents/>
          <xdbacl:read-acl/>
          <xdbacl:update/>
          <xdbacl:link/>
          <xdbacl:unlink/>
          <xdbacl:unlink-from/>
          <xdbacl:write-acl-ref/>
          <xdbacl:update-acl/>
          <xdbacl:link-to/>
          <xdbacl:resolve/>
          <xdbacl:write-config/>
        </xdbacl:all>
      </xdb:systemPrivileges>
    </appinfo>
  </annotation>
  <!-- privilegeNameType (this is an emptycontent type) -->
  <complexType name = "privilegeNameType"/>
  <!-- privilegeName element
       All system and user privileges are in the substitutionGroup
       of this element.
  <element name = "privilegeName" type="xdbacl:privilegeNameType"</pre>
           xdb:defaultTable=""/>
  <!-- all system privileges in the XDB ACL namespace -->
  <element name = "read-properties" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "read-contents" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "read-acl" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "update" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "link" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "unlink" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "unlink-from" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "write-acl-ref" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
```

```
<element name = "update-acl" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "link-to" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "resolve" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <element name = "all" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
  <!-- privilege element -->
  <element name = "privilege" xdb:defaultTable="">
    <complexType>
      <sequence>
        <any max0ccurs="unbounded" processContents="lax"/>
      </sequence>
    </complexType>
  </element>
  <!-- ace element -->
  <element name = "ace" xdb:defaultTable="">
    <complexType>
      <sequence>
        <element name = "grant" type = "boolean"/>
          <element name="invert" xdb:transient="generated">
            <complexType>
              <sequence>
                 <element name="principal" type="string"</pre>
                          xdb:transient="generated" />
               </sequence>
            </complexType>
          </element>
          <element name="principal" type="string"</pre>
xdb:transient="generated"/>
        </choice>
        <element ref="xdbacl:privilege" minOccurs="1"/>
        <!-- "any" contain all app info for an ACE e.g.reason for
creation -->
        <any minOccurs="0" maxOccurs="unbounded" namespace="##other"</pre>
             processContents="lax"/>
        <!-- HIDDEN ELEMENTS -->
        <choice minOccurs="0">
          <element name = "principalID" type = "hexBinary"</pre>
                    xdb:baseProp="true" xdb:hidden="true"/>
          <element name = "principalString" type = "string"</pre>
                    xdb:baseProp="true" xdb:hidden="true"/>
        </choice>
        <element name = "flags" type = "unsignedInt" minOccurs="0"</pre>
                  xdb:baseProp="true" xdb:hidden="true"/>
      </sequence>
      <attribute name = "collection" type = "boolean"</pre>
                  xdb:transient="generated" use="optional"/>
      <attribute name = "principalFormat"</pre>
```

```
xdb:transient="generated" use="optional">
        <simpleType>
          <restriction base="string">
            <enumeration value="ShortName"/>
            <enumeration value="DistinguishedName"/>
            <enumeration value="GUID"/>
            <enumeration value="XSName"/>
            <enumeration value="ApplicationName"/>
          </restriction>
        </simpleType>
      </attribute>
      <attribute name = "start_date" type = "dateTime" use =</pre>
"optional"/>
      <attribute name = "end_date" type = "dateTime" use =</pre>
"optional"/>
    </complexType>
  </element>
  <!-- acl element -->
  <complexType name="inheritanceType">
    <attribute name="type" type="string" use="required"/>
    <attribute name="href" type="string" use="required"/>
  </complexType>
  <complexType name="aclType">
    <sequence>
      <element name = "schemaURL" type = "string" minOccurs="0"</pre>
               xdb:transient="generated"/>
      <element name = "elementName" type = "string" minOccurs="0"</pre>
               xdb:transient="generated"/>
      <element name = "security-class" type = "QName" minOccurs="0"/>
      <choice minOccurs="0">
        <element name="extends-from" type="xdbacl:inheritanceType"/>
        <element name="constrained-with" type="xdbacl:inheritanceType"/>
      </choice>
      <element ref = "xdbacl:ace" minOccurs="0" maxOccurs =</pre>
"unbounded"/>
      <!-- this "any" contains all application specific info for an
ACL,
           e.g., reason for creation -->
      <any minOccurs="0" maxOccurs="unbounded" namespace="##other"</pre>
           processContents="lax"/>
      <!-- HIDDEN ELEMENTS -->
      <element name = "schemaOID" type = "hexBinary" minOccurs="0"</pre>
               xdb:baseProp="true" xdb:hidden="true"/>
      <element name = "elementNum" type = "unsignedInt" minOccurs="0"</pre>
               xdb:baseProp="true" xdb:hidden="true"/>
    </sequence>
    <attribute name = "shared" type = "boolean" default="true"/>
    <attribute name = "description" type = "string"/>
  </complexType>
  <complexType name="rule-based-acl">
    <complexContent>
      <extension base="xdbacl:aclType">
        <sequence>
          <element name = "param" minOccurs="0" maxOccurs="unbounded">
            <complexType>
```

```
<simpleContent>
                 <extension base="string">
                   <attribute name = "name" type = "string" use =</pre>
"required"/>
                 </extension>
               </simpleContent>
            </complexType>
          </element>
        </sequence>
      </extension>
    </complexContent>
  </complexType>
  <element name = "acl" type="xdbacl:aclType" xdb:defaultTable =</pre>
"XDB$ACL"/>
  <element name = "write-config" type="xdbacl:privilegeNameType"</pre>
           substitutionGroup="xdbacl:privilegeName"
xdb:defaultTable=""/>
 </schema>
```

# A.4 xdbconfig.xsd: XML Schema for Configuring Oracle XML DB

A full listing is presented of file xdbconfig.xsd, which contains the XML schema used to configure Oracle XML DB.



The value of attribute value of element pattern has been split here for documentation purposes. In reality, the value is not split (no newline characters), but is one long string.

#### xdbconfig.xsd

```
<element name="invalid-pathname-chars" type="string" default=""/>
<element name="case-sensitive" type="boolean" default="true"/>
<element name="call-timeout" type="unsignedInt" default="300"/>
<element name="max-link-queue" type="unsignedInt" default="65536"/>
<element name="max-session-use" type="unsignedInt" default="100"/>
<element name="persistent-sessions" type="boolean" default="false"/>
<element name="default-lock-timeout" type="unsignedInt"</pre>
         default="3600"/>
<element name="xdbcore-logfile-path" type="string"</pre>
         default="/sys/log/xdblog.xml"/>
<element name="xdbcore-log-level" type="unsignedInt"</pre>
         default="0"/>
<element name="resource-view-cache-size" type="unsignedInt"</pre>
         default="1048576"/>
<element name="case-sensitive-index-clause" type="string"</pre>
         minOccurs="0"/>
<!-- protocol specific properties -->
<element name="protocolconfig">
  <complexType>
    <sequence>
      <!-- these apply to all protocols -->
      <element name="common">
        <complexType>
          <sequence>
            <element name="extension-mappings">
               <complexType>
                 <sequence>
                   <element name="mime-mappings"</pre>
                            type="xdbc:mime-mapping-type"/>
                   <element name="lang-mappings"</pre>
                            type="xdbc:lang-mapping-type"/>
                   <element name="charset-mappings"</pre>
                            type="xdbc:charset-mapping-type"/>
                   <element name="encoding-mappings"</pre>
                            type="xdbc:encoding-mapping-type"/>
                   <element name="xml-extensions"</pre>
                            type="xdbc:xml-extension-type"
                            minOccurs="0"/>
                 </sequence>
              </complexType>
            </element>
            <element name="session-pool-size" type="unsignedInt"</pre>
                      default="50"/>
            <element name="session-timeout" type="unsignedInt"</pre>
                      default="6000"/>
          </sequence>
        </complexType>
      </element>
      <!-- FTP specific -->
      <element name="ftpconfig">
        <complexType>
          <sequence>
```

```
<element name="ftp-port" type="unsignedShort"</pre>
                default="2100"/>
      <element name="ftp-listener" type="string"/>
      <element name="ftp-protocol" type="string"/>
      <element name="logfile-path" type="string"</pre>
                default="/sys/log/ftplog.xml"/>
      <element name="log-level" type="unsignedInt"</pre>
                default="0"/>
      <element name="session-timeout" type="unsignedInt"</pre>
                default="6000"/>
      <element name="buffer-size" default="8192">
        <simpleType>
          <restriction base="unsignedInt">
             <minInclusive value="1024"/>
                                                  <!-- 1KB -->
             <maxInclusive value="1048496"/>
                                                  <!-- 1MB -->
          </restriction>
        </simpleType>
      </element>
      <element name="ftp-welcome-message" type="string"</pre>
                minOccurs="0" maxOccurs="1"/>
      <element name="host-name" type="string"</pre>
                minOccurs="0" maxOccurs="1"/>
    </sequence>
  </complexType>
</element>
<!-- HTTP specific -->
<element name="httpconfig">
  <complexType>
    <sequence>
      <element name="http-port" type="unsignedShort"</pre>
                default="8080"/>
      <element name="http-listener" type="string"/>
      <element name="http-protocol" type="string"/>
      <element name="max-http-headers" type="unsignedInt"</pre>
                default="64"/>
      <element name="max-header-size" type="unsignedInt"</pre>
                default="4096"/>
      <element name="max-request-body" type="unsignedInt"</pre>
                default="2000000000" minOccurs="1"/>
      <element name="session-timeout" type="unsignedInt"</pre>
                default="6000"/>
      <element name="server-name" type="string"/>
      <element name="logfile-path" type="string"</pre>
                default="/sys/log/httplog.xml"/>
      <element name="log-level" type="unsignedInt"</pre>
                default="0"/>
      <element name="servlet-realm" type="string"</pre>
                minOccurs="0"/>
      <element name="webappconfig">
        <complexType>
          <sequence>
             <element name="welcome-file-list"</pre>
                      type="xdbc:welcome-file-type"/>
             <element name="error-pages"</pre>
```

```
type="xdbc:error-page-type"/>
      <element name="servletconfig"</pre>
                type="xdbc:servlet-config-type"/>
    </sequence>
  </complexType>
</element>
<element name="default-url-charset" type="string"</pre>
         minOccurs="0"/>
<element name="http2-port" type="unsignedShort"</pre>
         minOccurs="0"/>
<element name="http2-protocol" type="string"</pre>
         default="tcp" minOccurs="0"/>
<element name="plsql" minOccurs="0">
  <complexType>
    <sequence>
      <element name="log-level"</pre>
                type="unsignedInt" minOccurs="0"/>
      <element name="max-parameters"</pre>
                type="unsignedInt" minOccurs="0"/>
    </sequence>
  </complexType>
</element>
<element name="allow-repository-anonymous-access"</pre>
         minOccurs="0" default="false" type="boolean"/>
<element name="authentication" minOccurs="0"</pre>
         maxOccurs="1">
  <complexType>
    <sequence>
      <element name="allow-mechanism" minOccurs="1"</pre>
                maxOccurs="unbounded">
        <simpleType>
          <restriction base="string">
            <enumeration value="digest"/>
            <enumeration value="basic" />
            <enumeration value="custom"/>
          </restriction>
        </simpleType>
      </element>
      <element name="digest-auth" minOccurs="0"</pre>
                maxOccurs="1">
        <complexType>
          <sequence>
             <element name="nonce-timeout"</pre>
                      type="unsignedInt"
                      minOccurs="1" maxOccurs="1"
                      default="300"/>
          </sequence>
        </complexType>
      </element>
    </sequence>
  </complexType>
</element>
<element name="http-host" type="string" minOccurs="0"/>
<element name="http2-host" type="string" minOccurs="0"/>
<element name="custom-authentication"</pre>
```

```
type="xdbc:custom-authentication-type"
                      minOccurs="0"/>
             <element name="realm" type="string" minOccurs="0"/>
             <element name="respond-with-server-info" type="boolean"</pre>
                      default="true" minOccurs="0"/>
             <element name="expire" type="xdbc:expire-type"</pre>
                      minOccurs="0"/>
             <element name="white-list" minOccurs="0">
               <complexType>
                 <sequence>
                   <element name="white-list-pattern" minOccurs="0"</pre>
                            maxOccurs="unbounded">
                     <simpleType>
                       <restriction base="string">
                         <pattern value="(/[^*/]+)*(/^*)?"/>
                       </restriction>
                     </simpleType>
                   </element>
                 </sequence>
               </complexType>
             </element>
          </sequence>
        </complexType>
      </element>
      <element name="nfsconfig" minOccurs="0">
        <complexType>
          <sequence>
             <element name="nfs-port" type="unsignedShort"</pre>
                      default="2049"/>
             <element name="nfs-listener" type="string"/>
             <element name="nfs-protocol" type="string"/>
             <element name="logfile-path" type="string"</pre>
                      default="/sys/log/nfslog.xml"/>
             <element name="log-level" type="unsignedInt"</pre>
                      default="0"/>
             <element name="nfs-exports"</pre>
                      type="xdbc:nfs-exports-type"/>
          </sequence>
        </complexType>
      </element>
    </sequence>
  </complexType>
</element>
<element name="schemaLocation-mappings"</pre>
         type="xdbc:schemaLocation-mapping-type" minOccurs="0"/>
<element name="xdbcore-xobmem-bound" type="unsignedInt"</pre>
         default="1024" minOccurs="0"/>
<element name="xdbcore-loadableunit-size" type="unsignedInt"</pre>
         default="16" minOccurs="0"/>
<element name="folder-hard-links" type="boolean" default="false"</pre>
         minOccurs="0"/>
<element name="non-folder-hard-links" type="boolean" default="true"</pre>
         minOccurs="0"/>
<element name="copy-on-inconsistent-update" type="boolean"</pre>
         default="false" minOccurs="0"/>
```

```
<element name="rollback-on-sync-error" type="boolean"</pre>
                     default="false" minOccurs="0"/>
            <element name="acl-evaluation-method" default="deny-trumps-grant"</pre>
                     minOccurs="0">
              <simpleType>
                <restriction base="string">
                  <enumeration value="deny-trumps-grant"/>
                  <enumeration value="ace-order"/>
                </restriction>
              </simpleType>
            </element>
            <element name="default-workspace" type="string" minOccurs="0"/>
            <element name="num_job_queue_processes" type="unsignedInt"</pre>
                     minOccurs="0"/>
            <element name="allow-authentication-trust" type="boolean"</pre>
                     default="false" minOccurs="0"/>
            <element name="custom-authentication-trust"</pre>
                     type="xdbc:custom-authentication-trust-type"
                     minOccurs="0"/>
            <element name="default-type-mappings" minOccurs="0">
              <simpleType>
                <restriction base="string">
                  <enumeration value="pre-11.2"/>
                  <enumeration value="post-11.2"/>
                </restriction>
              </simpleType>
            </element>
            <element name="localApplicationGroupStore" type="boolean"</pre>
                     default="true" minOccurs="0"/>
          </sequence>
        </complexType>
      </element>
      <!-- users can add any properties they want here -->
      <element name="userconfig" minOccurs="0">
        <complexType>
          <sequence>
            <any max0ccurs="unbounded" namespace="##other"/>
          </sequence>
        </complexType>
      </element>
    </sequence>
  </complexType>
</element>
<complexType name="welcome-file-type">
  <sequence>
    <element name="welcome-file" minOccurs="0" maxOccurs="unbounded">
      <simpleType>
        <restriction base="string">
          <pattern value="[^/]*"/>
        </restriction>
      </simpleType>
    </element>
  </sequence>
</complexType>
```

```
<!-- customized error pages -->
<complexType name="error-page-type">
  <sequence>
    <element name="error-page" minOccurs="0" maxOccurs="unbounded">
      <complexType>
        <sequence>
          <choice>
            <element name="error-code">
              <simpleType>
                <restriction base="positiveInteger">
                  <minInclusive value="100"/>
                  <maxInclusive value="999"/>
                </restriction>
              </simpleType>
            </element>
            <!-- Fully qualified classname of a Java exception type -->
            <element name="exception-type" type="string"/>
            <element name="OracleError">
              <complexType>
                <sequence>
                   <element name="facility" type="string" default="ORA"/>
                   <element name="errnum" type="unsignedInt"/>
                </sequence>
              </complexType>
            </element>
          </choice>
          <element name="location" type="anyURI"/>
        </sequence>
      </complexType>
    </element>
  </sequence>
</complexType>
<!-- parameter for a servlet: name, value pair and a description -->
<complexType name="param">
  <sequence>
    <element name="param-name" type="string"/>
    <element name="param-value" type="string"/>
    <element name="description" type="string"/>
  </sequence>
</complexType>
<complexType name="servlet-config-type">
  <sequence>
    <element name="servlet-mappings">
      <complexType>
        <sequence>
          <element name="servlet-mapping" minOccurs="0"</pre>
                   maxOccurs="unbounded">
            <complexType>
              <sequence>
                <element name="servlet-pattern" type="string"/>
                <element name="servlet-name" type="string"/>
              </sequence>
```

```
</complexType>
      </element>
    </sequence>
  </complexType>
</element>
<element name="servlet-list">
  <complexType>
    <sequence>
      <element name="servlet" minOccurs="0" maxOccurs="unbounded">
        <complexType>
          <sequence>
            <element name="servlet-name" type="string"/>
            <element name="servlet-language">
              <simpleType>
                <restriction base="string">
                  <enumeration value="C"/>
                  <enumeration value="Java"/>
                  <enumeration value="PL/SQL"/>
                </restriction>
              </simpleType>
            </element>
            <element name="icon" type="string" min0ccurs="0"/>
            <element name="display-name" type="string"/>
            <element name="description" type="string" minOccurs="0"/>
            <choice>
              <element name="servlet-class" type="string" minOccurs="0"/>
              <element name="jsp-file" type="string" minOccurs="0"/>
              <element name="plsql" type="xdbc:plsql-servlet-config"</pre>
                       minOccurs="0"/>
            </choice>
            <element name="servlet-schema" type="string" minOccurs="0"/>
            <element name="init-param" minOccurs="0"</pre>
                     maxOccurs="unbounded" type="xdbc:param"/>
            <element name="load-on-startup" type="string" minOccurs="0"/>
            <element name="security-role-ref" minOccurs="0"</pre>
                     maxOccurs="unbounded">
              <complexType>
                <sequence>
                  <element name="description" type="string" minOccurs="0"/>
                  <element name="role-name" type="string"/>
                  <element name="role-link" type="string"/>
                </sequence>
              </complexType>
            </element>
            <!-- session-state-cache-param captures all the parameters
                 of the session state cache. expiration-timeout is
                 specified in centi-seconds. -->
            <element name="session-state-cache-param" min0ccurs="0">
              <complexType>
                <sequence>
                  <element name="cache-size" type="unsignedInt"/>
                  <element name="expiration-timeout" type="unsignedInt"/>
                </sequence>
              </complexType>
            </element>
```

```
</sequence>
            </complexType>
          </element>
        </sequence>
      </complexType>
    </element>
  </sequence>
</complexType>
<complexType name="lang-mapping-type">
  <sequence>
    <element name="lang-mapping" minOccurs="0" maxOccurs="unbounded">
      <complexType>
        <sequence>
          <element name="extension" type="xdbc:exttype"/>
          <element name="lang" type="string"/>
        </sequence>
      </complexType>
    </element>
  </sequence>
</complexType>
<complexType name="charset-mapping-type">
  <sequence>
    <element name="charset-mapping" minOccurs="0" maxOccurs="unbounded">
      <complexType>
        <sequence>
          <element name="extension" type="xdbc:exttype"/>
          <element name="charset" type="string"/>
        </sequence>
      </complexType>
    </element>
  </sequence>
</complexType>
<complexType name="encoding-mapping-type">
  <sequence>
    <element name="encoding-mapping" minOccurs="0" maxOccurs="unbounded">
      <complexType>
        <sequence>
          <element name="extension" type="xdbc:exttype"/>
          <element name="encoding" type="string"/>
        </sequence>
      </complexType>
    </element>
  </sequence>
</complexType>
<complexType name="mime-mapping-type">
  <sequence>
    <element name="mime-mapping" minOccurs="0" maxOccurs="unbounded">
      <complexType>
        <sequence>
          <element name="extension" type="xdbc:exttype"/>
          <element name="mime-type" type="string"/>
        </sequence>
      </complexType>
    </element>
  </sequence>
```



```
</complexType>
<complexType name="xml-extension-type">
  <sequence>
    <element name="extension" type="xdbc:exttype"</pre>
             minOccurs="0" maxOccurs="unbounded">
    </element>
  </sequence>
</complexType>
<complexType name="schemaLocation-mapping-type">
  <sequence>
    <element name="schemaLocation-mapping"</pre>
            minOccurs="0" maxOccurs="unbounded">
      <complexType>
        <sequence>
          <element name="namespace" type="string"/>
          <element name="element" type="string"/>
          <element name="schemaURL" type="string"/>
        </sequence>
      </complexType>
    </element>
  </sequence>
</complexType>
<complexType name="plsql-servlet-config">
  <sequence>
    <element name="database-username" type="string" minOccurs="0"/>
    <element name="authentication-mode" minOccurs="0">
      <simpleType>
        <restriction base="string">
          <enumeration value="Basic"/>
          <enumeration value="SingleSignOn"/>
          <enumeration value="GlobalOwa"/>
          <enumeration value="CustomOwa"/>
          <enumeration value="PerPackageOwa"/>
        </restriction>
      </simpleType>
    </element>
    <element name="session-cookie-name" type="string" minOccurs="0"/>
    <element name="session-state-management" minOccurs="0">
      <simpleType>
        <restriction base="string">
          <enumeration value="StatelessWithResetPackageState"/>
          <enumeration value="StatelessWithFastResetPackageState"/>
          <enumeration value="StatelessWithPreservePackageState"/>
        </restriction>
      </simpleType>
    </element>
    <element name="max-requests-per-session" type="unsignedInt" minOccurs="0"/>
    <element name="default-page" type="string" minOccurs="0"/>
    <element name="document-table-name" type="string" minOccurs="0"/>
    <element name="document-path" type="string" minOccurs="0"/>
    <element name="document-procedure" type="string" minOccurs="0"/>
    <element name="upload-as-long-raw" type="string" minOccurs="0"</pre>
             maxOccurs="unbounded"/>
    <element name="path-alias" type="string" minOccurs="0"/>
    <element name="path-alias-procedure" type="string" min0ccurs="0"/>
```



```
<element name="exclusion-list" type="string" minOccurs="0"</pre>
         maxOccurs="unbounded"/>
<element name="cgi-environment-list" type="string" minOccurs="0"</pre>
         maxOccurs="unbounded"/>
<element name="compatibility-mode" type="unsignedInt" minOccurs="0"/>
<element name="nls-language" type="string" minOccurs="0"/>
<element name="fetch-buffer-size" type="unsignedInt" minOccurs="0"/>
<element name="error-style" minOccurs="0">
  <simpleType>
    <restriction base="string">
      <enumeration value="ApacheStyle"/>
      <enumeration value="ModplsqlStyle"/>
      <enumeration value="DebugStyle"/>
    </restriction>
  </simpleType>
</element>
<element name="transfer-mode" minOccurs="0">
  <simpleType>
    <restriction base="string">
      <enumeration value="Char"/>
      <enumeration value="Raw"/>
    </restriction>
  </simpleType>
</element>
<element name="before-procedure" type="string" min0ccurs="0"/>
<element name="after-procedure" type="string" min0ccurs="0"/>
<element name="bind-bucket-lengths" type="unsignedInt" minOccurs="0"</pre>
         maxOccurs="unbounded"/>
<element name="bind-bucket-widths" type="unsignedInt" minOccurs="0"</pre>
         maxOccurs="unbounded"/>
<element name="always-describe-procedure" min0ccurs="0">
  <simpleType>
    <restriction base="string">
      <enumeration value="On"/>
      <enumeration value="Off"/>
    </restriction>
  </simpleType>
</element>
<element name="info-logging" minOccurs="0">
  <simpleType>
    <restriction base="string">
      <enumeration value="InfoDebug"/>
    </restriction>
  </simpleType>
</element>
<element name="owa-debug-enable" minOccurs="0">
  <simpleType>
    <restriction base="string">
      <enumeration value="On"/>
      <enumeration value="Off"/>
    </restriction>
  </simpleType>
</element>
<element name="request-validation-function" type="string" minOccurs="0"/>
<element name="input-filter-enable" minOccurs="0">
```

```
<simpleType>
        <restriction base="string">
          <enumeration value="On"/>
          <enumeration value="Off"/>
          <enumeration value="SecurityOn"/>
          <enumeration value="SecurityOff"/>
        </restriction>
      </simpleType>
    </element>
    <element name="database-edition" type="string" minOccurs="0"/>
  </sequence>
</complexType>
<simpleType name="exttype">
  <restriction base="string">
    <pattern value="[^\*\./]*"/>
  </restriction>
</simpleType>
<simpleType name="ipaddress">
  <restriction base="string">
    <maxLength value="40" />
  </restriction>
</simpleType>
<complexType name="nfs-exports-type">
  <sequence>
    <element name="nfs-export" minOccurs="1" maxOccurs="unbounded">
      <complexType>
        <sequence>
          <element name="nfs-clientgroup">
            <complexType>
              <sequence>
                 <element name="nfs-client" minOccurs="1" maxOccurs="unbounded">
                   <complexType>
                     <sequence>
                       <choice>
                         <element name="nfs-client-subnet"</pre>
                                  type="xdbc:ipaddress"/>
                         <element name="nfs-client-dnsname" type="string"/>
                         <element name="nfs-client-address"</pre>
                                  type="xdbc:ipaddress"/>
                       </choice>
                       <element name="nfs-client-netmask"</pre>
                                type="xdbc:ipaddress"/>
                     </sequence>
                   </complexType>
                </element>
              </sequence>
            </complexType>
          </element>
          <element name="nfs-export-paths">
            <complexType>
              <sequence>
                 <element name="nfs-export-path" minOccurs="1"</pre>
                          maxOccurs="unbounded">
                   <complexType>
                     <sequence>
```

```
<element name="path" type="string"/>
                       <element name="mode">
                         <simpleType>
                           <restriction base="string">
                             <enumeration value="read-write"/>
                             <enumeration value="read-only"/>
                           </restriction>
                         </simpleType>
                       </element>
                     </sequence>
                  </complexType>
                </element>
              </sequence>
            </complexType>
          </element>
        </sequence>
      </complexType>
    </element>
  </sequence>
</complexType>
<complexType name="custom-authentication-type">
  <sequence>
    <element name="custom-authentication-mappings">
      <complexType>
        <sequence>
          <element name="custom-authentication-mapping" minOccurs="0"</pre>
                   maxOccurs="unbounded">
            <complexType>
              <sequence>
                <element name="authentication-pattern" type="string"/>
                <element name="authentication-name" type="string"/>
                <element name="authentication-trust-name" type="string"</pre>
                         minOccurs="0"/>
                <element name="user-prefix" type="string" minOccurs="0"/>
                <element name="on-deny" minOccurs="0">
                  <simpleType><restriction base="string">
                     <enumeration value="next-custom"/>
                     <enumeration value="basic"/>
                  </restriction></simpleType>
                </element>
              </sequence>
            </complexType>
          </element>
        </sequence>
      </complexType>
    </element>
    <element name="custom-authentication-list">
      <complexType>
        <sequence>
          <element name="authentication" minOccurs="0" maxOccurs="unbounded">
            <complexType>
              <sequence>
                 <element name="authentication-name" type="string"/>
                <element name="authentication-description" type="string"</pre>
                         minOccurs="0"/>
```

```
<element name="authentication-implement-schema" type="string"/>
                  <element name="authentication-implement-method" type="string"/>
                  <element name="authentication-implement-language">
                    <simpleType>
                      <restriction base="string">
                        <enumeration value="PL/SQL"/>
                      </restriction>
                    </simpleType>
                  </element>
                </sequence>
              </complexType>
            </element>
          </sequence>
        </complexType>
      </element>
      <element name="custom-authentication-trust"</pre>
               type="xdbc:custom-authentication-trust-type" minOccurs="0"/>
    </sequence>
 </complexType>
 <complexType name="custom-authentication-trust-type">
    <sequence>
      <element name="trust-scheme" minOccurs="0" maxOccurs="unbounded">
        <complexType>
          <sequence>
            <element name="trust-scheme-name" type="string"/>
            <element name="requireParsingSchema" type="boolean" default="true"</pre>
                     minOccurs="0"/>
            <element name="allowRegistration" type="boolean" default="true"</pre>
                     minOccurs="0"/>
            <element name="trust-scheme-description" type="string" minOccurs="0"/>
            <element name="trusted-session-user" type="string" min0ccurs="1"</pre>
                     maxOccurs="unbounded"/>
            <element name="trusted-parsing-schema" type="string" minOccurs="0"</pre>
                     maxOccurs="unbounded"/>
          </sequence>
       </complexType>
      </element>
    </sequence>
 </complexType>
 <complexType name="expire-type">
    <sequence>
      <element name="expire-mapping" minOccurs="0" maxOccurs="unbounded">
        <complexType>
          <sequence>
            <element name="expire-pattern" type="string"/>
            <element name="expire-default">
              <simpleType>
                <restriction base="string">
                  <pattern value=</pre>
"(now|modification)(\s(plus))?(\s(([1]\s(year))|
([0-9]*\s(years))))?(\s(([1]\s(month))|([0-9]*\s(months))))?(\s(([1]\s(week))|)))
([0-9]*\s(weeks))))?(\s(([1]\s(day)))([0-9]*\s(days))))?(\s(([1]\s(hour))))
([0-9]*\s(hours)))?(\s(([1]\s(minute)))
([0-9]*\s(minutes))))?(\s(([1]\s(second))|([0-9]*\s(seconds))))?"/>1
                </restriction>
```

# A.5 xdiff.xsd: XML Schema for Comparing Schemas for In-Place Evolution

A full listing is presented of xdiff.xsd, the Oracle XML DB-supplied XML schema to which the document specified as the diffXML parameter to procedure DBMS\_XMLSCHEMA.inPlaceEvolve must conform.

#### xdiff.xsd

```
<schema targetNamespace="http://xmlns.oracle.com/xdb/xdiff.xsd"</pre>
   xmlns="http://www.w3.org/2001/XMLSchema"
   xmlns:xd="http://xmlns.oracle.com/xdb/xdiff.xsd"
   version="1.0" elementFormDefault="qualified"
    attributeFormDefault="qualified">
    <annotation>
        <documentation xml:lang="en">
         Defines the structure of XML documents that capture the
difference
         between two XML documents. Changes that are not supported by
Oracle
         XmlDiff may not be expressible in this schema.
        'oracle-xmldiff' PI:
        We use 'oracle-xmldiff' PI to describe certain aspects of the
diff.
        This should be the first element of top level xdiff element.
        operations-in-docorder:
        Can be either 'true' or 'false'.
        If true, the operations in the diff document refer to the
        elements of the input doc in the same order as document order.
Output of
        global algorithm meets this requirement while local does not.
        output-model: output models for representing the diff. Can be
either
        'Snapshot' or 'Current'.
        Snapshot model:
```

<sup>&</sup>lt;sup>1</sup> The value of attribute value has been split here for documentation purposes. In reality, the value is one long string, with no line breaks.

```
Each operation uses Xpaths as if no operations
        have been applied to the input document. (like UNIX diff)
        Default and works for both Xmldiff and XmlPatch.
        For XmlPatch to handle this model, "operations-in-docorder"
must be
        true and the Xpaths must be simple. (see XmlDif C API
documentation).
        Current model:
        Each operation uses Xpaths as if all operations till the
previous one
        have been applied to the input document. Not implemented for
Xmldiff.
        Works with XmlPatch.
        <!-- Example:
            <?oracle-xmldiff operations-in-docorder="true" output-model=</pre>
            "snapshot" diff-algorithm="global"?>
        </documentation>
    </annotation>
    <!-- Enumerate the supported node types -->
    <simpleType name="xdiff-nodetype">
        <restriction base="string">
            <enumeration value="element"/>
            <enumeration value="attribute"/>
            <enumeration value="text"/>
            <enumeration value="cdata"/>
            <enumeration value="entity-reference"/>
            <enumeration value="entity"/>
            <enumeration value="processing-instruction"/>
            <enumeration value="notation"/>
            <enumeration value="comment"/>
         </restriction>
    </simpleType>
    <element name="xdiff">
        <complexType>
            <choice minOccurs="0" maxOccurs="unbounded">
                <element name="append-node">
                    <complexType>
                        <sequence>
                             <element name="content" type="anyType"/>
                        </sequence>
                        <attribute name="node-type" type="xd:xdiff-
nodetype"/>
                        <attribute name="xpath" type="string"/>
                        <attribute name="parent-xpath" type="string"/>
                        <attribute name="attr-local" type="string"/>
                         <attribute name="attr-uri" type="string"/>
                    </complexType>
                </element>
                <element name="insert-node-before">
                    <complexType>
```

```
<sequence>
                            <element name="content" type="anyType"/>
                        </sequence>
                        <attribute name="xpath" type="string"/>
                        <attribute name="node-type" type="xd:xdiff-
nodetype"/>
                    </complexType>
                </element>
                <element name="delete-node">
                    <complexType>
                        <attribute name="node-type" type="xd:xdiff-
nodetype"/>
                        <attribute name="xpath" type="string"/>
                        <attribute name="parent-xpath" type="string"/>
                        <attribute name="attr-local" type="string"/>
                        <attribute name="attr-uri" type="string"/>
                    </complexType>
                </element>
                 <element name="update-node">
                    <complexType>
                        <sequence>
                            <element name="content" type="anyType"/>
                        </sequence>
                        <attribute name="node-type" type="xd:xdiff-</pre>
nodetype"/>
                        <attribute name="parent-xpath" type="string"/>
                        <attribute name="xpath" type="string"/>
                        <attribute name="attr-local" type="string"/>
                        <attribute name="attr-uri" type="string"/>
                    </complexType>
                </element>
                <element name="rename-node">
                    <complexType>
                        <sequence>
                            <element name="content" type="anyType"/>
                        </sequence>
                        <attribute name="xpath" type="string"/>
                        <attribute name="node-type" type="xd:xdiff-
nodetype"/>
                    </complexType>
                </element>
            </choice>
         <attribute name="xdiff-version" type="string"/>
        </complexType>
    </element>
</schema>
```



## A.6 Purchase-Order XML Schemas

The full listings of purchase-order XML schemas that are used in various examples are presented.

Example A-1 shows an unannotated purchase-order XML schema.

### Example A-1 Unannotated Purchase-Order XML Schema

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" version="1.0">
  <xs:element name="PurchaseOrder" type="PurchaseOrderType"/>
  <xs:complexType name="PurchaseOrderType">
    <xs:sequence>
      <xs:element name="Reference" type="ReferenceType"/>
      <xs:element name="Actions" type="ActionsType"/>
      <xs:element name="Reject" type="RejectionType" minOccurs="0"/>
      <xs:element name="Requestor" type="RequestorType"/>
      <xs:element name="User" type="UserType"/>
      <xs:element name="CostCenter" type="CostCenterType"/>
      <xs:element name="ShippingInstructions" type="ShippingInstructionsType"/>
      <xs:element name="SpecialInstructions" type="SpecialInstructionsType"/>
      <xs:element name="LineItems" type="LineItemsType"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="LineItemsType">
    <xs:sequence>
      <xs:element name="LineItem" type="LineItemType" maxOccurs="unbounded"/>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="LineItemType">
    <xs:sequence>
      <xs:element name="Description" type="DescriptionType"/>
      <xs:element name="Part" type="PartType"/>
    </xs:sequence>
    <xs:attribute name="ItemNumber" type="xs:integer"/>
  </xs:complexType>
  <xs:complexType name="PartType">
    <xs:attribute name="Id">
      <xs:simpleType>
        <xs:restriction base="xs:string">
          <xs:minLength value="10"/>
          <xs:maxLength value="14"/>
        </xs:restriction>
      </xs:simpleType>
    </xs:attribute>
    <xs:attribute name="Quantity" type="moneyType"/>
    <xs:attribute name="UnitPrice" type="quantityType"/>
  </xs:complexType>
  <xs:simpleType name="ReferenceType">
    <xs:restriction base="xs:string">
      <xs:minLength value="18"/>
      <xs:maxLength value="30"/>
    </xs:restriction>
  </xs:simpleType>
```



```
<xs:complexType name="ActionsType">
  <xs:sequence>
    <xs:element name="Action" maxOccurs="4">
      <xs:complexType>
        <xs:sequence>
          <xs:element name="User" type="UserType"/>
          <xs:element name="Date" type="DateType" minOccurs="0"/>
        </xs:sequence>
      </xs:complexType>
    </xs:element>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="RejectionType">
  <xs:all>
    <xs:element name="User" type="UserType" minOccurs="0"/>
    <xs:element name="Date" type="DateType" minOccurs="0"/>
    <xs:element name="Comments" type="CommentsType" minOccurs="0"/>
  </xs:all>
</xs:complexType>
<xs:complexType name="ShippingInstructionsType">
  <xs:sequence>
    <xs:element name="name" type="NameType" minOccurs="0"/>
    <xs:element name="address" type="AddressType" minOccurs="0"/>
    <xs:element name="telephone" type="TelephoneType" minOccurs="0"/>
  </xs:sequence>
</xs:complexType>
<xs:simpleType name="moneyType">
  <xs:restriction base="xs:decimal">
    <xs:fractionDigits value="2"/>
    <xs:totalDigits value="12"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="quantityType">
  <xs:restriction base="xs:decimal">
    <xs:fractionDigits value="4"/>
    <xs:totalDigits value="8"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="UserType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="10"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="RequestorType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="128"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CostCenterType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="4"/>
  </xs:restriction>
```

```
</xs:simpleType>
 <xs:simpleType name="VendorType">
    <xs:restriction base="xs:string">
      <xs:minLength value="0"/>
      <xs:maxLength value="20"/>
    </xs:restriction>
 </xs:simpleType>
  <xs:simpleType name="PurchaseOrderNumberType">
    <xs:restriction base="xs:integer"/>
  </xs:simpleType>
 <xs:simpleType name="SpecialInstructionsType">
    <xs:restriction base="xs:string">
      <xs:minLength value="0"/>
      <xs:maxLength value="2048"/>
    </xs:restriction>
 </xs:simpleType>
 <xs:simpleType name="NameType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="20"/>
    </xs:restriction>
 </xs:simpleType>
 <xs:simpleType name="AddressType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="256"/>
   </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="TelephoneType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="24"/>
   </xs:restriction>
 </xs:simpleType>
  <xs:simpleType name="DateType">
    <xs:restriction base="xs:date"/>
 </xs:simpleType>
 <xs:simpleType name="CommentsType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="2048"/>
   </xs:restriction>
 </xs:simpleType>
 <xs:simpleType name="DescriptionType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="256"/>
    </xs:restriction>
  </xs:simpleType>
</xs:schema>
```

Example A-3 represents a modified version of Example A-2. The modification is used in XML Schema Evolution to illustrate XML schema evolution. Example A-2 is the complete listing of the annotated XML schema used in examples of XML Schema Storage and Query: Basic.

## Example A-2 Annotated Purchase-Order XML Schema

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
          xmlns:xdb="http://xmlns.oracle.com/xdb"
          version="1.0">
 <xs:element name="PurchaseOrder" type="PurchaseOrderType" xdb:defaultTable="PURCHASEORDER"/>
  <xs:complexType name="PurchaseOrderType" xdb:SQLType="PURCHASEORDER_T">
      <xs:element name="Reference" type="ReferenceType" minOccurs="1" xdb:SQLName="REFERENCE"/>
      <xs:element name="Actions" type="ActionsType" xdb:SQLName="ACTIONS"/>
      <xs:element name="Reject" type="RejectionType" minOccurs="0" xdb:SQLName="REJECTION"/>
     <xs:element name="Requestor" type="RequestorType" xdb:SQLName="REQUESTOR"/>
      <xs:element name="User" type="UserType" minOccurs="1" xdb:SQLName="USERID"/>
     <xs:element name="CostCenter" type="CostCenterType" xdb:SQLName="COST_CENTER"/>
      <xs:element name="ShippingInstructions" type="ShippingInstructionsType"</pre>
                  xdb:SQLName="SHIPPING_INSTRUCTIONS"/>
      <xs:element name="SpecialInstructions" type="SpecialInstructionsType"</pre>
                 xdb:SQLName="SPECIAL_INSTRUCTIONS"/>
     <xs:element name="LineItems" type="LineItemsType" xdb:SQLName="LINEITEMS"/>
   </xs:sequence>
 </xs:complexType>
  <xs:complexType name="LineItemsType" xdb:SQLType="LINEITEMS_T">
   <xs:sequence>
      <xs:element name="LineItem" type="LineItemType" maxOccurs="unbounded"</pre>
                 xdb:SQLName="LINEITEM" xdb:SQLCollType="LINEITEM_V"/>
   </xs:sequence>
  </xs:complexType>
  <xs:complexType name="LineItemType" xdb:SQLType="LINEITEM_T">
   <xs:sequence>
      <xs:element name="Description" type="DescriptionType"</pre>
                 xdb:SQLName="DESCRIPTION"/>
      <xs:element name="Part" type="PartType" xdb:SQLName="PART"/>
    </xs:sequence>
   <xs:attribute name="ItemNumber" type="xs:integer" xdb:SQLName="ITEMNUMBER"</pre>
                 xdb:SQLType="NUMBER"/>
 </xs:complexType>
  <xs:complexType name="PartType" xdb:SQLType="PART_T">
    <xs:attribute name="Id" xdb:SQLName="PART_NUMBER" xdb:SQLType="VARCHAR2">
      <xs:simpleType>
       <xs:restriction base="xs:string">
          <xs:minLength value="10"/>
          <xs:maxLength value="14"/>
        </xs:restriction>
     </xs:simpleType>
   </xs:attribute>
   <xs:attribute name="Quantity" type="moneyType" xdb:SQLName="QUANTITY"/>
   <xs:attribute name="UnitPrice" type="quantityType" xdb:SQLName="UNITPRICE"/>
 </xs:complexType>
  <xs:simpleType name="ReferenceType">
    <xs:restriction base="xs:string">
     <xs:minLength value="18"/>
      <xs:maxLength value="30"/>
   </xs:restriction>
  </xs:simpleType>
  <xs:complexType name="ActionsType" xdb:SQLType="ACTIONS_T">
      <xs:element name="Action" maxOccurs="4" xdb:SQLName="ACTION" xdb:SQLCollType="ACTION_V">
       <xs:complexType xdb:SQLType="actioN_t">
          <xs:sequence>
            <xs:element name="User" type="UserType" xdb:SQLName="ACTIONED_BY"/>
            <xs:element name="Date" type="DateType" minOccurs="0" xdb:SQLName="DATE_ACTIONED"/>
          </xs:sequence>
        </xs:complexType>
     </xs:element>
    </xs:sequence>
  </xs:complexType>
  <xs:complexType name="RejectionType" xdb:SQLType="REJECTION_T">
    <xs:all>
```



```
<xs:element name="User" type="UserType" minOccurs="0" xdb:SQLName="REJECTED_BY"/>
    <xs:element name="Date" type="DateType" minOccurs="0" xdb:SQLName="DATE_REJECTED"/>
    <xs:element name="Comments" type="CommentsType" minOccurs="0" xdb:SQLName="REASON_REJECTED"/>
  </xs:all>
</xs:complexType>
<xs:complexType name="ShippingInstructionsType" xdb:SQLType="SHIPPING_INSTRUCTIONS_T">
 <xs:sequence>
    <xs:element name="name" type="NameType" minOccurs="0" xdb:SQLName="SHIP_TO_NAME"/>
    <xs:element name="address" type="AddressType" minOccurs="0" xdb:SQLName="SHIP_TO_ADDRESS"/>
    <xs:element name="telephone" type="TelephoneType" minOccurs="0" xdb:SQLName="SHIP_TO_PHONE"/>
  </xs:sequence>
</xs:complexType>
<xs:simpleType name="moneyType">
  <xs:restriction base="xs:decimal">
    <xs:fractionDigits value="2"/>
    <xs:totalDigits value="12"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="quantityType">
  <xs:restriction base="xs:decimal">
    <xs:fractionDigits value="4"/>
    <xs:totalDigits value="8"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="UserType">
  <xs:restriction base="xs:string">
   <xs:minLength value="0"/>
    <xs:maxLength value="10"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="RequestorType">
 <xs:restriction base="xs:string">
   <xs:minLength value="0"/>
    <xs:maxLength value="128"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CostCenterType">
 <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="4"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="VendorType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="20"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="PurchaseOrderNumberType">
  <xs:restriction base="xs:integer"/>
</xs:simpleType>
<xs:simpleType name="SpecialInstructionsType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="2048"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="NameType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="20"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="AddressType">
  <xs:restriction base="xs:string">
   <xs:minLength value="1"/>
    <xs:maxLength value="256"/>
  </xs:restriction>
</xs:simpleType>
```

```
<xs:simpleType name="TelephoneType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="24"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="DateType">
    <xs:restriction base="xs:date"/>
  </xs:simpleType>
  <xs:simpleType name="CommentsType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="2048"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="DescriptionType">
    <xs:restriction base="xs:string">
      <xs:minLength value="1"/>
      <xs:maxLength value="256"/>
    </xs:restriction>
  </xs:simpleType>
</xs:schema>
```

Example A-3 is the complete listing of the revised annotated XML schema presented in Example 20-1. Text that is in **bold face** is additional or significantly different from that in the schema of Example A-2.

#### Example A-3 Revised Annotated Purchase-Order XML Schema

```
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"</pre>
          xmlns:xdb="http://xmlns.oracle.com/xdb"
          version="1.0">
  <xs:element</pre>
   name="PurchaseOrder" type="PurchaseOrderType"
   xdb:defaultTable="PURCHASEORDER"
   xdb:columnProps=
      "CONSTRAINT purchaseorder_pkey PRIMARY KEY (XMLDATA.reference),
      CONSTRAINT valid email address FOREIGN KEY (XMLDATA.userid)
        REFERENCES hr.employees (EMAIL)"
   xdb:tableProps=
      "VARRAY XMLDATA.ACTIONS.ACTION STORE AS TABLE ACTION_TABLE
        ((CONSTRAINT action_pkey PRIMARY KEY (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))
       VARRAY XMLDATA.LINEITEMS.LINEITEM STORE AS TABLE LINEITEM_TABLE
        ((constraint LINEITEM_PKEY primary key (NESTED_TABLE_ID, SYS_NC_ARRAY_INDEX$)))
       lob (XMLDATA.NOTES) STORE AS (ENABLE STORAGE IN ROW STORAGE(INITIAL 4K NEXT 32K))"/>
 <xs:complexType name="PurchaseOrderType" xdb:SQLType="PURCHASEORDER_T">
    <xs:sequence>
      <xs:element name="Actions" type="ActionsType" xdb:SQLName="ACTIONS"/>
      <xs:element name="Reject" type="RejectionType" minOccurs="0" xdb:SQLName="REJECTION"/>
      <xs:element name="Requestor" type="RequestorType" xdb:SQLName="REQUESTOR"/>
      <xs:element name="User" type="UserType" xdb:SQLName="USERID"/>
      <xs:element name="CostCenter" type="CostCenterType" xdb:SQLName="COST_CENTER"/>
      <xs:element name="BillingAddress" type="AddressType" minOccurs="0"</pre>
                 xdb:SQLName="BILLING_ADDRESS"/>
      <xs:element name="ShippingInstructions" type="ShippingInstructionsType"</pre>
                  xdb:SQLName="SHIPPING_INSTRUCTIONS"/>
      <xs:element name="SpecialInstructions" type="SpecialInstructionsType"</pre>
                 xdb:SQLName="SPECIAL_INSTRUCTIONS"/>
      <xs:element name="LineItems" type="LineItemsType" xdb:SQLName="LINEITEMS"/>
      <xs:element name="Notes" type="NotesType" minOccurs="0" xdb:SQLType="CLOB"</pre>
                  xdb:SQLName="NOTES"/>
    </xs:sequence>
    <xs:attribute name="Reference" type="ReferenceType" use="required" xdb:SQLName="REFERENCE"/>
    <xs:attribute name="DateCreated" type="xs:dateTime" use="required"</pre>
                  xdb:SQLType="TIMESTAMP WITH TIME ZONE"/>
  </xs:complexType>
  <xs:complexType name="LineItemsType" xdb:SQLType="LINEITEMS_T">
```

```
<xs:sequence>
    <xs:element name="LineItem" type="LineItemType" maxOccurs="unbounded" xdb:SQLName="LINEITEM"</pre>
               xdb:SQLCollType="LINEITEM_V"/>
 </xs:sequence>
</xs:complexType>
<xs:complexType name="LineItemType" xdb:SQLType="LINEITEM_T">
 <xs:sequence>
    <xs:element name="Part" type="PartType" xdb:SQLName="PART"/>
    <xs:element name="Quantity" type="quantityType"/>
 </xs:sequence>
 <xs:attribute name="ItemNumber" type="xs:integer" xdb:SQLName="ITEMNUMBER"</pre>
               xdb:SQLType="NUMBER"/>
</xs:complexType>
<xs:complexType name="PartType" xdb:SQLType="PART_T">
  <xs:simpleContent>
    <xs:extension base="UPCCodeType">
      <xs:attribute name="Description" type="DescriptionType" use="required"</pre>
                   xdb:SQLName="DESCRIPTION"/>
      <xs:attribute name="UnitCost" type="moneyType" use="required"/>
    </xs:extension>
 </xs:simpleContent>
</xs:complexType>
<xs:simpleType name="ReferenceType">
 <xs:restriction base="xs:string">
    <xs:minLength value="18"/>
   <xs:maxLength value="30"/>
 </xs:restriction>
</xs:simpleType>
<xs:complexType name="ActionsType" xdb:SQLType="ACTIONS_T">
 <xs:sequence>
    <xs:element name="Action" maxOccurs="4" xdb:SQLName="ACTION" xdb:SQLCollType="ACTION_V">
      <xs:complexType xdb:SQLType="ACTION_T">
        <xs:sequence>
          <xs:element name="User" type="UserType" xdb:SQLName="ACTIONED_BY"/>
          <xs:element name="Date" type="DateType" minOccurs="0" xdb:SQLName="DATE_ACTIONED"/>
        </xs:sequence>
      </xs:complexType>
   </xs:element>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="RejectionType" xdb:SQLType="REJECTION_T">
   <xs:element name="User" type="UserType" minOccurs="0" xdb:SQLName="REJECTED_BY"/>
   <xs:element name="Date" type="DateType" minOccurs="0" xdb:SQLName="DATE_REJECTED"/>
   <xs:element name="Comments" type="CommentsType" minOccurs="0" xdb:SQLName="REASON_REJECTED"/>
 </xs:all>
</xs:complexType>
<xs:complexType name="ShippingInstructionsType" xdb:SQLType="SHIPPING_INSTRUCTIONS_T">
    <xs:element name="name" type="NameType" minOccurs="0" xdb:SQLName="SHIP_TO_NAME"/>
      <xs:element name="address" type="AddressType" minOccurs="0"/>
      <xs:element name="fullAddress" type="FullAddressType" minOccurs="0"</pre>
                  xdb:SQLName="SHIP_TO_ADDRESS"/>
    <xs:element name="telephone" type="TelephoneType" minOccurs="0" xdb:SQLName="SHIP_TO_PHONE"/>
 </xs:sequence>
</xs:complexType>
<xs:simpleType name="moneyType">
 <xs:restriction base="xs:decimal">
    <xs:fractionDigits value="2"/>
   <xs:totalDigits value="12"/>
 </xs:restriction>
</xs:simpleType>
<xs:simpleType name="quantityType">
 <xs:restriction base="xs:decimal">
   <xs:fractionDigits value="4"/>
   <xs:totalDigits value="8"/>
  </xs:restriction>
```

```
</xs:simpleType>
<xs:simpleType name="UserType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="10"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="RequestorType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="128"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CostCenterType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="4"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="VendorType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="20"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="PurchaseOrderNumberType">
  <xs:restriction base="xs:integer"/>
</xs:simpleType>
<xs:simpleType name="SpecialInstructionsType">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
    <xs:maxLength value="2048"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="NameType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="20"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="FullAddressType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="256"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="TelephoneType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="24"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="DateType">
        <xs:restriction base="xs:date"/>
</xs:simpleType>
<xs:simpleType name="CommentsType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="2048"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="DescriptionType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="256"/>
  </xs:restriction>
</xs:simpleType>
<xs:complexType name="AddressType" xdb:SQLType="ADDRESS_T">
```



```
<xs:element name="StreetLine1" type="StreetType"/>
    <xs:element name="StreetLine2" type="StreetType" minOccurs="0"/>
    <xs:element name="City" type="CityType"/>
    <xs:choice>
      <xs:sequence>
        <xs:element name="State" type="StateType"/>
        <xs:element name="ZipCode" type="ZipCodeType"/>
      </xs:sequence>
        <xs:element name="Province" type="ProvinceType"/>
        <xs:element name="PostCode" type="PostCodeType"/>
      </xs:sequence>
      <xs:sequence>
        <xs:element name="County" type="CountyType"/>
        <xs:element name="Postcode" type="PostCodeType"/>
      </xs:sequence>
    </xs:choice>
    <xs:element name="Country" type="CountryType"/>
  </xs:sequence>
</xs:complexType>
<xs:simpleType name="StreetType">
 <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="128"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CityType">
 <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="64"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="StateType">
  <xs:restriction base="xs:string">
    <xs:minLength value="2"/>
    <xs:maxLength value="2"/>
    <xs:enumeration value="AK"/>
    <xs:enumeration value="AL"/>
    <xs:enumeration value="AR"/>
    <xs:enumeration value="AS"/>
    <xs:enumeration value="AZ"/>
    <xs:enumeration value="CA"/>
    <xs:enumeration value="CO"/>
    <xs:enumeration value="CT"/>
    <xs:enumeration value="DC"/>
    <xs:enumeration value="DE"/>
    <xs:enumeration value="FL"/>
    <xs:enumeration value="FM"/>
    <xs:enumeration value="GA"/>
    <xs:enumeration value="GU"/>
    <xs:enumeration value="HI"/>
    <xs:enumeration value="IA"/>
    <xs:enumeration value="ID"/>
    <xs:enumeration value="IL"/>
    <xs:enumeration value="IN"/>
    <xs:enumeration value="KS"/>
    <xs:enumeration value="KY"/>
    <xs:enumeration value="LA"/>
    <xs:enumeration value="MA"/>
    <xs:enumeration value="MD"/>
    <xs:enumeration value="ME"/>
    <xs:enumeration value="MH"/>
    <xs:enumeration value="MI"/>
    <xs:enumeration value="MN"/>
    <xs:enumeration value="MO"/>
    <xs:enumeration value="MP"/>
    <xs:enumeration value="MQ"/>
    <xs:enumeration value="MS"/>
    <xs:enumeration value="MT"/>
```



```
<xs:enumeration value="NC"/>
    <xs:enumeration value="ND"/>
    <xs:enumeration value="NE"/>
    <xs:enumeration value="NH"/>
    <xs:enumeration value="NJ"/>
    <xs:enumeration value="NM"/>
    <xs:enumeration value="NV"/>
    <xs:enumeration value="NY"/>
    <xs:enumeration value="OH"/>
    <xs:enumeration value="OK"/>
    <xs:enumeration value="OR"/>
    <xs:enumeration value="PA"/>
    <xs:enumeration value="PR"/>
    <xs:enumeration value="PW"/>
    <xs:enumeration value="RI"/>
    <xs:enumeration value="SC"/>
    <xs:enumeration value="SD"/>
    <xs:enumeration value="TN"/>
    <xs:enumeration value="TX"/>
    <xs:enumeration value="UM"/>
    <xs:enumeration value="UT"/>
    <xs:enumeration value="VA"/>
    <xs:enumeration value="VI"/>
    <xs:enumeration value="VT"/>
    <xs:enumeration value="WA"/>
    <xs:enumeration value="WI"/>
    <xs:enumeration value="WV"/>
    <xs:enumeration value="WY"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="ZipCodeType">
  <xs:restriction base="xs:string">
    <xs:pattern value="\d{5}"/>
    <xs:pattern value="\d{5}-\d{4}"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CountryType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="64"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="CountyType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="32"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="PostCodeType">
  <xs:restriction base="xs:string">
    <xs:minLength value="1"/>
    <xs:maxLength value="12"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="ProvinceType">
  <xs:restriction base="xs:string">
    <xs:minLength value="2"/>
    <xs:maxLength value="2"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="NotesType">
  <xs:restriction base="xs:string">
    <xs:maxLength value="32767"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="UPCCodeType">
  <xs:restriction base="xs:string">
    <xs:minLength value="11"/>
    <xs:maxLength value="14"/>
```



### A.7 XSLT Stylesheet Example, PurchaseOrder.xsl

The full listing is presented of XSLT stylesheet PurchaseOrder.xsl, which is used in various examples.

### Example A-4 PurchaseOrder.xsl XSLT Stylesheet

```
<?xml version="1.0" encoding="UTF-8"?>
<xsl:stylesheet version="1.0"</pre>
                xmlns:xsl="http://www.w3.org/1999/XSL/Transform"
                xmlns:xdb="http://xmlns.oracle.com/xdb"
                xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <xsl:template match="/">
    <html>
      <head/>
      <body bgcolor="#003333" text="#FFFFCC" link="#FFCC00"</pre>
            vlink="#66CC99" alink="#669999">
        <FONT FACE="Arial, Helvetica, sans-serif">
          <xsl:for-each select="PurchaseOrder"/>
          <xsl:for-each select="PurchaseOrder">
            <center>
              <span style="font-family:Arial; font-weight:bold">
                <FONT COLOR="#FF0000">
                  <B>Purchase Order </B>
                </FONT>
              </span>
            </center>
            <br/>
            <center>
              <xsl:for-each select="Reference">
                <span style="font-family:Arial; font-weight:bold">
                  <xsl:apply-templates/>
                </span>
              </xsl:for-each>
            </center>
          </xsl:for-each>
          <P>
            <xsl:for-each select="PurchaseOrder">
              <br/>
            </xsl:for-each>
            <P/>
            <P>
              <xsl:for-each select="PurchaseOrder">
                < hr/>
              </xsl:for-each>
            </P>
          </P>
```



```
<xsl:for-each select="PurchaseOrder"/>
<xsl:for-each select="PurchaseOrder">
 <P>
       <B>
         <FONT SIZE="+1" COLOR="#FF0000"
         FACE="Arial, Helvetica, sans-serif">Internal
         </FONT>
       </B>
      </P>
      <FONT COLOR="#FFFF00">Actions</FONT>
           </B>
          <xsl:for-each select="Actions">
             <xsl:for-each select="Action">
              <xsl:if test="position()=1">
                 <thead>
                  <FONT
                     COLOR="#FFFF00">User</FONT>
                   <FONT
                     COLOR="#FFFF00">Date</FONT>
                   </thead>
               </xsl:if>
               >
                   <xsl:for-each select="User">
                    <xsl:apply-templates/>
                   </xsl:for-each>
                  <xsl:for-each select="Date">
                    <xsl:apply-templates/>
                   </xsl:for-each>
                  </xsl:for-each>
```

```
</xsl:for-each>
     <FONT COLOR="#FFFF00">Requestor</FONT>
     <xsl:for-each select="Requestor">
        <xsl:apply-templates/>
      </xsl:for-each>
     <FONT COLOR="#FFFF00">User</FONT>
      </B>
     <xsl:for-each select="User">
        <xsl:apply-templates/>
      </xsl:for-each>
     <FONT COLOR="#FFFF00">Cost Center</FONT>
      </B>
     <xsl:for-each select="CostCenter">
        <xsl:apply-templates/>
      </xsl:for-each>
     <FONT COLOR="#FF0000">
    <FONT SIZE="+1">Ship To</FONT>
  </FONT>
 <xsl:for-each select="ShippingInstructions">
  <xsl:if test="position()=1"/>
 </xsl:for-each>
 <xsl:for-each select="ShippingInstructions">
  <xsl:if test="position()=1">
```

```
<B>Name</B>
            <xsl:for-each</pre>
                select="../ShippingInstructions">
              <xsl:for-each select="name">
                 <xsl:apply-templates/>
               </xsl:for-each>
              </xsl:for-each>
           <B>Address</B>
            <xsl:for-each</pre>
                select="../ShippingInstructions">
              <xsl:for-each select="address">
                 <span style="white-space:pre">
                  <xsl:apply-templates/>
                </span>
               </xsl:for-each>
              </xsl:for-each>
           <B>Telephone</B>
            <xsl:for-each</pre>
                select="../ShippingInstructions">
              <xsl:for-each select="telephone">
                <xsl:apply-templates/>
               </xsl:for-each>
              </xsl:for-each>
           </xsl:if>
     </xsl:for-each>
    <br/>
<B>
 <FONT COLOR="#FF0000" SIZE="+1">Items:</FONT>
</B>
<br/>
```

```
<br/>
<xsl:for-each select="LineItems">
   <xsl:for-each select="LineItem">
    <xsl:if test="position()=1">
      <thead>
        <FONT COLOR="#FF0000">
             <B>ItemNumber</B>
           </FONT>
         <FONT COLOR="#FF0000">
             <B>Description</B>
           </FONT>
         <FONT COLOR="#FF0000">
             <B>PartId</B>
           </FONT>
         <FONT COLOR="#FF0000">
             <B>Quantity</B>
           </FONT>
         <FONT COLOR="#FF0000">
             <B>Unit Price</B>
           </FONT>
         <FONT COLOR="#FF0000">
             <B>Total Price</B>
           </FONT>
         </thead>
    </xsl:if>
     <FONT COLOR="#000000">
           <xsl:for-each select="@ItemNumber">
             <xsl:value-of select="."/>
           </xsl:for-each>
         </FONT>
        <FONT COLOR="#000000">
           <xsl:for-each select="Description">
             <xsl:apply-templates/>
           </xsl:for-each>
         </FONT>
```



```
<FONT COLOR="#000000">
                        <xsl:for-each select="Part">
                          <xsl:for-each select="@Id">
                            <xsl:value-of select="."/>
                          </xsl:for-each>
                        </xsl:for-each>
                      </FONT>
                    <FONT COLOR="#000000">
                        <xsl:for-each select="Part">
                          <xsl:for-each select="@Quantity">
                            <xsl:value-of select="."/>
                          </xsl:for-each>
                        </xsl:for-each>
                      </FONT>
                    <FONT COLOR="#000000">
                        <xsl:for-each select="Part">
                          <xsl:for-each select="@UnitPrice">
                            <xsl:value-of select="."/>
                          </xsl:for-each>
                        </xsl:for-each>
                      </FONT>
                    <FONT FACE="Arial, Helvetica, sans-serif"
                            COLOR="#000000">
                        <xsl:for-each select="Part">
                          <xsl:value-of</pre>
select="@Quantity*@UnitPrice"/>
                        </xsl:for-each>
                      </FONT>
                    </xsl:for-each>
             </xsl:for-each>
           </xsl:for-each>
       </FONT>
     </body>
   </html>
 </xsl:template>
</xsl:stylesheet>
```

### A.8 Loading XML Data Using C (OCI)

A full listing of a C program that inserts XML data into an XMLType table is presented.

The same program is partially listed in Loading XML Content Using C.

#### Example A-5 Inserting XML Data into an XMLType Table Using C

```
#include "stdio.h"
#include <xml.h>
#include <stdlib.h>
#include <string.h>
#include <ocixmldb.h>
OCIEnv *envhp;
OCIError *errhp;
OCISvcCtx *svchp;
OCIStmt *stmthp;
OCIServer *srvhp;
OCIDuration dur;
OCISession *sesshp;
oratext *username = "QUINE";
oratext *password = "********;
                                         /* Replace with real password */
oratext *filename = "AMCEWEN-20021009123336171PDT.xml";
oratext *schemaloc = "http://localhost:8080/source/schemas/poSource/xsd/purchaseOrder.xsd";
/* Execute a SQL statement that binds XML data */
sword exec_bind_xml(OCISvcCtx *svchp, OCIError *errhp, OCIStmt *stmthp,
                    void *xml,
                                     OCIType *xmltdo, OraText *sqlstmt)
 OCIBind *bndhp1 = (OCIBind *) 0;
  sword status = 0;
  OCIInd ind = OCI_IND_NOTNULL;
  OCIInd *indp = &ind;
  if(status = OCIStmtPrepare(stmthp, errhp, (OraText *)sqlstmt,
                             (ub4)strlen((const char *)sqlstmt),
                             (ub4) OCI_NTV_SYNTAX, (ub4) OCI_DEFAULT))
   return OCI_ERROR;
   if(status = OCIBindByPos(stmthp, \&bndhp1, errhp, (ub4) 1, (dvoid *) 0, \\
                           (sb4) 0, SQLT_NTY, (dvoid *) 0, (ub2 *)0,
                           (ub2 *)0, (ub4) 0, (ub4 *) 0, (ub4) OCI_DEFAULT))
   return OCI_ERROR;
  if(status = OCIBindObject(bndhp1, errhp, (CONST OCIType *) xmltdo,
                            (dvoid **) &xml, (ub4 *) 0,
                            (dvoid **) &indp, (ub4 *) 0))
   return OCI_ERROR;
  if(status = OCIStmtExecute(svchp, stmthp, errhp, (ub4) 1, (ub4) 0,
                             (CONST OCISnapshot*) 0, (OCISnapshot*) 0,
                             (ub4) OCI_DEFAULT))
   return OCI ERROR;
  return OCI_SUCCESS;
/* Initialize OCI handles, and connect */
sword init_oci_connect()
  sword status;
  if (OCIEnvCreate((OCIEnv **) &(envhp), (ub4) OCI_OBJECT,
                   (dvoid *) 0, (dvoid * (*)(dvoid *,size_t)) 0,
                   (dvoid * (*)(dvoid *, dvoid *, size_t)) 0,
                   (void (*)(dvoid *, dvoid *)) 0, (size_t) 0, (dvoid **) 0))
      printf("FAILED: OCIEnvCreate()\n");
     return OCI_ERROR;
  /* Allocate error handle */
```

```
if (OCIHandleAlloc((dvoid *) envhp, (dvoid **) &(errhp),
                   (ub4) OCI_HTYPE_ERROR, (size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on errhp\n");
   return OCI_ERROR;
/* Allocate server handle */
if (status = OCIHandleAlloc((dvoid *) envhp, (dvoid **) &srvhp,
                            (ub4) OCI_HTYPE_SERVER, (size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on srvhp\n");
   return OCI_ERROR;
/* Allocate service context handle */
if (status = OCIHandleAlloc((dvoid *) envhp,
                           (dvoid **) &(svchp), (ub4) OCI_HTYPE_SVCCTX,
                           (size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on svchp\n");
   return OCI_ERROR;
/* Allocate session handle */
if (status = OCIHandleAlloc((dvoid *) envhp, (dvoid **) &sesshp ,
                           (ub4) OCI_HTYPE_SESSION, (size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on sesshp\n");
   return OCI_ERROR;
/* Allocate statement handle */
if (OCIHandleAlloc((dvoid *)envhp, (dvoid **) &stmthp,
                  (ub4)OCI_HTYPE_STMT, (CONST size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on stmthp\n");
   return status;
if (status = OCIServerAttach((OCIServer *) srvhp, (OCIError *) errhp,
                            (CONST oratext *)"", 0, (ub4) OCI_DEFAULT))
   printf("FAILED: OCIServerAttach() on srvhp\n");
   return OCI_ERROR;
/* Set server attribute to service context */
if (status = OCIAttrSet((dvoid *) svchp, (ub4) OCI_HTYPE_SVCCTX,
                       (dvoid *) srvhp, (ub4) 0, (ub4) OCI_ATTR_SERVER,
                       (OCIError *) errhp))
   printf("FAILED: OCIAttrSet() on svchp\n");
   return OCI_ERROR;
/* Set user attribute to session */
if (status = OCIAttrSet((dvoid *)sesshp, (ub4) OCI_HTYPE_SESSION,
                       (dvoid *)username,
                        (ub4) strlen((const char *)username),
                        (ub4) OCI_ATTR_USERNAME, (OCIError *) errhp))
   printf("FAILED: OCIAttrSet() on authp for user\n");
   return OCI_ERROR;
/* Set password attribute to session */
if (status = OCIAttrSet((dvoid *) sesshp, (ub4) OCI_HTYPE_SESSION,
                        (dvoid *)password,
                        (ub4) strlen((const char *)password),
                        (ub4) OCI_ATTR_PASSWORD, (OCIError *) errhp))
   printf("FAILED: OCIAttrSet() on authp for password\n");
   return OCI_ERROR;
/* Begin a session */
if (status = OCISessionBegin((OCISvcCtx *) svchp,
```

```
(OCIError *) errhp,
                               (OCISession *) sesshp, (ub4) OCI_CRED_RDBMS,
                               (ub4) OCI_STMT_CACHE))
   {
     printf("FAILED: OCISessionBegin(). Make sure database is up and the username/password is valid. \n");
     return OCI_ERROR;
  /* Set session attribute to service context */
 if (status = OCIAttrSet((dvoid *) svchp, (ub4) OCI_HTYPE_SVCCTX,
                         (dvoid *)sesshp, (ub4) 0, (ub4) OCI_ATTR_SESSION,
                          (OCIError *) errhp))
     printf("FAILED: OCIAttrSet() on svchp\n");
     return OCI_ERROR;
}
/* Free OCI handles, and disconnect
void free_oci()
 sword status = 0;
 /* End the session */
 if (status = OCISessionEnd((OCISvcCtx *)svchp, (OCIError *)errhp,
                             (OCISession *)sesshp, (ub4) OCI_DEFAULT))
     if (envhp)
       OCIHandleFree((dvoid *)envhp, OCI_HTYPE_ENV);
     return;
  /* Detach from the server */
 if (status = OCIServerDetach((OCIServer *)srvhp, (OCIError *)errhp,
                               (ub4)OCI_DEFAULT))
     if (envhp)
       OCIHandleFree((dvoid *)envhp, OCI_HTYPE_ENV);
     return;
  /* Free the handles */
 if (stmthp) OCIHandleFree((dvoid *)stmthp, (ub4) OCI_HTYPE_STMT);
 if (sesshp) OCIHandleFree((dvoid *)sesshp, (ub4) OCI_HTYPE_SESSION);
 if (svchp) OCIHandleFree((dvoid *)svchp, (ub4) OCI_HTYPE_SVCCTX);
 if (srvhp) OCIHandleFree((dvoid *)srvhp, (ub4) OCI_HTYPE_SERVER);
 if (errhp) OCIHandleFree((dvoid *)errhp, (ub4) OCI_HTYPE_ERROR);
 if (envhp) OCIHandleFree((dvoid *)envhp, (ub4) OCI_HTYPE_ENV);
 return;
void main()
 OCIType *xmltdo;
 xmldocnode *doc;
 ocixmldbparam params[1];
 xmlerr err;
 xmlctx *xctx;
 oratext *ins_stmt;
 sword
         status;
 xmlnode *root;
 oratext buf[10000];
  /* Initialize envhp, svchp, errhp, dur, stmthp */
 init_oci_connect();
 /* Get an XML context */
 params[0].name_ocixmldbparam = XCTXINIT_OCIDUR;
 params[0].value_ocixmldbparam = &dur;
 xctx = OCIXmlDbInitXmlCtx(envhp, svchp, errhp, params, 1);
 if (!(doc = XmlLoadDom(xctx, &err, "file", filename,
                         "schema_location", schemaloc, NULL)))
```

```
printf("Parse failed.\n");
   return;
else
 printf("Parse succeeded.\n");
root = XmlDomGetDocElem(xctx, doc);
printf("The xml document is :\n");
XmlSaveDom(xctx, &err, (xmlnode *)doc, "buffer", buf, "buffer_length", 10000, NULL);
printf("%s\n", buf);
/* Insert the document into my_table */
ins_stmt = (oratext *)"insert into purchaseorder values (:1)";
status = OCITypeByName(envhp, errhp, svchp, (const text *) "SYS",
                       (ub4) strlen((const char *)"SYS"), (const text *) "XMLTYPE",
                       (ub4) strlen((const char *)"XMLTYPE"), (CONST text *) 0,
                       (ub4) 0, OCI_DURATION_SESSION, OCI_TYPEGET_HEADER,
                       (OCIType **) &xmltdo);
if (status == OCI_SUCCESS)
    status = exec_bind_xml(svchp, errhp, stmthp, (void *)doc,
                           xmltdo, ins_stmt);
if (status == OCI_SUCCESS)
 printf ("Insert successful\n");
else
 printf ("Insert failed\n");
/* Free XML instances */
if (doc) XmlFreeDocument((xmlctx *)xctx, (xmldocnode *)doc);
/* Free XML CTX */
OCIXmlDbFreeXmlCtx(xctx);
free_oci();
```

## A.9 Initializing and Terminating an XML Context (OCI)

An example shows how to use OCI functions OCIXmlDbInitXmlCtx() and OCIXmlDbFreeXmlCtx() to initialize and terminate an XML context. It constructs an XML document using the C DOM API and saves it to the database.

Example A-6 is partially listed in C DOM API for XMLType and Initializing and Terminating an XML Context. It assumes that the following SQL code has first been executed to create table my\_table in database schema CAPIUSER:

```
CONNECT CAPIUSER
Enter password: connected.
Connected.
CREATE TABLE my_table OF XMLType;
```

#### Example A-6 Using OCIXmlDbInitXmlCtx() and OCIXmlDbFreeXmlCtx()

```
#ifndef S_ORACLE
#endif
#ifndef ORATYPES_ORACLE
#include <oratypes.h>
#endif
#ifndef XML_ORACLE
#include <xml.h>
```



```
#endif
#ifndef OCIXML ORACLE
#include <ocixml.h>
#endif
#ifndef OCI ORACLE
#include <oci.h>
#endif
#include <string.h>
typedef struct test_ctx {
       OCIEnv *envhp;
       OCIError *errhp;
       OCISvcCtx *svchp;
       OCIStmt *stmthp;
       OCIServer *srvhp;
       OCIDuration dur;
       OCISession *sesshp;
       oratext *username;
       oratext *password;
} test_ctx;
/* Helper function 1: execute a sql statement which binds xml data */
static sword exec_bind_xml(OCISvcCtx *svchp,
                           OCIError *errhp,
                           OCIStmt *stmthp,
                           void *xml,
                           OCIType *xmltdo,
                           OraText *sqlstmt);
/* Helper function 2: Initialize OCI handles and connect */
static sword init_oci_handles(test_ctx *ctx);
/* Helper function 3: Free OCI handles and disconnect */
static sword free_oci_handles(test_ctx *ctx);
void main()
 test_ctx temp_ctx;
 test_ctx *ctx = &temp_ctx;
 OCIType *xmltdo = (OCIType *) 0;
 xmldocnode *doc = (xmldocnode *)0;
 ocixmldbparam params[1];
 xmlnode *quux, *foo, *foo_data, *top;
 xmlerr err;
 sword status = 0;
 xmlctx *xctx;
 oratext ins_stmt[] = "insert into my_table values (:1)";
 oratext tlpxml_test_sch[] = "<TOP/>";
 ctx->username = (oratext *)"CAPIUSER";
 ctx->password = (oratext *)"************; /* Replace with real password */
  /* Initialize envhp, svchp, errhp, dur, stmthp */
 init_oci_handles(ctx);
  /* Get an xml context */
 params[0].name_ocixmldbparam = XCTXINIT_OCIDUR;
 params[0].value_ocixmldbparam = &ctx->dur;
 xctx = OCIXmlDbInitXmlCtx(ctx->envhp, ctx->svchp, ctx->errhp, params, 1);
 /* Start processing - first, check that this DOM supports XML 1.0 */
 printf("\n\nSupports XML 1.0? : %s\n",
         XmlHasFeature(xctx, (oratext *) "xml", (oratext *) "1.0") ?
         "YES" : "NO");
  /* Parse a document */
 if (!(doc = XmlLoadDom(xctx, &err, "buffer", tlpxml_test_sch,
                         "buffer_length", sizeof(tlpxml_test_sch)-1,
                         "validate", TRUE, NULL)))
```



```
printf("Parse failed, code %d\n", err);
 else
   /* Get the document element */
   top = (xmlnode *)XmlDomGetDocElem(xctx, doc);
   /* Print out the top element */
   printf("\n\nOriginal top element is :\n");
   XmlSaveDom(xctx, &err, top, "stdio", stdout, NULL);
   /* Print out the document. The changes are reflected here */
   printf("\n\nOriginal document is :\n");
   XmlSaveDom(xctx, &err, (xmlnode *)doc, "stdio", stdout, NULL);
   /* Create some elements and add them to the document */
   quux = (xmlnode *) XmlDomCreateElem(xctx ,doc, (oratext *) "QUUX");
   foo = (xmlnode *) XmlDomCreateElem(xctx, doc, (oratext *) "FOO");
   foo_data = (xmlnode *) XmlDomCreateText(xctx, doc, (oratext *) "data");
   foo_data = XmlDomAppendChild(xctx, (xmlnode *) foo, (xmlnode *) foo_data);
   foo = XmlDomAppendChild(xctx, quux, foo);
   quux = XmlDomAppendChild(xctx, top, quux);
   /* Print out the top element */
   printf("\n\nw the top element is :\n");
   XmlSaveDom(xctx, &err, top, "stdio", stdout, NULL);
   /* Print out the document. The changes are reflected here */
   printf("\n\nNow the document is :\n");
   XmlSaveDom(xctx, &err, (xmlnode *)doc, "stdio", stdout, NULL);
   /* Insert the document into my_table */
   status = OCITypeByName(ctx->envhp, ctx->errhp, ctx->svchp,
                           (const text *) "SYS", (ub4) strlen((char *)"SYS"),
                           (const text *) "XMLTYPE",
                           (ub4) strlen((char *)"XMLTYPE"), (CONST text *) 0,
                           (ub4) 0, OCI_DURATION_SESSION, OCI_TYPEGET_HEADER,
                           (OCIType **) &xmltdo);
   if (status == OCI_SUCCESS)
     exec_bind_xml(ctx->svchp, ctx->errhp, ctx->stmthp, (void *)doc, xmltdo,
                   ins_stmt);
 /* Free xml ctx */
 OCIXmlDbFreeXmlCtx(xctx);
 /* Free envhp, svchp, errhp, stmthp */
 free_oci_handles(ctx);
/* Helper function 1: execute a SQL statement that binds xml data */
static sword exec_bind_xml(OCISvcCtx *svchp,
                          OCIError *errhp,
                          OCIStmt *stmthp,
                          void *xml,
                          OCIType *xmltdo,
                          OraText *sqlstmt)
 OCIBind *bndhp1 = (OCIBind *) 0;
 sword status = 0;
 OCIInd ind = OCI_IND_NOTNULL;
 OCIInd *indp = &ind;
 if(status = OCIStmtPrepare(stmthp, errhp, (OraText *)sqlstmt,
                             (ub4)strlen((char *)sqlstmt),
                             (ub4) OCI_NTV_SYNTAX, (ub4) OCI_DEFAULT)) {
   printf("Failed OCIStmtPrepare\n");
   return OCI_ERROR;
```

```
if(status = OCIBindByPos(stmthp, &bndhp1, errhp, (ub4) 1, (dvoid *) 0,
                           (sb4) 0, SQLT_NTY, (dvoid *) 0, (ub2 *)0,
                           (ub2 *)0, (ub4) 0, (ub4 *) 0, (ub4) OCI_DEFAULT)) {
   printf("Failed OCIBindByPos\n");
   return OCI_ERROR;
 if(status = OCIBindObject(bndhp1, errhp, (CONST OCIType *) xmltdo, (dvoid **)
                            &xml,
                            (ub4 *) 0, (dvoid **) &indp, (ub4 *) 0)) {
   printf("Failed OCIBindObject\n");
   return OCI_ERROR;
 if(status = OCIStmtExecute(svchp, stmthp, errhp, (ub4) 1, (ub4) 0,
                             (CONST OCISnapshot*) 0, (OCISnapshot*) 0,
                             (ub4) OCI_DEFAULT)) {
   printf("Failed OCIStmtExecute\n");
   return OCI_ERROR;
 return OCI_SUCCESS;
/* Helper function 2: Initialize OCI handles and connect */
static sword init_oci_handles(test_ctx *ctx)
 sword status;
 ctx->dur = OCI_DURATION_SESSION;
 if (OCIEnvCreate((OCIEnv **) &(ctx->envhp), (ub4) OCI_OBJECT,
                   (dvoid *) 0, (dvoid * (*)(dvoid *,size_t)) 0,
                   (dvoid * (*)(dvoid *, dvoid *, size_t)) 0,
                   (void (*)(dvoid *, dvoid *)) 0, (size_t) 0, (dvoid **) 0))
  {
   printf("FAILED: OCIEnvCreate()\n");
   return OCI_ERROR;
  /* Allocate error handle */
 if (OCIHandleAlloc((dvoid *) ctx->envhp, (dvoid **) &(ctx->errhp),
                     (ub4) OCI_HTYPE_ERROR, (size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on errhp\n");
   return OCI_ERROR;
  /* Allocate server handle */
 if (status = OCIHandleAlloc((dvoid *) ctx->envhp, (dvoid **) &ctx->srvhp,
                          (ub4) OCI_HTYPE_SERVER, (size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on srvhp\n");
   return OCI_ERROR;
  /* Allocate service context handle */
 if (status = OCIHandleAlloc((dvoid *) ctx->envhp,
                              (dvoid **) &(ctx->svchp), (ub4) OCI_HTYPE_SVCCTX,
                              (size_t) 0, (dvoid **) 0))
  {
   printf("FAILED: OCIHandleAlloc() on svchp\n");
   return OCI_ERROR;
  /* Allocate session handle */
 if (status = OCIHandleAlloc((dvoid *) ctx->envhp, (dvoid **) &ctx->sesshp
                           (ub4) OCI_HTYPE_SESSION, (size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on sesshp\n");
   return OCI_ERROR;
  /* Allocate statement handle */
 if (OCIHandleAlloc((dvoid *)ctx->envhp, (dvoid **) &ctx->stmthp,
                     (ub4)OCI_HTYPE_STMT, (CONST size_t) 0, (dvoid **) 0))
   printf("FAILED: OCIHandleAlloc() on stmthp\n");
```



```
return status;
 if (status = OCIServerAttach((OCIServer *) ctx->srvhp, (OCIError *) ctx->errhp,
                              (CONST oratext *)"", 0, (ub4) OCI_DEFAULT))
   printf("FAILED: OCIServerAttach() on srvhp\n");
   return OCI_ERROR;
 /* Set server attribute to service context */
 if (status = OCIAttrSet((dvoid *) ctx->svchp, (ub4) OCI_HTYPE_SVCCTX,
                          (dvoid *) ctx->srvhp, (ub4) 0, (ub4) OCI_ATTR_SERVER,
                          (OCIError *) ctx->errhp))
   printf("FAILED: OCIAttrSet() on svchp\n");
   return OCI_ERROR;
 /* Set user attribute to session */
 if (status = OCIAttrSet((dvoid *)ctx->sesshp, (ub4) OCI_HTYPE_SESSION,
                          (dvoid *)ctx->username,
                          (ub4) strlen((char *)ctx->username),
                          (ub4) OCI_ATTR_USERNAME, (OCIError *) ctx->errhp))
   printf("FAILED: OCIAttrSet() on authp for user\n");
   return OCI_ERROR;
 /* Set password attribute to session */
 if (status = OCIAttrSet((dvoid *) ctx->sesshp, (ub4) OCI_HTYPE_SESSION,
                          (dvoid *)ctx->password,
                          (ub4) strlen((char *)ctx->password),
                          (ub4) OCI_ATTR_PASSWORD, (OCIError *) ctx->errhp))
   printf("FAILED: OCIAttrSet() on authp for password\n");
   return OCI_ERROR;
 /* Begin a session */
 if (status = OCISessionBegin((OCISvcCtx *) ctx->svchp,
                               (OCIError *) ctx->errhp,
                               (OCISession *) ctx->sesshp, (ub4) OCI_CRED_RDBMS,
                               (ub4) OCI_STMT_CACHE))
   printf("FAILED: OCISessionBegin(). Make sure database is up and the \
           username/password is valid. \n");
   return OCI_ERROR;
 /* Set session attribute to service context */
 if (status = OCIAttrSet((dvoid *) ctx->svchp, (ub4) OCI_HTYPE_SVCCTX,
                          (dvoid *)ctx->sesshp, (ub4) 0, (ub4) OCI_ATTR_SESSION,
                         (OCIError *) ctx->errhp))
   printf("FAILED: OCIAttrSet() on svchp\n");
   return OCI_ERROR;
 return status;
/* Helper function 3: Free OCI handles and disconnect */
static sword free_oci_handles(test_ctx *ctx)
{
 sword status = 0;
 /* End the session */
 if (status = OCISessionEnd((OCISvcCtx *)ctx->svchp, (OCIError *)ctx->errhp,
                            (OCISession *)ctx->sesshp, (ub4) OCI_DEFAULT))
   if (ctx->envhp)
     OCIHandleFree((dvoid *)ctx->envhp, OCI_HTYPE_ENV);
   return status;
 /* Detach from the server */
 if (status = OCIServerDetach((OCIServer *)ctx->srvhp, (OCIError *)ctx->errhp,
```



```
(ub4)OCI_DEFAULT))
{
  if (ctx->envhp)
    OCIHandleFree((dvoid *)ctx->envhp, OCI_HTYPE_ENV);
  return status;
}
/* Free the handles */
  if (ctx->stmthp) OCIHandleFree((dvoid *)ctx->stmthp, (ub4) OCI_HTYPE_STMT);
  if (ctx->sesshp) OCIHandleFree((dvoid *)ctx->sesshp, (ub4) OCI_HTYPE_SESSION);
  if (ctx->svchp) OCIHandleFree((dvoid *)ctx->svchp, (ub4) OCI_HTYPE_SVCCTX);
  if (ctx->srvhp) OCIHandleFree((dvoid *)ctx->srvhp, (ub4) OCI_HTYPE_SERVER);
  if (ctx->errhp) OCIHandleFree((dvoid *)ctx->errhp, (ub4) OCI_HTYPE_ERROR);
  if (ctx->envhp) OCIHandleFree((dvoid *)ctx->envhp, (ub4) OCI_HTYPE_ENV);
  return status;
}
```



B

### Oracle XML DB Restrictions

The restrictions associated with Oracle XML DB are listed here.

- Thin JDBC Driver Not Supported by Some XMLType Functions XMLType method transform() works only with the OCI driver. Not all oracle.xdb.XMLType functions are supported by the thin JDBC driver. If you do not use oracle.xdb.XMLType classes and the OCI driver, you can lose performance benefits.
- NCHAR, NVARCHAR2, and NCLOB Not Supported Oracle XML DB does not support the use of SQL data types NCHAR, NVARCHAR2, and NCLOB for any of the following:
  - Mapping XML elements or attributes to these data types using the SQLType annotation in an XML schema
  - Generating XML data from these data types using SQL/XML functions XMLElement, XMLAttributes, and XMLForest
  - Within SQL/XML functions XMLQuery and XMLTable, using XQuery functions ora:view (desupported), fn:doc, and fn:collection on tables that contain columns with these data types

To handle, store, or generate XML data that contains multibyte characters, Oracle strongly recommends that you use AL32UTF8 as the database character set.

- XML Identifier Length Limit Oracle XML DB supports only XML identifiers
  that are a maximum of 32767 bytes or 4000 bytes, depending on the value of
  initialization parameter MAX\_STRING\_SIZE. See Oracle Database SQL Language
  Reference.
- Repository File Size Limit The maximum size of a file in Oracle XML DB Repository is 4 gigabytes. This implies the following limits for different kinds of file data:
  - 4 gigabytes for any LOB, which means 2 gigacharacters for a CLOB stored in the database character set AL32UTF8.
  - 4 gigabytes for binary XML encoded data, which typically means more than 4 gigabytes of external XML data before encoding.
  - Indeterminate for XML data stored object-relationally.
- Repository-Wide Resource Configuration File Limit You cannot create more than 125 resource configuration files for repository-wide configuration.
- Recursive Folder Deletion You cannot delete more than 50 levels of nested folders using the option for recursive deletion.
- No Column-Level Encryption for XMLType Column-level encryption is not supported for XMLType. Tablespace-level encryption is supported for all XMLType storage models.
- *No Composite Partitioning for XMLType* Composite partitioning is not supported for XMLType tables or columns (regardless of the XMLType storage model).



- No Partitioning for Hierarchically Enabled Tables You cannot partition a hierarchy-enabled table. (See Repository Resources and Database Table Security for information about hierarchy-enabled tables.)
- No Oracle Real Application Testing (RAT) for XMLType— Oracle Real Application Testing (RAT) is not supported for XMLType.
- No XMLType Access over Database Links Access to remote XMLType tables or columns is not supported.
- Oracle JVM Needed for Some Features In general, the behavior of Oracle XML DB does not depend on whether or not you have Oracle JVM (Java Virtual Machine) installed. However, if you use Java servlets then you must install Oracle JVM.
- Editioning Views Not Compatible with XMLType Editioning views are not compatible with XMLType data that is stored object-relationally. They cannot be enabled in database schemas that contain persisted object types.
- Transportable tablespaces and database consolidation If your Oracle XML DB
  Repository in Oracle Database 11g Release 2 (11.2) has existing data then
  you cannot use transportable tablespaces to plug that database directly into a
  container database (CDB). Instead, upgrade the 11.2 database to 12.1, unplug it,
  and then plug it in.
- No XMLType virtual columns for object-relational storage of XMLType You cannot add a virtual XMLType column to an object-relational XMLType table or to a table that has an object-relational XMLType column.
- No sharding for XMLType or repository Sharding is not supported for XMLType data or for Oracle XML DB Repository.
- XML schema URL uniqueness ignores protocol part Attribute schemaurl for a registered XML schema must be unique apart from the protocol part. The protocol part (for example, http or https) is ignored in the test for uniqueness.

### **Related Topics**

Oracle XML DB Support for XQuery
 Oracle XML DB support for the XQuery language includes SQL support and
 support for XQuery functions and operators.



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